

# A reduction theorem for capacity of positive maps

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## Abstract

We prove a reduction theorem for capacity of positive maps of finite dimensional  $C^*$ -algebras, thus reducing the computation of capacity to the case when the image of a nonscalar projection is never a projection.

## Introduction

In quantum information theory there has been a great deal of interest in the concept of capacity of completely positive maps. A drawback with capacity is that it is usually quite difficult to compute, hence there is a need for developing computational techniques. In the present paper we shall prove a reduction theorem for capacity which reduces its computation to the ergodic case. As a consequence we get a partial result towards the additivity of capacity for tensor products.

If  $P$  is a finite dimensional  $C^*$ -algebra we denote by  $\text{Tr}_P$  the trace on  $P$  which takes the value 1 at each minimal projection. Let  $\eta$  denote the real function  $\eta(t) = -t \log t$  for  $t > 0$ , and  $\eta(0) = 0$ . Then the entropy  $S(a)$  of a positive operator  $a$  in  $P$  is defined by  $S(a) = \text{Tr}_P(\eta(a))$ . If  $M$  is another finite dimensional  $C^*$ -algebra let  $\Phi: M \rightarrow P$  be a positive unital linear trace preserving map, i.e.  $\text{Tr}_P(\Phi(x)) = \text{Tr}_M(x)$  for all  $x \in M$ . Note that we only assume  $\Phi$  is positive and not completely positive, since the latter stronger assumption is in most cases unnecessary. Let  $C$  denote the positive operators in  $M$  with trace 1. If  $a \in C$  let

$$C(\Phi, a) = \sup S(\Phi(a)) - \sum_i \lambda_i S(\Phi(a_i)),$$

where the sup is over all convex combinations of operators  $a_i \in C$  with  $\sum_i \lambda_i a_i = a$ . The *capacity*  $C(\Phi)$  of  $\Phi$  is defined by

$$C(\Phi) = \sup_{a \in C} C(\Phi, a).$$

For a discussion of capacity see e.g. [2].

# 1 The reduction theorem

If  $P$  is a finite dimensional  $C^*$ -algebra and  $\omega$  is a state on  $P$  let  $Q_\omega$  denote its density operator in  $P$ . Then the entropy of  $\omega$  (with respect to  $P$ ) is  $S(\omega) = S(Q_\omega)$ . We shall need three properties of entropy, namely: it is subadditive, i.e.  $S(\omega_1 + \omega_2) \leq S(\omega_1) + S(\omega_2)$ ; it is concave, i.e.  $S(\lambda\omega_1 + (1-\lambda)\omega_2) \geq \lambda S(\omega_1) + (1-\lambda)S(\omega_2)$ , and if  $N \subseteq M \subseteq P$  are  $C^*$ -subalgebras then  $S(\omega | N) \geq S(\omega | M)$ . Our first result is taken from the book [3] and is an inequality in the opposite direction.

**Lemma 1** *Let  $M \subseteq P$  be finite dimensional  $C^*$ -algebras, and let  $e_1, \dots, e_n$  be projections in  $M$  with sum 1. Let  $N = \bigoplus_{i=1}^n N_i$ , where  $N_i = e_i M e_i$ . Let  $\omega$  be a state on  $P$ . Then*

$$\sum_i \omega(e_i) S\left(\frac{\omega|_{N_i}}{\omega(e_i)}\right) = S(\omega|N) - \sum_i \eta(\omega(e_i)) \leq S(\omega).$$

*Proof.* Let  $s_i = \omega(e_i)$ . Then

$$\begin{aligned} S(\omega|N) &= \sum_i S(\omega(e_i \cdot e_i)) \\ &= \sum_i S\left(\frac{\omega(e_i \cdot e_i)}{s_i} s_i\right) \\ &= \sum_i s_i S\left(\frac{\omega(e_i \cdot e_i)}{s_i}\right) + \eta(s_i) \end{aligned}$$

which proves the equality in the lemma.

In order to prove the inequality let  $f_k$  be minimal projections in  $P$  and  $\alpha_k > 0$  such that the density operator  $Q_\omega$  for  $\omega$  is of the form  $Q_\omega = \sum_k \alpha_k f_k$ , so in particular  $\sum_k \alpha_k = 1$ . Thus  $S(\omega) = S(Q_\omega) = \sum_k \eta(\alpha_k)$ . By the first part of the proof we have

$$\begin{aligned} S(\omega|N) &= \sum_i S(\omega(e_i \cdot e_i)) \\ &= \sum_i S\left(\sum_k \alpha_k e_i f_k e_i\right) \\ &\leq \sum_{i,k} S(\alpha_k e_i f_k e_i) \\ &= \sum_{i,k} \alpha_k S(e_i f_k e_i) + \eta(\alpha_k) \text{Tr}_P(e_i f_k e_i) \\ &= \sum_{i,k} \alpha_k \eta(\text{Tr}_P(e_i f_k e_i)) + \eta(\alpha_k) \text{Tr}_P(e_i f_k e_i) \\ &\leq \sum_i \eta\left(\sum_k \alpha_k \text{Tr}_P(e_i f_k e_i)\right) + \sum_k \eta(\alpha_k) \end{aligned}$$

$$\begin{aligned}
&= \sum_i \eta(\text{Tr}_P(e_i Q_\omega e_i)) + S(\omega) \\
&= \sum_i \eta(\omega(e_i)) + S(\omega),
\end{aligned}$$

where the first inequality follows from subadditivity of  $S$  and second from concavity. We also used that  $e_i f_k e_i = \text{Tr}_P(e_i f_k e_i) p$ , where  $p$  is a minimal projection. The proof is complete.

From the definition of capacity it is clear that if  $\Phi: M \rightarrow P$  is as before, and  $N \subseteq M$ , then  $C(\Phi|N) \leq C(\Phi)$ . Our next result describes a situation when we have equality. We shall use a result of Broise, see [5], that if  $a$  is a self-adjoint operator in  $M$  such that  $\Phi(a^2) = \Phi(a)^2$  then  $\Phi(aba) = \Phi(a)\Phi(b)\Phi(a)$  for all  $b \in M$ . In particular, if  $e$  is a projection in  $M$  such that  $\Phi(e)$  is a projection, then the above identity holds for  $a$  replaced by  $e$ . The ergodic case alluded to in the introduction is the case when the only operators  $a$  which satisfy  $\Phi(a^2) = \Phi(a)^2$  are the scalar operators.

**Theorem 2** *Let  $M, P$  be finite dimensional  $C^*$ -algebras. Let  $\Phi: M \rightarrow P$  be a positive unital trace preserving map. Suppose  $e_1, \dots, e_n$  are projections in  $M$  with sum 1 such that  $\Phi(e_i)$  is a projection for all  $i$ . Let  $N = \bigoplus e_i M e_i$ . Then  $C(\Phi) = C(\Phi|N)$ .*

*Proof.* Clearly  $C(\Phi) \geq C(\Phi|N)$ . For the opposite inequality let  $a, a_m \in C$  such that  $a = \sum_m \lambda_m a_m$ . Let  $Q = \bigoplus \Phi(e_i) P \Phi(e_i)$ . Since  $\Phi(e_i x e_i) = \Phi(e_i) \Phi(x) \Phi(e_i)$  for all  $x \in M$ ,  $\Phi(E_N(x)) = E_Q(\Phi(x))$ , where  $E_N$  and  $E_Q$  denote the conditional expectations on  $N$  and  $Q$  respectively. Thus

$$S(\Phi(a)) \leq S(E_Q(\Phi(a))) = S(\Phi(E_N(a))).$$

Therefore by Lemma 1 applied to the states  $\omega_m$  defined by  $Q_{\omega_m} = \Phi(a_m)$  and  $e_1, \dots, e_n$  yields the following inequality.

$$\begin{aligned}
&S(\Phi(a)) - \sum_m \lambda_m S(\Phi(a_m)) \\
&\leq S(\Phi(E_N(a))) - \sum_m \lambda_m \sum_i \text{Tr}_P(\Phi(e_i) \Phi(a_m) \Phi(e_i)) S\left(\frac{\Phi(e_i) \Phi(a_m) \Phi(e_i)}{\text{Tr}_P(\Phi(e_i) \Phi(a_m) \Phi(e_i))}\right) \\
&= S(\Phi(E_N(a))) - \sum_m \lambda_m \sum_i \text{Tr}_P(\Phi(e_i a_m e_i)) S\left(\frac{\Phi(e_i a_m e_i)}{\text{Tr}_P(\Phi(e_i a_m e_i))}\right) \\
&= S(\Phi(E_N(a))) - \sum_{m,i} \lambda_m \text{Tr}_M(e_i a_m e_i) S\left(\frac{\Phi(e_i a_m e_i)}{\text{Tr}_M(e_i a_m e_i)}\right) \\
&= S(\Phi(E_N(a))) - \sum_{m,i} \mu_{m,i} S\left(\frac{\Phi(e_i a_m e_i)}{\text{Tr}_M(e_i a_m e_i)}\right),
\end{aligned}$$

where  $\sum_{m,i} \mu_{m,i} = 1$ , and  $\frac{e_i a_m e_i}{\text{Tr}_M(e_i a_m e_i)} = E_N\left(\frac{e_i a_m e_i}{\text{Tr}_M(e_i a_m e_i)}\right) \in N$  with trace 1. Since the above inequality holds for all families  $(a_m)$  as above

$$C(\Phi, a) \leq C(\Phi|N, E_N(a)).$$

Since this holds for all  $a \in M$

$$C(\Phi) = \sup_a C(\Phi, a) \leq \sup_a C(\Phi|N, E_N(a)) = C(\Phi|N),$$

proving the theorem.

We can now state our main reduction theorem. Note that if the projections  $e_i$  are minimal with the property that  $\Phi(e_i)$  is a projection, then  $\Phi|e_i M e_i$  is ergodic in the sense defined above, so the theorem is a reduction to the ergodic case.

**Theorem 3** *Let  $M, P$  be finite dimensional  $C^*$ -algebras and  $\Phi: M \rightarrow P$  a positive unital trace preserving map. Let  $e_1, \dots, e_n$  be projections in  $M$  with sum 1 such that  $\Phi(e_i)$  is a projection for each  $i$ . Let  $M_i = e_i M e_i$  and  $\Phi_i = \Phi|_{M_i}: M_i \rightarrow \Phi(e_i) P \Phi(e_i)$  be the restriction map to  $M_i$ . Then*

$$C(\Phi) = \log \sum_{i=1}^n e^{C(\Phi_i)}.$$

*Proof.* By Theorem 2 it suffices to consider  $a = \sum_i a_i \in M, a_i = a e_i \in M_i$ , where  $a_i = \sum_j \lambda_{ji} a_{ji}$  with  $\text{Tr}_M(a_{ji}) = 1, a_{ji} \in M_i^+, \sum_{ji} \lambda_{ji} = 1$ . Let  $s_i = \text{Tr}_M(e_i a) = \text{Tr}_M(a_i) = \text{Tr}_P(\Phi(e_i) \Phi(a))$ . Then we have

$$\begin{aligned} S(\Phi(a)) &= \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \\ &= \sum_i [S(\Phi(e_i) \Phi(a)) - \sum_j \lambda_{ji} S(\Phi(a_{ji}))] \\ &= \sum_i [S(s_i (\frac{1}{s_i} \Phi(e_i) \Phi(a))) - s_i \sum_j \frac{\lambda_{ji}}{s_i} S(\Phi(a_{ji}))] \\ &= - \sum_i s_i \log s_i + \sum_i s_i [S(\frac{1}{s_i} \Phi(e_i) \Phi(a)) - \sum_j \frac{\lambda_{ji}}{s_i} S(\Phi(a_{ji}))] \end{aligned}$$

We have

$$S(\frac{1}{s_i} \Phi(e_i) \Phi(a)) - \sum_j \frac{\lambda_{ji}}{s_i} S(\Phi(a_{ji})) \leq C(\Phi|M_i).$$

Therefore

$$\begin{aligned} S(\Phi(a)) &= \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \\ &\leq - \sum_i s_i (\log s_i - C(\Phi|M_i)) \\ &= - \sum_i s_i (\log s_i - \log \frac{C(\Phi|M_i)}{\sum_k e^{C(\Phi|M_k)}}) + \log \sum_i e^{C(\Phi|M_i)} \end{aligned}$$

Since the sum  $\sum_i s_i (\log s_i - \log \frac{e^{C(\Phi|M_i)}}{\sum_k e^{C(\Phi|M_k)}})$  is a relative entropy, it is nonnegative, see Lemma 4.5 in [4]. Hence we have

$$S(\Phi(a)) - \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \leq \log \sum_i e^{C(\Phi|M_i)},$$

Since this holds for all  $a$  we conclude that  $C(\Phi) \leq \log \sum_i e^{C(\Phi|M_i)}$ .

For the converse inequality let  $\varepsilon > 0$ , and choose  $b_i \in M_i^+$  with  $\text{Tr}_M(b_i) = 1$ ,  $\mu_{ji} \geq 0$  with  $\sum_j \mu_{ji} = 1$  and  $a_{ji} \in M_i^+$  with trace 1 such that  $\sum_j \mu_{ji} a_{ji} = b_i$ , and

$$S(\Phi(b_i)) - \sum_j \mu_{ji} S(\Phi(a_{ji})) \geq C(\Phi|M_i) - \varepsilon.$$

Let now  $s_i \geq 0$  have sum 1, and let  $a_i = s_i b_i$ ,  $\lambda_{ji} = s_i \mu_{ji}$ . Put  $a = \sum_i a_i = \sum_{ji} \lambda_{ji} a_{ji}$ . Then by the above inequality we have

$$S\left(\frac{1}{s_i} \Phi(e_i) \Phi(a_i)\right) - \sum_j \frac{\lambda_{ji}}{s_i} S(\Phi(a_{ji})) \geq C(\Phi|M_i) - \varepsilon.$$

Thus by the computations in the beginning of the proof we have

$$S(\Phi(a)) - \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \geq - \sum_i s_i (\log s_i - C(\Phi|M_i)) - \varepsilon.$$

Hence by the same computation we did above we obtain

$$\begin{aligned} S(\Phi(a)) &= \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \\ &\geq - \sum_i s_i (\log s_i - \log \frac{C(\Phi|M_i)}{\sum_k C(\Phi|M_k)}) + \log \sum_k e^{C(\Phi|M_k)} - \varepsilon. \end{aligned}$$

For the value  $s_i = \frac{C(\Phi|M_i)}{\sum_k C(\Phi|M_k)}$  the value of the relative entropy is 0, hence

$$C(\Phi) \geq S(\Phi(a)) - \sum_{ji} \lambda_{ji} S(\Phi(a_{ji})) \geq \log \sum_k e^{C(\Phi|M_k)} - \varepsilon.$$

Since  $\varepsilon$  is arbitrary the proof is complete.

A good illustration of an application of the theorem is the case when  $\Phi$  is a trace preserving projection map of  $M$  into itself, i.e.  $\Phi(x) = \Phi(\Phi(x))$  for all  $x \in M$ . Then the image  $N = \Phi(M)$  is a Jordan subalgebra of  $M$ , and if  $\Phi$  is completely positive then  $\Phi$  is a conditional expectation, and  $\Phi(M)$  is a  $C^*$ -algebra, see [1]. The rank of  $N$  -rank  $N$ - is the maximal number of minimal projections in  $N$  with sum 1.

**Corollary 4** *Let  $\Phi: M \rightarrow M$  be a trace preserving projection map. Then*

$$C(\Phi) = \log \text{rank } \Phi(M).$$

*Proof.* Let  $n = \text{rank } N$  and  $e_1, \dots, e_n$  be minimal projections in  $\Phi(M)$  with sum 1. Then  $e_k M e_k = \mathbb{C} e_k$  for all  $k$ , hence  $C(\Phi|_{e_k M e_k}) = 0$ , so by the theorem

$$C(\Phi) = \log \sum_i^n e^0 = \log n.$$

The proof is complete.

The main problem concerning capacity is whether it is additive under tensor products, i.e. whether  $C(\Phi \otimes \Psi) = C(\Phi) + C(\Psi)$  when  $\Phi \otimes \Psi$  is positive, in particular when they are both completely positive. Our next result reduces the problem to the case when both maps are ergodic.

**Corollary 5** *Let  $M, N, P, Q$  be finite dimensional  $C^*$ -algebras and  $\Phi: M \rightarrow P$  and  $\Psi: N \rightarrow Q$  be positive unital trace preserving maps such that  $\Phi \otimes \Psi: M \otimes N \rightarrow P \otimes Q$  is positive. Let  $e_i \in M$  and  $f_j \in N$  be projections with sum 1 such that  $\Phi(e_i)$  and  $\Psi(f_j)$  are projections. Let*

$$\Phi_i = \Phi|_{e_i M e_i}: e_i M e_i \rightarrow \Phi(e_i) P \Phi(e_i),$$

$$\Psi_j = \Psi|_{f_j N f_j}: f_j N f_j \rightarrow \Psi(f_j) Q \Psi(f_j).$$

*Suppose  $C(\Phi_i \otimes \Psi_j) = C(\Phi_i) + C(\Psi_j)$  for all  $i, j$ . Then*

$$C(\Phi \otimes \Psi) = C(\Phi) + C(\Psi).$$

*Proof.* We apply Theorem 3 to the projections  $e_i \otimes f_j$  and the corresponding maps  $\Phi_i \otimes \Psi_j$ . Thus we have

$$\begin{aligned} C(\Phi \otimes \Psi) &= \log \sum_{ij} e^{C(\Phi_i \otimes \Psi_j)} = \log \sum_{ij} e^{C(\Phi_i) + C(\Psi_j)} \\ &= \log \sum_{ij} e^{C(\Phi_i)} e^{C(\Psi_j)} = \log \sum_i e^{C(\Phi_i)} \sum_j e^{C(\Psi_j)} \\ &= C(\Phi) + C(\Psi). \end{aligned}$$

The proof is complete.

If  $\Phi$  is completely positive and  $id$  is the identity map of  $N$  let  $f_j$  be a minimal projection for each  $j$ . Then the assumptions of the above corollary hold for the projections  $1 \otimes f_j$ . Hence we have

**Corollary 6** *Let  $M$  and  $N$  be finite dimensional  $C^*$ -algebras as before with  $\Phi$  completely positive. Then  $C(\Phi \otimes id) = C(\Phi) + \log \text{rank } N$ .*

## References

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