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ON THE COTANGENT COHOMOLOGY OF RATIONAL SURFACE SINGULARITIES WITH ALMOST REDUCED FUNDAMENTAL CYCLE

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ABSTRACT. We prove dimension formulas for the cotangent spaces T^1 and T^2 for a class of rational surface singularities by calculating a correction term in the general dimension formulas. We get that it is zero if the dual graph of the rational surface singularity X does not contain a particular type of configurations, and this generalizes a result of Theo de Jong stating that the correction term c(X) is zero for rational determinantal surface singularities. In particular our result implies that c(X) is zero for Riemenschneiders quasideterminantal rational surface singularities, and this also generalize results for qoutient singularities.

1. INTRODUCTION

The cotangent cohomology is important in the deformation theory of isolated singularities. In several papers the dimensions of these modules are calculated for classes of rational surface singularities, see for instance [4], [3], [9], [7]. In [6] dimension formulas for the cotangent modules T^1 and T^2 for general rational surface singularities are given, and [14] gives formulas for the higher cotangent modules. The formulas for T^1 and T^2 contains an unavoidable correction term which vanish for large classes of rational surface singularities (see [4], [3], [9], [7]), but which seems to be difficult to compute in general. In the present paper we investigate the correction term for rational surface singularities where the fundamental cycle, [2], is reduced on all non -2-curves. We refer to this by saying that the fundamental cycle is almost reduced.

The formulas proved in [6, Theorems 3.11 and 3.8] may be stated as follows:

$$\dim T_X^1 = (e-4) + \dim T_{\hat{X}}^1 + c(X)$$
$$\dim T_X^2 = (e-2)(e-4) + \dim T_{\hat{X}}^2 + c(X)$$

In these formulas e denotes the embedding dimension of the rational surface singularity X, \hat{X} is the blowup of X and c(X) is the correction term.

In section 3 we give a classification of rational surface singularities with almost reduced fundamental cycle, in particular we define the notion of n-configurations in the dual graph. The main theorem of this paper is the following:

Theorem. Let X be a rational surface singularity with embedding dimension $e \ge 4$ and with almost reduced fundamental cycle. Then the correction term c(X) is less or equal to the number of 3-configurations in the dual graph. In particular; c(X) = 0for all quasi-determinantal rational surface singularities.

Assume furthermore that the fundamental cycle intersects all non -2-curves negatively. Then c(X) equals the number of 3-configurations in the dual graph of X.

Determinantal rational surface singularities have almost reduced fundamental cycle and have only 1-configurations in the dual graph, [17, 3.4], [13, 4.2.1], [7],

[8]. In this way our result generalize the formulas given in [7]. Notice in particular that rational quasi-determinantal surface singularities, as defined by Riemenschneider, [12], have almost reduced fundamental cycle, see [13] and [8], and that quasi-determinantal rational surface singularities do not have 3-configurations in the dual graph, and thus we get that c(X) = 0 for quasi-determinantal singularities. Remark also that the results in the present paper, generalizes and *corrects* Theorem 2.6.3 of [10] where some 2-configurations mistakenly are computed to contribute positively to c(X).

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2. NOTATION AND PRELIMINARIES

2.1. Results and notation on rational singularities. We will work over the field of complex numbers. The singularities we study are of the form $X = \operatorname{Spec} A$ where A = P/I and P is a regular local \mathbb{C} algebra essentially of finite type. We will denote by \mathfrak{m} the maximal ideal in $A = \mathcal{O}_X$. A normal surface singularity X with minimal resolution $f : \widetilde{X} \to X$ is rational if $H^1(\widetilde{X}, \mathcal{O}_{\widetilde{X}}) = 0$, see [2]. The exceptional divisor $E \subset \widetilde{X}$ is a union of irreducible components $E_i \simeq \mathbb{P}^1$. There is a fundamental cycle Z, supported on E, defined by $\mathfrak{mO}_{\widetilde{X}}$. This divisor is the unique smallest positive divisor $Z = \sum r_i E_i$ satisfying $Z \cdot E_i \leq 0$ for all irreducible components E_i . The fundamental cycle may be computed inductively as follows: Put $Z_0 = E$. Given Z_k there are two possibilities:

- (1) If there is an E_i such that $Z \cdot E_i > 0$, then put $Z_{k+1} = Z_k + E$,
- (2) otherwise we are finished and the fundamental cycle $Z = Z_k$.

The embedding dimension of X, $e = \dim_{\mathbb{C}} \mathfrak{m}/\mathfrak{m}^2$, equals $-Z^2 + 1$ and the multiplicity $m(X) = e - 1 = -Z^2$. Note also that since X is affine, then for any modification $Y \to X$ and for any quasi-coherent sheaf \mathcal{F} on Y it follows from the Theorem on Formal Functions that $H^i(Y, \mathcal{F}) = 0$ for $i \ge 2$, see [11, III.11.1]. We will use this fact freely throughout the paper.

The following result from [15], which shows how the blow up \widehat{X} may be obtained from \widetilde{X} , is important for the considerations in this paper.

Theorem 2.1 (Tjurina). If X is a rational surface singularity, then the blow up of X is isomorphic to the surface obtained from \widetilde{X} by contracting all components E_i with $Z \cdot E_i = 0$.

We fix the following notation. Let $\widehat{X} \xrightarrow{\pi} X$ denote the blow up of a rational surface singularity $X = \operatorname{Spec} A$ in the maximal ideal, and let $\widetilde{X} \xrightarrow{\widetilde{\pi}} \widehat{X}$ be the minimal resolution. Denote by $C \subset \widehat{X}$ the exceptional divisor defined by $\mathfrak{mO}_{\widehat{X}}$, and by $Z \subset \widetilde{X}$ the fundamental cycle. Let $\Theta_{\widehat{X}}$ be the tangent sheaf on \widehat{X} , and let $\Theta_{\widehat{X}}$ be the tangent sheaf on \widetilde{X} .

2.2. Cotangent cohomology. We refer to [1] for precise definitions, but see also [6] for a review of the basic facts and notation.

Assume that S is a noetherian ring and that A is an S algebra essentially of finite type. For an A module M we will consider the *cotangent cohomology* modules $T^i(A/S; M) =: T^i_A(M)$ and $T^i_A(A) =: T^i_A =: T^i_X$ if X = Spec A. If S is a sheaf of rings on a scheme Y, A is an S algebra and M is an A-module, there are cotangent

cohomology sheaves $\mathcal{T}^{i}_{\mathcal{A}/\mathcal{S}}(\mathcal{M})$ and cotangent cohomology groups $T^{i}_{\mathcal{A}/\mathcal{S}}(\mathcal{M})$. The sheaf $\mathcal{T}^{i}_{\mathcal{A}/\mathcal{S}}(\mathcal{M})$ is locally for an open affine $U \subset Y$ given as $T^{i}(\mathcal{A}(U)/\mathcal{S}(U); \mathcal{M}(U))$.

For the purpose of calculations we note that if P is a polynomial S-algebra (or a the localization of such) mapping onto A so that $A \cong P/I$ for an ideal I, then $T^1(A/S; M)$ is the cokernel of the natural map $\text{Der}_S(P, M) \to \text{Hom}_P(I, M)$.

2.3. The sheaves \mathcal{F}^i and the correction term c(X). To shorten notation we define the sheaves $\mathcal{F}^i := \mathcal{T}^i_{\pi^{-1}A}(\mathcal{O}_{\widehat{X}})$ on \widehat{X} .

Definition 2.2. The correction term c(X) is defined as $c(X) = h^1(\widehat{X}, \mathfrak{mF}^1)$.

The importance of this invariant is given by the following formulas which are valid for all rational surface singularities, see [6, Theorems 3.11 and 3.8]:

$$\dim T_X^1 = (e-4) + \dim T_{\hat{X}}^1 + c(X)$$
$$\dim T_X^2 = (e-2)(e-4) + \dim T_{\hat{X}}^2 + c(X)$$

The invariant c(X) vanishes for large classes of rational surface singularities. In [9] de Jong and van Straten proved that c(X) = 0 for all rational surface singularities with reduced fundamental cycle. This also follows by the methods [6]. In [13] de Jong proved that c(X) = 0 for determinantal rational surface singularities. In the present paper we generalize this result by using the methods developed in [6].

2.4. Some important sheaves and sequences on \widehat{X} . First, let $Y \to X$ be any modification, and assume that $F \subset Y$ is a (possible non-reduced) curve which is given by an invertible sheaf of ideals \mathfrak{I}_F . Let $\mathcal{D}er_F(\widehat{X})$ be the subsheaf of $\Theta_{\widehat{X}}$ consisting of derivations which take \mathfrak{I}_F to itself. Define $\mathcal{A}_{F/Y}^1$ to be the cokernel of the map $\Theta_{\widehat{X}} \to \mathfrak{O}_F(F)$ defined locally – where F is defined by x – as $D \mapsto D(x) \otimes \frac{1}{x} \mod (x)$. Notice that there is an exact sequence

(2.1)
$$0 \to \mathcal{D}er_F(F) \to \Theta_Y \to \mathcal{O}_F(F) \to \mathcal{A}^1_{F/Y} \to 0$$

with the maps as above. Similarly, we denote by $\mathfrak{T}^1_{F \subset Y}$ the cokernel of the map $\mathcal{D}er(\mathfrak{O}_Y, \mathfrak{O}_F) \to \mathfrak{O}_F(F)$.

In the case $Y = \hat{X}$ and F = C, we have from Proposition 2.3 in [6] the important exact sequence

(2.2)
$$0 \to \mathcal{A}^{1}_{C/\widehat{X}}(C) \to \mathfrak{mF}^{1} \to \mathfrak{T}^{1}_{\widehat{X}}(C) \to 0.$$

We remark that the maps in this last sequence are non-canonical. The sequence sits in a diagram, see [6, Section 4.2]:

The middle horizontal sequence comes from the Zariski-Jacobi long exact sequence [1, Th. 5.1] for $\mathbb{C} \to \mathcal{O}_{\widehat{X}}(C) \to \mathcal{O}_C(C)$ (and is thus natural). The kernels of the upper vertical maps have support on a finite set of points.

3. Classification of rational surface singularities with almost reduced fundamental cycle

This paper is concerned with a particular class of rational surface singularities which contains other (more commonly known) classes of singularities. **Definition 3.1.** We say that the fundemental cycle Z is almost reduced if it is reduced on all non -2-curves.

Rational determinantal surface singularities and more generally rational quasideterminantal surface singularities, have almost reduced fundamental cycle, see [17, 3.4], [13, 4.2.1], [7], [8]. Remark also that all two dimensional quotient singularities are quasi-determinantal and thus have almost reduced fundamental cycle, see [13, 4.2.2].

To a normal surface singularity one attach the *dual graph* Γ of the exceptional set in the minimal resolution. The graph Γ has a vertex for each irreducible component E_i and there is an edge between two vertices if the corresponding curves intersect.

In order to understand the shape of the graphs of rational surface singularities with reduced fundamental cycle, we consider a connected subgraph Γ_1 of Γ which contains only vertices corresponding to -2-curves. We assume further that Γ_1 is maximal in the sense that all vertices in Γ (not in Γ_1) with an edges to a vertex in Γ_1 corresponds to non -2-curves. The graph Γ_1 will necessarily be the dual graph of a rational double point, and a subgraph Γ_1 together with all edges in Γ which connect to Γ_1 , will be called a *rational double point configuration* or RDP configuration. This corresponds to the term *erweiterte* (-2)-Konfiguration in [13, Section 1.5]. Thus a rational double point configuration is the graph of a rational double point with some extra edges attached. If there are *n* such edges we call the rational double points. In addition, it may be checked that we only have 1-, 2- and 3-configurations, see [13, 1.5.1].

To find the possible rational double point configurations one starts with one of the wellknown graphs of the rational double points. Then one investigate to which vertex one are allowed to attach an edge and still have a rational singularity. In doing this on may consider a sequence $Z_0 = E, Z_1, \ldots, Z_k = Z$ as in section 2.1. This is called a computing sequence for Z. In order to have a rational singularity one must have that $E_i \cong \mathbb{P}^1$ for all *i* and that $Z_k \cdot E_i > 0$ implies $Z_k \cdot E_i = 1$ for all k in any computation sequence for Z. In figure 1 we give the possible configurations. The configurations divides into the types **A**, **D** and **E**. The numbers under each vertex is the multiplicity of the fundamental cycle Z at the corresponding curves. The white vertices correspond to the exceptional curves E_i such that $Z \cdot E_i = 0$. The black vertices corresponds to E_i such that $Z \cdot E_i > 0$. The subscript of the symbols **A**, **D** and **E** attached to each configuration, give the number of vertices. For the type **A** the superscript q essentially determines which interior vertex that has an edge attached. Note that for some values of (n, q) it is allowed for the black vertex to have attached edges.

We refer to [13, 1.5.1] for the proof that figure 1 gives all possible RDP configurations:

Proposition 3.2. The only possible rational double point configurations are the ones which are given in figure 1.

4. The proof of the main theorem

We assume that X is a singularity with almost reduced fundamental cycle Z. In order to compute $c(X) = h^1(\hat{X}, \mathfrak{mF}^1)$ we use the sequence (2.2). Taking cohomology we get

$$H^0(\operatorname{T}^1_{\widehat{X}}(C)) \overset{\beta}{\longrightarrow} H^1(\operatorname{A}^1_{C/\widehat{X}}(C)) \longrightarrow H^1(\mathfrak{mF}^1) \to 0.$$

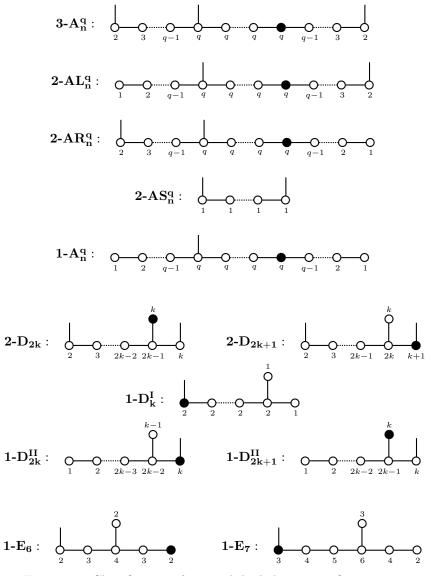


FIGURE 1. Classification of rational doubel point configurations.

In principle one may compute $H^1(\mathfrak{mS}^1)$ as the cokernel of β , but since this would involve a computation of $\mathfrak{T}^1_{\widehat{X}}$, our idea is to consider only the part of $\mathfrak{T}^1_{\widehat{X}}$ corresponding to deformations which come from \widetilde{X} . To do this, we consider the composed map $\eta : H^1(\widetilde{X}, \Theta_{\widetilde{X}}) \to H^0(\mathfrak{T}^1_{\widehat{X}}) \cong H^0(\mathfrak{T}^1_{\widehat{X}}(C))$ where the first map is the blow down map composed with the restriction to neigborhoods of the singular points, see for instance [16, Th 1.4 and 1.5, 1.6], and where the isomorphism comes from the fact that the sheaf $\mathfrak{T}^1_{\widehat{X}}$ has support on points. Composing η with β , we get a map $\alpha : H^1(\widetilde{X}, \Theta_{\widetilde{X}}) \to H^0(\widehat{X}, \mathcal{A}^1_{C/\widehat{X}}(C))$ and a surjection coker $\alpha \twoheadrightarrow H^1(\mathfrak{mF}^1)$. We then proceed by showing that α may be computed separately for each RDPconfiguration.

The main parts of the proof is divided into to lemmas. In the first lemma, we compute $H^1(\mathcal{A}^1_{C/\widehat{X}}(C))$, (for technical reasons in the proof of the second lemma we

need a slightly more general statement), and in the second lemma we proceed to compute coker α .

Lemma 4.1. Let I be such that $i \in I$ implies $Z \cdot E_i = 0$. Let Y be obtained from \widetilde{X} by contracting E_i for $i \in I$. Then $\widetilde{\pi} : \widetilde{X} \to \widehat{X}$ factors through $\widetilde{\pi}_Y : \widetilde{X} \to Y$ and $F = (\widetilde{\pi}_Y)_*Z$ is given by an invertible sheaf of ideals on Y. We have that $H^1(\mathcal{A}^1_{F/Y}(F)) \cong H^1(\widetilde{X}, \mathfrak{O}_{Z-E}(2Z)) \cong H^1(\widehat{X}, \widetilde{\pi}_* \mathfrak{O}_{Z-E}(2Z))$. Moreover, if K is the canonical divisor on \widetilde{X} we have $h^1(\mathcal{A}^1_{F/Y}(F)) = (Z - E) \cdot (K - Z) = \sum (s_i - 1)$ where the sum is taken over all RDP-configurations and where s_i is the weight of the black vertex (or $s_i = 1$ if there is not a black vertex) in the corresponding configuration (see figure 1).

Proof. The factorisation of $\tilde{\pi}$ follows from Theorem 2.1, and the ideal sheaf of F is \mathfrak{mO}_Y and is invertible. Consider the diagram of exact sequences

The first row comes from (2.1) and the second row comes from the sequence

$$(4.2) 0 \to \mathcal{D}er_Z(\mathcal{O}_{\widetilde{X}}(Z)) \to \Theta_{\widetilde{X}}(Z) \to \mathcal{O}_Z(2Z) \to \mathfrak{I}_Z^1(Z) \to 0.$$

The two left-most vertical rows come from the Lerray spectral sequence using that $(\tilde{\pi}_Y)_*\Theta_{\tilde{X}} = \Theta_Y$, (see [5]) $(\tilde{\pi}_Y)_*\mathcal{O}_Z = \mathcal{O}_F$ and $R^1(\tilde{\pi}_Y)_*\mathcal{O}_Z(nZ) = 0$, which follows since only curves E_i such that $Z \cdot E_i = 0$ are contracted in Y. A local calculation shows that $\mathcal{D}er_Z(\mathcal{O}_{\tilde{X}}) = \Theta_{\tilde{X}}(\log E) =: S$, and as in [16, 2.2] we get that (4.2) splits in two short exact sequences

(4.3)
$$0 \to S \to \Theta_{\widetilde{X}}(Z) \to \oplus \mathcal{O}_{E_i}(E_i + Z) \to 0$$

and

(4.4)
$$0 \to \oplus \mathcal{O}_{E_i}(E_i + Z) \to \mathcal{O}_Z(2Z) \to \mathcal{T}_Z^1(Z) \to 0.$$

From the long exact sequences in cohomology of this last sequence and from diagram (4.1) (using the Snake lemma) we get that

$$\ker \gamma \cong \frac{H^1(\oplus \mathcal{O}_{E_i}(E_i + Z))}{\operatorname{im} H^0(\mathfrak{I}_Z^1(Z)) + \operatorname{im} H^1(Y, \Theta_Y(F))}$$

Using the cohomology sequence of (4.3) from the diagram (4.1) we get

$$\frac{H^1(\oplus \mathcal{O}_{E_i}(E_i+Z))}{\operatorname{im} H^1(Y, \Theta_Y(F))} \cong \frac{H^1(\Theta_{\widetilde{X}}(Z))}{\operatorname{im} H^1(S) + \operatorname{im} H^1(Y, \Theta_Y(F))} \cong \frac{H^0(R^1(\widetilde{\pi}_Y)_*\Theta_{\widetilde{X}}(Z))}{\operatorname{im} H^0(R^1(\widetilde{\pi}_Y)_*S)}$$

The sequence (4.3) gives

$$0 \to R^1(\widetilde{\pi}_Y)_*S \to R^1(\widetilde{\pi}_Y)_*\Theta_{\widetilde{X}}(Z) \to R^1(\widetilde{\pi}_Y)_*(\oplus \mathcal{O}_{E_i}(E_i+Z)) \to 0.$$

These sheaves are supported on the singular points of \widehat{X} , so we get

$$\frac{H^0(R^1(\widetilde{\pi}_Y)_*\Theta_{\widetilde{X}}(Z))}{\operatorname{im} H^0(R^1(\widetilde{\pi}_Y)_*S)} \cong H^0(R^1(\widetilde{\pi}_Y)_*(\oplus \mathcal{O}_{E_i}(E_i+Z))) \cong \oplus_{i \in I} H^1(\mathcal{O}_{E_i}(E_i))$$

and

$$\ker \gamma \cong \frac{\bigoplus_{i \in I} H^1(\mathcal{O}_{E_i}(E_i))}{\operatorname{im} H^0(\mathcal{T}_Z^1(Z))}$$

To find ker γ we thus need to find the image of $H^0(\mathfrak{I}^1_Z(Z))$ in $\bigoplus_{i \in I} H^1(\mathfrak{O}_{E_i}(E_i))$. There is an (unnatural) exact sequence

(4.5)
$$0 \to \mathfrak{T}^1_E \to \mathfrak{T}^1_Z(Z) \to \mathfrak{O}_{Z-E}(2Z) \to 0,$$

see [18, (2.6.3)]. As in the proof of proposition 4.3 in [6], we have $H^0(\mathcal{O}_{Z-E}(2Z)) =$ 0. Thus $H^0(\mathfrak{T}^1_Z(Z)) = H^0(\mathfrak{T}^1_E) = \mathbb{C}^s$ where s is the number of intersection points in E. The map $\overline{H}^0(\mathfrak{T}^1_Z(Z)) \to \overline{\oplus}_{i \in I} H^1(\mathfrak{O}_{E_i}(E_i))$ is the composition of the (only) connecting morphism $H^0(\mathfrak{T}^1_Z(Z)) \to H^1(\oplus \mathfrak{O}_{E_i}(E_i + Z))$ (resulting from 4.4) with the projection $H^1(\oplus \mathcal{O}_{E_i}(E_i + Z)) \to \oplus_{i \in I} H^1(\mathcal{O}_{E_i}(E_i))$. Using (4.5) we may compute this by the connecting morphism from $0 \to \oplus \mathcal{O}_{E_i}(E_i) \to \mathcal{O}_E(E) \to \mathcal{T}_E^1 \to 0$ composed with the projection. If we consider r, -2-curves E_i intersecting a -m-curve E_j , we claim that the map $H^0(\mathcal{T}^1_{E_j \cup (\cup E_i)}) = \mathbb{C}^r \to \oplus H^1(\mathcal{O}_{E_i}(E_i)) \oplus H^1(\mathcal{O}_{E_j}(E_j)) =$ $\mathbb{C}^r \oplus \mathbb{C}^{m-1}$ is given by $e_i \mapsto (e_i, \eta_i)$ where the η_i are linearly independent if r < mand span \mathbb{C}^{m-1} if $r \geq m-1$. This can be seen by a tedious but straight forward calculation. Using this we can deduce that $H^0(\mathcal{T}^1_E) \to \bigoplus_{i \in I} H^1(\mathcal{O}_{E_i}(E_i))$ is surjective (and hence ker $\gamma = 0$) in the present situation by checking the different RDPconfigurations in figure 1: For each connected set of white vertices, there is a vertex (corresponding to E_i) with an edge to a black vertex (corresponding to E_j .) Since $H^{0}(\mathfrak{I}^{1}_{E_{i}\cup E_{i}}) = \mathbb{C} \to H^{1}(\mathfrak{O}_{E_{i}}(E_{i})) \oplus H^{1}(\mathfrak{O}_{E_{j}}(E_{j})) = \mathbb{C} \oplus \mathbb{C} \to H^{1}(\mathfrak{O}_{E_{i}}(E_{i})) = \mathbb{C}$ maps the generator to a generator, we will have the corresponding basis vector e_i $\in \operatorname{im} H^0(\mathfrak{I}^1_E) \subset \bigoplus_{i \in I} H^1(\mathfrak{O}_{E_i}(E_i))$. If we have two -2-curves E_l and E_k such that $Z \cdot E_l = Z \cdot E_k = 0$ that intersect, we will likewise have $e_l + e_k \in \operatorname{im} H^0(\mathfrak{T}^1_E)$. From this follows that we have $e_i \in \operatorname{im} H^0(\mathfrak{I}^1_E)$ for all -2-curves E_i in $\bigoplus_{i \in I} H^1(\mathfrak{O}_{E_i}(E_i))$. If there is a -m-curve E_j (where Z is reduced) which intersects \overline{Z} in zero there must be m curves which intersect this curve. From this we now see the corresponding η_i spanning $H^1(\mathcal{O}_{E_i}(E_i))$ must be in $\operatorname{im} H^0(\mathfrak{T}^1_E)$, and thus that $H^0(\mathfrak{T}^1_E) \to$ $\bigoplus_{i \in I} H^1(\mathcal{O}_{E_i}(E_i))$ must be surjective. We get that ker $\gamma = 0$ and it follows that γ is an isomorphism.

Taking cohomology of the sequence (4.5), we get $H^1(\mathfrak{T}^1_Z(Z)) \cong H^1(\widetilde{X}, \mathfrak{O}_{Z-E}(2Z))$. Since $R^1(\widetilde{\pi}_Y)_* \mathfrak{O}_{Z-E}(2Z) = 0$ we also get $H^1(\widetilde{X}, \mathfrak{O}_{Z-E}(2Z)) \cong H^1(\widehat{X}, (\widetilde{\pi}_Y)_* \mathfrak{O}_{Z-E}(2Z))$.

Finally, we have $h^1(\mathfrak{I}_Z^1(Z)) = h^1(\mathfrak{O}_{Z-E}(2Z)) = (Z-E) \cdot (K-Z)$ where the last formula follows as in the proof of proposition 4.3 in [6]. This allow us to compute s-1 as the contribution from each RDP-configuration.

By the lemma, $H^1(\mathcal{A}^1_{C/\widehat{X}}(C)) \cong H^1(\widetilde{X}, \mathcal{O}_{Z-E}(2Z))$, and we may view α as a map $H^1(\widetilde{X}, \Theta) \to H^1(\widetilde{X}, \mathcal{O}_{Z-E}(2Z))$. The main technical observation regarding this map is given in the following lemma.

Lemma 4.2. Let $\widetilde{U}_{RDP,i}$ be (small enough) neighborhoods of (the -2-curves of) each RDP-configuration in \widetilde{X} . The map $\alpha : H^1(\widetilde{X}, \Theta) \to H^1(\widetilde{X}, \mathbb{O}_{Z-E}(2Z))$ is the composition of the restriction map $H^1(\widetilde{X}, \Theta_{\widetilde{X}}) \to \oplus H^1(\widetilde{U}_{RDP,i}, \Theta_{\widetilde{X}})$ with a direct sum of maps $\alpha_i : H^1(\widetilde{U}_{RDP,i}, \Theta_{\widetilde{X}}) \to H^1(\widetilde{U}_{RDP,i}, \mathbb{O}_{Z-E}(2Z))$. Moreover, these last maps are surjective except in the **3-A**_n-case where the cokernel is \mathbb{C} .

Proof. The proof is divided in two steps.

Step 1: We prove that we may reduce to the case where \widehat{X} has only rational double points. Define Y to be the surface obtained from \widetilde{X} by blowing down all -2-curves E_i such that $Z \cdot E_i = 0$. Then $\widetilde{\pi}$ factors $\widetilde{X} \xrightarrow{\widetilde{\pi}_Y} Y \xrightarrow{\pi_Y} \widehat{X}$. We put $F = (\widetilde{\pi}_Y)_* Z$. From the Zariski-Jacobi long exact sequence for $\mathbb{C} \to \mathcal{O}_Y \to \mathcal{O}_F$ we get a sequence

$$0 \to \mathfrak{T}^1_{F \subset Y}(F) \to \mathfrak{T}^1_F(F) \to \mathfrak{T}^1_Y(\mathfrak{O}_F(F)) \to 0$$

and a composed map

$$H^1(\widetilde{X}, \Theta) \to H^0(\mathfrak{T}^1_Y) \cong H^0(\mathfrak{T}^1_Y(F)) \to H^1(\mathfrak{T}^1_{F \subset Y}(F))$$

There is a surjection $\mathcal{A}^1_{F/Y} \to \mathcal{T}^1_{F \subset Y}$ and the kernel is supported on a finite set of points. From this we get $H^1(\mathcal{A}^1_{F/Y}(F)) \cong H^1(\mathfrak{I}^1_{F\subset Y}(F))$ and from lemma 4.1 this is (canonically) isomorphic to $H^1(\mathcal{O}_{Z-E}(2Z))$. Thus we get a map $\alpha': H^1(\widetilde{X}, \Theta) \to \mathcal{O}(Z)$ $H^1(\mathcal{O}_{Z-E}(2Z))$. We claim that $\alpha' = \alpha$. To see this, we compute the map in Čechcohomology. Cover \widehat{X} by open affines U_i such that $U_i \cap U_j$ are small enough and in particular do not contain singularities. Note that the part of F which is contracted in \hat{X} is reduced (away from the singularities.) From this follows that $H^1(U_i \times_{\widehat{X}} Y, \mathfrak{T}^1_F(F)) = H^1(U_i \times_{\widehat{X}} Y, \mathfrak{T}^1_{F \subset Y}(F)) = 0$, and we may use $U_i^Y := U_i \times_{\widehat{X}} Y$ as covering of Y. Let $\xi \in H^1(\tilde{X}, \Theta_{\tilde{X}})$. Denoting by $\mathcal{N}_{F/Y}$ the normal sheaf of Fin Y, we have $H^1(U_i^Y, \mathcal{N}_{F/Y}) = H^1(U_i^Y, \mathcal{O}_F(F)) = 0$ since F must be principal on U_i^Y . Setting $F_i = F \cap U_i^Y$, we also have $H^0(U_i^Y, \mathcal{T}_{F/Y}^2) = 0$ and from the exact sequence $0 \to H^1(U_i^Y, \mathcal{N}_{F/Y}) \to T^2_{F_i/U_i^Y} \to H^0(U_i^Y, \mathfrak{T}^2_{F/Y}) \to 0$ we have $T_{F_i/U_i}^2 = 0$. From this follows that for each *i* there are deformations \overline{F}_i (over $\mathbb{C}[\varepsilon]$) of F_i and inclusions $\overline{F}_i \subset \overline{U}_i^Y$ where \overline{U}_i^Y represents the image of ξ under the blow down and restriction map. We may blow down \overline{F}_i to a deformation \overline{C}_i of $C_i = C \cap U_i$. Denote by ν_i the element corresponding to \overline{F}_i in $H^0(U_i^Y, \mathfrak{T}_F^1(F)) \cong$ $H^0(U_i^Y, \mathfrak{T}_F^1)$ (F is principal on U_i^Y), and denote by η_i the element corresponding to \overline{C}_i in $H^0(U_i, \mathfrak{I}^1_C(C)) \cong H^0(U_i, \mathfrak{I}^1_C)$. Then ν_i and ξ will have the same image in $H^0(U_i^Y, \mathfrak{T}^1_Y(\mathfrak{O}_F(D)))$ and η_i and ξ will have the same image in $H^0(U_i, \mathfrak{T}^1_{\widehat{X}}(\mathfrak{O}_C(C)))$. Moreover the restriction of ν_i and η_i to $U_i \cap U_j \cong U_i^Y \cap U_j^Y$ give the same element in $H^0(U_i \cap U_j, \mathfrak{T}_C^1(C)) \cong H^0(U_i^Y \cap U_j^Y, \mathfrak{T}_F^1(F))$. In particular, $(\eta_i)_{|U_i \cap U_j} - (\eta_j)_{|U_i \cap U_j}$ and $(\nu_i)_{|U_i \cap U_j} - (\nu_j)_{|U_i \cap U_j}$ give the same element in $H^0(U_i \cap U_j, \mathfrak{T}_{C \subset \widehat{X}}^1(C))$. This shows that $\alpha = \alpha'$. Also, since the map $H^1(\widetilde{X}, \Theta) \to H^0(\mathfrak{T}^1_Y)$ factors through $\oplus H^1(U_{RDP,i},\Theta_{\widetilde{X}})$, so does α' . From the calculation of α' above (and the proof of lemma 4.1) it further follows that $\oplus H^1(\widetilde{U}_{RDP,i},\Theta_{\widetilde{X}}) \to H^1(\widetilde{X}, \mathfrak{O}_{Z-E}(2Z))$ splits into a sum as stated. Consider a $-m_i$ -curve E_i in \tilde{X} with $m_i \neq 2$. If $E_i \cdot Z = 0$, we 'change' Y (and \widetilde{X}) in order to increase m_i by one. This may be done by 'plumbing' or by considering the blow up in a point on E_i . From above it is clear that this does not change the image of α . Thus we may assume $E_i \cdot Z < 0$, and hence that Y = X have only rational double points.

Step 2: We calculate the cokernel of α_i assuming $E_i \cdot Z < 0$ for all non--2curves E_i . Let $\hat{U}_{RDP,i}$ denote the image of $\tilde{U}_{RDP,i}$ in \hat{X} . Since \hat{X} contains only rational double points, $H^1(\tilde{U}_{RDP,i},\Theta_{\tilde{X}})$ surjects to $H^0(\hat{U}_{RDP,i},\mathbb{T}^1_{\hat{X}})$. From this it follows that coker $\alpha = H^1(\hat{X}, \mathfrak{mF}^1) \cong H^1(\hat{X}, \mathbb{T}^1_C(C))$. The last isomorphism comes from diagram (2.3). We have that $H^1(\hat{X}, \mathbb{T}^1_C(C)) \cong \oplus H^1(\hat{U}_{RDP,i}, \mathbb{T}^1_C(C))$ because the support of $\mathfrak{T}^1_C(C)$ is contained in $\cup \hat{U}_{RDP,i}$ since $\mathfrak{T}^1_C(C)$ is zero at a non-singular points where C is reduced. We thus obtain coker α_i as $H^1(\hat{U}_{RDP,i}, \mathbb{T}^1_C(C))$. The calculation divides in cases for the different rational double point configurations. For the 2-AS^q_n-case the sheaf $\mathfrak{T}^1_C(C)$ restricted to $\hat{U}_{RDP,i}$ has support on a finite set of points, so $H^1(\hat{U}_{RDP,i}, \mathfrak{T}^1_C(C)) = 0$. In the other cases there is a unique -2-curve which we denote by H, which is not contracted in \hat{X} . The image of H in \hat{X} will contain at least one singular point p for \hat{X} . Since our calculation only will depend on a formal neighborhood of the exceptional set, we may assume that $U_i = \operatorname{Spec} \mathbb{C}[u_i, v_i]$ cover the exceptional curve H. We further assume that $\hat{U}_{RDP,i} = V_0 \cup V_1$ with $V_i = \operatorname{Spec} B_i$ and that $\tilde{\pi}$ induce $\varphi_i : B_i \to \mathbb{C}[u_i, v_i]$. We also assume that $U_0 \cap U_1 \cong V_0 \cap V_1$. We calculate $H^1(\hat{U}_{RDP,i}, \mathfrak{T}^1_C(C))$ as

$$H^{1}(\widehat{U}_{RDP,i}, \mathfrak{T}^{1}_{C}(C)) \cong H^{0}(V_{0} \cap V_{1}, \mathfrak{T}^{1}_{C}(C)) / H^{0}(V_{0}, \mathfrak{T}^{1}_{C}(C)) + H^{0}(V_{1}, \mathfrak{T}^{1}_{C}(C)).$$

To find $\mathfrak{I}_C^1(C)$ locally, we consider $B = B_0 = P/I$ where P is a regular algebra with parameters z_1, z_2, z_3 and I = (g). Assuming that x defines C locally, we have

$$\operatorname{Der}(P,B) \to \operatorname{Hom}_P((g,x), B/(x)) \to H^0(V_0, \mathfrak{I}^1_C(C)) \to 0$$

and similarly on V_1 . Tere is an isomorphism $H^0(U_0 \cap U_1, \mathcal{O}_{Z-E}(2Z)) \cong H^0(V_0 \cap V_1, \mathfrak{T}^1_C(C))$. If P' is a localisation of P such that $B' = H^0(V_0 \cap V_1, \mathcal{O}_C) \cong H^0(U_0 \cap U_1, \mathcal{O}_Z) = P'/(g, x)$, the isomorphism is given on the level of representatives by mapping $h \in B'$ to the element $\phi_h \in \operatorname{Hom}_{P'}((g, x), B')$ such that $\phi_h(g) = 0$ and $\phi_h(x) = h$. This gives a composed map $B' \twoheadrightarrow H^0(V_0 \cap V_1, \mathfrak{T}^1_C(C)) \twoheadrightarrow H^1(\widehat{U}_{RDP,i}, \mathfrak{T}^1_C(C))$ which factors through $H^1(\widetilde{U}_{RDP,i}, \mathcal{O}_{Z-E}(2Z))$. We compute the image of this map:

A_n-case: The singularity p will be of type A_r (r = q + k - 1, q - 1 or q - 2) and we may assume that φ_i is given by $z_1 = u_i^r v_i^{r+1}, z_2 = u_i, z_3 = u_i v_i$. The curve H is given by $v_0 = 0$ and $v_1 = 0$, $(u_0 = 1/u_1, v_0 = u_1^2 v_1)$ and the exceptional set for $p = p_i$ is given by $u_i = 0$. We have $g = z_1 z_2 - z_3^{r+1}$. One finds that $u_0^{s-1} v_0^s, 0 \le s$, generates

$$H^{1}(\widetilde{U}_{RDP,i}, \mathcal{O}_{Z-E}(2Z)) \cong \frac{\mathbb{C}[u_{0}, v_{0}, u_{0}^{-1}]/(v_{0}^{q-1})}{\operatorname{im} \mathbb{C}[u_{0}, u_{0}v_{0}, u_{0}^{r}v_{0}^{r+1}] + \operatorname{im} \frac{1}{u_{0}^{2}}\mathbb{C}[u_{0}^{-1}, u_{0}v_{0}, u_{0}^{t+2}v_{0}^{t+1}]}$$

where the singularity $p = p_0$ is of type A_r and the other singularity p_1 in the image of H is of type A_t .

We have $h := u_0^{s-1} v_0^s = z_3^s/z_2$. For the **3-A**_n-case we have $x = z_1 z_3$, we have $x = z_1$ in the **2-AR**_n^q case and $x = z_1 + z_3^q$ in **2-AL**_n^q case. In the two latter cases we can define $D \in \text{Der}(P, B')$ (since we must have $1/z_2 \in B'$) by $D(z_1) = z_3^s/z_2$, $D(z_2) = D(z_3) = 0$, so $D(x) = z_3^s/z_2$ and $D(g) = D(z_1)z_2 = z_3^s$. Define $\psi_0 \in \text{Hom}_P((g, x), B/(x))$ by $\psi_0(g) = -z_3^s$ and $\psi_0(x) = 0$. Restricted to $V_0 \cap V_1$ we have that the class of ψ_0 equals the class of ϕ_h . This shows that ϕ_h is in the image of $H^0(V_0, \mathcal{T}_C^1(C))$ and that $\text{coker } \alpha_i = 0$. Similarly, for the **3-A**_n-case we define $D \in \text{Der}(P, B')$ by $D(z_1) = z_3^{s-1}/z_2$, $D(z_2) = D(z_3) = 0$ ($s \ge 1$). This gives $D(x) = z_3D(z_1) = z_3^s/z_2$ and $D(g) = z_3^{s-1}$. This shows that $u_0^{s-1}v_0^s \mapsto 0$ in $H^1(\widehat{U}_{RDP,i}, \mathcal{T}_C^1(C))$ for $s \ge 1$. On the other hand if $[\psi_0] \in H^0(V_0 \cap V_1, \mathcal{T}_C^1(C)) \cong \mathbb{C}[u_0, v_0, u_0^{-1}]/(v_0^{q-1})$ is in the image of $\oplus H^0(V_i, \mathcal{T}_C^1(C))$ one finds that it cannot contain the term $1/u_0$. Thus $1/u_0$ cannot map to zero, and we have that coker $\alpha_i = \mathbb{C}$.

D_n-case: We will consider the cases 2-D_{2k} and 1-D^{II}_{2k+1}. The cases 2-D_{2k+1} and 1-D^{II}_{2k} are similar and will be left to the reader. For the case 1-D^I_k, see next paragraph. The divisor *H* is given by $v_0 = -1$ and the image of *H* in \hat{X} contains only one singular point *p* which will be of type A_{2k-1} in the case 2-D_{2k} and of type A_{2k} in the case 1-D^{II}_{2k+1}. We may assume that φ_0 is given by $z_1 = u_0^2 v_0, z_2 = u_0^{2k-2} v_0^{2k-1}$ in the 2-D_{2k} case and $z_2 = u_0^{2k-1} v_0^{2k}$ in the 1-D^{II}_{2k+1}, and $z_3 = u_0 v_0$. The singularity *p* is given by $g = z_1 z_2 - z_3^{2k}$ and $g = z_1 z_2 - z_3^{2k+1}$ respectively. The (reduced) inverse image of *p* in U_0 is given by $u_0 v_0$. We may assume that *x* (which defines *C*) is given by

$$x = \begin{cases} u_0^{2k-1} v_0^k (v_0+1)^k = z_2 z_3 + \sum_{j=0}^{k-1} {k \choose j} z_1^{k-j-1} z_3^{2j+1} & \text{for} \quad \mathbf{2} - \mathbf{D}_{2\mathbf{k}} \\ u_0^{2k-1} v_0^k (v_0+1)^k = z_2 + \sum_{j=0}^{k-1} {k \choose j} z_1^{k-j-1} z_3^{2j+1} & \text{for} \quad \mathbf{1} - \mathbf{D}_{2\mathbf{k}+1}^{\mathbf{II}} \end{cases}$$

Let

$$H_t := \frac{\mathbb{C}[u_0, v_0, u_0^{-1}] / ((v_0 + 1)^t)}{\operatorname{im} \mathbb{C}[u_0^2 v_0, u_0 v_0, u_0^r v_0^{r+1}] + \operatorname{im} \frac{1}{u_0^2} \mathbb{C}[u_0^{-1}, u_0^2 (v_0 + 1)]}$$

where r = 2k or 2k + 1 for **2-D**_{2k} and **1-D**^{II}_{2k+1} respectively. We claim that $u_0^{s-1}v_0^s$, $s \ge 0$, generates $H^1(\widetilde{U}_{RDP,i}, \mathcal{O}_{Z-E}(2Z)) \cong H_{k-1}$. This can be seen for instance by proving that the class of the element $(v_0 + 1)^t u_0^{2t-1}$ equals the class of $u_0^{2t-1}v_0^{2t}$

in H_{t+1} and that this element is nonzero and generates the kernel of $H_{t+1} \rightarrow H_t$. The claim follows by induction. We have $u_0^{s-1}v_0^s = z_3^{s+1}/z_1$. Since $1/v_0 \in \mathbb{C}[u_0, v_0, u_0^{-1}]/((v_0 + 1)^{t+1})$, we will have $1/z_1 \in B'/(x)$, so in the **2-D**_{2k}-case we may define $D \in \text{Der}(P, B')$ by $D(z_2) = z_3^s/z_1$, $D(z_1) = D(z_3) = 0$. This gives $D(x) = z_3^{s+1}/z_1$ and $D(g) = z_3^s$. In the **1-D**^{II}_{2k+1}-case we define D by $D(z_2) = z_3^{s+1}/z_1$, $D(z_1) = D(z_3) = 0$, and we get $D(x) = D(z_2) = z_3^{s+1}/z_1$ and $D(g) = z_3^{s+1}$. We thus get coker $\alpha_i = 0$ in both cases.

Remaining cases: The remaining cases are 1-configurations, and may be checked in similar fashion. However, these cases also follow from [7], so they are omitted. \Box

We may now prove the main theorem stated in the introduction. In fact, we state and prove a slightly more general version:

Theorem 4.3. Let X be a rational surface singularity with embedding dimension $e \ge 4$ and with almost reduced fundamental cycle. Then c(X) is less or equal to the number of $\mathbf{3}$ - $\mathbf{A}_{\mathbf{n}}$ -configurations in the dual graph and greater or equal to the number of $\mathbf{3}$ - $\mathbf{A}_{\mathbf{n}}$ -configurations with the property that the adjacent non -2-curves intersects Z negatively.

Proof. The possible dual graphs for X are classified in proposition 3.2. From lemma 4.2 there is a surjection $\mathbb{C}^s \to H^1(\mathfrak{m}\mathfrak{F}^1)$ where s is the number **3-A**_n-configurations in the dual graph. It follows from the proof of lemma 4.2 that the map is injective when we restrict to the copies of \mathbb{C} corresponding to RDP-configurations which blow down to RDPs. If the fundamental cycle Z intersects the three non -2-curves adjacent to a **3-A**_n-configuration negatively, this will be the case.

Corollary 4.4. Let X be a rational determinantal or quasi-determinantal surface singularity with embedding dimension $e \ge 4$. Then c(X) = 0.

Remark 4.5. Theorem 4.3, generalizes and *corrects* Theorem 2.6.3 of [10] where some 2-configurations mistakenly are computed to contribute positively to c(X).

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