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1 **Comparisons of soil suction induced by evapotranspiration and transpiration**
2 **of *S. heptaphylla***

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26 **Comparisons of soil suction induced by evapotranspiration and transpiration**
27 **of *S. heptaphylla***

28
29 **ABSTRACT**

30 For a given evapotranspiration (ET_r), both soil evaporation and plant transpiration (Tr) would
31 induce soil suction. However, the relative contribution of these two processes to the amount of
32 suction induced is not clear. The objective of this study is to quantify ET_r- and
33 transpiration-induced suction by a selected tree species, *Schefflera heptaphylla*, in silty sand.
34 The relative contribution of transpiration and evaporation to the responses of suction is then
35 explored based on observed differences in transpiration- and ET_r-induced suction. In total, 12
36 test boxes were used for testing, 10 for vegetated soil with different values of Leaf area index
37 (LAI) and Root Area Index (RAI), while two were for bare soil as references. Each box was
38 exposed under an identical atmospheric condition controlled in a plant room for monitoring
39 suction responses over a week. Due to the additional effects of soil evaporation, ET-induced
40 suction could be 3% – 47% higher than transpiration-induced suction, depending on LAI. The
41 significance of evaporation reduced substantially when LAI was higher, as relatively less radiant
42 energy fell on the soil surface for evaporation. For a given LAI, the effects of evaporation were
43 less significant at deeper depths within the root zone. The effects of RAI associated with
44 root-water uptake upon transpiration were the dominant process of ET_r affecting the suction
45 responses.

46
47 **Keywords:** Suction, Evapotranspiration, Transpiration, Evaporation, Root Area Index, Leaf Area
48 Index

49 INTRODUCTION

50 Evapotranspiration (ETr) is a natural process of the sum of evaporation from soil surface and
51 plant transpiration (Tr) through root-water uptake. The associated changes in soil moisture and
52 soil suction have important implications to the performance of geotechnical infrastructure
53 (Hemmati et al. 2012). This includes water storage capacity and water balance in vegetated
54 landfill covers (Rianna et al. 2014), as well as differential settlement of road/rail embankments
55 induced by plant root-water uptake in the vicinity (Fatahi et al. 2010). It should be noted that
56 suction has been generally recognised to be one of the stress-state variables that governs the
57 behaviour of unsaturated soils (Coleman 1962). It is thus vital to understand the response of soil
58 suction when evaluating engineering behaviour of vegetated soils.

59 Some studies have been conducted to quantify the partitioning of plant transpiration and soil
60 evaporation for a given ETr (Ritchie 1972; Tratch et al. 1995). Based on the measurements of
61 transpiration, evaporation and ETr, several semi-empirical equations were proposed (Ritchie
62 1972; Tratch et al. 1995) to partition ETr into these two components through some plant
63 properties such as Leaf Area Index (LAI; a dimensionless index defining the ratio of total
64 one-sided green leaf area to projected area of an individual plant on the soil surface in plan).
65 However, the addition rule may not apply to partition ETr-induced suction into those induced by
66 each individual process of evaporation and transpiration. This is because both the processes are
67 non-linear and are a direct function of suction (Wilson et al. 1994; Feddes et al. 1978; Cui et al.
68 2013). Also, in addition to LAI, Root area index (RAI; a dimensionless index normalising total
69 root surface area for a given root depth by plan cross-section area of soil) is known to influence
70 soil moisture/suction profiles (López et al. 2001; Zhu and Zhang, 2015). RAI signifies the ability
71 of water uptake by fine roots within the root zone. Compared with other ratios such as Root
72 Length Density (RLD; root length of unit soil volume; Hamblin and Tennant 1987), RAI is

73 considered to be a simplified index. López et al. (2001) correlated RAI and RLD of *Quercus ilex*
74 with soil moisture, and the comparisons suggested that RAI was a more relevant parameter to
75 reflect root-water uptake. A recent study reported by Zhu and Zhang (2015) has also shown that
76 the distribution of RAI within a root zone has direct and significant influence on the magnitude
77 and distribution of induced suction. It should be noted that both LAI and RAI are plant properties,
78 which could be affected by growing conditions.

79 Although there are various studies focusing on the effects of evaporation on induced suction
80 in bare soil (Wilson et al. 1994; Smits et al. 2011; Song et al. 2013), experimental studies are
81 relatively rare for studying the effects of ETr and transpiration on suction induced in vegetated
82 soil. Improved understanding of plant-induced suction would be useful for calibrating some
83 existing partition equations.

84 In this study, a laboratory testing programme was designed and conducted to quantify the
85 magnitude and distribution of suction induced by ETr and transpiration of a selected tree species,
86 *S. heptaphylla*, in silty sand. In addition, the effects of plant parameters (such as RAI and LAI)
87 on transpiration- and ETr-induced suction were also explored. Based on the test results, any
88 observed differences between ETr- and transpiration-induced suction were discussed to explore
89 the relative contribution of evaporation and transpiration to suction, in relation to the plant
90 properties, LAI and RAI.

91

92 **MATERIALS AND METHODS**

93 *Test plan*

94 In this study, two series of tests were conducted. The first test series was intended to measure
95 transpiration and also quantify the associated induced suction in soil vegetated with a selected
96 tree species. A tree species, *S. heptaphylla*, which is commonly found in many parts of Asia (Hau

97 and Corlett 2003) was chosen for investigation. In order to explore any effects of plant variability,
98 suction induced by five tree individuals having a similar age but five different LAIs of 2.3, 2.9,
99 3.9, 4.2 and 4.6 were measured. These five tree individuals were transplanted in test boxes
100 designated as, T1_Tr, T2_Tr, T3_Tr, T4_Tr and T5_Tr, respectively. The aim of the second test
101 series was to measure ETr-induced suction. Another five tree individuals with a similar range of
102 LAIs (test boxes named, T1_ETr T2_ETr, T3_ETr, T4_ETr and T5_ETr) as those tested in the
103 first series were used for testing. The root depth of the ten tree individuals was found to vary
104 between 105 and 130 mm. It was determined that the root growth rate of *Schefflera heptaphylla*
105 at this age was 1–3 mm/week. For the average root depth of 100 mm, this is equivalent to 1% to
106 3% increase in root length. Thus, it is reasonable to assume that any effect of such limited root
107 growth on suction response is negligible. Testing conditions for all 12 test boxes are summarized
108 in Table 1. For comparison, one pot of bare soil with its surface covered (denoted as box B) and
109 one pot uncovered (denoted as box B_E) were also tested.

110

111 *Test box and instrumentation*

112 Figure 1 shows the schematic setup of a vegetated test box. Each box has a cross section area of
113 300 mm x 300 mm and a depth of 350 mm. Soil was compacted in each box and a tree
114 individual was transplanted at the centre. Side boundaries were impermeable while the bottom
115 boundary was subjected to drainage through holes (9 holes) present at the bottom of test box.
116 Top boundary is subjected to a controlled atmospheric condition. Air temperature, radiant
117 energy and relative humidity were maintained at $22.3\pm 1^{\circ}\text{C}$, $7.1\pm 1 \text{ MJ/m}^2/\text{d}$ and $53\pm 7\%$,
118 respectively. Four tensiometers at 30, 80, 140 and 210 mm depths were installed at 10 mm away
119 from the main tree stem as shown in Fig 1(b). Of the four tensiometers, only two were installed
120 within the known root depth. For the given root depth of about 100 mm, installing too many

121 tensiometers would, undesirably, cause severe disturbance to not only the soil but also the root
122 system including its growth. The decision to install the tensiometer at 80 mm depth within the
123 root zone aimed to capture the suction response where peak RAI (discussed later) is generally
124 found in the ten tree individuals. It should be noted that the measurement range of suction is
125 limited by water cavitation in a tensiometer when negative pore-water pressure in soil
126 approaches 80 – 90 kPa (Fredlund and Rahardjo 1993).

127 In order to simulate plant photosynthesis, a white fluorescent lamp that emits a waveband
128 between 400 and 700 nm (known as photosynthetically active radiation) was mounted on the
129 top of each box. Radiant energy ($\text{MJ}/\text{m}^2/\text{d}$) received on soil surface, both within and outside the
130 tree canopy (Fig. 1 (a)), was measured using quantum sensors (LI-COR 1991). Each quantum
131 sensor has a photodiode, which is a semi-conductor device that could convert incident light to
132 voltage. After calibration, the voltage recorded would be related to photon flux density (PPF)
133 ($\mu\text{mol}/\text{m}^2/\text{s}$). Based on the Planck relationship, the PPF can then be converted to radiant energy,
134 depending on the waveband of the source of incident light. It was found that the radiant energy
135 was constant at $7 \pm 1 \text{ MJ}/\text{m}^2/\text{d}$. Each test box was placed on top of a weighing machine (Model
136 number CG-12K, Vibra Ltd.) for monitoring any mass change during testing. The accuracy of
137 the weighing machine is $\pm 1 \text{ g}$. The test conditions for all 12 boxes are summarized in Table 1.

138

139 *Soil properties and preparation of test box*

140 Completely decomposed granite (CDG), which is commonly found in Hong Kong, was selected
141 for testing in this study. Based on measured Atterberg limit and particle-size distribution, CDG is
142 classified as silty sand (SM) according to the Unified Soil Classification System (USCS). Figure
143 2 shows drying soil water retention curve (SWRC) of CDG soil measured using the transient
144 state method described by Ng and Leung (2012). It can be observed that volumetric water

145 content (θ) of CDG soil reduces gradually from its initial saturated value of 35% after reaching
146 an air entry value of about 3 kPa. At suction of around 80 kPa, θ was reduced to around 9%. The
147 volumetric field capacity of CDG was found to be 16% – 19%, which corresponds to the range
148 of suction (ψ_{fc} ; defined as the suction corresponding to measured volumetric field capacity of
149 soil) 15 – 24 kPa. Other index properties of CDG are described in detail in Ng et al. (2013a).

150 In each test box, silty sand was compacted to a depth of 280 mm with a targeted dry density
151 of 1496 kg/m³ (i.e., equivalent to 80% of the maximum dry density) and gravimetric water
152 content of 12% using the under-compaction method (Ladd 1978). It was found that by using this
153 method, a reasonable uniform dry density profile can be obtained and the maximum deviation
154 from the targeted value was less than 2% along the box depth (Ng et al. 2013b).

155

156 *Test procedures*

157 For the first test series, the bare box (B) and the five vegetated boxes T1_Tr, T2_Tr, T3_Tr,
158 T4_Tr and T5_Tr were subjected to a two-stage test. The first stage was to pond each box until (i)
159 suctions at all four depths decreased to 0 kPa and (ii) percolation through the drainage holes at
160 the box base was observed. Distilled water was used. Then, the second stage was to expose each
161 box in the atmospheric controlled plant room. In order to quantify the effects of tree transpiration
162 on suction responses only, the entire bare soil surface of each vegetated box was covered with a
163 laminated plastic sheet to minimise soil evaporation (see Fig. 1). Upon drying process, any mass
164 loss of each vegetated box (i.e., soil moisture transpired) was monitored continuously by the
165 balance every 24 hours. The measured change of water mass (in g/d) was then converted to water
166 volume (in ml/d) through water density (1 g/ml). The error associated with each measurement
167 would be equal to the root-mean-square error, which is 1.41 ml/d. In fact, error of water
168 transpired/evapotranspired (1.41 ml/d) is less than 1.5% of the maximum rates of ETr and Tr (i.e.,

169 108 and 99 ml/d, respectively as mentioned later) in this laboratory study. The error is thus
170 considered to be negligible. This measurement method assumed that the amount of water
171 transpired was constant within each day and that water consumed for tree photosynthesis was
172 ignored. In fact, the volume of water used for photosynthesis is generally less than 2% (Salisbury
173 and Ross 1992). Any transpiration-induced suctions were recorded by the four tensiometers.
174 Each test was stopped when any tensiometer registered a value close to 80 kPa, which is the limit
175 of the measurement range. The drainage holes at the bottom of all these boxes remained open
176 during the monitoring period.

177 Similar test procedures were adopted for the second series. The other bare box B_E and the
178 five boxes (T1_ETr, T2_ETr, T3_ETr, T4_ETr and T5_ETr) where the bare soil surface of each
179 box was exposed (i.e., no surface cover). In this case, suction recorded by each tensiometer in the
180 five vegetated boxes was induced by ETr, while any mass loss of each box was attributed to the
181 loss of soil moisture transpired by the tree individual and that evaporated from bare surface.

182 After testing, RAI distribution along depth of each vegetated test box was measured by
183 using an image analysis conducted by an open source program, Image J (Rasband 2011). The
184 root system of each tree individual was removed from the test box. This was achieved by
185 carefully excavating the entire soil-root ball. Then, roots were separated from the surrounding
186 soil using a specially designed root washer (Smucker et al. 1982), and the roots were refrigerated
187 (at 4-6°C) before conducting image analysis using Image J. During an image analysis, the entire
188 branch of roots was clamped and high-resolution images were taken around 360° and then
189 converted to binary image. These images were superimposed to generate a single picture in
190 three-dimensional space, which was then discretized into grids. The area in each grid containing
191 roots was determined in form of pixel size and was converted to length dimension (in mm²) by
192 using a calibration factor. Finally, RAI at any depth within root zone can be determined by

193 normalizing the total surface area of roots in all grids at a given depth by the planar cross
194 sectional area of soil. The RAI is defined as the circular area (in mm^2) with a diameter
195 representing the largest lateral spread of roots in that grid. In this study, RAI was discretized at
196 intervals of 10 mm. The reason to provide such a discretized RAI is to more clearly determine
197 the distribution of root surface area, which is shown to be important for interpreting suction
198 (López et al. 2000; Zhu and Zhang 2015; Leung et al. 2015; Garg et al. 2015; Garg and Ng 2015).
199 It should be noted that the calculation of RAI contains a blanket error of 5% that covers the
200 errors caused during image processing (Rasband 2011; Mikulka et al. 2011), including less
201 accurate (i) detection of boundaries of an object that has complex shape (like the root system in
202 this study) and (ii) calculation of pixel size (i.e., area of roots). This measurement method of RAI
203 may be applicable for other plant species, as long as an intact root system could be retrieved
204 from the soil. For larger vegetation, however, collection of root samples from the field should be
205 executed with great care. This is because any loss of roots might affect the evaluation of RAI
206 during the excavation of root system.

207

208 **INTERPRETATION OF TEST RESULTS**

209 *Observed RAI profiles*

210 Figure 3(a) shows the comparison of the distributions of RAI along depth for the five tree
211 individuals tested in the boxes T1_Tr, T2_Tr, T3_Tr, T4_Tr, and T5_Tr. For box T1_Tr, there is
212 an evident increase in RAI from 0.14 to 0.55 at depths ranging from 70 to 80 mm. On the
213 contrary, a substantial decrease of RAI is observed below this particular depth range. For the tree
214 individuals tested in boxes T1_Tr, T2_Tr and T3_Tr, it can be seen that the RAI profiles are rather
215 similar to each other, given the constant blanket error of 5%. However, the magnitude of RAI of
216 the other two tree individuals in boxes T4_Tr and T5_Tr are remarkably larger at all depths. It is

217 found that their peak RAIs range from 0.9 to 1.1, which is 63% higher than the peak value
218 (0.45 – 0.55) found in the boxes T1_Tr, T2_Tr and T3_Tr. This is likely because the tree
219 individuals in boxes T4_Tr and T5_Tr have much higher LAIs (4.2 and 4.6, respectively), which
220 allowed more radiant energy interception for photosynthesis and thus led to better root growth
221 (Ross 1975; Strebeyko 2000).

222 For the measurements made from the other five boxes tested in the second series (T1_ETr,
223 T2_ETr, T3_ETr, T4_ETr and T5_ETr), the shape of RAI profiles is found to be largely similar
224 (see Fig. 3(b)). However, it can be generally seen that for the same given LAI, the magnitude of
225 RAI of tree individuals subjected to ETr in the second test series was 5% to 15% higher than that
226 to only transpiration in the first series (see also Fig. 3(a)) because of the natural variability of the
227 tree species.

228

229 *Observed relationships between induced suction and the rates of transpiration and ETr*

230 Figure 4(a) compares the measured suction at 80 mm depth between the bare box B and the four
231 vegetated boxes T1_Tr, T1_ETr, T5_Tr and T5_ETr. Measured rates of transpiration and ETr
232 from each vegetated box are shown in Fig. 4(b). For the bare soil that was covered with plastic
233 sheet (box B), suction is found to remain almost constant at 3 kPa throughout the test (Fig. 4(a)),
234 as expected. In contrast, suctions recorded in all vegetated boxes showed substantial increases.
235 For the box T1_Tr, the suction increased gradually from 2 to 21 kPa, while the corresponding
236 transpiration rate remained almost unchanged at about 45 ml/d (Fig. 4(b)). This suggests that
237 within the testing period, the suction had not yet reached the expected range of ψ_{fc} . This means
238 that the induced suction was not the result of water stress (refers to the phenomenon when
239 capillary action in soil is significant to retain water and hence to suppress root-water uptake by
240 plants; Feddes et al. 1978; van Genuchten 1987). For the tree individual having a similar LAI of

241 2.3 but subjected to ETr (i.e., box T1_ETr), suction also developed, but the magnitude (21 kPa)
242 at the end of the test was 47% higher due to the additional effects of evaporation. Because of
243 such soil evaporation, the measured ETr rate (56 ml/d; Fig. 4(b)) was higher than the
244 transpiration rate.

245 For the tree individuals that had a higher LAI of 4.6 (i.e., boxes T5_Tr and T5_ETr), the
246 measured increases in suction were much more significant than the cases with the lower LAI. At
247 the end of each test, the peak suctions induced by transpiration (56 kPa) and ETr (61 kPa) were
248 166% and 96% higher, respectively (Fig. 4 (a)). This is because a tree individual having a higher
249 LAI generally has larger leaf surface area for more radiant energy interception, and hence greater
250 root-water uptake, to take place. It should be noted that based on Penman equation (Penman
251 1948), the amount of evaporation of a vegetated soil is governed by not only RH gradient, but
252 also the amount of radiation received at the soil surface. Since the RH was maintained constant
253 in the plant room in our study, the factor that controlled the amount of evaporation would thus be
254 the percentage of radiant energy being intercepted by tree leaves, which is a function of LAI.

255 As suction developed in the box T5_Tr, the measured rate of transpiration remained
256 constant (i.e., 99 ml/d) in the first four days, but it then decreased substantially thereafter (Fig.
257 4(b)). Similar trends were observed for the box T5_ETr, although the magnitude of induced
258 suction (Fig. 4(a)) and ETr rate (Fig. 4(b)) were noticeably higher due to the additional effects of
259 soil evaporation. It can be identified that the values of suction corresponding to the onset of the
260 reduction of transpiration rate (i.e., ψ_{fc}) was about 32 kPa. This is, however, at least 33% higher
261 than that of the bare soil (i.e., 15 – 24 kPa).

262

263 *Relative contribution of transpiration and evaporation to induced suction*

264 Figure 5 compares the measured vertical distributions of suction induced by the vegetated boxes

265 T1_Tr and T1_ETr and the two bare boxes B and B_E after one week of testing. It can be seen
266 that the initial suction distributions of all four boxes were fairly close. The observed small
267 difference was apparent as it was within the measurement error of a tensiometer (± 1 kPa). After
268 drying for one week, there were marginal increases in suction in the bare box B. Although the
269 bare soil surface was covered to prevent evaporation, the observed response was the consequence
270 of suction redistribution. It can be seen that the measured distribution of suction in the bare soil
271 was fairly uniform, indicating a unit-gradient downward flow. In contrast, the peak suction
272 induced by surface evaporation at 30 mm depth in another bare box B_E (16 kPa) was more than
273 four times higher than that in the box B (~4 kPa). The suction induced in shallower depths in box
274 B_E was higher than those in deeper depths because the hydraulic gradient established at the
275 soil-atmosphere interface during surface evaporation was relatively higher.

276 For both the vegetated boxes, the measured suction increases were found to be much greater
277 than the two bare boxes because of the additional effects of tree transpiration and ETr. As
278 revealed in Fig. 4 (b), about 324 and 388 ml of the volume of soil moisture were transpired and
279 evapotranspired in boxes T1_Tr and T1_ETr in one week, respectively. This is equivalent to the
280 losses of 4.6% and 6% of average θ , according to the SWRC shown in Fig. 2. When comparing
281 the two vegetated soil, suctions induced in the box T1_ETr at 30 mm (28 kPa) and 80 mm (31
282 kPa) depth were 52% and 47% higher than those recorded in box T1_Tr, respectively. The
283 observed difference is attributed to the additional loss of soil moisture due to evaporation (i.e.,
284 the difference between total water evapo-transpired and transpired is 64 ml as depicted in Fig. 4
285 (b); equivalent to the loss of 1.4% of average θ). However, it should be pointed out that the
286 observed difference of suction between these two boxes could also be partially due to the
287 different values of RAI. Within the root zone, it can be seen in Fig. 5 that the
288 transpiration-induced suctions in box T1_Tr at 30 and 80 mm depths were fairly uniform at about

289 20 kPa, probably because RAI at these two depths were comparable for this particular tree
290 individual (Fig. 3(a)). On the contrary, the ETr-induced suction at 80 mm depth in box T1_ETr
291 (31 kPa) was higher than that at 30 mm depth by 11%. This may be because of higher RAI (by
292 66%) at 80 mm depth than at 30 mm depth for this tree individual (T1_ETr) (see Fig. 3(b)).
293 Below the root zone where RAI was zero, substantial amount of suction was induced in test
294 boxes subjected to both transpiration and ETr. This means that the presence of plant roots did not
295 only lead to the re-distribution of suction in the root zone, but also at some depths below after
296 subjected to one week of drying.

297 Moreover, it is interesting to observe that the shape of the induced suction profile of the
298 vegetated box T1_ETr was distinctively different from that of the bare box B_E. While the peak
299 suction occurred at 30 mm depth in the bare box due to surface evaporation, the peak value is
300 identified at the deeper depth of 80 mm depth in T1_ETr. This suggests that as compared to
301 evaporation, it was likely that transpiration was a more dominant process in the vegetated box
302 T1_ETr as the responses of suction are found to be more dependent upon the magnitude of RAI.

303

304 **DISCUSSION**

305 In order to explore any effects of variability of the selected tree species on suction responses, the
306 peak suctions induced by the ten tree individuals at 30 and 80 mm depth are related with LAI in
307 Fig. 6(a). It is evident that suctions at 30 mm depth induced by either Tr or ETr increased with an
308 increasing LAI due to the increasing radiant energy interception. It can be seen that in general,
309 the difference between ETr- and transpiration-induced suction at both depths reduced with an
310 increase in LAI. As LAI increased from 2.3 to 4.6, the percentage of radiant energy interception
311 increased from $15\pm 4\%$ to $54\pm 6\%$ for transpiration, while simultaneously the remaining radiant
312 energy fallen on the soil surface for evaporation reduced from 85% to 46%. However, it should

313 be noted that the reduced evaporation due to increase in LAI might be more evident in the
314 laboratory pot experiments than in the field. Rey et al. (2008) showed that intercepted energy for
315 a species that has similar canopy architecture to those tested in this study can be 5% to 10%
316 higher in the field than in laboratory pots, depending on LAI. This is because larger surface area
317 of soil beneath canopy is exposed to diffuse radiation in field than in test pots of limited size.

318 It can be stated from current laboratory study that the effects of evaporation became
319 insignificant when LAI reached certain critical values, even though the amount of radiant energy
320 fallen on the soil surface for evaporation was as high as 46% of the applied radiant energy for the
321 case with the highest LAI of 4.6. Any such critical LAI, however, is found to be not the same at
322 the depths of 30 mm (> 4.6) and 80 mm (3.9). Such inconsistency suggests that LAI alone is not
323 sufficient to explain the different suction responses observed at these two depths.

324 When relating with RAI (Fig. 6(b)), the induced suction showed also an increasing trend
325 with this tree property. This is because a higher RAI means having a greater root surface area for
326 tree root-water uptake. For the given range of RAI, suction induced by ETr at 30 mm depth is
327 found to be always higher than that by transpiration, whereas there was no discernible difference
328 between ETr- and transpiration-induced suction at 80 mm depth. This highlights that the effects
329 of evaporation at the shallower depth of 30 mm were more significant. This is because soil
330 evaporation is associated with relative humidity gradient across the soil-atmosphere interface,
331 and its effect on suction is thus anticipated to be greater in shallower depths. On the contrary, the
332 effects of evaporation appeared not to be significant enough to affect the suction response at 80
333 mm depth, as compared to the effects of RAI associated with root-water uptake.

334

335 **SUMMARY AND CONCLUSIONS**

336 This study interprets a set of laboratory test data containing results from 12 test boxes. These

337 tests aimed to quantify transpiration, evaporation and evapotranspiration and their effects on
338 suction induced in silty sand vegetated with *S. heptaphylla*. The measured induced suctions were
339 interpreted in relation to the percentage of radiant energy intercepted by tree leaves, as well as
340 some key tree properties including LAI and RAI. The relative contribution of transpiration,
341 evaporation and evapotranspiration to the magnitude and distribution of suction were discussed.

342 As compared to the suction induced by evaporation in bare soil (4 kPa), the ETr- and
343 transpiration-induced suction is found to be 312% and 250% higher after one week of monitoring,
344 depending on LAI and RAI of tree individuals. It is revealed that as LAI increased from 2.3 to
345 4.6, the magnitude of both ETr- and transpiration-induced suction increased significantly because
346 of the increasing percentage of radiant energy interception by tree leaves from 15% to 54%. It is
347 evident that the contribution of evaporation to the magnitude of suction reduced substantially
348 when tree individual has a higher LAI. This is because as LAI increases, the radiant energy fallen
349 on the bare surface for evaporation decreased simultaneously, even though the percentage of
350 energy interception was as high as 46% for the case of the highest LAI of 4.6.

351 The test dataset also showed that the presence of tree and its ETr have significant effects on
352 the distribution of suction with depth, especially within the tree root zone. The peak induced
353 suction by ETr did not occur at shallower depth of 30 mm (as observed from the bare soil when
354 subjected to evaporation), but at a much deeper depth of 80 mm where the maximum RAI was
355 found. For a given range of RAI (0.3 – 1.1) investigated in this study, suctions induced by ETr at
356 30 mm depth were higher than that by transpiration, but there was little difference between them
357 at 80 mm depth. This suggests that although the effect of evaporation did influence the suction
358 induced by ETr at relatively shallower depth of 30 mm. However, given the short period of
359 measurement, it was not significant enough to affect the response at 80 mm depth.

360 This laboratory study has demonstrated the importance of considering both LAI and RAI to
361 determine the contribution of transpiration and ETr to induced suction. This has not been
362 adequately captured by existing simplified equations, which generally overlook the effects of
363 RAI that would affect suction directly. The test data presented in this paper can be used to
364 calibrate these equations for better prediction of suction magnitude due to the partitioning of
365 transpiration and ETr for a given LAI and RAI in the future.

366

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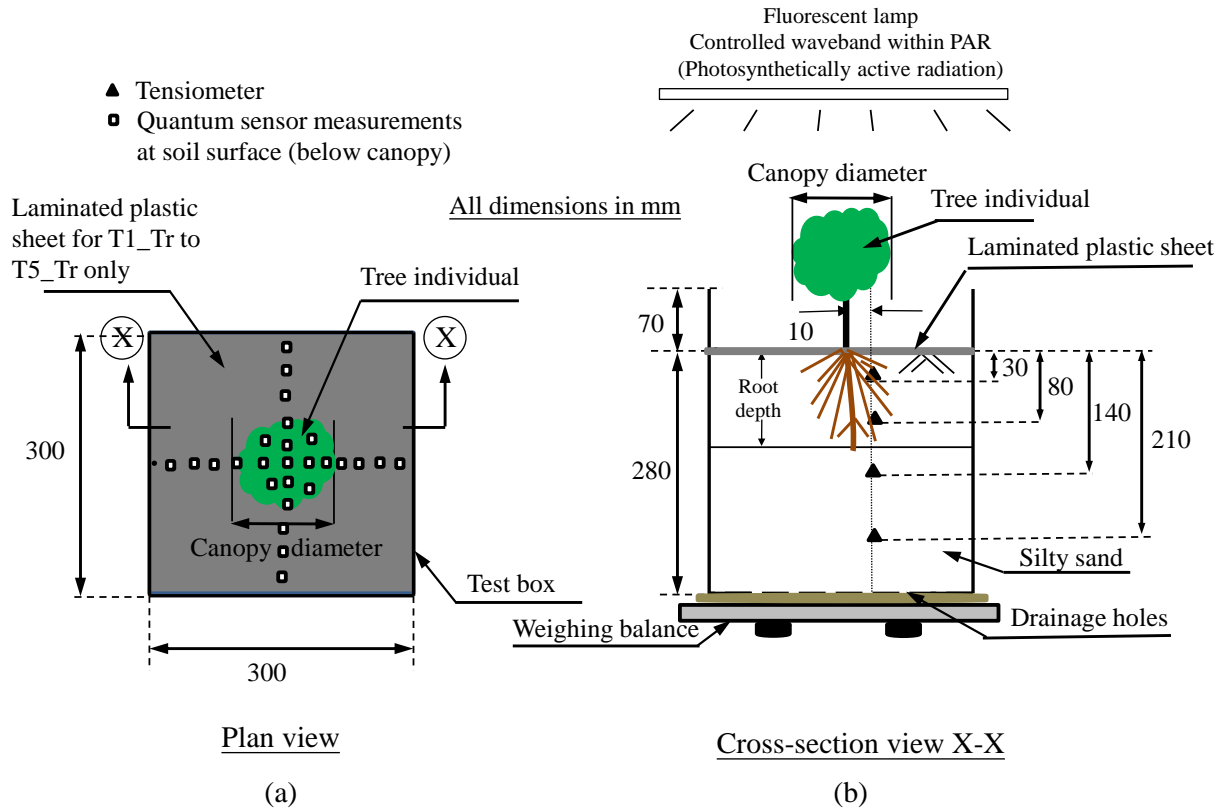
477 **Table 1.** A summary of test programme

Test box ID	Boundary condition				LAI	Tree characteristic	
	Top		Bottom			Peak RAI	Root depth (mm)
	E	Tr	ETr	Drainage			
B	-	-	-	√	N/A	N/A	N/A
B_E	√	-	-	√			
T1_Tr	-	√	-	√	2.3	0.45	95
T2_Tr	-	√	-	√	2.9	0.50	90
T3_Tr	-	√	-	√	3.9	0.55	100
T4_Tr	-	√	-	√	4.2	0.90	130
T5_Tr	-	√	-	√	4.6	1.10	120
T1_ETr	-	-	√	√	2.2	0.48	100
T2_ETr	-	-	√	√	3.0	0.58	90
T3_ETr	-	-	√	√	3.9	0.65	115
T4_ETr	-	-	√	√	4.1	1.00	120
T5_ETr	-	-	√	√	4.6	1.10	125

478 Note: *E* denotes Evaporation; *Tr* denotes Transpiration; *ETr* denotes Evapotranspiration; *LAI* denotes Leaf Area

479 Index; and *RAI* denotes Root Area Index;

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 482 **Fig. 1.** Typical schematic setup and instrumentation of a tree vegetated test box subjected to
 483 transpiration in (a) plan view and (b) cross-section view X-X.
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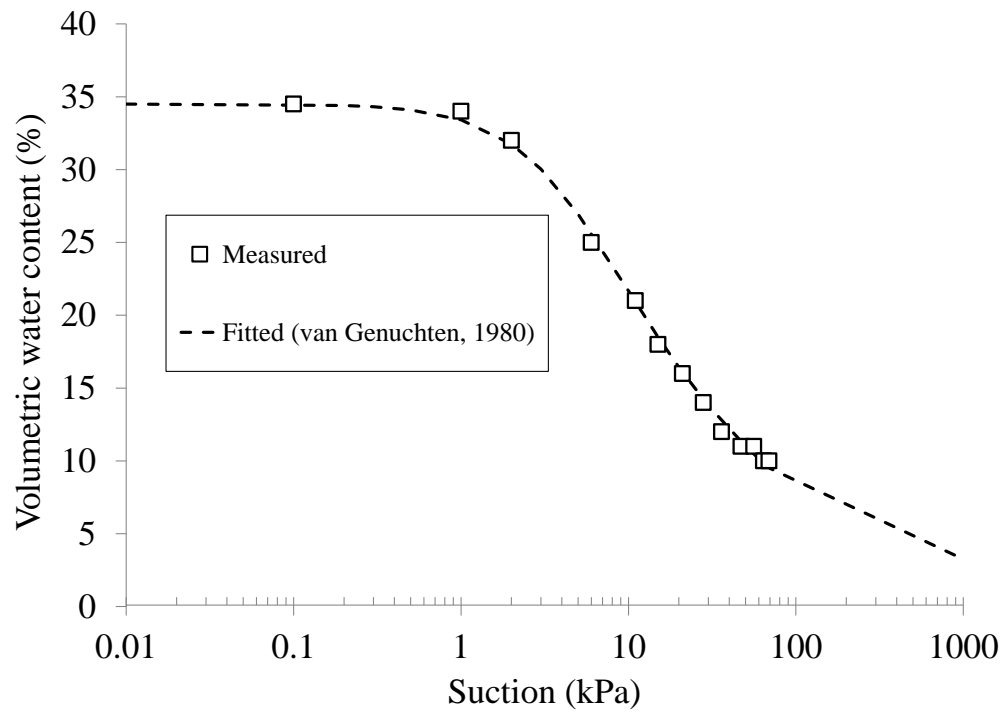


Fig 2. Measured and fitted drying soil water retention curve of CDG soil

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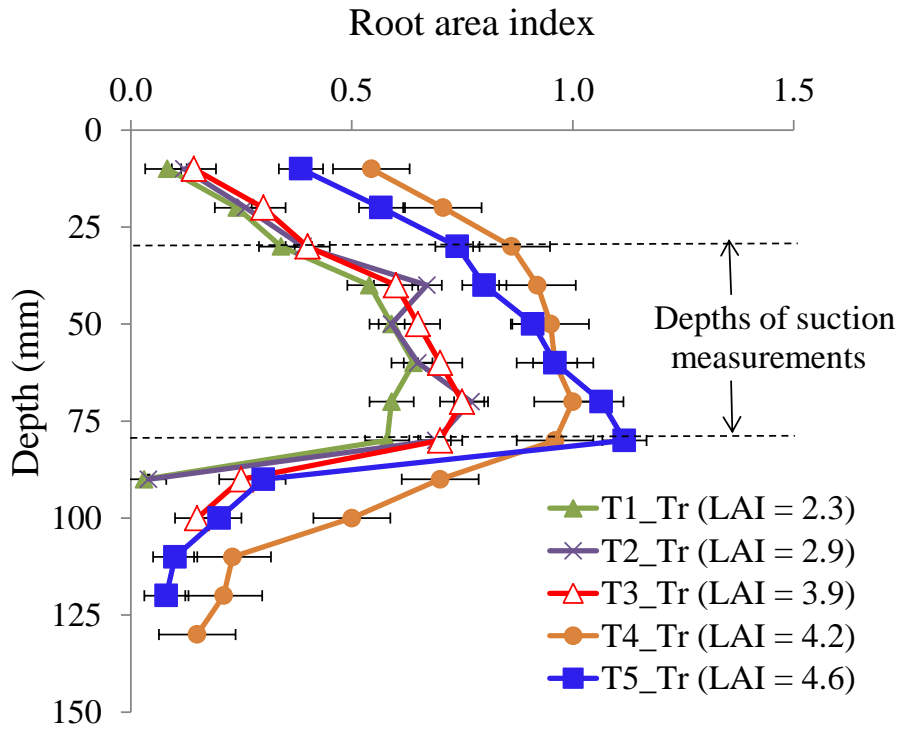
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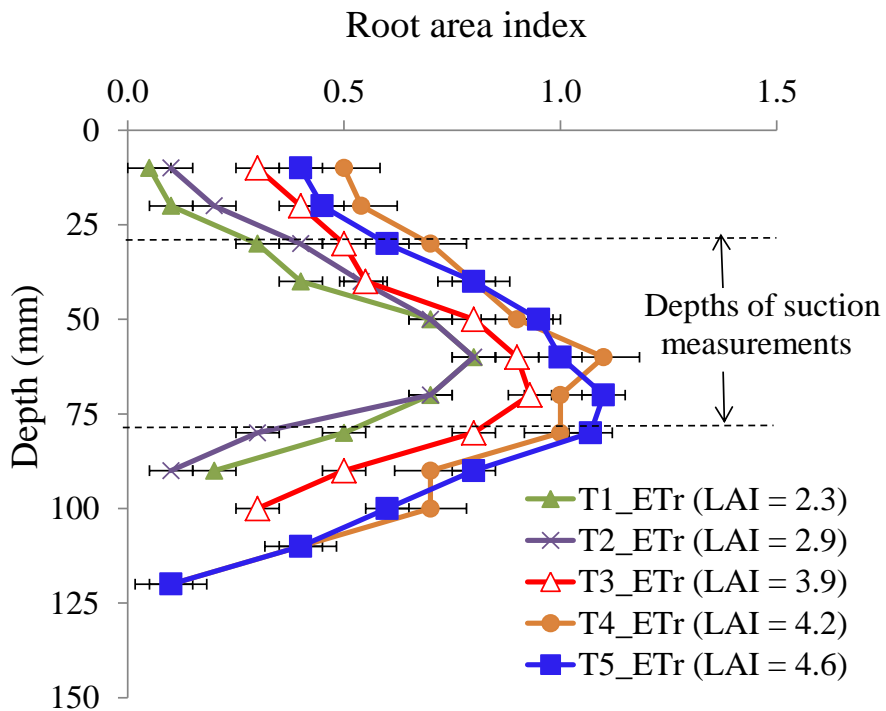
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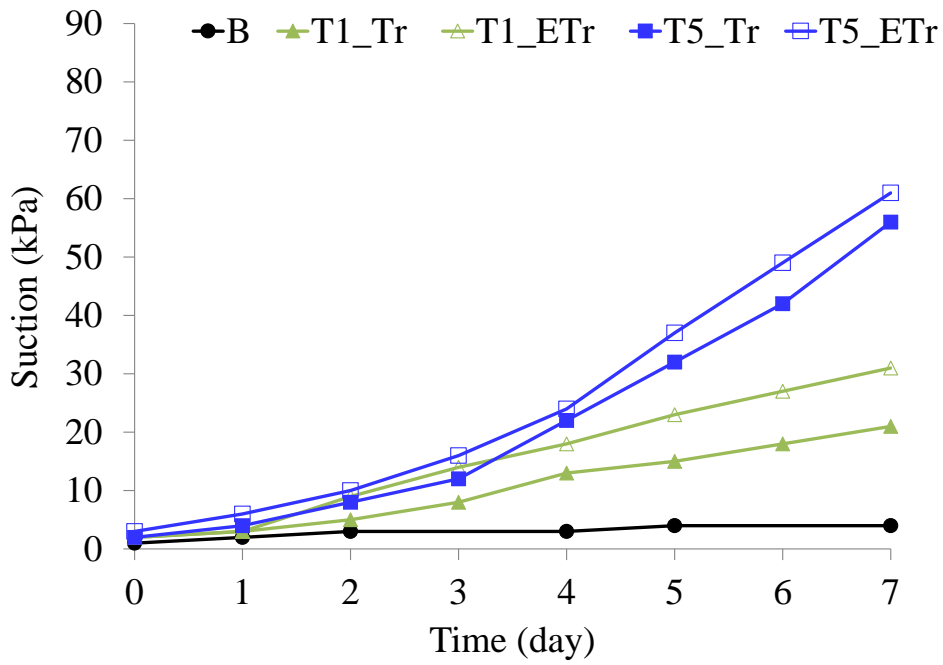


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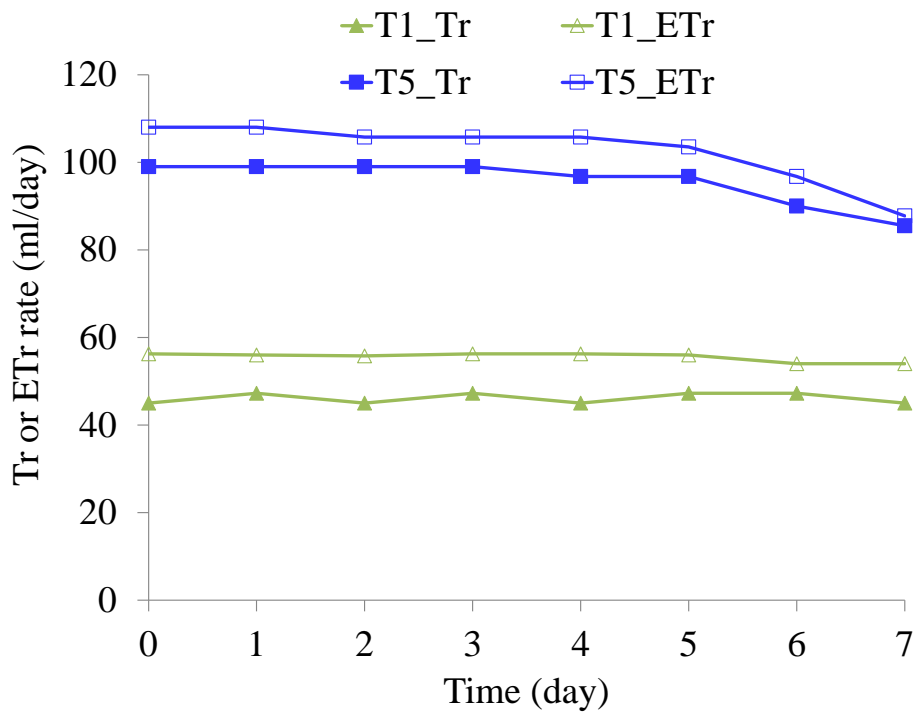
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509 test series, and (b) in the second series



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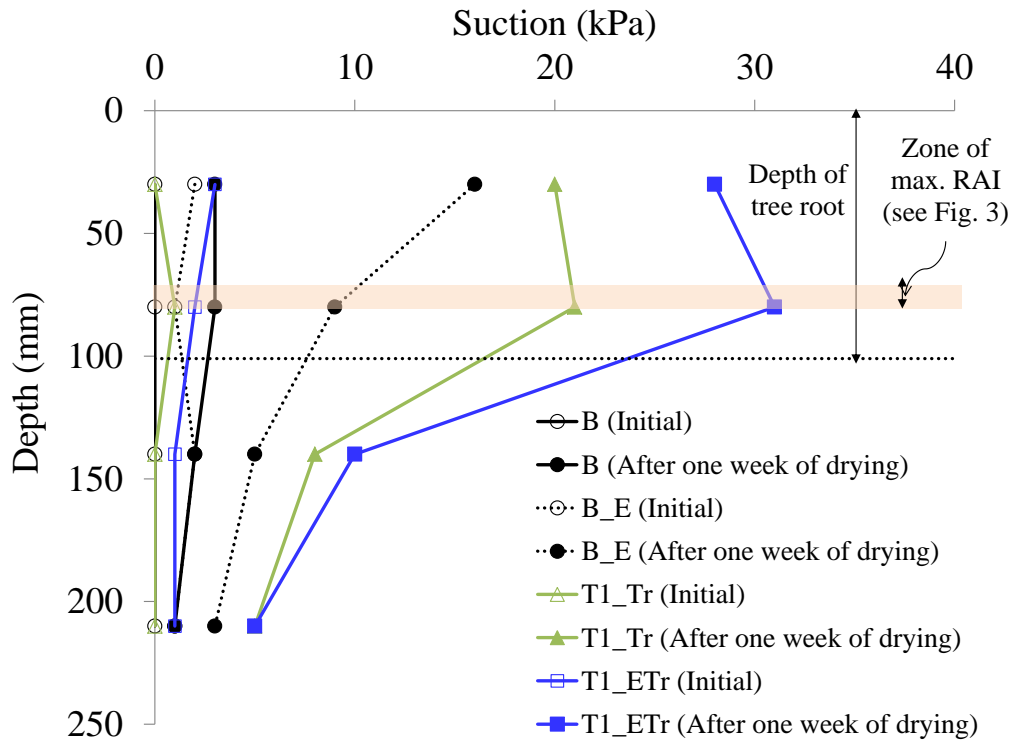
(a)



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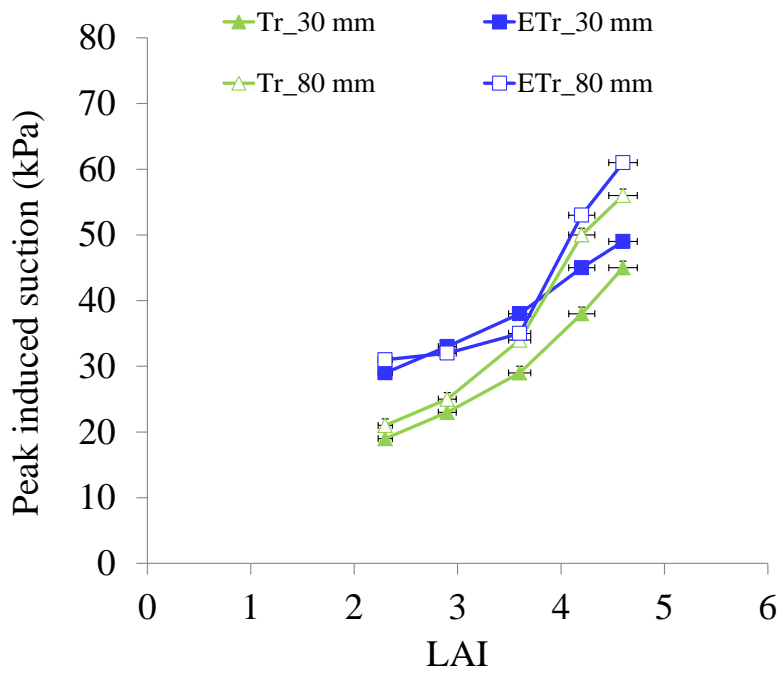
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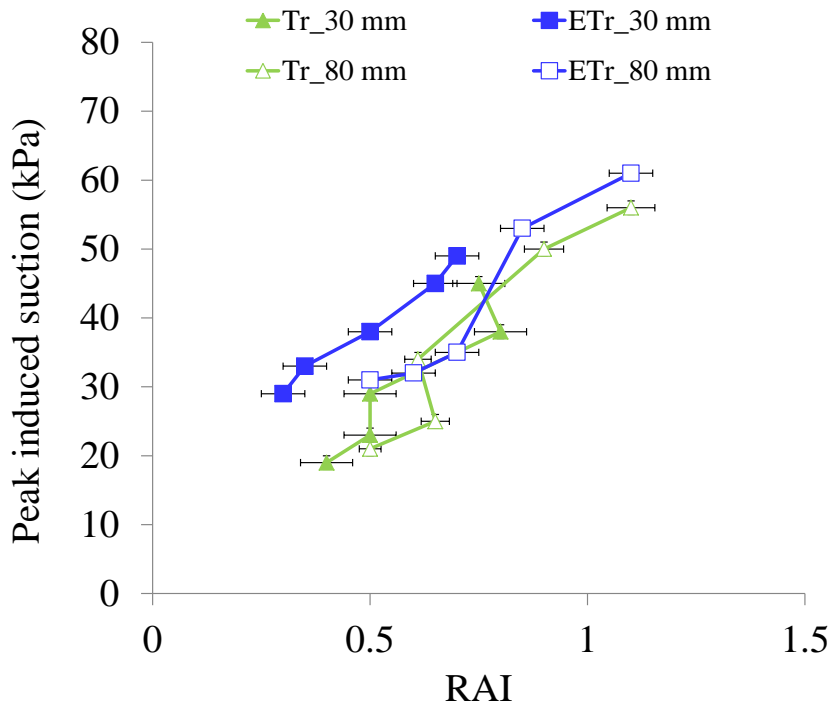
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Fig. 5. Comparison of vertical distributions of suction after one week of drying between bare and vegetated soil



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(a)



528
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(b)

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