1	'Add, stir and reduce': The Yersiniae as model bacteria for the evolution of
2	mammalian pathogens
3	
4	Alan McNally ^{1*} , Nicholas R Thomson ^{2,3} , Sandra Reuter ^{3,4} & Brendan W Wren ²
5	
6	
7	¹ Pathogen Research Group, Nottingham Trent University, Clifton Lane,
8	Nottingham NG11 8NS
9	² Department of Pathogen Molecular Biology, London School of Hygiene and
10	Tropical Medicine, Keppel Street, London, WC1E 7HT
11	³ Pathogen Genomics, Wellcome Trust Sanger Institute, Hinxton, Cambs CB10 1SA
12	⁴ Department of Medicine, University of Cambridge, Box 157 Addenbrooke's
13	Hospital, Hills Road, Cambridge CB2 0QQ
14 15	Key Points:
16	• The evolution of mammalian pathogenesis in the <i>Yersinia</i> genus has
17	occurred in a parallel fashion via a balanced mixture of gene gain and gene loss
18	events
19	Only by sequencing pathogenic and non pathogenic representatives
20	positioned across an entire bacterial genus can such observations be made
21	• The parallel nature of the evolution of pathogenesis extends beyond
22	Yersinia and into other enteric pathogens, notably the salmonellae
23	• Gene loss events lead to niche restriction due to a reduction in metabolic
24	flexibility, often seen in the more acutely pathogenic members of a lineage

- 1 Potential negative impacts of gene gain events are offset by the
- 2 transcriptional silencing or fine control of these acquired elements by ancestral
- 3 regulons such as RovA and H-NS.

1 Abstract

2 In the study of molecular microbiology and bacterial genetics, pathogenic species 3 of the *Yersinia* genus have been pillars for research aimed at understanding how 4 bacteria evolve into mammalian pathogens. The advent of large-scale population genomic studies has hugely accelerated progress in this field, and the pathogenic 5 6 Yersinia species have re-emerged as model organisms to help shape our understanding of the evolutionary processes involved in pathogenesis. In this 7 review, we highlight how microbial genomic studies of the yersiniae have 8 9 revealed distinct features marking the evolutionary path towards pathogenesis, 10 which are changing our understanding of pathogen evolution. As these features 11 are also found in the genomes of other members of the *Enterobacteriaceae*, they 12 provide a blueprint for the evolution of enteropathogenic bacteria.

1 Introduction

2 The ever-expanding microbial genome sequence dataset, combined with an 3 increased understanding of the pathogenesis and ecology of bacterial pathogens, 4 is illuminating our view of the dynamics that have shaped the evolution of 5 bacterial pathogens. It is now evident that many bacterial pathogens infect 6 humans only incidentally and often produce virulence factors that are active 7 against non-mammalian adversaries as diverse as insects, protozoa, nematodes, 8 predatory bacteria and bacteriophage^{1–3}. These hosts provide a considerable 9 driving force in the evolution of bacterial pathogens enabling us to re-evaluate 10 human—pathogen interactions in the light of bacterial ecology and evolution 11 (the eco-evo perspective). A consequence of the eco-evo perspective is that it is 12 not surprising that genes encoding 'virulence factors' are found in pathogens and 13 non-pathogens colonizing diverse mammalian and non-mammalian hosts^{4,5}. 14 Conversely, as bacteria evolve to inhabit new hosts, or new niches within the 15 same hosts, using genomics we can see remnants of genes and gene clusters that 16 are thought to have provided an adaptive advantage in the past, but that are now 17 non-functional and represent evolutionary baggage.

18 Thus, many bacteria seem to be pre-armed with virulence determinants as they 19 traverse new environments and niches, and their evolution is not solely 20 dependent on mammalian/human-pathogen interactions^{6,7}. The versiniae 21 represent a genus for which the eco-evo perspective is highly pertinent and for 22 which all 18 species in the genus have recently been fully sequenced, 23 metabolically phenotyped and compared⁸. The genus includes both non-24 pathogenic and pathogenic species, and a diversity of pathogenesis phenotypes 25 so it represents a key model for understanding the forces at play in the evolution

of pathogenic bacteria. Our current understanding of the *Yersinia* encompasses a long-term view of how broad host range pathogenic species such as *Yersinia enterocolitica* evolve over millions of years from a non-pathogenic ancestor⁹. It also encompasses short term evolution examining how recent evolutionary bottlenecks have led to rapid emergence of high-pathogenic clones from their ancestral lineage such as the emergence of *Yersinia pestis* from *Yersinia pseudotuberculosis*¹⁰.

8 The evolution of pathogenic Yersinia spp. shows remarkable parallels with 9 members of the genus Salmonella, which encompasses the broad host range self-10 limiting diarrheagenic Salmonella enterica subsp. enterica serovar Typhimurium 11 (S. Typhimurium) as well as those that are host restricted and acutely 12 pathogenic, such as *Salmonella enterica* subsp. *enterica* serovar Typhi (S. Typhi). 13 This has also made the pathogenic *Yersinia* species organisms of choice for much 14 of the pioneering work performed understanding the mechanisms of bacterial 15 pathogenesis through classical genetics. Studies using *Y. enterocolitica* have been 16 at the forefront of developing our understanding of how bacteria invade host 17 epithelial cells¹¹. Studies of the pathogenic Yersinia also forged our 18 understanding of the role of plasmids in bacterial virulence^{12,13}, the identification 19 and characterization of Type III secretion systems and their role in host 20 subversion¹⁴. This in turn led to advances in our understanding of how bacteria 21 evade host responses during infection¹⁵⁻¹⁷.

In this Review, we examine how the eco-evo perspective, informed by wholegenome sequencing and by population genomic and ecological studies, has challenged previous hypotheses on the evolution of mammalian pathogenesis in *Yersinia* spp. Furthermore, we consider how these studies are revealing common

principles in the emergence of pathogenesis among other *Enterobacteriaceae*.
Finally, we show how considering all members of a genus in population genomic studies, and not just pathogenic species, can provide greater insight and resolution for understanding of the processes underpinning the evolution of mammalian pathogenesis.

6

7 **The yersiniae**

8 The Yersinia is a genus of Gram-negative bacteria belonging to the 9 *Enterobacteriaceae.* The genus nomenclature is based on classical systematics and biochemical speciation methods, resulting in a highly diverse group of 10 11 bacteria with 18 defined species¹⁸⁻²⁶. The best characterized of these are the 12 three species that cause disease in humans: Y. enterocolitica and Y. 13 *pseudotuberculosis* are zoonotic pathogens that cause self-limiting gastroenteritis 14 in humans, whereas *Y. pestis* is a rodent and flea pathogen that is occasionally 15 transmitted to humans and is the causative agent of plague²⁷. The remaining 15 16 known species of this genus are commonly found in soil and water environments 17 and are generally considered to be non-pathogenic to humans, though Yersinia 18 *ruckeri* is the causative agent of red mouth disease in salmonids²¹ and *Yersinia* 19 *entomophoga* has insecticidal activity²⁴. Recent phylogenomic analysis of the 20 entire Yersinia genus allowed for an accurate, whole genome SNP-based, 21 assessment of its population structure⁸, showing there were 14 distinct species 22 complexes within the genus. This robust sequenced based taxonomy disagreed 23 with the standing taxonomic description of the genus, based largely on 24 biochemical differences. It also showed that the mammalian pathogenic Y. 25 enterocolitica species and Y. pseudotuberculosis species complex (also containing *Y. pestis* and *Y. similis*) fell at opposite ends of the *Yersinia* phylogenic spectrum
 (Box 1) and did not branch together as was previously thought.

3 Early microbial population genetics studies showed that *Y. pestis* is a recently 4 emerged clone of the enteropathogen *Y. pseudotuberculosis*, yet has a markedly 5 different lifestyle and causes a much more acute disease^{28,29}. The evolutionary 6 narrative tells how Y. pestis evolved from the gastrointestinal pathogen, in an 7 evolutionary blink of an eye (estimated to be between 2,000 and 10,000 years 8 ago^{28,30}) through the processes of gene gain, gene loss and genome 9 rearrangements. This finding was further explored with early microbial 10 comparative genomics studies. These revealed that *Y. pestis* had emerged from *Y.* 11 pseudotuberculosis through a mixture of both gene gain events, in the form of 12 acquisition of species-specific plasmids, bacteriophage, integrons and genomic 13 islands, as well as multiple complete or partial gene loss events leading to a 14 reduction in genomic flexibility and metabolic streamlining. All of this is 15 associated with its change in lifestyle and ultimate niche restriction^{10,31-33}. The 16 relationship of *Y. enterocolitica* to the *Y. pseudotuberculosis*—*Y. pestis* complex 17 was until recently poorly defined. In 2006, a comparison of the available 18 genomes from all three species suggested that they shared a distant but common 19 pathogenic ancestor which had acquired key pathogenicity determinants 20 including the essential virulence plasmid pYV, encoding Ysc the prototype type 21 III secretion system (T3SS), before splitting into distinct lineages^{9,27}. However, 22 the availability of just a single *Y. enterocolitica* genome sequence at this time 23 limited the interpretations that could be made. Further genomes were 24 subsequently published for *Y. enterocolitica* in 2011 and 2013³⁴⁻³⁷, as well as 25 singular genomes for type strains of environmental species in 2010³⁸ but our understanding of the evolution of this whole genus and where *Y. enterocolitica*fitted into the evolutionary scale of *Yersinia* species was still limited by the lack
of comprehensive whole genome data. Nonetheless, even with this limited
genomic data, a combination of gene gain and gene loss in the three human
pathogenic *Yersinia* species was evident and highlighted the complexity of
evolution of mammalian pathogenicity in these bacteria, showing that pathogens
can evolve by a process of "add DNA, stir, and reduce"²⁷.

8 The study of the evolution of pathogenesis in the genus also suggested an 9 inexorably distinct relationship between loss of metabolic function by genome decay, reduction in ecological flexibility, and the evolution towards niche-10 11 restricted highly pathogenic lineages²⁷. As population genomic studies have 12 become an established tool in microbiology, the level of resolution at which we 13 can study these evolutionary events has improved considerably. By genome 14 sequencing across entire bacterial genera it is possible to determine the key 15 acquisition and loss events in the evolution of bacterial pathogenesis. It is also 16 possible to shed new light on the evolutionary forces and patterns underpinning 17 these processes, and identify their common features across bacterial genera.

18

19 **The role of gene gain**

The evolutionary path from environmental or commensal bacterium to human pathogen has long been associated with the gain of mobile genetic elements via horizontal gene transfer, through phage transduction, plasmid uptake, natural transformation and DNA conjugation. In the *Yersinia* genus there seems to have been 'foothold moments' characterized by acquisitions that, looking back across the genus phylogeny appear as pivotal steps in the emergence of mammalian

1 pathogenic lineages. The most important of these seems to have been the 2 acquisition of the family of pYV virulence plasmids encoding the Ysc prototype 3 T3SS^{8,12,13,39}. The Ysc T3SS delivers Yop effector proteins directly into host cells 4 upon contact, resulting in the cessation of actin polymerization for endocytosis 5 (which promotes bacterial uptake) and in the suppression of host-cell 6 transcription⁴⁰. Following contact with host macrophages, the activities of Yop 7 effectors silence the innate immune response, resulting in the down-regulation 8 of pro-inflammatory cytokine production and allowing proliferation of the 9 infection⁴¹⁻⁴³, a process termed the "Yersinia deadly kiss".

The advantage of sequencing broadly across a genus and deep within each 10 11 species is that the origins and distribution of all genes described as *Yersinia* spp. 12 virulence factors, or otherwise, in the published literature can be ascertained⁸. 13 Such an analysis confirmed that pYV was one of only two virulence-associated 14 genetic loci that were uniquely present in all three *Yersinia* lineages commonly 15 associated with mammalian disease (pYV is absent from Yersinia species non-16 pathogenic to mammals). The other was the chromosomally encoded attachment 17 and invasion locus ail which has a role in the attachment to, and invasion of, 18 mammalian epithelial cells as well as in resistance to killing by the host 19 complement system^{44–46}. The pivotal role of pYV in the emergence of mammalian 20 pathogenesis is highlighted by the revelation that, contrary to accepted 21 wisdom^{9,27,47}, closely related versions of this plasmid were acquired 22 independently on at least three occasions in the *Yersinia* genus; twice by the *Y*. 23 enterocolitica and once by the Y. pseudotuberculosis—Y. pestis lineage⁸, and that 24 Y. enterocolitica did not share a common pathogenic ancestor with Y. 25 *pseudotuberculosis* as previously thought⁸. As such, multiple independent *ail* and

1 pYV acquisitions were key gene gain events that marked the parallel 2 independent evolution of mammalian pathogenesis in the Yersinia genus. This is 3 surprising if one considers the large number of virulence-associated genes that 4 have been reported in Yersinia based on published classic bacterial genetics 5 experiments. This includes key virulence factors such as Invasin⁴⁸, the Fes/Fep 6 iron acquisition system⁴⁹, the Tad type IVb pilus⁵⁰ and Myf fimbriae⁵¹, and the 7 Yst heat stable toxin⁵², all of which are widely distributed across pathogenic and 8 non-pathogenic members of the genus. This could be explained through the eco-9 evo perspective whereby the environmental versiniae may have acquired and maintained some virulence factors to combat predatory protozoa, nematodes, 10 11 predatory bacteria, and bacteriophage or even to colonise plants. This highlights 12 the benefits of studying the accessory gene pool of pathogenic bacteria in the 13 wider context of the genera they belong to, a strategy that has yet to be widely 14 applied to other enteric pathogens. Indeed in order to confirm the eco-evo 15 hypothesis there is also a benefit and need to study the role of virulence genes in 16 these non-pathogenic isolates to allow us to fully determine the true ecology of 17 bacterial species.

18 In addition to the crucial gains of the pYV plasmid and *ail* locus in all three human pathogenic Yersinia spp., a number of other acquired loci have been 19 maintained by clonal expansion in individual Yersinia species complexes and 20 21 phylogroups (PG). Perhaps the most striking and formative of these is the 22 acquisition of the pFra (pMT1) and pPla (pPCP1) plasmids of Y. pestis, which 23 encode the murine toxin Ymt and the F1 capsular protein and the plasminogen 24 activator Pla. The acquisition of these two plasmids is a significant event in the move towards efficient insect vector-mammalian transmission of *Y. pestis*,⁵³ and 25

1 Pla has recently been shown to be pivotal for causing the fulminant pneumonic 2 infection unique to Y. pestis within the Yersinia genus⁵⁴. Also in this group of 3 acquired elements is the High Pathogenicity Island (HPI). HPI is a large 4 integrative-conjugative element capable of excision and transfer via the use of 5 self encoded integrase and excision factors⁵⁵. As such the HPI is often found in a 6 range of bacterial genera including extra-intestinal pathogenic *E. coli*⁵⁶. The HPI 7 encodes the siderophore versiniabactin that sequesters iron in the host^{56,57}, 8 imports zinc into bacterial cells⁵⁸, and assists in reducing the respiratory 9 oxidative burst response of immune cells⁵⁹. Within *Yersinia* spp., HPI containing a fully functioning yersiniabactin is found only in strains belonging to the Y. 10 11 pseudotuberculosis—Y. pestis species complex, where its presence is isolate 12 dependant, and in the highly virulent phylogroup 2 lineage of the Y. 13 *enterocolitica* species complex, where it is uniformly present. The key remaining 14 gene acquisition events that have occurred in the pathogenic members of the 15 genus involve the Ysa T3SS by phylogroup 2 *Y. enterocolitica*, and Hms by the *Y.* 16 pseudotuberculosis—Y. pestis species complex. The Ysa T3SS is located in a 17 region of the genome known as the plasticity zone⁶⁰, first identified in the 18 archetypal phylogroup 2 strain 8081⁹. The plasticity zone is a region of the Y. 19 *enterocolitica* genome that shows signs of multiple independent, and phylogroup 20 specific acquisitions and deletions⁹. Ysa T3SS has been shown to secrete a large 21 number of chromosomally encoded T3SS effector proteins⁶¹ as well as the Yop 22 T3SS effector proteins of the Ysc system carried on pYV⁶². Although mutagenesis 23 studies suggest ysa plays only a minor role in mammalian pathogenesis⁶¹, 24 consistent with the eco-evo perspective, ysa mutants were shown to be 25 attenuated in their ability to survive inside cultured *Drosophila melanogaster* 1 cells suggesting ysa is more important outside of the mammalian host for 2 phylogroup 2 *Y. enterocolitica*⁶³. The Hms locus is located on the chromosome 3 and encodes a hemin storage locus that is unique to the Y. pseudotuberculosis-Y. 4 *pestis* lineage and is responsible for its ability to form pigmented colonies on 5 congo-red agar⁶⁴. Hms is important for *Y. pestis* pathogenesis as it is responsible 6 for formation of biofilms, required for the blockage of the flea foregut, which 7 facilitates its subsequent transmission to mammals through reflux of infected 8 blood from the infected feeding flea back onto the bite site⁶⁵.

9

10 **Regulatory control**

11 Although the acquisition of mobile genetic elements has been a key process in 12 the emergence of *Yersinia* spp. pathogenesis, loci are more likely to be stably 13 maintained in a population if there is a minimal fitness cost to their integration 14 into the genome of the host bacterium, or a high selective pressure for them to 15 be maintained. To achieve this, many acquired elements fall under strict 16 transcriptional and/or translational control to minimise the fitness costs associated with their acquisition. Across the *Enterobacteriaceae* many acquired 17 18 genetic elements are regulated by H-NS, a nucleoid associated, histone-like 19 protein that binds to A-T rich regions of DNA silencing transcriptional activity⁶⁶. 20 Once under the strict negative control of H-NS, the acquired elements are then 21 integrated into existing transcriptional activating regulons within the bacterial 22 cell. These function in an antagonistic fashion with H-NS to tightly control the 23 transcriptional expression of the new genes. In the case of Yersinia spp., the 24 chromosomal *rovA/ymoA* regulon has been suggested to fulfil this antagonistic 25 role to H-NS^{8,67}. The *rovA* gene is universally present across the genus⁸ and

1 encodes a member of the MarR/SlyA family of global transcriptional regulators⁶⁷. 2 It is known to antagonise H-NS silencing of the invasin protein gene, *invA*, the 3 product of which is involved in the early stages of *Yersinia* spp. attachment to 4 cells and internalization during infection. The binding of RovA to the promoter 5 region masks the H-NS binding site, triggering transcription⁶⁸. Genes *rovA/ymoA* 6 are thought to encode a promiscuous ancestral regulon into which the 7 expression of acquired genes were incorporated multiple times in the different 8 lineages (FIG 1). This is thought to explain why RovA regulates different gene 9 sets in *Y. enterocolitica* and *Y. pseudotuberculosis/pestis*^{67,69}: RovA was shown to transcriptionally activate 73 genes in Y. pestis and 63 genes in Y. enterocolitica, 10 11 but only three genes were common to both species⁶⁷. The majority of RovA 12 controlled genes are lineage-specific virulence factors such as Invasin or Myf 13 fimbriae, or lineage specific prophage or metabolic genes⁶⁷. The rovA/ymoA 14 regulon also controls the expression of genes encoded on the pYV plasmid, 15 highlighting its importance as a global regulator of virulence.

16 The expression of the Yop proteins encoded on pYV is down-regulated at a 17 transcriptional level by LcrF (an ortholog of HN-S, encoded on the pYV plasmid), 18 in response to the mammalian environment. Recent work has identified that this 19 regulation is controlled at a hierarchical level by YmoA, which functions as a 20 thermo-sensing switch to turn off *lcrF* transcription, and therefore Yop 21 translation and secretion at low temperatures⁷⁰, and by Hfq and other small 22 regulatory RNAs conserved in the *Yersinia* genus⁷¹. This thermo-sensing switch 23 is a tandem process which relies on weak binding of YmoA directly to the 24 promoter region of *lcrF* at low temperatures and the formation of a double stem 25 loop in the intergenic region resulting in stearic hindrance of RNA polymerase

1 binding and formation of transcripts^{70,72}. Overall the importance of the 2 RovA/YmoA system for the evolution of pathogenesis in *Yersinia* is evident from 3 these data: At temperatures below 37°C virulence factors, essential for 4 mammalian pathogenesis, are not expressed because RovA/YmoA is inactive and 5 they are repressed by H-NS. However flagella biosynthesis genes (in the case of 6 the enteropathogenic species) or insect transmission loci such as hms (in the 7 case of *Y. pestis*) are expressed at high levels^{73,74}. Upon a shift to mammalian 8 body temperatures essential acquired virulence factors such as those on pYV, ail 9 and *invA* are activated by RovA/YmoA. Other environmental triggers are also known to influence this regulatory cascade such as levels of exogenous calcium 10 11 ions⁷⁵ or levels of exogenous magnesium ions via the PhoPQ two component regulatory system⁷⁶. Indeed PhoPQ has been shown to have an overarching 12 13 control on levels of RovA and as such a key role in virulence phenotypes in all 3 14 human pathogenic species⁷⁷⁻⁷⁹.

15 The presence of an ancestral promiscuous regulatory system controlling 16 expression of acquired genes involved in virulence draws striking parallels with 17 Salmonella spp. The key acquisition event in the evolution of pathogenesis in the 18 Salmonella genus was Salmonella pathogenicity island-1 (SPI-1). This 19 pathogenicity island encodes a T3SS and its cognate secreted effector proteins that remodel the actin cytoskeleton of infected cells and force uptake of attached 20 21 bacteria into endocytic vacuoles. As with pYV, the acquisition of SPI-1 was a 22 landmark event in the evolution of the *Salmonella* genus, being present in both 23 species: *S. enterica* and *Salmonella bongori*⁸⁰. SPI-1 is under negative 24 transcriptional control by H-NS. Similar to Yersinia, H-NS-mediated repression of 25 SPI-1 functions is alleviated by the concerted action of a number of

transcriptional regulators including HilD/HilA, SlyA which is an ortholog of
RovA, and the two-component regulatory system PhoPQ⁸¹. Recent work showed
that SPI-1 was readily lost in *Salmonella* H-NS null mutants, Showing that H-NS
silencing of SPI-1 was likely to have been an essential factor in SPI-1 becoming
fixed in all *Salmonella* spp. and so the evolution of pathogenesis in members of
this genus too⁸².

7 Therefore it seems that an emerging theme in the evolution of pathogenesis in
8 enteric bacteria is not only the pivotal acquisition of one or two key genetic loci,
9 but also the co-ordinated transcriptional control of those acquired loci by H-NS
10 and other species-specific promiscuous global regulators.

11

12 **Role of gene loss**

13 Although the importance of gene gain in the evolution of bacterial pathogenicity 14 is intuitive and well documented, the role of gene loss and host adaptation has 15 been shown to be central in the emergence of bacterial pathogens⁸³. The 16 majority of work looking at the evolution of pathogenesis in *Yersinia* spp. has 17 focused on the evolutionary events that led to the emergence of Y. pestis from Y. 18 pseudotuberculosis²⁸. Although there were important acquisition events, the 19 most striking observation of early comparative genomic analyses was the 20 functional loss of a large number of genes associated with virulence and 21 metabolism in *Y. pestis*¹⁰. This functional loss applied to about 10% of the genes 22 in the genome, a considerably larger proportion of the genome compared to gene 23 gain events. The gene attrition was largely attributable to the expansion of four 24 major classes of IS elements (IS1541, IS100, IS285, IS1661) leading to insertional 25 gene inactivation. Other processes of gene loss included deletion of genes and 1 loci and SNP-based pseudogene formation^{32,33,84,85}. Many of the genes that were 2 functionally lost encode virulence factors such as invasin and YadA, and 3 metabolic loci involved in motility, dicarboxylic amino-acid metabolism, and uracil transport^{10,27}. These are required for successful colonization of the 4 5 mammalian gastrointestinal tract, a niche no longer frequently utilised by Y. 6 pestis as it emerged from the intestinal pathogenic Y. pseudotuberculosis to 7 colonize the mid-gut of fleas and, in mammals, to become a more systemic 8 pathogen invading the lymphatic system²⁷.

9 The importance of gene loss in the evolution of Y. pestis has recently been exemplified by studies investigating key mutations in Y. pestis that mark its 10 11 evolutionary adaptation to flea-borne transmission in mammals. Using a 12 combination of comparative genomics and classical bacterial genetics, three key 13 loss-of-function mutations were found, all of which increased biofilm formation 14 in the flea foregut in a cyclic-di-GMP dependant manner⁶. The key mutations 15 were in two genes encoding EAL-domain phosphodiesterases (PDE), and the 16 *rcsA* gene that is a component of the *rcs* regulatory network. The *rcs* system 17 transcriptionally represses the hms locus and biofilm formation. PDE are 18 enzymes that degrade cyclic-di-GMP, a bacterial signalling molecule that 19 enhances transcription of *hms* and biofilm formation⁶. The functional loss of the 20 the regulator repressing transcription in a Y. PDE enzymes and 21 pseudotuberculosis-ancestor led to the emergence of a lineage with enhanced 22 ability to form biofilms in the foregut of fleas and a resulting shift in pathogenic 23 lifestyle of *Y. pestis*⁶. The relatively recent emergence of *Y. pestis*²⁸ is consistent 24 with the SNP-based pseudogenes, which have swept to fixation through the Y. 25 *pestis* population but have not yet been fully deleted^{30,86}. Also in *Y. pestis* is a

1 mutation in the *ureD* gene that leads to functional loss of urease. In Y. 2 *pseudotuberculosis* it has been shown that the functional *ureD* encoding urease is 3 associated with significant oral toxicity in the flea⁸⁷, hence the *ureD* mutation 4 may play a contributory role in the emergence of *Y. pestis*^{6,87}(Fig 2). However, 5 perhaps significantly the *ureD* mutation appears to be a reversible phase-6 variable mutation and maybe a contingency gene required in the mammalian 7 host or soil dwelling stage, rather than the insect vector, part of its life cycle⁸⁸. 8 This provides *Y. pestis* the flexibility of retaining urease expression, as and when 9 required.

10 Genomic analysis of *Y. enterocolitica* showed that similar gene loss and metabolic 11 restriction also mark the evolution of certain subtypes of this species⁸. As 12 discussed above and shown in Figure 3 Y. enterocolitica can be subdivided into 13 the non-pathogenic phylogroup PG 1, which is an ancestral lineage, the highly 14 pathogenic PG 2, and PGs 3-5 which show limited pathogenicity in mouse models 15 but are the most successful lineages in terms of disease causation and are most 16 commonly isolated from livestock and human clinical cases. PG 6 is a rare lineage 17 which has only ever been isolated from wild hares^{89,90}. The evolutionary descent 18 from host-generalists (PGs 3-5) to the host-restricted PG 6, similar to the 19 emergence of *Y. pestis*, was accompanied in *Y. enterocolitica* by the expansion of a 20 single IS element, IS1667, as well as functional loss of a large number of 21 metabolic genes. The impact of the functional loss of metabolic loci is 22 particularly pronounced in PG 6 and is thought to reflect its extreme niche 23 restriction to hares. Interestingly the list of metabolic pathways inactivated in PG 24 6 is similar in part to those lost in *Y. pestis* (cobalamin biosynthesis, tetrathionate 25 respiration and the hydrogenase-4 operon responsible for the use of molecular hydrogen in anaerobic respiration). These mutational observations are directly
 supported by high throughput metabolic phenotyping of PG 6⁸.

3 Looking at the functions that were lost as different lineages of *Y. enterocolitica* 4 diverged and adapted to new niches, one of the earliest events is likely to have 5 been the decay of the *Yersinia* genus T3SS, YGT. YGT is an ancestral T3SS present 6 across the whole genus and is distinct from the Ysc and Ysa T3SSs acquired in 7 pathogenic lineages. YGT has yet to be fully characterized but it shares a high 8 level of sequence identity with the T3SS encoded on the SPI-2 genomic island in 9 Salmonella enterica, which was a key acquisition required for intracellular survival⁹¹. The YGT present in non-pathogenic PG 1 Y. enterocolitica seems to 10 11 contain a full complement of effector protein encoding genes compared with the 12 SPI-2 T3SS⁸. In the low-pathogenic PGs 3-6 the YGT seems to have been 13 inactivated either by single base pair mutations or deletion of the secretion 14 apparatus genes, whereas almost all traces of the YGT have been deleted in the 15 highly pathogenic PG 2 isolates⁸. As an example of how dynamic the evolution of 16 pathogenesis is through gene gain and loss, the loss of YGT in the high-17 pathogenic PG 2 *Y. enterocolitica* is, conceptually at least, offset by the gain of the 18 Ysa T3SS⁶⁰. A full functional characterisation of YGT is now required to 19 determine if the selective maintenance of YGT in PG 1 Y. enterocolitica is a 20 classical example of eco-evo principal, where the T3SS could be conferring a 21 protective benefit in a non-mammalian host environment.

IS mediated gene inactivation is also evident in the low-pathogenic Y. *enterocolitica* PG 3 lineage (comprising the classically entitled serotype 0:3 strains)^{92,93}. Molecular analysis revealed a IS1667 had inserted into the *invA* invasin promoter region, resulting in the formation of an additional RovA

1 binding site and a concomitant increase in expression of *invA*⁹⁴. Comparative 2 analysis with the other low-pathogenic phylogroups of *Y. enterocolitica* suggest 3 this is the primary event leading to the enhanced virulence properties of PG 3 isolates compared to PGs 2, 4, and 593. As a result of the increased expression of 4 5 invasion PG 3 isolates can colonise porcine tissues more effectively than other 6 phylogroups, and as result PG 3 have become the predominant isolates taken 7 from human clinical cases in Europe over the past 10 years and also dominate 8 veterinary epidemiological surveys⁹⁵.

9

10 Gene loss in other *Enterobacteriaceae*

11 Functional gene loss in metabolic loci has been a key event in the *Yersinia* genus 12 that mediated the transition from ubiquitous host-generalist lineage to niche 13 restricted and host-adapted pathogen on at least two occasions. Once again this 14 observation has also been made in *Salmonella* species. *S.* Typhi⁹⁶ shows many 15 similarities with *Y. pestis*, it is niche restricted (in this case to the human host) 16 and causes an acute systemic disease known as typhoid. Similarly S. Gallinarum 17 and *S.* Pullorum also cause a typhoid-like disease but are restricted to galliforme 18 birds⁹⁷, whereas *S.* Typhimurium is a host-generalist causing an inflammatory 19 intestinal infection of mammals⁹⁸. Comparative genomic studies of these 20 salmonellae have shown that functional gene loss in loci involved in anaerobic 21 metabolism (more specifically functional loss in the *cob/pdu* and *ttr* operons) 22 was a marker of the transition from intestinal pathogen, like S. Typhimurium, to 23 invasive pathogens such as *S*. Typhi, *S*. Gallinarum and *S*. Pullorum⁹⁹.

In *S.* Typhimurium under anaerobic conditions, the *cob* genes encode the ability
to synthesis cobalamin (or vitamin B12). Cobalamin is required as a cofactor for

1 several enzymes including the first enzyme in the 1, 2-propanediol utilisation 2 pathway (encoded by *pdu* operon). 1,2-Propanediol is a by-product of 3 fermentative growth on rhamnose and fucose¹⁰⁰, common constituents of plant 4 cell walls and intestinal epithelial cells (and therefore the gut)¹⁰¹. The anaerobic 5 degradation of 1,2-propanediol requires tetrathionate for use as a terminal 6 electron acceptor, facilitated by the products of the *ttr* genes¹⁰². Unlike 1,2-7 propanediol, tetrathionate was only previously known to be present in soils not 8 in the mammalian gut. However, it has been recently shown that tetrathionate is 9 produced naturally during an inflammatory response to a *Salmonella* infection. It is under such conditions that the *cob*, *pdu* and *ttr* functions combine to provide a 10 11 competitive growth advantage for *S*. Typhimurium, by allowing it to outgrow the 12 natural and largely fermentative gut microbiota by using naturally occurring 13 carbon sources such as 1,2-propanediol that are not readily fermented^{103,104} 14 (FIG. 4). As the invasive typhoidal Salmonella have evolved away from an 15 intestinal lifestyle, functions in *cob/pdu/ttr* operons have been sequentially lost 16 and so represent markers of their change in niche and a movement away from 17 causing intestinal disease to a more systemic infection cycle¹⁰⁵.

Although the *cob/pdu* and *ttr* loci are present and intact in *Y. enterocolitica* and 18 19 in the majority of environmental *Yersinia* species¹⁰⁶, they are absent from the *Y*. 20 pseudotuberculosis—Y. pestis complex. As mentioned above, tetrathionate is 21 produced in the vertebrate inflamed gut following the host's response to S. 22 Typhimurium infection, whereby the SPI-1 and -2 encoded T3SS are essential for 23 stimulating this inflammatory response. Studies of *Y. enterocolitica* pathogenesis 24 have shown that infections are characterized by inflammation of the gut¹⁰⁷. Moreover, inflammation appears to require pYV¹⁰⁸. It is possible that by inducing 25

1 a pYV-associated inflammatory response during intestinal infection¹⁰⁹, and by 2 expression of the *cob/pdu* and *ttr* loci, *Y. enterocolitica* is able, like *S.* 3 Typhimurium, to gain a metabolic advantage over the resident gut microbiota by 4 using tetrathionate and naturally occurring carbon sources to respire under 5 these conditions. The fact that the *cob/pdu* and *ttr* loci are lost completely in *Y*. 6 *pseudotuberculosis – Y. pestis* may explain why these pathogens are incapable of 7 causing the inflammatory intestinal infection observed in *Y. enterocolitica*, 8 despite containing pYV.

9

10 Summary and concluding remarks

11 For decades the pathogenic yersiniae have been at the vanguard of understanding bacterial pathogenesis. Examples include the role of T3SSs^{42,110} 12 13 and understanding the molecular mechanisms by which intestinal pathogens invade the intestinal epithelium⁴⁴. Work on the pathogenic *Yersinia* species also 14 15 drove the understanding of the importance of plasmids in conferring virulence to 16 pathogenic bacteria^{12,39}. The versiniae are also at the forefront in developing our 17 understanding of the evolution of mammalian pathogenesis. Furthermore, 18 *Yersinia* spp. genomics has also been at the frontline of palaeomicrobiology, with 19 the near complete reconstruction and interpretation of the Black Death and 20 Justinian plague *Y. pestis* genomes from ancient DNA studies on historical disease 21 episodes^{86,111}. Additionally the microevolution of *Y. pestis* is beginning to be 22 unravelled and the distinction between ancestral and modern *Y. pestis* has been 23 made ¹¹². And very recent advances have identified that *Y. pestis* was a human 24 pathogen of bronze-age humans, but had not yet acquired Ymt meaning it 25 evolved the ability to infect fleas after becoming a human pathogen ¹¹³.

1 The position of *Yersinia* as a model genus for pathogenesis has been further 2 cemented as it is the first multispecies bacterial genus for which all species have 3 been sequenced and placed into phylogenomic context⁸. By taking this approach 4 coupled with high throughput metabolic analyses using phenotype microarrays 5 it was possible to trace the evolutionary origins of the species, contextualise 6 them and reveal the parallel evolutionary paths of virulence in humans⁸ (FIG. 5). 7 The genus Yersinia evolved from environmental ancestors. The most outlying 8 species are the fish pathogenic *Y. ruckeri* (SC 2), and newly classified lineages *Y.* 9 nurmii and Y. entomophaga (SC 3). Previously, it had been debated whether Y. 10 ruckeri was truly part of the genus Yersinia²¹, however this has now been 11 strengthened by the recognition of this novel lineage. The human pathogenic 12 species clusters emerged independently following the common themes of 'add, 13 stir, and reduce'. Y. pestis acquired its unique virulence plasmids, an expansion of 14 several IS elements led to widespread genome rearrangements, and considerable 15 gene loss can be observed. However, in the Y. enterocolitica lineages, these 16 themes are weighted differently in the various phylogroups. PG 2 added the 17 high-pathogenicity island, and type 2 and 3 secretions systems, and on the other 18 hand lost the second flagella cluster and the genus T3SS YGT. PGs 3-6 show 19 genome rearrangements following the expansion of IS1667. These phylogroups 20 also show general loss of metabolic flexibility, and in the case of PG 6 an extreme 21 loss of metabolic capability.

22 Comparative analysis of complete genome sequence data sets of all 23 representative yersiniae species coupled with the eco-evo perspective of 24 bacteria has provided a greater understanding of the key evolutionary steps that 25 led to the emergence of successful mammalian pathogens. We need to move

away from our biased human-centric view of bacteria and consider more the
 environmental context, habitat and niches of these organisms.

3 Finally, the *Yersinia* genus data shows that a genus-wide sequencing approach 4 leads to new information on the distribution of virulence-associated genes and 5 on which of these genes are genuine virulence factors that are appropriate for 6 novel diagnostic and pathogen-targeting research. However, even with the large 7 number of Yersinia spp. isolates sequenced to date, the origin and reservoir of 8 mobile genetic elements, such as pYV, remain obscure. It may be that we have 9 only sequenced the tip of the bacterial iceberg and that future large genome and 10 microbiome studies coupled with high throughput phenotypic methods will be 11 even more revealing. This will provide a web of bacterial life, the inter-12 relatedness of bacteria, no doubt blurring species boundaries. The genome data 13 together with the eco-evo perspective will form the basis of how and why 14 bacteria evolve to be more pathogenic with the potential to avert future threats posed by old adversaries such as the emergence of antimicrobial resistance. 15

16

17 **References**

18

23

- Fuchs, T., Bresolin, G., Marcinowski, L., Schachtner, J. & Scherer, S.
 Insecticidal genes of *Yersinia* spp.: taxonomical distribution, contribution to toxicity towards *Manduca sexta* and *Galleria mellonella*, and evolution.
 BMC Microbiol. 8, 214 (2008).
- Lithgow, K. V *et al.* A general protein O-glycosylation system within the
 Burkholderia cepacia complex is involved in motility and virulence. *Mol. Microbiol.* 92, 116–137 (2014).
- Pukklay, P. *et al.* Involvement of EnvZ-OmpR two-component system in
 virulence control of *Escherichia coli* in *Drosophila melanogaster*. *Biochem. Biophys. Res. Commun.* 438, 306–311 (2013).

1		
2 3 4 5	4.	Kumar, P. & Virdi, J. S. Identification and distribution of putative virulence genes in clinical strains of <i>Yersinia enterocolitica</i> biovar 1A by suppression subtractive hybridization. <i>J. Appl. Microbiol.</i> 113 , 1263–1272 (2012).
6 7 8 9 10	5.	Korea, CG., Badouraly, R., Prevost, MC., Ghigo, JM. & Beloin, C. <i>Escherichia coli</i> K-12 possesses multiple cryptic but functional chaperone- usher fimbriae with distinct surface specificities. <i>Environ. Microbiol.</i> 12 , 1957–1977 (2010).
11 12 13	6.	Sun, YC., Jarrett, C. O., Bosio, C. F. & Hinnebusch, B. J. Retracing the evolutionary path that led to flea-borne transmission of <i>Yersinia pestis</i> . <i>Cell Host Microbe</i> 15 , 578–586 (2014).
14 15	Key r	recent paper on the importance of <i>ure</i> mutations in the emergence of <i>Y. pestis</i>
16 17 18	7.	Viana, D. <i>et al.</i> A single natural nucleotide mutation alters bacterial pathogen host tropism. <i>Nat. Genet.</i> 47, 361–366 (2015).
19 20	8.	Reuter, S. <i>et al.</i> Parallel independent evolution of pathogenicity within the genus <i>Yersinia. Proc Natl Acad Sci USA</i> 111, 6768–6773 (2014).
21 22	First	ever popualtion genomic analysis of an entire bacterial genus, and key <i>Yersinia</i> evolution paper
23 24 25 26	9.	Thomson, N. R. <i>et al.</i> The Complete Genome Sequence and Comparative Genome Analysis of the High Pathogenicity <i>Yersinia enterocolitica</i> Strain 8081. <i>PLoS Genet.</i> 2 , e206 (2006).
27 28 29 30	10.	Chain, P. S. G. <i>et al.</i> Insights into the evolution of <i>Yersinia pestis</i> through whole-genome comparison with <i>Yersinia pseudotuberculosis</i> . <i>Proc Natl Acad Sci U S A</i> 101 , 13826–13831 (2004).
31 32 33 34	11.	Isberg, R. R., Voorhis, D. L. & Falkow, S. Identification of invasin: A protein that allows enteric bacteria to penetrate cultured mammalian cells. <i>Cell</i> 50 , 769–778 (1987).
35 36 37	12.	Portnoy, D. A. & Falkow, S. Virulence-associated plasmids from <i>Yersinia enterocolitica</i> and <i>Yersinia pestis. J. Bacteriol.</i> 148, 877–883 (1981).
38 39 40	13.	Portnoy, D. A., Moseley, S. L. & Falkow, S. Characterization of plasmids and plasmid-associated determinants of <i>Yersinia enterocolitica</i> pathogenesis. <i>Infect. Immun.</i> 31, 775–782 (1981).

1		
2 3 4 5 6	14.	Rosqvist, R., Hakansson, S., Forsberg, A. & Wolf-Watz, H. Functional conservation of the secretion and translocation machinery for virulence proteins of yersiniae, salmonellae and shigellae. <i>EMBO J.</i> 14 , 4187–4195 (1995).
7 8 9 10	15.	Yao, T., Mecsas, J., Healy, J. I., Falkow, S. & Chien, Y. Suppression of T and B lymphocyte activation by a <i>Yersinia pseudotuberculosis</i> virulence factor, yopH. <i>J. Exp. Med.</i> 190, 1343–1350 (1999).
11 12 13 14	16.	Monack, D. M., Mecsas, J., Bouley, D. & Falkow, S. <i>Yersinia</i> -induced apoptosis in vivo aids in the establishment of a systemic infection of mice. <i>J. Exp. Med.</i> 188 , 2127–2137 (1998).
15 16 17 18	17.	Boland, A. & Cornelis, G. R. Role of YopP in suppression of tumor necrosis factor alpha release by macrophages during <i>Yersinia</i> infection. <i>Infect Immun</i> 66 , 1878–1884 (1998).
19 20 21	18.	Carniel, E. Evolution of pathogenic <i>Yersinia,</i> some lights in the dark. <i>Adv. Exp. Med. Biol.</i> 529, 3–12 (2003).
22 23 24	19.	Sprague, L. D. & Neubauer, H. <i>Yersinia aleksiciae</i> sp. nov. <i>Int. J. Syst. Evol.</i> <i>Microbiol.</i> 55, 831–835 (2005).
25 26 27	20.	Sprague, L. D., Scholz, H. C., Amann, S., Busse, HJ. & Neubauer, H. <i>Yersinia similis</i> sp. nov. <i>Int. J. Syst. Evol. Microbiol.</i> 58, 952–958 (2008).
28 29 30 31	21.	Sulakvelidze, A. Yersiniae other than <i>Y. enterocolitica, Y. pseudotuberculosis</i> , and <i>Y. pestis</i> : the ignored species. <i>Microbes Infect.</i> 2 , 497–513 (2000).
32 33 34	22.	Murros-Kontiainen, A. <i>et al. Yersinia nurmii</i> sp. nov. <i>Int J Syst Evol Micro</i> 61, 2368–2372 (2011).
35 36 37	23.	Murros-Kontiainen, A. <i>et al. Yersinia pekkanenii</i> sp. nov. <i>Int J Syst Evol</i> <i>Microbiol</i> 61, 2363–2367 (2011).
38 39 40 41	24.	Hurst, M. R. H., Becher, S. A., Young, S. D., Nelson, T. L. & Glare, T. R. <i>Yersinia</i> entomophaga sp. nov., isolated from the New Zealand grass grub <i>Costelytra</i> zealandica. Int. J. Syst. Evol. Microbiol. 61 , 844–849 (2011).

1 2 3 4	25.	Merhej, V., Adekambi, T., Pagnier, I., Raoult, D. & Drancourt, M. <i>Yersinia massiliensis</i> sp. nov., isolated from fresh water. <i>Int. J. Syst. Evol. Microbiol.</i> 58 , 779–784 (2008).
5 6 7 8	26.	Savin, C. <i>et al.</i> The <i>Yersinia pseudotuberculosis</i> complex: characterization and delineation of a new species, <i>Yersinia wautersii. Int. J. Med. Microbiol.</i> 304, 452–463 (2014).
9 10 11	27.	Wren, B. W. The Yersiniae - a model genus to study the rapid evolution of bacterial pathogens. <i>Nat Rev Micro</i> 1 , 55–64 (2003).
12 13 14	28.	Achtman, M. <i>et al. Yersinia pestis</i> , the cause of plague, is a recently emerged clone of <i>Yersinia pseudotuberculosis</i> . <i>Proc Natl Acad Sci U S A</i> 96 , 14043–14048 (1999).
15 16	The i	nitial paper that showed <i>Y. pestis</i> was a recently emerged clone of <i>Y. pseudotuberculosis</i>
17 18 19	29.	Achtman, M. <i>et al.</i> Microevolution and history of the plague bacillus, Yersinia pestis. Proc Natl Acad Sci USA 101, 17837–17842 (2004).
20 21 22	30.	Bos, K. I. <i>et al. Yersinia pestis</i> : new evidence for an old infection. <i>PLoS One</i> 7 , e49803 (2012).
23 24 25 26	31.	Chain, P. S. <i>et al.</i> Complete genome sequence of <i>Yersinia pestis</i> strains Antiqua and Nepal516: evidence of gene reduction in an emerging pathogen. <i>J Bacteriol</i> 188 , 4453–4463 (2006).
27 28 29	32.	Parkhill, J. <i>et al.</i> Genome sequence of <i>Yersinia pestis,</i> the causative agent of plague. <i>Nature</i> 413, 523–527 (2001).
30 31 32	33.	Deng, W. <i>et al.</i> Genome Sequence of <i>Yersinia pestis</i> KIM. <i>J. Bacteriol.</i> 184, 4601–4611 (2002).
33 34 35 36	34.	Savin, C. <i>et al.</i> Draft Genome Sequence of a Clinical Strain of <i>Yersinia enterocolitica</i> (IP10393) of Bioserotype 4/0:3 from France. <i>Genome Announc.</i> 1 , e00150-12 (2013).
37 38 39 40	35.	Batzilla, J., Hoper, D., Antonenka, U., Heesemann, J. & Rakin, A. Complete genome sequence of <i>Yersinia enterocolitica</i> subsp. palearctica serogroup O:3. <i>J. Bacteriol.</i> 193, 2067 (2011).

1 2 3 4 5	36.	Fuchs, T. M., Brandt, K., Starke, M. & Rattei, T. Shotgun sequencing of <i>Yersinia enterocolitica</i> strain W22703 (biotype 2, serotype 0:9): genomic evidence for oscillation between invertebrates and mammals. <i>BMC Genomics</i> 12 , 168 (2011).
6 7 8 9 10	37. 38.	Wang, X. <i>et al.</i> Complete genome sequence of a <i>Yersinia enterocolitica</i> 'Old World' (3/0:9) strain and comparison with the 'New World' (1B/0:8) strain. <i>J. Clin. Microbiol.</i> 49 , 1251 (2011). Chen, P. <i>et al.</i> Genomic characterization of the <i>Yersinia</i> genus. <i>Genome Biol.</i> 11 , R1 (2010).
10		11, KI (2010).
12 13 14 15 16	39.	Portnoy, D. A., Wolf-Watz, H., Bolin, I., Beeder, A. B. & Falkow, S. Characterization of common virulence plasmids in <i>Yersinia</i> species and their role in the expression of outer membrane proteins. <i>Infect. Immun.</i> 43 , 108–114 (1984).
17 18 19	40.	Cornelis, G. R. & Wolf-Watz, H. The <i>Yersinia</i> Yop virulon: a bacterial system for subverting eukaryotic cells. <i>Mol. Microbiol.</i> 23, 861–867 (1997).
20 21 22 23	41.	Grosdent, N., Maridonneau-Parini, I., Sory, MP. & Cornelis, G. R. Role of Yops and Adhesins in Resistance of <i>Yersinia enterocolitica</i> to Phagocytosis. <i>Infect. Immun.</i> 70 , 4165–4176 (2002).
24 25 26	42.	Cornelis, G. R. & Wolf-Watz, H. The <i>Yersinia</i> Yop virulon: a bacterial system for subverting eukaryotic cells. <i>Mol. Microbiol.</i> 23, 861–867 (1997).
27 28 29 30	43.	Bohn, E. <i>et al.</i> Gene expression patterns of epithelial cells modulated by pathogenicity factors of <i>Yersinia enterocolitica. Cell. Microbiol.</i> 6, 129–141 (2004).
31 32 33 34	44.	Miller, V. L. & Falkow, S. Evidence for two genetic loci in <i>Yersinia</i> <i>enterocolitica</i> that can promote invasion of epithelial cells. <i>Infect. Immun.</i> 56, 1242–1248 (1988).
35 36 37 38	45.	Pierson, D. E. & Falkow, S. The <i>ail</i> gene of <i>Yersinia enterocolitica</i> has a role in the ability of the organism to survive serum killing. <i>Infect. Immun.</i> 61 , 1846–1852 (1993).
39 40 41 42	46.	Biedzka-Sarek, M., Venho, R. & Skurnik, M. Role of YadA, Ail, and Lipopolysaccharide in Serum Resistance of <i>Yersinia enterocolitica</i> Serotype O:3. <i>Infect. Immun.</i> 73 , 2232–2244 (2005).

1 2 3	47.	Carniel, E. Plasmids and pathogenicity islands of <i>Yersinia. Curr. Top. Microbiol. Immunol.</i> 264, 89–108 (2002).
4 5 6	48.	Pepe, J. C. & Miller, V. L. <i>Yersinia enterocolitica</i> invasin: A primary role in the initiation of infection. <i>Proc Natl Acad Sci U S A</i> 90, 6473–6477 (1993).
7 8 9 10	49.	Schubert, S., Fischer, D. & Heesemann, J. Ferric enterochelin transport in <i>Yersinia enterocolitica</i> : molecular and evolutionary aspects. <i>J. Bacteriol.</i> 181, 6387–6395 (1999).
11 12 13	50.	Tomich, M., Planet, P. J. & Figurski, D. H. The <i>tad</i> locus: postcards from the widespread colonization island. <i>Nat. Rev. Microbiol.</i> 5, 363–375 (2007).
14 15 16	51.	Iriarte, M. <i>et al.</i> The Myf fibrillae of <i>Yersinia enterocolitica. Mol. Microbiol.</i> 9 , 507–520 (1993).
17 18 19 20	52.	Delor, I. & Cornelis, G. R. Role of <i>Yersinia enterocolitica</i> Yst toxin in experimental infection of young rabbits. <i>Infect Immun</i> 60 , 4269–4277 (1992).
21 22 23	53.	Hinnebusch, B. J. <i>et al.</i> Role of <i>Yersinia</i> Murine Toxin in Survival of <i>Yersinia pestis</i> in the Midgut of the Flea Vector. <i>Science (80).</i> 296, 733 (2002).
24 25 26	54.	Zimbler, D. L., Schroeder, J. A., Eddy, J. L. & Lathem, W. W. Early emergence of <i>Yersinia pestis</i> as a severe respiratory pathogen. <i>Nat. Commun.</i> 6, 7487 (2015).
27 28	Rece	nt publication showing key role of Pla in the evolution of the unique virulence of <i>Y. pestis</i>
29 30 31 32 33	55.	Lesic, B. <i>et al.</i> Excision of the high-pathogenicity island of <i>Yersinia pseudotuberculosis</i> requires the combined actions of its cognate integrase and Hef, a new recombination directionality factor. <i>Mol. Microbiol.</i> 52 , 1337–1348 (2004).
34 35 36 37	56.	Schubert, S., Picard, B., Gouriou, S., Heesemann, J. & Denamur, E. <i>Yersinia</i> high-pathogenicity island contributes to virulence in <i>Escherichia coli</i> causing extraintestinal infections. <i>Infect Immun</i> 70 , 5335–5337 (2002).
38 39 40 41	57.	Carniel, E., Guilvout, I. & Prentice, M. Characterization of a large chromosomal 'high-pathogenicity island' in biotype 1B <i>Yersinia enterocolitica. J. Bacteriol.</i> 178, 6743–6751 (1996).

1 2 3 4	58.	Bobrov, A. G. <i>et al.</i> The <i>Yersinia pestis</i> siderophore, yersiniabactin, and the ZnuABC system both contribute to zinc acquisition and the development of lethal septicaemic plague in mice. <i>Mol. Microbiol.</i> 93 , 759–775 (2014).
5 6 7 8	59.	Paauw, A., Leverstein-van Hall, M. A., van Kessel, K. P. M., Verhoef, J. & Fluit, A. C. Yersiniabactin reduces the respiratory oxidative stress response of innate immune cells. <i>PLoS One</i> 4 , e8240 (2009).
9 10 11 12	60.	Haller, J. C., Carlson, S., Pederson, K. J. & Pierson, D. E. A chromosomally encoded type III secretion pathway in <i>Yersinia enterocolitica</i> is important in virulence. <i>Mol. Microbiol.</i> 36 , 1436–1446 (2000).
13 14 15 16	61.	Matsumoto, H. & Young, G. M. Proteomic and functional analysis of the suite of Ysp proteins exported by the Ysa type III secretion system of <i>Yersinia enterocolitica</i> Biovar 1B. <i>Mol. Microbiol.</i> 59 , 689–706 (2006).
17 18 19 20	62.	Young, B. M. & Young, G. M. Evidence for Targeting of Yop Effectors by the Chromosomally Encoded Ysa Type III Secretion System of <i>Yersinia enterocolitica</i> . <i>J. Bacteriol.</i> 184, 5563–5571 (2002).
21 22 23 24 25	63.	Walker, K. A., Maltez, V. I., Hall, J. D., Vitko, N. P. & Miller, V. L. A phenotype at last: essential role for the <i>Yersinia enterocolitica</i> Ysa type III secretion system in a <i>Drosophila melanogaster</i> S2 cell model. <i>Infect. Immun.</i> 81 , 2478–2487 (2013).
26 27 28 29	64.	Perry, R. D., Pendrak, M. L. & Schuetze, P. Identification and cloning of a hemin storage locus involved in the pigmentation phenotype of <i>Yersinia pestis. J. Bacteriol.</i> 172, 5929–5937 (1990).
30 31 32 33	65.	Hinnebusch, B. J., Perry, R. D. & Schwan, T. G. Role of the <i>Yersinia pestis</i> hemin storage (hms) locus in the transmission of plague by fleas. <i>Science</i> 273 , 367–370 (1996).
34 35 36 37	66.	Schroder, O. & Wagner, R. The bacterial regulatory protein H-NSa versatile modulator of nucleic acid structures. <i>Biol. Chem.</i> 383, 945–960 (2002).
38 39 40 41	67.	Cathelyn, J. S., Ellison, D. W., Hinchliffe, S. J., Wren, B. W. & Miller, V. L. The RovA regulons of <i>Yersinia enterocolitica</i> and <i>Yersinia pestis</i> are distinct: evidence that many RovA-regulated genes were acquired more recently than the core genome. <i>Mol. Microbiol.</i> 66 , 189–205 (2007).

1 2	Public	cation showing the marked difference in the RovA regulons of the pathogenic <i>Yersinia</i>
3 4 5	68.	Ellison, D. W., Lawrenz, M. B. & Miller, V. L. Invasin and beyond: regulation of <i>Yersinia</i> virulence by RovA. <i>Trends Microbiol.</i> 12 , 296–300 (2004).
6 7 8 9	69.	Bucker, R., Heroven, A. K., Becker, J., Dersch, P. & Wittmann, C. The pyruvate-tricarboxylic acid cycle node: a focal point of virulence control in the enteric pathogen <i>Yersinia pseudotuberculosis</i> . <i>J. Biol. Chem.</i> 289 , 30114–30132 (2014).
10	The o	nly published version of the Y. pseudotuberculosis RovA regulon
11 12 13	70.	Bohme, K. <i>et al.</i> Concerted actions of a thermo-labile regulator and a unique intergenic RNA thermosensor control <i>Yersinia</i> virulence. <i>PLoS Pathog.</i> 8 , e1002518 (2012).
14	A com	prehensive analysis of the mechanism of RovA/YmoA regulation
15 16 17 18 19	71.	Koo, J. T., Alleyne, T. M., Schiano, C. A., Jafari, N. & Lathem, W. W. Global discovery of small RNAs in <i>Yersinia pseudotuberculosis</i> identifies <i>Yersinia</i> -specific small, noncoding RNAs required for virulence. <i>Proc. Natl. Acad. Sci. U. S. A.</i> 108 , E709–17 (2011).
20 21 22 23	72.	Quade, N. <i>et al.</i> Structural basis for intrinsic thermosensing by the master virulence regulator RovA of <i>Yersinia</i> . <i>J. Biol. Chem.</i> 287 , 35796–35803 (2012).
24 25 26 27	73.	Kapatral, V. <i>et al.</i> Gene array analysis of <i>Yersinia enterocolitica</i> FlhD and FlhC: regulation of enzymes affecting synthesis and degradation of carbamoylphosphate. <i>Microbiol</i> 150 , 2289–2300 (2004).
28 29 30 31	74.	Perry, R. D. <i>et al.</i> Temperature regulation of the hemin storage (Hms+) phenotype of <i>Yersinia pestis</i> is posttranscriptional. <i>J. Bacteriol.</i> 186 , 1638–1647 (2004).
32 33 34 35 36	75.	Fälker, S., Schmidt, M. A. & Heusipp, G. Altered Ca2+ Regulation of Yop Secretion in <i>Yersinia enterocolitica</i> after DNA Adenine Methyltransferase Overproduction Is Mediated by Clp-Dependent Degradation of LcrG. <i>J.</i> <i>Bacteriol.</i> 188 , 7072–7081 (2006).
37 38 39 40	76.	Grabenstein, J. P., Marceau, M., Pujol, C., Simonet, M. & Bliska, J. B. The Response Regulator PhoP of <i>Yersinia pseudotuberculosis</i> Is Important for Replication in Macrophages and for Virulence. <i>Infect. Immun.</i> 72 , 4973– 4984 (2004).

1		
2 3 4 5	77.	Rebeil, R. <i>et al.</i> Induction of the <i>Yersinia pestis</i> PhoP-PhoQ regulatory system in the flea and its role in producing a transmissible infection. <i>J. Bacteriol.</i> 195, 1920–1930 (2013).
6 7 8 9	78.	Pisano, F. <i>et al.</i> Influence of PhoP and intra-species variations on virulence of <i>Yersinia pseudotuberculosis</i> during the natural oral infection route. <i>PLoS One</i> 9 , e103541 (2014).
10 11 12 13	79.	Nuss, A. M. <i>et al.</i> A direct link between the global regulator PhoP and the Csr regulon in <i>Y. pseudotuberculosis</i> through the small regulatory RNA CsrC. <i>RNA Biol.</i> 11 , 580–593 (2014).
14 15	80.	Fookes, M. <i>et al. Salmonella bongori</i> provides insights into the evolution of the Salmonellae. <i>PLoS Pathog.</i> 7 , e1002191 (2011).
16	Key p	publication highlighting the evolution of pathogenesis in Salmonella
17 18 19 20	81.	Navarre, W. W. <i>et al.</i> Co-regulation of <i>Salmonella enterica</i> genes required for virulence and resistance to antimicrobial peptides by SlyA and PhoP/PhoQ. <i>Mol. Microbiol.</i> 56, 492–508 (2005).
21 22	82.	Ali, S. S. <i>et al.</i> Silencing by H-NS potentiated the evolution of <i>Salmonella</i> . <i>PLoS Pathog.</i> 10 , e1004500 (2014).
23 24	Key p	publication showing the importance of H-NS in the evolution of SPI mediated pathogenesis in <i>Salmonella</i>
25 26 27	83.	
		Pallen, M. J. & Wren, B. W. Bacterial pathogenomics. <i>Nature</i> 449, 835–842 (2007).
28 29	84.	
		(2007). Morelli, G. <i>et al. Yersinia pestis</i> genome sequencing identifies patterns of
29 30		(2007). Morelli, G. <i>et al. Yersinia pestis</i> genome sequencing identifies patterns of global phylogenetic diversity. <i>Nat. Genet.</i> 42 , 1140-3 (2010).
29 30 31 32 33	Comj	 (2007). Morelli, G. <i>et al. Yersinia pestis</i> genome sequencing identifies patterns of global phylogenetic diversity. <i>Nat. Genet.</i> 42, 1140-3 (2010). prehensive analysis of the pattern on fine scale and ongoing recent evolution in <i>Y. pestis</i> Song, Y. <i>et al.</i> Complete genome sequence of <i>Yersinia pestis</i> strain 91001,

1 2 3		that facilitated the adaptation of <i>Yersinia pestis</i> to the flea-borne transmission route. <i>Proc. Natl. Acad. Sci. U. S. A.</i> 111, 18709–18714 (2014).
4 5 6 7 8	88.	Sebbane, F., Devalckenaere, A., Foulon, J., Carniel, E. & Simonet, M. Silencing and reactivation of urease in <i>Yersinia pestis</i> is determined by one G residue at a specific position in the <i>ureD</i> gene. <i>Infect. Immun.</i> 69, 170– 176 (2001).
9 10 11	89.	Aleksic, S., Wuthe, H.H. <i>Yersinia enterocolitica</i> serovar 2a, wb, 3:b,c biovar 5 in hares and sheep. <i>Berl Munch Tierarztl Wochenschr</i> 110, 176 (1997).
12 13 14	90.	Swaminathan, B., Harmon, M. C. & Mehlman, I. J. <i>Yersinia enterocolitica. J. Appl. Bacteriol.</i> 52, 151–183 (1982).
15 16 17 18	91.	Shea, J. E., Hensel, M., Gleeson, C. & Holden, D. W. Identification of a virulence locus encoding a second type III secretion system in <i>Salmonella</i> Typhimurium. <i>Proc Natl Acad Sci U S A</i> 93 , 2593–2597 (1996).
19 20 21 22	92.	Schaake, J. <i>et al.</i> Essential role of invasin for colonization and persistence of <i>Yersinia enterocolitica</i> in its natural reservoir host, the pig. <i>Infect. Immun.</i> 82, 960–969 (2014).
23 24 25 26	93.	Schaake, J. <i>et al.</i> Human and animal isolates of <i>Yersinia enterocolitica</i> show significant serotype-specific colonization and host-specific immune defense properties. <i>Infect. Immun.</i> 81 , 4013–4025 (2013).
27 28 29 30	94.	Uliczka, F. <i>et al.</i> Unique cell adhesion and invasion properties of <i>Yersinia enterocolitica</i> 0:3, the most frequent cause of human Yersiniosis. <i>PLoS Pathog.</i> 7 , e1002117 (2011).
31 32 33 34	95.	Valentin-Weigand, P. Heesemann, J. Dersch, P. Unique virulence properties of <i>Yersinia enterocolitica</i> 0:3 - An emerging zoonotic pathogen using pigs as preferred reservoir host. <i>Int. J. Med. Microbiol.</i> 14 , 00090–3 (2014).
35 36 37	96.	Parkhill, J. <i>et al.</i> Complete genome sequence of a multiple drug resistant <i>Salmonella enterica</i> serovar Typhi CT18. <i>Nature</i> 413 , 848–852 (2001).
38 39 40 41 42	97.	Thomson, N. R. <i>et al.</i> Comparative genome analysis of <i>Salmonella</i> Enteritidis PT4 and <i>Salmonella</i> Gallinarum 287/91 provides insights into evolutionary and host adaptation pathways. <i>Genome Res.</i> 18 , 1624–1637 (2008).

1 2 3	98.	McClelland, M. <i>et al.</i> Complete genome sequence of <i>Salmonella enterica</i> serovar Typhimurium LT2. <i>Nature</i> 413 , 852–856 (2001).
4 5 6 7	99.	Nuccio, SP. & Baumler, A. J. Comparative analysis of <i>Salmonella</i> genomes identifies a metabolic network for escalating growth in the inflamed gut. <i>MBio</i> 5 , e00929–14 (2014).
8 9 10 11	100.	Badia, J., Ros, J. & Aguilar, J. Fermentation mechanism of fucose and rhamnose in <i>Salmonella</i> Typhimurium and <i>Klebsiella pneumoniae. J. Bacteriol.</i> 161, 435–437 (1985).
12 13 14 15	101.	Bry, L., Falk, P. G., Midtvedt, T. & Gordon, J. I. A model of host-microbial interactions in an open mammalian ecosystem. <i>Science</i> 273 , 1380–1383 (1996).
16 17 18 19 20	102.	Price-Carter, M., Tingey, J., Bobik, T. A. & Roth, J. R. The alternative electron acceptor tetrathionate supports B12-dependent anaerobic growth of <i>Salmonella enterica</i> serovar typhimurium on ethanolamine or 1,2-propanediol. <i>J. Bacteriol.</i> 183 , 2463–2475 (2001).
21 22	103.	Winter, S. E. <i>et al.</i> Gut inflammation provides a respiratory electron acceptor for <i>Salmonella</i> . <i>Nature</i> 467 , 426–429 (2010).
23 24	Key a	ccount of the role of cob/pdu/ttr in inflammation and intestinal colonisation by <i>Salmonella</i>
25 26 27 28	104.	Thiennimitr, P. <i>et al.</i> Intestinal inflammation allows <i>Salmonella</i> to use ethanolamine to compete with the microbiota. <i>Proc. Natl. Acad. Sci. U. S. A.</i> 108, 17480–17485 (2011).
29 30 31 32	105.	Langridge, G. C. <i>et al.</i> Patterns of genome evolution that have accompanied host adaptation in <i>Salmonella. Proc. Natl. Acad. Sci. U. S. A.</i> 112, 863–868 (2015).
33 34 35 36	106.	Prentice, M. B. <i>et al.</i> Cobalamin synthesis in <i>Yersinia enterocolitica</i> 8081. Functional aspects of a putative metabolic island. <i>Adv. Exp. Med. Biol.</i> 529 , 43–46 (2003).
37 38 39	107.	Rabson, A. R., Hallett, A. F. & Koornhof, H. J. Generalized <i>Yersinia enterocolitica</i> infection. <i>J. Infect. Dis.</i> 131, 447–451 (1975).
40 41	108.	Lian, C. J., Hwang, W. S., Kelly, J. K. & Pai, C. H. Invasiveness of <i>Yersinia enterocolitica</i> lacking the virulence plasmid: an in-vivo study. <i>J. Med.</i>

1 2		Microbiol. 24, 219–226 (1987).
3 4 5 6	109.	Buret, A., O'Loughlin, E. V, Curtis, G. H. & Gall, D. G. Effect of acute <i>Yersinia enterocolitica</i> infection on small intestinal ultrastructure. <i>Gastroenterology</i> 98 , 1401–1407 (1990).
7 8 9 10	110.	Tardy, F. <i>et al. Yersinia enterocolitica</i> type III secretion-translocation system: channel formation by secreted Yops. <i>Embo J.</i> 18, 6793–6799 (1999).
11 12 13	111.	Wagner, D. M. <i>et al. Yersinia pestis</i> and the plague of Justinian 541-543 AD: a genomic analysis. <i>Lancet. Infect. Dis.</i> 14 , 319–326 (2014).
14 15 16	112.	Cui, Y. <i>et al.</i> Historical variations in mutation rate in an epidemic pathogen, <i>Yersinia pestis. Proc. Natl. Acad. Sci. U. S. A.</i> 110, 577–582 (2013).
17 18 19	113. I	Rasmussen, S. <i>et al.</i> Early divergent strains of <i>Y. pestis</i> in Eurasia 5000 years ago. <i>Cell.</i> 163, 1-12 (2015).
20 21 22	114.	Hall, M. <i>et al.</i> Use of whole-genus genome sequence data to develop a multilocus sequence typing tool that accurately identifies <i>Yersinia</i> isolates to the species and subspecies levels. <i>J. Clin. Microbiol.</i> 53 , 35–42 (2015).
23 24 25 26	115.	Bottone, E. J. <i>Yersinia enterocolitica</i> : overview and epidemiologic correlates. <i>Microbes Infect.</i> 1 , 323–333 (1999).
27 28 29 30	116.	Barnes, P. D., Bergman, M. A., Mecsas, J. & Isberg, R. R. <i>Yersinia pseudotuberculosis</i> disseminates directly from a replicating bacterial pool in the intestine. <i>J. Exp. Med.</i> 203 , 1591–1601 (2006).
31 32 33	117.	Bottone, E. J. <i>Yersinia enterocolitica</i> : the charisma continues. <i>Clin. Microbiol. Rev.</i> 10, 257–276 (1997).
34 35 36 37 38	118.	Sebbane, F., Jarrett, C. O., Gardner, D., Long, D. & Hinnebusch, B. J. Role of the <i>Yersinia pestis</i> plasminogen activator in the incidence of distinct septicemic and bubonic forms of flea-borne plague. <i>Proc. Natl. Acad. Sci. U. S. A.</i> 103 , 5526–5530 (2006).
39 40 41 42	119.	Sha, J. <i>et al.</i> Characterization of an F1 deletion mutant of <i>Yersinia pestis</i> CO92, pathogenic role of F1 antigen in bubonic and pneumonic plague, and evaluation of sensitivity and specificity of F1 antigen capture-based dipsticks. <i>J. Clin. Microbiol.</i> 49 , 1708–1715 (2011).
10		

1 Box 1: Phylogenomics of *Yersinia* species: approaches and major findings.

2 Traditionally, bacteria are speciated using limited biochemical tests that are 3 based on the phenotypic expression or non-expression of a trait. This can lead to 4 problems in standardising methods, and the potential to mutate the trait can 5 impact on the phenotype. Genomic information is less ambiguous, and variations 6 within genes under neutral selection can be used to infer evolutionary 7 relationships, providing a robust framework that is independent of phenotypic 8 variation. For the genus Yersinia, 84 housekeeping genes were identified, that 9 showed between 10-25% SNP divergence between *Y. pestis* and *Y. enterocolitica*⁸. These criteria meet those of housekeeping genes used for the design of multi-10 11 locus sequence typing (MLST) schemes¹¹⁴, so that the accumulation of variation 12 reflects the history of the genus, and a maximum likelihood phylogeny can be 13 estimated. Furthermore, Bayesian analysis of the population structure was 14 employed to identify defined species clusters (SC⁸). This means that statistical 15 probabilities are calculated to describe the variation hidden within the 16 population, and does not only consider the sequence of the 84 genes as a whole 17 but as separate gene entities. Some of the species clusters thus described contain 18 multiple species as defined by classical techniques, such as SCs 13 and 14. On the 19 other hand, other species like Y. frederiksenii, that show heterogeneity in their phenotype, are distributed over several SCs (SCs 8, 9, and 14). This highlights the 20 21 limitations of biochemical tests that fail to accurately reflect the relationships 22 between the different species. The analysis also emphasizes the position of the 23 pathogenic species. The human pathogenic species are positioned at 24 diametrically opposite ends of the tree, with the fish pathogen *Y. ruckeri* (green) 25 forming a third outlying SC. Even though no classical root of the tree is given, Y.

ruckeri might be considered an out group since its position within the genus has
 previously been under debate. The position of *Y. pseudotuberculosis—Y. pestis* and *Y. enterocolitica* nevertheless supports independent evolution of their
 pathogenic potential.

5 It must be noted however, that speciation might involve more than purely 6 genomic evidence, as *Y. pestis* and *Y. pseudotuberculosis* are highly similar on a 7 genomic level, yet exhibit markedly different mechanisms of disease as well as 8 niche preference and life style.

9

10 Box 2: Mechanisms of pathogenesis in *Yersinia spp.*

11 The three human-pathogenic species of *Yersinia* share a key genetic component in their ability to cause disease, a large virulence plasmid named pYV (plasmid of 12 13 Yersinia Virulence). Though commonly thought of as a single entity, pYV is 14 actually highly variable between species and strains of species, containing 15 different origin of replications and showing variable genetic architecture^{8,12}. 16 What is common amongst them all is a large genetic locus encoding for the Ysc 17 type III secretion system (T3SS), responsible for the targeted delivery of Yop 18 effector proteins into host cells. The Yops are the key virulence factor in all three 19 of the pathogenic species. Upon contact with host macrophages Yops are injected 20 into the host cells, resulting in silencing of the pro-inflammatory cytokine 21 response and apoptotic death of the infected macrophages⁴⁰.

Y. enterocolitica/Y. pseudotuberculosis – are zoonotic infectious agents which
 cause inflammatory intestinal disease associated with ingestion via consumption
 of undercooked or contaminated pork products and vegetables¹¹⁵. The bacteria
 enter the small intestine where they attach to the intestinal epithelium.

Numerous virulence factors have been implicated in this including the Myf, YadA, and Ail adhesins as well as flagella¹⁸. The invasin protein then triggers internalisation of the bacteria, which translocate across the epithelium⁴⁸. Bacteria replicating in the intestinal tract can also disseminate to lymphatic tissues via infection and silencing of phagocytic immune cells¹¹⁶. As a result, this infection is often diagnosed as pseudo-appendicitis, and can lead to septicaemia¹¹⁷.

8 Y. pestis - unlike Y. enterocolitica and Y. pseudotuberculosis, does not have an 9 intestinal phase to its infection-cycle. Y. pestis host reservoir is rodent fleas, where it forms a biofilm in their foregut⁶⁵. When the flea next takes a blood meal 10 11 the biofilm is regurgitated into the host prey. If injected into the bloodstream the 12 bacteria immediately encounter phagocytic immune cells triggering activation of 13 the Ysc system and host-cell silencing, leading to septicaemic plague¹¹⁸. If 14 injected into the extravascular part of the dermis, the bacteria travel to the 15 lymph nodes where an acute infection is established leading to the formation of 16 painful swellings at the lymph nodes, or buboes¹¹⁸. This is known as bubonic 17 plague. If untreated the infection will spill back into the bloodstream with 18 bacteria travelling to the lungs, where pneumonic plague is established. Y. pestis 19 has acquired additional plasmids, pPla and pFra which play pivotal roles in the 20 insect-infection and systemic-infection phase of the organism^{53,54,119}.

21

22

Figure 1: Diagrammatic comparison of the RovA/YmoA regulons of *Y. enterocolitica, Y. pseudotuberculosis, and Y. pestis.* Genes activated by RovA are
shown in green, and genes repressed by RovA are shown in red. Genes in bold

1 share common RovA regulatory patterns between Y. pseudotuberculosis and Y. 2 *pestis.* Also shown are the loci activated and repressed by RovA in a conserved 3 fashion. This shows that the key acquired pYV plasmid (denoted by the *lcrF* 4 gene) is the only locus showing conserved RovA repression. The invasion 5 encoding gene *inv* is also shown as activated by RovA in a conserved fashion, 6 however the asterix indicates that this is not entirely conserved, as *inv* is a 7 pseudogene in *Y. pestis*. The lack of common activated and repressed genes in the 8 3 species by a conserved regulatory mechanism highlights the key role played by 9 the RovA/YmoA systems in controlling expression of lineage defining loci.

10

11 Figure 2: Gene loss in the emergence of Y. pestis from Y. pseudotuberculosis. Deletion events in genes encoding motility and the metabolic ability to colonise 12 13 the mammalian intestinal tract in ancestral Y. pseudotuberculosis occurred as a 14 result of a shift away from that environment towards an insect-vector phase of 15 the life-cycle. As a result there was selection for deletion of genes encoding 16 insect-toxins, including SNP-based reversible inactivation of the ureD gene, 17 encoding urease, which has high oral toxicity in fleas. For transmission from the 18 flea to occur Y. pestis must form biofilms in the flea foregut. SNP based 19 pseudogene inactivation of *rcsA* resulted in increased expression of the *hms* 20 operon which encodes biofilm formation. Similarly SNP based pseudogene 21 inactivation occurred in a gene encoding a phosphodiesterase. The product of 22 this gene degrades cyclic-di-GMP, a molecule that acts as a transcriptional 23 activator of *hms* and biofilm formation.

24

1 Figure 3: Gene gain and loss in the *Y. enterocolitica* species complex. The diagram 2 shows how the species is organised into phylogenetically distinct phylogroups, 3 which parallel LPS structure and serotype. PG 1 is most similar to the ancestral Y. 4 *enterocolitica*. The emergence of pathogenicity in the species is marked by the 5 acquisition of pYV, and in PG 2 the Ysa T3SS. The YGT T3SS is lost from all the 6 pathogenic phylogroups. The other significant event is the acquisition of 7 serotype specific LPS operons in each of the pathogenic phylogroups. PG 3 has 8 become the dominant isolate from pig reservoirs and human disease cases, and 9 has a deletion in the Flag-2 secondary flagella genes as well as an insertion in the 10 invasin promoter, which increases transcription of this key virulence factor.

11

12 Figure 4: Enteropathogenic Salmonella and Yersinia species use cob/pdu and ttr 13 loci to outcompete normal intestinal flora during mammalian infection. The cob 14 genes encode cobalamin biosynthesis. Cobalamin activates enzymes encoded by 15 *pdu* that degrade or ethanolamine. This process requires the use of tetrathionate 16 as a terminal electron acceptor in anaerobic respiration, the respiration of which 17 is encoded by the ttr genes. The enteropathogenic Yersinia and Salmonella 18 species induce inflammation during infection. This inflammation leads to over-19 production of ethanolamine by the intestinal epithelium, which creates a hostile 20 environment for gastrointestinal commensal bacteria, but a favorable growth 21 environment for the enteropathogens. This leads to the enteropathogens 22 outcompeting the normal flora and overgrowth and colonization by the 23 pathogens.

24

1 Figure 5: A diagrammatic overview of the evolution of pathogenesis in the 2 *Yersinia* genus, highlighting key gene gain and gene loss events in the formation 3 of each of the pathogenic lineages. Acquisition of pYV occurs independently on 4 two occasions within *Y. enterocolitica* PG 2 and PGs 3-6, as well as additionally in 5 a Y. pseudotuberculosis ancestor. These two human-pathogenic lineages have 6 emerged on independent occasions from environmental generalists following 7 similar themes of gene gain, gene loss, metabolic reduction, and genome 8 rearrangements.

9

10 **Glossary**

Compensatory mutation – Mutations that occur to offset detrimental gene gain,
loss, or mutation events in independent parts of the genome.

Conjugation - The transfer of DNA – usually plasmids – between organisms via
direct cell-to-cell contact or a bridge between cells.

15 Cyclic-di-GMP – Secondary messenger molecule used in bacterial signal
16 transduction to modulate gene expression in response to environmental
17 perturbations.

Eco-evo perspective – A perspective in which organisms are evaluated broadly in
the light of evolution and ecology, rather than narrow constraints of their
behaviour in the laboratory or in human infection

21 F1 capsular protein – Protein antigen found on the surface of pathogenic *Yersinia*

22 thought to modulate targeting of bacteria to sites of infection.

23 Genomic islands - Large genetic regions that are part of the accessory gene pool.

24 They form the horizontally acquired part of a genome encoding one of more

25 functional groups of genes. They are frequently associated with tRNA genes and

are flanked by repeat structures, and contain mobility genes coding for
 integrases or transposases required for chromosomal integration and excision.

Horizontal gene transfer - The transfer of DNA, frequently cassettes of genes,
between organisms. This process is in contrast to vertical gene transfer, which is
much more common and occurs when genetic material is passed from parent to
offspring or, more generally, from ancestor to descendent.

7 Integrons - A cassette of genes encoding a site-specific recombinase/integrase, a
8 recombination recognition site, and a promoter. Often found in conjunction with
9 other genes such as antibiotic resistance genes.

IS element - The simplest type of transposable element in a bacterium. Insertion
Sequence elements encode only the gene required for its own transposition, and
is flanked by repeats.

LEE pathogenicity island – Mobile genetic element encoding for a T3SS found in
enteropathogenic and enterohaemmorhagic *E. coli*. Injects effector molecule into
host intestinal epithelial cells resulting in actin rearrangement and formation of
characteristic attaching and effacing lesions.

MarR/SlyA – family of transcriptional regulators found in bacteria. Generally act
as activators of transcription by alleviating HN-S mediated repression.

19 Natural transformation - Direct uptake of DNA from the environment and20 incorporation of this genetic material into the chromosome by competent cells.

Palaeomicrobiology - Study of ancient infectious disease outbreaks by
recovering nucleic acid sequences from ancient human remains.

Phenotypic microarray analysis – High-throughput, automated assays that
determine the ability of bacterial strains to metabolise metabolic substrates in
parallel.

Phylogenomic analysis - The use of whole genome sequences to create
 phylogenetic trees and infer high-resolution evolutionary patterns. This is in
 contrast to using phylogenetic markers such as 16S.

4 Pla – Plasminogen activator protein found in *Y. pestis* and encoded on a *Y. pestis*5 specific plasmid. Protease required for pneumonic infection.

6 Promiscuous (regulon) – Promiscuous regulators assume transcriptional control

7 of large numbers of genes (regulons) that do not come under fine-scale

8 environmental control. Examples are HN-S, IHF, and FIS.

9 Salmonids - Relating to salmon and trout fish.

10 Sideophore - Compound enabling highly efficient capture of exogenous iron.

Transduction - The transfer of DNA – frequently cassettes of genes – between
organisms with the help of phages.

Two-component regulatory system - Bacterial sensor-kinase systems composed of an outer membrane sensor, which autophosphorylates in response to a specific environmental stimulus. This then leads to phosphorylation of a response regulator that up and down regulates expression of operons.

Type III secretion system (T3SS) – A mechanism by which bacteria export proteins. Often responsible for the translocation of bacterial effector proteins from pathogenic or symbiotic bacteria directly into the cytoplasm of their eukaryotic host, where these proteins subvert eukaryotic-cell functions to the advantage of the bacterium.

Verotoxin – Shiga-like toxin produced by verotoxigenic *E. coli*. AB₅ toxin that
targets cells in the renal glomeruli leading to kidney damage.

24 Ymt – Yersinia murine toxin. First characterised as a determinant of lethality in

25 mice, now known to play a crucial role in the ability of *Y. pestis* to survive in fleas.

Yops - Yersinia outer proteins are a set of effector proteins secreted by the Ysc
 T3SS found on the pYV plasmid in pathogenic *Yersinia* spp. Yops are injected into
 phagocytic cells where the silence the production of pro-inflammatory cytokines
 and induce apoptosis in the infected cell.

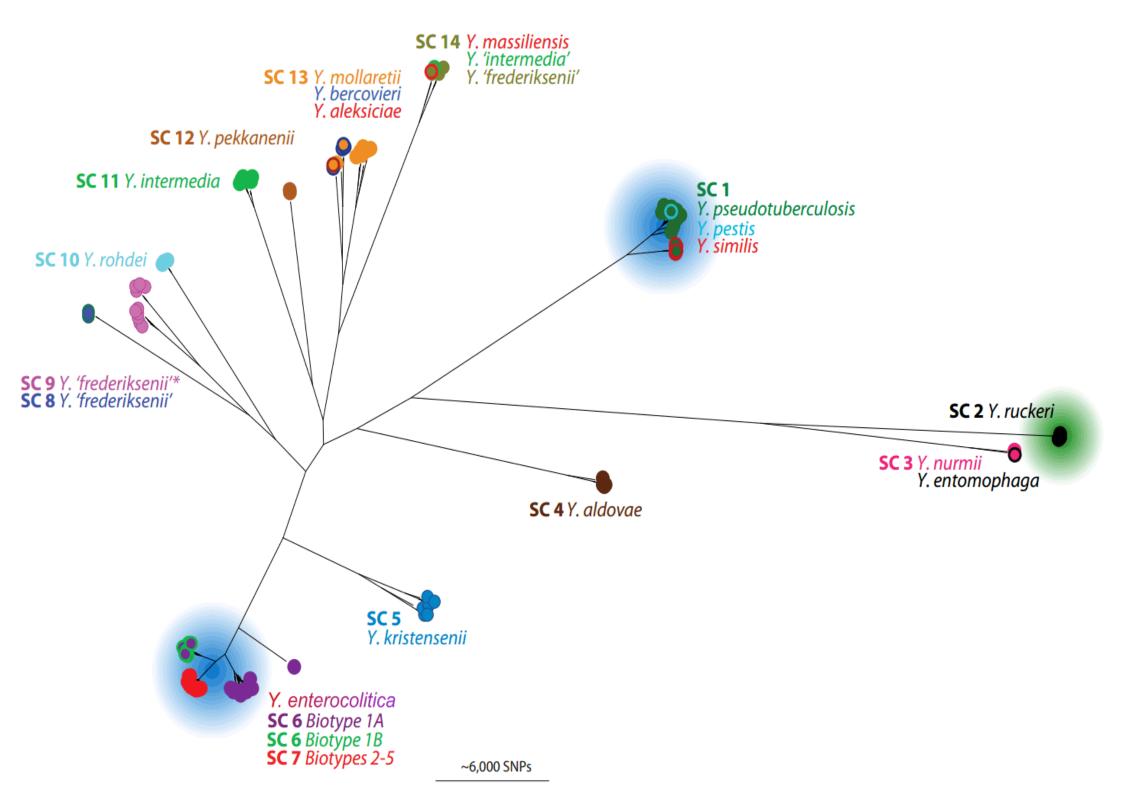
1 Author Biographies

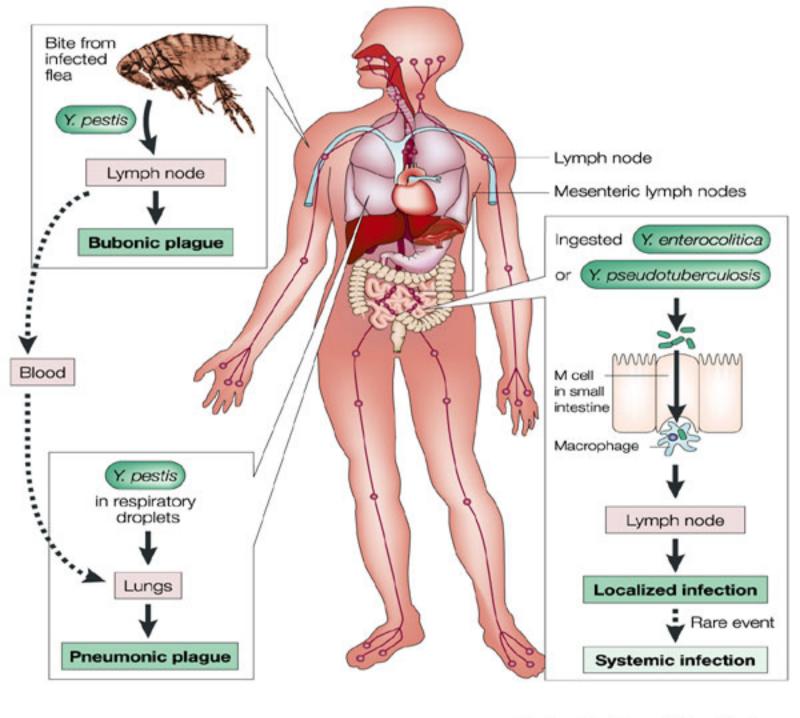
Alan McNally is a reader in Microbial Genomics at Nottingham Trent University.
He started working on *Yersinia* in 2003 as a post-doc in the lab of Dianne Newell
at the veterinary laboratories agency in Surrey. Upon moving to NTU he
continued his work on *Yersinia*, and now has his own group working on the
evolution of pathogenesis in *Yersinia*, and the emergence of drug resistant
lineages of extra-intestinal pathogenic *E. coli*.

8 Nick Thomson is a faculty member at the Wellcome Trust Sanger Institute. He is 9 a microbiologist and bioinformatician and is interested in bacterial evolution and 10 spread with a focus on sexually transmitted and diarrhoeal diseases. He is also 11 Professor of Bacterial Genomics and Evolution at the London School of Hygiene 12 and Tropical Medicine.

Sandra Reuter is a postdoctoral research associate at the University of Cambridge. She undertook her PhD with Alan McNally and Nick Thomson on *Yersinia* whilst at Nottingham Trent University, and continued some of this research at the Wellcome Trust Sanger Institute. She is now working on hospitalacquired infections, mainly methicillin-resistant *Staphylococcus aureus* (MRSA), and antimicrobial resistance.

Brendan Wren is Professor of Microbial Pathogenesis at the London School of
Hygiene and Tropical Medicine since 1999. His research group exploits a range
of post genome research strategies to gain a comprehensive understanding of
how pathogens function and how they interact with their respective hosts.
Current research focuses on glycosylation in bacterial pathogens and developing
a "glycotoolbox" for glycoengineering, comparative phylogenomics and the
evolution of bacterial virulence and mechanisms of bacterial pathogenesis.





Nature Reviews | Microbiology

