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3	The Identification of Hyperinvasive Campylobacter jejuni Strains in Poultry and Human Clinical
4	Isolates
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6	Running Title: Hyperinvasive Campylobacter jejuni strains
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32 SUMMARY

33 Campylobacter jejuni causes gastroenteritis with a variety of symptoms in humans. In the absence of a 34 suitable animal model, in vitro models have been used to study virulence traits such as invasion and toxin 35 production. In this study one hundred and thirteen C. jejuni isolates from poultry and poultry-related (n=74) 36 environments as well as isolates from human cases (n=39) of campylobacteriosis and bacteraemia, were tested for invasiveness using INT407 cells. The method was sufficiently reproducible to observe a spectrum 37 38 of invasiveness amongst strains. As a result, strains were classified as low, high and hyper-invasive. The 39 majority of strains (poultry and human) were low invaders (82 % and 88 % respectively). High invasion was 40 found for 5 % of human strains and 11 % of poultry-related isolates. However, only 1 % of poultry strains 41 were classified as hyperinvasive compared to 13% of human isolates (P= 0.0182). Of those isolates derived 42 from the blood of bacteraemic patients 20% were hyperinvasive, though this correlation was not statistically 43 significant. An attempt was made to correlate invasiveness with the presence of 7 genes previously reported 44 to be associated with virulence. Most of these genes did not correlate with invasiveness, but gene Cj0486 45 was weakly overrepresented, and a negative correlation was observed for the gene *ciaB*. This trend was 46 stronger when the two genes were analysed together, thus ciaB⁻Cj0486⁺ was overrepresented in high and hyperinvasive strains, with low invaders more commonly found to lack these genes (P=0.0064). 47

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49 INTRODUCTION

50 Campylobacter jejuni is a common cause of bacterial enteritis in the industrialised world. In 2006 there were 51 over 46,600 cases in England and Wales reported to the Health Protection Agency Centre for Infections 52 (www.hpa.org.uk). Due to under-reporting this is thought to reflect only about 12 % of the true incidence of 53 campylobacteriosis (Tompkins et al., 1999). Although the disease is generally self-limiting, the symptoms 54 can be particularly debilitating, with severe abdominal pain and cramps followed by profuse diarrhoea. In 55 developed countries disease symptoms indicate an inflammatory infection with blood-containing faeces, 56 even when stools have a more watery appearance (Wassenaar & Blaser, 1999). Transient colonisation with 57 few or no symptoms is more common in developing countries where individuals are constantly exposed to 58 campylobacters. Here individuals are thought to acquire a protective immune response from an early age 59 (Newell, 2002). Asymptomatic infection is rare in the developed world (Food Standards Agency, 2007), but 60 has been reported for people who are frequently exposed to high doses in an occupational setting such as slaughterhouse workers or veterinarians (Cawthraw et al., 2000). This variation in clinical outcome most 61 62 likely reflects both variations in the pathogenic potential of the infecting strain, and the immune status of the 63 host, however the relative contributions of these two factors are presently unknown.

There have been extensive studies to investigate the disease mechanisms of C. jejuni. The accepted 65 66 mechanisms of pathogenesis are colonisation of the mucous layer of the intestine, adhesion to and invasion of the intestinal epithelial cells, and the production of one or more cytotoxins (Wassenaar & Blaser, 1999). 67 68 The inflammatory nature of the disease, as well as strong evidence from *in vivo* studies (Newell & Pearson, 1984; Ruiz-Palacios et al., 2007; Russell et al., 1993), suggest that invasion is an important virulence trait of 69 70 this organism. Many in vitro invasion assays, largely based upon gentamicin protection (Elsinghorst, 1994; 71 Friis et al., 2005), have been developed and used to study the invasiveness of campylobacters using various 72 cell lines including HEp2 (de Melo et al., 1989; Konkel & Joens, 1989), HeLa (Fauchere et al., 1986; Newell 73 & Pearson, 1984), INT407 (Wassenaar et al., 1991) and Caco2 (Everest et al., 1992; Russell & Blake, 1994) 74 cells. Several invasion-related genes have been proposed as a consequence of such studies. The flaA 75 gene has been known for some time to be involved with invasion (Wassenaar et al, 1991) and motility of the 76 organism is strongly linked to its invasive capacity. More recently other genes have been implicated in 77 invasion, notably cadF, a fibronectin binding protein that may provide a potential binding site for the 78 bacterium (Konkel et al., 1997) with an additional involvement in cell signalling leading to GTPase activation 79 (Krause-Gruszczynska et al., 2007); ciaB, which encodes one of eight proteins that are secreted upon 80 contact with the host cell (Konkel et al., 1999); iam (invasion associated marker) identified following fingerprint analysis of invasive strains (Carvalho et al., 2001); and virB8, virB9 and virB11, which are present 81 82 on the pVir plasmid, first identified in strain 81-176 (Bacon et al., 2000; Bacon et al., 2002).

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84 Variation in pathogenicity between strains is a common feature among many enteropathogens, including 85 Salmonella enterica, Escherichia coli and Yersinia enterocolitica. Diversity between C. jejuni strains has been observed in various pathogenicity traits including adherence (Coote et al., 2007; Fauchère et al., 1986; 86 Konkel & Joens, 1989; Zheng et al., 2006) and toxicity (Abu Oun et al., 2005; Bang et al., 2001; Bang et al., 87 88 2003; Coote et al., 2007; Eyigor et al., 1999; Hänel et al., 2007; Johnson & Lior, 1986; Lindblom et al., 89 1990). Variation in invasion between strains of C. jejuni has also been demonstrated (Newell et al., 1985). Wide variation in adhesion and invasion were observed in isolates from retail meat (Zheng et al., 2006) and 90 91 unsuccessful attempts were made to correlate the presence or absence of known virulence-related genes to 92 the phenotypes observed. Similar results have been reported in other studies (Coote et al., 2007; Datta et 93 al., 2003; Müller et al., 2006), suggesting that observable links between gene presence, genotype, isolation 94 source or virulence potential, are rarely observed, or extremely weak (Coote et al., 2007).

95 Risk attribution studies have identified poultry as a major source of human infection (Adak et al., 2005). 96 Indeed, chickens are frequently colonized with Campylobacter and poultry meat is frequently contaminated 97 (Jorgensen et al., 2002). Nevertheless, differences in the population structures of human and poultry strains 98 (Dingle et al., 2001; Koenraad et al., 1995; Krause-Gruszczynska et al., 2007; Manning et al., 2003a) 99 suggest either that not all poultry Campylobacter strains possess the pathogenic potential to cause disease in man, or that not all poultry isolates survive meat processing and storage, thus never reaching the human 100 101 consumer. It seems likely that both explanations contribute to the observed differences in human and 102 poultry C. jejuni populations.

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104 In this study we have investigated whether representative poultry isolates have the capacity to cause human disease using invasion as a surrogate marker of virulence. The invasion potential of 74 poultry and poultry-105 106 related isolates was compared with that of 39 human clinical isolates, some of which were from blood and 107 were therefore assumed to be invasive to the human host. In contrast the poultry isolates were, for the most 108 part, epidemiologically-unrelated and had been obtained from asymptomatic birds and their environments. 109 The results confirm variation in invasiveness among C. jejuni strains. A hyperinvasive group of strains has 110 been identified; a greater proportion of which were found among the human isolates. The genetic 111 relatedness of these strains was determined by multilocus sequence typing (MLST). In addition, the 112 presence of putative invasion-related genes was investigated by PCR.

113

114 METHODS

Bacterial strains and growth conditions. *C. jejuni* strains (n=66) were isolated from cloacal swabs of broilers, conventionally housed in farms within the South East of England, in 1996 and 1997. Two additional poultry cloacal isolates and 6 broiler house environmental strains (taken from puddles around the broiler house) were isolated from a farm in the South West of England and were thus temporally and geographically related.

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121 Thirty-nine human *C. jejuni* clinical isolates were also investigated; 29 were isolated from the stools of 122 patients with diarrhoea, who had presented to their general practitioner. The remaining ten strains were 123 isolated from the blood of hospitalised patients with bacteraemia.

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125 Three laboratory-adapted *Campylobacter* strains, originally of clinical origin, of which the genome sequences 126 are now known, were included as reference strains: *C. jejuni* strain NCTC 81116 originally isolated during a water outbreak in the UK in 1981 (Palmer *et al.*, 1983; Pearson *et al.*, 2007); *C. jejuni* 81-176, bearing the
pVir plasmid (Bacon *et al.*, 2000; Hofreuter *et al.*, 2006), which has previously been reported to be invasive
(Oelschlaeger *et al.*, 1993; Russell & Blake, 1994); and *C. jejuni* strain NCTC 11168, for which the first
complete *Campylobacter* genome sequence was obtained (Parkhill *et al.*, 2000).

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All strains used in this study were stored at -80 °C in 1 % (w/v) proteose peptone water containing 10 % (v/v) 132 glycerol until required. Strains had been minimally passaged in vitro before storage and subsequent testing. 133 134 When required bacteria were inoculated on blood agar containing selective Skirrow's antibiotics (Oxoid, Basingstoke, UK) and Actidione (50 μ gml⁻¹) (BASA) and grown under microaerobic conditions at 42 °C. After 135 24 h growth a loopful of bacteria was inoculated into pre-warmed brain heart infusion broth supplemented 136 with 1 % (w/v) yeast extract (BHI/YE) overlaying BHI/YE agar. This was cultured for 20 h at 42 °C 137 138 microaerobically for invasion assays. These conditions were determined in preliminary studies as optimum 139 growth conditions for the invasion assay.

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141 Invasion assay. The gentamicin protection assay used in this study was based on that of Elsinghorst 142 (Elsinghorst, 1994). INT407 cells, and later Caco2 cells, were obtained from the European Collection of 143 Animal Cell Cultures (ECACC, CAMR, Porton Down, Salisbury). Note that it is now generally recognised 144 that the INT407 cell line was contaminated with HeLa cells in the 1970s and therefore has cellular markers 145 consistent with this contamination. Cells were maintained as a monolayer in Eagles Minimal Essential Medium (EMEM, Sigma) supplemented with 10 % (v/v) foetal bovine serum, 2 mM L-glutamine, 1 % (v/v) 146 non-essential amino acids (NEAA) and 50 µg ml⁻¹ gentamicin (complete media, all from Sigma) at 37 °C in a 147 5% CO₂ atmosphere. Confluent cultures were trypsinised, the cells counted and suspended in the above 148 growth medium at a concentration of 2x10⁵ cells ml⁻¹. A 24 well tissue culture tray was seeded with 1ml per 149 well and incubated at 37 °C for 48 h to establish confluent monolayers (approx. 5 x 10⁵ cells per well for 150 INT407 cells; or 3 x 10⁵ cells per well for Caco2 cells). On the day of the assay the monolayers were washed 151 152 twice with Hanks Balanced Salt Solution (HBSS, Sigma) to remove any residual antibiotics and incubated 153 with 1 ml of a maintenance medium of EMEM, supplemented with 2 mM L-glutamine and 1 % (v/v) NEAA 154 before use. Mid log phase campylobacters were harvested by centrifugation at 2100 g at room temperature 155 and re-suspended in 0.1 M phosphate buffered saline pH 7.2 (PBS). Further dilutions into prewarmed EMEM 156 were carried out to give a bacterial to cell ratio of 200:1. The viable count was determined retrospectively by 157 culturing serial dilutions of the used suspension in PBS on BASA plates as before.

159 A volume of 0.1 ml of the bacterial suspension was inoculated into triplicate wells containing confluent monolayers of INT407 cells in 1 ml of maintenance medium. Tissue culture plates were centrifuged at 450 x 160 161 g at room temperature for 15 min to bring the bacteria in contact with the cells. Centrifugation was carried out to eliminate variations in motility between strains, which could influence the outcome of the assay. Inoculated 162 163 monolayers were incubated for 3 hours to allow the bacteria to invade the cells. After washing three times with HBSS, 2 ml of medium containing 250 μ g ml⁻¹ gentamicin was placed in each well and incubated for a 164 165 further 2 hours to kill extracellular bacteria. Following incubation the monolayers were washed 3 times with HBSS and lysed with 1 % (v/v) Triton X-100 (Sigma) in PBS for 10 minutes at room temperature to release 166 167 the intracellular bacteria. Serial dilutions of the suspensions were made in PBS and inoculated onto BASA 168 plates to determine the number of organisms that survived the gentamicin treatment and hence had invaded 169 the INT407 or Caco2 cells.

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The invasion efficiency of each isolate was expressed as a percentage of the number of bacteria added to 171 172 the well at the start of the experiment with the standard error of the mean calculated from triplicate assays. Statistical analysis of the data was carried out using GraphPad Prism[™] software version 2.01 (San Diego, 173 174 CA, USA). Analysis of variance (ANOVA) with one factor was used to test for significant differences between 175 the mean invasion efficiencies of the test isolates. Despite optimal standardisation of procedures, interexperimental variation remained considerable. Nevertheless, particular strains were consistently found low or 176 177 highly invasive. One low invasive strain, C. jejuni NCTC 81116, was used as an internal control strain in all experiments and invasion potential of all other strains was related to this control strain using Dunnet's post 178 179 test analysis. Invasiveness was then plotted for all investigated strains, and cut-off values for hyper-invasive, 180 highly invasive and low invasive strains were chosen as described in the Results section. The grouping of 181 isolates into the invasion classes was reproducible irrespective of inter-experimental variation (data not 182 shown).

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Translocation and association assays using alternative cell lines. To confirm the invasive capacity observed with INT407 cells, two more phenotypic characteristics were tested: the capacities to translocate across Caco-2 cell monolayers (Konkel *et al.*, 1992) and to associate with HT29-CI.16E mucus-secreting cells (Augeron *et al.*, 1992). For translocation assays Caco-2 cells were grown on porous membrane inserts (3 µm pores) that were immersed in complete media. The cells were allowed to differentiate into polarised monolayers for 14 days. Bacteria were placed in the upper compartment and allowed to associate with the apical surface of the Caco-2 cell surface. The ability of the bacteria to translocate was determined over time

191 by enumerating the number of bacteria that had passed through the cell monolayer into the lower

192 compartment below the porous membrane insert.

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HT29-CI.16E is a homogenous colonic epithelial goblet cell line (Augeron *et al.*, 1992). As gentamicin is
unable to penetrate the mucus-secreted by this cell line, total association of the bacteria with these cells was
measured. The assay was carried out as described above but after the initial three hour incubation the cells
were lysed with Triton X-100 and the total number of bacteria that were in association and internalised was
determined by viable count.

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Motility assay. To test whether variation in invasion corresponded with variation in motility the following motility studies were carried out. Bacterial strains were grown as described on BASA plates, adjusted to a similar concentration spectrophotometrically, at a wavelength of 600nm, and 1 µl of the suspension was stabbed into semi-solid media (0.4% Muller Hinton agar). Both a test strain and a control strain were included on the same agar plate to avoid plate to plate variation. The plates were incubated as described for 48 hours at 42 °C and the diameter of the halo of growth measured for each strain. Each strain was tested in triplicate.

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Scanning electron microscopy. Specimens were fixed for 16 hours in 3% (v/v) gluteraldehyde in 0.1M phosphate buffer, pH 7.4, washed in phosphate buffer and post fixed in 1% (w/v) osmium tetraoxide in the same buffer. Specimens were rinsed in six changes of phosphate buffer, dehydrated in ethanol and placed in acetone. Specimens were subjected to critical point drying with liquid carbon dioxide. Dried specimens were fixed to aluminium stubs with silver conductive paint, sputter coated with gold and examined using a Stereoscan S250 MarkIII scanning EM at 10-20 KV.

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PCR screening of isolates. PCR screening was conducted on a subset of strains (n= 61), selected on the 215 216 basis of their invasion phenotype to determine the presence of invasion-related genes. PCR primers, as 217 previously published, were used for detection of cadF iamA, virB11 and ciaB (Datta et al., 2003), Primers for 218 virB8 and virB9 were derived from the C. jejuni strain 81-176 sequence (accession number AF226280) 219 (virB8 FWD 5'-GCCATTACTTTCTTGCCCC, virB8 REV: 5'-CGCTCCTTTCGTTGTGTG; virB9 FWD 5'-GTTCCTAACCCTAATGCAAAC, virB9 REV 5'- CTACACATACATAACTATCTCC). In addition to the 220 published invasion-related genes, the presence of another gene, Cj0486, was determined since this gene 221 222 was identified as having a potential role in the invasion of C. jejuni by transposon mutagenesis (Manning et

al., 2003b). Primers for gene Cj0486 were Cj0486 FWD 5' GATAGAGCATTAAATTGGGATG 3', and Cj0486
REV 5' CCTATAAAGCCATACCAAGCC 3'. Primers were used at a concentration of 10 pmol μL⁻¹ and the
pre-prepared PCR mastermix, HotStar *Taq* Polymerase, was used for the reactions (Qiagen, Crawley UK).
PCR conditions were as follows: an initial denaturation step of 95 °C for 15 min, 25 cycles of: denaturation for
45 sec at 95 °C; annealing for 45 sec at the temperature used by the authors in the above references, or 50
°C, 50 °C and 58 °C for the *virB8*, *virB9* and Cj0486 genes respectively; extension for 90 sec at 72 °C;
followed by extension for 10 min.

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Multilocus sequence typing (MLST). MLST was conducted on all the 61 isolates screened by PCR above
 using the primers and conditions previously described (Dingle *et al.*, 2001; Manning *et al.*, 2003a).

233

234 **RESULTS AND DISCUSSION**

235 Invasiveness of C. jejuni strains from poultry and poultry-related environments

236 Invasiveness of the 74 poultry-associated strains was tested using INT407 cells and invasion was related to 237 a low invasive control strain, C. jejuni NCTC 81116, to correct for inter-experimental variation. Invasiveness 238 varied considerably between the investigated strains, as is shown in Figure 1. From the distribution profile 239 obtained, three classes of relative invasiveness were defined. Strains that were at least 25 times as invasive 240 than NCTC81116 were classified hyper-invasive and strains more than 10 times as invasive were classified 241 as highly invasive. Below this level, strains were classified as low invaders. The cut-off level of 10 times the 242 reference strain was chosen to divide low from high invaders since the distribution of invasiveness seems to 243 drop at this level (as seen in Figure 1); below this cut-off invasion steadily decreases. The vast majority of 244 strains (88 %) were thus classified as low invaders (between 0.0006 % to 0.3 % of the bacterial inoculum 245 internalised). Although these invasion efficiencies are low in comparison to those reported for invasive 246 Salmonella (Finlay & Falkow, 1990; Huang et al., 1998), Shigella (Honma et al., 2000), Yersinia (Pepe & Miller, 1993) and E. coli (Boudeau et al., 1999) they are similar to those previously reported for C. jejuni 247 (Biswas et al., 2000; Everest et al., 1992; Konkel & Joens, 1989; Tay et al., 1996). The number of strains per 248 isolation source in each invasion class is summarized in Table 1. Of the poultry-related isolates, 11 % (8 of 249 250 74 strains) were classed as highly invasive (between 0.3% and 1% of the inoculum internalised, whereas only EX114, a strain isolated from a puddle, possessed the hyper-invasive phenotype (1.2 % of the bacterial 251 252 inoculum internalised). Interestingly, the other strains that were isolated at the same time from puddles 253 surrounding the same poultry house were all found to be low invaders.

255 Invasive potential of clinical isolates. Campylobacter strains (n=39) isolated from patients with campylobacteriosis (enteritis and/or bacteraemia) also possessed a range of invasion phenotypes from low 256 257 to hyperinvasive as previously defined (Table 1). However, a higher percentage of human isolates was found to be hyperinvasive (13 %) compared to poultry isolates (1 %). This difference was statistically significant (P= 258 259 0.0182). The proportion of low invaders varied very little between human and poultry isolates (82 % and 88 % respectively), while of the clinical isolates only 5 % were highly invasive (compared to 11 % in poultry). 260 261 The prevalence of hyperinvasive strains from patients with bacteraemia (20 %, Table 1) was higher than 262 among the stool isolates (10 %) though this was not statistically significant (P= 0.3812). Medical records of 263 the cases from which the blood isolates originated showed that 3 of the 10 bacteraemic patients had prior 264 debilitating conditions, such as neutropoenia or chronic renal failure, which may have rendered them more 265 susceptible to bacteraemia. However, at least in some cases, bacteraemia may have been the result of 266 infection with a more invasive strain. Our data support a role for invasion in human disease as a greater proportion of human isolates were hyperinvasive compared with poultry isolates. 267

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Several studies have compared the variation in invasiveness of clinical isolates with those of animal and environmental isolates (Biswas et al., 2000; Fernandez & Trabulsi, 1995; Konkel & Joens, 1989; Manninen et al., 1982; Newell et al., 1985; Tay et al., 1996). Despite a low number of isolates tested in each of these studies, all studies have shown that the prevalence of invasive isolates is higher among clinical isolates than animal isolates and our data are in accordance to these previous reports.

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275 Confirmation of invasiveness using alternative cell lines. It is well recognised that such INT407-based 276 invasion assays poorly reflect the in vivo situation as a result of their de-differentiated status, There are some 277 cell lines which more closely mimic the differentiated intestinal tract. All six hyperinvasive strains identified 278 within this study were subsequently tested for invasion of Caco2 cells grown as a monolayer (data not 279 shown). Five out of the six strains were invasive in Caco2 cells, one of which was over 4 times more 280 invasive than the control and the other four had invasion efficiencies greater than 10 times that of the reference strain NCTC 81116, including one human clinical isolate, 01/51, maintaining a hyperinvasive 281 phenotype in this cell line (26 times more invasive than NCTC 81116). The sixth strain had a low invasive 282 phenotype in Caco2 cells. Three strains with a low invasive phenotype were also tested using Caco2 cells, 283 all of which maintained this phenotype in the alternative cell line (data not shown). The different invasion 284 285 phenotypes observed using Caco2 cells may be due to inherent differences in the cell lines used (Friis et al., 2005). 286

Caco-2 cells under defined culture conditions can also be used to generate polarised and differentiated 288 289 monolayers. Such organised cell systems are considered models of the intestinal epithelium. The ability of C. jejuni to translocate may also be a virulence property (Lee et al., 1986), particularly to enable access to the 290 291 underlying gut epithelial tissues (Bras & Ketley, 1999; Everest et al., 1992; Konkel et al., 1992). The hyperinvasive puddle isolate (Ex114) and two low invasive strains (C. jejuni NCTC 81116 and a second 292 293 puddle isolate Ex323 from the same farm as Ex114) were tested in the translocation model. All three strains 294 possessed the ability to translocate, however large differences in their efficiencies were measured (Figure 2). 295 The hyperinvasive puddle isolate was the most efficient at translocating through the monolayer. 296 Approximately 14-fold more bacteria had passed through the monolayer after four hours compared to the 297 two low invasive strains, both of which had low levels of translocation. These data support the INT407 cell 298 invasion data. 299 300 The hyperinvasive strain, Ex114, and the low invasive reference strain C. jejuni NCTC 81116 were also tested for their ability to associate (adhere and invade) with HT29-CI.16E mucus-secreting cells. NCTC 301 81116 demonstrated a low association with these cells while the hyperinvasive strain, Ex114, possessed a 302 303 high association capacity (Figure 3). Increasing the number of bacteria added to the monolayer did not 304 significantly increase association of NCTC 81116, which was approximately 40 fold lower than the 305 hyperinvasive strain. 306 307 The finding that selected hyperinvasive and low invasive strains retained their relative differences in 308 invasiveness in these alternative tissue culture models provides supporting evidence that the INT407 cell 309 assay is a suitable surrogate and objective measure of the invasion potential of C. jejuni. 310 The hyperinvasive phenotype is not due to enhanced adhesion or motility. Scanning electron 311 312 microscopy was used to visualise the number of bacteria of strains NCTC 81116 and Ex114 adhered to the 313 mucous layer covering HT29-CI.16E cells. No detectable differences in the abilities of these strains to adhere to the mucus were observed (data not shown). This suggests that the increased association demonstrated 314 315 by the hyperinvasive strain to the HT29-CI.16E cells is attributable to increased invasiveness, rather than to

316 more efficient attachment.

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The motility of the three *C. jejuni* strains representing the low and hyperinvasive phenotypes (Ex114, Ex323 and NCTC 81116) was determined used semi-solid motility agar. All tested strains were fully motile, with a diameter of growth varying between 5.0 and 5.8 cm. As there was little difference in motility between hyperand low invasive strains, it seems unlikely that motility influenced the invasion capacity.

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Prevalence of known virulence-related genes. Attempts were then made to correlate the invasion phenotype to particular genetic characteristics. The presence of six previously-reported invasion-associated genes was determined by PCR in 62 isolates (Table 2), selected to represent all sources and invasion phenotypes recognized. The three reference strains were also included. The genes studied included *cadF*, *ciaB*, *iamA*, *virB8*, *virB9* and *virB11*. In addition, gene Cj0486 was included as it had been identified as potentially related to invasion by transposon mutagenesis (Manning et al., 2003b).

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The results of the PCRs are given in Table 2. The reference strain NCTC 11168 was found positive for all 330 331 PCR reactions except iamA and the virB genes. Previous studies reported that NCTC 11168 does not 332 contain the pVir plasmid (Bacon et al., 2000) and so absence of the virB genes was to be expected, however NCTC 11168 was thought to contain the *iamA* gene. Comparison of the *iamA* gene sequence from NCTC 333 334 11168 with that previously identified in an invasive strain (Carvalho, et al., 2001), from which strain the iamA 335 PCR primers were derived, suggested that lack of conservation of the primer sequences could explain the 336 absence of a PCR product from NCTC 11168. The other two reference strains NCTC 81116 and 81176 also 337 lacked the *iam*A gene as well as Cj0486 as was expected from their respective genome sequences (Hofreuter et al., 2007). As expected strain 81176 possessed the three pVir-derived genes. Although these 338 339 three reference strains were originally isolated from clinical cases, their phenotypes may have changed over 340 time as a consequence of multiple laboratory passages (Gaynor et al., 2004). However, because the 341 genome sequences are known for all three strains, this provides evidence of the validity of the PCR tests.

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Only *cadF* was present in all isolates tested regardless of invasive phenotype or isolation source. This confirmed previous studies of the prevalence of *cadF* (Datta e*t al.*, 2003; Dorrell *et al.*, 2001; Müller e*t al.*, 2006; Pearson *et al.*, 2003; Zheng e*t al.*, 2006). In contrast the other genes tested varied in presence from 82 % (*cia*B) to 2 % (*virB9*) of strains. The observed frequency is summarised for the three invasion potential classes and for the two main isolation sources (poultry and humans) in Table 3. None of the hyperinvasive strains possessed all of the genes investigated by PCR. Presence of the Cj0486 gene weakly correlated with invasive phenotype, in that 73 % of highly invasive strains were positive against 62% of the low-invasive

350 strains. In contrast the ciaB gene showed a negative correlation to invasiveness as it was more common in low invasive strains compared to highly and hyperinvasive strains (Table 3). This finding contrasts with a 351 352 prevalence approaching 100% for this gene, reported previously (Datta et al., 2003; Dorrell et al., 2001; Müller et al., 2006; Pearson et al., 2003; Zheng et al., 2006). Considering these two genes together, a 353 354 significant correlation was found (P=0.0064) for ciaB presence combined with Cj0486 absence: this pattern was found in 33 % of low invaders but only in 12 % of the combined highly or hyperinvasive strains. 355 Conversely, ciaB absence combined with Cj0486 presence was found in 29 % of high or hyperinvasive 356 357 strains, but only in 2 % of the low invaders (Table 3). The observed correlations between presence or 358 absence of Cj0486 and ciaB may or may not be causative; the genes may either encode proteins that 359 enhance or reduce invasiveness, or they may be genetic markers for such a phenotype without encoding a 360 product functional in invasion. That protein CiaB is produced upon contact with host cells (Rivera-Amill and Konkel, 1999) suggests a functional relationship with cell contact for this gene. However, the observed 361 correlation described in this study suggests that this protein may limit invasion rather than promote it. 362 Interestingly, mutagenesis of Cj0486 in the hyperinvasive C. jejuni strain 01/51 resulted in a mutant with a 363 364 reduced invasion potential of just 10 % of that of the wild-type (Manning et al., 2003b), indicating a functional, positive relationship between the Cj0486 gene product and invasion. The gene Cj0486 is 365 366 annotated as a putative sugar transporter in NCTC11168 (Parkhill et al., 2000), with homology to fucP, 367 encoding L-fucose permease, in C. jejuni strain RM1221 (Fouts et al., 2005). It is likely that such a sugar 368 transporter is located in the inner membrane and may be linked to chemotaxis as L-fucose is a reported chemoattractant of *C. jejuni* (Hugdahl et al., 1988). It should be noted that the correlation with invasiveness 369 370 cannot be explained by differences in chemotaxis or motility, as the invasion assay included centrifugation to 371 overcome such differences, and a correlation between invasiveness and motility was not found, as 372 discussed above.

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374 As the three genes virB11, virB8 and virB9 have been reported to encode type IV secretion proteins (Bacon 375 et al., 2002) it seemed likely that these genes may play a role in cell contact or invasion. Indeed mutational analysis of virB11 (Bacon et al., 2002) and virB9 (Bacon, et al., 2000) have indicated a significant role in 376 invasion for these two genes. Mutation of the virB11 gene resulted in an 11-fold reduction in invasion, and 377 reduced virulence in the ferret model. The virB11 gene was present in only 5 of the 62 (8 %) isolates in our 378 379 study, which is consistent with other reports (Bacon et al., 2000; Datta et al., 2003). These 5 strains included 380 one of the hyperinvasive strains and one of the highly invasive strains, however, the numbers involved are 381 too small to draw any conclusions about association with invasiveness. The three genes encoded by pVir

were only rarely found (Table 2), presumably reflecting the low prevalence of pVir, and usually (but not always) detected together. Genes *virB*8 and *virB9* were even less prevalent (3 % and 2 % respectively) than *virB11*, indicating diversity in the pVir genetic content.

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Surprisingly *iam*A was not found in human isolates but was present in 31 % of poultry isolates (Table 3). Similar observations have been reported (Rozynek *et al.*, 2005) in Poland where 1.6% of isolates from Polish children, but 55 % of chicken isolates possessed this gene . In contrast a study testing only 11 strains from various sources (human enteritis, milk and bovine sources) detected the *iam*A gene in all strains (Müller e*t al.*, 2006).

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392 Clearly there are considerable discrepancies between studies attempting to correlate invasiveness with 393 genomic content. One possible explanation is the inherent limitations of gene detection using PCR. False-394 negative results can be expected when a gene is polymorphic and the designed PCR primers do not detect 395 the presence of particular orthologs. On the other hand, a gene may be present but mutated and non-396 functional or not expressed, leading to a lack of correlation with phenotype. However, it seems much more likely that invasiveness is the result of the interplay of numerous genes, some of which may be redundant 397 398 and others which may be interchangeable. More comprehensive studies in the future using DNA 399 microarrays may more accurately identify correlations between genotype and phenotype.

400

401 Distribution of strains with known invasion potential amongst the MLST clonal complexes.

402 The strains selected for this study were, to the best of our knowledge, not epidemiologically related. 403 Nevertheless, we determined the phylogenetic relationship of all 62 strains selected above by MLST, to 404 assess if the hyperinvasive strains were related. MLST analysis showed that the isolates were representative of 17 already-established sequence type (ST) complexes (http://pubmlst.org/campylobacter/) (Table 2). The 405 406 ST21 complex was the most highly represented among the 62 isolates tested, with 22 strains belonging to 407 this complex. This is in line with previous reports in which this complex is highly represented within the C. 408 jejuni population (Dingle et al., 2001; Manning et al., 2003a). The remaining strains belonged to at least 16 ST complexes; with each complex represented by up to 5 isolates within the 62 strains tested. There were 409 410 also 4 isolates with sequence types that are so far unassigned to any ST complex (database last searched 411 August, 2007). Four of the six hyperinvasive strains were part of the ST21 complex (3 were ST21 and one 412 was ST916). Of the other two hyper-invasive strains one was ST914 (Ex114), which is part of the ST682 complex and one was ST677 (0104), which is part of the ST677 complex. Interestingly, the ST682 complex 413

414 contains a number of isolates from wild bird sources (<u>http://pubmlst.org/campylobacter/</u>), suggesting that
415 Ex114, which was isolated from a puddle on a farm, may well have originated from a wild bird, rather than a
416 poultry source.

417

418 Overall these results show that hyperinvasiveness is not restricted to strains belonging to a particular ST 419 complex. All ST complexes represented in this study, excepting three (ST354, ST677, and ST682 complex) contained isolates with a low invasion potential, suggesting that this phenotypic group, too, is genetically 420 421 diverse. Using Pearson's Chi-square test, no association was found between ST complex and invasion potential. The majority of isolates belonging to the most common ST21 complex possessed cadF (100 %), 422 423 Cj486 (100%) and ciaB (90%) but only a minority possessed of cases iamA (13%) or virB11 (13%). None of 424 the ST21 isolates were *ciaB*⁺Cj486⁻ and only 14 % were *ciaB*⁻Cj486⁺. These findings suggest that ST21 complex isolates are no more likely to be invasive than those of other clonal complexes and in fact the 425 combination ciaB Cj486⁺, overrepresented in low invasive strains, is also overrepresented in ST21 isolates 426 427 compared to the total number of isolates.

428

In conclusion, using a relatively large number of isolates (n=113), we have shown that C. jejuni strains 429 430 exhibit a range of invasion phenotypes, and that hyper-invasiveness is more frequently observed among 431 human clinical isolates. Nevertheless, 82 % of clinical isolates have a low invasion phenotype and a similar proportion (88 %) was also found in poultry isolates. Attempts to correlate the hyper- or high-invasiveness 432 433 with the presence of putative invasion-associated genes indicated an association with the ciaB⁻Cj0486⁺ 434 genotype but the molecular basis of this observation needs to be studied further. Overall these results suggest that invasiveness in the host is a consequence of the interaction of multiple bacterial factors. 435 However, it must also be considered that the outcome of infection with C. jejuni is highly dependant on the 436 437 physiological and immunological status of the host.

438

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- **Table 1:** The invasiveness of *C. jejuni* isolates from poultry, the poultry environment (surrounding a broiler
- house) and human clinical isolates from both blood and faeces.

Source	Number of strains displaying invasiveness† (% per isolation source)							
	Low	High	Hyper	Total				
Poultry cloaca	60 (88%)	8 (12%)	0	68				
Poultry environment	5 (83%)	0	1 (17%)	6				
Poultry-related total	65 (88%)	8 (11%)	1 (1%)	74				
Human faecal	24 (83%)	2 (7%)	3 (10%)	29				
Human blood	8 (80%)	0	2 (20%)	10				
Human total	32 (82%)	2 (5%)	5 (13%)	39				

† Strains were grouped into the three invasion phenotypic groups according to their invasiveness compared

to the control strain *C. jejuni* 81116 as rationalised in the text. Isolates were classified as hyper-invasive,

high and low invaders using the criteria explained in the text and as shown in Figure 1.

644 isolates (n=62) of human, poultry and poultry-related sources.

Strain	Source	Clonal Complex	ST complex	Invasion Phenotype	cadF	ciaB	Cj0486	iamA	virB11	virB8	virB9	<i>ciaB</i> + C <i>j</i> 0486 ⁻	ciaB ⁻ Cj0486+
01 10	Human	21	21	Hyper	+	+	+	-	-	-	-		
01 35	Human	21	21	Hyper	+	-	+	-	-	-	-		\checkmark
01 51	Human	21	21	Hyper	+	-	+	-	-	-	-		\checkmark
01 41	Human	21	916	Hyper	+	+	+	-	-	-	-		
01 04	Human	677	677	Hyper	+	+	-	-	-	-	-	\checkmark	
01 37	Human	21	21	High	+	-	+	-	-	-	-		\checkmark
01 38	Human	354	354	High	+	-	+	-	-	-	-		\checkmark
01 50	Human	21	19	Low	+	+	+	-	-	-	-		
01 39	Human	21	53	Low	+	+	+	-	-	-	-		
01 40	Human	21	53	Low	+	+	+	-	-	-	-		
01 42	Human	21	104	Low	+	+	+	-	-	-	-		
01 33	Human	21	943	Low	+	+	+	-	-	-	-		
01 07	Human	22	22	Low	+	+	-	-	-	-	-	\checkmark	
01 32	Human	22	22	Low	+	-	-	-	-	-	-		
01 11	Human	45	137	Low	+	+	-	-	-	-	-	\checkmark	
01 30	Human	45	45	Low	+	+	-	-	-	-	-	\checkmark	
01 48	Human	48	48	Low	+	+	+	-	-	-	-		
01 09	Human	52	52	Low	+	+	+	-	-	-	-		
01 52	Human	52	775	Low	+	+	+	-	-	-	-		
01 08	Human	61	61	Low	+	+	-	-	-	-	-	\checkmark	
01 43	Human			Low	+	+	+	-	-	-	-		
01 36	Human			Low	+	+	+	-	-	-	-		
01 05	Human			Low	+	+	-	-	-	-	-	✓	
01 06	Human			Low	+	+	-	-	+	-	-	\checkmark	
C322/12				High	+	-	+	-	-	-	-		\checkmark
C423/5	Poultry			High	+	-	-	+	-	-	-		
	Poultry			High	+	-	-	+	-	-	-		
C153/1	Poultry		21	High	+	+	+	-	-	-	-		
C223/10	•		21	High	+	+	+	-	-	-	-		
	Poultry		63	High	+	+	+	-	-	-	-		
C181/12			910	High	+	+	+	-	-	-	-	,	
C272/11	•			Low	+	+	-	-	-	-	-	\checkmark	
C2/2	Poultry		21	Low	+	+	+	-	-	-	-		
C201/8	Poultry		21	Low	+	+	+	-	-	-	-		
C2/3	Poultry		21	Low	+	+	+	+	-	-	-		
C13/4	Poultry	21	21	Low	+	+	+	-	+	-	-		
C39/10	Poultry		44	Low	+	+	+	+	-	-	-		
C1/1	Poultry		53	Low	+	+	+	-	-	-	-		
C3/4	Poultry		104	Low	+	+	+	-	+	-	-		
C27/7	Poultry	21	262	Low	+	+	+	-	-	-	-		
C1/2	Poultry		489	Low	+	+	+	+	-	-	-		/
C216/11			911	Low	+	-	+	+	-	-	-	/	V
C187/5	Poultry	45	45	Low	+	+	-	+	-	-	-	\checkmark	

Strain	Source	Clonal Complex	ST complex	Invasion Phenotype	cadF	ciaB	Cj0486	iamA	virB11	virB8	virB9	ciaB+ Cj0486 ⁻	ciaB ⁻ Cj0486+
C4/1	Poultry	48	475	Low	+	+	+	-	-	-	-		
C4/6	Poultry	48	475	Low	+	+	+	-	-	-	-		
C1/8	Poultry	49	907	Low	+	+	+	+	-	-	-		
C70/6	Poultry	49	909	Low	+	+	+	-	-	-	-		
C196/14	Poultry	49	915	Low	+	+	-	+	-	-	-	\checkmark	
C181/2	Poultry	52	52	Low	+	+	+	+	-	-	-		
C27/14	Poultry	257	257	Low	+	+	+	-	-	-	-		
C35/4	Poultry	283	267	Low	+	+	-	-	-	-	-	\checkmark	
C39/12	Poultry	283	267	Low	+	+	-	-	-	-	-	\checkmark	
C110/4	Poultry	433	433	Low	+	+	-	-	-	-	-	\checkmark	
C148/2	Poultry	433	433	Low	+	+	-	+	-	-	-	\checkmark	
C69/7	Poultry	443	393	Low	+	+	+	-	-	-	-		
C69/2	Poultry	443	393	Low	+	+	+	-	-	-	-		
C12/11	Poultry	658	908	Low	+	+	-	-	-	-	-	\checkmark	
Ex114	Puddle	682	914	Hyper	+	-	-	-	+	+	-		
Ex403	Puddle	45	45	Low	+	-	-	-	-	-	-		
Reference	ce strains	S											
11168	Human	21	21	High	+	+	+	-	-	-	-		
81176	Human	42	913	High	+	+	-	-	+	+	+	\checkmark	
81116	Human	283	267	Low	+	+	-	-	-	-	-	\checkmark	

Table 3: Presence of predicted invasion-related genes in *C. jejuni* isolates with varying invasion potentials

648 (top) and isolation source (bottom).

		Nu	mber of is	olates (%)) positive	for each	gene by I	PCR	
Invasion	cadF	ciaB	Cj0486	iamA	virB11	virB8	virB9	ciaB⁺	ciaB
Potential								Cj486 ⁻	Cj486⁺
Hyper	6	3	4	0	1	1	0	1	2
(n=6)	(100%)	(50%)	(67%)	(0%)	(17%)	(17%)	(0%)	(17%)	(33%)
High	11	6	8	2	1	1	1	1	3
(n=11)	(100%)	(55%)	(73%)	(18%)	(9%)	(4%)	(4%)	(9%)	(27%)
Low	45	42	28	9	3	0	0	15	1
(n=45)	(100%)	(93%)	(62%)	(20%)	(7%)	(0%)	(0%)	(33%)	(2%)
Total	62	51	40	11	5	2	1	17	6
(n=62)	(100%)	(82%)	(65%)	(18%)	(8%)	(3%)	(2%)	(27%)	(10%)
		Nu	mber of is	olates (%) positive	for each	gene by I	PCR	
Isolation	cadF	ciaB	Cj0486	iamA	virB11	virB8	virB9	ciaB⁺	ciaB
Source								Cj486 ⁻	Cj486⁺
Poultry†	35	29	23	11	3	1	0	8	2
(n=35)	(100%)	(83%)	(66%)	(31%)	(9%)	(3%)	(0%)	(24%)	(6%)
Human†	27	22	17	0	2	1	1	9	4
(n=27)	(100%)	(81%)	(63%)	(0%)	(7%)	(4%)	(4%)	(33%)	(17%)
Total	62	51	40	11	5	2	1	17	6
(n=62)	(100%)	(82%)	(65%)	(18%)	(8%)	(3%)	(2%)	(27%)	(10%)

450 † Strains isolated from a puddle close to a poultry farm are included here as 'poultry' strains. Reference

651 strains that were originally isolated from human clinical cases are included here as 'human'.

654 FIGURE LEGENDS

655	Figure 1. Distribution of the 113 isolates tested for invasion into INT407 cells. Invasion is expressed in
656	relation to the reference strain NCTC 81116 which is a relatively low invader. Three classes of invasiveness
657	were defined: hyper-invasive strains are at least 25 times more invasive than the reference strain; high
658	invasive strains are at least 10 times as invasive and low invaders are lower than 10 times as invasive as the
659	reference strain. These findings were reproducible between individual experiments (not shown).
660	
661	Figure 2. Translocation of two C. jejuni strains through a monolayer of differentiated Caco-2 cells. The
662	translocated fraction is shown for 6 time points. White bars: C. jejuni 81116; grey bars: C. jejuni strain Ex323;
663	diagonal striped bars: <i>C. jejuni</i> Ex114.
664	
665	Figure 3. Association of two C. jejuni strains to a monolayer of mucus-secreting cells. The fraction of
666	associated bacteria, expressed as percentage of inoculum, is represented for triplicate experiments.
667	Triangles: <i>C. jejuni</i> 81116; squares: <i>C. jejuni</i> strain Ex114.





