

The *Cronobacter* genus: ubiquity and diversity

Authors: Eva Kucerova, Susan Joseph, Stephen Forsythe

School of Science and Technology

Nottingham Trent University

Clifton Lane

Nottingham, UK

NG11 8NS

Corresponding author:

Prof SJ Forsythe. School of Science and Technology, Nottingham Trent University, Clifton Lane, Nottingham, UK. NG11 8NS. Tel: 0115 8483529. Fax: 01158486636.

Email: Stephen.forsythe@ntu.ac.uk

Authors' contribution:

Eva Kucerova – performed research, and wrote paper

Susan Joseph – performed research

Stephen Forsythe - designed research, and wrote paper

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1 **Abstract**

2 Members of the *Cronobacter* genus (formerly *Enterobacter sakazakii*) have become associated with
3 neonatal infections and in particular contaminated reconstituted infant formula. However this is only
4 one perspective of the organism since the majority of infections are in the adult population, and the
5 organism has been isolated from the enteral feeding tubes of neonates on non-formula diets. In recent
6 years methods of detection from food and environmental sources have improved, though accurate
7 identification has been problematic. The need for robust identification is essential in order to
8 implement recent Codex Alimentarius Commission (2008) and related microbiological criteria for
9 powdered infant formula (PIF; intended target age 0-6 months). Genomic analysis of emergent
10 pathogens is of considerable advantage in both improving detection methods, and understanding the
11 evolution of virulence. One ecosystem for *Cronobacter* is on plant material which may enable the
12 organism to resist desiccation, adhere to surfaces, and resist some antimicrobial agents. These traits
13 may also confer survival mechanisms of relevance in food manufacturing and also virulence
14 mechanisms.

15

1 Introduction

2 Food safety is important to everyone, and especially the highly vulnerable members of our society.
3 This article concerns the bacterial genus *Cronobacter* which can cause severe illness in the highly
4 vulnerable neonates, infants and the elderly. In recent years this group of organisms have gone from
5 curiosity to notoriety and this article aims to deliver a balanced viewpoint on their importance and an
6 overview of our knowledge

7 *Cronobacter* is a genus within the *Enterobacteriaceae* family and is closely related to the
8 *Enterobacter* and *Citrobacter* genera. It has come to prominence due to its association with severe
9 neonatal infections (necrotizing enterocolitis, septicaemia and meningitis) which can be fatal. As
10 neonates are frequently fed reconstituted PIF, which is not a sterile product, this potential vector has
11 been the focus of attention for reducing infection risk to neonates as the number of exposure routes is
12 limited. It should be noted however that such neonatal infections are rare, and not all have been
13 associated with reconstituted formula ingestion. In fact (a) breast milk is also not sterile, (b) *C.*
14 *malonaticus* LMG 23826^T (type strain) was isolated from a breast abscess, (c) *Cronobacter* has been
15 isolated from breast milk, (d) breast milk from mastitic mothers is used to feed neonates, (e) the
16 organism has been isolated from enteral feeding tubes from neonates on non-formula diets and (f) the
17 majority of *Cronobacter* infections, albeit less severe, are in the adult population.

18 To date the raised awareness of the organism has focussed on infant infections and resulted in changes
19 in the microbiological criteria for PIF and reconstitution procedures. In other words, there have been
20 required changes on two sides of the same coin; manufacturing practices and hygienic preparation
21 practices. Such requirements need regulatory enforcement and support, but must be based on robust
22 reliable information. Consequently there have been three FAO/WHO risk assessment meetings on the
23 microbiological safety of PIF (FAO/WHO 2004, FAO/WHO 2006, FAO/WHO 2008). Those
24 identified as being at high risk of *Cronobacter* infection are neonates (especially low birth weight) for
25 whom their source of nutrition will be limited to breast milk, fortified breast milk, or breast milk
26 replacement. Hence hygienic preparation of feed is essential due to their immature immune system

1 and lack of competing intestinal flora. Key advice from these FAO/WHO risk assessments was that
2 PIF should be reconstituted with water >70°C, minimise any storage time by not preparing in advance
3 and if storage for short periods is necessary then the temperature should be <5°C. The high water
4 temperature will drastically reduce the number of vegetative bacteria present, and minimising the
5 storage period will reduce the multiplication of any surviving organisms. These recommendations
6 have been well addressed by the WHO 'Guidelines for the safe preparation, storage and handling of
7 powdered infant formula' which are available online and can be downloaded using the URL given in
8 the Reference section. The FAO/WHO 2004 expert committee recommended that research should be
9 promoted to gain a better understanding of the taxonomy, ecology, virulence and other characteristics
10 of *Cronobacter*. This article addresses many of these topics, using our recent findings on the genomic
11 analysis of the organism and similarities with closely related organisms as well as issues of detection
12 and consumer protection.

13

14 **Taxonomy and identification schemes**

15 **Taxonomy of *Cronobacter***

16 Initially the organism was regarded as a pigmented variety of *Enterobacter cloacae*. In 1974, Brenner
17 showed that the pigmented strains had < 50% homology with non-pigmented strains and it was
18 suggested that they should comprise a new species (Brenner 1974). *E. sakazakii* was later
19 distinguished from *E. cloacae* based on DNA-DNA hybridisation, pigment production, biotype
20 assignment and antimicrobial resistance (Farmer 1980, Izard, Richar et al. 1983), the species name
21 being derived from the Japanese bacteriologist Riichi Sakazaki. DNA-DNA hybridization values
22 were 41% and 54% for *Citrobacter freundii* and *E. cloacae*, respectively, which were used as
23 representatives of the *Citrobacter* and *Enterobacter* genera (Farmer 1980). The results warranted the
24 recognition of a separate species and, as they were phenotypically closer to *E. cloacae*, they were kept
25 in the *Enterobacter* genus. Additional phenotypic analysis led to the description of 15 *E. sakazakii*

1 biogroups, with biotype 1 being the most common (Farmer 1980). At this point, there was no clear
2 evidence of the generic assignment of *E. sakazakii* to the *Enterobacter* genus, however.

3 Since the 1980s, bacterial systematics has increasingly used DNA sequencing for its analysis
4 and for determining relatedness. Analysis of both partial 16S rDNA and hsp60 gene sequencing by
5 Iversen and Forsythe in 2004 showed that *E. sakazakii* isolates formed at least four distinct clusters
6 which probably represented different closely related species (Iversen, Waddington et al. 2004). The
7 15 different biogroups fitted into the 4 clusters, and a 16th biogroup was added in subsequent work
8 (Iversen, Waddington et al. 2006). However, full taxonomic revision required considerable further
9 analysis for substantiation. The *Cronobacter* genus was defined first in 2007 and revised in 2008
10 (Iversen, Lehner et al. 2007, Iversen, Mullane et al. 2008). Differentiation between the newly defined
11 *Cronobacter* species is primarily based on genotypic (DNA-based) analysis and is largely supported
12 by biochemical traits (Table 1) (Iversen, Lancashire et al. 2006). With a few exceptions, the former
13 biotypes and genomogroups correspond with the new species; as shown in Table 1. The genus
14 *Cronobacter* is currently composed of *C. sakazakii*, *C. malonaticus*, *C. turicensis*, *C. mytjensii*, and
15 *C. dublinensis*. Distinguishing between the two species *C. sakazakii* and *C. malonaticus* has been
16 problematic and there are two primary reasons for this. Firstly, the use of biotype profile to designate
17 the species was not totally robust as a few of the biotype index strains were themselves assigned the
18 wrong species (Baldwin, Loughlin et al. 2009). Secondly, there are 7 copies of the rDNA gene in
19 *Cronobacter* and intragenetic differences can lead to uncertain and inconsistent base calls.

20 Since members of the *Cronobacter* genus were formerly known as the single species
21 *Enterobacter sakazakii*, this name was used in publications before mid-2007. Subsequently it is
22 uncertain which specific *Cronobacter* species were referred to in many pre-2007 publications. The
23 majority of isolated strains are usually *C. sakazakii*, and it is probable that this has been the species of
24 major study to date. For our part, we have tried to give cross-references for strains in our own
25 publications to assist readers, and are available on request. Accurate bacterial taxonomy is essential
26 for regulatory control since the detection methods must be based on a thorough understanding of the
27 diversity of the target organism. A number of early methods were based on small numbers of poorly

1 characterised, even misidentified, strains and therefore are not necessarily reliable for their stated
2 purpose.

3

4 **Identification schemes**

5 The Codex Alimentarius Commission (2008) microbiological criteria are applied to PIF with the
6 intended age < 6 months (Codex Alimentarius Commission (CAC) 2008). Hence a number of
7 methods for the recovery of desiccation-stressed *Cronobacter* cells from this group of products have
8 been developed. As the organism has only been reported at low numbers (<1cfu/g), a large volume of
9 material needs to be tested. Subsequently the Codex Alimentarius Commission requirement is to test
10 thirty 10g quantities, and therefore presence/absence testing of PIF is applied rather than direct
11 enumeration. Initial *Cronobacter* detection methods were reminiscent of the stages for *Salmonella*
12 isolation from milk powders. In brief, the steps were pre-enrichment (225ml water or BPW + 25g
13 formula), enrichment (EE broth), plate on to VRBG agar, pick off 5 colonies to TSA and identify
14 phenotypically any yellow pigmented colonies. It is now recognised that there are a number of
15 limitations with this method. There is no initial selection for *Cronobacter*, instead any
16 *Enterobacteriaceae* could be enriched in EE broth and grow on VRBG agar leading to possible
17 overgrowth of *Cronobacter*. Furthermore, not all *Cronobacter* strains are pigmented and so could be
18 overlooked on TSA plates. Finally phenotype databases did not adequately cover the genus resulting
19 in conflicting results between commercial kits. These days improved methods employ chromogenic
20 agars, updated phenotyping databases, along with DNA-based identification and fingerprinting
21 techniques. *Cronobacter* has a notable resistance to osmotic stresses, which may be linked to its
22 ecology, and this trait has been used in the design of improved enrichment broths; modified lauryl
23 sulphate broth containing 0.5M NaCl and *Cronobacter* screening broth with 10% sucrose. The use of
24 chromogenic agar (primarily based on the α -glucosidase reaction) to differentiate *Cronobacter* from
25 other *Enterobacteriaceae* present on the plate was a major improvement. The α -glucosidase activity
26 as a test differentiating the then *E. sakazakii* from *E. cloacae* had been reported in the early days by

1 Harry Muytjens (Muytjens, van der Ros-van de Repe. et al. 1984). As well as testing PIF,
2 environmental samples are taken from the production environment as well as from ingredients
3 (especially starches and other plant-derived material). In addition, production facilities and processes
4 are already designed to control enteric pathogens, especially *Salmonella*.

5 Commercial companies producing phenotyping kits have been updating their databases due to
6 the taxonomic revision, for example, the former *E. sakazakii* Preceptrol™ strain ATCC® 51329 has
7 been reclassified as *C. muytjensii* and should not be confused with *C. sakazakii*. The specificity of
8 some formerly *E. sakazakii* DNA-based PCR probes to the diverse *Cronobacter* genus needs to be re-
9 evaluated, and new species-specific *Cronobacter* probes have been developed.

10 16S rDNA sequences have been traditionally used to determine phylogenetic relationships
11 between organisms including *Enterobacteriaceae*. However 16S rDNA sequencing is of limited use
12 for very closely related organisms because of minor differences in the rDNA sequence. 16S rRNA
13 gene sequencing can distinguish between the *Cronobacter* species as shown in Figure 1. Earlier
14 difficulties in distinguishing between *C. sakazakii* and *C. malonaticus* was possibly due to
15 polymorphic nucleotide sites and depended on the operator interpretation of the sequencing
16 chromatograms for those loci. Additionally there is difficulty using biotyping to define the
17 *Cronobacter* species as some strains defined as particular biotype index strains were misassigned their
18 species. 16S rDNA sequence analysis has been applied to early strains of *E. sakazakii* and other mis-
19 identifications include:

- 20 1. Fatal case of neonatal sepsis infection in neonatal intensive care unit by *E. sakazakii*,
21 reidentified as *E. cloacae* (Caubilla-Barron, Hurrell et al. 2007)
- 22 2. Neonatal intensive care unit outbreak of *E. sakazakii*, reidentified as *E. hormaechei*
23 (Townsend, Hurrell et al. 2008)
- 24 3. Quinolone-resistant *E. sakazakii* strain, reidentified as *E. hormaechei* (Poirel, Nordmann et al.
25 2007)

- 1 4. Oligo-polysaccharide structure for *E. sakazakii*, strain re-identified as *E. ludwiggi* (Szafranek,
2 Czerwicka et al. 2005)

3 **Molecular typing methods**

4 Although it is generally possible to differentiate *Cronobacter* species by biochemical profiling,
5 molecular methods are increasingly used as a more rapid and reliable tool to study bacterial genomic
6 diversity and to track sources of infection. Since the organism is ubiquitous, typing schemes are
7 required both for epidemiological and environmental investigation. As given above, initially 15
8 biogroups of *Cronobacter* were defined with biogroup 1 being the most common. These divisions,
9 however, are not specific enough for epidemiological investigations. Instead, initial procedures used
10 plasmid profiling, chromosomal restriction endonuclease analysis and multilocus enzyme
11 electrophoresis (Clark, Hill et al. 1990, Nazarowec-White, Farber 1999). This was followed by the
12 application of Random Amplified Polymorphic DNA (RAPD) ribotyping, pulsed-field gel
13 electrophoresis (PFGE), and MLVA (multiple-locus variable-number tandem repeat analysis)
14 (Mullane, Ryan et al. 2008). To date, PFGE with two restriction enzymes (*Xba*1 and *Spe* 1) is the most
15 common method (Caubilla-Barron, Hurrell et al. 2007). The technique is widely employed and can
16 be used for transnational investigations, as per PulseNet, since the gel results can be electronically
17 analyzed (<http://www.cdc.gov/pulsenet/>). PFGE is considered the gold standard for genetic typing and
18 is recommended to be used in surveillance and investigations of sources of outbreaks.

19 Typing *Cronobacter* to understand its diversity has led to the development of a multilocus
20 sequence typing (MLST) scheme which is available online (www.pubMLST.org/cronobacter)
21 (Baldwin, Loughlin et al. 2009). The sequencing of protein coding genes is a useful, more
22 discriminatory alternative to partial 16S rDNA sequencing (ca. 528 nucleotide length), especially as
23 unlinked sequences from multiple protein-coding genes are used. The *Cronobacter* MLST analysis is
24 based on 7 housekeeping genes; ATP synthase beta chain (*atpD*), elongation factor G (*fusA*),
25 glutaminyl-tRNA synthetase (*glnS*), glutamate synthase large subunit (*gltB*), DNA gyrase subunit B
26 (*gyrB*), translation initiation factor IF-2 (*infB*) and phosphoenolpyruvate synthase A (*ppsA*). The 7

1 sequenced alleles can be concatenated together to give >3000 nucleotide for phylogenetic analysis
2 (Fig 2). This is 6 times the length of the commonly used partial 16S rDNA sequences, and has the
3 additional advantage of considerably greater number of variable loci. The initial publication was
4 focussed on *C. sakazakii* and *C. malonaticus* due to the reported difficulties in distinguishing between
5 them (Baldwin, Loughlin et al. 2009). The 7 allele phylogenetic tree (Fig 2) is comparable to the 16S
6 tree (Fig 1). The MLST analysis has revealed a remarkably strong clonal nature in *Cronobacter*. Of
7 particular note, is that this study showed that sequence types (ST) existed which were primarily
8 associated with infant formula (ST3), another both infant formula and clinical isolations (ST4), and
9 another which was primarily composed of clinical isolates (ST8). The strains analysed were widely
10 geographically, temporally and source distributed, some of which could be traced over a 50 year
11 period. These clones may reflect different ecologies of the organism. ST8 indicates that there may be
12 a source of *Cronobacter* which is not PIF associated. Therefore to focus on PIF analysis following a
13 *Cronobacter* outbreak on a NICU may lead to oversight of the true source of the infection. As given
14 above, the MLST scheme is accessible online and has been extended to cover all *Cronobacter* species.
15 The scheme will be of considerable use in the future for choosing representative *Cronobacter* strains
16 when undertaking further studies.

17

18 **Ecology and physiological aspects**

19 **Plant-associated traits and sources**

20 Iversen and Forsythe hypothesized that the *Cronobacter* species might be of plant origin due to
21 physiological features such as the production of a polysaccharide capsule, production of a yellow
22 pigment and its desiccation resistance (Iversen, Forsythe 2003). These traits may enable the organism
23 to stick to plant leaves, protect against oxygen radicals from sunlight exposure, and survive dry
24 periods including autumn. About 80% of *Cronobacter* strains produce a non-diffusible, yellow
25 pigment on Tryptone Soya Agar at 25°C. Pigment production is temperature dependent, and even

1 fewer strains produce it at 37°C. As given above, the organism probably colonizes plant material and
2 the yellow carotenoid-based pigmentation may protect it from sunlight-generated oxygen radicals.

3 A productive source of *Cronobacter* strains are fresh or dried herbs and spices with ~30%
4 incidence. In fact an early patent for a food thickener was material extracted from *E. sakazakii*
5 isolated from Chinese tea (Harris, Oriel 1989, Scheepe-Leberkuhne, Wagner 1986). In order to
6 provide evidence for the plant origin of *Cronobacter*, Schmid *et al.* investigated biochemical traits
7 associated with plant microorganisms in nine strains representing the then recognised five
8 *Cronobacter* species (Schmid, Iversen *et al.* 2009). All strains were able to solubilise mineral
9 phosphate, produce indole acetic acid and produce siderophores. The strains were also able to
10 endophytically colonise tomato and maize roots. The authors concluded that plants may be the
11 natural habitat of *Cronobacter* spp. and that the rhizosphere might act as a reservoir of the bacterium.
12 The plant association of *Cronobacter* may account for physiological traits such as surviving spray
13 drying and prolonged periods in dry materials (ie. starches), and presence in ingredients that are added
14 to PIF without additional heat treatment (FAO/WHO 2004, FAO/WHO 2006). Unlike most other
15 *Enterobacteriaceae*, the organism can persist in PIF for 2 years (Caubilla-Barron, Hurrell *et al.* 2007).
16 It is notable that, when the *E. sakazakii* species was defined, it included a strain which had been
17 isolated from dried milk in 1960. Therefore, possibly *Cronobacter* has been present in dried milk
18 products for many decades. For a fuller review of desiccation survival mechanisms please see
19 Forsythe & Osaili (Osaili, Forsythe 2009).

20 *Cronobacter* have the ability to survive osmotic stress and desiccation (Riedel, Lehner 2007,
21 Osaili, Forsythe 2009). They are able to take up osmoprotectants including trehalose (via
22 phosphotrasferase system, PTS), glycine, betaine, proline, spermidine, and putrescine using ABC
23 transporters.

24 Since the organism is probably plant associated it is not surprising that the organism can be
25 isolated from a wide range of environments, including water, soil, herbs and spices, and a variety of
26 processed foods and fresh produce (Friedemann 2007). The resistance to plant essential oils may be

1 linked to efflux pumps which contribute to the organism's resistance to osmotic pressure, and can be
2 of use in the design of selective media.

3 As given above, MLST has revealed the organism may have a more complex ecology with non-
4 plant ecosystems. The bacterium has been isolated from the hospital environment and clinical
5 samples; cerebrospinal fluid, blood, bone marrow, sputum, urine, inflamed appendix, neonatal enteral
6 feeding tubes and conjunctivae. Asymptomatic human carriage (intestines and throat) have also been
7 reported. The bacterium has been isolated from factories producing milk powder, household vacuum
8 cleaning bags and also from household utensils used for the reconstitution of PIF (Muytjens, Roelofs-
9 Willemse et al. 1988, Bar-Oz, Preminger et al. 2001, Block, Peleg et al. 2002, Kandhai, Reij et al.
10 2004).

11

12 **Powdered infant formula, follow up formula and weaning foods**

13 *Cronobacter* was first associated with contaminated PIF by Muytjens *et al.* in 1988 (Muytjens,
14 Roelofs-Willemse et al. 1988) when it was isolated from prepared formula and reconstitution
15 equipment. They reported 52.2% (n=141) of PIF samples from 35 countries contained
16 *Enterobacteriaceae*, with 14% containing *Cronobacter* spp. (Muytjens, Roelofs-Willemse et al.
17 1988). A more recent international survey for *Cronobacter* and related organisms in PIF, follow up
18 formula and infant foods was conducted by 8 laboratories in 7 countries in response to the call for
19 data in preparation for the FAO/WHO 2008 risk assessment. In total, 290 products were analysed
20 using a standardised procedure. *Cronobacter* was isolated from 3 % (n=91) follow up formulae and
21 12 % (n=199) infant foods and drinks (Chap, Jackson et al. 2009). The few reported quantitative
22 studies do not show any samples with *Cronobacter* at levels >1 cell/g PIF. In fact <1 cell in 100g
23 may be more representative and explains why large sample volumes (30 x 10g) are required for
24 testing. Hence, the need to consider opportunities for extrinsic bacterial contamination and
25 multiplication during formula preparation. Due to the ubiquitous presence of *Cronobacter* and its

1 resistance to dry conditions, contamination of food products including PIF is difficult to avoid.
2 *Cronobacter* does possess the gene encoding the universal stress protein UspA, which is also found in
3 other closely related *Enterobacteriaceae* (*E. coli*, *Enterobacter cloacae*, *Citrobacter koseri*, and
4 *Pantoea* spp.). In *E. coli* the protein is induced following both heat and osmotic shock. Hence it may
5 be important in the survival of *Cronobacter* during manufacturing processes and the cross-induction
6 of other protection mechanisms.

7 **Capsule and biofilm formation**

8 *Cronobacter* do not have the *pgaABCD* locus which in *E. coli* promotes its binding to abiotic surfaces
9 and encodes for β -1,6-*N*-acetyl-D-glucosamine. Instead, the organism often produces a
10 heteropolysaccharide capsule composed of glucuronic acid, D-glucose, D-galactose, D-fucose and D-
11 mannose. Strains from NICU outbreaks produce so much capsular material that on milk agar plates
12 the colonies drip onto the lid of inverted Petri dishes (Caubilla-Barron, Hurrell et al. 2007). This
13 material has been patented for use as a thickening agent in foods (Scheepe-Leberkuhne, Wagner 1986,
14 Harris, Oriel 1989). The capsular material, induced under nitrogen-limited conditions, could facilitate
15 the organism's attachment to plant surfaces. Combined with a tolerance to desiccation, this gives the
16 organism an armoury to colonize plant material and maybe survive harsh, environmental conditions.
17 These traits may also contribute to the organism's presence in starches used in the manufacture of
18 infant formula and persistence during the manufacturing process. The organism attaches to surfaces,
19 forming biofilms that are resistant to cleaning and disinfectant agents, and the organism has been
20 isolated as part of the mixed flora biofilm in enteral feeding tubes of neonates not fed PIF (Hurrell,
21 Kucerova et al. 2009b).

22 *Cronobacter* is able to adhere to silicon, latex and polycarbonate and to a lesser extent to
23 stainless steel (Iversen, Forsythe 2003). Furthermore, *Cronobacter* has been reported to attach and
24 form biofilm on glass and polyvinyl chloride (Lehner, Riedel et al. 2005). All of these materials are
25 commonly used for infant-feeding and food preparation equipment and, if contaminated, may increase
26 the risk of infection. Beuchat *et al.* reported that the ability of *Cronobacter* to form a biofilm is

1 affected by the composition of the media (Beuchat, Kim et al. 2009), and that it is enhanced by infant
2 formula components. The infant formula composition can also increase *Cronobacter* resistance to
3 disinfectants, as shown by Beuchat *et al.* who examined the effect of thirteen disinfectants commonly
4 used in infant formula preparation areas (Kim, Ryu et al. 2007). Populations of *Cronobacter* cells
5 suspended in water (*ca.* 7 log CFU/ml) decreased to undetectable levels (< 0.3 log CFU/ml) within 1–
6 5 min of treatment with disinfectants, whereas numbers of cells in reconstituted PIF diminished by
7 only 0.02–3.69 log CFU/ml after treatment for 10 min. Furthermore, cells attached to stainless steel
8 were less resistant to disinfectants. It is clear that the ability of *Cronobacter* to attach to surfaces,
9 form biofilms, and resist dry stress conditions contribute to the risk of *Cronobacter* ingestion.
10 Moreover, the composition of PIF has a strong protective effect on the survival of *Cronobacter*.

11 *Cronobacter* appear to have the carbon storage regulatory (Csr) system as evident by the
12 presence of *CsrA* in both *C. sakazakii* and *C. turicensis* genomes. Although its regulatory role in
13 *Cronobacter* is unknown at present, its role in *E. coli* has been well established. *CsrA* is an RNA-
14 binding protein that binds to the untranslated leader sequences of target mRNAs and alters their
15 translation and stability. It represses stationary phase processes, including glycogen synthesis and
16 catabolism, gluconeogenesis, and biofilm formation. It also activates glycolysis, motility, and biofilm
17 dispersal. Repression of biofilm formation by *CsrA* involves the synthesis and catabolism of
18 intracellular glycogen. Therefore biofilm formation in *Cronobacter* is probably linked to central
19 carbon metabolism.

20 Finally, high levels of heat-stable lipopolysaccharide (endotoxin) in infant formula may
21 enhance the translocation of *Cronobacter* across both the intestines and the blood–brain barrier, and
22 therefore increase the risk of bacteraemia in neonates (Townsend, Caubilla Barron et al. 2007). Levels
23 of endotoxin vary 500-fold in PIF. The chemical structure of oligopolysaccharide from three
24 *Cronobacter* species; *C. sakazakii* BAA-894, 767, *C. malonaticus* and *C. muytjensii* have been
25 derived (Czerwicka, Forsythe et al. 2010, MacLean, Pagotto et al. 2009a, MacLean, Pagotto et al.
26 2009b, MacLean, Vinogradov et al. 2009). The material is branched in *C. sakazakii* and linear in *C.*

1 *mytjensii*. Whether the surface structure is important in virulence remains to be determined but may
2 serve as a basis for serotyping and other characterisation methods.

3 **Temperature response**

4 *Cronobacter* can grow over a wide temperature range. Due to interest in the organism and infant
5 infections, growth and death rates have been determined in reconstituted infant formula. At room
6 temperature (21°C), *Cronobacter* had a doubling time of 40-94 minutes. The lowest permissible
7 growth temperature is near refrigeration (~5°C) and therefore the organism may grow following
8 prolonged storage or poor temperature control. The maximum growth temperature (44-47°C) is strain
9 dependent, and the *C. sakazakii* type strain (ATCC 29544^T) is reported not to grow above 42°C
10 (Nazarowec-White & Farber 1997) the temperature used for the ISO/TS 22964 isolation method .
11 Recent studies show that *C. turicensis* grows at <5°C which is lower than other *Cronobacter* species
12 and has a lower maximum temperature (Caubilla-Barron, Kucerova et al. 2009).

13 Decimal reduction times and z-values vary considerably between strains, i.e. D₅₅ 2-49
14 minutes, z-values 2-14°C (Caubilla-Barron, Kucerova et al. 2009). Early studies inferred the organism
15 was very thermotolerant. However, subsequent work clarified that the organism was less
16 thermotolerant than *L. monocytogenes* (Nazarowec-White and Farber 1999). Nevertheless, the
17 organism can survive spray drying albeit with a considerable reduction in viability, and the surviving
18 cells may be severely damaged (Caubilla-Barron, Kucerova et al. 2009). The organism's tolerance to
19 drying has been well noted, and it can survive for two years desiccated in infant formula and then
20 rapidly grow on reconstitution (Barron, Forsythe 2007).

21 The first and second FAO/WHO meetings (2004 and 2006) reviewed the organisms
22 associated with neonatal infections, those found in PIF, and also those that had been
23 epidemiologically linked (FAO/WHO 2006, FAO/WHO 2004). Subsequently, *Salmonella* and
24 *Cronobacter* were designated Category A (Clear evidence of causality), and other named
25 *Enterobacteriaceae* and *Acinetobacter* were in Category B (Causality plausible, but not yet

1 demonstrated). In order to reduce the number of intrinsic bacteria and limit bacterial growth, the
2 FAO/WHO (2004 and 2006) expert committees proposed that PIF be reconstituted at temperatures no
3 cooler than 70°C, and that it is used immediately rather than stored (FAO/WHO 2006, FAO/WHO
4 2008). As stated earlier, a common feature in a number of outbreaks has been a lack of adequate
5 hygienic preparation and temperature control of the reconstituted infant formula. A second outcome
6 from the FAO/WHO meetings was the production of an online risk model;
7 <http://www.mramodels.org/ESAK/default.aspx>. The model allows the user to compare the level of
8 risk between different levels of contamination and reconstitution practices. The model was based on
9 growth and death kinetic data for a limited number of *Cronobacter* strains. We recently extended the
10 Risk Model to cover all organisms in Categories A & B; *Cronobacter* species, *Salmonella*, other
11 named *Enterobacteriaceae* and *Acinetobacter* spp. It can be accessed from the UK FSA web site at
12 http://www.foodbase.org.uk/results.php?f_category_id=&f_report_id=395. The data was generated
13 using casein- and whey-based formulas as the type of formula affects bacterial lag times, growth and
14 death rates.

15 As referred to above, the WHO guidelines for hygienic preparation of PIF are aimed at reducing
16 the number of bacteria in the reconstituted product by using hot water and limiting the time available
17 for any survivors to multiply. However a wider perspective is that neonates are frequently feed via
18 enteral feeding tubes. These tubes are in place for prolonged periods (even several days) to reduce
19 distress to the neonate by the gagging reaction. However *Cronobacter*, and other opportunistic
20 pathogens can attach and colonise these tubes which are at 37°C, and at regular intervals receive fresh
21 feed (Hurrell, Kucerova et al. 2009a, Hurrell, Kucerova et al. 2009b). This scenario is applicable to all
22 neonates with nasogastric tubes, and not only those on reconstituted PIF. In fact *Cronobacter* and
23 other *Enterobacteriaceae* have been isolated from such tubes in intensive care units from neonates
24 receiving breast milk and various other feeding regimes at levels up to 10⁷ cfu per tube (Hurrell,
25 Kucerova et al. 2009a, Hurrell, Kucerova et al. 2009b). Therefore hygienic practices and avoidance of
26 temperature abuse are vitally important regardless of the type of feed.

1 *Cronobacter* virulence

2 **Adult and neonate infections**

3 It may be a surprise to some readers but *Cronobacter* infections are not unique to neonates. In fact
4 they occur in all age groups, with the greater incidence in the more immuno-compromised very young
5 and elderly. A major difference between the age groups is the severity of infection in neonates.
6 Infections caused by *Cronobacter* in adults comprise a wide range of symptoms from conjunctivitis,
7 biliary sepsis, urosepsis and appendicitis to wound infection and pneumonia. Infections in neonates
8 include infant meningitis and necrotizing enterocolitis (Gurtler, Kornacki et al. 2005). Adult patients
9 at increased risk include those previously treated with antibiotics, immuno-compromised and elderly
10 patients, those with medical implants or with acute, chronic, or serious illnesses (Sanders and Sanders
11 1997, Pitout, Moland et al. 1997).

12 According to the FAO/WHO (2008) around the world there have been 120 documented
13 *Cronobacter* cases and at least 27 deaths (FAO/WHO 2008). This is undoubtedly an underestimate.
14 In the USA, the reported *Cronobacter* infection incidence rate is 1 per 100 000 infants. This incidence
15 rate increases to 9.4 per 100 000 in infants of very low birth weight, i.e. <1.5 kg. Symptoms in
16 neonates include necrotising enterocolitis (NEC), septicaemia and meningitis. The former is non-
17 invasive (and is multifactorial), whereas in septicaemia and meningitis the organism has attached and
18 invaded presumably through the intestinal epithelial layer. NEC is a common gastrointestinal illness
19 in neonates and can be caused by a variety of bacterial pathogens. It is characterized by ischaemia,
20 bacterial colonisation of the intestinal tract, and increased levels of proteins in the gastrointestinal
21 lumen. The incidence of NEC is 2-5% of premature infants and 13% in those weighing <1.5kg at
22 birth. It is 10 times more common in infants fed formula compared with those fed breast milk (Lucas,
23 Cole 1990). Necrotizing enterocolitis has a high mortality rate; 15-25% of cases (Henry, Moss 2009).

24 *Cronobacter* has been implicated as a causative agent of necrotizing enterocolitis (NEC), but
25 its role in the pathogenesis of the disease is somewhat unclear. There are reports of *Cronobacter*

1 isolation from babies who developed NEC (van Acker, de Smet et al. 2001, Caubilla-Barron, Hurrell
2 et al. 2007). This suggests that there is an association between *Cronobacter* occurrence and NEC,
3 although until recently, the organism has not been conclusively proven to cause the disease.

4 Infant meningitis can be caused by a variety of bacterial pathogens, including *Cronobacter* and
5 its close relatives *Enterobacter cloacae* and *Citrobacter koseri*. *Cronobacter*-related meningitis is
6 characterized by a mortality rate of 40-80 % and generally a very poor clinical outcome. The
7 bacterium causes cystic changes, abscesses, fluid collection, brain infarctions, hydrocephalus, necrosis
8 of brain tissue and liquefaction of white cerebral matter. Patients surviving *Cronobacter*-related
9 meningitis often suffer from severe neurological sequelae, such as hydrocephalus, quadriplegia and
10 retarded neural development (Lai 2001). The infection usually arises between the fourth and fifth day
11 after birth and it can be fatal within hours to days following the first clinical signs (Muytjens, Zanen et
12 al. 1983). Compared with patients suffering from *Cronobacter*-induced enterocolitis, infants in whom
13 meningitis developed tend to have normal gestational age and birth weight (Bowen and Braden 2006).

14 **Sources of infection**

15 While the source of contamination in *Cronobacter*-related outbreaks has not always been confirmed,
16 breast milk substitutes (one group of PIF products) have been epidemiologically or microbiologically
17 established as the source of infection in a number of cases (Muytjens, Zanen et al. 1983, Biering,
18 Karlsson et al. 1989, Simmons, Gelfand et al. 1989, Clark, Hill et al. 1990, Muytjens and Kollee
19 1990, van Acker, de Smet et al. 2001, Weir 2002, Iversen and Forsythe 2003). A strong link between
20 the presence of *Cronobacter* in formula feeding and an outbreak of *Cronobacter* infection was
21 established by Center for Disease Control and Prevention in 2002 following the outbreak in a neonatal
22 intensive care unit (NICU) in Tennessee in 2001. In this outbreak, one neonate died from
23 *Cronobacter*-induced meningitis and further 10 cases of *Cronobacter* colonisation were found on the
24 same unit. Later investigation revealed that the formula fed to the infant in Tennessee was in fact a
25 formula that was not intended for neonates.

1 Infections have been directly linked to reconstituted PIF which may have been contaminated
2 intrinsically or during preparation and administration. A common feature in some of these outbreaks
3 is the opportunity for temperature abuse of the prepared feed, which would permit bacterial growth.
4 In reported outbreaks in France and USA, the neonates were fed using perfusion devices whereby the
5 reconstituted PIF is slowly pumped at ambient temperature into the neonate through an enteral
6 feeding tube (Himmelright, Harris et al. 2002, Caubilla-Barron, Hurrell et al. 2007). Using this
7 procedure there is the possibility of bacterial multiplication in the syringe leading to the ingestion of
8 large numbers of *Cronobacter* by the neonate. The neonate has an immature immune system and a
9 low intestinal microflora density. Consequently, if a large number of *Cronobacter* cells were ingested
10 they would not be outcompeted by the resident intestinal flora. Following invasion of the intestinal
11 cells, the lack of a developed immune system could make the neonate more prone to systemic
12 infection. No infectious dose has been determined for neonates. Animal studies by Pagotto,
13 Nazarowec-White et al. (2003) and Richardson et al. (2009) have used large numbers of *Cronobacter*
14 cells ($\sim 10^8$) for infection studies. Whether this number is reflective of that necessary for neonates is
15 uncertain, but it does contrast with the number of cells reported in contaminated PIF (<1 cfu/g), and
16 may therefore indicate the role of temperature abuse in enabling bacterial multiplication.

17 It is pertinent to note that the bacterium is isolated from the tracheae and has been recovered
18 from the feeding tubes of neonates fed breast milk and ready-to-feed formula, not infant formula
19 (Hurrell, Kucerova et al. 2009b). Therefore wider sources of the organism during an outbreak need to
20 be investigated, not just the use of PIF. Infants can be colonized by more than one strain of
21 *Cronobacter*, and therefore multiple isolates need to be characterized by PFGE in epidemiological
22 investigations (Caubilla-Barron, Hurrell et al. 2007).

23 Bowen and Bradden have reported that there are a number of neonatal cases which have no
24 links with the ingestion of infant formula (Bowen and Braden 2006). Therefore in epidemiological
25 investigations multiple sources should be sampled. Breast milk can contain the bacterium, and the *C.*
26 *malonaticus* type strain (LMG 23826^T) was isolated from a breast abscess. In some countries breast
27 milk from mothers with mastitis is still fed to the neonate. The organism has also been isolated from

1 hospital air, human intestines and throats. So control of microbiological content of PIF will not
2 necessarily totally remove the risk of neonate infection by this bacterium.

3 **Virulence factors**

4 All *Cronobacter* species have been associated with clinical infections in infants or adults and are
5 considered potentially pathogenic. To date, isolates from infected neonates have been limited to only
6 three species; *C. sakazakii*, *C. malonaticus* and *C. turicensis* (Kucerova et al. 2010). These species
7 can invade human intestinal cells, replicate in macrophages and invade the blood-brain barrier. It is
8 known that *Cronobacter* strains and species vary in their virulence (Caubilla-Barron et al. 2007). *In*
9 *vitro* studies have shown that bacterial attachment and invasion of mammalian intestinal cells,
10 macrophage survival and serum resistance is comparable with *E. cloacae* and *Cit. freundii*, but less
11 than that for *Salmonella* Typhimurium (Townsend, Hurrell et al. 2007). Strains from *C. sakazakii* and
12 *C. malonaticus* showed higher invasion of Caco-2 (human cell line) than other *Cronobacter* species.
13 Similarly *C. sakazakii* and *C. malonaticus* survive and replicate in macrophages inside phagosomes,
14 whereas *C. mytjensii* die, and *C. dublinensis* is serum sensitive. Virulence also varies within the *C.*
15 *sakazakii* species. This was determined from epidemiological studies of an NICU outbreak in France
16 where the clinical outcome of three *C. sakazakii* pulsetypes varied with only one pulsetype causing
17 the three deaths (Caubilla-Barron, Hurrell et al. 2007). Additionally this variation in virulence is
18 supported by mammalian tissue culture studies (Pagotto, Nazarowec-White et al. 2003, Townsend,
19 Hurrell et al. 2007, Townsend, Hurrell et al. 2008) and appears to have been confirmed by recent
20 MLST studies (Baldwin, Loughlin et al. 2009). OmpA is produced by *Cronobacter* and has been used
21 as an identification trait. This protein has been extensively studied in *E. coli* K1 as contributes to the
22 organism's serum resistance, adhesion to host cells and invasion of brain microvascular endothelial
23 cells. It is logical to predict that it also has a role in *Cronobacter* penetrating the blood-brain barrier.
24 However the mechanism leading to the destruction of the brain cells is unknown and could, in part, be
25 a host response. *Cronobacter* may invade the tissues using pathogenic secretory factors (elastases,
26 glycopeptides, endotoxins, collagenases and proteases) which increase the permeability of the blood-

1 brain barrier and allow the organism to gain access to the nutrient-rich cerebral matter (Iversen and
2 Forsythe 2003). Only a limited number of animal studies have been undertaken on *C. sakazakii*,
3 principally by Pagotto and colleagues (Pagotto, Nazarowec-White et al. 2003) and Richardson and
4 colleagues (Richardson, Lambert et al. 2009) but these have confirmed the variation within the
5 species.

6 In *Cronobacter* meningitis there is gross destruction of the brain, leading sadly to either death
7 (40-80% of cases) or severe neurological damage. This pathogenesis is different to that caused by
8 both *Neisseria meningitidis* and neonatal meningitic *E. coli*. Some reports suggest a similarity
9 between the tropism of *Cronobacter* and the closely related organism *Cit. koseri* for invasion and
10 infection of the central nervous system (Willis and Robinson 1988, Burdette and Santos 2000). Kline
11 noted that brain abscesses caused by *Cronobacter* and *Cit. koseri* were morphologically similar and
12 may be due to similar virulence mechanisms (Kline 1988). Although the production of an enterotoxin
13 by some *Cronobacter* strains described by Pagotto *et al.* (Pagotto, Nazarowec-White et al. 2003) is
14 widely acknowledged, the genes encoding the putative toxin have yet to be identified. The *C.*
15 *sakazakii* type strain ATCC 29544^T showed no enterotoxin production in their study, which confirms
16 that there are considerable differences in virulence among *Cronobacter* strains and that some strains
17 may be non-pathogenic. Kothary *et al.* characterized a zinc metalloprotease, zpx, which was unique
18 to 135 *Cronobacter* strains tested, which could allow the bacterium to penetrate the blood-brain
19 barrier and cause meningitis (Kothary, McCardell et al. 2007). The protein is found in all
20 *Cronobacter* species (Kucerova, Clifton et al. 2010), although there is some sequence variation
21 (Kothary, McCardell et al. 2007). Although *C. muytjensii* has not been associated with neonatal
22 infections, one strain (ATCC 51329^T, source unknown) has been used in animal studies to
23 demonstrate its potential to infect neonates (Mittal, Wang et al. 2009).

24 Townsend *et al.* showed that *Cronobacter* can attach to intestinal Caco2 cells and survive in
25 macrophages, but the invasion mechanism remains unknown (Townsend, Hurrell et al. 2007).
26 (Kucerova, Clifton et al. 2010) referred to a prophage encoding a protein homologous to the Eae
27 adhesion protein. This however only encodes for a small portion of the protein and probably has not

1 physiological relevance. Kim and Loessner suggested that the invasion of *Cronobacter* to Caco2
2 cells may be receptor-mediated, as the bacterial invasion showed characteristics of saturation kinetics
3 (Kim and Loessner 2008). The authors also concluded that bacterial *de novo* protein synthesis was
4 required for invasion. In the same study, pretreatment of Caco2 cells with an actin polymerization
5 inhibitor resulted in decreased invasiveness of *Listeria monocytogenes* and *Salmonella* Typhimurium,
6 but enhanced the invasiveness of *Cronobacter*. The authors hypothesized that this enhancement was
7 due to the disruption of tight junction, a membrane-associated structure that acts as a barrier against
8 the molecular exchange between epithelial cells. This was confirmed when the disruption of the tight
9 junction by EGTA significantly increased the invasive properties of *Cronobacter*. They also
10 speculated that frequent lipopolysaccharide contamination of PIF that is known to disrupt tight
11 junctions might contribute to the invasiveness of *Cronobacter* (Kim and Loessner 2008).

12 Townsend *et al.* studied seven *C. sakazakii* strains associated with the largest reported NICU
13 outbreak with the most reported deaths to date. All strains were able to attach and invade intestinal
14 cells Caco2 more than *E coli* K12 and *Salmonella* Enteritidis (Townsend, Hurrell et al. 2008). Two
15 strains (767 and 701), both associated with fatal cases of meningitis and NEC, showed the highest
16 invasion rates. These two strains were also able to replicate within macrophages, while all other
17 strains survived inside macrophages for at least 48 h (Townsend, Hurrell et al. 2008).

18 **Antibiotic susceptibility**

19 When an infection by *Cronobacter* occurs, it is essential to provide rapid antibiotic treatment.
20 Although the bacterium tends to be more sensitive to most antibiotics compared to other
21 *Enterobacteriaceae*, its increasing resistance to some antibiotics has proven problematic.
22 *Cronobacter* related infections have been traditionally treated with ampicillin combined with
23 gentamycin or chloramphenicol (Lai 2001). Unfortunately, the organism has developed resistance to
24 ampicillin (Muytjens, Zanen et al. 1983, Lai 2001) and gentamicin use is limited as it fails to reach
25 sufficient concentrations in the cerebral spinal fluid (Iversen and Forsythe 2003). In 1980, all strains
26 tested by Farmer were susceptible to ampicillin (Farmer 1980). In 2001, Lai described five cases of

1 *Cronobacter* infection in which one or more of the isolates were resistant to ampicillin and most
2 cephalosporins of 1st and 2nd generation (Lai 2001). Kim *et al.* reported frequent resistance of
3 *Cronobacter* food isolates to ampicillin and cephalotin (Kim, Jang et al. 2008). For this reason, the
4 shift to carbapenems or 3rd generation cephalosporins with an aminoglycoside or trimethoprim with
5 sulfamethoxazole was proposed. This treatment improved the outcome of *Cronobacter* meningitis,
6 but may also have caused the increase in resistance to these antimicrobials (Lai 2001).

7 Initial reports concerning the ability of *Cronobacter* to produce β -lactamases gave conflicting
8 results. The presence of β -lactamases in *Cronobacter* was reported in a study by Pitout *et al.* when all
9 tested strains were positive for Bush group 1 β -lactamase (cephalosporinase) (Pitout, Moland et al.
10 1997). In 2001, Lai reported increasing β -lactamase production among *Cronobacter* strains (Lai
11 2001). Similarly, Block and colleagues reported that all *Cronobacter* isolates tested were β -lactamase
12 positive (Block, Peleg et al. 2002). However, Stock and Wiedemann did not find any evidence of β -
13 lactam production in the 35 *Cronobacter* strains tested (Stock and Wiedemann 2002). The
14 discrepancy in the results might be due to the different selection of strains, the limited number of
15 strains used, as well as differences in the experimental protocol. Also, some *Enterobacter* strains
16 express β -lactamases at very low levels, which might have not been detectable by the methods used.

17 **Genome studies**

18 **Genome description of *C. sakazakii* and *C. turicensis***

19 The genome of the *C. sakazakii* strain (BAA-894) from the formula associated with the neonate
20 infection in Tennessee (Himelright, Harris et al. 2002) has been sequenced and published (Kucerova,
21 Clifton et al. 2010). This can be compared with the unpublished genome sequence of *C. turicensis*
22 strain z3032 which was also associated with a neonatal infection and has been sequenced by the
23 Technische Universitaet Muenchen, Germany. The sequences are available online (RefSeq numbers
24 NC_009778 and NC_013282 respectively). Additionally, the proteome of the same *C. turicensis*
25 strain has been published, which will considerably assist in our understanding the organism

1 (Carranza, Hartmann et al. 2009). The genome of *C. sakazakii* comprises a 4.4 Mbp chromosome and
2 two plasmids (31 and 131 kbp). The *C. turicensis* z3032 genome is similar; chromosomal size 4.4
3 Mbp, three plasmids (20, 50 and 140 kbp). The %GC of both *Cronobacter* strains is 57-58%, which
4 is greater than that of the closely related organism *Enterobacter cloacae*. Both *Cronobacter* strains
5 have a large plasmid (131 and 140 kbp) with the same %GC ratio as the chromosome and a large
6 number of genes, as well as smaller plasmids with a lower (51%) GC content. Despite the apparent
7 similarities between the plasmids with respect to sizes and %GC content, caution should be exercised
8 as plasmids do vary between species and in early work plasmid profiling was used for
9 epidemiological purposes (Clark, Hill et al. 1990). Three putative prophage genomes and three
10 putative prophage fragments were identified in *C. sakazakii* BAA-894. These have been described in
11 detail already (Kucerova, Clifton et al. 2010). *C. turicensis* z3032 genome also contains at least three
12 putative prophages as identified by Prophinder (Lima-Mendez, Van Helden et al. 2008). The
13 presence of these phage regions is important as prophages can play an important role in evolution of
14 bacteria by introducing novel genes of different biological functions and contribute to their virulence
15 properties.

16

17 **Comparative genomic hybridisation studies of the *Cronobacter* species**

18 Of the 4,382 annotated genes, ~55% (2404) were present in all *C. sakazakii* strains, and 43% (1899)
19 were present in all *Cronobacter* species (Kucerova, Clifton et al. 2010). Note that when genes defined
20 as intermediate are included, the core gene set for *C. sakazakii* species constitutes 80.9% (3547) genes
21 and core gene for *Cronobacter* genus includes 75.3% (3301) genes. The vast majority of these shared
22 genes are predicted to encode cellular essential functions such as energy metabolism, biosynthesis,
23 DNA, RNA and protein synthesis, cell division and membrane transport. The proportion of genes
24 absent from test strains compared with *C. sakazakii* BAA-894 ranged from 10.3% (453) in *C.*
25 *sakazakii* strain 20 to 17.1% (751) in *C. mytjensii* (Kucerova, Clifton et al. 2010).

1 Whole-genome clustering based on the comparative genomic hybridization data by Kucerova
2 and colleagues revealed that *Cronobacter* strains formed two distinct phylogenetic clusters. All *C.*
3 *sakazakii* strains formed one cluster, whereas *C. malonaticus*, *C. turicensis*, *C. dublinensis* and *C.*
4 *malonaticus* formed a second, separate cluster. Within *C. sakazakii*, strains 701 and 767 were the most
5 closely related and clustered together with strain 20. Previously, strains 701 and 767 were shown to
6 belong to the same pulse field gel electrophoresis restriction digestion type (Caubilla-Barron, Hurrell
7 et al. 2007). Although the clinical details of the source of *C. sakazakii* strain 20 are unknown, the
8 strain belongs to MLST sequence type 4 (as do 701 and 767). This is a stable clone of *C. sakazakii*
9 isolated from both PIF and clinical sources (Baldwin, Loughlin et al. 2009). *C. sakazakii* strain ATCC
10 29544^T (species type strain) formed a separate branch within the *C. sakazakii* cluster. The remaining
11 *Cronobacter* species formed sub-clusters: *C. malonaticus* clustered with *C. turicensis* and *C.*
12 *dublinensis* grouped with *C. muytjensii*.

13 The differences in gene content that contributed to the separation of *Cronobacter* species into
14 different branches were further analysed. Thirteen gene clusters that were present in all *C. sakazakii*
15 strains but absent in some or all other *Cronobacter* species were identified and denoted SR1-SR13.
16 The presence of these regions in different *Cronobacter* species is summarised in Table 2. SR 1
17 (ESA_00257 - ESA_00258) encodes a putative toxin/antitoxin pair RelE/RelB, which, if encoded on
18 plasmids, may help to maintain a plasmid in a bacterial population. When encoded on a chromosome,
19 however, the toxin/antitoxin system probably represents selfish DNA. SR2 (ESA_01116 -
20 ESA_01119) is a cluster of genes encoding a complete ABC-type multidrug efflux system.
21 ESA_01116 encodes a multidrug efflux pump, ESA_01117 encodes an outer membrane efflux protein
22 from a family including TolC, ESA_01118 is the permease component of the ABC-type system and
23 ESA_01119 encodes the ATPase component of the efflux system. SR3 (ESA_01448 - ESA_01450)
24 encodes three proteins from the family of fatty acid desaturases. Members of this family are involved
25 in cholesterol biosynthesis and biosynthesis of a plant cuticular wax, but may be implicated in other
26 biosynthetic pathways. SR4 (ESA_02125 - ESA_02129) encodes a diverse group of proteins where
27 no common assignment to a pathway or mechanism could be found; it includes acetyltransferases, a

1 transcriptional regulator from the *lysR* family and a putative esterase/lipase. ESA_02129 encodes a
2 serine protease inhibitor ecotin; ecotins from species that come into contact with the mammalian
3 immune system like *E.coli*, *Y. pestis* and *P. aeruginosa* have been shown to protect bacteria against
4 the effects of neutrophil elastase (Eggers, Murray et al. 2004). SR5 (ESA_02538 - ESA_02542) is a
5 cluster of fimbrial genes (Described in 5.3). The genes in SR6 (ESA_02544 - ESA_02547) are
6 involved in the metabolism of beta-glucosides. ESA_02544 is a transcriptional antiterminator from
7 the BglG family, which is involved in positive control of the utilization of different sugars by
8 transcription antitermination (Bardowski, Ehrlich et al. 1994). ESA_02545 encodes a kinase than
9 converts beta-glucosides to 6-phospho-beta-glucosides and ESA_02546 encodes a 6-phospho-beta-
10 glucosidase (EC 3.2.1.86) specific to arbutin-6 phosphate and salicilin-6-phosphate. ESA_02547 also
11 encodes 6-phospho-beta-glucosidase (EC 3.2.1.86) which may have the same or similar function as
12 ESA_02546. SR7 (ESA_02549 - ESA_02553) may encode a complete ABC multidrug transport
13 system. SR8 (ESA_02616 – ESA_02618) contains genes related to mannose metabolism. ESA_02616
14 encodes an alpha-mannosidase, ESA_02617 is a gene taking part in the mannosyl-D-glycerate uptake
15 via the phosphotransferase system and ESA_02618 encodes a mannosyl-D-glycerate
16 transport/metabolism system repressor. SR9 (ESA_02795 – ESA_02799) is a fimbrial cluster
17 (described in 5.3). Genes in SR10 (ESA_03301 – ESA_03305) encode proteins involved in the
18 metabolism of fructose and mannose via the PTS and a putative porin KdgM. ESA_03301 encodes an
19 isomerizing glucosamine-fructose-6-phosphate aminotransferase. ESA_03302, located on the opposite
20 strand to the rest of the genes in this cluster, encodes an oligogalacturonate-specific porin protein
21 (KdgM). ESA_03303 encodes a fructose-specific II component of the PTS system FruA, which
22 converts fructose to fructose-1-phosphate. ESA_03304 encodes an alpha-mannosidase involved in
23 mannose degradation. ESA_03305 encodes a phosphomannose isomerase, which converts D-
24 mannose to beta-D-glucose-6-phosphate. SR11 (ESA_03609 – ESA_03613) is a cluster of genes also
25 putatively involved in metabolism of mannose and other sugars. ESA_03609 encodes a putative beta-
26 galactosidase. Genes ESA_03610 and ESA_03612 encode genes involved in the *N*-acetylneuraminate
27 and *N*-acetylmannosamine degradation pathway. ESA_03610 encodes a *N*-acetylmannosamine
28 kinase and ESA_03611 encodes a *N*-acetylneuraminate lyase. Gene ESA_03612 encodes a

1 transcriptional regulator from the GntR family. SR 12 (ESA_04067 – ESA_04073) is a cluster of
2 fimbrial genes (described in 5.3). SR13 (ESA_04101 – ESA_04106) encodes genes that may be
3 involved in the O-PS biogenesis. ESA_04102 encodes a glycosyltransferase involved in cell wall
4 biogenesis, ESA_04103 encodes a putative O-antigen ligase or a related enzyme. Genes ESA_04104
5 and ESA_04105 encode glycosyltransferases and ESA_04105 encodes a putative lipopolysaccharide
6 heptosyltransferase III. This cluster is a part of a larger cluster of genes involved in LPS biogenesis,
7 however, it is not related to the O-antigen cluster defined by Mullane and colleagues (Mullane,
8 O'Gaora et al. 2008). The genes from this cluster were absent in *C. malonaticus*, but present or
9 intermediate in all other *Cronobacter* species, but the putative O-antigen ligase (ESA_04103) was
10 absent from all *Cronobacter* species except *C. sakazakii*.

11 Variations in virulence traits as revealed by CGH are covered in more detail in the following section.

12 The chemical structure of oligo-polysaccharide (O-antigen) in three *Cronobacter* species has
13 been determined and shown to be compositionally and structurally different. It is therefore
14 predictable that the biosynthetic pathways will vary across the genus and this has been confirmed by
15 CGH. The gene cluster corresponding to the O-antigen cluster described by (Mullane, O'Gaora et al.
16 2008) (ESA_01177–ESA_01189) was examined. The genetic architecture of the O-antigen cluster in
17 the sequenced *C. sakazakii* BAA-894 corresponds to the serotype O:1 as defined by Mullane and
18 colleagues. According to our CGH data, two of the genes in this region, *galF* (ESA_01177) and *rfbB*
19 (ESA_01178) are conserved among all *Cronobacter* strains tested except *C. sakazakii* 696, whereas
20 the rest of the genes from the O-antigen locus are highly divergent; its genes were not sufficiently
21 similar to be detected by microarray hybridization in any other *Cronobacter* strains. This correlates
22 with the findings of Mullane and colleagues, who showed that both serotypes O:1 and O:2 had the
23 two genes *galF* and *rfbB* in common, whereas the rest of the gene cluster content differed between the
24 two serotypes.

1 Virulence traits and survival mechanisms

2 Since *Cronobacter* is associated with neonates and infants, the availability of iron in milk or formula
 3 could be an important virulence trait. A list of known iron assimilation mechanisms was compiled
 4 and their presence in different *Cronobacter* species was evaluated based on the available CGH data
 5 (Kucerova, Clifton et al. 2010). All *Cronobacter* strains examined by CGH possess complete operons
 6 for enterobactin synthesis (*entABCDEF*S) and enterobactin receptor and transport (*fepABCDEF*G),
 7 except *C. dublinensis*, in which *fepE* is absent (Table 4). All *Cronobacter* species except *C.*
 8 *muytjensii* also possess a complete operon for aerobactin synthesis *iucABCD* and its receptor *iutA*.
 9 The operon for salmochelin synthesis is missing in all *Cronobacter* species (Table 4). The strong
 10 genetic similarity between *C. sakazakii* and *Citrobacter koseri*, as well as urinary pathogenic *E. coli* is
 11 evident from the presence of all genes for enterobactin and aerobactin synthesis in these organisms. *C.*
 12 *sakazakii* can cause urinary tract infections, though to date this aspect has not been studied in any
 13 detail.

14 The route of infection is probably through attachment and invasion of the intestinal cells, and
 15 therefore genes encoding surface appendages such as pili (fimbriae) have been studied. Four putative
 16 fimbriae clusters were identified in the genome of *C. sakazakii* BAA-894, some of which were
 17 mentioned previously in (Healy, Huynh et al. 2009). These are Cluster 1 (ESA_01976 – ESA_01970),
 18 cluster 2 (ESA_02538 – ESA_02542), cluster 3 (ESA_02795 – ESA_02799) and cluster 4
 19 (ESA_04067 – ESA_04073) (Table 3). Further analysis of the comparative hybridization data
 20 showed that three of the four putative fimbrial clusters (Clusters 2, 3 and 4) were *C. sakazakii*
 21 specific, i.e. were classified as present or intermediate in all five strains of *C. sakazakii*, but were
 22 absent in *C. muytjensii*, *C. dublinensis*, *C. turicensis*, and *C. malonaticus*. Cluster 1 was present only
 23 in the reference strain and *C. sakazakii* strain 2, which suggests that it is strain specific. The genetic
 24 content of all fimbriae clusters was most similar to the type I chaperone/usher-assembled pilus system
 25 as defined in (Kline, Dodson et al. 2010). Genes for pilin FimA, chaperone FimC and usher FimD
 26 have been found in all four putative fimbriae clusters. These clusters may encode complete and

1 functional pili, as some degree of homology was found between the other genes in the *C. sakazakii*
2 fimbriae clusters and the remaining components necessary for type-I pilus assembly (the minor tip
3 fibrillum FimG and fimbrial adhesin FimH). The presence of the putative fimbriae clusters in
4 *Cronobacter* species according to the CGH data is summarised in Table 3.

5 Type VI secretion system (T6SS) is a newly described system that may be involved in adherence,
6 cytotoxicity, host-cell invasion, growth inside macrophages and survival within the host. Five putative
7 T6SS clusters were identified in the genome of *C. sakazakii* BAA-894, some of which were
8 mentioned in (Kucerova, Clifton et al. 2010). Cluster 1 (ESA_00140 – ESA_00145) encodes most of
9 the proteins that are conserved across different T6SS clusters (a DotU homolog ESA_00141, Vgr
10 homolog ESA_00141 and a putative lipoprotein from the VC_A0113 family ESA_00145). However,
11 most T6SS clusters typically encode from 12 to 25 proteins (Filloux, Hachani et al. 2008) and also
12 encode a ClpV ATPase, which was not found in this cluster. In some instances, the genes encoding
13 Vgr and DotU proteins are located outside the main T6SS locus, and their products might cooperate
14 with proteins encoded in other loci. Cluster 2 (ESA_02035 – ESA_02040) includes genes encoding a
15 Vgr-type protein (ESA_02035), lipoprotein from VC_A0113 family ESA_02038 and other genes
16 homologous to proteins encoded in T6SS clusters. Cluster 3 (ESA_02735 – ESA_0240) contains
17 genes encoding SciE-type protein (ESA_02736), Vgr-type protein (ESA_02739) and a protein
18 homologous to phage gp7 protein, all of which are frequently found in T6SS clusters. However, this
19 cluster is adjacent to a prophage fragment described in (Kucerova, Clifton et al. 2010) and due to the
20 sequence similarities between T6SS and prophages it is difficult to conclude whether this cluster is a
21 part of a T6SS. Cluster 4 (ESA_03899 – ESA_03946) is the longest and the most complete cluster of
22 T6SS genes. Its 48 genes include all the components of T6SS typically conserved among different
23 T6SS systems, such as genes encoding Vgr-type proteins (ESA_03905 and ESA_03917), IcmF-type
24 protein (ESA_03945), DotU-type protein (ESA_03946), ClpV ATPase (ESA_03921), SciE-type
25 protein (ESA_03925), Ser/Thr protein phosphatase (ESA_03927) and Ser/Thr protein kinase
26 (ESA_03920). This cluster may encode a complete and functional T6SS. Cluster 5
27 (ESA_pESA3p05491 - ESA_pESA3p05506) encodes another putative T6SS cluster encoding some of

1 the conserved T6SS proteins. ESA_pESA3p05494 encodes DotU-like protein, ESA_pESA3p05495
2 encoded a protein with a C-terminal extension with similarity to OmpA, a protein strongly associated
3 with virulence properties of *Cronobacter*. ESA_pESA3p05497 encodes a ClpV ATPase and
4 ESA_pESA3p05500 encodes a Vgr-like protein. The Clusters 1 – 5 described here are putative T6SS
5 clusters. It remains to be determined whether they encode functional type VI secretion systems or
6 functional components of these.

7

8 **Summary**

9 The FAO/WHO 2004 expert committee recommended that research should be promoted to gain a
10 better understanding of the ecology, taxonomy, virulence and other characteristics of *Cronobacter*.
11 This has largely been undertaken by groups around the world. By understanding the organism better,
12 improved detection systems have been designed and commercialized. Currently microbiological
13 criteria for *Cronobacter* spp. are required for infant formulas with an intended target age <6 months.
14 A presence/absence test is applied to large volumes due to the low (<1 cfu/g) incidence of the
15 organism in the product. Although the organism has been recovered from follow up formulas (infant
16 formulas with intended target age >6 months) and weaning foods, there is currently insufficient
17 epidemiological evidence to support the implementation of criteria for these products. Readers should
18 consult the relevant Codex (2008) documents for details. With respect to clinical sources, MLST has
19 revealed the organism is highly clonal and sources other than infant formula need to be considered;
20 especially as a number of neonatal cases not attributable to PIF have been reported. *Cronobacter* does
21 cause infections in all age groups. It is found in a wide range of foods, especially those of plant
22 origin. While fortunately *Cronobacter* rarely causes severe meningitic and NEC infections, the
23 heightened interest in the organism has resulted in improved regulatory control of products for the
24 neonates and infants, as well as improved hygienic practices. Together these will reduce the risk of
25 *Cronobacter* infection.

26

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3

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1 **Table 1** *Cronobacter* species groupings, updated from (Baldwin, Loughlin et al. 2009).

2

<i>Cronobacter</i> species	16S cluster	Biotypes	MLST sequence types
<i>C. sakazakii</i>	1	1, 2- 4, 7, 8, 11, 13	1,2-4,8,9,12-18,20-23,31,40,41,45,47,50,52
<i>C. malonaticus</i>	1	5,9,14	7,10,11,25,26,29,53
<i>C. turicensis</i>	2	16	5,19,24,32,35,37
<i>C. muytjensii</i>	3	15	28,33,34,44,49
<i>C. dublinensis</i>	4	6,10,12	27,36,38,39,42,43,46
<i>Cronobacter</i> genomospecies 1	4	16	48,51,54

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2 **Table 2** Distribution of gene clusters across the *Cronobacter* genus

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Gene loci	Description	<i>C. sakazakii</i> (n=6)	<i>C. malonaticus</i>	<i>C. turicensis</i>	<i>C. muytjensii</i>	<i>C. dublinensis</i>
ESA_00257 - ESA_00258	Toxin/antitoxin RelE/RelB	YES	NO	NO	NO	NO
ESA_01116 - ESA_01119	ABC-type multidrug efflux	YES	NO	NO	NO	NO
ESA_01448 - ESA_01450	Fatty acid desaturases	YES	NO	YES	YES	YES
ESA_02125 - ESA_02129	Ecotin (ESA_02129)	YES	YES	NO ¹	NO ¹	NO ¹
ESA_02538 - ESA_02542	Fimbriae	YES	NO	NO	NO	NO
ESA_02544 - ESA_02547	Beta-glucosides metabolism	YES	YES	NO	YES	YES
ESA_02549 - ESA_02553	Multidrug efflux system	YES	YES	YES	NO	YES
ESA_02616 - ESA_02618	Mannosyl-D-glycerate uptake	YES	YES	NO	YES	YES
ESA_02795 - ESA_02799	Fimbriae	YES	NO	NO	NO	NO
ESA_03301 - ESA_03320	Mannose metabolism	YES	NO	NO	NO	NO
ESA_03609 - ESA_03613	Mannose metabolism	YES	NO	NO	NO	NO
ESA_04067 - ESA_04073	Fimbriae	YES	NO	NO	NO	NO
ESA_04101 - ESA_04106	Cell wall biogenesis	YES	NO	YES ²	YES ²	YES ²

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6 ¹ The presence/absence status in *Cronobacter* species relates to the gene for ecotin7 ² See main text for details about absence/presence of particular genes from this cluster

1 **Table 3** *C. sakazakii* BAA-894 fimbrial clusters and their presence in other *Cronobacter* strains

Locus tag	Gene Product	<i>C. sakazakii</i>						<i>C. malonaticus</i>	<i>C. turicensis</i>	<i>C. muytjensii</i>	<i>C. dublinensis</i>
		1 ¹	2	20	701	767	696				
ESA_01976	Pilin FimA	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01975	Chaperone FimC	-1	0	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01974	Usher FimD	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01973	Pilin FimA	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01972	Pilin FimA	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01971	Pilin FimA	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_01970	Pilin FimA	-1	1	-1	-1	-1	-1	-1	-1	-1	-1
ESA_02538	Pilin FimA	1 ²	1	1	1	1	1	-1	-1	-1	-1
ESA_02539	Chaperone FimC	0	1	1	0	0	1	-1	-1	-1	-1
ESA_02540	Usher FimD	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02541	Pilin FimA (FimH)	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02542	Putative minor component FimG	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02799	Putative fimbrial protein	1	1	0	0	0	0	0	-1	-1	-1
ESA_02798	Chaperone FimC	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02797	Usher FimD	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02796	Pilin FimA	1	1	1	1	1	1	-1	-1	-1	-1
ESA_02795	Fimbrial protein	1	1	1	1	1	1	-1	-1	-1	-1
ESA_04067	Putative fimbrial protein	0	1	1	1	0	1	-1	-1	-1	-1
ESA_04068	Fimbrial protein	-1	1	0	-1	-1	0	-1	-1	-1	-1
ESA_04069	Fimbrial protein	0	1	1	1	1	1	-1	-1	-1	-1
ESA_04070	Fimbrial protein	0	1	1	1	1	1	-1	-1	-1	-1
ESA_04071	Usher FimD	1	1	1	1	1	1	-1	-1	-1	-1
ESA_04072	Chaperone FimC	1	1	1	1	1	1	0	0	-1	-1
ESA_04073	Fimbrial protein	0	1	1	1	1	1	-1	-1	-1	-1

2 Footnote: ¹ *C. sakazakii* strain number, see (Kucerova, Clifton et al. 2010) for details. ² According to CGH analysis 1= Present, 0 = Intermediate, -1 =

3 Absent.

1 **Table 4** Iron uptake systems in *C. sakazakii* BAA-894 and other *Cronobacter* strains.

2

Gene	Esak homologue (BLAST)	Locus Tag	<i>C. sakazakii</i>							<i>C. malonaticus</i>	<i>C. turicensis</i>	<i>C. mytjensii</i>	<i>C. dublinensis</i>
			1 ¹	2	20	701	767	696					
Enterobactin synthesis - non ribosomal peptide synthesis pathway													
<i>entA</i>	2,3-dihydroxybenzoate-2,3-dehydrogenase	ESA_00799	1 ²	1	1	1	1	1	1	1	1	0	1
<i>entB</i>	hypothetical protein ESA_00798	ESA_00798	1	1	1	1	1	1	1	1	1	1	1
<i>entC</i>	hypothetical protein ESA_00796	ESA_00797	0	1	0	0	0	0	1	1	1	1	1
<i>entD</i>	hypothetical protein ESA_02731	ESA_02731	1	1	1	1	1	0	0	1	0	0	0
<i>entE</i>	enterobactin synthase subunit E	ESA_02729	0	1	0	0	1	1	0	1	0	0	1
<i>entF</i>	enterobactin synthase subunit F	ESA_02727	1	1	0	0	1	0	1	1	0	0	1
<i>entS</i>	enterobactin exporter EntS	ESA_00794	1	1	1	1	1	1	1	1	1	1	1
Enterobactin receptor and transporters													
<i>fepA</i>	outer membrane receptor FepA	ESA_02730	1	1	1	1	1	1	1	1	1	1	1
<i>fepB</i>	iron-enterobactin transporter	ESA_00796	1	1	1	1	1	0	1	1	1	1	1
<i>fepC</i>	hypothetical protein ESA_00791	ESA_00791	1	1	1	1	1	0	1	1	1	1	1
<i>fepD</i>	iron-enterobactin transporter	ESA_00793	1	1	0	0	1	0	1	1	1	1	1
<i>fepE</i>	ferric enterobactin transport protein FepE	ESA_00459	1	1	1	1	1	1	0	0	0	0	-1
<i>fepG</i>	iron-enterobactin transporter permease	ESA_00792	1	1	0	1	1	0	1	1	1	1	1
Salmochelin synthesis													
<i>iroB</i>	salmochelin siderophore system	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>iroC</i>	salmochelin siderophore system	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>iroD</i>	enterobactin/ferric enterobactin esterase	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>iroE</i>	IroE protein	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>iroN</i>	outer membrane receptor FepA	ESA_01552	1	1	1	1	1	1	0	0	1	1	1
Aerobactin synthesis and receptor													
<i>iucA</i>	hypothetical protein ESA_pESA3p05547	ESA_pESA3p05547	1	1	0	0	1	1	1	1	-1	-1	1
<i>iucB</i>	hypothetical protein ESA_pESA3p05548	ESA_pESA3p05548	1	1	0	0	0	0	1	1	-1	-1	1
<i>iucC</i>	hypothetical protein ESA_pESA3p05549	ESA_pESA3p05549	0	0	0	0	0	0	1	1	-1	-1	0
<i>iucD</i>	hypothetical protein ESA_pESA3p05550	ESA_pESA3p05550	1	1	0	0	1	1	1	1	-1	-1	1
<i>iutA</i>	hypothetical protein ESA_pESA3p05551	ESA_pESA3p05551	1	1	1	1	1	1	1	0	-1	-1	0

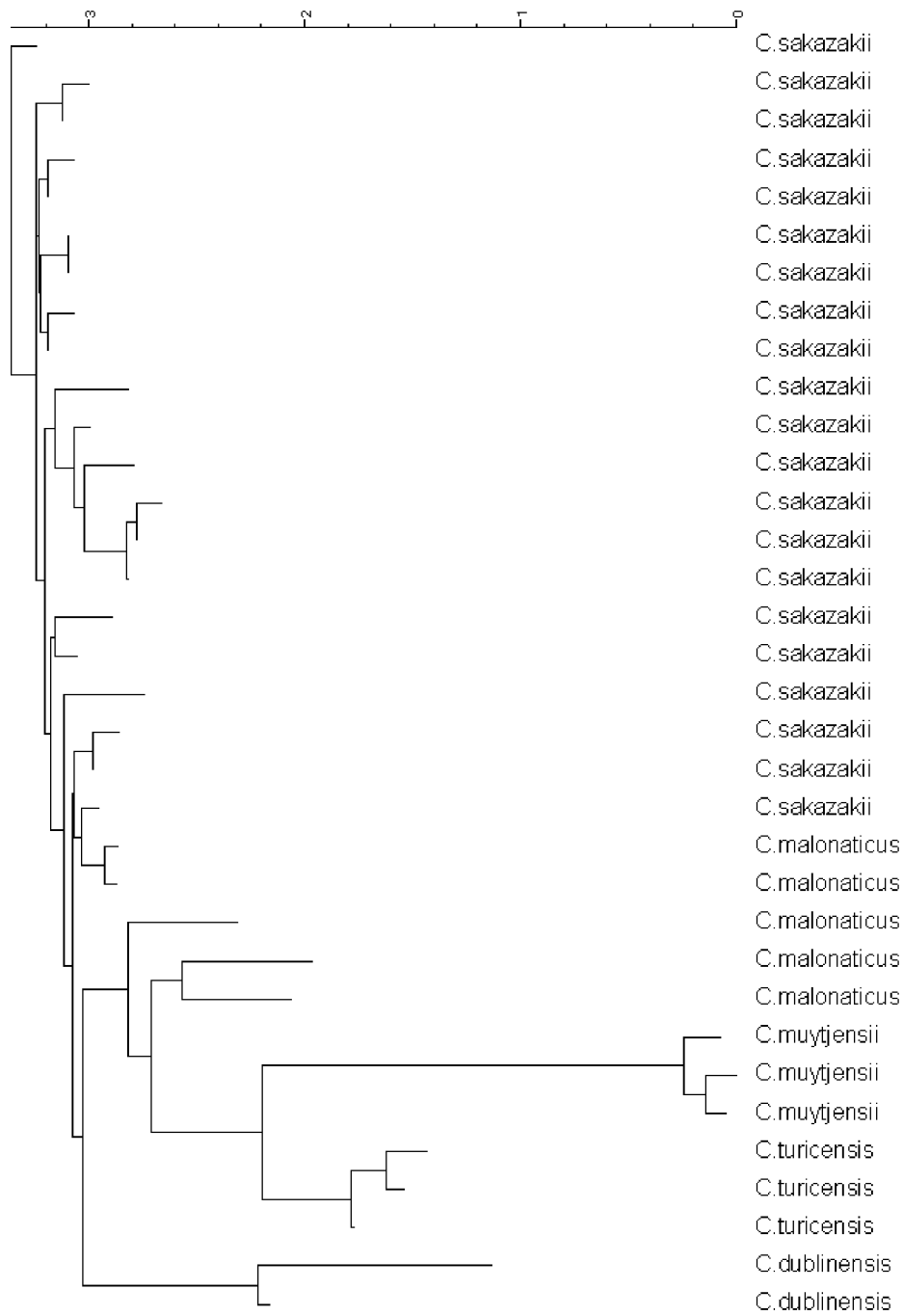
Other iron uptake genes

<i>feS</i>	enterobactin/ferric enterobactin esterase	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>fhuA</i>	ferrichrome outer membrane transporter	ESA_03190	1	1	1	1	1	-1	1	0	-1	1
<i>fhuB</i>	iron-hydroxamate transporter permease	ESA_03187	1	1	1	1	1	0	1	1	1	1
<i>fhuD</i>	iron-hydroxamate transporter	ESA_03188	1	1	1	1	1	1	1	1	1	1
<i>fpvA</i>	ferrichrome outer membrane transporter	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>fur</i>	ferric uptake regulator	No match	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
<i>ibpA</i>	heat shock protein IbpA	ESA_03960	1	1	1	1	0	1	1	1	1	1
<i>ibpB</i>	heat shock chaperone IbpB	ESA_03959	1	1	1	1	1	1	1	1	1	1

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4 Footnote: ¹ *C. sakazakii* strain number, see (Kucerova, Clifton et al. 2010) for details ² According to CGH analysis 1= Present, 0 = Intermediate, -1 = Absent.

1 Figure 1. 16S rDNA gene neighbour joining phylogenetic tree of *Cronobacter* genus.



- 1 Figure 2. Multilocus sequence typing (7 loci, 3036 nt) gene neighbour joining phylogenetic tree of
- 2 *Cronobacter* genus.
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