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2 Desiccation resistance and persistence of *Cronobacter* species
3 in infant formula

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1 **Abstract**

2 *Cronobacter* is a newly described genus which includes opportunistic
3 pathogens formerly known as '*Enterobacter sakazakii*'. These organisms have been
4 isolated from a wide variety of sources, including powdered infant formula (PIF). This
5 review focuses on the desiccation survival of *Cronobacter*, and its relevance to
6 vehicles of infection. Due to its probable natural habitat of plant material, the
7 organism has an array of survival mechanisms which include resistance to
8 desiccation and osmotic stresses. The organism can survive for long periods of
9 time (>2 years) in the desiccated state, and can be recovered from a large number of
10 powdered foods in addition to powdered infant formula. On reconstitution, the
11 organism may rapidly multiply and present a risk to immunocompromised infants. It
12 is expected that an improved understanding of the nature of *Cronobacter* persistence
13 may aid in further improved control measures and eliminate the bacterium from the
14 critical food production environments.

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1 **1. Introduction**

2 *Cronobacter* is well known to be resistant to osmotic and dry stresses. This
3 high tolerance to desiccation may provide a competitive advantage in dry
4 environments, such as would be found in PIF and other manufacturing plants of
5 powdered food products. This physiological trait has also been used as a selective
6 agent in various enrichment broths such as modified lauryl sulphate broth (0.5M
7 NaCl), and *Enterobacter sakazakii* enrichment broth (10 % sucrose) (Iversen and
8 Forsythe, 2007). This review considers the studies to date on this important survival
9 trait for this emergent pathogen.

10 *1.1 Prevalence of Cronobacter in powdered infant formula and other desiccated* 11 *foods.*

12 The microbiological safety of infant foods is very important due to infants
13 lacking a developed immune system, or a competing intestinal flora (Townsend and
14 Forsythe, 2008). The WHO (2007) have issued guidance on the preparation of
15 infant formula. Additionally, improved detection methods have been developed to
16 support improved control measures in the production of infant formulas, via the
17 recently revised international microbiological criteria (CAC, 2008b). However it is not
18 the purpose of this review to consider these detection methods, and the reader is
19 directed to the review chapter by Fanning and Forsythe (2008). Instead the focus is
20 on the desiccation survival of the organism, which may enable it to persist in
21 powdered products including PIF.

22 Unlike commercially available ready to feed liquid formula, dried infant
23 formula milk powders (hitherto known as 'powdered infant formula', PIF) are not
24 sterile and must conform to national and international microbiological criteria (CAC

1 2008a,b). It is of interest to note that when Farmer et al. (1980) defined the former
2 '*Enterobacter sakazakii*' species they included a national culture collection strain
3 NCTC 8155 isolated from dried milk by Thornley (1960). Therefore, the presence of
4 *Cronobacter* spp. in dried milk products can be traced back for many decades, and
5 overlaps with the first meningitis case attributed to *Cronobacter* in 1958 (Urmenyi
6 and Franklin, 1961). However, at that time, there was no evidence of any link with
7 infant formula, which considerably differs from milk powder.

8 A number of surveys of PIF have been reported and are summarised in Table
9 1. However it should be noted that these surveys were undertaken at the time of the
10 previous Codex Alimentarius Commission (CAC, 1979) microbiological criteria, and
11 may not reflect current prevalence of *Cronobacter* spp. under the more stringent
12 guidelines (CAC, 2008a,b). Also a number of these surveys used non-specific
13 methods for *Cronobacter* (i.e. FDA protocol) in which the organism could be
14 outnumbered on the violet red bile glucose agar (VRBGA) plates, and non-
15 pigmented *Cronobacter* isolates on tryptic soy agar (TSA) would be overlooked.

16 The first reported large survey of PIF samples for *Cronobacter* spp. and other
17 *Enterobacteriaceae* was by Muytjens et al. (1988) who studied 141 samples, from 35
18 countries. They reported that 52.2% of samples were contaminated with
19 *Enterobacteriaceae*, and 14% (13 countries) contained '*E. sakazakii*'. The level of
20 contamination ranged from 0.36 to 66.0 cfu/100 g. This low level (<1 cfu/g) of
21 contamination has been confirmed in numerous further studies. Simmons et al.
22 (1989) reported 8 *Cronobacter* cfu/100 g for an open can of powdered milk formula
23 used during the time of an outbreak on an neonatal intensive care unit. Nazarowec-
24 White and Farber (1997b) analysed 120 cans of PIF from five different companies in
25 Canada and found that 6.7% contained *Cronobacter* spp. at levels of 0.36 cfu/100 g.

1 The prevalence was between 0 and 12% of the samples per manufacturer.
2 Heuvelink et al. (2001), using a present/absence test for 25 g quantities, detected
3 *Cronobacter* spp. in 1 of 40 infant formula powders and 7 out of 170 milk powders.
4 Santos (2006) studied 98 PIF samples and reported levels of *Cronobacter* at 0.22-
5 1.61 cfu/100g product. Hence the organism has never been reported at levels >1
6 cfu/g.

7 A detailed analysis of almost 500 food samples including 82 PIF and 49
8 weaning foods was reported by Iversen and Forsythe (2004). This survey used the
9 chromogenic Druggan-Forsythe-Iversen agar (DFI) to improve the recovery of
10 *Cronobacter* in the presence of other *Enterobacteriaceae*. This medium has better
11 sensitivity (87.2%) and specificity (100%) than the Muytjens et al. (1988) procedure
12 (Iversen et al., 2004a). *Cronobacter* were isolated from 2 of 82 PIF, 5 of 49 weaning
13 foods, 3 of 72 milk powders, 40 of 122 herbs and spices, and 15 of 66 other dry food
14 ingredients. Following the FAO/WHO (2008) call for data on follow up formula, an
15 international consortium of laboratories was formed which analysed a total of 287
16 samples of follow-up formula and weaning foods which are all desiccated powdered
17 products. A total of 7 countries participated; Brazil, England, Indonesia, Jordan,
18 Korea, Portugal, and Malaysia (Chap et al., 2009). They reported the isolation of
19 *Cronobacter* spp. from 1 of 84 samples of follow up formula and 30 of 203 weaning
20 foods. It was also found that there were differences in national definition of 'follow up
21 formula' which did not necessarily equate with the Codex Alimentarius Commission.

22 Therefore, it is clear that *Cronobacter* spp. is recoverable from the desiccated
23 state in a number of powdered food products which are given to infants. Linked to
24 this, it is pertinent to remember that the well publicized 2001 Tennessee outbreak of
25 *C. sakazakii* was attributed to the accidental feeding of a non-infant formula to

1 neonates (Himelright et al., 2002). The intended market for this product was children
2 and adults. Additionally, the prevalence of *Cronobacter* spp. infections in adults is
3 raised in the elderly who are immunocompromised, and may use protein
4 supplements as part of their diet (FAO/WHO, 2008). A common risk factor in
5 reported *Cronobacter* outbreaks in France was the temperature abuse of
6 reconstituted formula (Caubilla-Barron et al., 2007; Coignard et al., 2006). This
7 highlights the need for temperature control to reduce microbial growth in
8 reconstituted formula.

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11 **2. Persistence of Desiccated Cultures of *Cronobacter***

12 The following section reviews the limited number of studies which have been
13 undertaken to investigate the persistence of *Cronobacter* under desiccated
14 conditions. Although the thermotolerance of microorganisms is affected by their
15 physiological states (Lou and Yousef, 1996; Wesche et al., 2005) most studies on
16 thermal inactivation of *Cronobacter* spp. in reconstituted PIF have used non-stressed
17 cultures, grown under optimal laboratory conditions (Breeuwer et al., 2003; Edelson-
18 Mammel and Buchanan, 2004; Iversen et al., 2004b; Nazarowec-White and Farber,
19 1997a). However in food processing or preparation environments, microorganisms
20 are exposed to a wide range of chemical, physical, and nutritional stresses.
21 Therefore, it is appropriate to study the thermotolerance properties of the pre-
22 stressed i.e. desiccated *Cronobacter* cells, as it could occur prior to the intrinsic
23 contamination of PIF.

1 It should be noted that many publications prior to 2008 used the name '*E.*
2 *sakazakii*' and cannot be reinterpreted with respect to specific species, and therefore
3 in this review the general term *Cronobacter* species has been used.

4 5 *2.1. Persistence of desiccated Cronobacter cells*

6 Riedel and Lehner (2007) screened 56 *Cronobacter* spp. for desiccation
7 tolerance by determining the viable count of stationary phase cultures which had
8 been spread on nylon membrane discs, dried and stored at room temperature for 72
9 h. One strain *C. sakazakii* z236 (species determined by 16S rDNA sequence
10 analysis, Genbank accession number AY752943) was chosen for further detailed
11 proteomic studies. For desiccation stress studies, cells were harvested from 1.5 L of
12 LB broth, washed and dried in a Petri dish for 5 h at room temperature, followed by
13 storage at room temperature for 7 days. Osmotically-stressed cells were grown in LB
14 broth supplemented with 1 M NaCl.

15 Breeuwer et al. (2003) and Shaker et al. (2008) used similar techniques to
16 prepare desiccated cultures of *C. sakazakii* and *C. muytjensii*. Overnight cultures of
17 the *Cronobacter* strains were divided into 50 μ L portions in a sterile Petri dish. The
18 plate was placed, without a lid, in a 40°C incubator for drying along with dehydrated
19 silica gel. After 2 h, the plate was covered and kept at 21°C for 4 d. Initial studies
20 showed that the drying procedure decreased the *Cronobacter* viability by 1 log₁₀ and
21 the 4 d-storage period decreased the level of the cells by ≤ 1 log₁₀/mL.

22 Caubilla-Barron and Forsythe (2007) prepared desiccated cultures of 10
23 *Cronobacter* strains and 17 strains of other *Enterobacteriaceae* for a long-term

1 persistence study of 2.5 years. The *Enterobacteriaceae* included *E. cloacae*,
2 *Salmonella* Enteritidis, *Citrobacter koseri*, *Cit. freundii*, *E. coli*, *E. vulneris*, *Pantoea*
3 spp., *K. oxytoca*, and *K. pneumoniae*. Such a large study required a less labour
4 intensive method per strain than that of Breeuwer et al. (2003) and Shaker et al.
5 (2008) described above. A miniaturised method of desiccation was designed which
6 was based on the 'most probable number' approach to estimate microbial viability.
7 Nearly all *Enterobacteriaceae* were grown on milk agar plates at 37°C for 48 h,
8 except *Salmonella* Enteritidis (non-lactose fermentor) which was grown on (TSA).
9 Cells were harvested in sterile liquid infant formula to a cell density of approximately
10 10^{11} cfu/ml, and then aliquots were transferred into six-well ELISA tray plates and air
11 dried overnight in a class II cabinet at room temperature. After desiccation, bacterial
12 cell suspensions were prepared in sterile liquid infant formula in serial tenfold
13 dilutions. Ten-microliter aliquots of each diluted cell suspension were dispensed into
14 96-well microtiter plates. Two microtiter trays were prepared per strain for each time
15 point, giving a total of 16 replicates per dilution. To determine the culture viability at
16 20 time points over the study period, forty 96-well microtiter plates per strain were
17 prepared. This resulted in the preparation of 1,080 microtitre plates for all 27 strains.
18 Uninoculated infant formula was used as the negative control. The plates were dried
19 in a class II cabinet at room temperature for 4 h before being sealed with microtiter
20 lids and stored at room temperature. At known time intervals, each microtiter tray
21 well was rehydrated with 200 μ l of sterile liquid infant formula and incubated for 48 h
22 at 37°C. Growth in each well was detected by the addition of bromocresol purple to
23 detect changes in the infant formula pH. The viability of each strain was determined
24 by the most-probable-number (MPN) estimation based on 16 replicates per strain
25 per time point using the BAM-MPN Excel software (FDA, 2006).

1 Caubilla-Barron and Forsythe (2007) reported that the *Enterobacteriaceae*
2 could be divided into four groups with respect to their long-term survival in the
3 desiccated state. Group 1 was composed of *Cit. freundii*, *Cit. koseri*, and *E. cloacae*.
4 These organisms were no longer recoverable after 6 months. Group 2 organisms
5 were *S. Enteritidis*, *K. pneumoniae*, and *E. coli* and could not be recovered after 15
6 months. The third group consisting of *Pantoea* spp., *K. oxytoca*, and *E. vulneris*
7 persisted over 2 years, and some capsulated strains of *C. sakazakii* which were still
8 recoverable after 2.5 years. The recovery of *Cronobacter* spp., under desiccated
9 conditions, decreased an average of 0.58 log₁₀ cycles (range, 0.26 to 1.15 log₁₀
10 CFU/ml) during the first month. This result was similar to previous published values
11 of 0.5- and 0.6-log₁₀ reductions per month (Edelson-Mammel et al., 2005; Gurtler
12 and Beuchat, 2005). A larger decrease was observed during the first 6 months,
13 when the recovery declined by 3.34 log cycles. During the next 24 months, the
14 average recovery decreased a further 1.88 log₁₀ cycles, resulting in a total decline in
15 viable counts of 4.52 log₁₀ cycles in the desiccated state. *C. sakazakii* type strain
16 (NCTC 11467^T) differed from the other *Cronobacter* strains in that it was no longer
17 recoverable after 1 year. As previously reported this type strain has atypical growth
18 characteristics (Iversen et al., 2004b), and it is advisable not to use it as
19 representative of the species for growth and survival studies. Five of the 10
20 *Cronobacter* strains were still recoverable after 2 years. The rate of loss of viability
21 decreased after 6 months for all strains except strain (NCTC 11467^T). It is plausible
22 that the cultures were composed of two distinct subpopulations. The minority
23 subpopulation being more resistant to prolonged desiccated storage. After 2 years of
24 storage, four of the five *Cronobacter* strains recovered were capsulated, and the only
25 strains recoverable after 2.5 years were two capsulated strains. Therefore,

1 capsulation may play an important role in recovery after extended periods. The
2 importance of the capsule in desiccation survival is supported by the persistence of
3 capsulated strains of *K. oxytoca*, *E. vulneris*, and *Pantoea* spp. over the 2-year
4 period.

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6 2.2. Recovery of desiccated *Cronobacter* spp.

7 Although the current method for *Cronobacter* spp. detection involves an initial
8 pre-enrichment step to resuscitate desiccated stressed cells, a number of
9 researchers have studied direct plating methods onto selective agars. Gurtler and
10 Beuchat (2005) compared the recovery of *Cronobacter* spp. on non-selective,
11 differential and selective media. They used a cocktail culture of four strains which
12 had been desiccation stressed. They found that the recovery of *Cronobacter* cells
13 which had survived the desiccation process on TSA supplemented with 0.1% sodium
14 pyruvate (TSAP), Leuschner, Baird, Donald, and Cox (LBDC) agar, fecal coliform
15 agar (FCA), and OK agar (Oh and Kang) was significantly higher than on Druggan-
16 Forsythe-Iversen (DFI) medium, VRBGA, or *Enterobacteriaceae* enrichment (EE)
17 agar. Similarly *Cronobacter* spp. exposed to heat, freeze, acid, and alkaline
18 stressed cells were recovered better on TSAP and LBDC than differential, selective
19 media. The authors stated that LBDC can be used as a direct-plating medium for
20 detecting injured *Cronobacter* spp. in dry infant formula containing a low number of
21 background microflora.

22 Al-Holy et al. (2008) compared an overlay method and selective-differential
23 media (OK, violet red bile agar, DFI, EE and FCA) for the recovery of desiccation
24 stressed *Cronobacter* spp. from dry infant milk formula. The overlay method involved

1 plating 0.1 ml samples of reconstituted infant milk formula onto TSAP and incubating
2 for 2 h at 37 °C to allow injured *Cronobacter* cells to resuscitate. Afterwards, a thin
3 layer (8 ml) of each of the selective-differential media was overlaid onto TSAP and
4 the plates were incubated for additional 22 h at 37°C. Their results showed that the
5 use of the overlay method was efficient for detecting low levels of desiccation
6 stressed *Cronobacter* spp. in dry infant milk formula without compromising the
7 selectivity of the medium. The highest recovery of desiccated stressed cells was on
8 TSAP, TSAP+VRBA, TSAP+DFI, and TSAP+FCA and the lowest recovery was on
9 EE medium.

10 Osaili et al. (unpublished work) further evaluated the value of the thin agar
11 layer (TAL) method to recover stressed *Cronobacter* spp. The TAL method involves
12 pouring a molten TSA (40 to 45°C) on selective differential media (VRBGA or DFI)
13 prior to inoculation. There were no significant differences among the recovery of
14 desiccation stressed of *Cronobacter* spp. on TSA, VRBGA+TSA and DFI+TSA. The
15 recovery of desiccated stressed *Cronobacter* spp. on VRBGA was significantly lower
16 than on DFI.

17

18 2.3. Effect of desiccation on *Cronobacter* thermal tolerance

19 Reconstitution with hot water (>70°C) has been recommended by the
20 FAO/WHO (2004, 2006) and WHO (2007) to reduce the risk of *Cronobacter*
21 infections by reducing the bacterial load in PIF. Therefore it is pertinent to study the
22 affect of desiccation on thermal tolerance. Osaili et al. (2008a,c) studied the effect of
23 environmental stresses on the thermal inactivation of *C. sakazakii* and *C. muytjensii*
24 in infant milk formula and found that these stresses decreased the thermal
25 resistance of the microbe. They found that extended dry storage of *Cronobacter* in

1 infant milk formula increased the susceptibility of the microbe toward heat during
2 rehydration with hot water. Further studies by Shaker et al. (2008) determined the
3 effect of desiccation, as well as other stresses (starvation, heat and cold) on the
4 thermal inactivation of *C. sakazakii* and *C. muytjensii* in reconstituted PIF. Stressed
5 cells in reconstituted PIF were exposed to 52 - 58°C for various time periods, and the
6 subsequent D- and z-values were determined following plating on non-selective
7 agar. D-values for unstressed *Cronobacter* at 52, 54, 56, and 58°C were 15.33,
8 4.53, 2, and 0.53 min, respectively. Desiccation and heat stresses, but not starvation
9 or cold stress, caused significant ($P < 0.05$) reduction in D-values. The z-values of
10 desiccated, starved, heat stressed, and cold stressed *Cronobacter* were not
11 significantly different from the z-value of unstressed cells (4.22°C). Thermal
12 resistance of *Cronobacter* in reconstituted PIF was affected by desiccation and heat.
13 As given above, these are environmental stresses to which the organism may be
14 exposed to prior to the contamination of infant formula or other foods.

15 Shaker et al. (2008) calculated the process lethality (F), during heating
16 and cooling of reconstituted PIF for desiccated, starved, heat and cold stressed
17 *Cronobacter* strains. Taking the following as an example, when the maximum
18 temperature on reconstitution was 63°C after 4 min of heating, followed by cooling to
19 40°C within 2 min, this equated to an average process lethality at the reference
20 temperature 58°C of 18 min. This process lethality will result in approximately 60, 27,
21 67, and 38 \log_{10} reduction (F/D_{58}) desiccated, starved, heat stressed, and cold
22 stressed *Cronobacter* cells and a 34 \log_{10} reduction unstressed *Cronobacter* cells in
23 reconstituted PIF. The authors proposed that due to such a high kill, the presence of
24 *Cronobacter* in powdered infant milk formula was probably due to contamination

1 after pasteurization during the manufacturing process, and confirmed the high kill
2 when using hot water to reconstituted PIF.

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5 2.4. Effect of desiccation on *Cronobacter* ionizing radiation tolerance

6 Doses of up to 10 kGy can greatly reduce the number of spoilage organisms
7 and eliminate pathogens without causing toxicological hazards or compromising
8 nutritional and sensory quality (WHO, 1999). The efficacy of reducing the viability of
9 *Cronobacter* spp. in dry infant milk formula by ionizing radiation has been
10 investigated by Hong et al. (2008), Osaili et al. (2007), Lee et al. (2006). Non-
11 desiccated cells were used in these studies.

12 More recently, Osaili et al. (2008a, b) studied the resistance of environmental
13 stressed *Cronobacter* spp. in PIF to gamma radiation. Four food *C. sakazakii*
14 isolates and *C. muytjensii* type strain were desiccation stressed in PIF for up to 1
15 year (Osaili et al. 2008a). It was found that extended dry storage in PIF increased
16 the resistance of *Cronobacter* spp. to ionizing radiation. The D_{10} -values of 8 month
17 desiccation stressed cells were higher than those of the controls by 7 to 31%. The
18 D_{10} values (1.08-1.28 KGy) of 8 month desiccated *C. sakazakii* were significantly
19 higher than those of 1 month desiccated cells (0.95-1.0 KGy). Although a 2- \log_{10}
20 cfu/g reduction of the *C. sakazakii* strains in control samples could be achieved by 2
21 kGy. The latter dose was insufficient to consistently eliminate 1.2 to 1.5 \log_{10} of the
22 same isolates that were desiccation stressed in dry infant milk formula for 12
23 months. While desiccation enhanced resistance to irradiation treatment, strains
24 varied in terms of the extent of change in resistance development during extended
25 dry storage. For instance, *C. muytjensii* was the most resistant strain after 1 month of

1 storage. However, this strain was more sensitive than the others after 12 months of
2 storage. In contrast, *C. sakazakii* (PIF isolate) was recovered from dry samples
3 irradiated with 4 kGy after 12 months of dry storage. Osaili et al. (2008b) found that
4 other environmental stresses (starvation, heat, cold, acid, alkaline, chlorine or
5 ethanol) did not significantly change the sensitivity of most *Cronobacter* spp. in dry
6 infant milk formula to ionizing radiation. The D_{10} values of stressed *C. muytjensii*
7 ranged from 1.35 to 1.95, while those for stressed *C. sakazakii* ranged from 0.82 to
8 1.24 kGy.

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11 **3. Desiccation Stress Survival Mechanisms in *Cronobacter* Species**

12 Milk-based infant formula contains components such as lactose, proteins, and
13 milk fat that may have protective effects on bacteria during drying and reconstitution
14 affecting their ability to survive desiccation. However this does not explain the
15 specific desiccation resistance of *Cronobacter* compared with other
16 *Enterobacteriaceae*. A clue with regard to the noted desiccation resistance may
17 reside in the normal habitat of the organism. As revealed in the various surveys
18 (Table 2), a probable natural habitat of *Cronobacter* is plant material; cereals, wheat,
19 corn, soy, rice, herbs and spices, vegetables, salads. In fact early sources of
20 isolates included sour tea, and Chinese herbs (Scheepe-Leberkühne Wagner 1986;
21 Tamura et al. 1995). Consequently the organism can be present in a number of
22 plant-derived ingredients including starches, and carob powder used in PIF
23 production, pasta, and flour. Hence *Cronobacter* spp. has a number of
24 environmental and plant-related survival mechanisms. These include the production
25 of a yellow pigment in most (but not all) strains to protect against oxygen-radicals,

1 capsule synthesis to aid attachment, and an array of other survival mechanisms
2 which confer protection against cellular damage due to desiccation and other
3 environmental stresses. This topic has been investigated by Breeuwer et al.
4 (2003), and Riedel and Lehner (2007). It is covered in more detail below.

5 Prior to the above long-term comparative study of Caubilla-Barron et al.
6 (2007), Breeuwer et al. (2003) aimed to demonstrate that *Cronobacter* spp. were not
7 particularly thermotolerant, but that they adapted following exposure to desiccation
8 and osmotic stresses. D-value estimates showed that the thermotolerance of 22
9 strains of *Cronobacter* spp. in the exponential phase were comparable with that of
10 other *Enterobacteriaceae*, and lower than the previous reported values of
11 Nazarowec-White and Farber (1997a). However, stationary phase cells were
12 relatively more resistant to dry and osmotic stress than *E. coli*, *Salmonella* and other
13 strains of *Enterobacteriaceae* tested. Given the diverse nature of the *Cronobacter*
14 genus it is plausible that the differences with Nazarowec-White and Faber were due
15 to differences in experimental protocol. For example, Breeuwer et al. (2003) placed
16 the heat-treated cell on ice prior to enumeration, and therefore could have given the
17 cells a cold-shock resulting in lower recoveries. In addition, the two groups could
18 have been analysing different *Cronobacter* species, and it is known that the
19 thermotolerance between *Cronobacter* species differs considerably (Caubilla-Barron
20 et al., 2009). A significant observation by Breeuwer et al. (2003) was that
21 *Cronobacter* cells in the stationary phase accumulated trehalose, and this may be
22 linked with desiccation survival. Under such conditions, the level of trehalose in
23 *Cronobacter* spp. increased >5 fold. This was not observed in exponential phase
24 cells, nor in *E. coli*. The latter being more thermal sensitive than *Cronobacter*.
25 Trehalose is one of a number of compatible solutes; others being glycine, betaine,

1 proline, ectoine, carnitine, and choline. These are polar, highly soluble compounds
2 which can counteract osmotic pressure and drying stabilizing proteins and
3 membranes. To the authors' knowledge, this observation has unfortunately not been
4 further investigated. The closest is the work by Riedel and Lehner (2007) using a
5 proteomics approach to study stress response in *C. sakazkaii* strain z235 isolated
6 from fruit powder.

7 Riedel and Lehner (2007) recorded a number of changes in protein synthesis
8 following desiccation and osmotic stress as an adaptive protection mechanism
9 (Table 3). There were similarities, and differences in response to the two stress
10 conditions. Changes in protein profiles in osmotically stressed cells are primarily
11 adaptation to the environment, whereas the response is more protective in
12 desiccated cells. A heat shock protein was detected in desiccated cells, but not
13 osmotically-stressed cells and may have been part of a general stress response.
14 Other proteins which were up-regulated were cold-shock protein CspC, DNA
15 protection and repair proteins Dps and histone-like DNA binding protein, as well as
16 the protective proteins against oxygen radicals; superoxidase dismutase and alkyl
17 hydroperoxide reductase. A number of enzymes involved in glycolysis and
18 fermentation were also up-regulated which might relate to the trehalose
19 accumulation. Additionally, the induction of OmpC, OmpA and glutamine-binding
20 protein may be linked to the transport into the cell of compatible solutes, similar to
21 trehalose accumulation reported by Breeuwer et al. (2003). The protein Mfla-1165
22 was also up-regulated in desiccated cells, but not osmotically-stressed cells. This
23 protein was reported to be a biomarker for thermotolerance (Williams et al. 2005),
24 but has not been confirmed by other research groups (Caubilla-Barron et al., 2009).

1 A number of genes encoding the proteins up-regulated due to desiccation
2 stress in *C. sakazaki* z235 have been sequenced in the *C. sakazakii* strain ATCC
3 BAA-894 (Table 3). There are three putative ABC-type proline/glycine betaine
4 transport systems (ESA_00586 to 00589, ESA_01108 to 01111 and ESA_01738 to
5 01741), and a number of genes for cold-shock proteins (ESA_02704, ESA_04323,
6 and ESA_02195). In addition, genes related to capsule production have been
7 located ESA_03349 to 03353, but not the gene encoding the protein Mfla-1165. It
8 should be noted that *C. sakazakii* ATCC BAA-894 was not isolated from an infected
9 infant, but from a can of formula associated with the outbreak. It is known that *C.*
10 *sakazakii* strains may acquire additional traits during infection including antibiotic
11 resistance factors (Caubilla-Barron et al., 2007).

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14 **4. Conclusions**

15 *Cronobacter* spp. have been isolated from a wide variety of sources, including
16 powdered infant formula, powdered ingredients and foods. The organism is more
17 resistant to desiccation than most other *Enterobacteriaceae*, and can persist in the
18 desiccated state for at least 2 years. On reconstitution, the organism can rapidly
19 multiply and hence the reconstituted product can present a risk to the
20 immunocompromised. Therefore temperature abuse should be avoided. Currently
21 neonates are recognised as a vulnerable group to *Cronobacter* infections, however
22 the elderly may also be susceptible. A greater understanding of stress response
23 adaptations, such as to desiccation in the production facility, may contribute to
24 further improvements in the control of this bacterium.

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1 Table 1. Isolation of *Cronobacter* spp. from powdered infant formula. Adapted from FAO/WHO (2006)

2

Method	Volume tested (g)	Number of samples	<i>Cronobacter</i> spp. positive (%)	Enumeration (cfu/100g)	Reference
BPW, EE, VRBGA	333-555	141	20 (14.2)	0.36-66	Muytjens et al., 1988; Townsend et al. 2007
DW, EE, VRBGA,	333	120	8 (6.7)	0.36	Nazarowec-White and Farber, 1997b
FDA	333	22	5 (22.7)	0.36	FDA, 2003
BPW, EE, VRBGA	25	101	2 (2)	ND	Heuvelink et al., 2003
BPW, EE, ESIA or DFI	300	40	5 (12.5)	ND	Estuningsih et al., 2006
BPW, EE, DFI	25	102	3 (2.9)	ND	Iversen and Forsythe, 2004
FDA and BAX	5 x 100	98	12 (12.2)	0.22-1.61	Santos, 2006

3

4 ND not determined

- 1 Table 2. Isolation of *Cronobacter* species from non-infant formula powdered foods, plant material and other dry sources. Updated
 2 and adapted from Fanning and Forsythe (2008).

Source	References
Follow-on formula (3/89)	Chap et al. 2009
Weaning foods (5/49 ^a and 30/203 ^b)	Iversen and Forsythe, 2004 ^a ; Shaker et al. 2007; Chap et al. 2009 ^b ;
Preparation equipment (blender, spoons)	Block et al. 2002; Clark et al. 1990; Smeets et al. 1998; Bar-Oz et al. 2001
Milk powder (3/72) ^a	Postupa and Aldová 1984; Muytjens et al. 1988; Heuvelink et al. 2001; Iversen and Forsythe 2004 ^a
Rice seed	Cottyn et al. 2001
Dried foods (15/66), herbs and spices (40/122)	Iversen and Forsythe 2004
Dried flour or meal (corn, soy, wheat and rice) (14/78)	Restaino et al. 2006
Dried infant cereals (2/6), adult cereals (2/8)	Restaino et al. 2006
Dried vegetables and spices (1/5)	Restaino et al. 2006
Grain	Jung & Park 2006
Tofu	Fouad & Hegeman 1993; No et al. 2002
Iced tea	Zhao et al. 1997
Mixed salad vegetables	Gaolli et al. 1990; Lack et al. 1999; Weiss et al. 2005
Dried sodium caesinate (4/24)	Restaino et al. 2006

Starches (40/1389)

FAO/WHO 2004

Milk powder, chocolate, cereal, potato flour, pasta and
spices factories, and household dust

Kandhai et al. 2004

Hospital air

Masaki et al. 2001

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1 Table 3. Proteins associated with desiccation resistance and osmotic stress adaptation, and location of capsule production genes;
 2 based on Riedel and Lehner (2007).

3

COG functional annotation	Protein	Putative gene in <i>C. sakazakii</i> BAA-894 ^a	Response to desiccation stress conditions
DNA replication, recombination and repair	DNA protecting protein under starved conditions Dps	ESA_02528	Present
Transcription	Cold shock-like protein CspC	ESA_02195, ESA_02704, ESA_04323	Up-regulated; conversely regulated in desiccated and osmotically stressed cells
Translation, ribosomal structure and biogenesis	50S ribosomal protein	ESA_00203	Up-regulated
	Elongation factor EF-Tu	ESA_03699	Up-regulated
	Elongation factor EF-G	ESA_04401	Up-regulated
Cell division and chromosome partitioning	Cell division and chromosome partitioning MinD	ESA_01458	Down-regulated
Cell envelope biogenesis, outer membrane	Outer membrane protein OmpC	ESA_00974, ESA_013112, ESA_01235, ESA_02413	Up-regulated
	Outer membrane protein OmpA	ESA_02391	Up-regulated
	Capsule production	ESA_03349-03353	Previously reported to be protective ^b
Cell motility and secretion	Flagellin FliC	NF	Down-regulated
	Thermoregulated motility protein	ESA_02188	Up-regulated
Inorganic ion transport and metabolism	Superoxide dismutase	ESA_03843	Up-regulated
Post-translational modification,	AAA ATPase, central region: Clp, N-terminal	ESA_00662	Up-regulated
protein turnover and chaperones	Trigger factor	ESA_02862	Up-regulated
	Chaperonin GroES	ESA_00153	Up-regulated
	HSP	ESA_03959	Up-regulated
	HSP ClpB	ESA_00662	Present
	Alkyl hydroperoxide reductase	ESA_02721	Up-regulated
Amino acid transport and metabolism	Arg 3rd transport system periplasmic binding protein	ESA_02473, ESA_02477	Present

	Gln-binding periplasmic protein	ESA_02529	Conversely regulated
	Glu/Asp-binding periplasmic protein	ESA_02680	Present
	Metalloprotease	ESA_00752	Up-regulated
Carbohydrate transport and metabolism	Enolase	ESA_00523	Up-regulated
	PTS system, glucose-specific IIA component	ESA_00828	Up-regulated
	Phosphoglycerate kinase	ESA_00409	Up-regulated
	α -Glucosidase	ESA_02513, ESA_04054, ESA_04154	Up-regulated
	Maltose-binding periplasmic protein	ESA_00081	Present
	ABC-type proline/glycine betaine transport systems	ESA_00586-00589, ESA_01108-01111, ESA_01738-01741	Compatible solute transport
Energy production and conversion	Inorganic pyrophosphatase	ESA_00231	Up-regulated
General function prediction only	DNA-binding protein Hns	ESA_01537	Up-regulated
Function unknown	Hypothetical protein Mfla_1164	NF	Present
	Hypothetical protein Mfla_1165	NF	Up-regulated
	Hypothetical protein Psysc_0523	ESA_01369	Present in osmotically stressed cells only

1

2 NF not found.

3 a Protein accession number (GI) from Riedel and Lehner (2007) was used to BLAST the *C. sakazakii* BAA-894 genome (www.ncbi.nlm.nih.gov).

4 b Caubilla-Barron & Forsythe (2007).

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