



Induction of Identical IgG HIV-1 Envelope Epitope Recognition Patterns After Initial HIVIS-DNA/MVA-CMDR Immunization and a Late MVA-CMDR Boost

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In the RV144 trial, to date the only HIV-1 vaccine efficacy trial demonstrating a modestly reduced risk of HIV-1 acquisition, antibody responses toward the HIV Envelope protein (Env) variable (V) 2 and V3 regions were shown to be correlated with a reduced risk of infection. These potentially protective antibody responses, in parallel with the vaccine efficacy, however, waned quickly. Dissecting vaccine-induced IgG recognition of antigenic regions and their variants within the HIV-1 Env from different vaccine trials will aid in designing future HIV-1 immunogens and vaccination schedules. We, therefore, analyzed the IgG response toward linear HIV-1 Env epitopes elicited by a multi-clade, multigene HIVIS-DNA priming, and heterologous recombinant modified vaccinia virus Ankara (MVA-CMDR) boosting regimen (HIVIS03) and assessed whether a late MVA-CMDR boost 3 years after completion of the initial vaccination schedule (HIVIS06) restored antibody responses toward these epitopes. Here we report that vaccination schedule in the HIVIS03 trial elicited IgG responses against linear epitopes within the V2 and V3 tip as well as against the gp41 immunodominant region in a high proportion of vaccinees. Antibodies against the V2 and gp41 Env regions were restricted to variants with close homology to the MVA-CMDR immunogen sequence, while V3 responses were more cross-reactive. Boosting with a late third MVA-CMDR after

3 years effectively restored waned IgG responses to linear Env epitopes and induced targeting of identical antigenic regions and variants comparable to the previous combined HIVIS-DNA/MVA-CMDR regimen. Our findings support the notion that anti-HIV-1 Env responses, associated with a reduced risk of infection in RV144, could be maintained by regular boosting with a single dose of MVA-CMDR.

Keywords: human immunodeficiency virus 1 (HIV-1), vaccine, envelope (Env), envelope-specific antibody response, epitope variants, immunogen structure, immunogen sequence, linear peptide array

INTRODUCTION

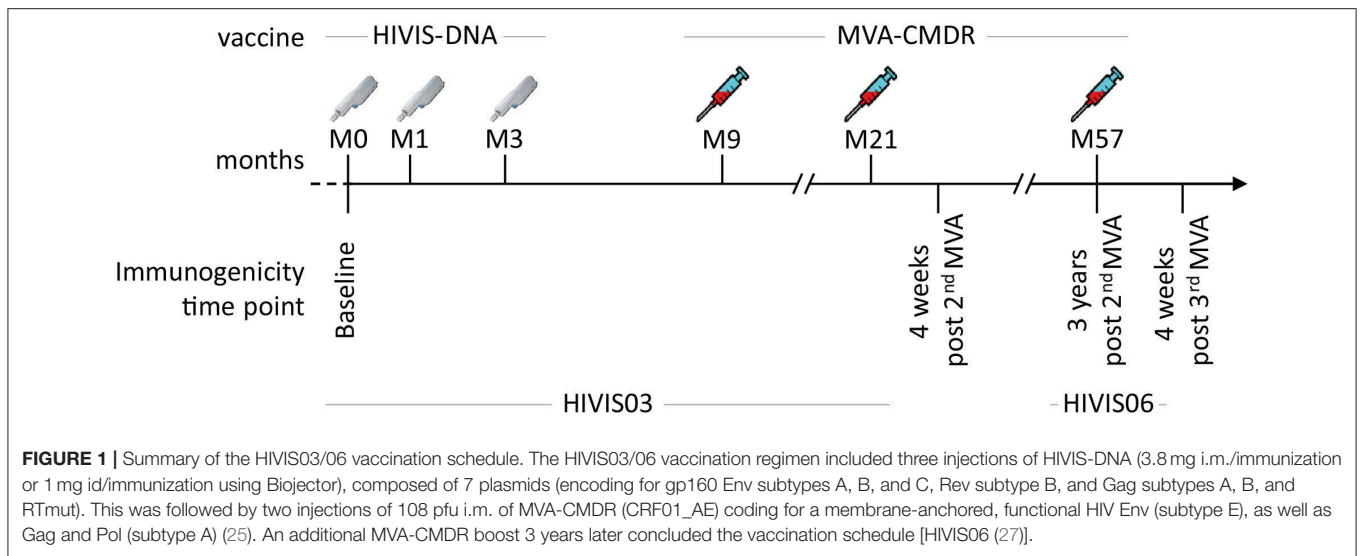
With an estimated 1.7 million new HIV infections worldwide in 2018 as reported by the WHO, HIV-1 remains a global health challenge. To stop the on-going HIV epidemic, a safe and effective HIV vaccine is urgently required. So far, the virus' immune evasion mechanisms have hampered these attempts. One of the main challenges lies in the extraordinarily high mutation rate of HIV, which results in high antigenic variability of the HIV-1 Envelope (Env) protein, the only viral antigen exposed on the surface of the viral particle. The HIV-1 Env comprises three gp120-gp41 heterodimers, together forming a meta-stable trimer, which is well-shielded from the immune system by N-linked glycans (1, 2).

Even though 10–50% of chronically HIV-1 infected individuals, both adults and children, develop broadly neutralizing antibodies (bnAbs) against the Env (3–5), and 1–2% of naturally infected individuals are so-called elite neutralisers with very high cross-clade activity (6), current immunization regimens have not succeeded in inducing such broad and potent HIV-1-neutralizing antibodies (7). Non-neutralizing antibodies binding to the HIV-1 Env, however, might also have the potential to protect against HIV-1 infection, as demonstrated by the analysis of immune correlates of infection risk of the RV144 HIV-1 vaccine efficacy trial, whereby modest protection of 31% was shown to correlate with specific binding antibody responses to the HIV Env (8–10). IgG antibodies to the HIV-1 Env variable (V) regions V1 and V2, as well as V3, were found to correlate with a reduced risk of HIV-1 infection, while the presence of IgA Env-binding antibodies was associated with an increased risk of infection (9, 10). Antibody responses to other linear epitopes of the HIV-1 Env gp120 did not correlate with infection risk (10), which might be due to the fact that many of these regions are not accessible on a native HIV-1 Env trimer (11). Viral sieve analyses showed that the RV144 vaccine regimen induced selection of viral variants with point mutations in the V2 and V3 regions, indicating that strain-specific V2 and V3 antibodies drove viral mutation to escape the vaccine-induced immune response against HIV-1 (12, 13). In addition, for a rhesus monkey adenovirus/poxvirus vaccine model, vaccine protection against simian immunodeficiency virus (SIV) challenges correlated with the presence of Env V2-specific binding antibodies (14). Vaccine efficacy of RV144, however, declined over time, with a cumulative vaccine efficacy of 60% at 6 months and 29% at 42 months after the final vaccination (15). The parallel waning of RV144-induced antibody responses toward the HIV-1

envelope, including anti-V2 responses (16, 17), suggests a link between declining anti-Env antibodies and declining vaccine efficacy. The exact mechanism by which these vaccine-induced antibodies might reduce the risk of HIV-1 infection is unclear; yet, monoclonal antibodies from RV144 vaccinees targeting the V2 region have been shown to bind HIV-1 infected cells and to mediate antibody-dependent cellular cytotoxicity (ADCC) activity *in vitro* (18).

The V3 region, part of the chemokine receptor binding region, is the least variable of the Env V regions, as the amino acid sequence variability is restricted to the crown of the V3 loop and length and structure are relatively conserved (19). The functional importance of the V3 region was demonstrated by a deficiency in the replication of V3-deletion viruses (20), and anti-V3 responses were early associated with fewer mother-to-child transmissions (21). The V2 region, which contains the a4b7 binding motif (22), forms a double loop with the V1 region and varies strongly in length, but contains some degree of sequence and structure conservation (19). While the V3 region in the HIV Env gp120 is strongly immunogenic and induces antibodies in essentially all HIV-infected individuals (10, 23), some of which can neutralize HIV-1 diverse strains, the V2 region only induces antibody responses in about 20–45% of infected individuals (10, 24).

A thorough understanding of vaccine-induced IgG recognition of antigenic regions and their variants within the HIV-1 Env might inform rational immunogen and vaccination schedule design. To this end, we here analyse the magnitude and variant breadth of the IgG response toward linear HIV-1 Env epitopes in HIVIS03/06 vaccinees. We have previously demonstrated that the multi-clade, multigene HIVIS-DNA priming, and heterologous recombinant modified vaccinia virus Ankara (MVA-CMDR) boosting regimen applied in the HIVIS03 trial elicited high frequencies of potent and durable antibody responses (25, 26). Neutralizing antibodies were not detected in the TZM-bl neutralization assay, however, in an infectious molecular clone (IMC)-PBMC assay, sera of up to 83% of vaccinees showed neutralizing activity (25, 26). ADCC-mediating antibodies were detected in the majority of vaccinees (97%) (26) and—in contrast to the waning antibody-responses in RV144 (16, 17)—were still present in 84% of vaccinees 3 years after the last vaccination (27). In the HIVIS06 trial, a late third MVA-CMDR boost, given after 3 years (between 2.7 and 3.2 years), successfully boosted HIV-1-specific humoral and cellular immune responses amongst the vaccinees (27). We here set out to dissect the antibody responses induced by the



initial combined HIVIS-DNA/MVA-CMDR vaccination and the late third MVA-CMDR boost in more detail to elucidate whether the HIVIS03/06 vaccination schedule can induce and sustain antibody responses to HIV-1 Env epitopes associated with reduced infection risk in RV144 (9, 10).

MATERIALS AND METHODS

Ethics Statement

The HIVIS03 and HIVIS06 trial protocols were approved by the Tanzania National Health Research Ethics Committee and the Senate Research and Publications Committee of the Muhimbili University of Health and Allied Sciences (MUHAS), as well as by the Regional Ethics Committee, Stockholm, Sweden. The use of the vaccine candidate products for humans was approved by the Tanzania Food and Drugs Authority. The trials were conducted in accordance with the International Conference on Harmonization Good Clinical Practice guideline. Written informed consent was obtained from all volunteers before enrolment.

Study Design

In the HIVIS03 trial, a phase I/II clinical trial, conducted in Dar es Salaam, Tanzania among healthy adult volunteers, 60 HIV-uninfected volunteers were randomized into three groups of 20 volunteers to receive either placebo, 1 mg HIVIS-DNA intradermally (i.d.), or 3.8 mg intramuscularly (i.m.) prime. HIVIS-DNA plasmids expressing HIV-1 gp160 subtypes A, B, C; Rev B; Gag A, B, and RTmut B (28) were given at months 0, 1, and 3 using a needle-free Biojector device (25). This was boosted in the non-placebo groups by a recombinant MVA-CMDR encoding CRF01_AE derived Gag-Pol subtype A and a membrane-anchored functional HIV-1 gp150 Env subtype E (MVA-CMDR) that was administered at a dose of 10^8 p.f.u. i.m. by needle at months 9 and 21 (25) (Figure 1). The HIVIS06 trial was built upon the HIVIS03 trial, in which 20 volunteers, who had received 3 HIVIS-DNA and 2 MVA-CMDR

immunisations in the HIVIS03 trial, were again recruited to receive an additional late 3rd MVA-CMDR vaccination, 3 years after the 2nd MVA-CMDR immunization (27). Ten of these 20 selected vaccinees had received 1 mg HIVIS-DNA i.d. and the remaining 10 had received 3.8 mg HIVIS-DNA i.m. in the initial HIVIS03 trial. All samples were stored at -80°C until the time of testing. Safety and immunogenicity of the HIVIS03/06 vaccines were previously assessed in mice (28–31) and humans (25, 27, 32). In the present study, we used plasma samples collected from 20 vaccinees pre-vaccination (baseline), 4 weeks post 2nd MVA-CMDR vaccination, at the time of the 3rd MVA-CMDR vaccination, i.e., 3 years after the 2nd MVA-CMDR boost, and 4 weeks after the 3rd MVA-CMDR vaccination (Figure 1).

Peptide Array Mapping of the HIV Env-Specific IgG Antibody Response

The peptide array design has been previously described in detail by our group (11). In brief, gp120 and gp41 sequences of 8 recently transmitted HIV primary isolates of different subtypes (A, B, C, CRF01_AE and CRF02_AG) were selected for inclusion in the peptide array design to represent the HIV Env variants of the current global pandemic. Additionally, two HIV Env vaccine sequences—CN54gp140 (subtype C) and CMDR (subtype AE)—were incorporated in the array. Previously identified hot spots of IgG recognition on the envelope (10, 11) were covered by up to 90 additional peptide variants [V2 (HxB2 163-177), V3 (HxB2 300-324), V4 (HxB2 409-447), gp41 immunodominant region (HxB2 576-614), and transmembrane cytoplasmic tail (HxB2 696-730)]. Each individual linear overlapping 15mer peptide on the array was present in triplicate.

Plasma from 20 HIVIS03/06 volunteers was analyzed using the peptide microarrays according to the manufacturer's instructions with minor modifications (www.jpt.com) as described elsewhere (11). Briefly, after initial blocking of the

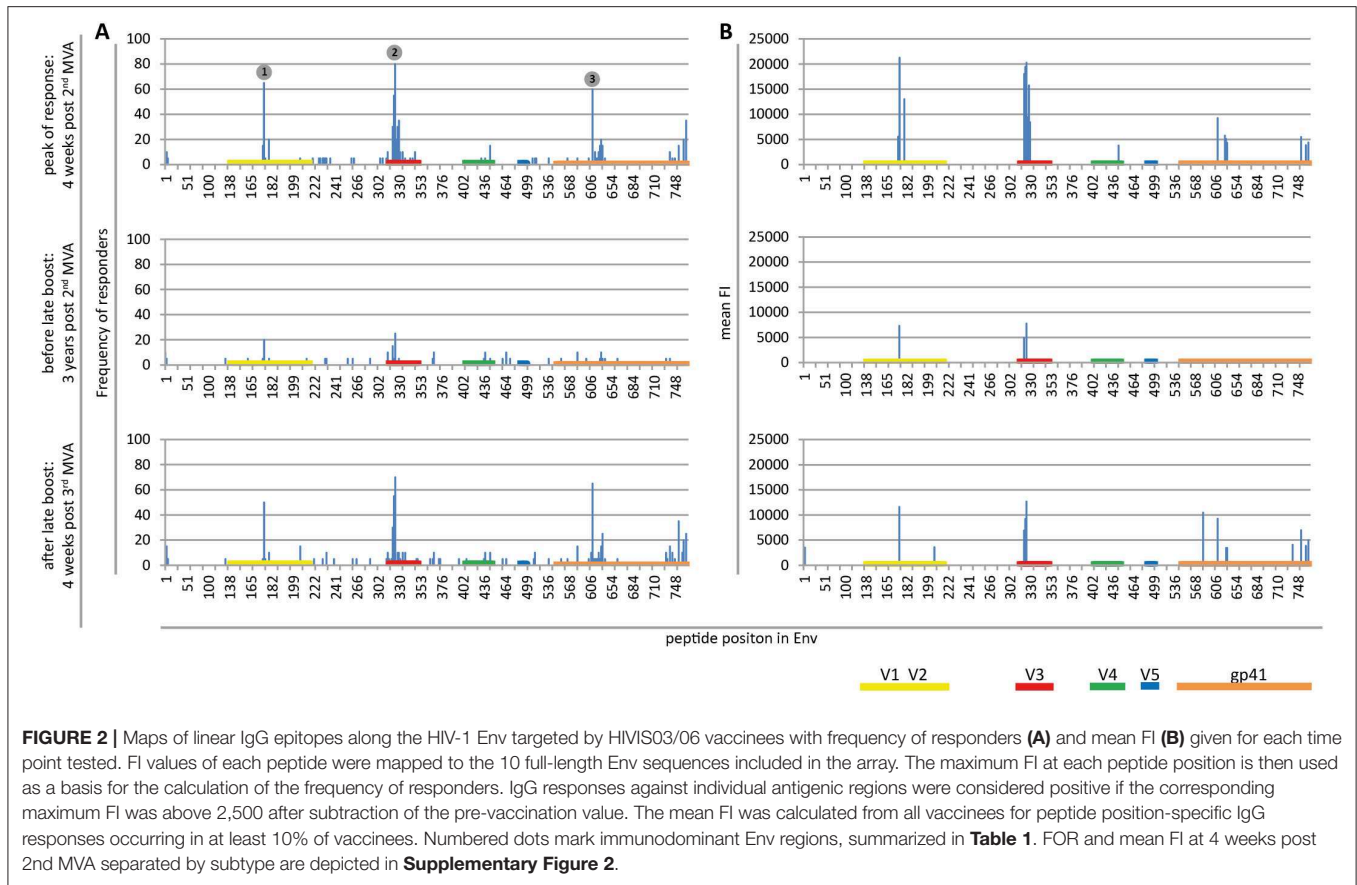


FIGURE 2 | Maps of linear IgG epitopes along the HIV-1 Env targeted by HIVIS03/06 vaccinees with frequency of responders (A) and mean FI (B) given for each time point tested. FI values of each peptide were mapped to the 10 full-length Env sequences included in the array. The maximum FI at each peptide position is then used as a basis for the calculation of the frequency of responders. IgG responses against individual antigenic regions were considered positive if the corresponding maximum FI was above 2,500 after subtraction of the pre-vaccination value. The mean FI was calculated from all vaccinees for peptide position-specific IgG responses occurring in at least 10% of vaccinees. Numbered dots mark immunodominant Env regions, summarized in **Table 1**. FOR and mean FI at 4 weeks post 2nd MVA separated by subtype are depicted in **Supplementary Figure 2**.

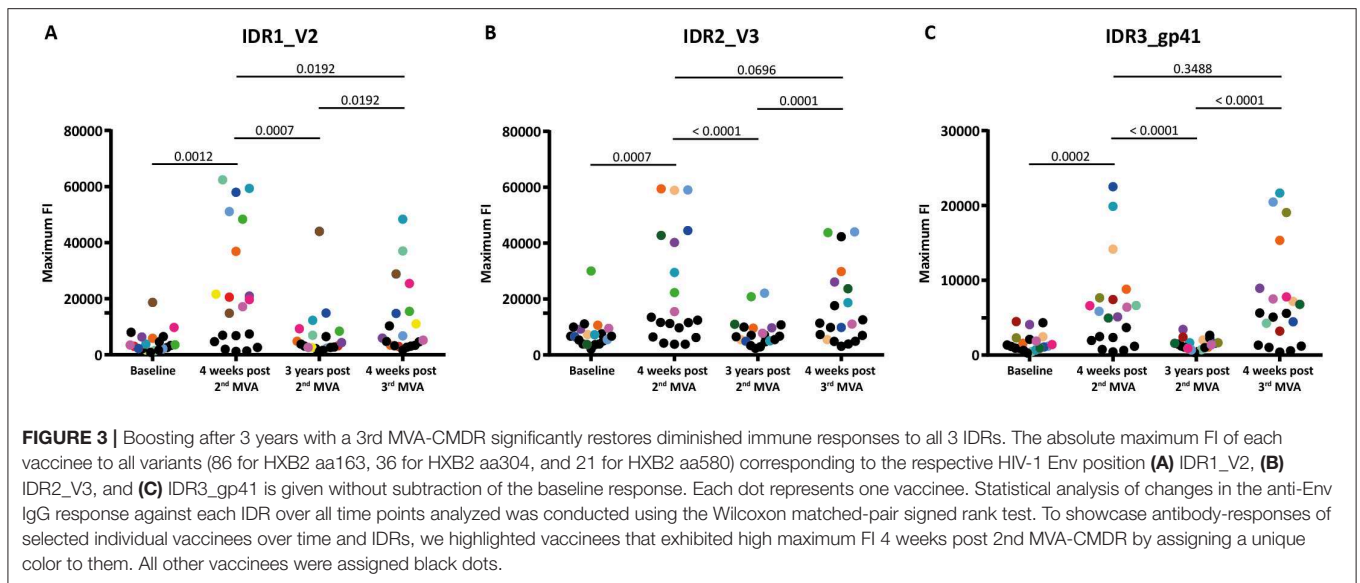
TABLE 1 | Summary of immunodominant antigenic regions (IDR).

IDR	Peptide position	HXB2 position	Env region	Representative sequence	FOR (%)			Mean FI		
					4 weeks post 2nd MVA	3 years post 2nd MVA	4 weeks post 3rd MVA	4 weeks post 2nd MVA	3 years post 2nd MVA	4 weeks post 3rd MVA
IDR1_V2	176	164	V2	ELRDKKQKQVHALFYK	65	20	50	21,257	7,323	11,614
IDR2_V3	325	304	V3	RKSIRIGPGSTFYAT	55	5	55	19,441	–	9,221
	326	305		KSVRIGPGQTFYATG	80	25	70	20,287	7,765	12,669
IDR3_gp41	612	580	gp41	VLAVERYLKDQKFLG	60	0	65	9,248	–	9,247

IDRs were defined as being recognized by at least 50% of volunteers at 4 weeks post 2nd MVA-CMDR. Identity of the immunodominant peak (see **Figure 2**), with corresponding peptide array and HXB2 Env amino acid starting position, and a representative amino acid sequence. For each time point investigated here, the FOR and mean FI is stated. FOR, frequency of responders; FI, fluorescence intensity.

array slides, plasma samples were diluted 1:100 and incubated for 2 h at RT. Human IgG bound to the array was then detected using a secondary mouse anti-human-IgG Dylight649 antibody (1:5,000, 1 h at RT; JPT). Plasma from all visits of one vaccinee was processed simultaneously on the same day. After scanning the microarrays with a GenePix 4000A scanner at 650 (signal) and 532 nm (background) the resulting tiff files were analyzed using GenePix Pro 6.0 (Molecular Devices) by adding the array layout with an array-specific gal file. The layout was then controlled manually for accuracy. Results were exported from GenePix Pro 6.0 as gpr files, which link each position on

the array with a fluorescence intensity (FI) value. These were processed using R scripts to first calculate the mean FI from the triplicate peptides and then to combine the information of each vaccinee at different time points. The resulting FI was then linked with the corresponding peptide sequences from a fasta file, containing the 10 full-length Env sequences included in the array. IgG responses against individual peptides were considered positive if the corresponding triplicate FI value was above 2,500 after subtraction of the pre-vaccination value (**Figure 2**). Mean FI values of all participants were calculated, if at least 10% of the vaccinees showed a positive



response against the individual peptide. Immunodominant antigenic regions (IDRs) (Table 1) were defined as being recognized by at least 50% of volunteers at 4 weeks post 2nd MVA-CMDR. For statistical analysis (Figure 3), the maximum response of each vaccinee to all variants of the respective position without subtraction of the baseline response was used.

Generation of phylogenetic Heat Maps and Sequence Logos of Env IgG Recognition

Maximum likelihood phylogenetic trees of the peptide variants corresponding to HxB2 amino acid positions 163 and 304 were generated using MEGA. The FI of each peptide variant included in the Env peptide array for the V3 and V2 tip (HxB2 163 and 305) has been linked with their phylogenetic relationship as described previously (11). The mean FI of all vaccinees for each peptide variant is color coded and the frequency of occurrence of a given peptide variant in the global HIV epidemic (www.hiv.lanl.gov) is depicted by its icon size. Phylogenetic heat maps were generated using R version 3.5.1.

Amino acid sequence logos depicting the amino acid probability pattern at given Env positions (Figure 5) were generated using WebLogo3 software (33).

Statistical Analysis

Statistical analysis of the maximum FI against the V2 and V3 tip (Figure 3) was carried out using GraphPad Prism version 6. The Wilcoxon matched-pair signed rank test was used to compare the maximum fluorescence intensity between the different time points. A two-sided p -value of <0.05 was considered statistically significant.

RESULTS

The HIVIS Vaccination Regimen Induces IgG Responses Against the V2 and V3 tip as Well as Against gp41

Mapping of antigenic regions targeted by vaccine-induced Env-specific IgG responses was conducted in 20 participants of the HIVIS03 trial, receiving 3 HIVIS-DNA priming immunizations and 2 boosts with MVA-CMDR. The frequency and magnitude of the IgG response against individual linear overlapping peptides covering the HIV envelope after priming with HIVIS-DNA and boosting with MVA-CMDR are shown in Figures 2A,B upper row. Individual antibody responses of each vaccinee are depicted as a heat map in Supplementary Figure 1. Four weeks after the 2nd MVA-CMDR boosting, 3 IDRs within the V2, V3, and gp41 region of the HIV-1 Env, recognized by at least 50% of vaccinees, became apparent (Figure 2A upper row). Responses to all 3 IDRs increased significantly ($p < 0.01$) 4 weeks post 2nd MVA-CMDR as compared to baseline (pre-vaccination) (Figure 3). The IDRs, recognized by at least 50% of vaccinees and their corresponding HXB2 position, as well as the frequency of responders (FOR) and mean FI, are summarized in Table 1.

In the V2 region, the most frequently targeted peptide position (65% of participants; 13/20) corresponded to HXB2 aa164-178 (ELRDKKQKVHALFYK) (Table 1). An additional peptide within the V2 loop, corresponding to HXB2 aa168 (KKQKVHALFYKLDIV) and consisting of a highly conserved region including the $\alpha 4\beta 7$ integrin-binding motif LDI/V (22), was recognized in 20% of the vaccinees (mean FI = 13,012). The IgG epitope targeted in the V3 region, was covered by two overlapping 15mer peptides corresponding to HXB2 aa304-319 and aa305-320, which were targeted in up to 55 and 80% of vaccinees, respectively. A further epitope located in the V3 loop, HXB2 aa311-324 was targeted in 35% of

HIVIS03 recipients. IDR3_gp41, corresponding to HXB2 aa580-594 (VLAVERYLKDQKFLG), which partly covers the gp41 immunodominant region, was recognized in 60% of HIVIS03 vaccinees after the 2nd MVA-CMDR. Additionally, peptides corresponding to HXB2 aa727-741 in the gp41 cytoplasmic tail were targeted in 35% of vaccinees (Figure 2A upper row). Sixty percent of all vaccinees responded to both, IDR1_V2 and IDR2_V3 and 45% to all three IDRs. Only 1 vaccinee (5%) did not elicit an IgG response to any of the peptides in the array (Supplementary Table 1).

No significant difference in the vaccine-induced anti-HIV-1 Env IgG response between the 3.8 mg i.m. immunization and the 1 mg id immunization of the HIVIS-DNA could be observed (data not shown). Vaccinees of both injection groups showed the same pattern of Env recognition and antigenic regions were targeted to comparable levels.

Boosting With a Late 3rd MVA-CMDR Restores Env-Specific IgG Responses Toward Identical Antigenic Regions as the Original HIVIS-DNA/MVA-CMDR Vaccination

In order to evaluate the durability of the HIV-1 Env-specific IgG response described above and the effect of a late boost with MVA-CMDR, we mapped HIV-1 Env antigenic regions in sera of the same 20 participants at 3 years after completing the HIVIS03 regimen and after the late boost with MVA-CMDR. Three years after the 2nd MVA-CMDR boosting of vaccinees in the HIVIS03 study, IgG response rates against linear HIV Env epitopes had declined considerably to only 20% against IDR1_V2, 5 and 25% against IDR2_V3, and 0% against IDR3_gp41 (Figure 2A and Supplementary Figure 1 middle row), with sera from 4 vaccinees (20%) completely failing to recognize any of the presented Env peptides (Supplementary Table 1). The magnitude of the response for all 3 IDRs also declined significantly ($p < 0.01$) (Figure 2B middle row and Figure 3). However, the late 3rd MVA-CMDR boosting (HIVIS06), restored the overall pattern of HIV-1 Env IgG recognition to an almost identical pattern as the one seen at 4 weeks after the 2nd MVA-CMDR immunization (HIVIS03), albeit at a lower magnitude (Figures 2A,B and Supplementary Figure 1 lower row). The FOR to the V2 loop was raised again to 50 and 70% to the V3 loop following the 3rd MVA-CMDR boost. The response against the gp41 immunodominant region, undetectable 3 years after the 2nd MVA-CMDR, was boosted by the late 3rd MVA-CMDR to a similar frequency (65%) and magnitude (9,247 mean FI) as after the 2nd MVA-CMDR. The increase in the magnitude of the response after the late 3rd MVA-CMDR was significant to all IDRs ($p < 0.05$) (Figure 3). We observed that vaccinees with a distinct IgG response against one of the IDRs after the 2nd MVA-CMDR, tended to respond against the same epitope after the late 3rd MVA-CMDR boost (colored dots in Figure 3). After the late boost, 50% of all vaccinees responded to IDR1_V2 and IDR2_V3 and 45% to all three IDRs. Only 2 vaccinees (10%) did not

show a response to any of the Env peptides in the array (Supplementary Table 1). In summary, our data shows that the late 3rd MVA-CMDR boost restores linear anti-Env IgG responses to the same antigenic epitopes as the initial combined HIVIS-DNA/MVA-CMDR vaccination to near post 2nd MVA-CMDR levels.

Comparable Antigen Variant IgG Recognition Patterns Are Detected After the Late 3rd MVA-CMDR Boost and the Original HIVIS-DNA/MVA-CMDR Vaccination

Inclusion of additional peptide variants at previously identified hot spots of IgG recognition of the HIV-1 Env in the peptide array design allowed fine mapping of the vaccination-induced IgG responses of the V2 and V3 tip (11), both correlated with a decreased risk of HIV-1 infection (10). This thereby enables a direct comparison of the variant recognition after the initial combined HIVIS-DNA/MVA-CMDR vaccination and the late 3rd MVA-CMDR boost. The V2 loop (HXB aa163_ TEIKDKKQKVHALFY, Figure 3A) was covered by 86 peptide variants and the V3 tip (HXB aa304_ RKSIRIGPGSTFYAT, Figure 3B) by 38 peptide variants. The mean FI of all 20 vaccinees per time point (4 weeks post 2nd MVA-CMDR, 3 years post 2nd MVA-CMDR, and 4 weeks post 3rd MVA-CMDR) was calculated for each peptide variant included in the array and projected as a heat map onto a phylogenetic tree illustrating the relationship of the peptide variants as well as their frequency within the global HIV epidemic (Figure 4). HIVIS03/06 volunteers produced Env-specific IgG responses toward several different peptide variants of the V2 tip (HxB2 aa163), with recognition of two clusters of closely related variants TE(I)LRDKKK(/R/Q/H)KVHS(/A/N/H)LFY and TEI(/L)RDKKQRVHALFY, with one outlier (TELRDKKQKVHSLFY) (Figure 4A). Variant TEIKDKKQKVHALFY was the most strongly recognized at all time points (Figure 4A). The corresponding, but non-analogous, MVA-CMDR vaccine sequence ELRDKKQKVHALFYK, present on the array at HxB2 position 164 due to differential cleavage, was similarly strongly recognized at 4 weeks post 2nd MVA-CMDR (mean FI: 13,746), but not as strongly boosted after the 3rd MVA-CMDR (mean FI: 3,830) (data not shown). For the V3 tip (HXB aa304), we observed a broader response with recognition of several different variants with less clustering of positive responses among closely related sequences (Figure 4B). This response decreased 3 years after the 2nd MVA-CMDR but was re-established to some extent following the single dose of the late 3rd MVA-CMDR (Figure 4B). The peptide variant most strongly recognized at all 3 time points tested was RKSIPIGPGRAFYT. The corresponding MVA-CMDR sequence (HxB2 aa307: TSIPIGPGQAFYRTG) was recognized equally well as the V3 variant RKSIPIGPGRAFYT at all 3 time points (mean FI 4 weeks post 2nd MVA-CMDR: 14,825, mean FI 3 weeks post 2nd MVA-CMDR: 702, mean FI 4 weeks post 3rd MVA-CMDR: 7,460) (data not shown).

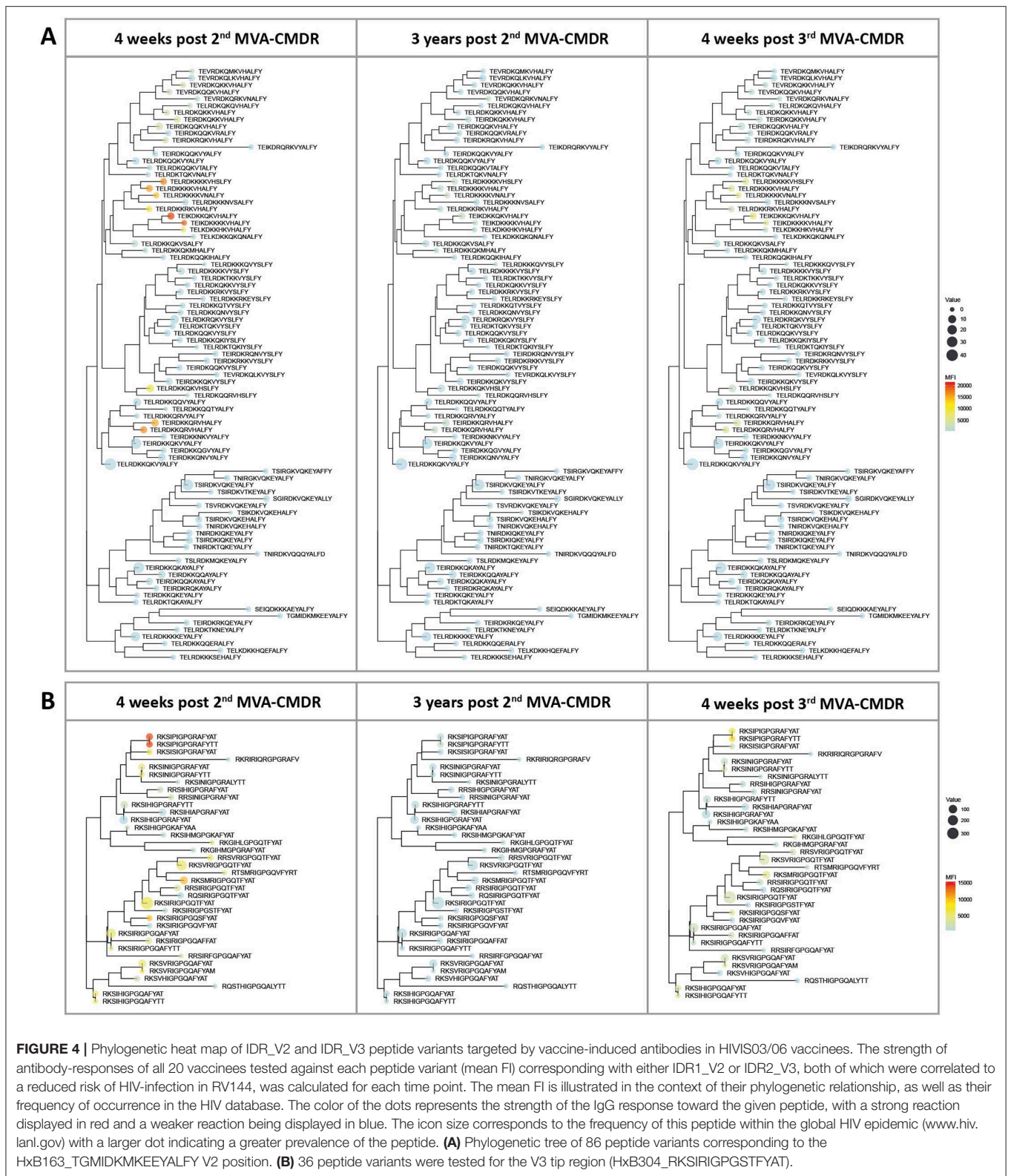


FIGURE 4 | Phylogenetic heat map of IDR_V2 and IDR_V3 peptide variants targeted by vaccine-induced antibodies in HIVS03/06 vaccinees. The strength of antibody-responses of all 20 vaccinees tested against each peptide variant (mean FI) corresponding with either IDR1_V2 or IDR2_V3, both of which were correlated to a reduced risk of HIV-infection in RV144, was calculated for each time point. The mean FI is illustrated in the context of their phylogenetic relationship, as well as their frequency of occurrence in the HIV database. The color of the dots represents the strength of the IgG response toward the given peptide, with a strong reaction displayed in red and a weaker reaction being displayed in blue. The icon size corresponds to the frequency of this peptide within the global HIV epidemic (www.hiv.lanl.gov) with a larger dot indicating a greater prevalence of the peptide. **(A)** Phylogenetic tree of 86 peptide variants corresponding to the HxB163_TGMIDKMKEEYALFY V2 position. **(B)** 36 peptide variants were tested for the V3 tip region (HxB304_RKSIRIGPGSTFYAT).

Of note, the V2 and the V3 loop sequence variants most strongly recognized here were not the most frequent in the global HIV epidemic as defined by occurrence in the HIV Los Alamos database (www.hiv.lanl.gov) and depicted in **Figure 4** by icon size.

For the relatively conserved IDR3_gp41, with only 21 peptide variants present on the array, only one sequence variant (VLAVERYLKDQKFLG) was recognized at both time points (data not shown).

These data show that a single late MVA-CMDR boost can restore IgG-responses toward the same peptide variants as those that were targeted by the combined initial HIVIS-DNA/MVA-CMDR vaccination, even if administered after a 3-year interval.

IDR1_V2 and IDR3_gp41 Responses Are Restricted to Variants With Close Homology to the MVA-CMDR Immunogen Sequence, While IDR2_V3 Responses Are More Cross-Reactive

To determine the effect of the different immunogens on the elicited antibody response, we analyzed preferred targeting of certain amino acid motifs of the 3 IDRs in the context of the immunogen sequences (Figure 5). A direct comparison of strong to moderately recognized (mean FI >5,000; $n = 10$) and non-recognized (mean FI <2,500; $n = 70$) peptide variants in the V2 loop (HXB2 aa163), at 4 weeks after the 2nd MVA-CMDR, corresponding to IDR1_V2, showed a distinct preference of E¹⁶⁴, K¹⁶⁹, and VH^{172–173} of the HIVIS vaccine-induced IgG response (Figure 5A). The amino acids with a probability of recognition of >0.6 closely match the MVA-CMDR immunogen sequence (Figures 5A,D). Dissecting the antibody-targeting of the HIV-1 Env by HIV-1 subtype and vaccine also shows a strong preference of the MVA-CMDR sequence at IDR1_V2, followed by sequences representative for subtype AG and C (Supplementary Figure 2). Representative IDR1_V2 peptide sequences, which had a strong recognition (mean FI >2,500) where aligned against the HIV Los Alamos database. Only a small number of these sequences showed a close homology to our peptides (Supplementary Figure 3). The reactive peptides recognize mainly subtype AE and C sequences, reflecting the subtype of the MVA-CMDR, however, there is no difference in the homology profile of highly reactive and non-reactive sequence pairs. The IgG-response toward the V3 was more cross-reactive than the V2 response with a total recognition of 22 out of 36 peptide variants. Comparison of strong to moderately recognized (mean FI >5,000; $n = 14$) variants to non-recognized variants (mean FI <2,500; $n = 14$) of the V3 loop (represented by HXB2 aa304) revealed a preferred recognition of amino acids K^{S305–306}, I^{GP309–311}, and F^{Y315–316} (Figure 5B). Amino acids targeted with a high probability (>0.6) match relatively close to the MVA-CMDR as well as two out of the three HIVIS-DNA plasmids (subtypes A and C, but not B) immunogen sequences (Figures 5B,E). This broad recognition of V3 epitopes of various subtypes is shown in Supplementary Figure 2, where high percentages of vaccinees elicit IgG responses against sequences representing subtype C, followed by MVA-CMDR, subtype AG, and then subtypes B and A. Similar results can be seen from the homology profile of representative IDR2_V3 peptides in Supplementary Figure 3, where each peptide shows homology to a large number of sequences and all subtypes are represented. Even within the relatively conserved IDR3_gp41 (HXB2 aa580), partly covering the gp41 immunodominant region, a vaccine-induced preference of IgG targeting peptide variants with V⁵⁸³, K⁵⁸⁸, and K^{F591–592} could be observed (Figure 5C). Here,

only one sequence variant (VLAVERYLKDQKFLG), out of 21 included in the array was recognized 4 weeks after the 2nd MVA-CMDR (mean FI: 5,554) and the 3rd MVA-CMDR (mean FI: 6,455). The recognized sequence was an exact match to that of the MVA-CMDR subtype AE immunogen sequence (Figure 5F and Supplementary Figure 2). As described in paragraph 3.3, peptide variant recognition after the 3rd MVA-CMDR closely matched recognition after the 2nd MVA-CMDR.

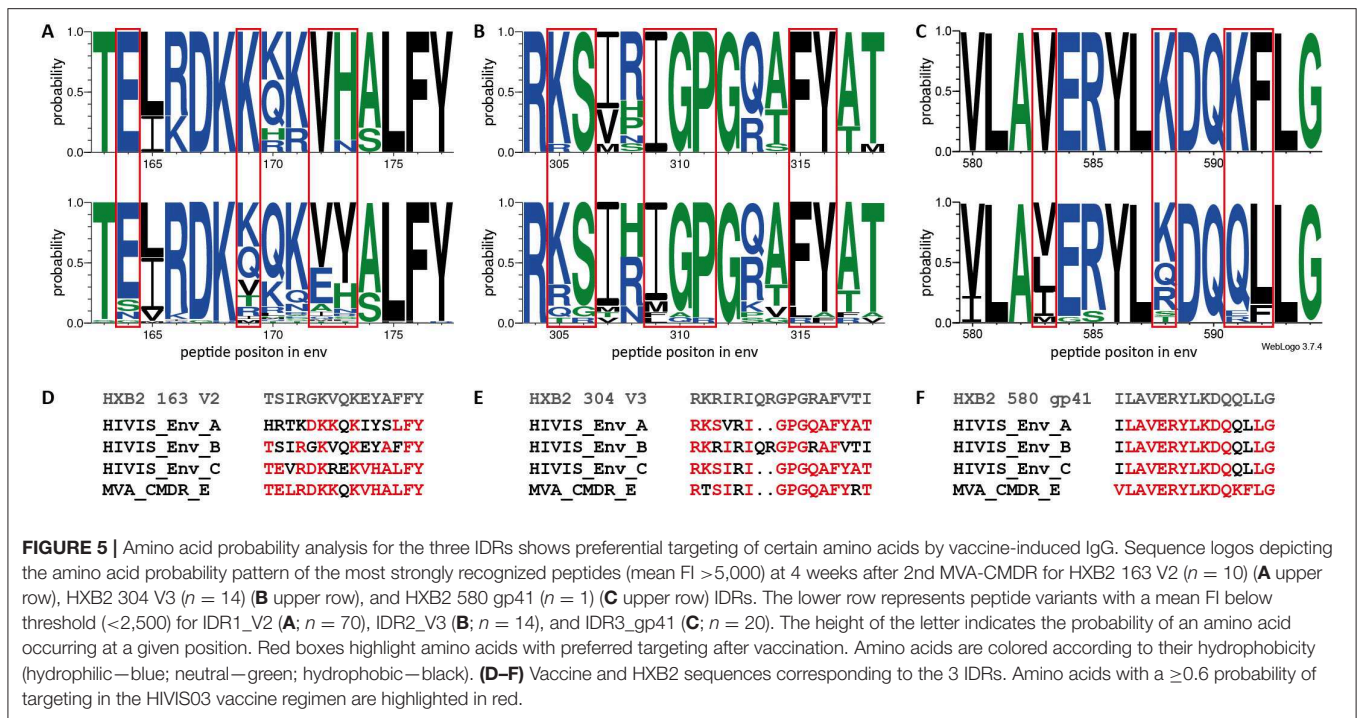
The comparison of peptide variants preferentially targeted by HIVIS03/06 vaccinees with the corresponding immunogen sequences revealed a strong influence of the MVA-CMDR vaccine for the IgG recognition of IDR1_V2 as well as IDR3_gp41, where the amino acid sequences of preferred peptides closely match the MVA-CMDR immunogen sequence. IgG targeting of IDR2_V3, on the other hand, was more cross-reactive and less constrained to one of the immunogen sequences.

DISCUSSION

In the present study, we assessed the magnitude and cross-reactivity of the IgG antibody response against linear HIV-1 Env epitopes induced by a heterologous multi-clade, multigene HIVIS-DNA prime and heterologous MVA-CMDR boost vaccine regimen (25), using a linear peptide array spanning the complete HIV-1 Env. We further analyzed the effect of a late boosting injection with solely MVA-CMDR (27) on restoring the anti-HIV-1 Env IgG response to comparable magnitudes and antigenic variant recognition.

We demonstrate that the HIVIS03 vaccination regimen induced IgG responses against linear epitopes within the V2 and V3 tip, both associated with a reduced risk of HIV infection in the RV144 trial (9, 10), as well as the gp41 immunodominant region. Antibody responses against the V2 loop and the gp41 immunodominant region were relatively narrow and more pronounced against peptide variants closely resembling the MVA-CMDR immunogen sequence rather than the HIVIS-DNA sequences used for priming, whereas the anti-V3 response was more cross-reactive. Three years after the second MVA-CMDR boost, these HIV Env-specific antibody responses had declined significantly, however boosting with a late third MVA-CMDR in HIVIS06 restored IgG responses to the same linear Env epitopes and antigenic variants. This finding has potential implications for HIV-vaccine design, as it shows that a single boost with MVA-CMDR can sustain anti-Env antibody responses, associated with a reduced risk of infection in RV144 (9, 10) as well as in an SIV challenge NHP model (14).

The HIV-1 Env linear B cell epitopes (IDR1_V2, IDR2_V3, and IDR3_gp41) detected here in HIVIS03/06 participants are similar to those recognized in TaMoVac I vaccinees after HIV-DNA priming and MVA-CMDR boosting using the same peptide microarray (11). The TaMoVac I vaccinees received the same HIV-DNA and MVA-CMDR immunogens used here, with i.d. HIV-DNA immunizations delivered at weeks 0, 4, 12, and 10⁸ pfu HIV-MVA given i.m. at weeks 30 and 46. TaMoVac I was designed to evaluate a simplified DNA vaccination regimen and



compared 5 injections of HIV-DNA, 1,000 μg total dose (3 Env and 2 Gag encoding plasmids) with two “simplified” regimens of 2 injections of HIV-DNA, 600 μg total dose, of Env- and Gag-encoding plasmid (34). Additionally, the TaMoVac I vaccinees received two boosts of CN54rgp140/GLA-AF protein 4 weeks apart 30–71 weeks after the last MVA-CMDR vaccination (35). Both vaccine trials induced IgG antibody responses toward linear epitopes located in the V2 and V3 loop as well as in the gp41 immunodominant region. Boosting with CN54gp140 protein in TaMoVac I recipients resulted in a higher magnitude and breadth of the V3 response, as well as in the recognition of additional Env regions, which are, however, mostly inaccessible on a native trimer (11). Interestingly, the V2 response in TaMoVac I vaccinees was not affected by the protein boost and was also focussed on peptide variants with close homology to the MVA-CMDR immunogen sequence. Antibody responses toward the same area in the V2 loop—N-terminal to the $\alpha 4\beta 7$ binding motif—as detected in HIVS03/06 and in TaMoVac I vaccinees, were also detected in RV144, RV305, VAX003, and HVTN100 vaccine recipients, but not in VAX004 and UKHVC 003SG vaccinees, and only in few HIV-1 infected subjects (10, 11, 23, 36–39). In the RV144 trial, this V2-specific IgG response was associated with a reduced risk of HIV infection (10), however, no reduced risk of infection was seen in the VAX003 trial, which might have been due to differences in IgG subclasses of the antibodies specific for the V2 loop crown (16, 40). While the anti-V2 response in RV144 was dominated by IgG3 antibodies, IgG4 antibodies prevailed in VAX003.

Interestingly, the late boost consisting of a single dose of MVA-CMDR employed here in the HIVS06 vaccination schedule, not only induced recognition of the same antigenic epitopes but also the same peptide variants as detected

following the original HIVIS-DNA/MVA-CMDR vaccination. We, therefore, compared preferably targeted peptide variants to the immunogen sequences used. This revealed that for both, IDR1_V2 and IDR3_gp41, only variants with close homology to the MVA-CMDR immunogen sequence were recognized. The IgG anti-V3 response, however, was much broader at both time points—recognizing several HIV-1 subtypes, which might be due to the fact that the V3 region is the least variable of the HIV-1 variable regions and therefore might be structurally more conserved (19).

Single amino acids can be critical for epitope formation and therefore antibody binding, as was reported in RV144 vaccinees, where K¹⁶⁹ and V¹⁷² were critical for V2 loop binding by IgG (36, 37). The importance of K¹⁶⁹ for IgG antibody binding was further demonstrated by its sieve effect on break-through viruses in RV144 (12). Interestingly, when applying the HIVS03/06 vaccine regimen, where the MVA-CMDR immunogen V2 sequence is identical to the RV144 immunogens ALVAC-HIV and AIDSVAX E, also only peptide variants with K¹⁶⁹ and V¹⁷² were targeted. All amino acid positions that proved to be crucial for targeting by antibodies elicited by the HIVS03/06 vaccination regimen (E¹⁶⁴, K¹⁶⁹, and V^{H172–173}) are located at Env positions with lower sequence conservation (13), which might explain the limited breadth of the V2 response detected here. This lower sequence conservation can also be observed in the homology profile representative IDR1_V2 sequences. Even though, the breadth of V2 response observed in HIVIS vaccinees seems narrower than Gottardo et al. reported for RV144 and VAX003 vaccinees, still a similar preference of peptides present in Env sequences of subtype AE (corresponding to the MVA-CMDR), AG, and subtype C can be observed. This leads to the conclusion that the immunogen sequence strongly influences IgG responses elicited

1027 by the immunogen and calls for optimal immunogen design
 1028 to achieve broader anti-V2 responses. Amino acids that were
 1029 important for V3 targeting in HIVIS03/06 vaccinees—especially
 1030 IGP^{309–311} and FY^{315–316}—on the other hand, are much more
 1031 conserved (13), and thus might lead to a much broader IgG
 1032 response, targeting various HIV-1 subtypes. In contrast to IgG
 1033 responses targeting the V2 region, antibodies toward the V3 loop
 1034 are present in essentially all HIV infected patients and human and
 1035 animal model vaccine studies using immunogens that include
 1036 the Env V3 region (10, 11, 24, 41–43). Presence of such anti-V3
 1037 antibodies in vaccinees with low levels of anti-Env specific IgA
 1038 was also associated with protection in the RV144 trial (10).

1039 Glycosylation patterns and conformational aspects of the
 1040 immunogens will influence the accessibility of B cell epitopes
 1041 and therefore direct the vaccine-induced antibody response.
 1042 None of the 3 IDRs detected in HIVIS03/06 vaccinees contains
 1043 glycosylation motifs and are thus more likely to be accessible.
 1044 Furthermore, only antibodies targeting epitopes accessible on
 1045 the native HIV-1 Env trimer will be able to bind in the natural
 1046 course of infection and prevent infection. Mapping of the IDRs
 1047 onto a 3D structure of a native-like Env trimer described
 1048 in Nadai et al. (11), allowed us to infer the conformational
 1049 location of the 3 IDRs detected here. Both IDR1_V2 and
 1050 IDR2_V3 map to the trimer apex and are located on the
 1051 surface of the trimer, while IDR3_gp41 would be hidden in
 1052 the inter-protomer region of a native trimer. Yet, in the
 1053 native-like membrane-bound, functional MVA-CMDR encoded
 1054 gp150 immunogen, IDR3_gp41 lies close to the C-terminus, and
 1055 might, therefore, be accessible.

1056 An earlier study on the durability of immune responses
 1057 induced by HIVIS03/06 vaccination (27) showed that 3 years
 1058 after the 2nd MVA-CMDR 90 and 85% of the participants still
 1059 had detectable ELISA binding antibodies to subtype C gp140 and
 1060 subtype B gp160 antigen, respectively, albeit at significantly lower
 1061 titres than at peak immunogenicity. In the present study, we show
 1062 comparable 3-year durability of IgG antibodies targeting linear
 1063 HIV-1 Env peptides, with 80% of vaccinees still recognizing any
 1064 of the linear HIV-1 Env peptides presented by the microarray.
 1065 When dissecting this total anti-Env IgG response into individual
 1066 specificities, however, a strong variance in the durability of
 1067 antibodies targeting discriminative epitopes can be observed.
 1068 IgG antibodies to all three immunodominant linear HIV-1
 1069 Env epitopes elicited by the initial HIVIS03 vaccination show
 1070 a significant decline 3 years after the second MVA-CMDR.
 1071 Yet, when comparing classical protein-based ELISAs and linear
 1072 peptide microarrays, advantages and limitations of each assay
 1073 have to be considered. As only linear epitopes will be displayed
 1074 on the peptide array, antibodies to conformational epitopes such
 1075 as discontinuous (i.e., CD4-binding site) or quaternary epitopes
 1076 (i.e., arising from Env trimerisation) will not be detected. Such
 1077 discontinuous and structural epitopes might be present on the
 1078 antigens used in ELISA assays and therefore, could lead to a
 1079 higher sensitivity of the ELISA assays. Linear peptide microarrays
 1080 in contrast to classical protein-based ELISAs, however, allow
 1081 for the simultaneous analysis of the magnitude as well as the
 1082 breadth of the IgG response toward multiple linear epitopes
 1083 and is therefore suitable for high-throughput fine mapping of
 antibody specificities.

1084 In the light of the parallel decline of vaccine efficacy (15) and
 1085 anti-HIV-1 Env antibodies (16, 17) in RV144, the restoration
 1086 of antibody responses to the V2 and V3 epitopes, associated
 1087 with a reduced risk of infection, by repeated boosts would
 1088 be desirable. Considering these findings, the sustainability of
 1089 antibody responses to the V2 and V3 HIV-1 Env epitopes by
 1090 a single dose of the MVA-CMDR vector immunogen instead
 1091 of protein-based immunogens described in the present study
 1092 might, therefore, have implications to the advancement of HIV-
 1093 vaccine design. Regular protein boosts in the non-protective
 1094 HIV vaccine trial VAX003 were shown to increase levels of
 1095 total IgG anti-V2 antibodies, yet, did not improve magnitude
 1096 or durability of V2 responses and led to a decline in anti-V2
 1097 IgG3 antibodies. A IgG3 dominated V2 response was associated
 1098 with a reduced risk of HIV infection in RV144 (16, 44). Boosting
 1099 of HIV-1 uninfected RV144 participants 6–8 years after the
 1100 completion of RV144 in the RV305 trial showed promising results
 1101 as an increase in the breadth of antibody effector functions in
 1102 V2-specific antibodies as well as long durability of V2-specific
 1103 memory B-cell clones could be detected (45). Yet, an analysis
 1104 into anti-Env and anti-V1V2 antibody titres by vaccination group
 1105 showed significant differences in the immunogens used (38).
 1106 While immunisations solely with the ALVAC-HIV canarypox
 1107 vector only slightly increased anti-gp70 V1V2 titres, they did
 1108 not increase IgA responses to the HIV-1 Env (38), which
 1109 previously were inversely correlated with infection risk in RV144
 1110 (10). Immunisations with the bivalent HIV-1 gp120 AIDSVAX
 1111 B/E protein alone or in combination with ALVAC-HIV, on
 1112 the other hand, led to significantly increased anti-gp70 V1V2
 1113 IgG levels, but, similarly to the VAX003 and VAX004 trials
 1114 (16), simultaneously increased IgA responses to the HIV-1 Env
 1115 (38). Potential IgG subclass changes induced by the MVA-
 1116 CMDR boost are of interest. Studies of V1V2-specific IgG and
 1117 IgG subclass responses in HIVIS03/06 vaccinees are reported
 1118 separately (Joachim et al.; submitted).

1119 In summary, combined heterologous prime-boost vaccination
 1120 of HIVIS-DNA and MVA-CMDR induced strong anti-V2, V3
 1121 and gp41 immunodominant region IgG responses that were
 1122 efficiently boosted—and targeted the same peptide variants—by
 1123 a single injection of MVA-CMDR 3 years after the original
 1124 vaccination. This indicates that antibody responses against the
 1125 HIV-1 Env, potentially reducing the HIV-1 infection risk that
 1126 were induced by the initial prime-boost schedule, can be boosted
 1127 and maintained by repeated injections with a single dose of MVA-
 1128 CMDR.

1130 DATA AVAILABILITY STATEMENT

1131 The datasets generated for this study are available on request to
 1132 the corresponding author.

1136 ETHICS STATEMENT

1137 The studies involving human participants were reviewed
 1138 and approved by Tanzania National Health Research Ethics
 1139 Committee and the Senate Research and Publications Committee
 1140 of the Muhimbili University of Health and Allied Sciences

(MUHAS), as well as by the Regional Ethics Committee, Stockholm, Sweden. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

AJ performed laboratory work and contributed to data analysis and interpretation as well as manuscript writing. MA performed laboratory work and contributed to data analysis. GP contributed to peptide array design and data analysis. LR contributed to data analysis and manuscript writing. VH programmed R scripts for phylogenetic heat maps. PM, SA, EL, MB, MR, and BW contributed to the clinical trials studies. ES, CN, and GB contributed to clinical trials study coordination and manuscript writing. CG conceived the study, contributed to data analysis and interpretation, as well as to manuscript writing. KH conceived the study, contributed to data analysis and interpretation, and wrote the manuscript. All authors reviewed and edited the manuscript.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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