

1           **NUMERICAL EVALUATION OF THE SEISMIC PERFORMANCE OF**  
2           **EXISTING REINFORCED CONCRETE BUILDINGS WITH CORRODED**  
3           **SMOOTH REBARS**

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12   **Abstract:** Exposure to aggressive environments is one of the most critical problems of reinforced  
13   concrete (RC) structures, which can affect both their static and dynamic behaviour. In this paper, the  
14   linear and non-linear performance of existing corroded RC framed structures were studied through  
15   an advanced numerical model. Moreover, an extensive literature review of models and approaches  
16   used for the assessment of RC structures exposed to different levels of corrosion is presented. The  
17   numerical evaluation of an existing RC structure subjected to different exposures and degradation  
18   was considered. A new approach is presented for the evaluation of the ultimate capacity of RC  
19   elements. Such an approach has been compared and validated against a set of the experimental results  
20   from the literature. The results of comparative analyses showed that the proposed approach can  
21   predict the ultimate capacity of corroded RC components. Linear and Non-Linear analyses were  
22   performed using a refined Finite Element (FE) method; the seismic performance evaluated in terms  
23   of shear strength degradation, inter-storey displacements, ductility and maximum base shear. The  
24   outcomes of the present study demonstrate that corrosion has a significant impact on the structural  
25   response of the existing building. Such an effect is a function of the type of exposure. The elastic  
26   dynamic analyses of the building have demonstrated that corrosion increases the fundamental periods  
27   and, changes the mass participation factor and the mode of vibration, i.e. the external exposure.  
28   Nonlinear static analyses showed a significant reduction of the shear capacity and the translation  
29   ductility with the increase of the corrosion rate for all lateral loading patterns specified by the  
30   Eurocode. The results of the nonlinear dynamic analyses illustrated that the damage and deterioration  
31   due to the corrosion attack increased the roof drift-ratio and the inter-storey drift-ratio, as well as a  
32   relevant decay of the base shear capacity and early collapse, were noted for high-levels of corrosion.  
33   Comparisons between nonlinear static and dynamic analyses were also provided in terms of roof drift-  
34   ratios and base shears.

35

36 **Author Keywords:** Corrosion; Corroded RC Components; Structural Modelling; Earthquake  
37 Engineering; Corroded Longitudinal Reinforcement; Seismic Performance.

38

## 39 **1 INTRODUCTION**

40 The phenomenon of corrosion is one of the leading causes of the deterioration and damage of RC  
41 civil infrastructures. Chloride and carbonation attacks cause the increase of the volume of steel rebars  
42 and lead to the formation of corrosion products such as rust. As a result, micro-cracks inside the  
43 concrete and the concentration of tensile stresses between concrete and steel reinforcements are the  
44 main consequences. These induce several types of damage such as the spoiling-off of the concrete  
45 cover, the reduction of concrete's compressive strength, the reduced confinement effectiveness of the  
46 transverse reinforcements and the bucking of longitudinal rebars (i.e. Di Sarno and Pugliese, 2019  
47 among the others). Despite numerous changes in Standards and Technical Codes over the years, low-  
48 strength concrete and inadequate thickness of concrete cover remain prevalent (Bertolini, 2008;  
49 Bertolini et al., 2016; Claisse P.A., 2008). Consequently, many RC structures, such as bridges and  
50 ordinary buildings, both in non-seismic (Bhide et al., 1999, Di Sarno and Pugliese, 2019) and seismic  
51 prone zones (Biondini et al., 2014; Andisheh et al., 2016; Yalciner et al. 2015), are in poor condition  
52 due to ageing. Furthermore, very often inspection-ratings, aimed at assessing the serviceability of a  
53 structure, have been inadequate or neglected. Recent studies conducted by Bhide, 1999; Prizl et al.,  
54 2014; Radlinska et al., 2014; Arteaga, 2018, found that about 173,000 in the US, that is 10% of the  
55 total RC bridges, are structurally deficient and functionally obsolete. Thus, an investment of \$2.5  
56 trillion in the US would be needed to restore those “substandard” structures” to suitable condition. In  
57 addition, a survey carried out by Ueli M. Angst (2018) estimated that direct costs related to corrosion  
58 prevention, control, and repair in the US for RC structures amount to 25 billion dollars. Many  
59 experimental campaigns have been conducted on the seismic and non-seismic performance of the  
60 structural components exposed to corrosion, such as beams (Azad et al., 2004; Coronelli and  
61 Gambarova, 2004; Ye et al., 2018; Cairns et al., 2008; Khan et al., 2012; Rodriguez et al., 1997;  
62 Torres-Acosta et al., 2007; Xia et al., 2011; Val et al., 2009), columns (Revathy et al., 2009;  
63 Rodriguez et al., 1996; Shi et al., 2001; Wang and Liang, 2008), and steel reinforcements (Andrade  
64 et al., 1991; Cairns et al., 2005; Clark et al., 1994; Du et al., 2001; Imperatore et al., 2017; Lee et al.,  
65 1996; Morinaga, 1996; Wang and Liu, 2008). Some research focused on the performance of the  
66 entire RC structures exposed to corrosion (Biondini et al., 2011; Celarec et al., 2011; Di Sarno and  
67 Pugliese, 2019; Pugliese et al., 2019; Yalcimer et al., 2015; Zhang et al., 2018;). Khank et al. (2012)  
68 presented an interesting study on 26-year old RC beams exposed to natural corrosion to assess their

69 performance after long-term damage. The 26-year old beams were then tested until they failed, and  
70 the force-displacement curves were obtained. Results showed a significant reduction of the load-  
71 bearing capacity, the stiffness and the deflection of the beams. Coronelli and Gambarova (2014)  
72 conducted a numerical study on RC beams exposed to corrosion. They used a non-linear finite  
73 approach to predict the capacity of RC beams. Significant stiffness decay, strength deterioration in  
74 bending and shear, and bond failure are the main results of this numerical study. Rodriguez et al.  
75 (1997) conducted an experimental campaign to evaluate the load-bearing capacity of corroded RC  
76 columns using three different configurations for steel reinforcements. They found that corrosion  
77 negatively affects the performance of the RC columns. In fact, it reduces the load-bearing capacity  
78 and the ultimate strains, and damages the concrete cover, and as a result it causes a premature buckling  
79 of rebars. Furthermore, axial loading eccentricity increased with high levels of corrosion. Xia and al.  
80 (2015) presented an experimental investigation on the performance of RC columns when steel  
81 reinforcements are exposed to different levels of corrosion. Corrosion was simulated via the use of  
82 the combined electrochemical process and wet-dry-cycles, while columns were subjected to eccentric  
83 compressive loading. Cracking patterns and load-bearing capacity were the focus of this study. They  
84 found that corrosion induced large cracks, especially for high corrosion rate levels, while large  
85 eccentricity and small stirrups reduced the compressive bearing capacity of the columns, whereas the  
86 corroded rebars led to cover cracking, spalling and delamination. Vu and Li (2018) carried out an  
87 experimental study on eight-full scale un-corroded and corroded RC columns to investigate the  
88 impact of corrosion on the seismic performance of these short columns that failed in shear. Drift  
89 capacity, hysteretic response and deformation capacity were the parameters evaluated in this study.  
90 They found that shear strength and deformation capacity significantly decreased with the increase of  
91 the corrosion rate, especially when columns were subjected to highly corrosive environments and  
92 high axial-load ratios. Meda et al. (2014) conducted an experimental campaign to evaluate the  
93 behaviour of corroded RC columns under cycling loading to simulate earthquake excitations.  
94 Preliminarily, they performed some tests on rebars to investigate the corrosion effects on their  
95 mechanical properties for different levels of corrosion. Full-scale RC columns under a simulated  
96 seismic load were used for this study. The results showed a decrease of 30% in base shear and 50%  
97 in the drift capacity. Yalciner et al. (2015) conducted a study to analyze the behaviour of a 50-year  
98 old school building considering the effects of corrosion over the years. Non-linear static and  
99 incremental dynamic analyses were performed on a two storey-frame to predict the time-dependent  
100 performance level of the structure. They considered two corrosion effect parameters, i.e. bond-slip  
101 and reduction of steel reinforcement cross-sectional area. The most relevant evidence of this study  
102 was that the bond strength of the two-storey frame decreased as the corrosion increased. Karapetrou

103 et al. (2017) carried out a study on the assessment of the seismic vulnerability of RC structures  
104 considering the ageing effects over time. Their Incremental Dynamic analyses (IDA) showed the  
105 increase of the overall seismic vulnerability in correspondence to increased levels of corrosion. Zhang  
106 et al. (2018) performed a numerical evaluation of the seismic performance of a six-storey-three span  
107 RC frame considering different levels of corrosion. The degradation parameters were analyzed using  
108 non-linear static analyses. Results clearly showed a relevant decrease of the seismic performance of  
109 the RC frame and a significant increase of the inter-storey drift ratio.

110 All these studies showed a critical reduction of the load-bearing capacity, shear strength and ductility  
111 of RC structures, which became more critical when the buildings were subjected to seismic loadings.  
112 Particularly, corrosion can be extremely relevant in seismic prone areas if stirrups spacing does not  
113 provide enough lateral confinement to withstand seismic loadings, which can change the global  
114 behaviour of RC buildings. However, the experimental research about the effect of corrosion on the  
115 seismic performance of RC structures is still minimal and additional studies are needed to obtain a  
116 full understanding of their 3D behaviour. Although 2D studies may give a relevant indication of the  
117 behaviour of RC frames, they do not consider the interaction and redistribution of actions between  
118 frames. This paper presents a novel approach to evaluate and assess the ultimate capacity of RC  
119 components exposed to different levels of corrosion. The proposed method will help to overcome  
120 excessively conservative repair solutions and, at the same time, preserve the safety of RC structures,  
121 both in seismic and non-seismic areas. A case study representing protected RC structures is presented  
122 and investigated via a Finite element approach, which consists of Force-Based element frames and  
123 fiber sections accounting for the modified stress-strain constitutive models of the concrete and steel  
124 rebars. Push-over, spectrum-compatibility and time-history analyses with respect to the European  
125 Limit States (Eurocode 8, Part-3) are performed to assess the performance of the existing RC structure  
126 when exposed to different levels of corrosion by means of the shear strength, ductility and inter-storey  
127 displacements. The results showed a critical reduction in both base shear and ductility, as well as an  
128 alteration of the failure mode when exposed to high levels of corrosion. Moreover, a significant  
129 increase of the inter-storey displacements was noted, and, therefore, an earlier collapse of the  
130 corroded RC structure when time-history analyses were performed. It is worth noting that the above-  
131 mentioned study was one of the very few that considered full-scale corroded RC structures. So, the  
132 present contribution may help to provide a better understanding of the seismic vulnerability of RC  
133 structures subjected to aggressive environments and high levels of corrosion.

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136

## 137 **2 RESEARCH SIGNIFICANCE**

138 This study aims at investigating the ultimate capacity of RC components and the seismic performance  
139 of existing RC buildings with corroded steel reinforcements considering different type of exposure,  
140 i.e. only columns, only beams, both beams and columns and a real external exposure. A numerical  
141 approach is provided to assess the ultimate capacity of both RC members under axial loads and  
142 corroded RC columns under simulated cycling loads. A set of experimental tests were used to validate  
143 the proposed numerical approach. The present study also includes the evaluation and simulation of  
144 the seismic response of an existing RC structure by nonlinear Static and Dynamic analyses using  
145 revised performance criteria for corroded RC components. Pushover analyses were carried out by  
146 using three different lateral loading patterns and compared to, in terms of shear and deformation  
147 capacity, nonlinear dynamic analyses. A study for the impact of corrosion on the q-factor, the  
148 overstrength and ductility is also carried out. Significant effort was made to perform nonlinear  
149 dynamic analyses for the specified Limit States to provide the behaviour of the RC building when  
150 exposed to different levels of corrosion and subjected to various ground motion intensities. This  
151 study can be useful for establishing new-inspection ratings for corroded RC structures to mitigate the  
152 risk and reduce conservative repair-solutions.

153

## 154 **3 MECHANICAL PROPERTIES OF CONCRETE EXPOSED TO CORROSION**

155 Several projects and theoretical studies have been conducted on the behaviour of concrete when  
156 exposed to different levels of corrosion (Asborra et al. 2010; Khan et al., 2014; Shayanfar et al., 2016;  
157 Zandi et al., 2011). Both carbonation and chloride-induced corrosion are the main causes of concrete  
158 degradation. Potentially, these two chemical processes can lead to cracking in the concrete cover and  
159 the successive spalling-off, and cracking in the concrete core due to the expansion of the corrosion  
160 products. Besides, the increase in the volume of the rust affects the local stresses between the concrete  
161 and rebars which causes the loss of bond. However, the effect of corrosion does not only affect the  
162 compressive strength of the concrete cover, but the spalling-off exposes the stirrups, which are  
163 effective for withstanding shear forces, to corrosion by reducing the load and the deformation capacity  
164 of RC members during an earthquake. Although many studies have been carried out, it is still  
165 challenging to use an analytical method to determine the reduction and the location of the  
166 deterioration. Coronelli and Gambarova (2014) proposed a method to account for the impact of  
167 corrosion on the concrete's compressive strength based on the numerical evaluation of corroded RC  
168 beams and the Vecchio and Collins's study (1992). They provided a relationship to simplify the impact  
169 of corrosion on the reduction of the concrete's compressive strength, as follows:

$$\beta_c = \frac{f_c^*}{f_c} = \frac{1}{1 + K \frac{2\pi X n_{bars}}{b \varepsilon_{c2}}} \quad (1)$$

170 where  $f_c^*$  represents the corroded compressive strength,  $f_c$  the uncorroded compressive strength,  $K$   
 171 a constant equal to 0.1 for medium rebar,  $X$  the corrosion penetration,  $b$  the width of the cross-section,  
 172  $\varepsilon_{c2}$  strain at the peak and  $n_{bars}$  the number of steel reinforcement in the compressive zone. In the  
 173 relationship (1),  $n_{bars}$  represents the number of reinforcements in the top layer (compressive zone) and  
 174  $f_c^*$  applied on the entire section. However, the reduction of the concrete's compressive strength should  
 175 be only considered on the side of the attack and applied only on the effective area exposed to  
 176 corrosion; otherwise, the reduction will be excessively high and the ultimate capacity underestimated.  
 177

#### 178 4 MECHANICAL PROPERTIES OF CORRODED STEEL REINFORCEMENT

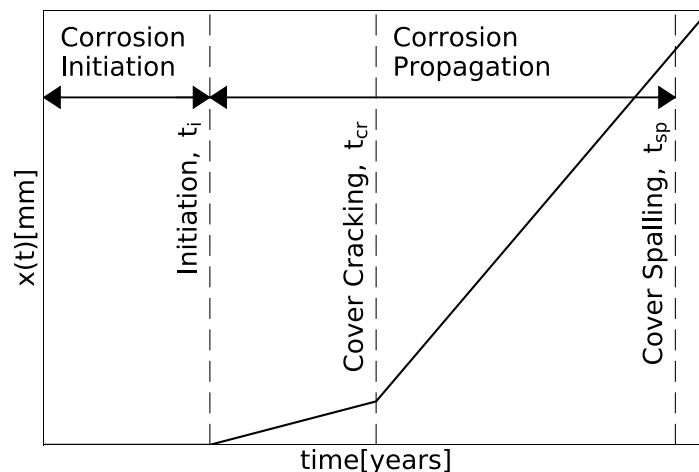
179 The degradation due to corrosion of the steel reinforcements embedded into the concrete is among  
 180 the main concerns while assessing RC components and structures with ageing. When corrosion  
 181 occurs, the penetration can be measured on-site by using the following formulation:

$$x(t) = \int_{t_i}^{t_i+t_p} r_{corr} dt \quad (2)$$

182 where  $r_{corr}$  (mm/year) is the steel corrosion rate,  $t_p$  represents the propagation time and  $t_i$  the  
 183 initiation time (it does not correspond to zero). **Figure 1 describes the typical time of corrosion**  
 184 **initiation and propagation (Tuutti, 1982).** The reduction of the cross-section of the rebar can be  
 185 calculated through a coefficient  $\gamma$  that ranges between 0 and 1, as follows:

$$\gamma = \frac{x(t)}{\varphi_0} \quad (3)$$

186 where  $x(t)$  is the corrosion penetration in mm and  $\varphi_0$  is the initial diameter of the steel reinforcement.



187  
 188 Figure 1. Corrosion Initiation and Propagation (Tuutti, 1982)  
 189

190 However, the definition of the corrosion penetration, and therefore the coefficient  $\gamma$  depends on the  
 191 type of corrosion, which can be either uniform or localized. If corrosion is a result of concrete  
 192 carbonation, the attack is more likely to be uniform along the bar (Uniform Corrosion), while if  
 193 corrosion is due to chloride contents, the attack is more likely to be localized at some points along  
 194 the bar (Pitting Corrosion). The carbonation and low-chloride contents lead to a steady reduction of  
 195 the mechanical properties of the steel reinforcements, while the high-chloride contents cause a worse  
 196 localized decay of the above-mentioned steel properties, such as strength and ductility (Biondini et  
 197 al., 2012).

198

#### 199 4.1 YIELDING STRESS REDUCTION

200 Many experimental tests have been conducted to investigate the impact of corrosion on the  
 201 mechanical properties of steel reinforcements, both embedded and bare bars. Mostly, these  
 202 experimental campaigns were carried out on deformed steel reinforcements. According to these  
 203 experimental tests, a relationship between the mass loss due to corrosion and the yield stress reduction  
 204 can be derived. The general equation can be expressed as follows:

$$f_y^* = (1 - \beta_s CR[\%]) f_y \quad (4)$$

205 where  $f_y^*$  is the corroded yielding stress,  $f_y$  the un-corroded yielding stress,  $\beta_s$  the experimental  
 206 coefficient and  $CR[\%] = \frac{M_0 - M_C}{M_0}$  the mass loss based on the mass before ( $M_0$ ) and after corrosion  
 207 ( $M_C$ ). Table 1 provides a comprehensive indication of some experimental campaigns conducted over  
 208 the years.

209

210

Table 1. Empirical Coefficients for reduced steel yielding stress

Reference	Type	Exposure	CR [%]	$\beta_s$
Du et al. (2001)	Bare Rebar	Accelerated	0-25	0.0140
Du et al. (2001)	Embedded Rebar	Accelerated	0-18	0.0150
Morinaga et al. (1996)	Embedded Rebar	Service-Chlorides	0-25	0.0170
Zhang et al. (1995)	Embedded Rebar	Service-Carbonation	0-67	0.0100
Andrade et al. (1991)	Bare rebar	Accelerated	0-11	0.0150
Clark et al. (1994)	Embedded Rebar	Accelerated	0-28	0.0130
Lee et al. (1996)	Embedded Rebar	Accelerated	0-25	0.0120
Cairns et al. (2005)	Embedded Rebar	Accelerated	0-3	0.0120
Du et al. (2005)	Embedded Rebar	Accelerated	0-18	0.0050
Andisheh et al. (2016)	Theoretical Study	Accelerated/Natural	0-80	0.0198
Wang and Liu (2008)	Embedded Rebar	Accelerated	0-10	**
Imperatore et al. (2017)	Both	Accelerated	0-40	**

211 \*\* The value is different depending on whether it is uniform or pitting corrosion as it is explained in Table 2

212

213 These empirical coefficients are mostly referred to one type of corrosion and, in many cases, uniform  
214 and localized corrosion are combined. Instead, Wang et al., 2008 and Imperatore et al., 2017 provided  
215 empirical coefficients for both uniform and pitting corrosion, which make these studies more reliable  
216 and accurate in comparison with the results from the literature. Furthermore, the latter studies  
217 demonstrated an excellent Parson’s coefficient factor, which is the measure of the linear correlation  
218 between two variables and it is almost one in the Imperatore and Wang’s studies as shown in Table  
219 2.

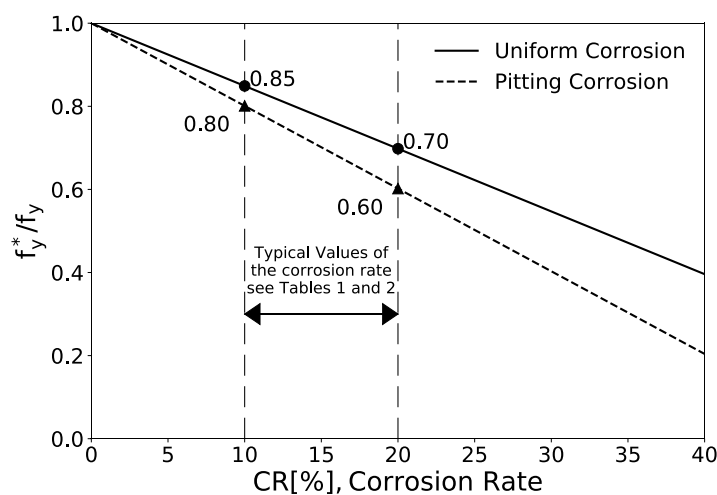
220

221 Table 2. The correlation coefficient for uniform and localised corrosion

Reference	Type of Corrosion	$\beta$	Correlation Factor
Wang and Liu (2008)	Uniform	0.0124	0.7800
	Pitting	0.0198	0.9200
Imperatore et al. (2017)	Uniform	0.0151	0.9263
	Pitting	0.0199	0.9234

222

223 In this study, the relationships given by Imperatore et al. (2017) were used as they included many  
224 experimental tests from the literature and, particularly, were more consistent with the phenomenon  
225 of the corrosion of steel reinforcements. Figure 2 shows the relationship between the reduced steel  
226 yielding stress and the corrosion rate:



227

228 Figure 2. Steel Yielding Stress vs Corrosion Rate

229

230 The typical values of corrosion (10%-20%) for existing RC structures after a lifetime of roughly 20  
231 years is given in Figure 2. The reduction of the yielding stress depends on the type of corrosion and,  
232 ranges from 15% to 30% for uniform corrosion and from 20% to 40% for pitting corrosion.



233 **4.2 ULTIMATE STRAIN**

234 Experimental tests have demonstrated that low and high levels of corrosion reduced significantly the  
 235 ductility of the steel rebars (Kobayahi et al., 2006; Coronelli and Gambarova, 2004; Apostopoulos et  
 236 al., 2008; Biondini et al., 2011; Imperatore et al., 2017). According to these experimental tests, the  
 237 behaviour of the steel reinforcements and, therefore, the RC elements may shift the failure mode from  
 238 ductile to brittle, especially for high levels of corrosion. Kobayashi (2006) proposed a relationship  
 239 for the residual ultimate strain of the steel reinforcements when exposed to corrosion based on  
 240 experimental results:

$$\frac{\varepsilon_{su}^*}{\varepsilon_{su}} [\%] = 100 - 18.1x[\%] \quad (5)$$

241 where x represents the cross-sectional reduction. Yet, the relationship referred to experimental tests  
 242 with low levels of corrosion, so it becomes useful for a mass loss between 3% and 5%. Coronelli and  
 243 Gambarova (2004) proposed a relationship accounting also for the pitting:

$$\varepsilon_{su}^* = \varepsilon_{sy} + (\varepsilon_{su} - \varepsilon_{sy}) \left( 1 - \frac{\alpha_{pit}}{\alpha_{pit,max}} \right) \quad (6)$$

244 where  $\varepsilon_{sy}$  is the steel strain at yielding,  $\alpha_{pit}$  and  $\alpha_{pit,max}$  are respectively the depth and maximum  
 245 depth of the pitting attack. The coefficients of pitting corrosion are difficult to determine because they  
 246 require consistent on-site study of existing RC structures. Biondini et al. (2011), based on the  
 247 experimental campaign conducted by Apostopoulos et al. (2008), provided a relationship for the  
 248 ultimate strain of corroded steel rebars:

$$\varepsilon_{su}^* = \begin{cases} \varepsilon_{su} & 0 \leq CR[\%] \leq 1.16 \\ 0.1521 CR^{-0.4583} \varepsilon_{su} & 1.6 \leq CR[\%] \leq 100 \end{cases} \quad (7)$$

249 However, the formulation (7) is based on a single experimental campaign and does not consider other  
 250 types of corroded steel reinforcements. Imperatore et al. (2017) carried out an extensive experimental  
 251 campaign, which also included results from the literature. They provided relationships both for  
 252 uniform and localized corrosion, as follows:

$$\begin{cases} \varepsilon_{su,pitting}^* & = \varepsilon_{su} e^{-0.0547CR[\%]} \\ \varepsilon_{su,uniform}^* & = \varepsilon_{su} e^{-0.0277CR[\%]} \end{cases} \quad (8)$$

253 These experimental results have demonstrated that the corrosion does not affect the elasticity modulus  
 254 of the steel rebars. Figure 3 illustrates the ultimate strain with the increase of corrosion rate both for  
 255 uniform and localized corrosion, and the typical values of corrosion (10%-20%) for an existing RC  
 256 structure:

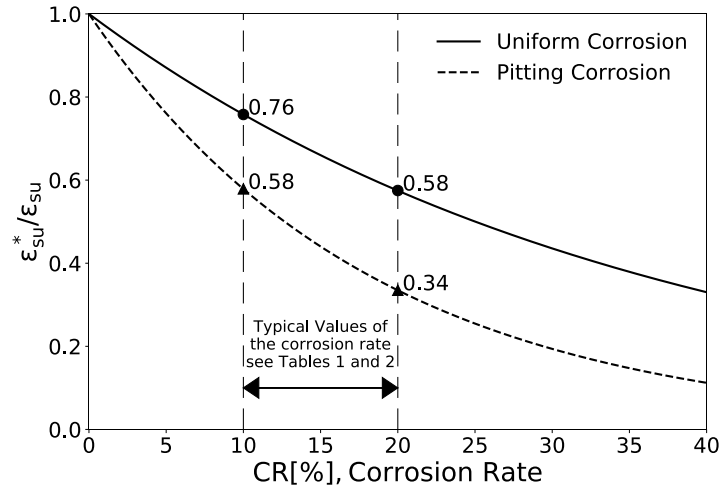


Figure 3. Ultimate Strain of corroded steel reinforcement

Figure 4 illustrates the bilinear stress-strain model of corroded steel reinforcement which exploits the equations (4) and (8):

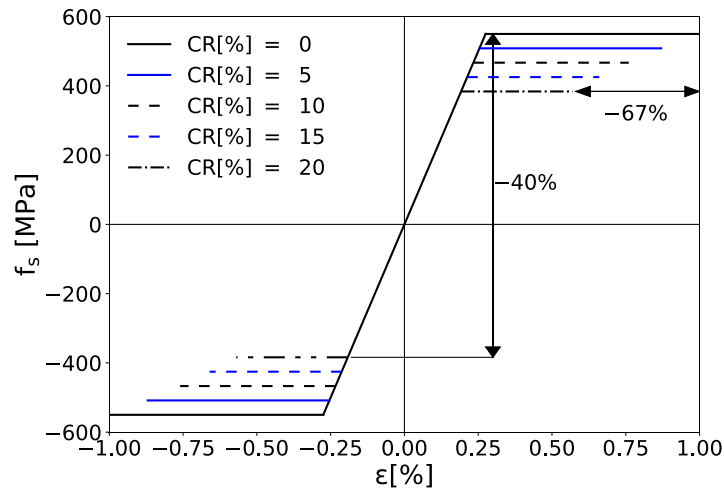


Figure 4. Bilinear Stress-Strain model for corroded Steel reinforcement

Corrosion reduces significantly the yielding stress and the ultimate strain of steel reinforcements by 40% and 67% respectively with the increase of the corrosion rate up to 20%.

## 5 VALIDATION OF THE PROPOSED NUMERICAL MODEL

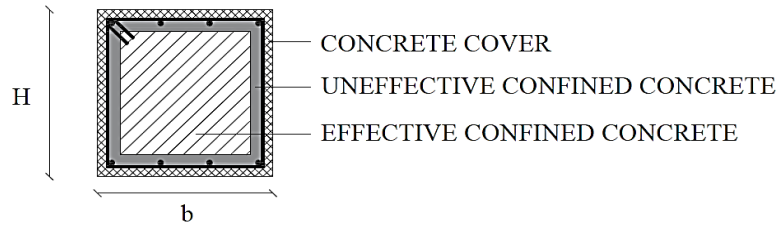
### 5.1 MODEL CALIBRATION

A new method for the evaluation of the ultimate capacity of RC members was proposed by Di Sarno and Pugliese (2019), which consists in dividing the RC cross-section into three concrete blocks containing the concrete cover, the un-effective confined core and the effective enclosed core. The concrete cover represents the clear cover (CC) until the transverse reinforcement, while the un-

274 effective (UCC) and effective (ECC) confined concrete are respectively the area twice the diameter  
 275 of longitudinal reinforcement bars and the remaining uncorroded area of the concrete (Figure 5). Once  
 276 corrosion occurs, only the compressive strength of the concrete cover and un-effective confined  
 277 concrete will be reduced by the use of the coefficients  $\beta_c$  in Eq. (1). The reason for the different  
 278 concrete blocks is to simulate the real behaviour of RC members. Accordingly:

$$f_c^* = \frac{\beta_c f_c A_{CC} + \beta_c f_{cc} A_{UCC} + f_{cc} A_{ECC}}{A_{CC} + A_{UCC} + A_{ECC}} \quad (9)$$

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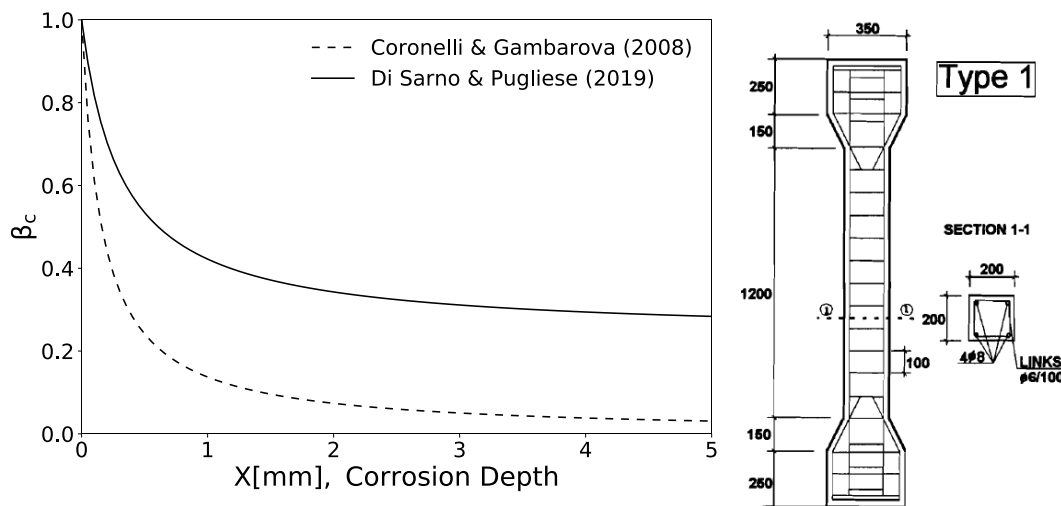
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282

Figure 5. Concrete blocks

283 Figure 6 shows a comparison between the two methods (Coronelli and Gambarova, 2008; Di Sarno  
 284 and Pugliese, 2019) in terms of the reduction in the concrete's compressive strength with the increase  
 285 of the corrosion penetration based on the experimental results conducted by Rodriguez et al., 1996.



286

287

Figure 6. Concrete compressive strength reduction (after Rodriguez et al., 1996; Type 1)

288 Despite numerous experimental tests on the behaviour of corroded concrete, no results are available  
 289 from the literature on the strain at the peak of the compressive strength and the ultimate strain when  
 290 the concrete is exposed to different levels of corrosion. As a result, the latter parameters were reduced  
 291 according to the compressive strength. To simplify the calculation for the mass loss, Zhang et al.

292 (2018) proposed a relationship between the corrosion loss ratio of the rebar section  $\rho_s$ , the radius of  
 293 the steel rebars  $r$  and the mass-loss rate of the corroded steel  $\delta$ , as follows:

$$\rho_s = \frac{2X}{r} - \left(\frac{X}{r}\right)^2 \quad (10)$$

$$\rho_s = \begin{cases} 0.013 + 0.987\delta & \delta \leq 10\% \\ 0.061 + 0.969\delta, & 10\% < \delta \leq 20\% \\ 0.129 + 0.871\delta, & 20\% < \delta \leq 30\% \\ 0.199 + 0.810\delta, & 30\% < \delta \leq 40\% \end{cases} \quad (11)$$

294 According to Eurocode 2 Part 1-1 (EN-2, 2005), the stress-strain relation of concrete will be  
 295 approximated by a parabola-rectangle diagram, which is convenient to use in analytical studies as it  
 296 is continuous up to the strain at maximum strength and flat until the ultimate strain:

$$f = \begin{cases} f_c \left[1 - \left(1 - \frac{\varepsilon_c}{\varepsilon_{c2}}\right)^n\right] & 0 \leq \varepsilon_c \leq \varepsilon_{c2} \\ f_c & \varepsilon_{c2} \leq \varepsilon_c \leq \varepsilon_{cu} \end{cases} \quad (12)$$

297 where:

$$n = \begin{cases} 2.0 & 0 \text{ MPa} \leq f_c \leq 50 \text{ MPa} \\ 1.4 + 23.4 \left(\frac{90 - f_c}{100}\right)^4 & 50 \text{ MPa} \leq f_c \leq 90 \text{ MPa} \end{cases} \quad (13)$$

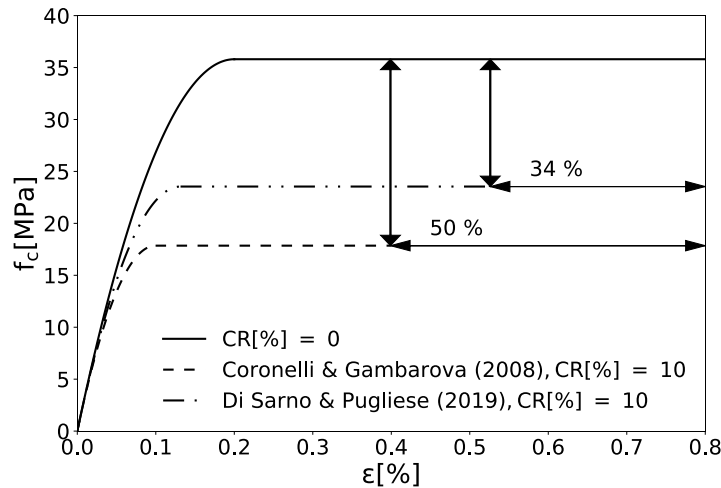
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$$\varepsilon_{c2} [\text{‰}] = \begin{cases} 2.0 & 0 \text{ MPa} \leq f_c \leq 50 \text{ MPa} \\ 2.0 + 0.085(f_c - 50)^{0.53} & 50 \text{ MPa} \leq f_c \leq 90 \text{ MPa} \end{cases} \quad (14)$$

299

$$\varepsilon_{cu} [\text{‰}] = \begin{cases} 3.5 & 0 \text{ MPa} \leq f_c \leq 50 \text{ MPa} \\ 2.6 + 35 \left(\frac{90 - f_c}{100}\right)^4 & 50 \text{ MPa} \leq f_c \leq 90 \text{ MPa} \end{cases} \quad (15)$$

300 Figure 8 illustrates the comparison of the two models (Coronelli and Gambarova, 2004; Di Sarno and  
 301 Pugliese, 2019) for the stress-strain of the corroded concrete model by using the specimen Type 1 of  
 302 Rodriguez et al. (1996) with a penetration attack  $X$  of 0.32 mm.



303

304

Figure 7. Stress-Strain Models for the corroded concrete

305 As shown in Figure 7, corrosion affects the two main properties of plain concrete, such as the ductility  
 306 and the strength. The method proposed by Coronelli and Gambarova (2004) leads to a reduction of  
 307 the strength and the ductility by 50%, while the method provided by Di Sarno and Pugliese (2019)  
 308 decreased the previously mentioned mechanical properties by 34%. Moreover, a comprehensive  
 309 experimental campaign is being carried out by Di Sarno and Pugliese (2019) to evaluate the reliability  
 310 of the formulation.

311 Since the proposed method by Di Sarno and Pugliese (2019) deals also with the confined concrete, a  
 312 comprehensive literature review was carried out to account for the confined ultimate compressive  
 313 strain for the concrete. It is well-known that the effectiveness of the confinement in concrete is  
 314 relevant to prevent shear failure during a seismic event. In the design of RC structures, it often refers  
 315 to an ultimate strain of 0.35% which is too conservative and too far away for predicting the real  
 316 deformation capacity of RC members. Thus, the method proposed by Razvi et al. (1992) is herein  
 317 used. They provided a mathematical model to express the stress-strain of concrete confined by  
 318 transverse reinforcements based on a series of experimental tests carried out on 170 full-size confined  
 319 concrete columns and including many experimental tests from the literature. It incorporated the most  
 320 relevant parameters observed for confinement over the years such as the volumetric ratio, spacing,  
 321 yielding strength and arrangement of transverse reinforcement as well as it covered a wide range of  
 322 concrete strength, from 30 to 130 MPa, and geometry sections. The proposed numerical method was  
 323 compared with the experimental results showing an excellent accuracy in predicting the ultimate  
 324 compressive strain. Here, the relationships:

$$\varepsilon_{ccu} = 5.33\varepsilon_{85} - 4.33\varepsilon_{cc} \quad (16)$$

$$\varepsilon_{85}, \varepsilon_{cc} = f(f_{cc}, f_l, \rho_c, s, d_s, f_y) \quad (17)$$

325  $\varepsilon_{85}$  is the strain at the 85% of the confined compressive strength  $0.85f_{cc}$ ;  $\varepsilon_{cc}$  is the strain at the peak  
 326 of the confined compressive strength  $f_{cc}$ ;  $f_l$  lateral pressure;  $s$  stirrups spacing;  $\rho_c$  total transverse  
 327 steel area in two orthogonal directions divided by corresponding concrete area;  $d_s$  stirrup diameter;  
 328  $f_y$  yielding stress.

329

## 330 5.2 INTERACTION SURFACE

331 The assessment of the ultimate capacity of RC elements was carried out by the Interaction Surface  
 332 M-N, which can be used as a reliable tool both in the design and the verification of RC components  
 333 (Figure 8)

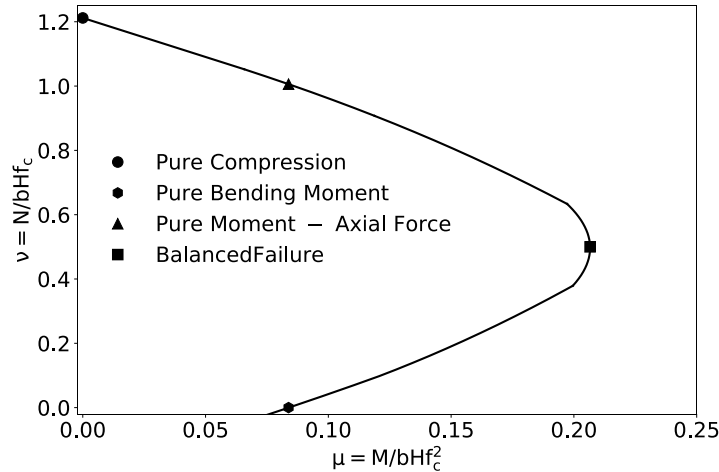


Figure 8. Generic Interaction Domain M-N

334  
335  
336

337 The ultimate compressive strains for concrete and steel are set at the values from (16) and 1%  
338 respectively. Based on the strain distributions, the stresses and the location of the neutral axis are  
339 determined. Experimental results carried out by Rodriguez et al. (1996) were used to validate the  
340 proposed method. The tested columns were poured with an additional solution of Calcium Chloride  
341 to target the accelerated corrosion, while the impressed current was used to corrode the samples. An  
342 incremental axial displacement was applied to the column to reach failure. The results of the  
343 numerical simulations are given in Figure 9 and Figure 10:

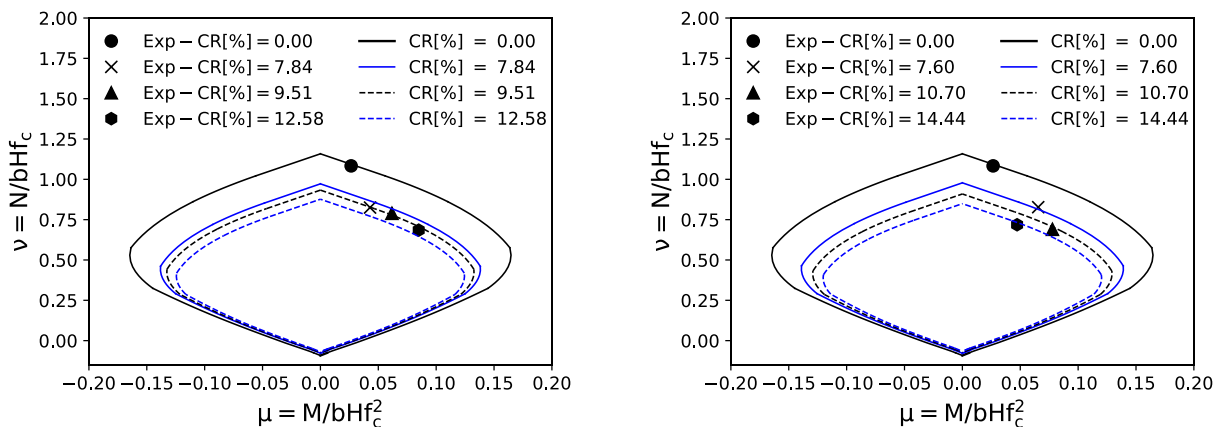


Figure 9. Numerical Validation of the column Type 1 Rodriguez et al. 2006,  $\rho_s = 0.5\%$

344  
345  
346  
347  
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349

The results of the proposed method show an excellent agreement with the experimental results for RC columns exposed to corrosion (the points in Figure 9 and 10 represent the ultimate capacity of the tested RC columns), even for different geometrical reinforcement ratios.

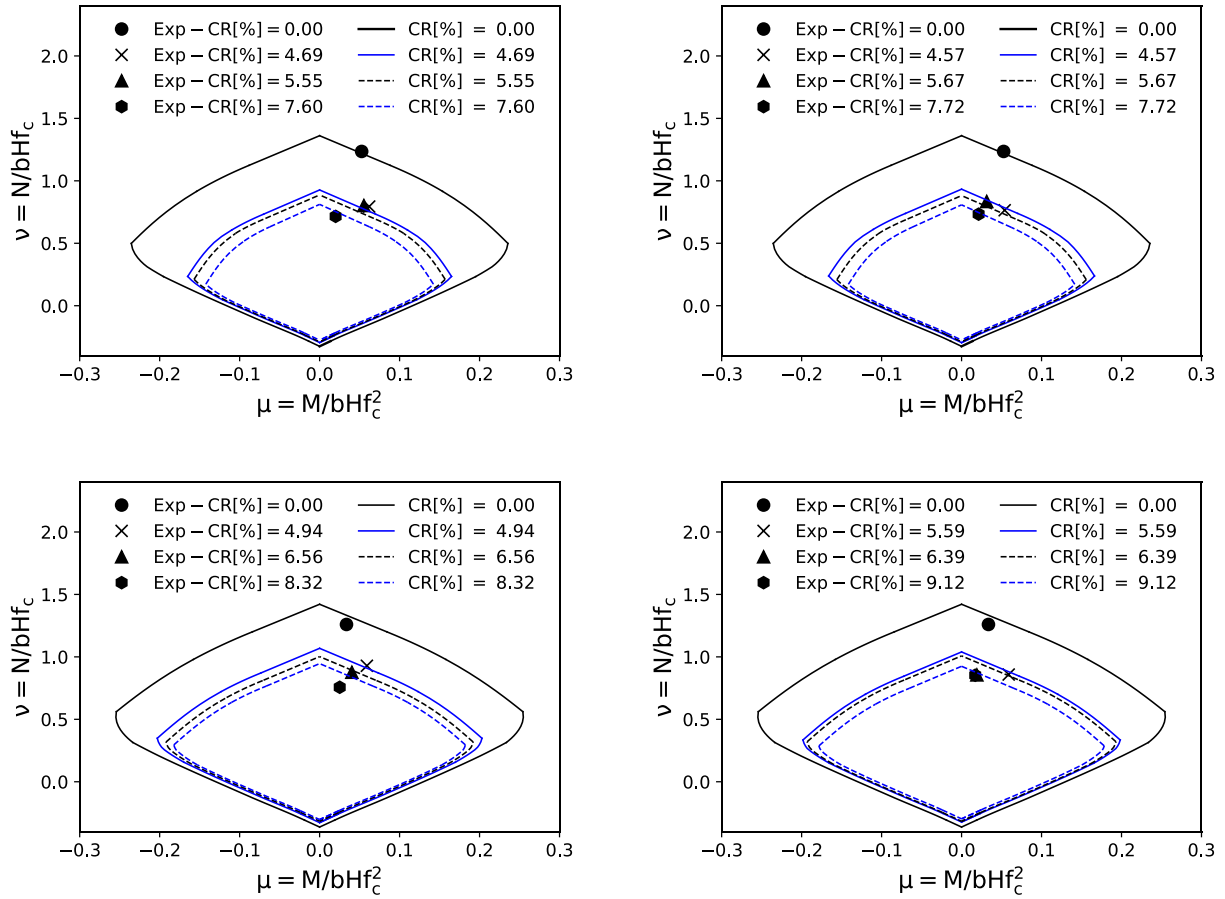
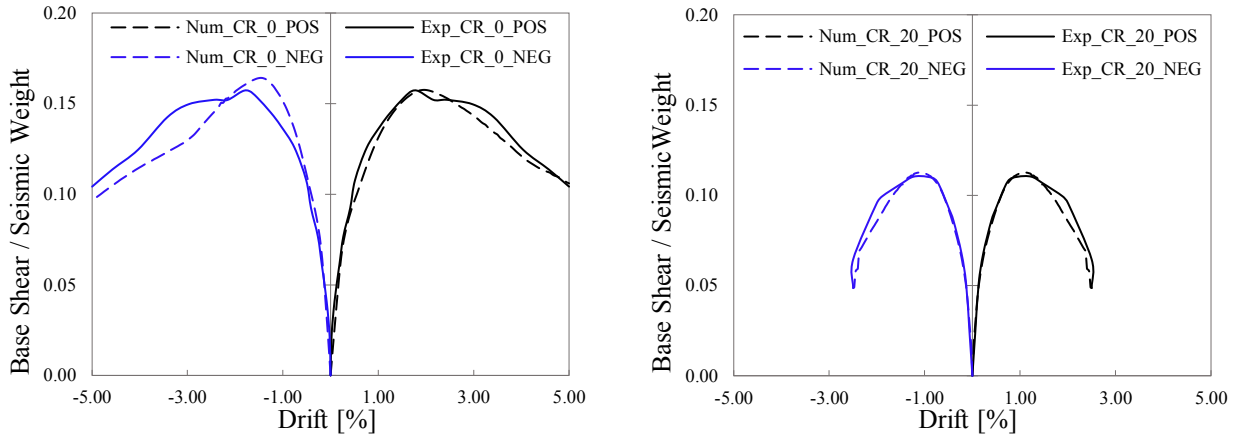


Figure 10. Numerical Validation of the column. a) and b) Type 2 [Rodriguez et al. (2006)],  $\rho_s = 2.01\%$  ; c) and d) Type 3,  $\rho_s = 2.26\%$

## 5.2 CORRODED RC COLUMNS UNDER MONOTONIC LOADING

Numerical validation was also carried out using both the un-corroded and the corroded RC columns under cycling loading tested by Meda et al. (2014), which represents a typical column of an RC structure built in Italy in 1960. The column had a cross-section of  $300 \times 300 \text{ mm}^2$  with concrete compressive strength of 20 MPa and four  $\Phi 16$  mm longitudinal ribbed steel reinforcements with yielding stress of 521 MPa and hardening ratio of 0.005. The transverse reinforcements consist of  $\Phi 8$  mm stirrups with a 300mm spacing. One of the columns was uncorroded and used as a reference, while the second RC column was corroded (longitudinal reinforcements) up to a rate of 20%. The results were shown in the load-drift ratio plot both for the un-corroded and corroded column. A Finite Element approach and the software Seismostruct (2018) were used to implement the RC columns. The stress-strain model of Chang and Mander (1992) for concrete was used as suggested in Pugliese and Di Sarno (2019). This concrete model is able to simulate the behaviour both core and concrete cover by modifying the peak strain and the compressive strength as the shape of the constitutive model remains the same. The steel rebars were modelled by using the constitutive model of Monti-

367 Nuti (1992) with the mechanical properties provided by Meda et al. (2014). Hence, concrete and steel  
 368 models were modified exploiting the relationships given by Di Sarno and Pugliese (2019) and  
 369 Imperatore et al. (2017) respectively. Finally, monotonic positive and negative pushover analyses  
 370 were performed, and the outcomes were validated against experimental results (Figure 11a & Figure  
 371 11b).



372 Figure 11. a) Monotonic Positive-Negative Pushover: a) Uncorroded Column; b) Corroded Column  
 373

374 The Base Shear-Drift diagram summarizes the results of the monotonic behaviour of both RC  
 375 columns. As shown in Figures 11a and 11b, the proposed model is able to predict with excellent  
 376 accuracy the response of the corroded RC column exposed to a monotonic loading with a negligible  
 377 error margin of the shear strength and ductility. The maximum values of the base shear obtained from  
 378 the proposed numerical approach for the un-corroded and corroded column were 65 MPa and 45 MPa  
 379 respectively, which are close to the values obtained from the experimental tests 63Mpa and 44 MPa.  
 380 In terms of ductility, the numerical evaluation seems to be in accordance with the experimental  
 381 results.

382

## 383 6 CASE-STUDY BUILDING

384 An existing four-storey RC building (SeismoSoft Sample Models, 2018) was considered as a testbed  
 385 for this study. The building is situated near the sea in San Benedetto del Tronto (Italy). Typical  
 386 columns with a square-cross-section  $350 \times 350 \text{ mm}^2$  and  $300 \times 300 \text{ mm}^2$  were used for the ground floor  
 387 and the other floors respectively, both with 6 smooth longitudinal rebars  $\Phi 16 \text{ mm}$  and transverse  
 388 stirrups  $\Phi 6 \text{ mm}$  with 150mm spacing. The beams had different cross-sections and the longitudinal  
 389 reinforcements mostly consisted of  $\Phi 14 \text{ mm}$  and  $\Phi 10 \text{ mm}$  diameters. The concrete's compressive  
 390 strength was 16.73 MPa both for columns and beam, while the steel reinforcement had yielding stress  
 391 of 440 MPa. The slabs were implemented through rigid-diaphragms as to ensure in-plane stiffness  
 392 properties, and exhibited neither membrane deformation nor report the associated forces, while all



393 the joints were connected through fully-supported-rigid-connections (all degrees of freedom were  
 394 restrained) to the ground. An accurate loading analysis was conducted and applied for the beams  
 395 (loading-range [6.51 kN/m; 10.42 kN/m]).

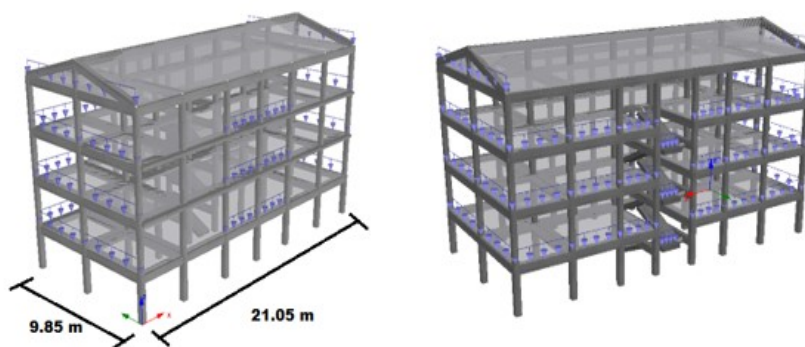
396

397 Table 3. Type of Exposure (COR = corroded; UNC = un-corroded)

CASE STUDY	COLUMNS		BEAMS	
	INTERNAL	EXTERNAL	INTERNAL	EXTERNAL
1	COR	COR	UNC	UNC
2	UNC	UNC	COR	COR
3	COR	COR	COR	COR
4	UNC	COR	UNC	COR

398

399 The model of the ordinary RC structures is given in Figure 12a and Figure 12b. Corrosion has been  
 400 applied to only columns, only beams, the entire building and, on columns and beams externally (see  
 401 Table 3). Potentially, this procedure allows for the evaluation of the impact of corrosion on different  
 402 RC elements. Non-linear static and dynamic analyses have been conducted. Both non-linear analyses  
 403 comply with Eurocode 8-Part 3 (EN-8, 2005).



404

405 Figure 12. Finite Model of the sample Structure implemented in SeismoStruct: a) North and b)  
 406 South Views

407

## 408 7 SEISMIC PERFORMANCE ASSESSMENT

### 409 7.1 PERFORMANCE CRITERIA

410 Performance levels can be obtained in the various stage during the pushover analysis and expressed  
 411 by the normalized base shear and roof displacement. Since no clear standards and limit states for  
 412 corroded RC structures are available from the literature, different parameters are herein used for  
 413 defining and evaluating the seismic performance of the existing building according to the Eurocode  
 414 8 – Part 3 (EN 1998-3, 2004) and modifications proposed by the authors. The Limit States (LSs) were  
 415 divided into two categories: global parameters defined in terms of Drift limits according to Eurocode

416 (EN 1998-1, 2004) with additional provisions (FEMA 356, 2000), and local parameters, both defined  
 417 in Table 4:

418 Table 4. Performance criteria

LOCAL PARAMETERS		
DL	SD	NC
$\epsilon_C, \theta_C, \epsilon_{SY}, M_{Y,BMS}$ $(N_{Y,COLS}, M_{Y,COLS}), V_Y$	$\epsilon_{CU,COVER}, \frac{3}{4}\theta_U, \frac{3}{8}\epsilon_{SU}, M_{U,BMS}(\epsilon_{CU,COVER}, \frac{3}{8}\epsilon_{SU})$ $(N_{Y,COLS}(\epsilon_{CU,COVER}, \frac{3}{8}\epsilon_{SU}),$ $M_{Y,COLS}(\epsilon_{CU,COVER}, \frac{3}{8}\epsilon_{SU})), V_{SD}$	$\epsilon_{CU,CONFINED}, \theta_U,$ $\epsilon_{SU}, M_{U,BMS}(\epsilon_{CU,CONFINED}, \epsilon_{SU})$ $(N_{Y,COLS}(\epsilon_{CU,CONFINED}, \epsilon_{SU}),$ $M_{Y,COLS}(\epsilon_{CU,CONFINED}, \epsilon_{SU})), V_{NC}$
GLOBAL PARAMETERS		
DL	SD	NC
$\frac{d}{H} = 1\%$	$\frac{d}{H} = 2\%$	$\frac{d}{H} = 4\%$

419  
 420 Although Eurocode 8 – Part 3 (EN 8 – 3, 2005) states that existing RC structures should be checked  
 421 in terms of deformation capacity through the chord rotation, and the cyclic shear resistance, many  
 422 other parameters concerning with all the limit states were defined. The Limit State Limited Damage  
 423 (DL) includes:  $\epsilon_C$ , the strain at the peak of the maximum compressive strength of the concrete cover,  
 424 set up at 0.2% and after which there is significant decay of the compressive strength of the concrete  
 425 cover ;  $\epsilon_{SY}$  the steel yielding which corresponds to the ratio between the yielding stress and the  
 426 elasticity modulus of the rebar;  $M_{y,BMS}$  the moment yielding of flexural-dominated RC elements  
 427 which is commonly computed by using the elastic segment of the M- $\phi$  curve according to the  
 428 Eurocode 8, and it is the minimum between the bending moment considering the yielding of the  
 429 tension rebars and the apparent “elastic strain limit of the concrete”;  $(N_{y,cols}, M_{y,cols})$ , seismic events  
 430 exert horizontal forces on RC structures which increase the stress levels in the RC components in  
 431 terms of axial force and bending moment; thus, the interaction surface of the M, N pair corresponding  
 432 at the  $\epsilon_C$  and  $\epsilon_{SY}$  was built to check the stress levels of all columns at the limited damage. The Limit  
 433 State of Significant Damage (SD) includes:  $\epsilon_{CU,COVER}$ , Mander et al. (1988) and Chang et al. (1992)  
 434 said that the ultimate strain of the unconfined concrete should zero the compressive strength of the  
 435 cover concrete, which indicates the cover spalling, but they suggested values from the literature while,  
 436 here, the formulation provided by Biskinis et al. (2007) and reported by Fardis (2009) for unconfined  
 437 concrete under cycling loading is used:

$$\epsilon_{CU,COVER} = 0.0035 + \left(\frac{10}{d}\right)^2 \quad (18)$$

438 Biskinis and Fardis (2009) conducted an experimental campaign for evaluating the ultimate curvature  
 439 of RC members. They observed that the ultimate curvature for RC elements was reached by the  
 440 rupture of the tension reinforcement and, this leads to a conclusion that the elongation of steel rebars  
 441 under cycling loads is on average  $\frac{3}{8}\varepsilon_{SU}$ ;  $M_{U,BMS}(\varepsilon_{CU,COVER}, \frac{3}{8}\varepsilon_{SU})$ , represents the ultimate moment  
 442 computed for flexural-dominated RC components according to the Eurocode 8 considering as  
 443 ultimate strains  $\varepsilon_{CU,COVER}$  and  $\frac{3}{8}\varepsilon_{SU}$ ;  $(N_{U,COLS}, M_{U,COLS})$  is the interaction surface of the M, N pair  
 444 calculated considering as ultimate strains  $\varepsilon_{CU,COVER}$  and  $\frac{3}{8}\varepsilon_{SU}$  respectively. Finally, the limit state of  
 445 Near Collapse (NC) includes:  $\varepsilon_{CU,CONFINED}$ , the confinement is typically neglected in seismic design.  
 446 However, confined concrete is a key point when an earthquake occurs as it allows concrete members  
 447 to undergo larger inelastic deformation compared to the design value of 0.35%. Here, the minimum  
 448 between the ultimate strain defined by Razvi et al. (1992) and formulation provided by Biskinis et al.  
 449 (2007) and reported by Fardis (2009) for confined concrete under cycling loading was used:

$$\varepsilon_{CU,COVER} = 0.0035 + \left(\frac{10}{d}\right)^2 + 0.4 \frac{p}{f_{cc}}; \quad (19)$$

450 where  $p$  is the confinement coefficient and  $f_{cc}$  is the compressive strength of the concrete core;  $\varepsilon_{SU}$   
 451 is the ultimate strain corresponding to the steel reinforcement softening which is typically set up at  
 452 1%;  $M_{U,BMS}$  is the ultimate Moment for flexural-dominated RC members according to the Eurocode  
 453 computed with the strain values at  $\varepsilon_{CU,CONFINED}$  and  $\varepsilon_{SU}$ ;  $(N_{U,COLS}, M_{U,COLS})$  is the interaction surface  
 454 of the M, N pair corresponding at the  $\varepsilon_{CU,CONFINED}$  and  $\varepsilon_{SU}$ . Local parameters are reduced to account  
 455 for the level of corrosion exploiting the relationships provided for concrete and steel reinforcements.  
 456 During the analysis, the first element that reached the limit condition is given and, then, the minimum  
 457 value among the local parameters defined in Table 4 checked against the global parameter for each  
 458 Limit State.

459 Furthermore, another parameter was evaluated in the Pushover Analyses, the ductility which  
 460 quantifies two important response characteristics: the capacity of the structure to undergo inelastic  
 461 deformation with acceptable stiffness and strength; the plastic redistribution of actions and the  
 462 dissipation of the earthquake energy. Additionally, this study includes the overstrength, which  
 463 quantify the actual strength in excess against a seismic event, and the translation ductility to assess  
 464 damage tolerance and therefore resiliency into the structure. Overstrength and ductility are defined as  
 465 follows:

$$\mu_{\delta} = \frac{\delta_u}{\delta_y} \quad (20)$$

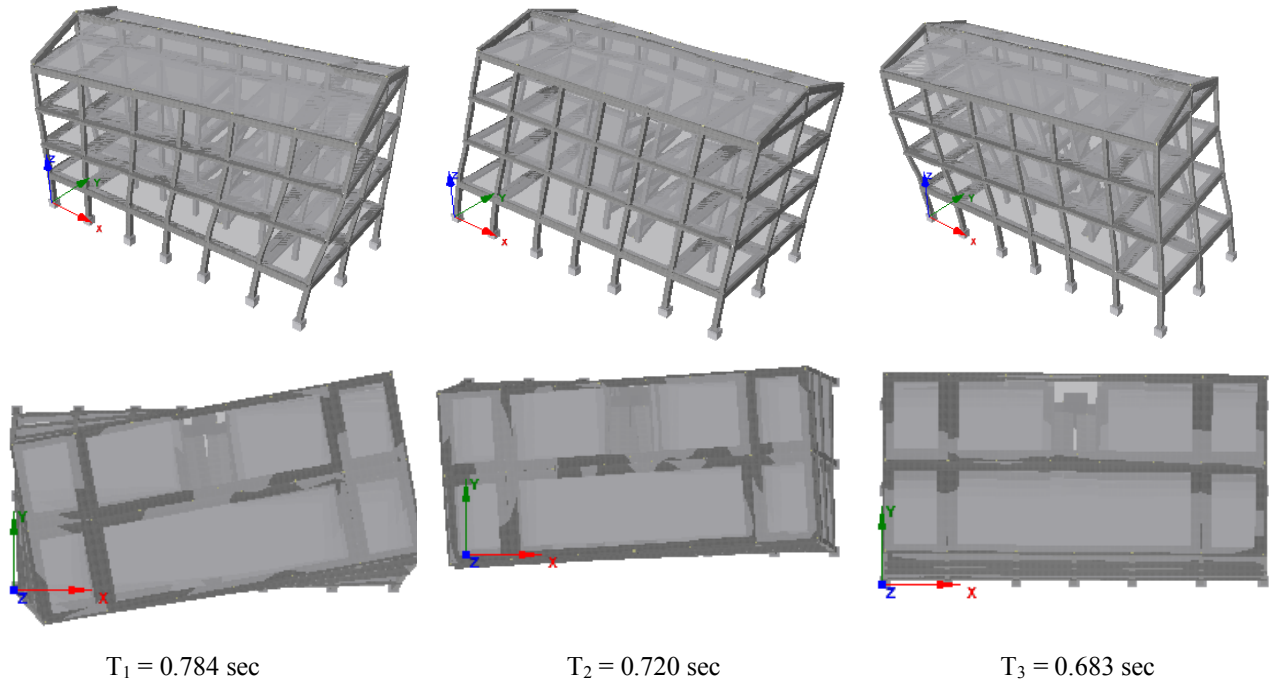
$$\Omega_{\delta} = \frac{F_u}{F_y} \quad (21)$$

466 where  $F_y$  represents once the yield point of an equivalent elasto-plastic system with reduced stiffness  
 467 computed as secant stiffness equal to 75% of the maximum lateral force to evaluate the global  
 468 behaviour of the RC structure and, then, the  $F_y$  corresponding to the value of the first chord rotation  
 469 reached in the building to evaluate a local response;  $F_u$  can be computed as either the shear  
 470 corresponding to the first fracture or buckling and the shear corresponding to the minimum of the  
 471 local parameters defined in the limit State Near Collapse;  $\delta_y$  is the displacement corresponding to the  
 472 yield force  $F_y$ ;  $\delta_u$  is the displacement corresponding to  $F_u$ .

473

## 474 7.2 ELASTIC DYNAMIC RESPONSE (MODAL ANALYSIS)

475 The modal analysis is extremely important in the study of the dynamic properties and identification  
 476 of the vibration modes of a structural system. Modes are defined by the modal parameters such as  
 477 frequencies and mode shapes. Here, the modal analyses were used to evaluate the elastic response  
 478 when the existing RC structure is exposed to different levels of corrosion. Figure 13 depicts the first  
 479 three main periods of the structure without corrosion, while Figures 14 show the comparison between  
 480 different exposures and levels of corrosion with the uncorroded case:



481

$T_1 = 0.784 \text{ sec}$

$T_2 = 0.720 \text{ sec}$

$T_3 = 0.683 \text{ sec}$

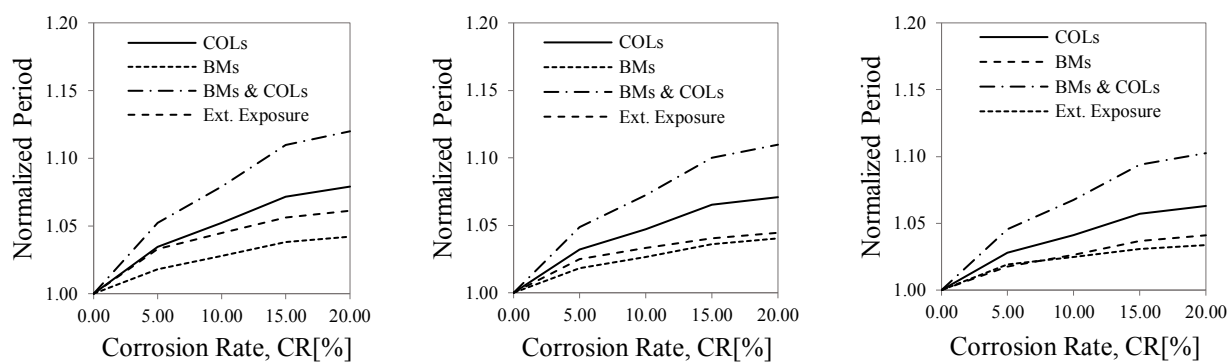
			MASS PARTICIPATION FACTOR					
CR[%]		Periods[secs]	[Ux]	[Uy]	[Uz]	[Rx]	[Ry]	[Rz]
0	T1[secs]	0.784	9.4%	0.0%	0.0%	0.0%	1.0%	69.1%
	T2[secs]	0.720	69.0%	0.2%	0.0%	0.0%	4.9%	9.8%
	T3[secs]	0.683	0.1%	75.8%	0.0%	14.3%	0.0%	0.1%

482

Figure 13. The main mode of vibrations of the RC structure

483

484 Results clearly showed an increase in the natural frequency of the RC structure when exposed to  
 485 corrosion. Figures 14 notably illustrate that the RC building with full-sided corroded had an increase  
 486 in the fundamental frequency of 6.7% and 7.3% with a corrosion rate of 15% and 20% respectively.  
 487 Conversely, the increase in the fundamental frequency of the RC building with full-sided corroded  
 488 beams was 3.7% and 4.1% with a corrosion rate of 15% and 20%. Furthermore, It can be observed a  
 489 relevant increase in the natural frequencies when the entire building was exposed to corrosion. The  
 490 main reasons for the decay of the natural frequencies can be found in the mass loss of RC components  
 491 and stiffness degradation due to cracking, which lead to an increase of the mass participation factor  
 492 along the main direction of the mode shape without changing the elastic response of the building.  
 493 However, these three scenarios do not represent the real case of an RC building exposed to corrosion  
 494 as the inside is protected by infills and the corrosion path could stop on the external side. **It should be  
 495 stressed that the testbed building was modelled without considering infills, which will possibly affect  
 496 the fundamental period and mode shapes of the RC framed structure, and therefore increasing the  
 497 dramatic effect of corrosion (Fardis and Calvi, 1994; Kappos and Ellul, 2000; Kose, 2009, among the  
 498 others).** Only the external RC components, both beams and columns, can be reasonably exposed to  
 499 aggressive agents which penetrate through RC elements and lower the mechanical properties of both  
 500 the concrete and steel reinforcements. As a result, the penetration attack was considered on three-  
 501 sides of the corner columns and two-sides for the other RC components respectively.



502 Figure 14. Normalized Period vs Corrosion Rate. a)  $T_1=0.784$  secs; b)  $T_2=0.720$  secs; c)  $T_3=0.683$  secs

503  
 504 Figures 14 show interesting results for external exposure as there is a reduction of the natural  
 505 frequency and a change in the mass participation factor. As a result, the mode shape tends to change  
 506 and even if the first mode remains torsional, there is a relevant decrease in the mass participation  
 507 factor along the main direction of the mode shape which could mean that the RC structure is shifting  
 508 its natural mode. Finally, damage due to the corrosion penetration strongly alters the dynamic  
 509 properties of an RC structure which lead to a change in the Eigen-parameters such as the natural  
 510 frequency and, in some cases, the modal shapes, and even if no experimental campaign can be found  
 511 in the literature to compare the results, these numerical analyses can be very useful in inspiring future

512 research on the elastic response of RC structures and components exposed to different levels of  
513 corrosion.

514

### 515 **7.3 INELASTIC STATIC RESPONSE: PUSHOVER ANALYSIS**

516

517 The non-linear Static Analysis, also known as Pushover Analysis (PA), is widely used in seismic  
518 resistance assessment as a reliable alternative to the non-linear dynamic analysis for the evaluation  
519 of the inelastic response of an RC structure under a lateral loading pattern. The main outcome of the  
520 PA is the capacity curve which is a graphical representation of the Base Shear against the target  
521 displacement located at the top floor of the structure. The inelastic behaviour of RC components has  
522 been herein simulated by Fiber-based frames. The PAs were performed in both directions, x and y,  
523 considering five levels of corrosion rate (CR [%] = [0, 5, 10, 15, and 20]) and different horizontal  
524 loading patterns according to the Eurocode 8 – Part 3(EN 8-3, 2005): a) the mass distribution  
525 according to the modal shapes of the RC structure (Adaptive Pushover Analysis); b) uniform pattern  
526 based on lateral forces proportional to the mass of each floor; c) lateral loads based on the acceleration  
527 distribution proportional to the mode shape (x and y). The evaluation of the performance of the  
528 existing RC structure was conducted using a technical code., i.e. Eurocode 8 – Part 3(EN 8-3, 2005).  
529 Particularly, the seismic demand was here expressed through the use of the Drift Limits stated in  
530 Eurocode (EN 1998-1 (2004) with additional provisions (FEMA 356, 2000) for the Limit States of  
531 Limited Damage (LD), Significant Damage (SD) and Near Collapse (NC).

532

#### 533 **7.3.1 PUSHOVER ANALYSIS OF THE RC STRUCTURES WITH COLUMNS EXPOSED** 534 **TO CORROSION**

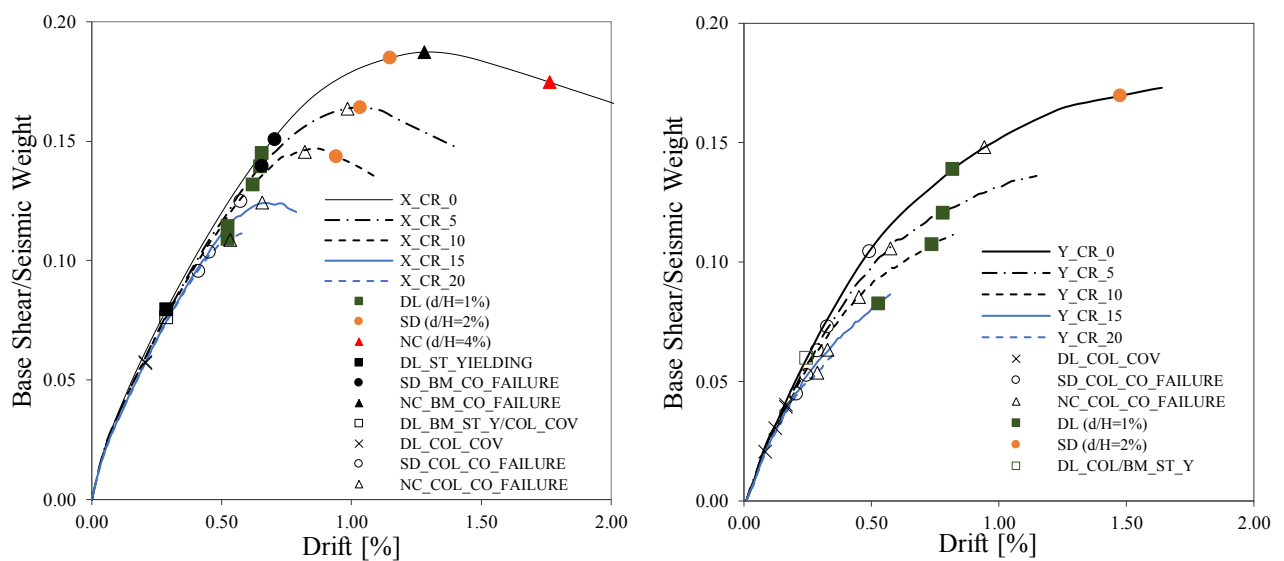
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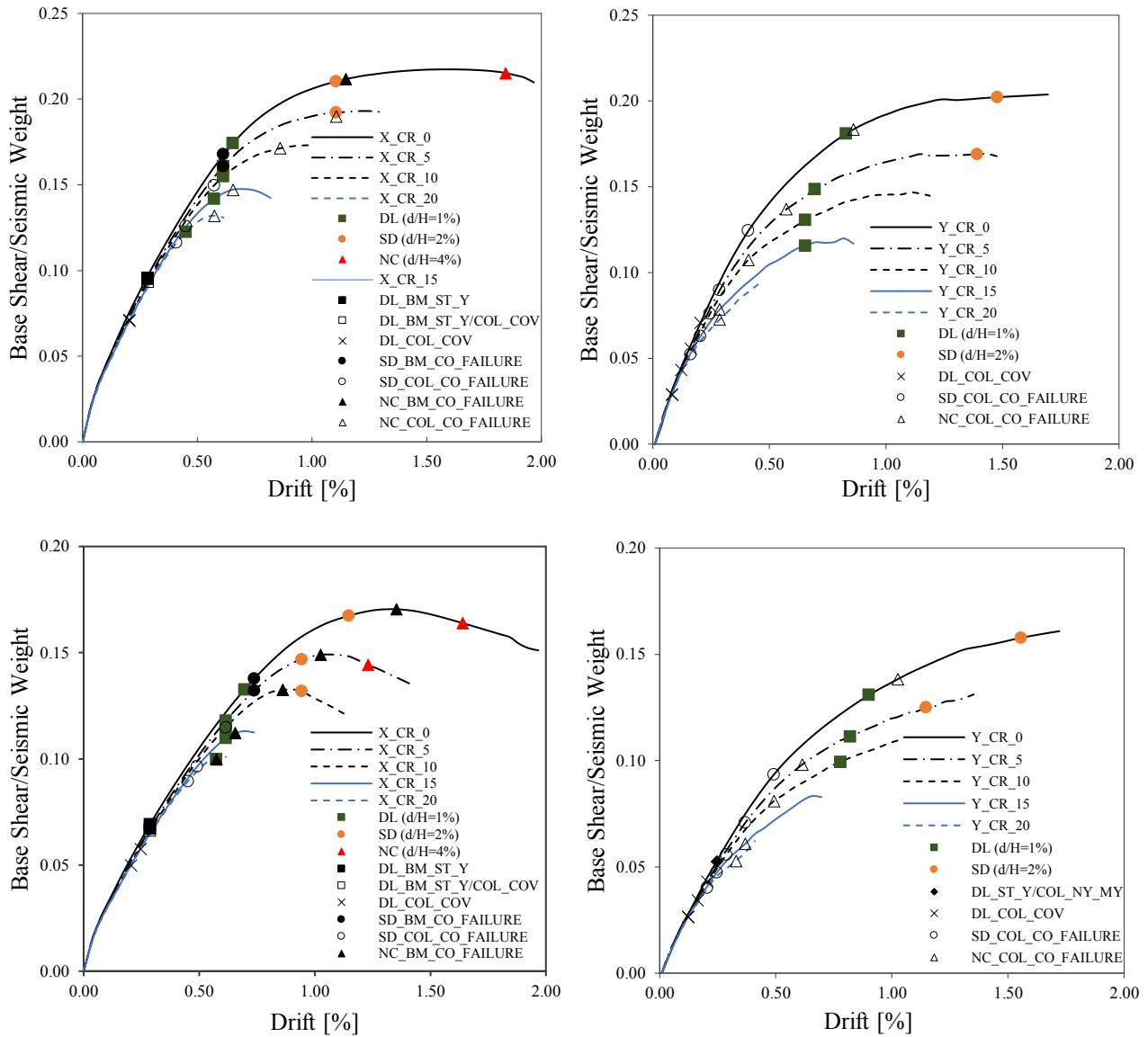
536 Non-linear Static analyses were performed to evaluate the seismic performance of the existing RC  
537 building when columns are exposed to different levels of corrosion. The mechanical properties of  
538 both the steel reinforcements (ST) and the concrete (CO) were reduced using the relationships (4),  
539 (8) and (9). Figures 15a, 15b and 15c illustrate the base shear strength against the roof drift ratio for  
540 all horizontal loading patterns. Results from the non-linear static analyses show that the seismic  
541 performance of the building is directly related to the lateral load pattern utilized. In fact, different  
542 responses for the capacity curves were obtained using the three loading patterns previously defined.  
543 Figures 15 clearly showed a significant reduction in both the base shear and the ductility with the  
544 increase of the corrosion rate. In particular, high levels of corrosion, between 15% and 20%, reduced  
545 the base shear by 39% and 44% along the x-axis, while the structure was not able to withstand  
546 horizontal loads greater than 10% of the seismic weight along the y-axis. Moreover, Figures 15

547 demonstrated that the structure could not comply with the seismic capacity, according to the limit  
 548 states (Global Parameters) defined in Table 4, owing to a highly corrosive environment. Thus, the  
 549 structure could not resist extensive damage and fulfil the performance level required by the Limit  
 550 State of Near Collapse (NC) with corroded elements and the Limit State of Significant Damage (SD)  
 551 with a corrosion rate of 20%. To satisfy the limit states, the minimum among the local parameters  
 552 (Table 4), which has been reduced according to the level of corrosion, must be greater than the global  
 553 parameters (Table 4), which would allow the RC structure to perform its intended function throughout  
 554 its lifetime. Cover spalling of the column seems to govern the limit state LD with the increase of  
 555 corrosion rate, while concrete cover failure and concrete core failure are the first consequences for  
 556 SD and NC for highly-corrosive environments. Since corrosion was applied only on the columns,  
 557 repair-solutions should primarily focus on these structural elements.

558 All lateral loading patterns showed a significant reduction of the ductility with the increase of  
 559 corrosion. As a result, large levels of corrosion forced the building to shift its failure mode from  
 560 ductile to brittle, which can be seen in Figure 15a, 15b and 15c when the corrosion rate is between  
 561 15% and 20% in both directions (x and y). **Table 5 summarizes the results obtained for the ductility,  
 562 overstrength and behaviour factor with the increase of the corrosion rate. It is evident that there is a  
 563 relevant decrease in the ductility by more than 40% when the corrosion rate was between 15% and  
 564 20%, which may justify the change in the failure mode of the RC structure.**

565 **Furthermore, it can be observed a significant decrease in the overstrength with the maximum increase  
 566 of the corrosion rate by 40% and 64%, along x and y, respectively.**





567 Figure 15. a) Adaptive Pushover (X-Y Directions); b) Lateral Loading proportional to the acceleration distribution (X-Y  
 568 Directions); c) Uniform Pattern (X-Y Directions)

569

570 Table 5. Translation Ductility, Overstrength and Behaviour Factors.

CR [%]	$\mu_x$	$\mu_y$	$\Omega_x$	$\Omega_y$	$q_{x-Mean}$	$q_{y-Mean}$
0	2.43	1.87	1.73	1.59	4.20	2.77
5	2.01	1.78	1.52	1.25	3.79	2.15
10	1.82	1.64	1.36	1.01	3.01	1.92
15	1.55	1.40	1.15	0.78	1.82	1.22
20	1.36	1.02	1.03	0.57	1.43	0.57

571

572 Similarly, Table 5 describes the values of the q-factors with the increase of the corrosion rate. Results  
 573 show that there is a significant reduction (66%) along the x-axis and a dramatic decay (79%) along  
 574 the y-axis as the corrosion rate goes up to 20%.



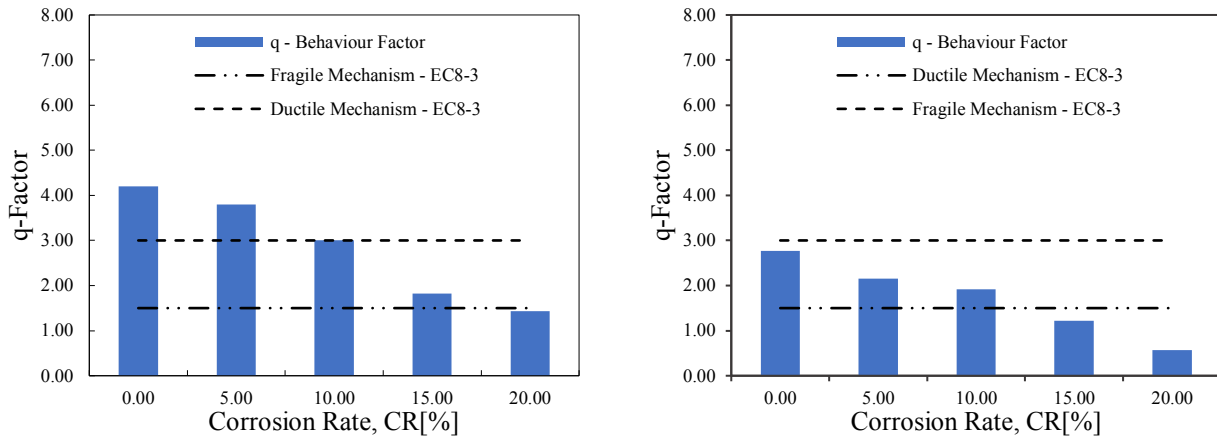


Figure 16. q-Factor vs Corrosion rate (Y-Axis & X-axis)

575

576

577 Moreover, highly corroded RC building (10%) forced the structure to change its failure mode, and,  
 578 therefore, to not comply with the ductile failure mechanisms specified by the Eurocode 8 – Part 3(EN  
 579 8-3, 2005). The q-factor values are given in terms of mean between all lateral loading patterns used  
 580 for the PAs.

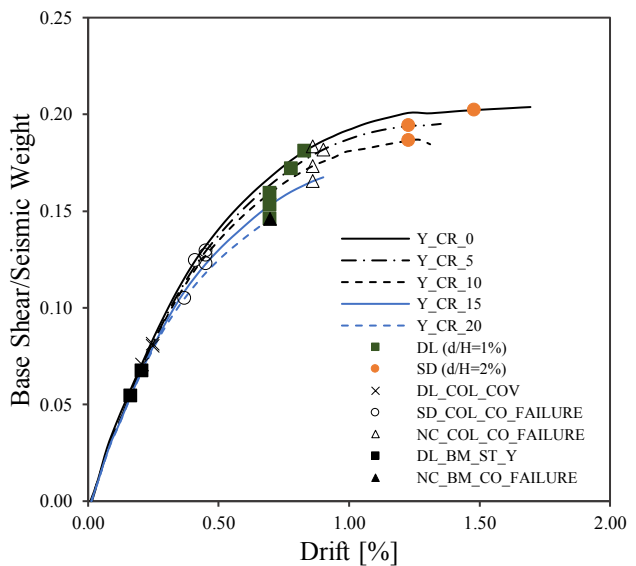
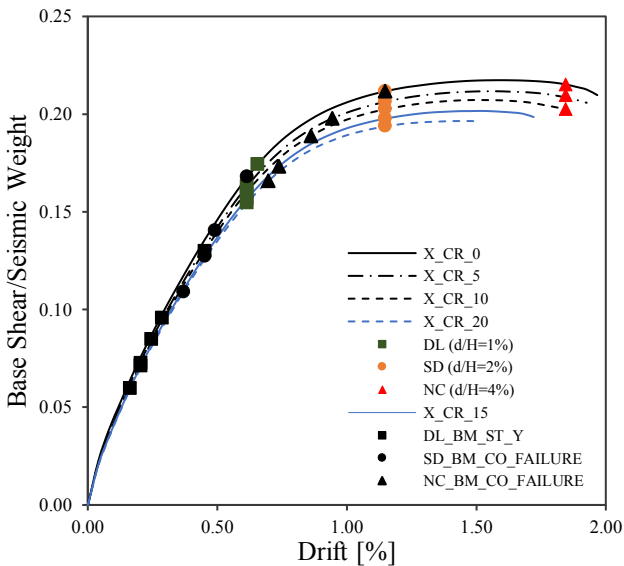
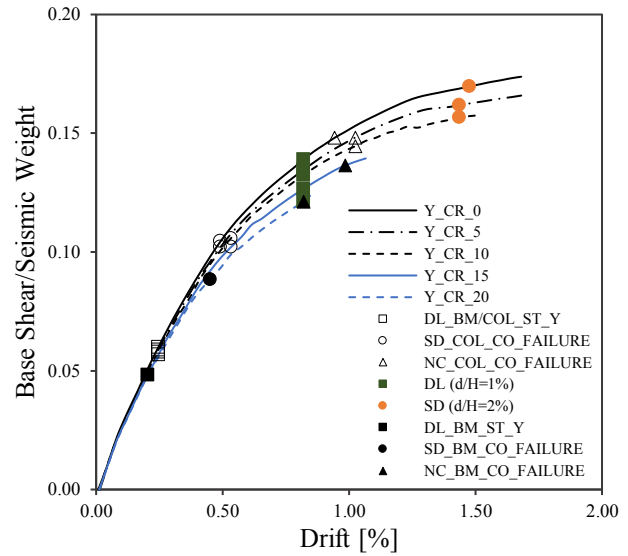
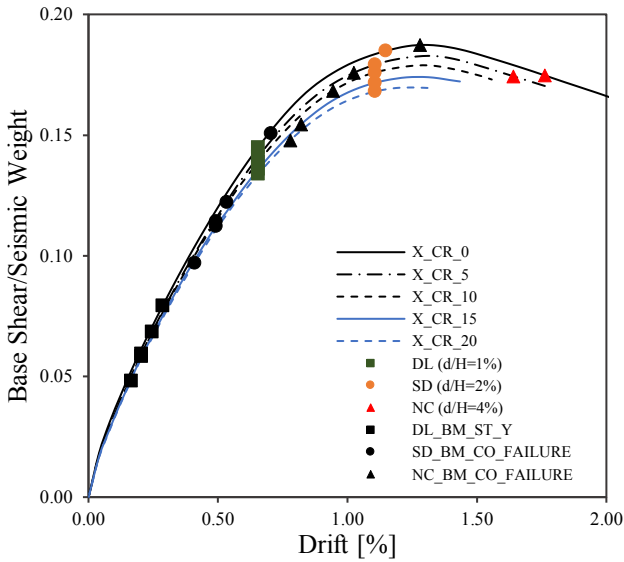
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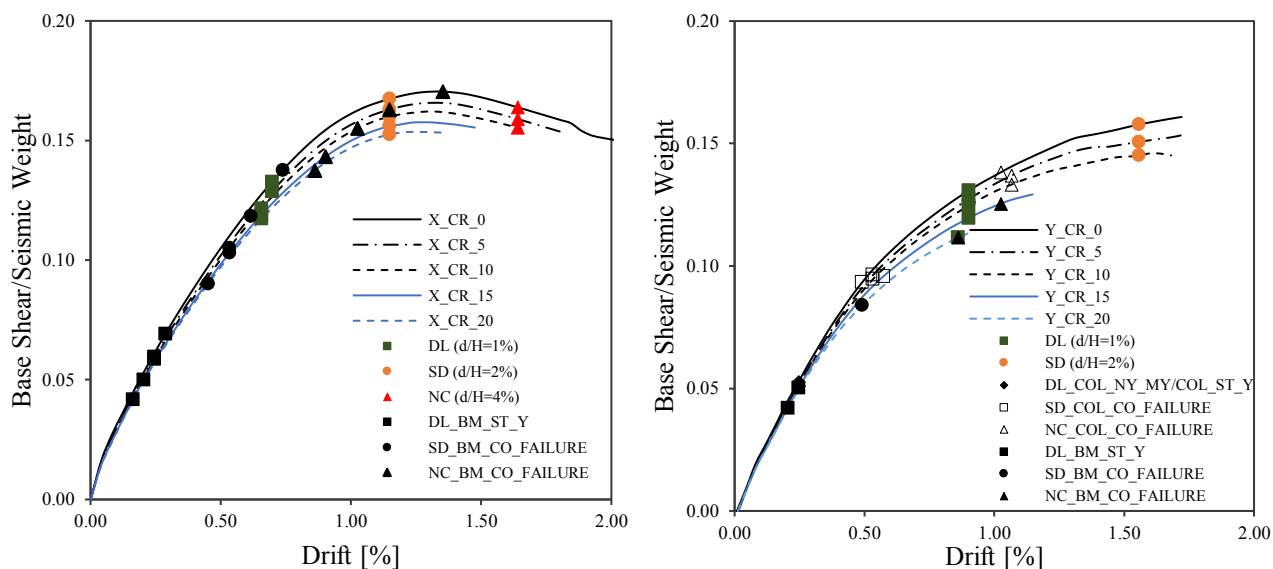
### 582 7.3.2 PUSHOVER ANALYSIS OF THE RC STRUCTURES WITH BEAMS EXPOSED TO 583 CORROSION

584

585 In this section, the seismic performance of the testbed building with corroded beams was investigated.  
 586 Noticeably, results showed a slight reduction of the base shear and the ductility in both directions.  
 587 The base shear decreased by 19% with a corrosion rate of 20% for all the lateral loading patterns,  
 588 while the structure was able to withstand horizontal load with a decrease of base shear lesser than  
 589 15% along the y-axis. Furthermore, Figures 17 show that the structure was able to comply with the  
 590 seismic performance required by the Limit States for all the lateral loading patterns until a corrosion  
 591 rate of 15%, while was not able to fulfil the seismic requirements along the y-axis regardless the  
 592 corrosion rate. In terms of ductility, there is a slight reduction even when the structure was exposed  
 593 to highly corrosive environments allowing the building to sustain seismic loads, resist extensive  
 594 damage and contain the earthquake energy. Steel yielding in beams is the first consequence of the  
 595 corroded beams, which becomes critical for corrosion levels greater than 10% whereas the structure  
 596 cannot satisfy the Limit State DL. Although the columns were not exposed to corrosion, cover  
 597 concrete failure in columns is the first limit condition for the Limit State SD, while cover concrete  
 598 failure in beams seems to govern this Limit State only for high levels of corrosion. The failure of the  
 599 concrete core in beams and columns was the local parameter, among the others, checked against the  
 600 performance levels required by the Limit State NC. It is also noteworthy that the seismic performance,

601 as well as the Limit State checks, are directly related to the different lateral loading patterns. The  
 602 main observations that arise from the response of each pushover curve are that local parameters  
 603 developed in different points for different lateral loads, and, in some cases, they do not comply with  
 604 the specific requirements specified by modern seismic-based technical codes. Although beams mainly  
 605 seem to undergo damage, repair-solutions should also focus on columns that reached the limit  
 606 conditions, especially for the limit states NC and SD.





607 Figure 17. a) Adaptive Pushover (X-Y Directions); b) Lateral Loading proportional to the acceleration distribution (X-Y  
 608 Directions); c) Uniform Pattern (X-Y Directions)

609

610 Table 6 sums up the results for the overstrength, ductility and behaviour factor with the increased  
 611 level of the corrosion rate. It can be noted a slight decrease in the ductility along the x-axis while a  
 612 relevant decrease of 44% along the y-axis. The reduction of the overstrength appears to be negligible  
 613 in both assumed directions (x and y). The cause of this minor decrease can be found in the local  
 614 parameters where the corrosion attack does not reduce substantially the properties of the beams, and  
 615 the first limit condition was reached in the columns which are uncorroded. The reduction of the  
 616 yielding stress in beams caused by corrosion can enhance the dissipation of the RC framed structures.  
 617 When beams yield earlier than columns, then the energy dissipation capacity of the framed structure  
 618 is higher. This case study demonstrated that the damage caused by corrosion in beams is lower In  
 619 comparison with the scenario where only columns were subjected to corrosion, and the building is  
 620 still able to exploit its shear capacity. As a result, if corrosion occurs, the RC columns are the first  
 621 elements to be retrofitted as the shear capacity dramatically decreases by half of its initial capacity  
 622 compared to the small shear reduction of the RC building with corroded beams.

623

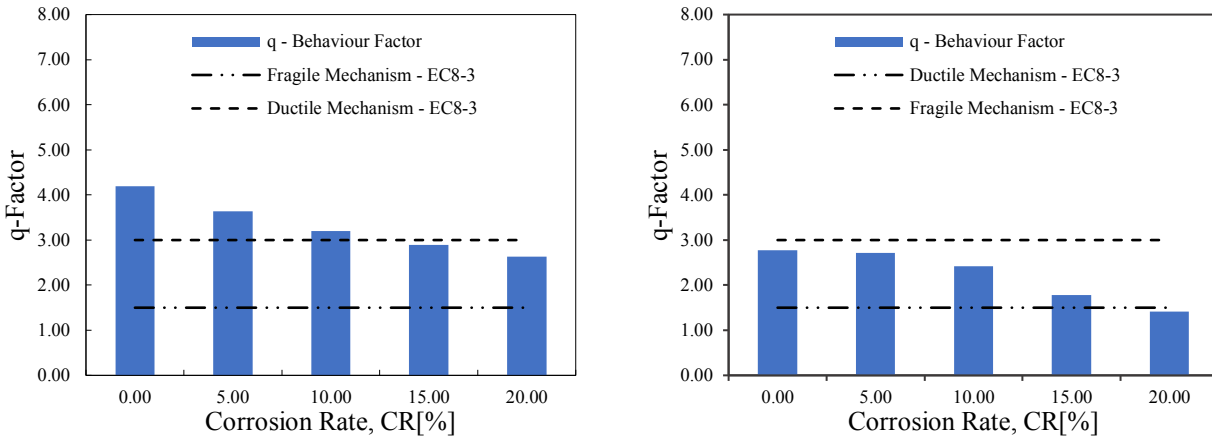
624

Table 6. Translation Ductility, Overstrength and Force-Reduction Factors.

CR [%]	$\mu_x$	$\mu_y$	$\Omega_x$	$\Omega_y$	$q_{x-Mean}$	$q_{y-Mean}$
0	2.43	1.87	1.73	1.59	4.20	2.77
5	2.15	1.77	1.69	1.53	3.63	2.72
10	2.11	1.61	1.65	1.45	3.20	2.42
15	1.91	1.44	1.61	1.29	2.89	1.78
20	1.80	1.05	1.57	1.16	2.63	1.41

625

626 Furthermore, Table 6 shows the variation of the q-factor with the increase of the corrosion percentage.  
 627 There is a consistent reduction of the q-factor, 37% and 49% in both directions, as the corrosion  
 628 penetration goes deeper into the RC members.



629 Figure 18. q-Factor vs Corrosion rate (Y-Axis & X-axis)

630

631 The trends in Figures 18 show that corroded beams have a less impact in comparison with corroded  
 632 columns. Particularly, the building is still able to exhibit a ductile failure mechanism along the x-axis,  
 633 while cannot comply with the limit specified by the Eurocode along y-axis. The last observation  
 634 indicates that the impact of corrosion is strongly affecting the deformation capacity of the building.

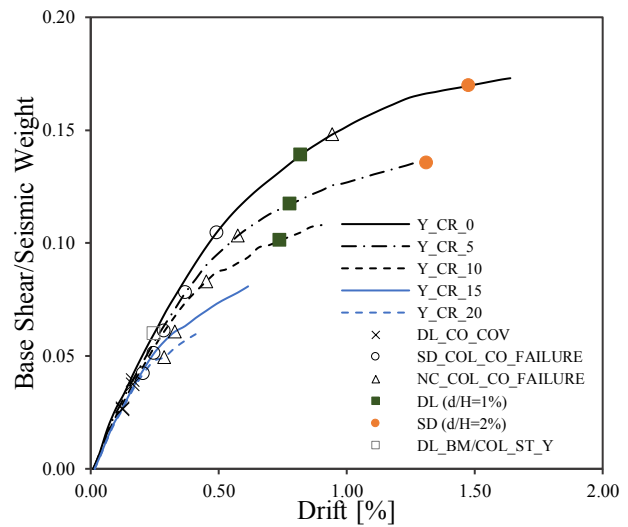
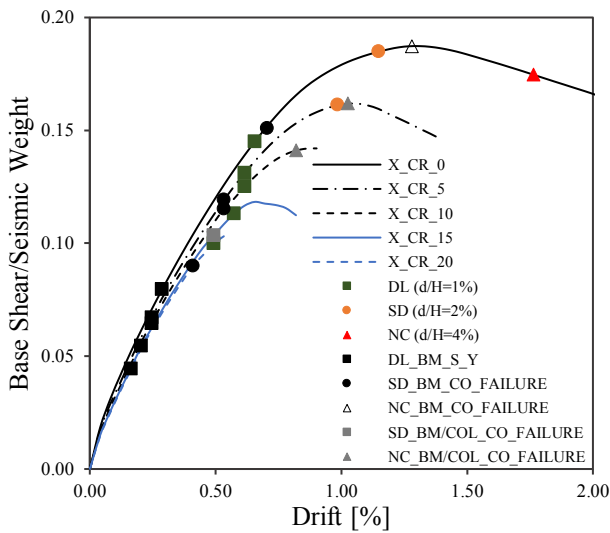
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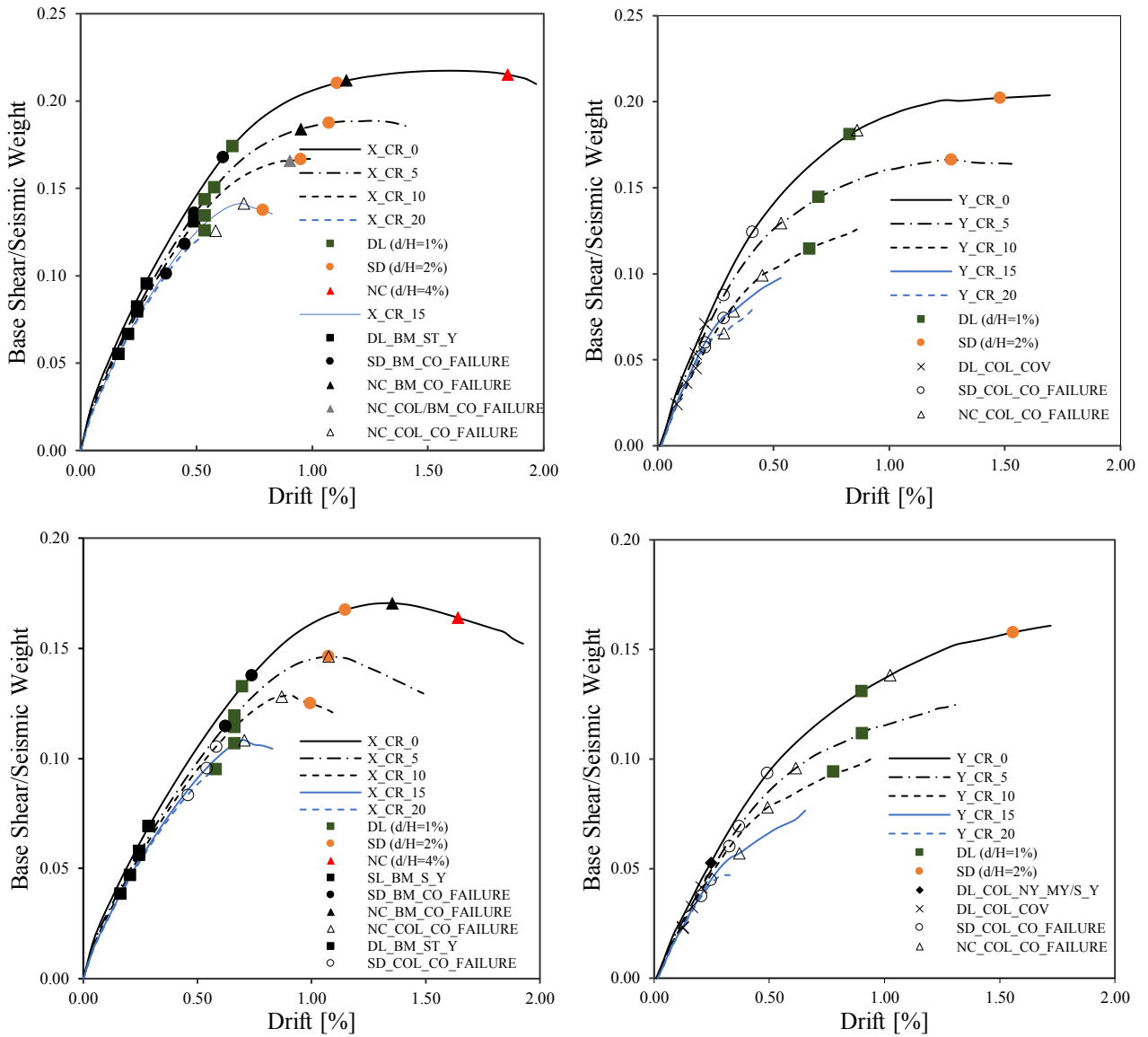
### 636 7.3.3 PUSHOVER ANALYSIS OF THE RC STRUCTURE WITH BEAMS AND COLUMNS 637 EXPOSED TO CORROSION

638

639 The seismic performance of the entire structure exposed to corrosion is discussed hereafter. Beams  
 640 and columns were subjected to a full-sided exposure which entails the maximum reduction of the  
 641 compressive strength of an RC component. Results in Figures 19 clearly showed a significant  
 642 reduction in both base shears and ductility for all the lateral loading patterns. Low and high levels of  
 643 corrosion considerably reduced the capacity of the structure to resist seismic loads and dissipate the  
 644 earthquake energy with extensive damage and unacceptable strength. The corrosion rate of 5%  
 645 reduced the base shear by 20%, while highly corrosive environments, between 15% and 20%,  
 646 weakened the structure in both directions changing its failure mode from ductile to brittle. In addition  
 647 to this, the building was not able to fulfil the seismic requirements for the Limit State NC, and for the  
 648 limit states SD and DL with a corrosion rate of 20%. The ductility was strongly affected by the  
 649 increased level of corrosion, especially along the y-axis. As a result, a change in the failure mode of  
 650 the structure was noted. The building with a corrosion level greater than 10% could not withstand  
 651 large inelastic deformation showing a brittle behaviour and large damage. In terms of local

652 parameters, steel yielding of beams along the x-axis and cover spalling of columns along the y-axis  
 653 are the main causes of the increasing corrosion level for all the lateral loading patterns and,  
 654 particularly, steel yielding of the beams does not comply with the limit state DL when corrosion level  
 655 is greater than 10% along the x-axis. At the same time, the cover spalling of the columns does not  
 656 respect the seismic requirement for DL regardless of the corrosion percentage. Concrete failure of the  
 657 cover for columns and beams remains the main parameter, along the x-axis, to be checked against the  
 658 Limit State SD with the increase of the corrosion penetration while columns become more vulnerable  
 659 along the y-axis whereby the building is noticeably not able to fulfil the seismic requirement for SD  
 660 when corrosion occurs. The Limit state NC was governed by the concrete core failure of the columns  
 661 in both directions. As a result, a repair solution should focus on strengthening the columns, which are  
 662 the main RC components to be vulnerable when the entire building is exposed to highly aggressive  
 663 environments. The reduction of the base shear is obviously greater than the other case-studies  
 664 presented so far as the corrosion attack is acting on the entire structure internally and externally and,  
 665 particularly, equals to more than 55% compared to 39% and 20% for only corroded columns and only  
 666 corroded beams respectively.





667 Figure 19. a) Adaptive Pushover (X-Y directions); b) Lateral Loading proportional to the acceleration distribution (X-Y  
 668 directions); c) Uniform Pattern (X-Y directions)

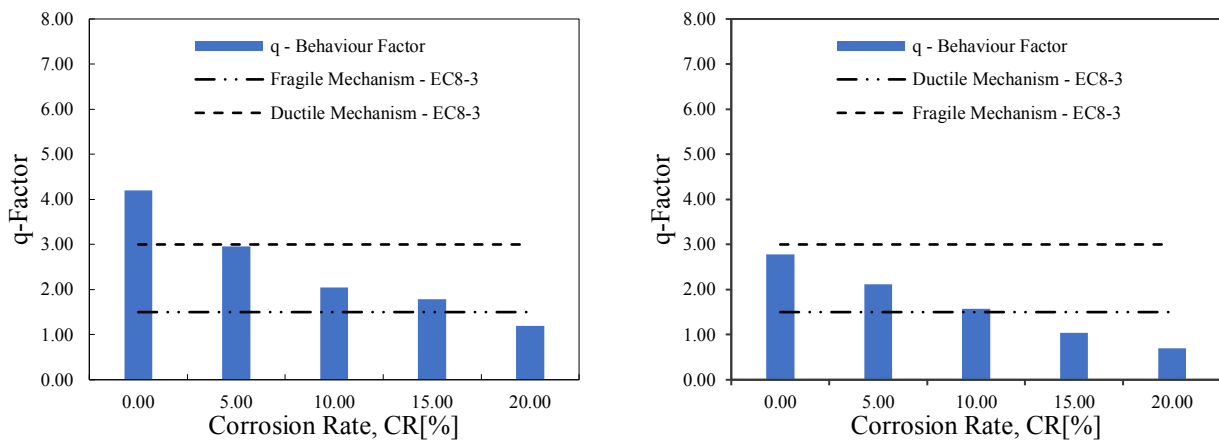
669  
 670 The global translation ductility significantly decreased in both directions, x and y, as can be seen in  
 671 Table 7. Particularly, the increased level of corrosion reduced the capacity of the structure to exploit  
 672 its resistance to inelastic deformation between 48% and 32%, respectively. In addition, Table 7  
 673 illustrates the reduction of the global overstrength with the increase of the corrosion rate.

674  
 675 Table 7. Translation Ductility, Overstrength and Force-Reduction Factors.

CR [%]	$\mu_x$	$\mu_y$	$\Omega_x$	$\Omega_y$	$q_{x-Mean}$	$q_{y-Mean}$
0	2.43	1.87	1.73	1.59	4.20	2.77
5	2.01	1.64	1.49	1.25	2.96	2.12
10	1.59	1.58	1.31	1.00	2.04	1.58
15	1.63	1.40	1.09	0.75	1.79	1.05
20	1.26	1.27	0.95	0.55	1.19	0.70

676

677 From the results in Table 7, the behaviour factor significantly decreased with the increased level of  
678 corrosion, which does not allow the structure to exploit its initial inelastic deformation capacity. For  
679 a level of corrosion lesser than 10%, the reduction was 40% along the x-axis and 42% along the y-  
680 axis, while for high levels of corrosion the q-factor decreased by half of its initial uncorroded value.  
681 This scenario is undoubtedly the worst case compared to the above-illustrated two case-studies  
682 because the corrosion is applied both on columns and beams. However, the columns are still the  
683 primary members to be retrofitted as the performance points are reached in these components earlier  
684 than beams. The reduction of the overstrength of the RC building cannot prevent the RC building  
685 from moving to brittle failure modes without any warning.



686

Figure 20. q-Factor vs Corrosion rate (Y-Axis & X-axis)

687

688 Figure 20 illustrates the values of the behaviour factor against the corrosion rate compared with the  
689 failure mechanisms specified by the Eurocode 8. It is evident that the entire structure exposed to  
690 corrosion shifts its failure mode from ductile to brittle, even for low-corrosive environments (CR [%]  
691 =5%)

692

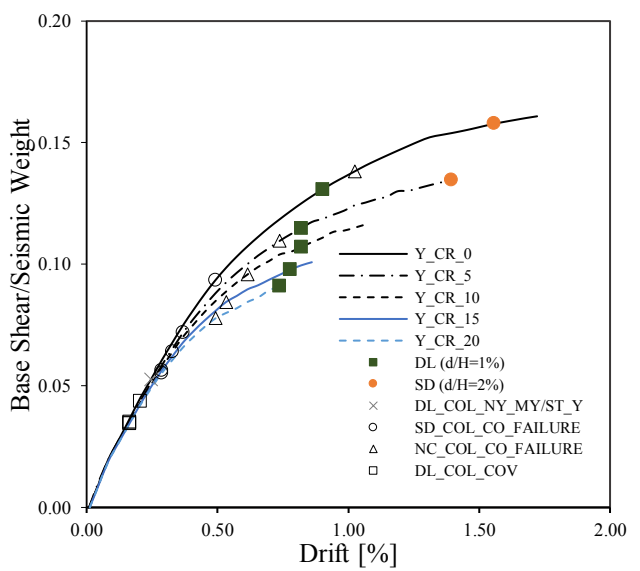
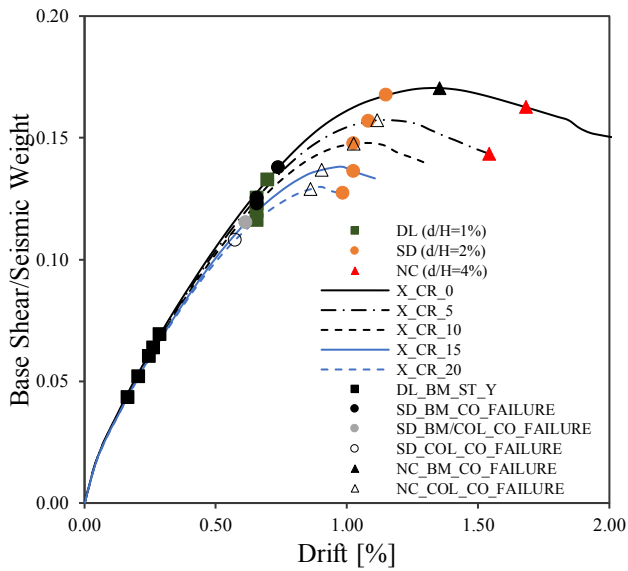
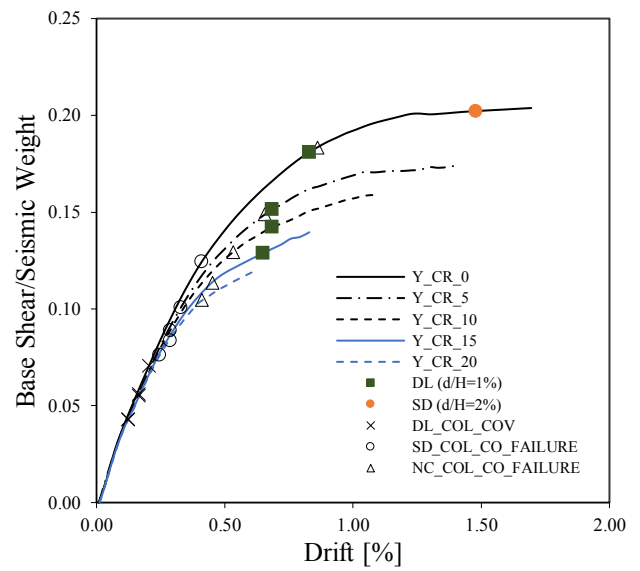
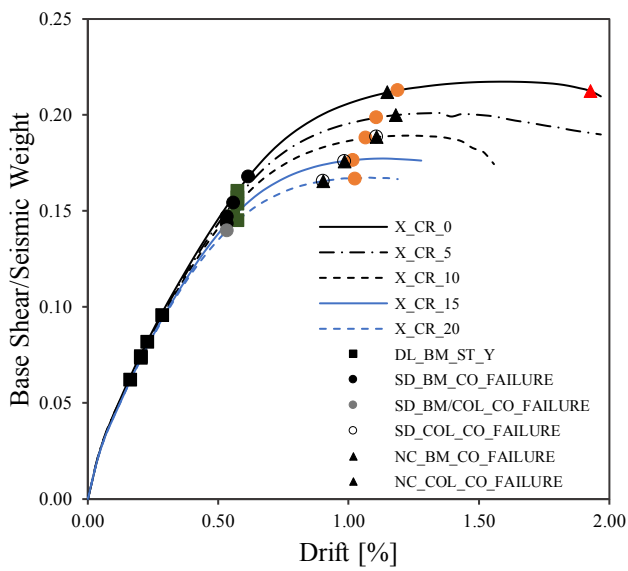
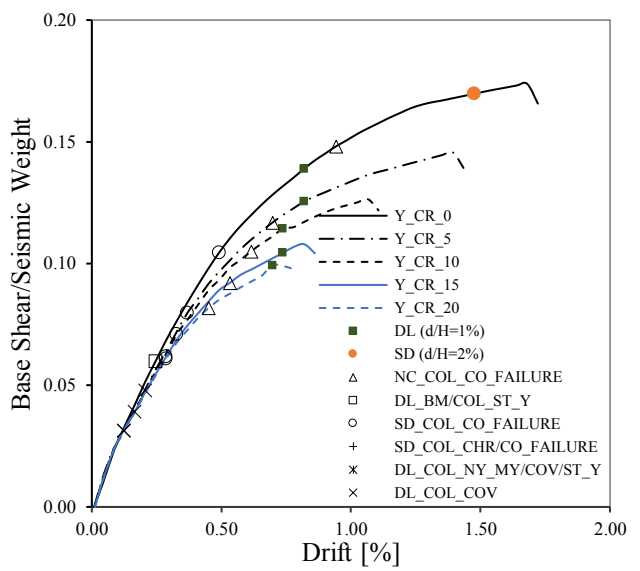
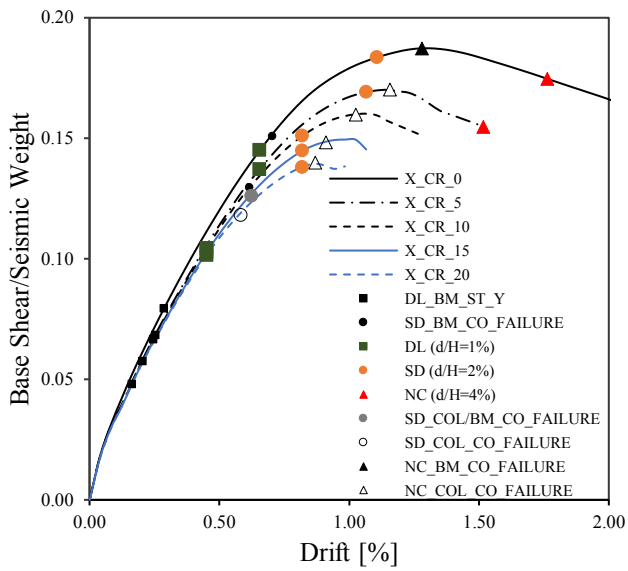
### 693 7.3.4 PUSHOVER ANALYSIS OF THE RC STRUCTURE WITH EXTERNAL EXPOSURE

694 Albeit, all the scenarios presented so far are technically interesting because it allows to evaluate the  
695 seismic performance of an existing RC building with some components exposed to corrosion, they  
696 do not correspond to a real case as internal infills protects ordinary buildings. As a result, only the  
697 external components are directly exposed to destructive physical and chemical agents. In this section,  
698 external columns and beams were subjected to the corrosion attack and, particularly, the mechanical  
699 properties of the corner columns were reduced considering a three-sided attack, while a two-sided  
700 attack was considered for the beams and the remain columns. These two case-attacks are globally and  
701 locally different from the other scenarios above-mentioned as the building could still exploit the

702 strength of uncorroded RC components, and the reduction of concrete's compressive strength is less  
703 because of three and two-sided corrosion penetration if compared to a full-sided attack. **Furthermore,**  
704 **the model does not account for any additional effects from infilled walls.** Results in Figures 21  
705 showed a moderate decrease of the base shear in both directions, which seem to linearly reduce until  
706 the corrosion rate of 20% with a maximum reduced base shear equal to the 14% of the seismic weight  
707 with a base shear loss around 27% compared to the uncorroded building. The ductility is obviously  
708 affected by the highly corrosive environments without substantially changing its failure mode.  
709 Furthermore, the structure is able to comply with the seismic performance required by the limit states  
710 along the x-axis with the corrosion rates lower than 10%, while corrosion rate greater than 10% do  
711 not allow the structure to reach the limit State NC along the y-axis. In terms of local parameters, cover  
712 spalling and Ny-My pair for columns are the main consequences of the increase in the corrosion rate,  
713 while steel yielding for beam becomes critical with a highly corrosive environment. The structure  
714 does not fulfil the limit state DL for a corrosion rate greater than 10% for all the lateral loading  
715 patterns and in both directions.

716 On the other hand, concrete cover failure and concrete core failure govern the limit states SD and NC,  
717 which is critical with a corrosion rate between 15% and 20% along the x-axis and greater than 5%  
718 along the y-axis. Table 8 shows that the global translation ductility decreased by 20% along the y-  
719 axis, which still allows the structure to resist large inelastic deformation, and significantly by 34%  
720 along the y-axis with the increase of the corrosion rate. The global overstrength demonstrated a slight  
721 decay with the increased level of corrosion. The reduction of the shear strength appears to be lesser  
722 compared to the building with corroded columns, and greater with corroded beams. Finally, even if  
723 the impact of corrosion on the ductility is still significant, the existing building is more able to  
724 dissipate energy and exploit its inelastic deformation capacity compared to the other three exposure-  
725 cases.





726 Figure 21. a) Adaptive Pushover (X-Y directions); b) Lateral Loading proportional to the acceleration distribution (X-Y  
727 directions); c) Uniform Pattern (X-Y directions)

728

729 Table 8 summarizes the values of the overstrength, the translation ductility and the behaviour factors  
 730 obtained from the nonlinear static analyses. The latter parameters are given as an average of all lateral  
 731 loading patterns herein considered.

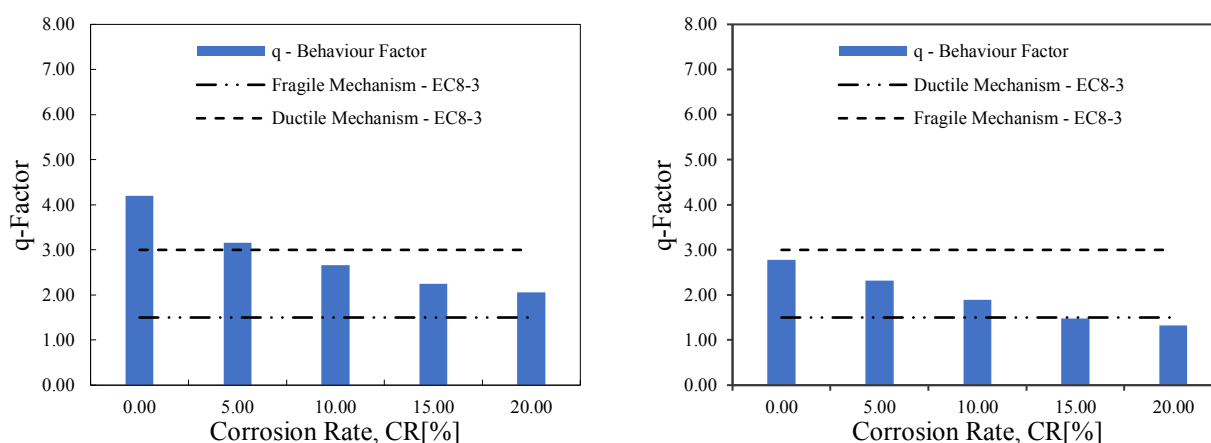
732

733 Table 8. Translation Ductility, Overstrength and Force-Reduction Factors

CR [%]	$\mu_x$	$\mu_y$	$\Omega_x$	$\Omega_y$	$q_{x-Mean}$	$q_{y-Mean}$
0	2.43	1.87	1.73	1.59	4.20	2.77
5	2.04	1.73	1.57	1.34	3.16	2.32
10	1.82	1.62	1.48	1.17	2.66	1.89
15	1.65	1.53	1.38	1.00	2.25	1.47
20	1.60	1.62	1.29	0.92	2.06	1.54

734

735 The global ductility of existing RC buildings was checked with the values defined by EC8-3, 1.5 and  
 736 3.0 for fragile and ductile mechanisms, respectively.



737

Figure 22. q-Factor vs Corrosion rate (Y-Axis & X-axis)

738

739 Figures 22 show the q-factor for different levels of corrosion. Results obviously demonstrated that  
 740 the impact of corrosion lowers the q-factor in both directions forcing the analyzed existing building  
 741 to brittle failures, especially for levels of corrosion greater than 10%. The maximum reduction of the  
 742 q-factor was 34% for a corrosion rate of 20%. Compared to the results obtained from the previously  
 743 investigated cases, the behaviour factors are greater in both directions, which means that the real case  
 744 does not represent the worst scenario, and the uncorroded RC members may help to preserve the  
 745 safety.

746

## 747 7.4 NONLINEAR DYNAMIC ANALYSIS

### 748 7.4.1 EARTHQUAKE INPUT CHARACTERISTICS

749 Nonlinear Dynamic analysis is commonly used to predict the inelastic response of structures  
 750 subjected to earthquake ground motions. The results are herein presented in terms of Mean-Relative

751 Storey-Displacements, Maximum Base Shear and Maximum Displacement at the top of the building  
 752 (Figure 8a and Figure 8b) and checked against the Drift Limits stated in Eurocode (EN 1998-1 (2004)  
 753 and provisions (FEMA 356, 2000). All the storey-displacements have been combined using the  
 754 following formulation:

$$D_{tot} = \sqrt{D_x^2 + D_y^2} \quad (19)$$

755 The time-history analyses were carried through the selection of real-ground motions (Eurocode 8-  
 756 Part 1 Sec. 3.2.3) using the spectrum-compatibility rules. A reliable software called REXEL  
 757 [Iervolino et al. (2010)] has been utilized for generating the spectrum-compatibility signals. The  
 758 selection of seven real-ground motions was conducted using the structural periods  $T_2$  and  $T_3$  for the  
 759 x-axis and y-axis, respectively, and for all the limit states. Finally, the ground motions were then  
 760 chosen based on the greatest average PGAs among the two structural periods and inserted into the  
 761 model. Table 9 shows the seismological parameters of the natural ground motions for each limit state,  
 762 such as PGA, duration, predominant period and arias intensity.

763

764

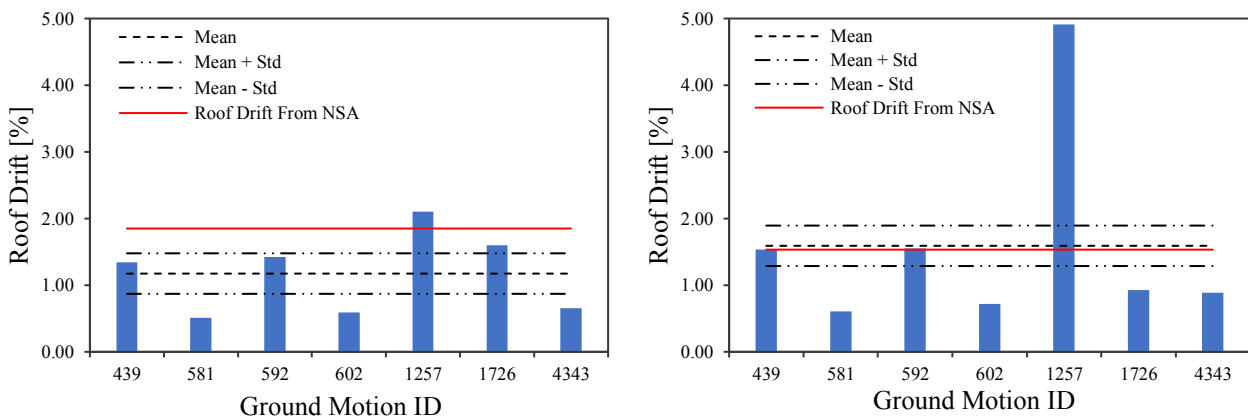
Table 9. Seismological Parameters of the Ground Motions

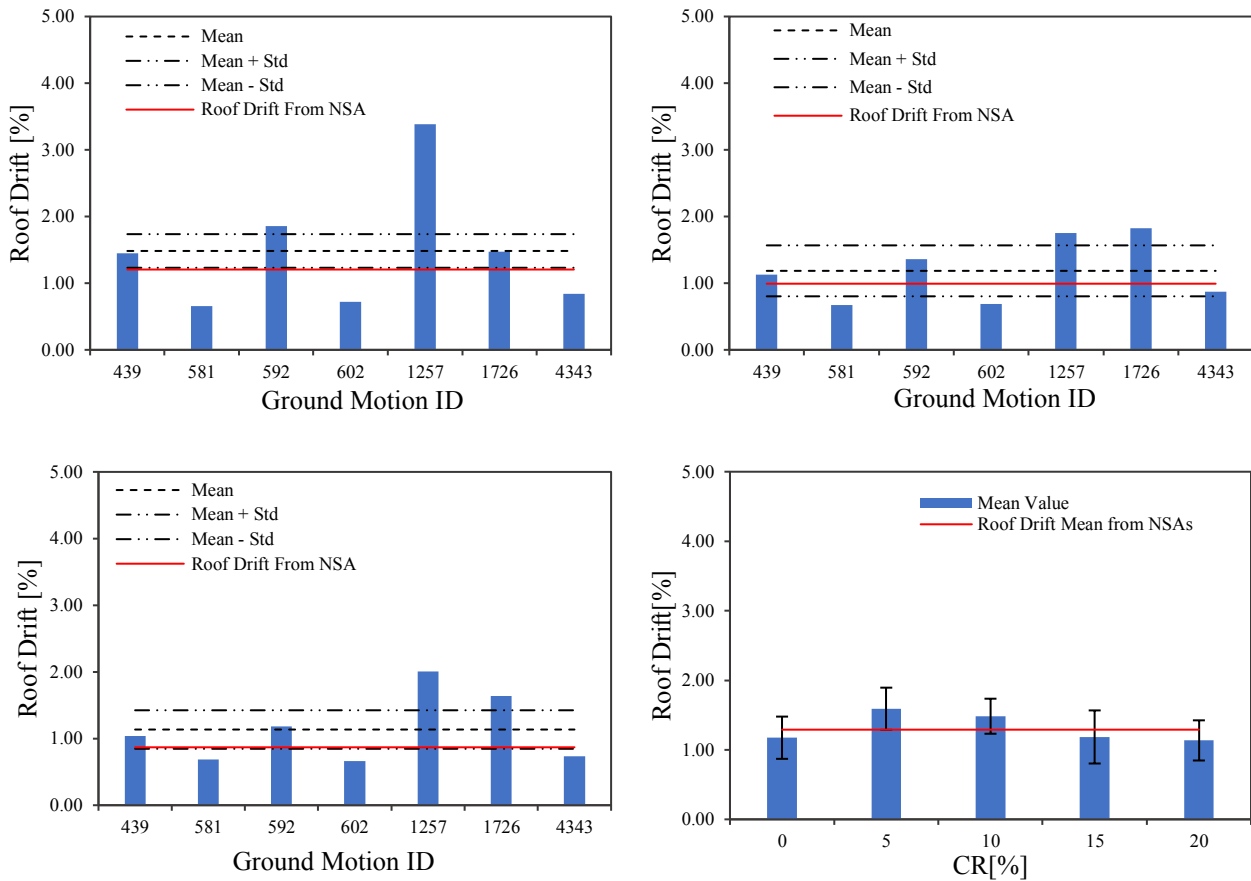
Limit State	Waveform ID	Mw	PGA <sub>x</sub> [m/s <sup>2</sup> ]	PGA <sub>y</sub> [m/s <sup>2</sup> ]	Duration <sub>x</sub> [sec]	Duration <sub>y</sub> [sec]	AI <sub>x</sub> [m/sec]	AI <sub>y</sub> [m/sec]	Pred. Per. X [sec]	Pred. Per. Y [sec]
Limited Damage	439	6.70	1.79	1.80	8.83	10.47	30.21	25.14	0.30	0.36
	581	5.40	1.72	1.96	8.92	9.02	18.81	19.34	0.46	0.40
	592	6.00	1.95	2.18	9.71	11.56	47.18	41.83	0.16	0.08
	4343	7.60	1.08	1.12	39.45	38.99	23.98	31.92	0.64	0.44
	602	6.00	1.14	1.07	11.73	11.45	8.23	9.58	0.14	0.14
	1726	6.30	2.16	2.64	13.01	13.24	86.24	96.86	0.66	0.52
	1257	7.60	2.90	2.39	32.15	33.46	146.44	138.01	0.26	0.52
Significant Damage	333	6.60	2.26	3.04	15.43	13.85	61.54	78.84	0.52	0.26
	1726	6.30	2.16	2.64	13.01	13.24	86.24	96.86	0.66	0.52
	439	6.70	1.79	1.80	8.83	10.47	30.21	25.14	0.30	0.36
	592	6.00	1.95	2.18	9.71	11.56	47.18	41.83	0.16	0.08
	1254	7.60	1.76	1.56	32.24	34.37	90.24	63.83	0.54	0.38
	1257	7.60	2.90	2.39	32.15	33.46	146.44	138.01	0.26	0.52
	591	5.70	3.38	2.56	5.28	5.42	68.80	53.33	0.18	0.34
Near Collapse	42	5.80	5.15	2.50	5.00	4.85	130.69	95.72	0.50	0.25
	7329	6.10	4.12	3.75	4.96	5.52	133.96	108.51	0.46	0.38
	879	6.40	2.67	3.13	16.81	15.54	157.53	187.01	0.34	0.30
	1226	7.60	3.04	3.54	11.81	11.12	108.48	125.88	0.38	0.28
	1560	7.20	7.31	7.85	9.14	8.55	369.53	231.79	0.32	0.36
	5653	5.70	4.34	3.97	2.63	2.40	84.87	75.76	0.12	0.10

765

#### 766 7.4.2 TIME-HISTORY ANALYSES OF THE RC FRAME WITH EXTERNAL EXPOSURE 767 (LIMITED DAMAGE – DL)

768 The results for the most realistic case of the RC structure with external members exposed to different  
769 levels of corrosion is herein further investigated. Natural Ground-motions for the limit state DL were  
770 used to perform non-linear dynamic analyses. Nonlinear dynamic analyses of the model have been  
771 performed for the seven records. The comparison of the mean-relative top displacements versus the  
772 corrosion rate obtained from the numerical simulations can be seen in Figures 36. The mean-  
773 maximum top displacements were computed in both directions, x and y, from the Nonlinear Static  
774 analyses for all the corrosion rates, which was used as an upper bound to check if pushover analyses  
775 were able to provide a reliable maximum displacement for the nonlinear dynamic analyses after which  
776 the structure fails. Results clearly showed a different behaviour due to diverse level and impact of  
777 corrosion on the existing building. The top displacement for each earthquake event increased with a  
778 corrosion rate of 5% (an increment of more than 35%) as the RC structure was still able to resist  
779 despite the corrosion attack without collapsing, while corrosion level greater than 10% (an increment  
780 of more than 25% at CR=10%) caused large and extensive damage to the building. As a result, the  
781 building was unable to withstand large displacement and failed earlier than the uncorroded case.  
782 Moreover, Figure 23 shows that the maximum displacement from the Nonlinear Static analyses can  
783 be used as an upper bound to predict with excellent accuracy the failure of the structure when an event  
784 occurs, and the structure is exposed to different levels of corrosion.

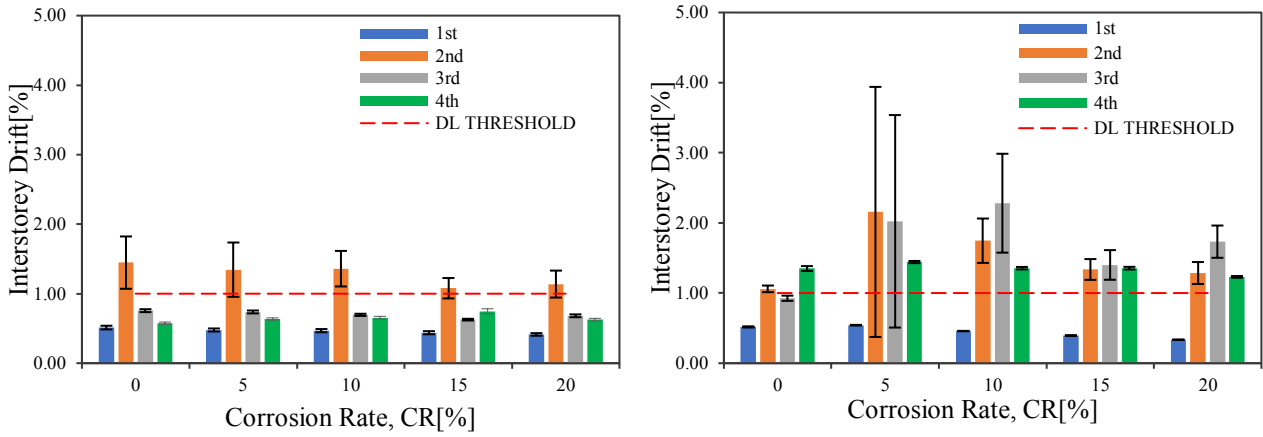




785 Figure 23. Relative Top Displacement vs Corrosion Rate. a) CR [%] =0; b) CR [%] =5; c) CR [%] = 10; d) CR [%] =  
 786 15; e) CR [%] = 20; f) Mean Values

787

788 Inter-storey drift is a relevant parameter in terms of structural response as it is related to the damage  
 789 sustained by buildings during earthquakes and its distribution along the building height can be very  
 790 useful also to identify soft-storey mechanisms (Elshanai and Di Sarno, 2008). Figures 24 show the  
 791 drift profiles at the peak displacement for each floor from the numerical time-histories; it is evident  
 792 that the relative displacement goes up with the increase of the corrosion rate and, particularly, the  
 793 first and the second floor suffered a large increase in the mean displacement with a corrosion level  
 794 between 5% and 10, while the third floor slightly increased until the 20% of corrosion. The corrosion  
 795 attack causes a dramatic increase in the inter-storey drift ratio for the second and the third floors from  
 796 1.06% to 2.16% and from 0.93% to 2.02%, respectively. Despite the increase in the corrosion  
 797 penetration, the relative displacement for rates ranging from 15% to 20% seems to be decreasing, but  
 798 this is due to the failure of the structure before the earthquake event is complete. Corrosion weakens  
 799 the structure even if the attack is localized on some members, increasing the inter-storey drift and  
 800 forcing the structure to collapse earlier for a high level of corrosion.



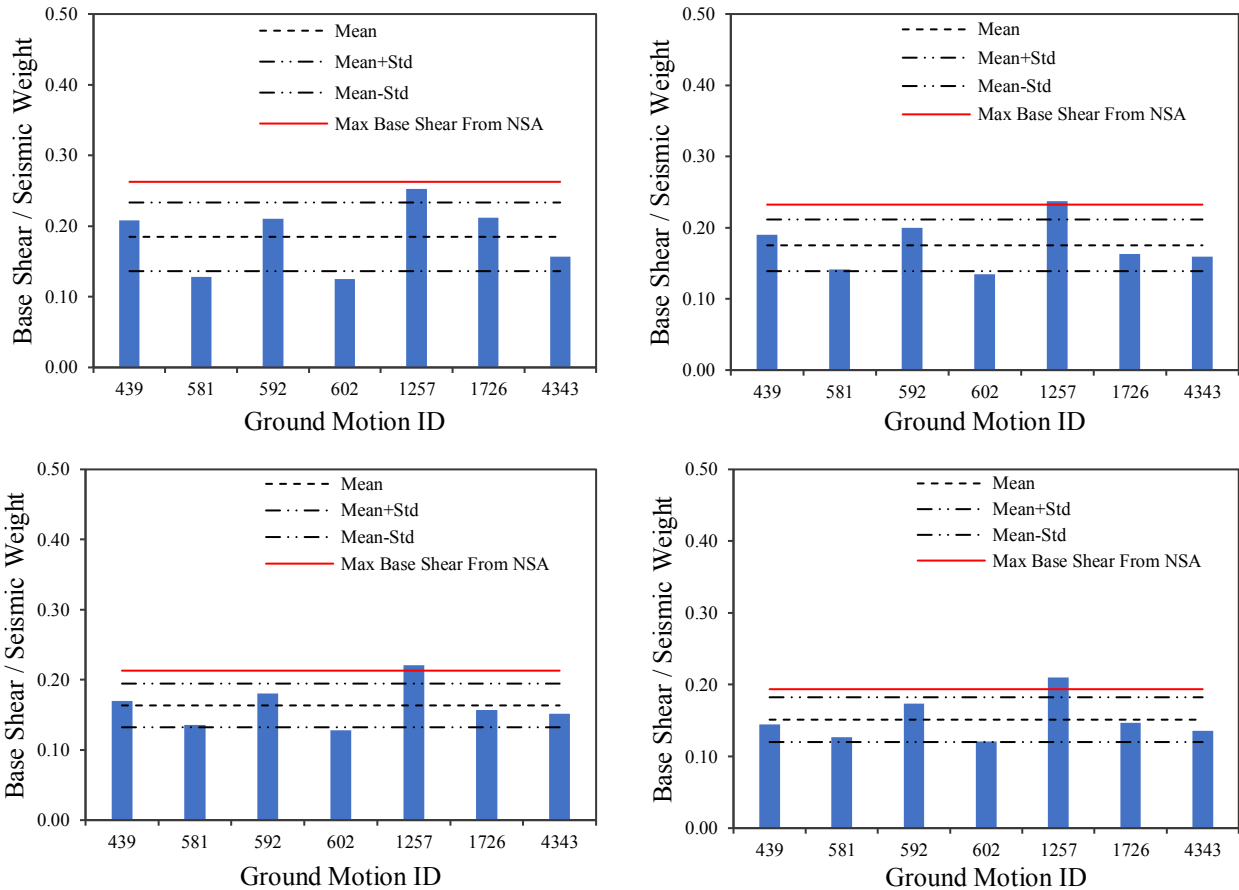
801 Figure 24. Inter-storey Drift vs Corrosion Rate. a) X-Axis; b) Y-Axis; c) Combination

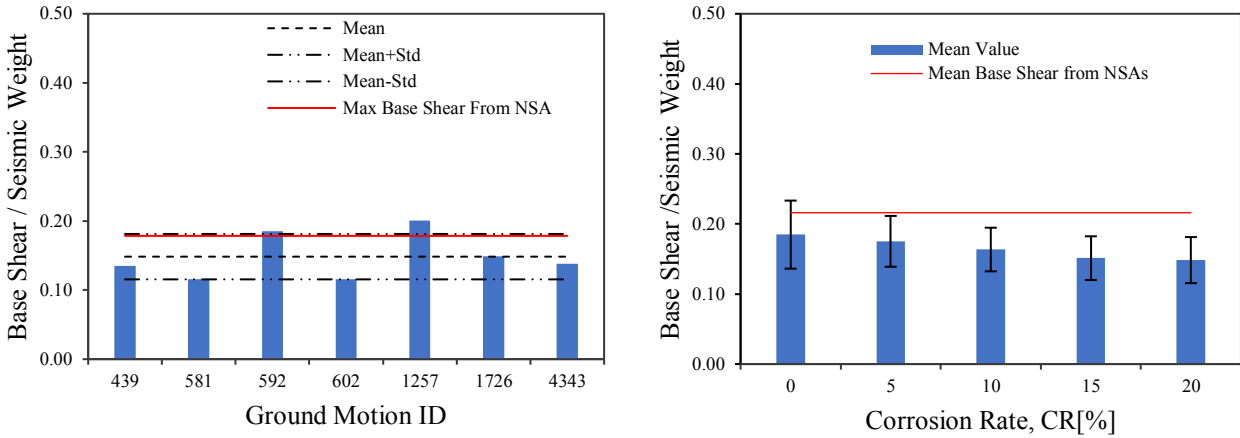
802

803 In order to represent an effective stress-state of the existing building, i.e. a state of deformation that  
 804 is directly related to the earthquake event, the maximum base shear versus the corrosion rate is  
 805 provided. Again, a combination of the base shear is given using the following relationship:

$$V_{base,tot} = \sqrt{V_{base,x}^2 + V_{base,y}^2} \quad (20)$$

806

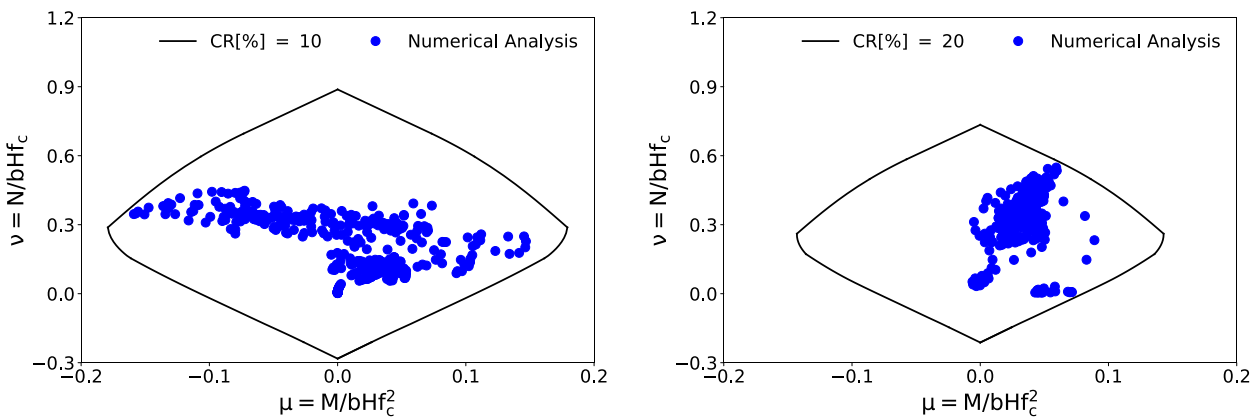




807 Figure 25. Maximum Base Shear vs Corrosion Rate. a) CR [%] =0; b) CR [%] =5; c) CR [%] = 10; d) CR [%] = 15; e)  
 808 CR [%] = 20; f) General Plot

809

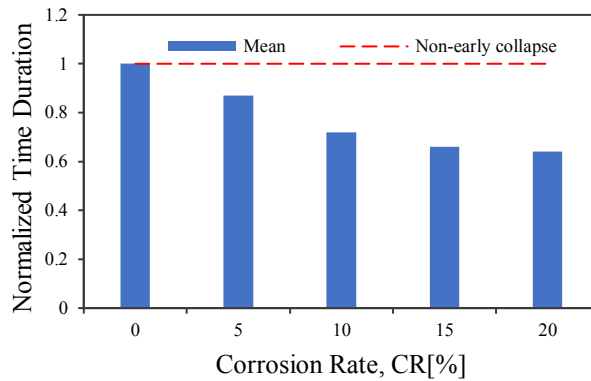
810 Figure 25 clearly showed that the increase in the corrosion rate reduced the maximum base shear of  
 811 the existing building up to 20%, which demonstrates that the structure is not more able to dissipate  
 812 the earthquake energy effectively and resist large damage for the same event. The last finding is due  
 813 mainly to the reduction of the material properties of both the concrete and steel reinforcement, which  
 814 change the global behaviour of the existing building, in terms of ductility and strength, when exposed  
 815 to the highly corrosive environment. In addition to this, Figures 25 shows the comparison between  
 816 the maximum base shear calculated as an average from the nonlinear static analyses using all three  
 817 lateral loading patterns with those computed from the nonlinear dynamic analyses. Results illustrated  
 818 that the Pushover analyses overestimate the maximum base shear of the existing building compared  
 819 to those obtained from the nonlinear dynamic analyses using the seven ground motions for the limit  
 820 state DL.



821 Figure 26. Interaction Surface M-N for the ground motions ID=1726

822 Within the analyses, each RC member that caused the failure of the building was analysed using the  
 823 proposed method based on the above-mentioned modified Interaction domain M-N and the pairs M-  
 824 N computed from the nonlinear dynamic analyses. Some results are shown in Figure 26. Clearly, the

825 outcomes show that the novel approach proposed for the interaction surface of the pair M-N  
 826 accounting for corrosion is able to predict the failure of RC members, either beam or columns, which  
 827 caused the collapse of the structure.



828  
 829

Figure 27. Mean Collapse vs Corrosion Rate

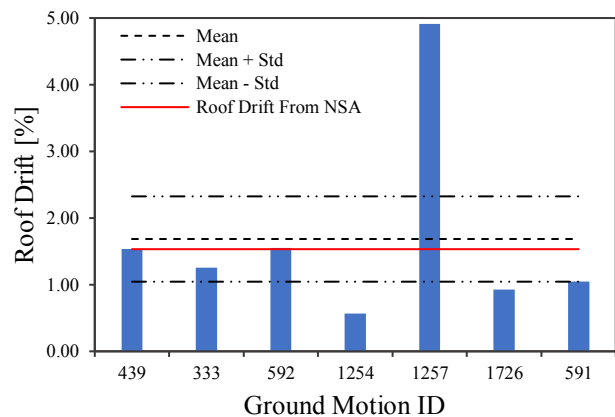
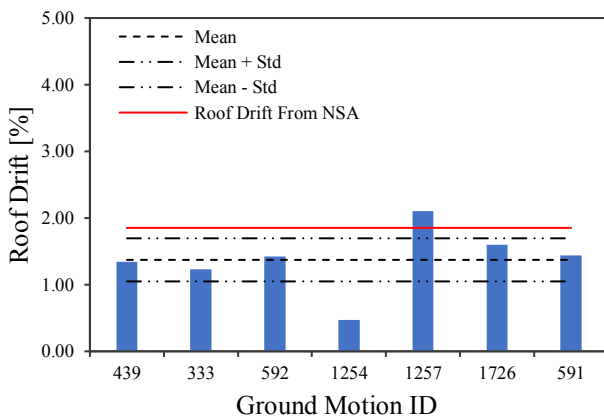
830 Figure 27 depicts the mean value of the structure failure versus the corrosion rate. The results show  
 831 that the increase in the corrosion penetration reduces the time of the structural failure, which was  
 832 mainly due to the external RC members subjected to corrosion.

833

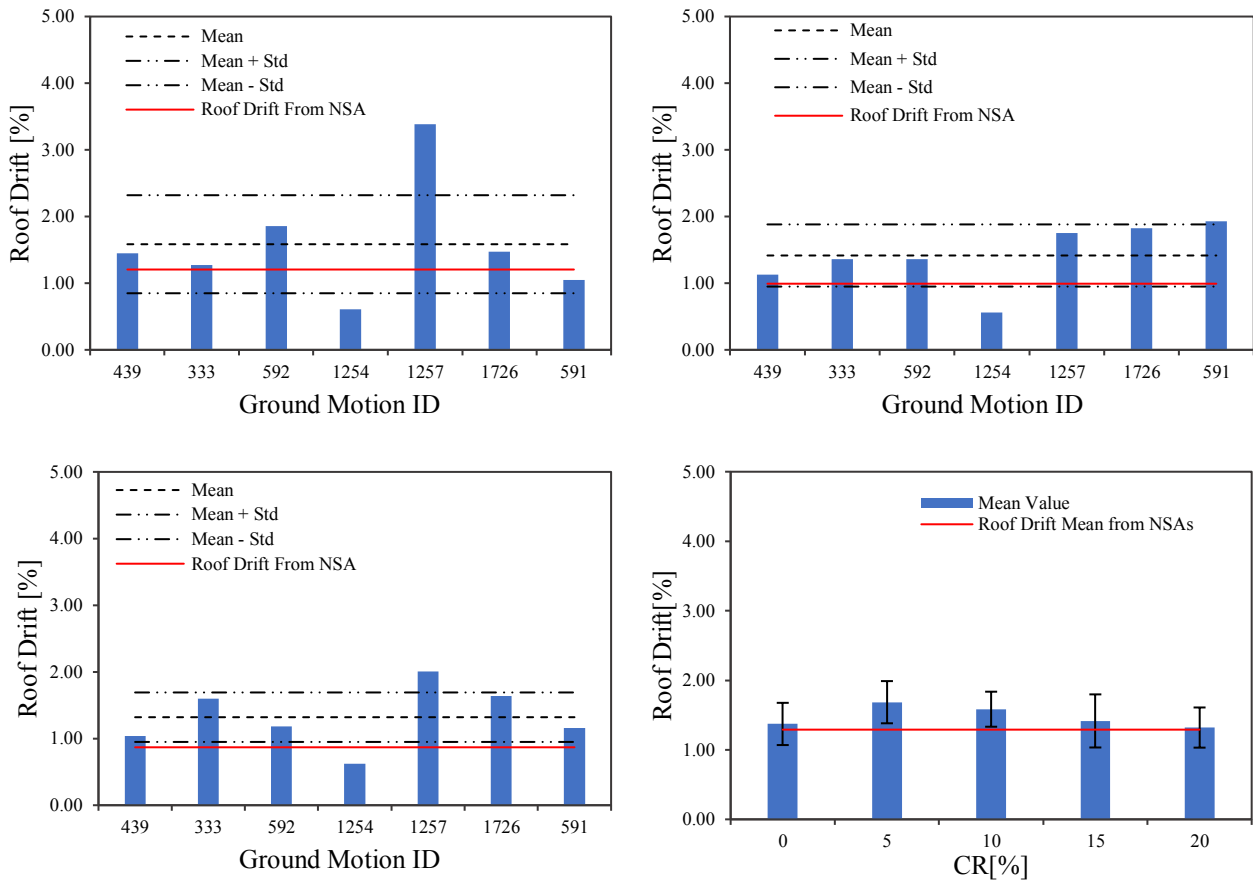
#### 834 7.4.3 TIME-HISTORY ANALYSES OF THE RC CONCRETE WITH EXTERNAL 835 EXPOSURE (SIGNIFICANT DAMAGE – SD)

836

837 Non-linear dynamic analyses were herein performed to evaluate the seismic response of the corroded  
 838 existing structure to a selection of seven ground motions for the limit state SD. The results of the  
 839 nonlinear time-history analyses were assessed considering the mean values and the standard  
 840 deviations for all the parameters previously mentioned.







841 Figure 28. Relative Top Displacement vs Corrosion Rate. a) CR [%] =0; b) CR [%] =5; c) CR [%] = 10; d) CR [%] =  
 842 15; e) CR [%] = 20; f) General Plot

843

844 Figure 28 depicts the top-drift versus the corrosion rate for all the ground motions. Results show how  
 845 the impact of corrosion increased the top-drift ratio when the structure was subjected to low levels of  
 846 corrosion (an increment of more than 22%), which demonstrates that the building was still able to  
 847 resist extensive damage, while a slight fluctuation can be noted for high levels of corrosion ranging  
 848 from 10% to 20%. The top-ground drift ratio showed a decreasing variation from 15% to 0% for  
 849 corrosion levels of 10%-to-20%. Furthermore, the maximum top displacement from the non-linear  
 850 static analyses was explicitly used as an upper bound to provide a relevant indication of the structural  
 851 collapse. This parameter clearly decreased with the increase of the corrosion rate, but it could  
 852 effectively predict the early collapse of the building. As a result, all the top-displacements from  
 853 nonlinear dynamic analyses greater than the upper bound level from the nonlinear static analyses  
 854 showed that the corroded building could not dissipate the earthquake energy and collapsed before the  
 855 earthquake event was complete. An additional plot with the mean and standard deviation to  
 856 summarize the top-ground drift ratio for all the ground motion is given in Figure 28d.

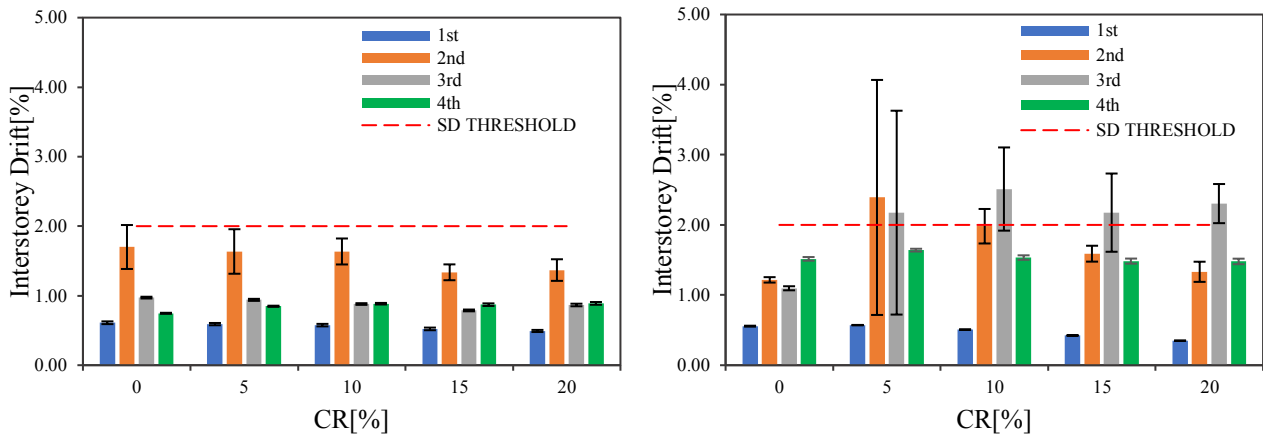
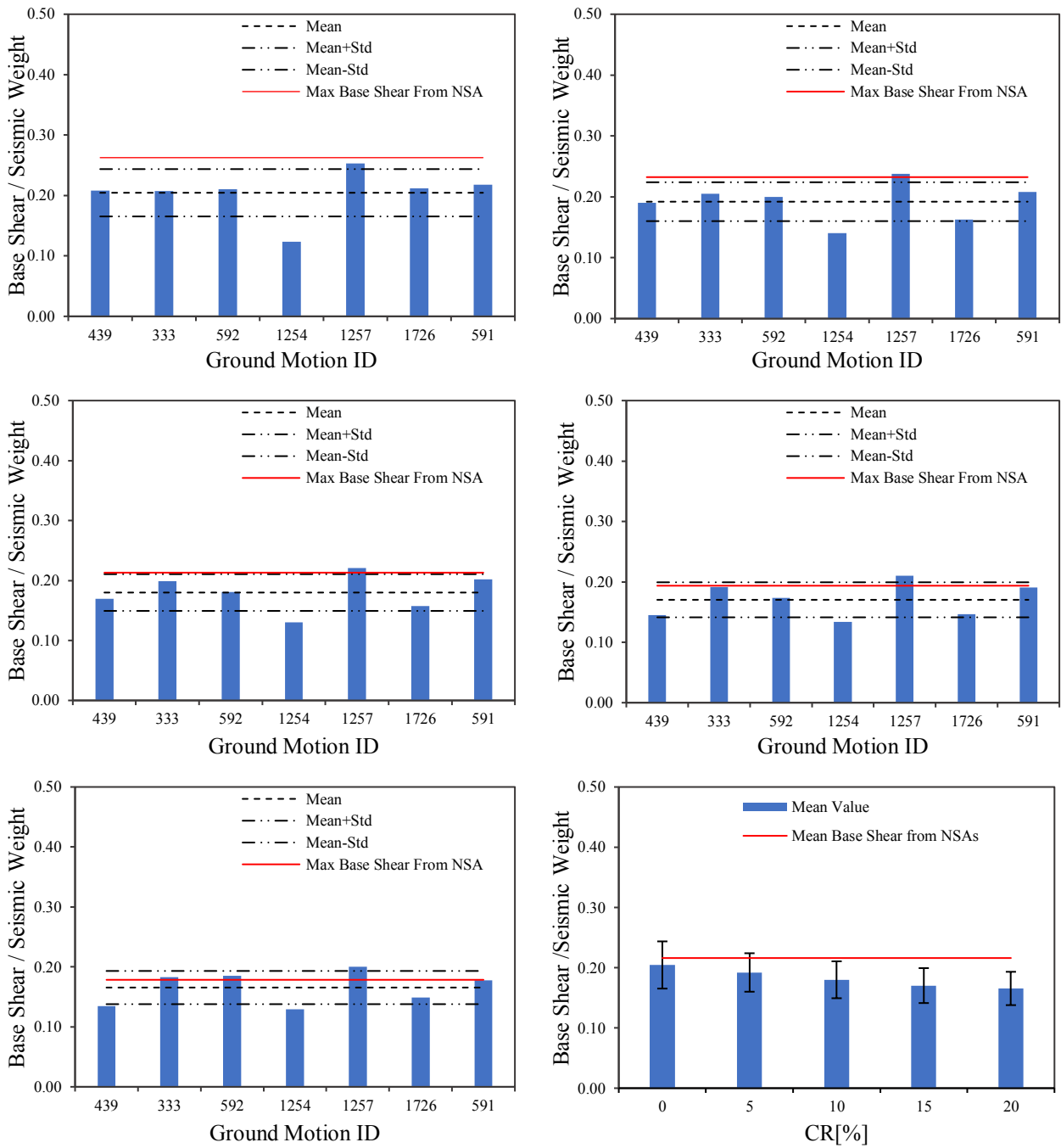


Figure 29. Inter-storey Drift vs Corrosion Rate.

857

858

859 Figure 29 shows the impact of corrosion on the maximum inter-storey-displacement. Results from  
860 nonlinear dynamic analyses definitely showed a dramatic increase of the mean lateral inter-storey  
861 displacements, and, particularly, the second and the third floors were exposed to large drift-ratios for  
862 low levels of corrosion, more than 85% in comparison with the uncorroded case, while a slight  
863 variation can be noted for the corrosion rates between 10% and 20%. Again, the slight reduction of  
864 the lateral inter-storey displacement for high levels of corrosion in comparison with low levels of  
865 corrosion is because the building could not resist large degradation and failure happened before the  
866 completion of the earthquake event. In addition, corrosion does not allow the building to comply with  
867 the seismic performance imposed by the Eurocode and provisions for the limit state SD. Indeed, the  
868 inter-storey lateral displacements were greater than the inter-storey drift limit of 2%, which  
869 demonstrates the limits imposed by the technical codes are no longer conservative when RC structures  
870 are exposed to highly-aggressive environments. The state of deformation is adequately represented  
871 by the variation of the maximum base shear with the increase of the corrosion percentage by using  
872 the relationship (20). The combination of the uniform and localized corrosion significantly affects the  
873 shear capacity of corroded RC structures, as it can be seen in Figures 30 whereas the maximum base  
874 shear is decreasing up to 22% with the increase of the corrosion attack. In addition to the maximum  
875 base shear from the nonlinear dynamic analyses, the ultimate shear from the pushover analyses was  
876 also provided in Figures 30, which is computed as an average from all the lateral loading patterns in  
877 both directions, x and y, and combined using the relationship (20). It should be noted that the pushover  
878 analyses overestimated the maximum shear capacity of the corroded building in comparison with the  
879 results obtained from the nonlinear dynamic analyses. Only for high levels of the corrosion rate, the  
880 mean values of the base shear from nonlinear static analyses could approach those obtained from the  
881 nonlinear dynamic analyses.



882 Figure 30. Maximum Base Shear vs Corrosion Rate. a) CR [%] = 0; b) CR [%] = 5; c) CR [%] = 10; d) CR [%] = 15; e)  
 883 CR [%] = 20; f) General Plot

884

885 Figure 31 illustrates the mean-collapse duration-time versus the corrosion rate. Results show that the  
 886 dramatic decay of the concrete and steel's mechanical properties, as well as the loss of the global  
 887 shear strength, force the structure to collapse before the duration of the earthquake. Furthermore,  
 888 because of the greater peak ground accelerations for the limit state SD, the structure exhibits even  
 889 lesser resistance, in comparison with the limited damage, to an earthquake event as the corrosion rate  
 890 goes up.

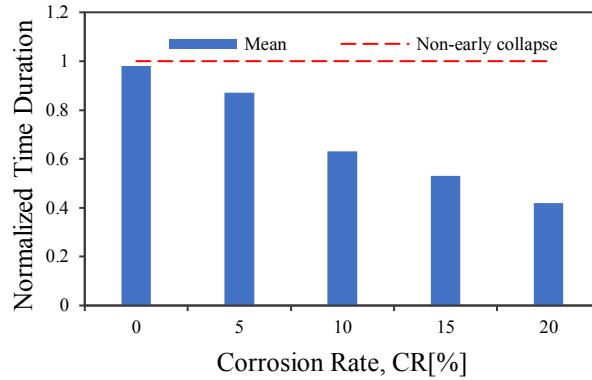


Figure 31. Mean Collapse vs Corrosion Rate

891

892

893

894 During the analyses, the RC components which induced the collapse of the structure were picked and  
 895 checked against the proposed modified interaction surface M-N for corroded RC. Figure 32 shows  
 896 the interaction surfaces of the pair M-N computed for the critical RC components. The outcomes  
 897 show that the proposed method could predict with excellent accuracy the ultimate resistance of a  
 898 corroded RC element, either beam or column.

899

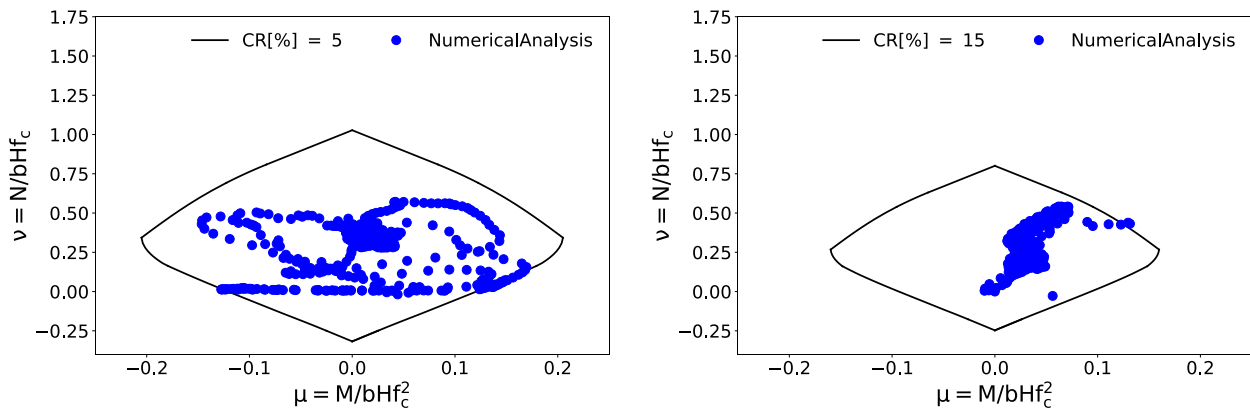


Figure 32. Interaction Surface M-N for the ground motion ID = 591

900

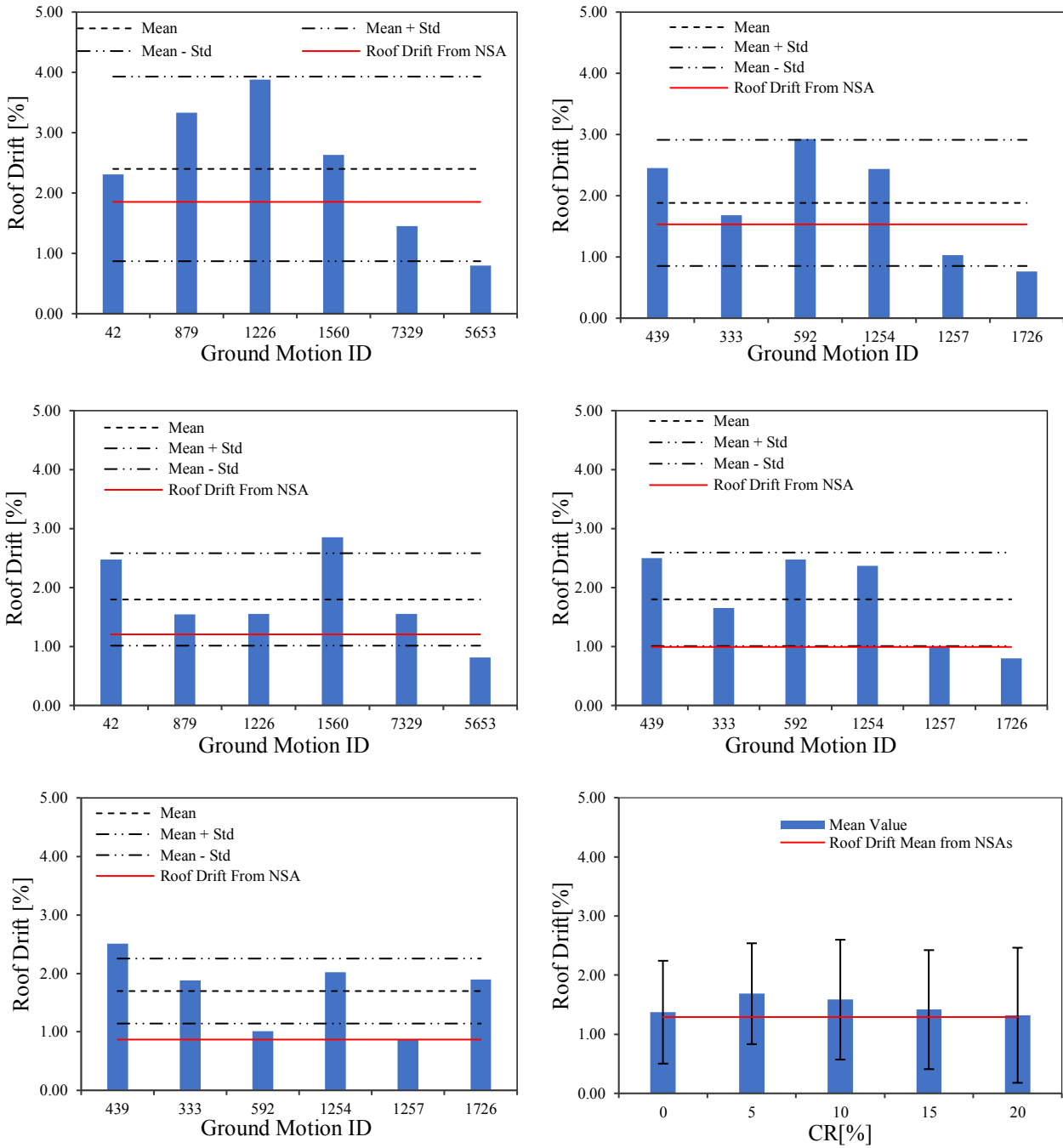
901

#### 902 7.4.4 TIME-HISTORY ANALYSES OF THE RC CONCRETE WITH EXTERNAL 903 EXPOSURE (NEAR COLLAPSE – NC)

904

905 The seismic performance of the existing RC building with the external exposure is here investigated  
 906 for the limit state NC.

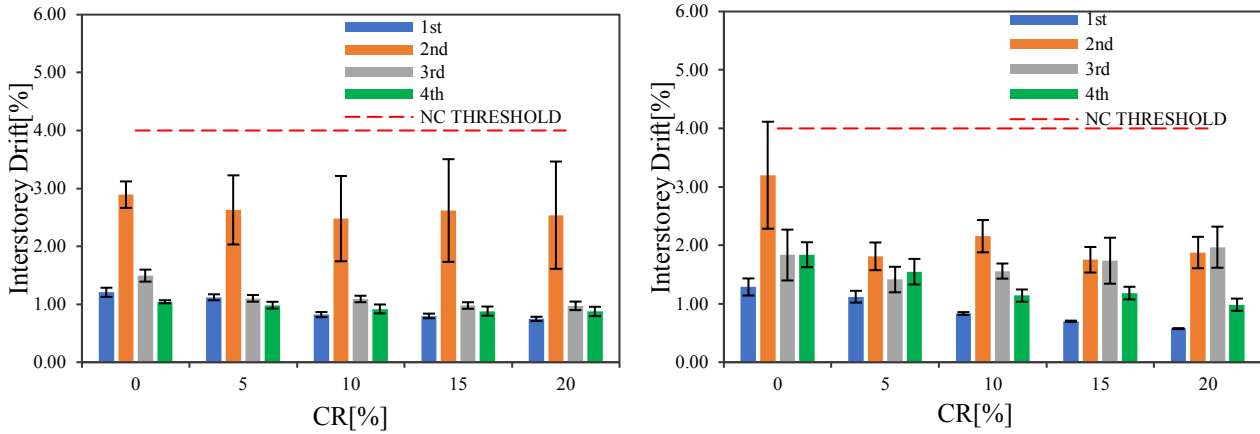
907



908 Figure 33. Relative Top Displacement vs Corrosion Rate. A) CR [%] = 0; b) CR [%] = 5; c) CR [%] = 10; d) CR [%] =  
 909 15; e) CR [%] = 20; f) General Plot

910  
 911 Figure 33 demonstrated that the top-ground drift ratio decreased significantly with the increase of the  
 912 corrosion rate due to the early collapse of the structure, which was already not able to resist large  
 913 earthquakes, even if uncorroded. The last observations can be found in the lack of the seismic details  
 914 and, particularly, due to i.e. small stirrups spacing and diameter. The reduction of the top  
 915 displacement entails that the corrosion attack lowers the mechanical properties of the concrete and  
 916 the steel reinforcement such that even a corrosion rate of 5% forced the structure to fail before the  
 917 completion of the earthquake event. The displacement of the control node from the nonlinear static

918 analyses was also depicted for all corrosion levels. The results show that, despite the displacements  
 919 from the pushover analyses were smaller than the mean values computed for the nonlinear dynamic  
 920 analyses, they were handful parameters to detect the maximum value beyond which the structure  
 921 would fail. Figure 33d summarizes the outcomes for the top-ground drift ratio from the nonlinear  
 922 time-history analyses in terms of mean drift ratio and standard deviation.

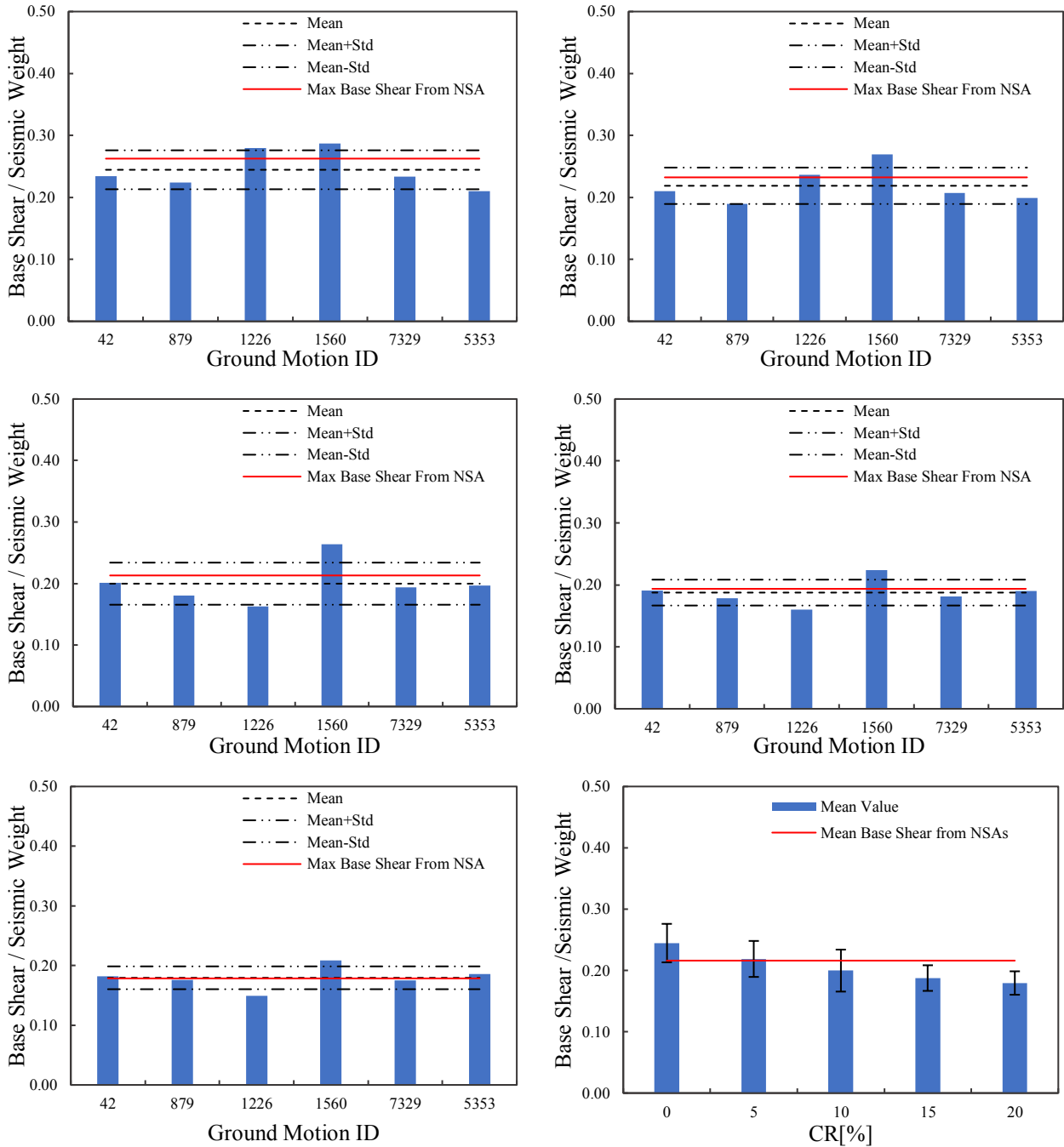


923 Figure 34. Inter-storey Drift vs Corrosion Rate.

924  
 925 Figure 34 illustrates the results for the inter-storey drift ratio versus the corrosion rate. The outcomes  
 926 clearly demonstrated that the structure with low and high levels of corrosion could not withstand  
 927 extensive damage and deterioration, so large inter-storey displacement can be noted for the second  
 928 floor even when the building is uncorroded. A relevant decrease (almost 23%) in the inter-storey  
 929 displacement can be seen in Figure 34 as the corrosion level went up. Furthermore, it should be  
 930 noticed that the maximum inter-storey drift defined by the Eurocode (EN 1998-1 (2004) and  
 931 provisions (FEMA 356, 2000) is no longer conservative when corrosion occurs as the structure failed  
 932 before reaching the allowable limit of 4% for the limit state NC.

933 The maximum base shear for all the corrosion scenarios is also given in Figures 35. The outcomes  
 934 showed that the impact of corrosion affects significantly the shear capacity of the structure, which  
 935 dramatically decreased up to 27% with the increase of the corrosion penetration. Moreover, the  
 936 maximum base shear from the pushover analyses was also provided to compare the results between  
 937 the nonlinear static and dynamic analyses. The values of the maximum base shear from the pushover  
 938 analyses seem to underestimate the shear capacity of the corroded building for the limit state of NC.  
 939 They are always smaller than the mean values obtained from the nonlinear dynamic analyses.  
 940 Additionally, to evaluate the impact of corrosion in terms of collapse time, Figure 50 shows the mean  
 941 collapse duration versus the corrosion level. The results clearly demonstrated that the time of failure  
 942 reduced with the increase of the corrosion level even when the 1053 structure was uncorroded, which  
 943 entails that the building could not resist the selection of real 1054 ground motion for the limit state of

944 Near Collapse. Finally, the RC elements that caused the structural collapse were picked during the  
 945 analyses and verified against the proposed modified interaction surface of the pair M-N. Figures 49  
 946 show that the suggested method can predict with accuracy the ultimate capacity of RC components  
 947 responsible for the structural failure.



948 Figure 35. Maximum Base Shear vs Corrosion Rate. a) CR [%] = 0; b) CR [%] = 5; c) CR [%] = 10; d) CR [%] = 15; e)  
 949 CR [%] = 20; f) General Plot

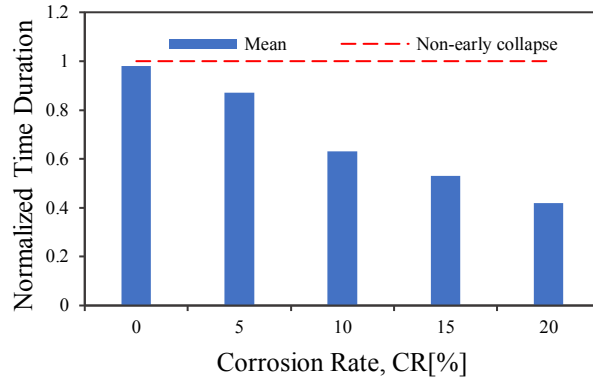


Figure 366. Mean Collapse vs Corrosion Rate

950  
951

952 Figure 36 shows the mean collapse duration versus the corrosion level. The results clearly  
953 demonstrated that the time of failure reduced with the increase of the corrosion level even when the  
954 structure was uncorroded, which entails that the building could not resist the selection of real-ground  
955 motion for the limit state of Near Collapse.

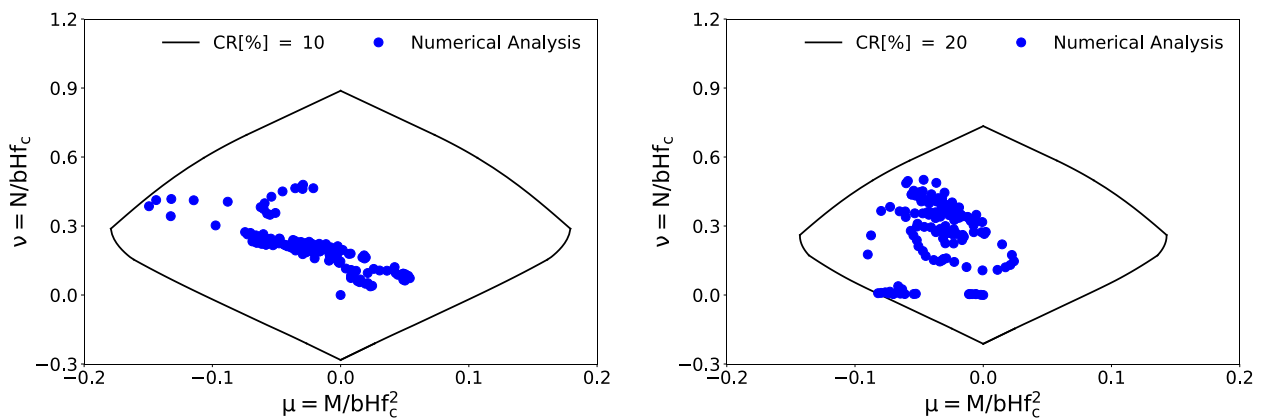


Figure 37. Interaction Surface M-N for the ground motion ID = 879

956  
957

958 Finally, the RC elements that caused the structural collapse were picked during the analyses and  
959 verified against the proposed modified-interaction surface of the pair M-N. Figures 49 show that the  
960 suggested method can predict with accuracy the ultimate capacity of RC components responsible for  
961 the structural failure.

962

## 963 8 CONCLUSIONS

964 The interest for the RC structures exposed to corrosion has increased in the scientific community over  
965 the last years as many studies have been conducted on the experimental and numerical response of  
966 corroded RC elements. This topic remains an open issue for the many uncertainties related to the  
967 corrosion phenomenon, and, therefore, such investigation is a significant step forward to establish  
968 new inspection-ratings and preserve the safety of aged RC buildings. This study presents a numerical



969 investigation of the seismic performance of typical reinforced concrete buildings with smooth rebars  
970 exposed to different levels of corrosion. A numerical approach has been proposed to evaluate the  
971 ultimate capacity of RC members and corroded RC columns under static and dynamic loadings. The  
972 results obtained from the numerical investigations can be summarized as follows:

973

- 974 - The proposed numerical method can predict with excellent accuracy the ultimate capacity of  
975 corroded RC components with various reinforcement ratios, i.e. 0.5%, 2.01% and 2.26%.  
976 under static and dynamic loading condition.
- 977 - Non-linear static analyses based on four different exposure and three lateral loading patterns  
978 demonstrated that corrosion significantly reduces the shear capacity and the global ductility  
979 of an existing RC building. Particularly, the shear strength reduction ranged between 20%,  
980 for the external exposure, and 50%, for the entire structure exposed to corrosion. In addition,  
981 corrosion forced the structure to move from a ductile to a brittle failure mechanism.
- 982 - Ductility and overstrength were strongly affected by the impact of corrosion. Particularly,  
983 results showed that these two parameters had different trends depending on the type of  
984 exposure and the choice of some factors such as yielding force, yielding displacement,  
985 ultimate force and ultimate displacement. The exposure of the total structure to corrosion  
986 appeared to be the worst scenario with a decrease of both parameters by more than 30%.
- 987 - Performance indicators evaluated in the present study could be successfully used to assess the  
988 seismic performance of the corroded RC building. These performance points would be  
989 beneficial to design a new strategy for retrofitting deteriorated RC structures.
- 990 - The results from Non-linear dynamic analyses, considering only the external exposure,  
991 showed that the impact of corrosion strongly affects the strength, the deformability, the  
992 ductility and the energy absorption of an existing corroded RC building during a seismic  
993 event. A consistent reduction of the maximum base shear, and a significant increase of the  
994 top-ground and inter-storey drift ratios was observed. In addition, the increase of the axial  
995 loads and bending levels were also an indication of the catastrophic response of corroded RC  
996 elements during seismic events, which was well-evaluated via the use of the proposed  
997 interaction surface of the pair M-N.
- 998 - Comparison between the nonlinear static and dynamic analyses demonstrated that the  
999 displacements from the pushover analyses could be used as an upper bound to evaluate the  
1000 point beyond which the structure will fail during a real seismic event. By contrast, it is worth  
1001 noting that nonlinear static analyses overestimated the shear strength for the limit state of the

1002 Limited Damage and Significant Damage, while underestimated it for the limit state of the  
1003 Near Collapse, compared to non-linear dynamic analyses.

1004

## 1005 **9 ACKNOWLEDGEMENTS**

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