

# 1 Time-dependent seismic fragility curves for 2 post-tensioned timber frames

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5 Since 2010, a significant worldwide increment in the construction of post-tensioned  
6 timber (Pres-Lam) buildings has been observed. Pres-Lam technology combines un-  
7 bonded post-tensioning tendons and supplemental damping devices to provide mo-  
8 ment capacity to beam-column, wall-foundation or column-foundation connections.  
9 In low seismic areas, designers may choose not to provide additional damping, re-  
10 lying only on the post-tensioning contribution. Because post-tensioning decreases  
11 over time due to creep phenomena arising in compressed timber members, a reduc-  
12 tion of the clamping forces between the elements occurs. This reduction affects the  
13 seismic response of PresLam buildings in the case of low and high intensity earth-  
14 quakes. A possible method to evaluate the seismic performance of post-tensioned  
15 timber frame buildings, through the computation of time-dependent fragility curves,  
16 is presented in this paper. The method is applied to two case studies, designed re-  
17 spectively with and without supplemental damping devices. In terms of structural  
18 performance, results show that the use of additional dissipaters mitigate the effect  
19 of post-tensioning loss for earthquakes of high intensity. Conversely, performance  
20 under low intensity earthquakes is strongly dependent on the post-tensioning value,  
21 as the reduction of stiffness due to the anticipated rocking motion activation would  
22 lead to damage to non-structural elements!

## 23 INTRODUCTION

24 During the 1990s, the Precast Seismic Structural System (PRESSSS) program (Priestley, 1991)  
25 coordinated by the University of California, San Diego, proved that the hybrid connection is  
26 an efficient low-damage solution for precast concrete walls and frames. The hybrid connec-  
27 tion combines unbonded post-tensioning tendons and additional dissipation devices or internal

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28 reinforcement, allowing the accommodation of the seismic demand through rocking between  
29 structural elements.

30 Unbonded tendons provide re-centering capabilities to the building, while dissipation de-  
31 vices allows for hysteretic energy release as well as providing additional moment capacity.  
32 These damping devices can be placed internally, by de-bonding mild steel reinforcement bars,  
33 (e.g., (Curtain et al., 2012)), or externally, (e.g., (Marriott et al., 2009; Sarti et al., 2016)), to the  
34 connection. However, when they are externally placed, they have the additional advantage of  
35 being easily accessible for replacement.

36 In 2002, Christopoulos et al. (2002) extended the hybrid concept to steel members . This  
37 fact supports the idea that the hybrid connection is materially independent. In 2005, at the  
38 University of Canterbury (Palermo et al., 2005) the technology was extended to engineered  
39 timber products and was referred to as the Pres-Lam system.

40 Extensive laboratory testing in New Zealand (Newcombe et al., 2008; Sarti et al., 2015; Mo-  
41 roder et al., 2018) and overseas (Wanninger and Frangi, 2014; Kramer et al., 2015; Di Cesare  
42 et al., 2017; Li et al., 2018) has proven the hybrid connection to have good seismic perfor-  
43 mance, which was characterized by no residual displacements, negligible structural damage in  
44 the timber members, and stable non-degrading hysteretic response. In the subsequent years, the  
45 Pres-Lam system was applied as a structural system for several timber buildings erected in New  
46 Zealand (Curtain et al., 2012; Brown et al., 2012; Holden et al., 2016) and overseas (Leyder  
47 et al., 2015; Sarti et al., 2017b).

48 Few experimental campaigns, in terms of long-term performance (Davies and Fragiaco,  
49 2011; Wanninger et al., 2014; Granello et al., 2017), were conducted aiming to quantify post-  
50 tensioning losses in post-tensioned timber structures. These studies outlined that the most rele-  
51 vant quantities governing the post-tension loss phenomenon were the amount of timber loaded  
52 perpendicular to the grain, and environmental conditions. In fact, when timber is loaded per-  
53 pendicular to the grain higher creep is expected (Morlier, 2004), and therefore also higher post-  
54 tensioning loss. A design procedure to assess the amount of post-tensioning loss was also devel-  
55 oped (Granello et al., 2018), which provided reasonably good results when compared to the av-  
56 erage experimental data monitored on operative buildings within the observation period. How-  
57 ever, the variability within the material behavior increases the uncertainty in the post-tensioning  
58 prediction. Specifically, a greater uncertainty is expected when results are extrapolated outside  
59 the observation time frame over the life of the structure.





**Figure 1.** Examples of operative PresLam structures and beam-column joint detailing: a) Trimble Navigation Offices, Christchurch (courtesy of Paul Drummond) using b) external steel plates in the beam column joint; c) ETH House of Natural Resources, Zurich (copyright ETH Zurich-Marco Carocari) using d) hardwood columns (copyright ETH Zurich-Marco Carocari); e) Merritt Building, Christchurch (courtesy of Andy Buchanan) using f) internal steel plates (courtesy of Andy Buchanan).

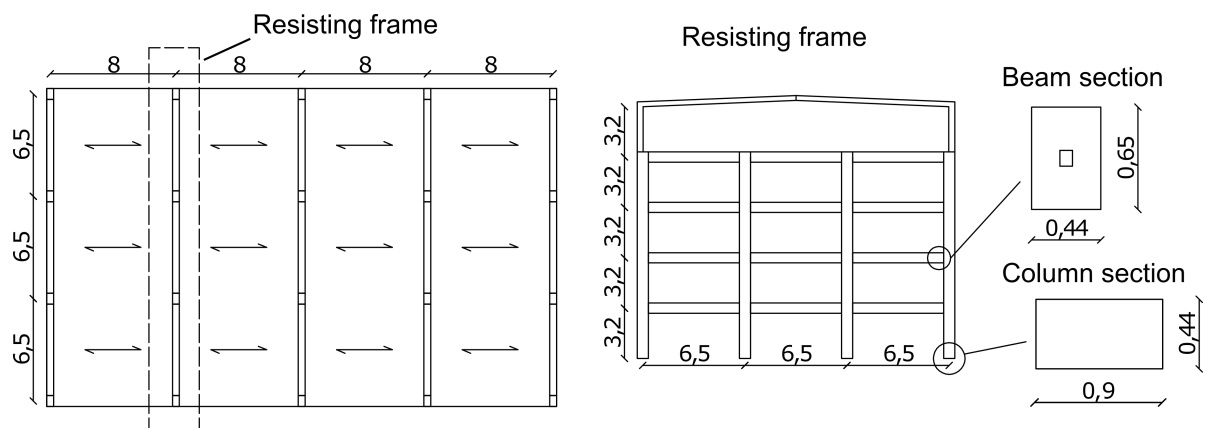
60 This paper provides a method to estimate the time-dependent seismic performance of Pres-  
 61 Lam frame buildings. The seismic performance is evaluated by developing fragility curves,  
 62 whose parameters are time-dependent. The uncertainty due to ground motions variability, as

63 well as the uncertainty due to the development of post-tensioning losses, is in taken into account.  
 64 The method is applied to two case studies. In Case Study 1, the structure is placed in high  
 65 seismic area, and designed by providing additional dissipation devices. In Case Study 2, the  
 66 structure is designed in low seismic area and relies only on post-tensioning contribution to  
 67 provide a moment resisting connection between beams and columns. It is conceivable that in  
 68 low seismic risk areas that a designer may choose not to include external dissipaters.

## 69 CASE STUDY BUILDINGS

### 70 DESIGN

71 Two case study buildings are designed to be placed in a low (i.e. corresponding to maximum  
 72 spectral acceleration in correspondence of the plateau equal to 0.54 g for a 500 years return  
 73 event) and high (i.e. corresponding to a maximum spectral acceleration in correspondence of  
 74 the plateau equal to 0.9 g for a 500 years return event) seismic risk area, respectively. While  
 75 the first building is only post-tensioned, the second one is designed with dissipation devices at  
 76 the beam-column rocking interface. Both structures are designed to be located on type D soil  
 77 (New Zealand Standard 1170.5, 2004), corresponding to a deep or soft soil site. The buildings  
 78 proposed are a further development of the case study specimen (Figure 2) presented in the New  
 79 Zealand and Australian Guideline for post-tensioned timber buildings (Pampanin et al., 2013).  
 80 The structural systems used in that specific case-study were Pres-Lam frames in the transverse  
 81 direction and Pres-Lam walls in the longitudinal direction. This paper focuses on the seismic  
 behavior of the frames, which are re-designed to serve as a design case study for this work.



**Figure 2.** Plan view of the floor, lateral view of the frame and members' section (note units are in meters).

82

83 The two four-storey case study buildings are designed with a lightweight timber penthouse  
84 at the top floor. Each floor is selected to be 32 x 19.5 m in plan with a total floor area of  
85 624 square meters (Figure 2). A building live load of 3 kPa (i.e. office use according to the  
86 New Zealand Standard (1993)) is assumed to act on a floor system made up of 21 mm thick  
87 plywood panels on top of 90 x 400 mm timber joists at 0.6 m. To be consistent with the  
88 design assumptions reported in the guidelines, no concrete is placed on the top (Pampanin et al.,  
89 2013). The design is carried out by using a displacement-based approach (Priestley et al., 2007).  
90 However, the members size and post-tensioning value are governed by the deflection limits to  
91 not be exceeded during low intensity seismic events or excessively strong winds. According  
92 to the New Zealand Standard 1170.1 (1993) , an interstorey drift equal to 0.33% should not  
93 be exceeded for an event with a return period equal to 25 years. Therefore, beam and column  
94 dimensions of 650 x 441 mm, and 900 x 441 mm respectively, are required to meet these  
95 criteria. The timber material used for the design is LVL grade 16, properties which according  
96 to the manufacturer are reported in Table 1. For the building placed in low seismic hazard,  
97 cross sections with lower dimensions could be designed to optimize the material use. However,  
98 in order to compare the results between the two cases, it has been decided to keep the same  
99 elements' size.

**Table 1.** LVL Grade 16 properties:  $f_b$  bending strength,  $f_{c,par}$  compression strength parallel to the grain,  $f_{c,perp}$  compression strength perpendicular to the grain,  $f_s$  shear strength,  $E_{par}$  elastic modulus parallel to the grain,  $E_{perp}$  elastic modulus perpendicular to the grain,  $G$  shear modulus.

$f_b$ (MPa)	$f_{c,par}$ (MPa)	$f_{c,perp}$ (MPa)	$f_s$ (MPa)	$E_{par}$ (MPa)	$E_{perp}$ (MPa)	$G$ (MPa)
65	48	12	4.6	16	0.55	0.8

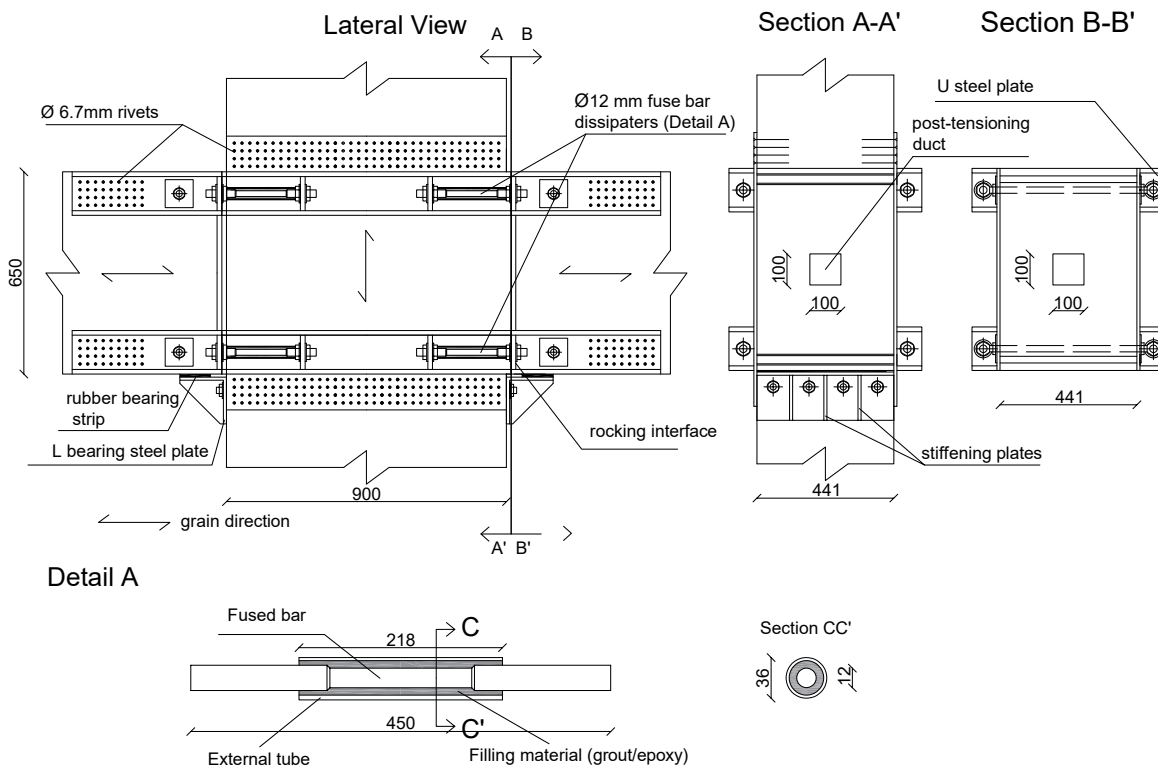
100 A summary of the seismic masses (considering the proper combination of dead and live  
101 loads according to the New Zealand Standard 1170.5 (2004)) is reported in Table 2.

102 The beam-column connection (detailed in Figure 3) with the addition of the external dissi-  
103 pation devices (Sarti et al., 2016), is designed to target a design re-centring ratio at the Ultimate  
104 Limit State (ULS),  $\beta_{rec}$ , (defined as the ratio between the post-tensioning moment contribution  
105 over the total moment capacity) of 0.7. Seven wire strands (properties reported in Table 3) are  
106 used as the post-tensioning elements. However, the number of tendons is optimized at each  
107 for the two buildings according to the layout reported in Table 4. Ten millimetre external steel  
108 plates are designed (see Figure 3 and Figure 2b ) to protect the timber in the column, which is

**Table 2.** Seismic masses acting on the frame.

Floor	Mass (KN)	Mass (KN/frame)	Mass (KN/wall)
4	3130	626	782
3	3193	639	798
2	3193	639	798
1	3193	639	798
<b>Tot</b>	12710	2542	2542

109 loaded perpendicular to the grain. This solution, which was adopted in the Trimble Navigation  
 110 Offices (Brown et al., 2012), also showed to have a beneficial effect in reducing the amount of  
 111 post-tensioning loss expected (Granello et al., 2018), as well as providing an anchorage point  
 112 for the dissipaters.



**Figure 3.** Structural detailing: beam-column hybrid joint and fuse dissipater.

113 While post-tensioning tendons are positioned at the section centroid of the beam section,  
 114 dissipaters are placed  $\pm 250$  mm from the beam centreline (see Figure 3). The properties of the  
 115 mild steel, used to fabricate the dissipaters, are reported in Table 5, while the dissipaters layout

**Table 3.** Steel tendon properties:  $\Phi_i$  tendon diameter,  $A_{pi}$  tendon area,  $f_{ptk}$  ultimate stress,  $f_{pt01k}$  nominal yielding stress and  $E_p$  elastic modulus.

$\Phi_i$ (mm)	$A_{pi}$ (mm <sup>2</sup> )	$f_{ptk}$ (MPa)	$f_{pt01k}$ (MPa)	$E_p$ (GPa)
12.7	100.1	1860	1674	195

**Table 4.** Post-tensioned connection detailing with and without additional damping.

	Storey	Tendons number	Post-tensioning force (KN)	Tendons stress (% $f_{pt01k}$ )	Mild steel dissipaters
<b>With Dissipaters</b>	1&2	3	300	60%	4 $\Phi$ 12
	3&4	2	200	60%	4 $\Phi$ 10
<b>Without Dissipaters</b>	1&2	2	200	60%	-
	3&4	2	200	60%	-

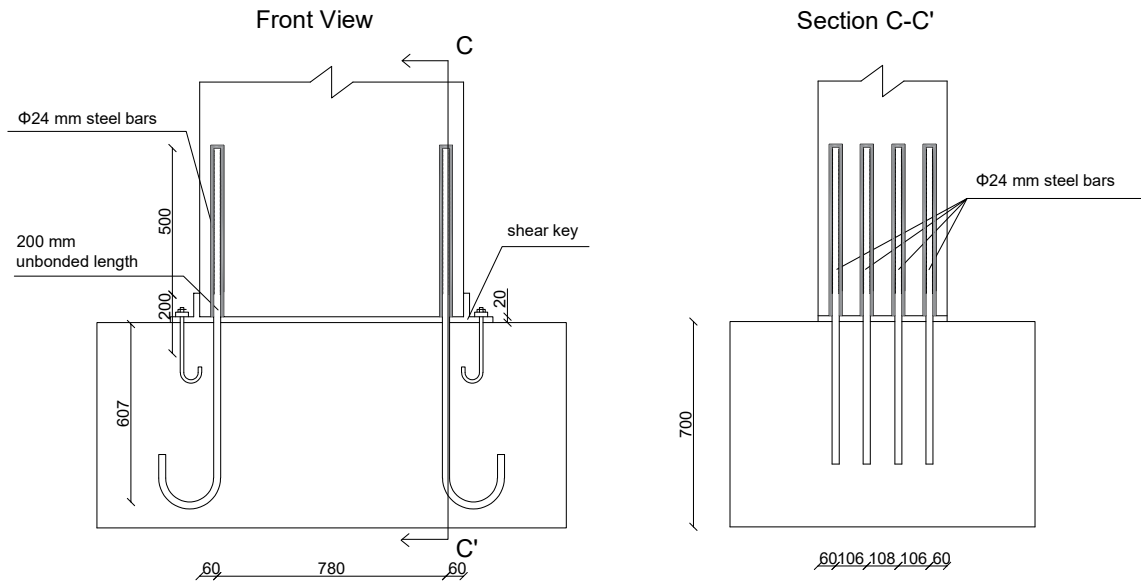
116 is reported in Table 4.

117 The differences between the two case study buildings are not limited to the use of dissi-  
 118 paters in one of the two. Specifically, a moment resisting connection (detailed in Figure 4) is  
 119 designed at the column-foundation level, by introducing internal 14 mm diameter steel bars, for  
 120 the building placed in high seismic area. This detailing was necessary to increase the stiffness  
 121 of the frame, and therefore limiting the interstorey drift within an acceptable value for low in-  
 122 tensity earthquakes. The connection between timber and steel was obtained by injecting epoxy,  
 123 and the bars were de-bonded for a total length of 200 mm to distribute the plastic demand. A  
 124 similar solution with the internal bars was previously adopted for the Carterton Event Centre  
 125 (Curtain et al., 2012).

126 The possibility of introducing external dissipaters, which would be easier to replace, was  
 127 also explored. However, this solution was not feasible due to the high number of connectors  
 128 necessary between the dissipaters and the column. Shear keys are also provided for transferring  
 129 shear and avoiding the internal bars working in dowel action.

**Table 5.** Mild steel properties respectively:  $f_y$  yielding stress,  $f_u$  ultimate stress,  $E_s$  elastic modulus,  $\epsilon_y$  yielding strain,  $r$  post-yielding stiffness ration.

$f_y$ (MPa)	$f_u$ (MPa)	$E_s$ (MPa)	$\epsilon_y$ (-)	$r$ (-)
300	420	200	0.0015	0.008



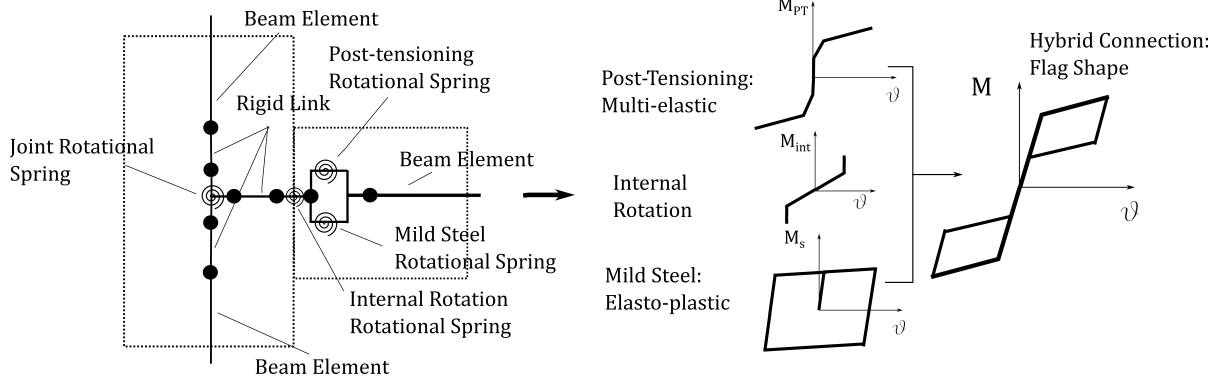
**Figure 4.** Column-to-foundation structural detailing.

## 130 MODELLING APPROACH

131 The moment-rotation behavior of a post-tensioned rocking connection was defined using an it-  
 132 erative analytical procedure developed by Pampanin et al. (2001), modified by Palermo et al.  
 133 (2004), and extended to the Pres-lam system by Newcombe et al. (2008), and further developed  
 134 by Smith (2014). Such moment-rotation laws are implemented in the literature on lumped plas-  
 135 ticity models, using multi-spring elements (Sarti et al., 2017a), or rotational spring elements  
 136 (Ponzo et al., 2017). The difference between the two is the ability of the multi-spring model  
 137 to capture the increase of axial force in the system due to the beam elongation phenomenon.  
 138 Given the large inertia of the member, this phenomenon is rather important when looking ex-  
 139 perimentally at the behaviour of post-tensioned walls (Sarti et al., 2015). However, in the case  
 140 of post-tensioned frames models based on rotational springs were shown to adequately (up to  
 141 acceptable errors) predict the behavior of post-tensioned timber specimens when compared to  
 142 the shaking table test (Di Cesare et al., 2017).

143 In this work, lumped plasticity models (see Figure 5) were then calibrated against the

144 moment-rotation response using rotational springs in parallel and in series as follows: (i) a  
 145 multi-linear elastic hysteresis for the post-tensioning contribution, (ii) an elasto-plastic rule for  
 146 the mild steel contribution, and (iii) an elastic-rigid rule for the internal rotation before the  
 147 gap opening contribution. An additional rotational spring was placed at the beam-column joint  
 148 to take into account the joint shear stiffness, as recommended by Smith (2014). Besides the  
 149 joints (including the column-to-foundations one), all the other elements are modeled as elastic  
 150 members.



**Figure 5.** Post-tensioned timber connection modelling.

## 151 POST-TENSIONING LOSS ESTIMATION

152 A design procedure for estimating post-tensioning losses in post-tensioned timber frames was  
 153 developed by Granello et al. (2018). According to such procedure, the post-tensioning loss over  
 154 time  $\Delta P(t)$  was estimated according to Equation 1:

$$-\Delta P(t) = \frac{-P_0 \left\{ \frac{l_{\parallel} \phi_{\parallel}(t)}{E_{\parallel} A_{\parallel}} + \frac{l_{\perp} \phi_{\perp}(t)}{E_{\perp} A_{\perp}} + \frac{l r_p(t)}{E_p A_p [1 - \chi(t)_p r_p(t)]} \right\} + \Delta \epsilon_{\parallel, in}(t) l_{\parallel} + \Delta \epsilon_{\perp, in}(t) l_{\perp} - \Delta \epsilon_{p, in}(t) l}{\frac{l_{\parallel} [1 + \chi(t)_{\parallel} \phi_{\parallel}(t)]}{E_{\parallel} A_{\parallel}} + \frac{l_{\perp} [1 + \chi(t)_{\perp} \phi_{\perp}(t)]}{E_{\perp} A_{\perp}} + \frac{l}{E_p A_p [1 - \chi(t)_p r_p(t)]}} \quad (1)$$

155 where the indices  $\parallel, \perp$  refer to the correspondent timber properties parallel and perpendicular to  
 156 the grain, respectively. The index  $p$  instead refers to the post-tensioning steel properties;  $l, A, E$   
 157 respectively represent the length of timber under load, the cross-sectional area and the elastic  
 158 modulus;  $\phi(t), r_p(t)$  represent the timber creep function and the steel relaxation function. The  
 159 terms  $\Delta \epsilon_{in}$  represent the inelastic deformation due to changes in environmental conditions and  
 160  $P_0$  the initial post-tensioning force. The function  $\chi(t)$  takes into account that the analytical solu-  
 161 tion is approximated by correcting the creep or relaxation function Chiorino et al. (1984). The  
 162 reader specifically interested in the post-tensioning loss calculation is redirected to (Granello  
 163 et al., 2018) for a comprehensive overview.



164 It is assumed that the timber elements are delivered on site with an average moisture content  
165 equal to 12%, and that the environmental temperature at the time of pre-stressing is equal to  
166  $10^{\circ}C$ . The predicted post-tensioning trend over time,  $\mu_{PT}(t)$ , is reported in Figure 6 and Table  
167 6. It can be noticed that the mean predicted value in 50 years is equal to 16%. The reason for  
168 such a 'limited' amount, among other factors such as the use of steel plates in the beam-column  
169 joint, is because the ratio between the post-tensioning steel area  $A_p$  over the timber section  
170  $A_{||} = A_{\perp}$  is very low. When the procedure was used to evaluate the amount of post-tensioning  
171 loss of the Trimble building (Granello et al., 2018), it provided reasonable results considering  
172 the average value of the load cells. However, if the prediction is compared with each single load  
173 cell, it is subjected to greater uncertainty due to the intrinsic variability of each frame. Figure  
174 7 shows the empirical standard deviation of the error (STD) between the prediction and each  
175 load cell for the Trimble Navigation Offices. It can be observed that the uncertainty on post-  
176 tensioning loss is increasing with time. To capture this trend, a power law is selected to model  
177 the STD, i.e .

$$\sigma_{PT}(t) = c_1 t^{c_2}, \quad (2)$$

178 where  $c_1, c_2$  are parameters of the model. Figure 7 shows the selected model together with the  
179 original data. Equation (2) captures fairly well the time evolution of the empirical STD. The  
180 post-tensioning force,  $PT^a$ , can be expressed as

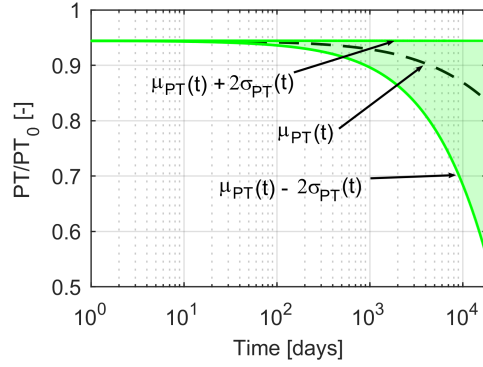
$$PT_t = \mu_{PT}(t) + \varepsilon_t, \quad (3)$$

181 where  $\varepsilon_t \sim \mathcal{N}(0, \sigma_{PT}(t))$ , are zero mean Gaussian random variables with standard deviation  
182 defined at a given time  $t$  by Equation (2). The subscript  $\cdot_t$  is used to indicate the time dependence  
183 of the process, i.e. at a given time  $t$ ,  $PT_t$  is a Gaussian Random variable with mean  $\mu_{PT}(t)$  and  
184 STD  $\sigma_{PT}(t)$ . It is considered out of the scope of the current study to complete a second order  
185 description of the process (e.g., by defining an autocorrelation function).

186 In addition to the average losses, Figure 6 and Table 6 report the average value plus ( $PT_{2STD}^+$ )  
187 and minus ( $PT_{2STD}^-$ ) to be two times the standard deviation. Therefore, the green area in Figure  
188 6 represents the possible post-tensioning scenarios within a confidence of 95%, and the average  
189 value is represented by the dotted black curve. Note that the initial value is not 100% because  
190 of the inelastic deformation of timber and steel at the moment of stressing, which are assumed

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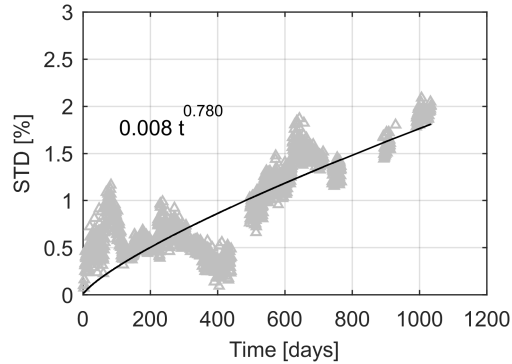
<sup>a)</sup>Capital letters for  $PT$  are used only to indicate the variable "Post Tension," and not to identify a random variable. Therefore  $PT$  is deterministic. Conversely, the authors define  $PT_t$  as proper random variable defined at time  $t$  by Equation (3).



**Figure 6.** Post-tensioning force over time according to (Granello et al., 2018).  $\mu_{PT}(t)$  average value,  $\mu_{PT}(t) + 2\sigma_{PT}(t)$  upper bound and  $\mu_{PT}(t) - 2\sigma_{PT}(t)$  lower bound.

**Table 6.** Post-tensioning force evolution over time:  $PT_{avg} = \mu_{PT}(t)$  average value,  $PT_{2STD}^- = \mu_{PT}(t) - 2\sigma_{PT}(t)$  lower bound and  $PT_{2STD}^+ = \mu_{PT}(t) + 2\sigma_{PT}(t)$  upper bound.

Post-tensioning	Initial	10 years	25 years	50 years
$PT_{avg}$	100%	91%	87%	84%
$PT_{2STD}^+$	100%	94%	94%	94%
$PT_{2STD}^-$	100%	82%	70%	55%



**Figure 7.** Standard deviation of the error (STD) between the prediction and the data monitored in the Trimble Navigation Offices (Granello et al., 2018).

191 to occur instantaneously. This value is also considered as the upper boundary of the prediction,  
 192 which implies a truncated Gaussian distribution for  $\varepsilon_t$ .

## 193 QUANTIFICATION OF THE PERFORMANCE

### 194 INDICATORS AND PERFORMANCE LEVELS

195 The quantification of the building's performance is carried out by looking at specific indicators  
196 similar to FEMA P650 (2009). In this study, two sets of indicators are proposed:

- 197 1. performance levels in terms of materials strain limit;
- 198 2. performance levels in terms of interstorey drift;

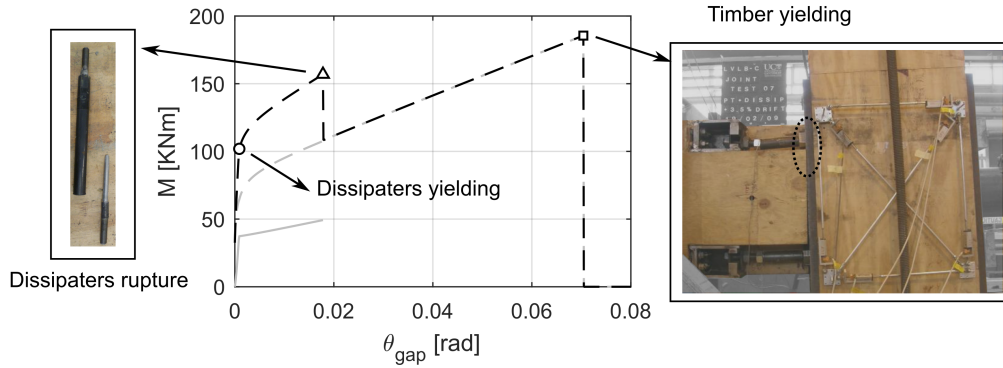
#### 199 Performance levels in terms of materials strain limits

200 Within this set, performance levels are defined at material level i.e., as the limits in terms of  
201 stress or strain which affect the behavior of the system. The main idea of the PresLam sys-  
202 tem, and in general of controlled rocking connections, is to dissipate the energy within the  
203 connections leaving undamaged the main structural elements. According to this principle, the  
204 following performance levels are defined when considering the rocking connection behavior:

- 205 –  $PL1_{y,ms}$ : dissipaters yielding (if present);
- 206 –  $PL2_{u,ms}$ : dissipaters rupture (i.e. assumed occurring at 6% axial deformation according  
207 to Priestley (2000));
- 208 –  $PL3_{y,t}$ : timber yielding;
- 209 –  $PL4_{y,p}$ : tendons yielding;
- 210 –  $PL5_{u,p}$ : tendons rupture;

211  $PL1_{y,ms}$  and  $PL2_{u,ms}$  can be classified as serviceability damage state (SLS ) because the dissi-  
212 paters have to be replaced at the end of the seismic event. Conversely,  $PL3_{u,t}$  and  $PL4_{y,p}$  can  
213 be considered as ultimate limit states (ULS ) because the structural members are permanently  
214 damaged or major repairs are necessary. Finally,  $PL5_{u,p}$  is considered as collapse limit state  
215 because the system fails.

216 In Figure 8 it is reported the moment-rotation response of the beam-column joint of the  
217 specimen with dissipaters. Specifically, the response refers to the joints at the first storey. The



**Figure 8.** Performance levels for the hybrid rocking connection on the moment-rotation response.

218 performance levels are also highlighted. It can be noticed that  $PL1_{y,ms}$  occurs almost imme-  
 219 diately after the decompression of the joint, for a  $\Theta_{gap} = 0.001$ . Once the rocking motion is  
 220 triggered, the dissipaters are activated soon after subjected to yielding.

221 The dissipaters rupture, i.e.,  $PL2_{u,ms}$ , occurs for approximately  $\Theta_{gap} = 0.02$ ; this value  
 222 can be controlled during the design phase by modifying the unbonded length of the dissipaters.  
 223 The current practice (Pampanin et al., 2013) suggests designing dissipaters by having an axial  
 224 deformation equal to 3% at the ULS, which normally targets a 2.5% drift. The building is  
 225 designed by following this recommendation, therefore, a gap opening equal to  $\Theta_{gap} = 0.02$   
 226 occurs after reaching 2.5% drift. Once the dissipaters break, their contribution in terms of  
 227 moment is set equal to 0.

228 The timber yielding  $PL3_{y,t}$ , meaning that the most compressed timber fibers exceed the  
 229 yielding deformation, occurs at approximately  $\Theta_{gap} = 0.07$ . In this case, the performance level  
 230 is reached in the beam because the column is protected by steel plates. However, if the column  
 231 is not adequately protected by using hardwood or steel, this performance level can be reached  
 232 at lower rotations as the strength of timber perpendicular to the grain is significantly lower than  
 233 the strength of timber parallel to the grain.

234 When timber locally yields, the inertia of the entire section is reduced causing a degradation  
 235 of stiffness. This would imply great rotations, and therefore more fibers would be progressively  
 236 subjected to yielding. A more refined model, using a more detailed approach, should be used  
 237 to capture this progressive degradation (Valipour et al., 2016). However, it is conservatively  
 238 assumed that the moment being carried by the connection, after the yielding of timber, is equal  
 239 to 0.

240 The moment-rotation analysis was stopped at  $\Theta_{gap} = 0.08$ . In fact, given this gap opening  
241 the building would have an interstorey drift  $\Theta_{interstorey}$  greater than 8%. This happens because  
242  $\Theta_{interstorey}$  is the sum of gap opening  $\Theta_{gap}$  and elastic deformation  $\Theta_{el}$ :

$$\Theta_{interstorey} = \Theta_{el} + \Theta_{gap} \quad (4)$$

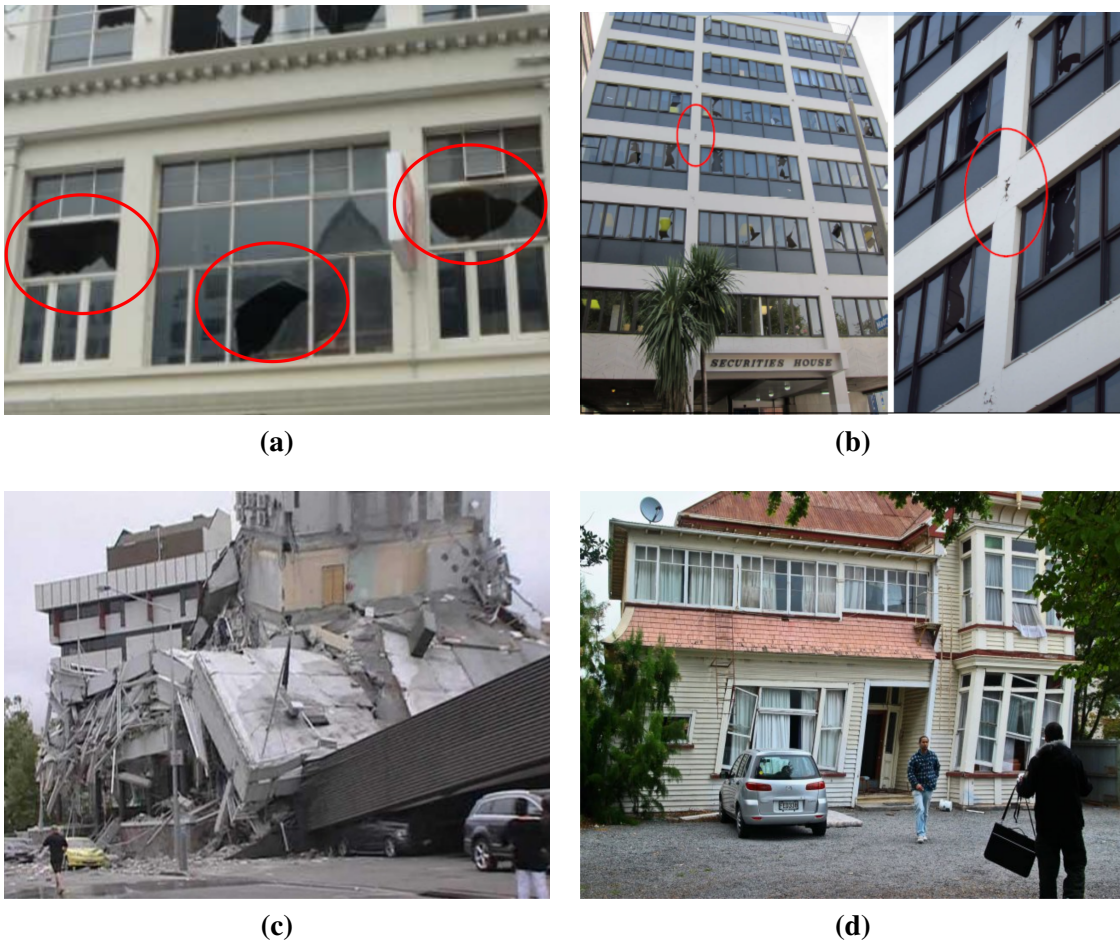
243 Although the New Zealand building code does not specify a drift limitation in terms of col-  
244 lapse limit state, a limit should be introduced to verify the structure against Maximum Credible  
245 Earthquakes (MCE) (Hare et al., 2012). In this study, 6% interstorey drift is considered as the  
246 collapse limit state.

247 Because of this assumption, the local performance of the connection has a lack of meaning  
248 after 6% interstorey drift. Within this limit, the yielding, or even rupture, of tendons is not oc-  
249 ccurring. Analyses conducted for different connections have shown that the yielding of tendons  
250 always occur at very large interstorey drift (greater than 6%). This is due to timber flexibil-  
251 ity: because of the great elastic deformation  $\Theta_{el}$ , the maximum allowable gap opening  $\Theta_{gap}$  is  
252 limited for a given  $\Theta_{interstorey}$ .

### 253 **Performance levels in terms of interstorey drift**

254 Within this set, performance levels are defined in terms of interstorey drift. Although its val-  
255 ues are conventional, they are used as indicators in several building codes, e.g. New Zealand  
256 Standard (2004), FEMA P650 (2009) and Eurocode 8 (2005). The following values were con-  
257 sidered:

- 258 –  $PL1_{dr}$ : interstorey drift greater than 0.33%. Exceeding this value would cause damage  
259 to no-structural elements (Figure 9a) as suggested by the New Zealand Standard 1170.0  
260 (2002), Appendix C.
- 261 –  $PL2_{dr}$ : interstorey equal to 2.5%. This value represented the ultimate limit state or con-  
262 trolled damage (Figure 9b) as proposed by New Zealand Standard 1170.5 2004, Section  
263 7.5.1.
- 264 –  $PL3_{dr}$ : interstorey equal to 6%, assumed as collapse limit state (Figure 9c).
- 265 –  $PL4_{dr}$ : residual interstorey drift greater than 0.5%. If this value is exceeded, the re-  
266 occupancy of the building is not possible (Figure 9d). The building is likely to be demol-  
267 ished due to uneconomical repairs (McCormick et al., 2008; Hare et al., 2012).



**Figure 9.** Performance levels: A)  $PL1_{dr}$ , expected damage to no structural elements (courtesy of Stefano Pampanin) ; B)  $PL2_{dr}$ , expected damage to structural elements (courtesy of Stefano Pampanin) C)  $PL3_{dr}$ , expected significant damage or collapse (source: [www.tvnz.co.nz](http://www.tvnz.co.nz)); D)  $PL4_{dr}$ , expected residual deformation after the seismic event (photo taken by Asher Trafford, source <https://keithwoodford.wordpress.com/2011/02/27/understanding-the-christchurch-earthquake-building-damage>).

## 268 Combined performance levels

269 The performance levels described above are combined to have a unique set:

- 270 1.  $PL_{1,a}$  defined as serviceability limit state 1 (SLS 1). This is reached if  $PL1_{y,ms}$  occurs,  
 271 i.e. dissipaters are subjected to yielding. The dissipaters can be replaced after the event  
 272 at moderate cost (if external) or they can be left installed. This second option is recom-  
 273 mended if the strain deformation is moderate i.e., within 0.5-0.7%.
- 274 2.  $PL_{1,b}$  defined as serviceability limit state 2 (SLS 2). This is reached if  $PL2_{u,ms}$  or  $PL1_{dr}$   
 275 occurs. In other words, if the dissipaters have to be replaced at the end of the seismic

276 event (because they are broken) or damage is expected to non-structural elements.

277 3.  $PL_2$  defined as ultimate limit state (ULS) or controlled damage. This is reached if  $PL3_{y,t}$   
278 or  $PL2_{dr}$  occurs. In other words, if damage is expected to occur on the main structural  
279 elements or the interstorey drift is greater than 2.5%.

280 4.  $PL_3$  defined as collapse limit state (CLS). This is reached if  $PL4_{y,p}$ ,  $PL5_{u,p}$  or  $PL3_{dr}$   
281 occurs. In other words, if the system fails or excessive interstorey drift greater than 5%  
282 occurs.

283 5.  $PL_4$  defined as reparability limit state (RLS). This is reached if the residual drift after  
284 the earthquake is greater than 0.5%. In other words, if  $PL_4$  is reached the building is not  
285 considered repairable due to cost.

## 286 FRAGILITY FUNCTIONS FORMULATION

287 Fragility curves are defined as the probability of overcoming a specific performance level, con-  
288 ditional to an intensity measure,  $IM$ , (Shinozuka et al., 2000; Baker, 2015). In earthquake  
289 engineering, it is common to assume the lognormal distribution to define the fragility function  
290 (Baker, 2015; Porter, 2015), i.e. Equation ??

$$P(D = d | IM = im; \theta_f) = \Phi \left( \frac{\ln(im/\alpha)}{\beta} \right), \quad (5)$$

291 where  $\Phi(\cdot)$  is the standard normal cumulative distribution function,  $\theta_f = [\alpha, \beta]$ ,  $\alpha$  is the median  
292 of the fragility function, and  $\beta$  the standard deviation of the logarithm of the  $IM$ , in this case  
293 the spectral acceleration.

The parameters of the fragility functions are assumed dependent of the post-tensioning level,  $PT$ , represented by Equations (6) and(??):

$$\alpha(PT) = \mathcal{M}_\alpha(PT), \quad (6)$$

$$\beta(PT) = \mathcal{M}_\beta(PT), \quad (7)$$

294 where  $\mathcal{M}_\alpha(\cdot)$  and  $\mathcal{M}_\beta(\cdot)$  are functions describing the relationship between  $\alpha$ ,  $\beta$  and  $PT$ . In  
295 this setting, for different levels of  $PT$ , the parameter of  $\alpha$  and  $\beta$  are first computed using *the*  
296 *same* set of ground motions. Then, the empirical relationships Equations (6)-(7) are derived  
297 from tracing the different structural performances for different  $PT$  levels. In the following, the  
298 ground motion selection is firstly presented; then the derivation of the empirical relationship



299 (6), (7) is presented, followed by the time dependent fragility including the uncertainty on the  
300 *PT* level.

### 301 **GROUND MOTIONS SELECTION**

302 The fragility curves were developed by using the multi-stripe method (Baker, 2015). The inten-  
303 sity measure domain was subdivided in “stripes,” each one represented by the spectrum given  
304 by the New Zealand Standard 1170.5 (2004) for 20, 25, 50, 100, 250, 500, 1000, 2500 years  
305 return period, respectively.

306 For each spectrum (soil category D) representing the seismic hazard, 80 ground motions  
307 were selected for the two sites. Ground motions were extracted from the NGA database (Chiou  
308 et al., 2008) and scaled with respect to the spectral acceleration in correspondence of the first  
309 natural period of the structure (estimated equal to 0.85 s based on the modal analysis).

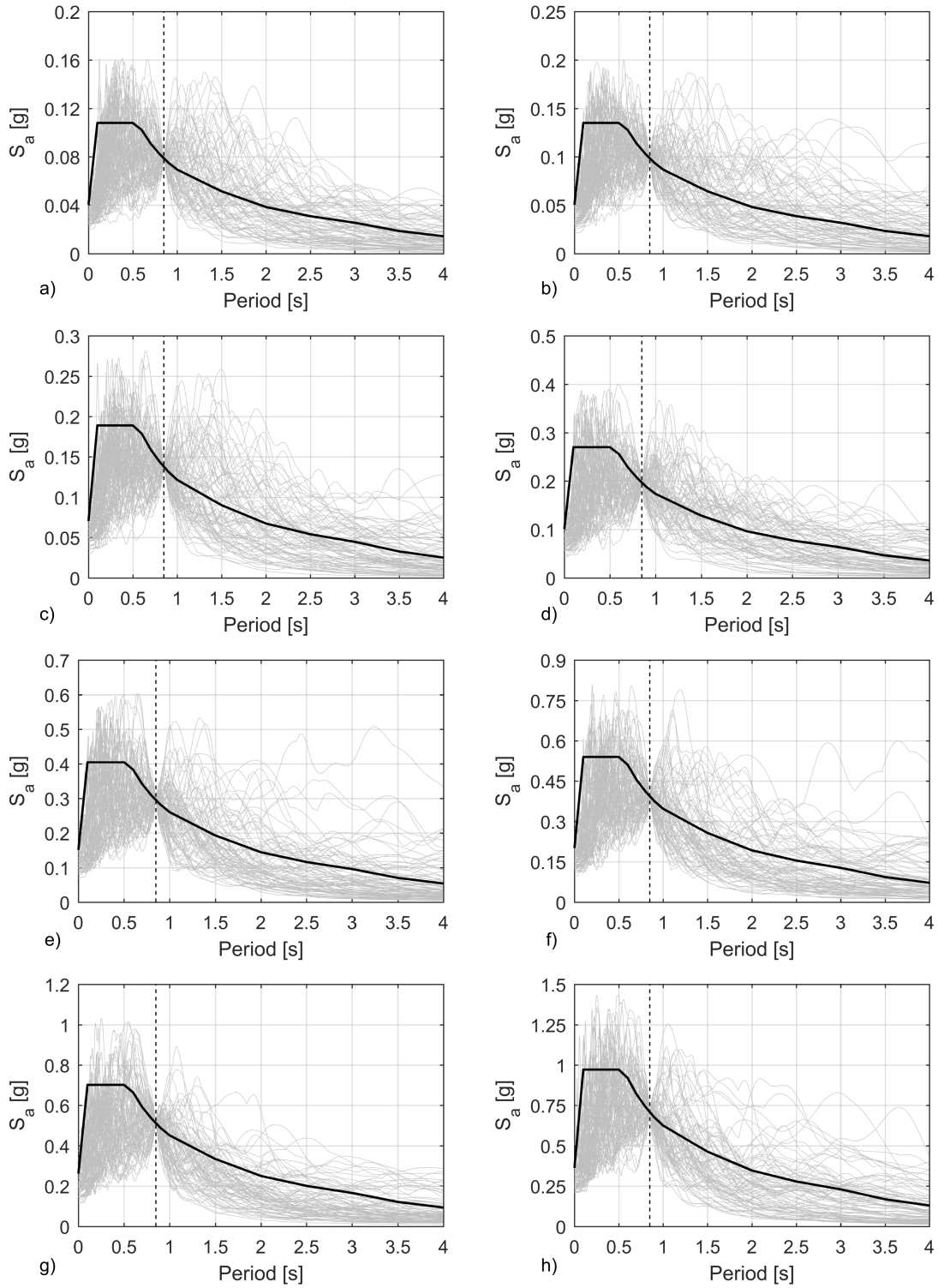
310 The following conditions were considered during the selection process :

- 311 1. the ratio between the spectral acceleration of the original ground motion and the code  
312 spectrum in correspondence of the first natural period can not be lower than 0.33 or greater  
313 than 3 (New Zealand Standard 1170.5, 2004).
- 314 2. the maximum spectral acceleration of the scaled ground motion is not higher than 1.5,  
315 which is the maximum spectral acceleration provided by the code.

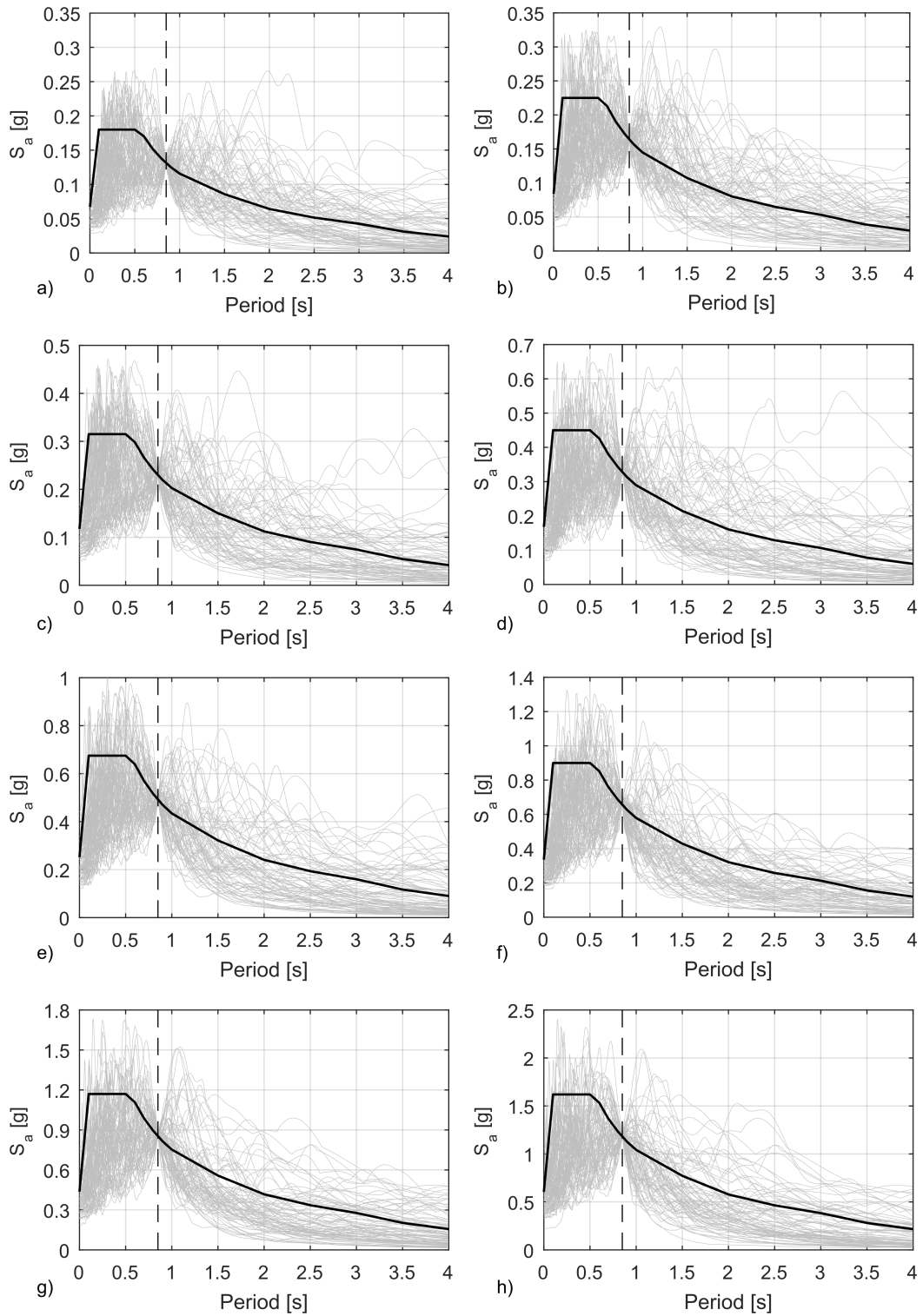
316 Both conditions were introduced to avoid:

- 317 1. having scaling factors too big or too small which dramatically affect the ground motion  
318 intrinsic properties (i.e., a ground motion of low intensity does not have the same fre-  
319 quency content of a ground motion of high intensity (Bradley, 2010));
- 320 2. adequately representing the hazard in correspondence of the first natural period as well as  
321 the plateau range of periods.

322 The spectra of the ground motions used in this study are reported in Figure 10 and 11 for  
323 low and high seismic zones, respectively.



**Figure 10.** Ground motions spectra for a) 20, b) 25, c) 50, d) 100, e) 250, f) 500, g) 1000 and h) 2500 years return period in low seismic zone. The natural period of the building is also highlighted by a dotted line.



**Figure 11.** Ground motions spectra for a) 20, b) 25, c) 50, d) 100, e) 250, f) 500, g) 1000 and h) 2500 years return period in high seismic zone. The natural period of the building is also highlighted by a dotted line.

## SEISMIC RESPONSE OVER TIME

324

### PARAMETERS OVER TIME

325

326 The parameters  $\alpha$  and  $\beta$  describing the fragility curves were calculated for 10 levels of post-  
327 tensioning loss, i.e., 0%, 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, and 45%<sup>b)</sup>.

328 In this study we impose the scaling parameter  $\beta$  for a specific performance level to be  
329 constant across the different PT levels, while the location parameter  $\alpha$  varies accordingly in a  
330 linear model. Imposing a constant  $\beta$  avoids intersection between the fragility curves, which are  
331 merely due to “jumps” of  $\beta$  values, due to the classification of EDP points on the onset of a  
332 limit state threshold.

333 Therefore, an average beta  $\beta_{avg}$  is estimated for each curve associated with a specific per-  
334 formance level, and the location parameter,  $\alpha$ , is recomputed on the reduced parameter space.  
335 This corresponds to the engineering assumption that the reliability of the structural system is  
336 uniformly decreasing (across all IM values) with the post tension losses.

337 The computation therefore involved two iterations and can be summarized as follows:

- 338 1. Calculation of  $\alpha$  and  $\beta$  as result of Maximum Likelihood Estimation (Baker, 2015);
- 339 2. Calculation of the average variance  $\beta_{avg}$  as weighted average of the different  $\beta$ s. The  
340 weights were calculated normalizing the probability of a specific post-tensioning loss in  
341 50 years.
- 342 3. Re-calculation of  $\alpha$  by considering  $\beta_{avg}$  instead of  $\beta$ .

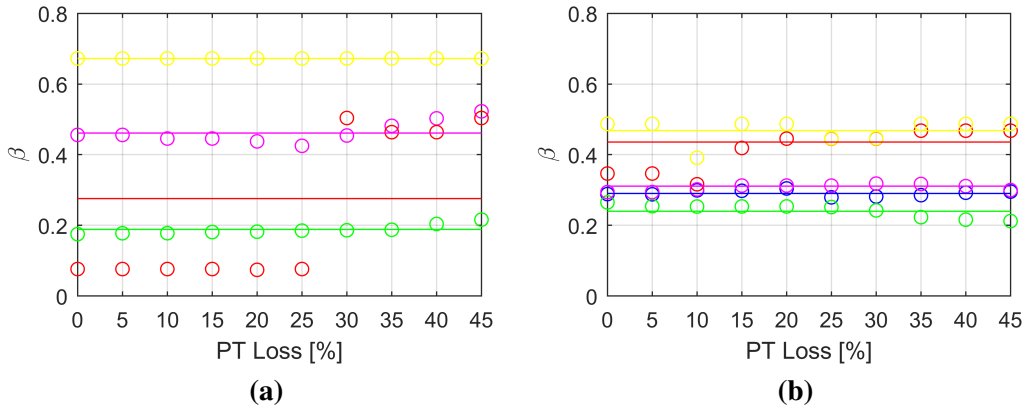
343 The values of  $\beta$  is reported in Figure 12a and 12b for the building without and with supplemental  
344 damping, respectively. The continuous line in both figures shows the average value  $\beta_{avg}$ , which  
345 is also reported in Table 7.

346 The values of  $\alpha$  are reported in Figures 13a and 13b for the building without and with  
347 supplemental damping, respectively. Results were interpolated with the following linear model  
348  $\alpha(PT) = \mathcal{M}_\alpha(PT) = a'PT + a_0$ , , with values reported in Table 7.

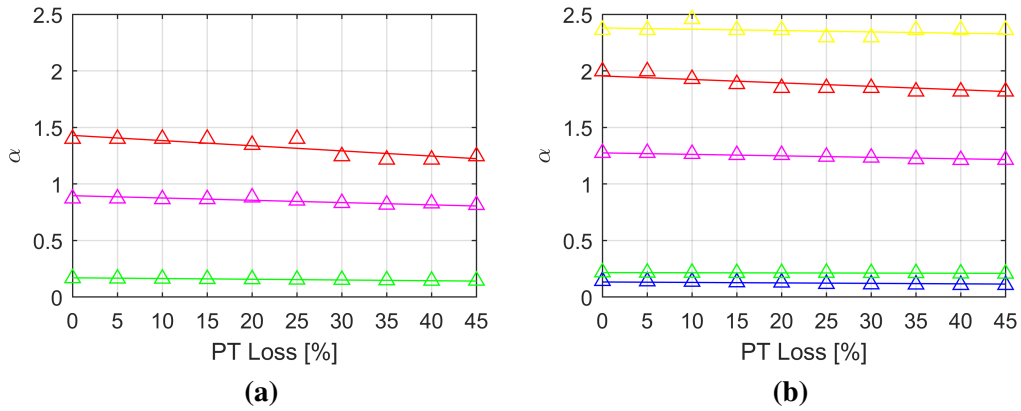
349 It can be noticed from Figures 13a and 13b that post-tensioning loss has an impact on the  
350 fragility curves. The greater the post-tensioning loss, a lower value of  $\alpha$  occurs. This means

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<sup>b)</sup>The levels were selected based on Figure 6



**Figure 12.** Variance  $\beta$  for the building A) without and B) with supplemental damping.



**Figure 13.** Average  $\alpha$  for the building A) without and B) with supplemental damping.

**Table 7.** Values of  $\beta_{avg}$  and  $\alpha = a'x + a_0$ , where  $x$  is the amount of post-tensioning loss.

	Without dissipaters				With dissipaters				
	SLS 2	ULS	CLS	RLS	SLS 1	SLS 2	ULS	CLS	RLS
$\beta_{avg}$	0.188	0.461	0.276	0.672	0.290	0.240	0.311	0.436	0.468
$a'$	-0.00065	-0.0020	-0.0046	-0.55	-0.00041	-0.00015	-0.0013	-0.0031	-0.0012
$a_0$	0.170	0.896	1.43	60.7	0.133	0.216	1.27	1.95	2.38

351 that, generally speaking, for a given intensity measure, the probability of overcoming a specific  
 352 performance level increases while losses increase.

353 Note that the values of  $\alpha$  for the RLS in the building without additional damping (yellow  
 354 triangles in Figure 13a) are not present in the graph until the post-tensioning loss reaches 70%.  
 355 These values are in fact 10 times greater than the CLS, which means the probability of over-

356 coming re-centering is 10 times lower in average than the probability of reaching 6% drift. This  
 357 was expected because the building does not have dissipaters, and the re-centering ratio is equal  
 358 to 1 and does not depend on the post-tensioning level. Also, it has to be noted that the  $\alpha$  re-  
 359 lated to re-centering is higher than the  $\alpha$  related to collapse. This means that the probability of  
 360 re-centering is always higher than the probability of collapse.

Considering now the process  $PT_t$  of Equation (3), the relationship between(6) and(7) are  
 rewritten as Equations 8 and 9

$$A_t = \mathcal{M}_\alpha(PT_t), = a'PT_t + a_0 \quad (8)$$

$$B_t = \mathcal{M}_\beta(PT_t) = \beta_{avg}, \quad (9)$$

361 The capital letters  $A_t$  and  $B_t$  are introduced to highlight the fact that the parameters  $\alpha, \beta$ , for a  
 362 given time  $t$ , are random variables. However, because  $\beta$  is assumed independent on the post-  
 363 tensioning loss, it is a deterministic value interdependent of time. In Figure 14, the values  
 364 of  $\alpha$  are reported for the building without additional damping. The dotted line represents the  
 365 response over time of the mean,  $\mu_{A_t} = a'\mu_{PT}(t) + a_0$ , while the boundaries represent the  
 366 response considering  $\pm 2\sigma_{A_t}$ , where  $\sigma_{A_t} = a'\sigma_{PT}(t)$ . In the same way, the values of  $A_t$  for the  
 367 building with additional dissipaters are reported in Figure 15.

### 368 **FRAGILITY CURVES**

369 The time variant fragility including the  $PT$  uncertainty is given by Equation (??):

$$P(D = d|im, \Theta_{f,t} = \theta_f) = \Phi \left( \frac{\ln(im/\alpha)}{\beta} \Big|_{A_t = \alpha, B_t = \beta_{avg}} \right). \quad (10)$$

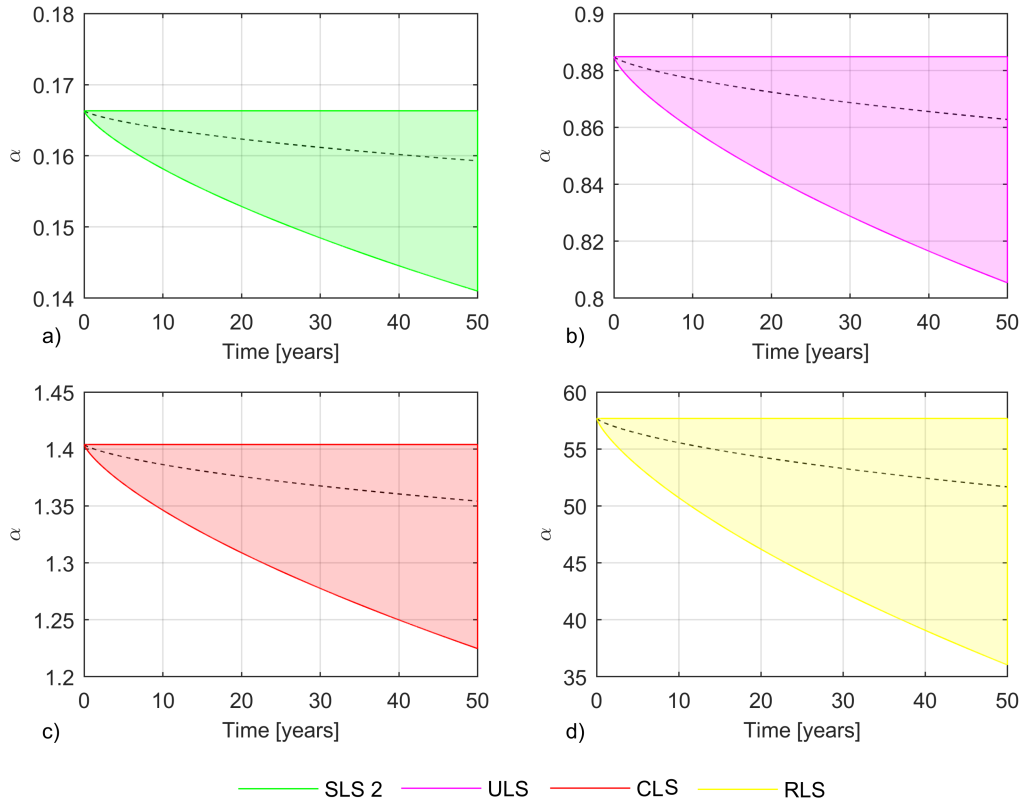
370 Then, the mean average fragility over time can be obtained by plugging in the mean value of  
 371  $A_t$ , i.e.

$$P(D = d|im, \Theta_{f,t} = \bar{\theta}_f) = \Phi \left( \frac{\ln \left( im / (a'\mu_{PT}(t) + a_0) \right)}{\beta_{avg}} \right), \quad (11)$$

372 and the 2STD confidence bounds can be obtain as

$$P(D = d|im, \Theta_{f,t} = \theta_{f,\pm 2\sigma}) = \Phi \left( \frac{\ln \left( im / (a'(\mu_{PT}(t) \pm 2\sigma_{PT}(t)) + a_0) \right)}{\beta_{avg}} \right). \quad (12)$$

373 Observe that these fragility functions are *marginal* fragility, i.e., they do not include the correla-  
 374 tion between different instants of time. It is considered out of the current scope of this study to  
 375 provide the definition of such time-correlation models mainly because a correlation analysis is



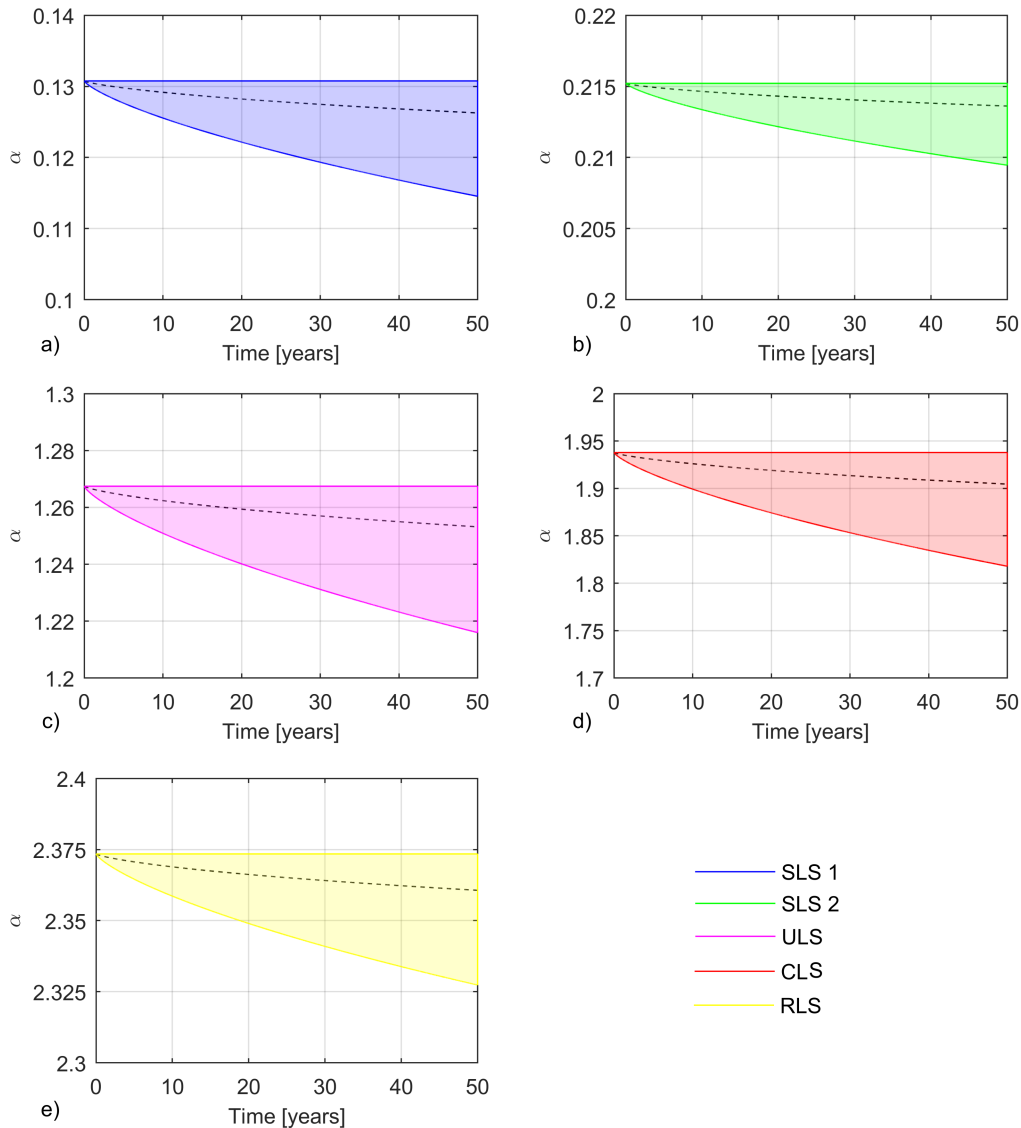
**Figure 14.** Parameter  $\alpha$  over time for each performance level in the building without supplemental damping (black line= mean value  $\mu$ , boundaries =  $\mu \pm 2\sigma$ .)

376 not available. Moreover, no inspections or measurements, which will justify updating the model  
 377 after information becomes available, are included in the design. In this case a full Gaussian pro-  
 378 cess, which includes a correlation model between different instants of time, can be integrated  
 379 in the current model. Observe that in this case the current formulations of  $A_t$  and  $B_t$  play the  
 380 role of “prior information.” Moreover, if only one fragility is desired (instead of a family of  
 381 fragility) which also includes the  $PT$  uncertainty, the following equation can be used

$$P(D = d | im, \Theta_{f,t} = \theta_f) = \int_{\alpha} \Phi \left( \frac{\ln(im/\alpha)}{\beta} \middle| \alpha, \beta_{avg} \right) f(\alpha|t) d\alpha. \quad (13)$$

382 The fragility curves at the initial time for the building with dissipaters are reported in Figure  
 383 16a. It can be noticed that the building has less than 20% probability to damage the no-structural  
 384 elements (SLS2) for a seismic event with a return period equal to 25 years, and a considerably  
 385 small probability (i.e. 0.01%) to damage to the structural elements (ULS) by an event with  
 386 return period equal to 500 years and less than 16% to exceed a 6% drift (CLS) under an event  
 387 with a return period equal to 2500 years. Furthermore, for events with a return period lower  
 388 than 500 years the building shows a probability greater than 99.9% to have residual deformation



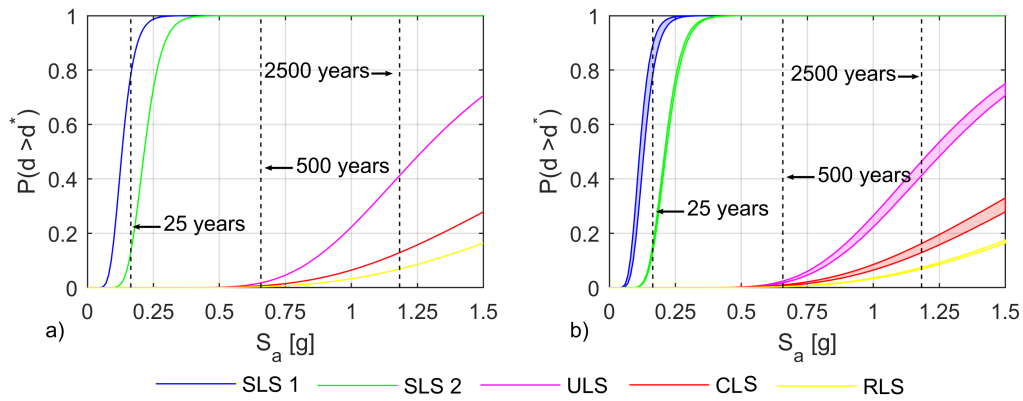


**Figure 15.** Parameter  $\alpha$  over time for each performance level in the building with supplemental damping (black line= mean value  $\mu_{A_t}$ , boundaries =  $\mu_{A_t} \pm 2\sigma_{A_t}$ ).

389 smaller than 0.5% drift. Finally, there is 70% probability that the dissipaters are subjected to  
 390 yielding for an event with a return period equal to 25 years.

391 Figure 16b reports the family of fragility curves at 50 years. The lower bound is represented  
 392 by a scenario with post-tensioning loss equal to 45% (i.e., the expected average value minus  
 393 2 standard deviations). Results shows that the performance at SLS2, ULS, CLS and RLS is  
 394 similar to the initial one. However, the probability of yielding the dissipaters increases from  
 395 70% to almost 100% for an event with a 25 years return period.

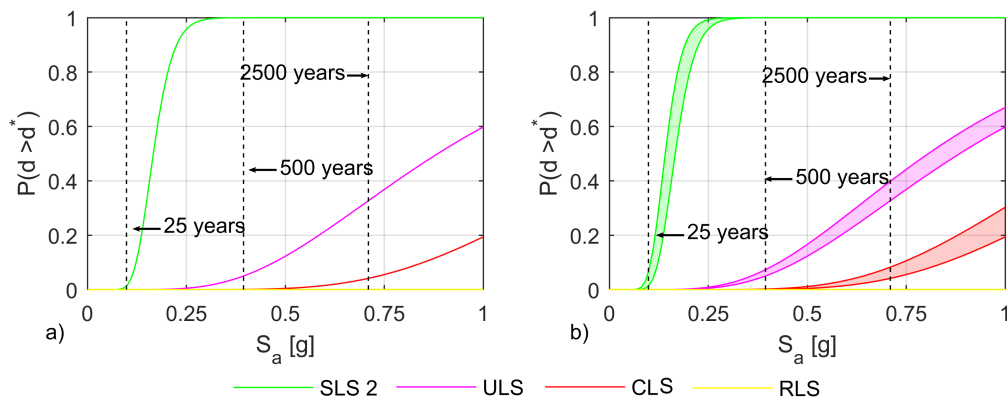
396 Dissipaters are in fact earlier activated when post-tensioning loss occurs, because the clamp-



**Figure 16.** Fragility curves for the building with dissipaters at A) initial time and B) after 50 years.

397 ing force between the beam and the column is reduced. Therefore, they start dissipating energy  
 398 at lower level of drift. Because of this the interstorey drift does not significantly increase, al-  
 399 though the connection capacity is reduced.

400 However, they are activated more often during the building life, as an event with a lower  
 401 return period can easily trigger the rocking motion. If dissipaters are external (e.g., in the  
 402 beam-column joint case), the cost is minor due to the easy access and process. However, if  
 403 dissipaters are internal (e.g. column-foundation case) the replacement might take more time  
 404 with a consequently higher cost replacement.



**Figure 17.** Fragility curves for the building without dissipaters at A) initial time and B) after 50 years.

405 Figure 17a reports the fragility curves for the building without dissipaters at initial time. It  
 406 can be noticed the specimen shows approximately 1% probability of damaging the no-structural  
 407 elements for an event with a 25 years return period; approximately 5% probability of damaging  
 408 the structural elements for an event with 500 years return period; and less than 5% probability

409 of overcoming 6% drift for an event with a 2500 years return period. Furthermore, the building  
410 shows more than 99,9% probability of having a residual interstorey drift lower than 0.5% for  
411 all the events with a return period below 2500 years.

412 Generally speaking, it can be seen from Figure 17b that the area enclosed between the SLS,  
413 ULS and CLS curves at initial time and the same curves at 50 years, is greater in respect to the  
414 case of the building with additional damping. This means that post-tensioning losses have a  
415 greater impact when no dissipaters are provided, and the consequent shift of the fragility curve  
416 at 50 years is higher (with respect to the building with additional dissipaters). When looking  
417 at design code provisions, the probability of exceeding the SLS, ULS and CLS limit state for  
418 specific events with a 25, 500 and 2500 years return period, rises approximately to 7%, 7%  
419 and 8% , respectively. This means that the building still shows an acceptable code compliant  
420 behavior after 50 years. However, from the pure seismic performance point of view, the greater  
421 shift in the fragility curves over time proves that dissipaters mitigate the effect of post-tensioning  
422 loss in terms of overall damage.

423 In terms of re-centring, the building with no dissipaters after 50 years still maintains a  
424 probability of exceeding the RLS lower than 0.1% an event with return period lower than 2500  
425 years. This again s due to the fact that, if dissipaters are not provided, the only post-tensioned  
426 joint is able to re-center although losses occur.

## 427 CONCLUSION

428 The paper presented a method to evaluate the seismic performance of post-tensioned timber  
429 frame buildings through time-dependent fragility curves. The method was then applied to two  
430 PresLam frame buildings, which were designed respectively in a high seismic hazard zone  
431 (corresponding to a maximum spectral acceleration in correspondence of the plateau equal to  
432 0.9 g for a 500 years return event) and a low seismic hazard zone (corresponding to maximum  
433 spectral acceleration in correspondence of the plateau equal to 0.54 g for a 500 years return  
434 event).

435 The building in the high seismic zone was designed by combining unbonded post-tensioned  
436 tendons with dissipaters, while the building in the low seismic zone relies only on unbonded  
437 post-tensioned tendons.

438 A set of performance levels was adapted for post-tensioned timber rocking structures. The  
439 post-tensioning force over time was predicted by using an available methodology, and the uncer-

440 tainty in the prediction was also considered by using data monitored on an operative building.

441 In terms of buildings performance, results show that:

- 442 1. the building performance is slightly affected over time. In both cases, the probability  
443 of damaging the non-structural elements, damaging the structural elements or collaps-  
444 ing, slightly increases (i.e. 5-10%) after 50 years. Both the structures analyzed (with  
445 and without dissipaters) provide a satisfactory performance while considering damage to  
446 non-structural elements, damage to structural elements and excessive interstorey drift for  
447 events with 25 years, 500 years and 2500 years return period, respectively. If dissipaters  
448 are provided, they contribute to reducing the expected increase of interstorey drift due to  
449 post-tensioning losses over time.
- 450 2. Both buildings show good re-centring capability, i.e., a probability greater than 99.9%  
451 of having a residual interstorey drift lower than 0.5% for an event with 500 years return  
452 period.
- 453 3. The building with additional damping showed an increase of probability (i.e. 30-40%)  
454 of yielding the dissipaters over time for low intensity earthquakes. Because the post-  
455 tensioning force reduces over time, the rocking motion is activated for lower levels of  
456 seismic force. Therefore, dissipaters should be preferably designed (if possible) to be  
457 accessible to facilitate the replacement operations and minimize the cost.

458 This study was focused on middle-rise buildings, i.e., four storey buildings, because they  
459 represent the ideal application for post-tensioned timber frame systems. In the case of higher  
460 structures, other structural systems are considered more appropriate to the resist lateral loads,  
461 such as post-tensioned timber walls .

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