

1 A call for refining the role of humic-like substances in the oceanic iron cycle

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22 Abstract

23 **Primary production by phytoplankton represents a major pathway whereby**
24 **atmospheric CO₂ is sequestered in the ocean, but this requires iron, which is in scarce**
25 **supply. As over 99% of iron is complexed to organic ligands, which increase iron**
26 **solubility and microbial availability, understanding the processes governing ligand**
27 **dynamics is of fundamental importance. Ligands within humic-like substances have**
28 **long been considered important for iron complexation, but their role has never been**
29 **explained in an oceanographically consistent manner. Here we show iron co-varying**
30 **with electroactive humic substances at multiple open ocean sites, with the ratio of iron to**
31 **humics increasing with depth. Our results agree with humic ligands composing a large**
32 **fraction of the iron-binding ligand pool throughout the water column. We demonstrate**
33 **how maximum dissolved iron concentrations could be limited by the concentration and**
34 **binding capacity of humic ligands, and provide a summary of the key processes that**
35 **could influence these parameters. If this relationship is globally representative, humics**
36 **could impose a concentration threshold that buffers the deep ocean iron inventory. This**
37 **study highlights the dearth of humic data, and the immediate need to measure**
38 **electroactive humics, dissolved iron and iron-binding ligands simultaneously from**
39 **surface to depth, across different ocean basins.**

41 Introduction

42 Iron is a key micronutrient to marine microorganisms. Low concentrations of dissolved iron
43 limit primary production in up to 40% of the ocean¹. Over long timescales, the deep ocean
44 iron inventory is important for global ocean primary productivity², as it can fuel dissolved
45 iron supplied to the surface through winter mixing and diapycnal diffusion. Since ligand
46 complexation is crucial for maintaining iron in solution³, fully quantifying the concentration

47 and characteristics of the ligand pool is an essential task. For example, varying the
48 concentrations of iron binding ligands in biogeochemical models has a significant impact on
49 atmospheric CO₂ calculations⁴. However, the processes controlling the distribution and iron
50 binding capacity of this pool are difficult to constrain. In order to identify the processes
51 regulating iron complexation, it is necessary to consider the controls on key ligand groups, as
52 well as on the wider dissolved organic carbon (DOC) pool to which they contribute. Although
53 many ligand types exist, they are typically separated into classes defined by the strength of
54 their complexes with iron, measured by competition against artificial ligands of known
55 binding constants⁵. This gives an average concentration and binding strength of one or more
56 ligand classes, but not their identity, which must be inferred by comparison to known
57 compounds. Siderophores represent the strongest natural ligands, released by specialised
58 microorganisms to acquire iron⁶. Although a siderophore-like ligand class is often detected⁵,
59 as of yet, direct measurements have only found siderophores to complex less than 10% of iron
60 (though their contribution could be higher)^{7,8}. Weaker ligands also play a predominant role in
61 iron cycling and biological uptake⁹. Around 30% of the marine DOC pool is composed of
62 labile polysaccharides¹⁰, some of which can form weak complexes with iron¹¹. The further
63 decomposition of cell-derived products contributes to a longer-lived DOC pool known as
64 humic-like substances, which compose around 50% of DOC¹⁰ and of which a smaller fraction
65 (around 5% of DOC) can bind to iron¹².

66
67 When only a single ligand class is detected, it is usually of intermediate binding strength
68 throughout the water column⁵. Humic ligands form iron complexes of intermediate
69 strength^{13,14}, bracketed by stability constants of the stronger siderophores and weaker
70 polysaccharides. All major sources of iron to the water column also supply humic-like
71 material¹⁵⁻¹⁸, with terrestrial-derived humics often dominating iron complexation in estuarine
72 and coastal waters^{13,14}. Furthermore, the stability of iron-humic complexes allows iron to be
73 transported long distances by ocean currents¹⁹. However, despite many indications that humic
74 ligands play a key role in the iron cycle, it has thus far proven difficult to explain the
75 relationship between humic substances, iron and the iron ligand pool in ocean waters. This is
76 because humics are themselves a heterogeneous mixture of soluble and colloidal-sized
77 compounds of various origins¹⁴ and iron-binding behaviour^{20,21}. Here, we demonstrate an
78 intrinsic relationship between dissolved iron and humic ligands throughout the water column
79 across multiple open ocean sites. We attempt to further refine the hypothesis for the proposed
80 geochemical control of iron in seawater¹³ by summarising the key processes that may
81 influence this relationship, which have not previously been considered as controls on oceanic
82 dissolved iron distributions.

83

84 **Results and Discussion**

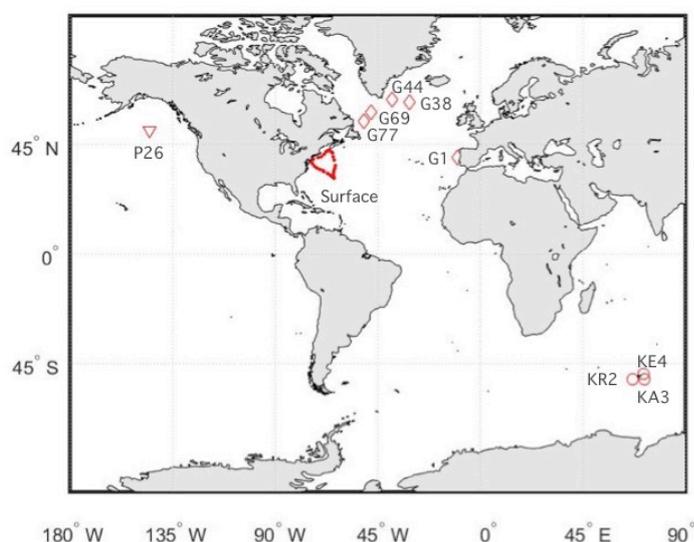
85 **Variability in iron binding by marine humics**

86 Sampling locations include the North and Northwest Atlantic, the Southern Ocean and the
87 Northeast Pacific (Figure 1). Sites range from iron-limited, high nutrient-low chlorophyll
88 regions of the open ocean to iron-replete areas influenced by sea ice and terrestrial inputs.
89 Dissolved metal-binding humic substances were measured electrochemically (eHS) and
90 compared to dissolved iron concentrations. These measurements are distinct from
91 fluorescence-based measurements, and assume that the electroactive humic fraction is
92 representative of the bulk of humic ligands for metals. The highest electroactive humic
93 concentrations were found nearest the coast, in the Northwest Atlantic (reaching 189 µg/L). In
94 open ocean samples, we found similar concentration ranges in all ocean basins (Atlantic 12-
95 116 µg/L, Pacific 18-54 µg/L, Southern Ocean 18-81 µg/L, Supplementary Table S1), with
96 the highest concentrations at intermediate depths. In the North Atlantic, iron-binding ligands
97 and fluorescent dissolved organic matter (FDOM) were also measured.

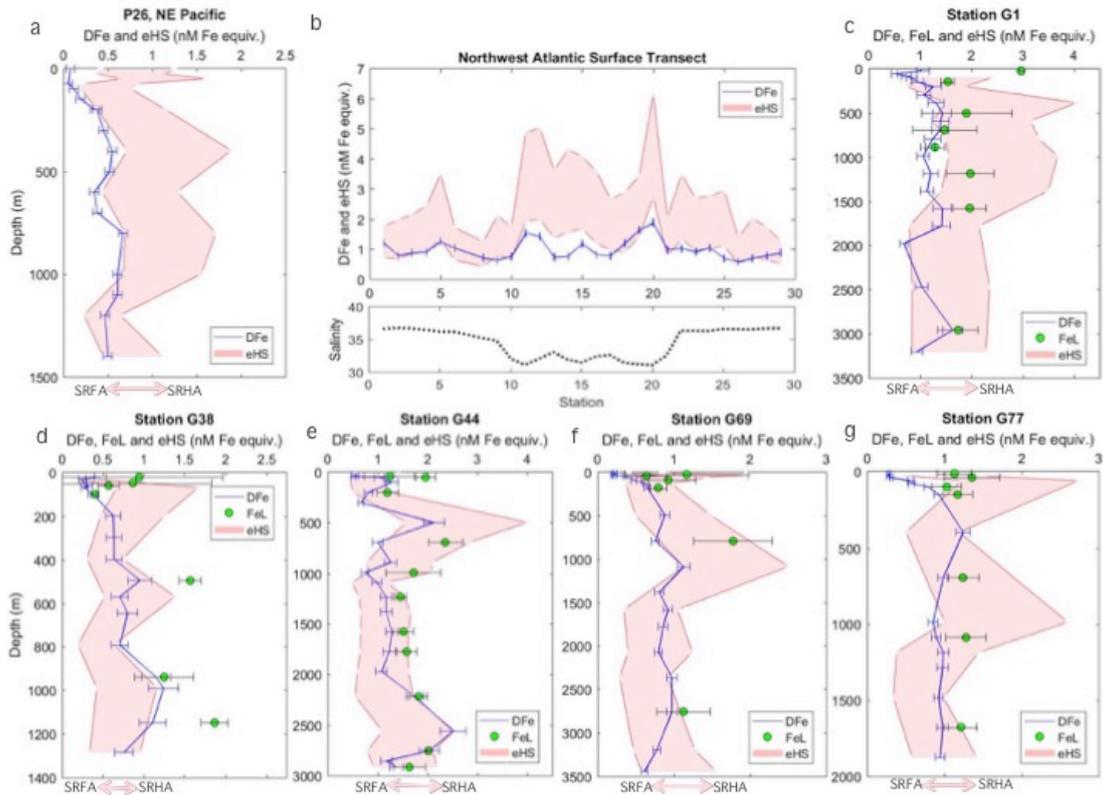
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99 Humic substances are inherently heterogeneous. Composed of a combination of both humic
100 and fulvic acids, which are defined operationally based on extraction protocols, the number
101 and strength of iron binding sites varies^{20,21}. Increased aromaticity in humics has been linked

102 to increased iron binding²². Terrestrial humics are largely derived from highly aromatic
103 lignins and tannins only found in vascular plants²³. In contrast, marine humics are mostly
104 derived from plankton and are carboxyl rich and aliphatic, with lower aromatic content^{24,25}.
105 While the scarcity of lignin in aquatic systems may account for some discrepancies between
106 terrestrial and marine humics, microbially produced compounds (precursors to humics, such
107 as amino acids, lipids and polysaccharides) from bacteria and algae in both systems may
108 account for some similarities²⁶. Since the iron binding capacity of humics is based on
109 numerous factors, here we account for the full range of published iron binding capacities for
110 terrestrial standards from the Suwannee River, using an envelope that encompasses the
111 maximum (Suwannee River Humic Acid, SRHA) and minimum (Suwannee River Fulvic
112 Acid, SRFA) reported values^{13,21,27} as a first approximation (Figure 2). While marine humics
113 could well incorporate a wider range, the mean iron-binding capacity of our samples
114 (Supplementary Table S1), as well as in the Pacific Ocean¹³ and Mediterranean Sea¹², indicate
115 a similar range to terrestrial standards, lending confidence to this assumption.

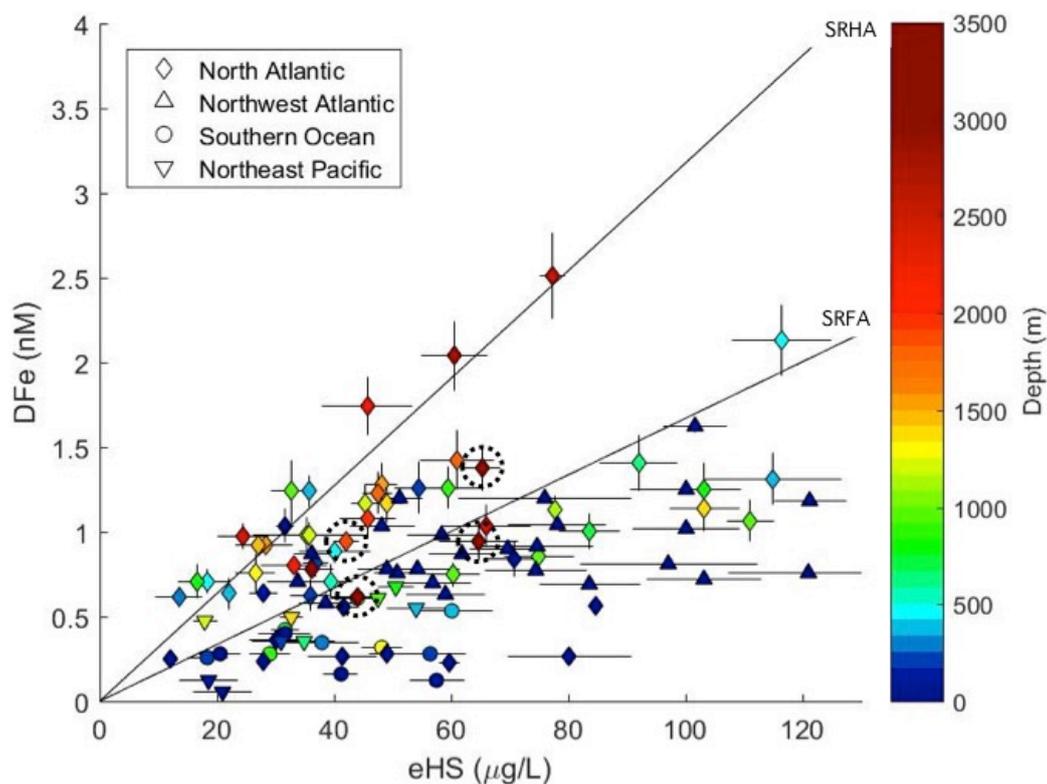


116
117 Figure 1. Map of sample locations. Surface samples (5m depth) are from the Bermuda
118 AE1714 cruise sampled from the Towfish whilst steaming. Depth profiles: Stations G1, G13,
119 G38, G44, G69 and G77 in the North Atlantic are from the GEOVIDE cruise (GA01); P26 in
120 the Northeast Pacific is from the August 2012-13 Line P cruise; KR2, KE4 and KA3 are from
121 the KEOPS2 study in the Southern Ocean (GIpr01). Figure generated using Matlab software.



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Figure 2. The concentrations of dissolved iron (blue line) and iron-binding ligands (green circles, where available), with an envelope (red) for electroactive humic substances (eHS), encompassing the maximum and minimum iron-binding capacities reported for terrestrial IHSS standards^{13,27}. (A) Station P26 in the Northeast Pacific (Line P); (B) Surface samples (~5 m) Northwest Atlantic (Bermuda AE1714), with salinity included below; (C to G) Stations G1-G77 depth profiles from the North Atlantic (GEOVIDE GA01). Error bars show standard deviation, which for eHS is included within the envelope. Spaces in the eHS boundaries show eHS sampling points. Figure generated using Matlab software.



132
 133 Figure 3: The relationship between the concentrations of electroactive humic substances and
 134 dissolved iron from this study, coloured by depth ($\rho = 0.5$, $p < 0.0001$, $n = 105$, where ρ is the
 135 Spearman's correlation coefficient). The upper line demonstrates the maximum iron binding
 136 capacity for the measured humic concentrations (32 ± 2.2 nM Fe/mg SRHA), and the lower
 137 line shows the lower binding capacity for the equivalent concentration (14.6 ± 0.7 nM Fe/mg
 138 SRFA), from reported values for terrestrial IHSS standards^{13,27}. These have slopes of 0.33 and
 139 0.73 ± 0.43 as SRHA and SRFA iron-binding equivalents respectively, not shown. The four
 140 points with a dashed circle are influenced by sediment, based on transmissiometry data. Error
 141 bars represent the standard deviation. Figure generated using Matlab software.

142
 143
 144 We find broad correspondence between dissolved iron and humic ligands throughout the
 145 water column (Figure 3, $\rho = 0.5$, $p < 0.0001$, $n = 105$, where ρ is the Spearman's correlation
 146 coefficient). However, the range of iron-binding ligands that exist in marine waters, such as
 147 low concentrations of siderophores saturated with iron⁷, and the variable iron-binding
 148 capacity of humic material, hinder straightforward comparisons. To observe if any broad
 149 behavioural trends could be detected and explained, we compared the ratio of dissolved iron
 150 to humics (DFe/eHS) across all samples. In surface samples where the organic matter pool is
 151 highly dynamic, encompassing coastal to open ocean regions, DFe/eHS covered the full range
 152 of iron binding capacities reported for terrestrial standards^{13,27}. In 49% of samples shallower
 153 than 200m, DFe/eHS values near or below the lower binding capacity suggested
 154 undersaturation of humics with iron. There is a loss of dissolved iron due to scavenging and
 155 biological uptake in upper waters. In addition, the humic pool in upper waters is largely
 156 aliphatic²⁸, and thus has reduced iron-binding capacities. While freshwater inputs can supply
 157 aromatic terrestrial humics, photodegradation destroys terrestrial-derived ligands but is a
 158 source of aliphatic humics²⁹. Humic material in aerosols¹⁷ and marine-derived organic
 159 matter²⁵ are also predominantly aliphatic. In a study focussed in the upper 100-200m in Arctic
 160 waters, electroactive humics were found to be on average 62% saturated with iron (range 14-
 161 90%), when assuming that all humic ligands had the lowest reported iron binding capacity²⁷.
 162

163 In our samples from the upper 1000 m of the Northeast Pacific and in all samples from the
164 Southern Ocean, where most humic-like material comes from the recent microbial
165 degradation of sinking autochthonous organic matter³⁰, the DFe/eHS ratio was below or
166 equivalent to the lowest reported binding capacity of terrestrial humics (Supplementary Fig.
167 S1, Table S1). The humics in these samples thus have low iron binding capacities, or are not
168 saturated with iron; iron concentrations in these samples were generally lower than in our
169 Atlantic samples. Iron saturation of humic ligands has been found to increase with depth⁷, as
170 dissolved iron is supplied to the water column from the bacterial remineralisation of sinking
171 organic matter³. In samples deeper than 1000m in the Pacific, which account for old waters³¹,
172 the DFe/eHS ratio increased respective to surface waters, whereas in the Southern Ocean,
173 DFe/eHS remained low.

174
175 In our samples from the North Atlantic, which experienced greater terrestrial influence³² and
176 reached deeper sampling depths, DFe/eHS ratios exceeded the lower binding capacity in over
177 50% of samples. In around 25% of samples, DFe/eHS reached the maximum reported binding
178 capacity of terrestrial humic substances (Figure 3). Terrestrial humics arrive in the marine
179 environment pre-aged and highly aromatic, particularly those derived from peatlands common
180 in the Arctic. A considerable 30% of lignin phenols discharged by Arctic rivers is exported to
181 the North Atlantic³³ suggesting some fraction of the humic pool in our North Atlantic samples
182 is likely terrigenous and aromatic³². Deep waters reflect an accumulation of aged humic
183 material of higher aromaticity^{28,29}, linked to the persistence of terrestrial humics^{32,34}, the
184 selective decomposition of organic matter³⁵, and the hypothesized aging process of
185 humification^{24,28,34}. The increased aromaticity of humics in deep and terrestrially-influenced
186 waters, leading to higher iron binding capacities²², could explain the higher DFe/eHS we
187 observe in parts of the North Atlantic.

188
189 Indeed, we find that the binding capacity of humic ligands could define an upper limit for
190 dissolved iron concentrations in the ocean interior (Figure 3). We find that dissolved iron
191 concentrations do not significantly exceed the maximum potential iron binding capacity of
192 humics in any sample, with many values falling along the upper limit. Our results suggest that
193 a combination of the concentration and binding capacity of humic ligands may control bulk
194 dissolved iron distributions in some parts of the global ocean. Finally, we find lower DFe/eHS
195 near the sediment. Bottom waters are influenced by local microbial communities in sediments
196 supplying fresh aliphatic material¹⁵, which, along with the increased scavenging of iron onto
197 resuspended particulate material³⁶, result in low DFe/eHS. The processes influencing the
198 aromaticity and iron binding capacity of humic ligands are summarised in Figure 4.

199

200 **Metal-binding humic substances: marine and terrestrial influences**

201 As is the case for the wider humic pool, this study finds electroactive humic ligands to be
202 ubiquitous in seawater. Higher concentrations at low salinities demonstrate a terrestrial
203 source, as would be expected. However, elevated concentrations in mesopelagic waters and
204 relatively consistent values at open ocean locations also agree with a marine source and a
205 refractory component. Humic substances are both produced and degraded by bacterial
206 respiration³⁷, modifying the optical signature of the humic pool in the thermocline³⁴. A proxy
207 for the bacterial remineralisation of organic matter is the apparent oxygen utilisation (AOU).
208 Dissolved iron has been found to correlate with both humic-like fluorescence and the AOU in
209 intermediate and deep waters of the central Pacific³⁸. However, it is currently unclear how
210 qualitative fluorescence measurements compare to quantitative electrochemical measurements
211 of humic substances. Only a small fraction of the overall humic pool binds metals, and this
212 may not correspond to the fluorescent fraction. In our samples from the Pacific, dissolved iron
213 showed some agreement with AOU (slope = 0.02 ± 0.14 , $R^2 = 0.63$, $p < 0.01$, $n = 9$), though
214 depth profiles highlighted inconsistencies. The DFe/eHS ratio and high AOU in the Pacific
215 was indicative of bacterial remineralisation supplying fulvic-like ligands (Supplementary Fig.
216 S1), however, we found no relationship between electroactive humic concentrations and the
217 AOU.

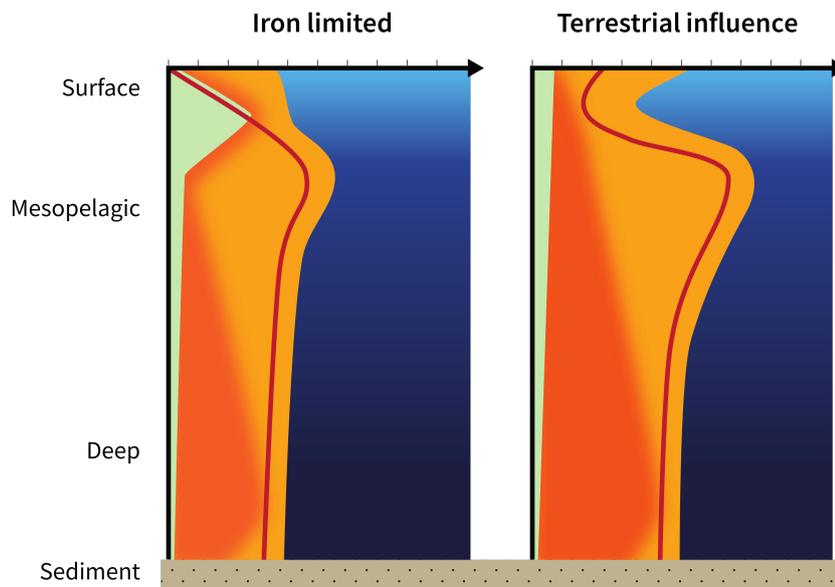
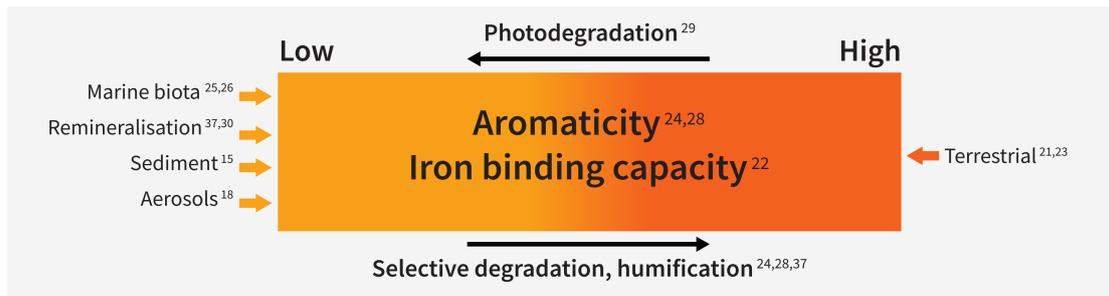
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219 In the North Atlantic, AOU did not correlate with dissolved iron, electroactive humics,
220 humic-like fluorescence or iron ligands. The North Atlantic has a high terrestrial humic
221 influence, which, along with frequent ventilation, masks the relationship between bacterial
222 remineralisation and organic matter concentrations^{30,39} and thus the relationship with
223 dissolved iron⁴⁰. Our FDOM data agreed with a strong contribution of terrestrial humic-like
224 fluorescence across our North Atlantic samples (Supplementary Table S1). We found no
225 correlation of electroactive humic concentrations with total, terrestrial or marine humic-like
226 fluorescence, suggesting that the fluorescent components of humic material do not always
227 correspond to the metal-binding components. However, metal-binding humics originate from
228 multiple sources, and as the fluorescent properties of marine and terrestrial humics share
229 some common features, their respective contributions are not well distinguished³⁹.

230

231 Ligand studies in the Atlantic have similarly not found any correlation of the dominant L₁ and
232 L₂ ligand classes with AOU⁴¹, which is also likely in part to be linked to the combination of
233 terrestrial inputs and frequent ventilation^{30,39}. Our findings agree with other electrochemical
234 humic and AOU comparisons in the East Atlantic, while a negative correlation was found in
235 the Mediterranean¹². It was concluded that the negative correlation between electroactive
236 humics and the AOU could be the result of increased degradation of marine-derived humics
237 induced by the enhanced respiration rate of DOC in the Mediterranean, resulting in a humic
238 sink (Dulaquais et al. 2018 and references therein). The authors drew attention to the
239 possibility of two pools of humics: one marine-derived pool produced at the surface and
240 available for bacterial degradation, and a second terrestrial-derived pool trapped in the deep
241 sea, which is truly recalcitrant.

242



— Dissolved iron Strong ligand class Iron-binding humic substances

243
 244 Figure 4: Our proposed schematic of the processes influencing the supply and iron-binding
 245 nature of humic substances in seawater. Above represents the continuum of iron binding by
 246 humics linked to aromaticity²², along with the contributors of humic-like material to ocean
 247 waters. Below, our hypothesis for the potential contribution of humics to iron complexation
 248 and the iron ligand pool in two scenarios: iron limited regions (left) and terrestrially
 249 influenced regions (right). Figure generated using Adobe Illustrator CC software.

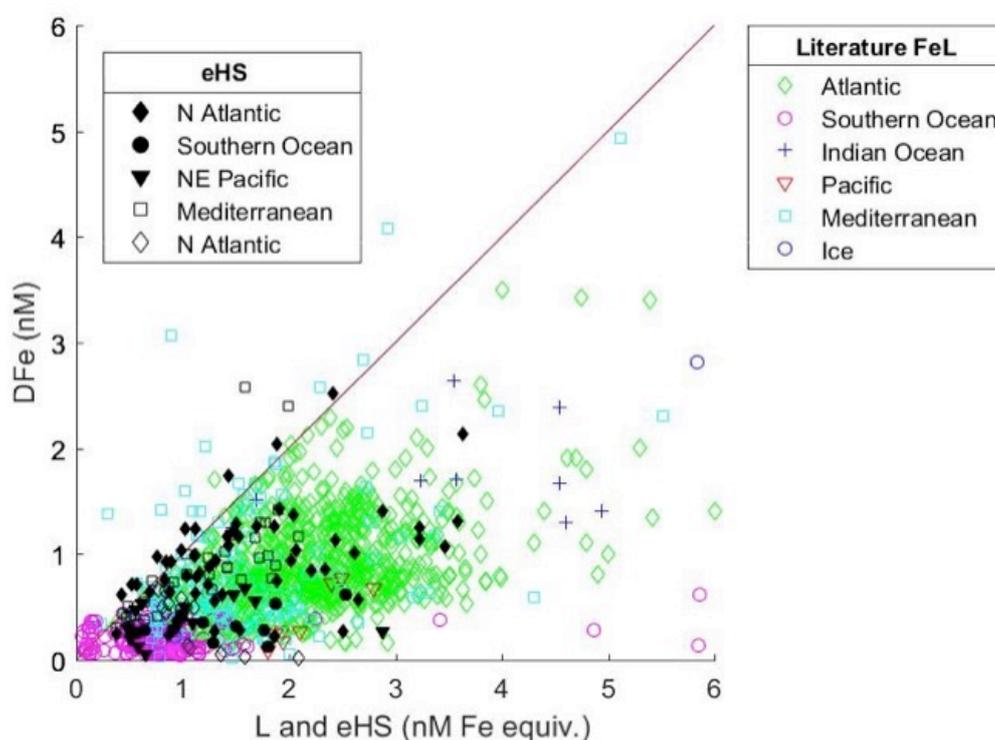
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 251
 252 Terrestrially-influenced regions experience plumes of elevated dissolved iron concentrations,
 253 transported offshore in humic complexes¹⁹. Peatland sources in particular supply highly
 254 aromatic humics. A schematic of our proposed humic contribution to iron binding in iron
 255 limited regions compared to waters with a terrestrial signature (Figure 4) is in agreement with
 256 general trends for ligand concentrations and binding strengths⁵. This schematic represents a
 257 first approach at highlighting the potential implications of humic aromaticity and variable iron
 258 binding capacities on iron complexation in ocean waters. However, it is likely that not all
 259 terrestrial inputs are equal, with the iron-binding capacity dependent on the contribution of
 260 pre-aged, aromatic components, and the protection of this fraction from degradation during
 261 transport. For example, humics from Arctic rivers derived from highly aromatic peatlands and
 262 transported during periods of low light intensity may be expected to have higher iron loading
 263 than humics from large tropical rivers, where photodegradation and microbial activity reduces
 264 the aromaticity of the humic pool during transport. The variability in freshwater iron supply
 265 linked to both the concentration and quality of organic matter²² deserves further investigation.
 266

267 When considering that a large fraction of organic matter in the deep ocean is aged and
268 allochthonous¹⁰, our results suggest that the persistence of terrestrial humics in the deep ocean
269 may be pivotal in the global iron cycle. However, there are many sources of both iron
270 and humic material to seawater and it is likely that aged humics in the deep ocean are derived
271 from a combination of terrestrial³⁴, marine³⁷ and even hydrothermal sources¹⁶. Regardless of
272 origin, the apparent upper boundary for dissolved iron concentrations suggests that the
273 concentration and quality of humics could limit bulk dissolved iron concentrations in
274 intermediate and deep waters of the North Atlantic. Our data from the Pacific and Southern
275 Ocean demonstrate a relationship exists between iron and humics in other basins, but a lack of
276 deep samples mean the extent of the upper boundary on iron concentrations remains to be
277 verified in future investigations.

278 279 **Humic contribution to the iron ligand pool**

280 As the iron binding capacity of the humic ligands can vary, and as ligand measurements do
281 not distinguish between different compounds of similar binding strengths, constraining the
282 contribution of humics to the iron ligand pool is challenging. In an effort to compare the
283 relationship between dissolved iron and electroactive humics to the typical relationship with
284 the overall ligand pool, we plotted iron ligand data against iron concentrations from an array
285 of published studies, encompassing multiple basins and methods (Figure 5). Similar plots
286 have been presented previously, including recently in the Pacific⁴², showing a relationship
287 consistent with our figure. It is common to find ligand concentrations in excess of dissolved
288 iron, particularly in surface waters where iron depletion coincides with ligand production.
289 However, the maximum dissolved iron concentrations are almost always limited by the
290 concentration of available ligands, as the poor solubility of iron in seawater results in
291 negligible concentrations of uncomplexed iron³.

292
293 We compare this established relationship to that of iron and electroactive humics (our study
294 and published values¹²) and find strong similarities. In fact, where dissolved iron exceeds the
295 ligand concentration in the Mediterranean it was acknowledged that the contribution of
296 electroactive humics to the ligand pool may have been underestimated⁴³. We also find similar
297 basin-specific features, with concentrations of ligands and electroactive humics in greater
298 excess of iron in the Pacific and Southern Ocean compared to in the Atlantic. We find very
299 good agreement between the slopes of dissolved iron against electroactive humics compared
300 to dissolved iron against published ligand data, 0.73 ± 0.43 and 0.76 ± 0.43 respectively
301 (when using the lower humic iron binding capacity, likely most representative of the bulk of
302 our samples). Similar to previous studies^{12,44,45}, we found electroactive humics to correlate
303 with the ligand pool quite well, although samples with data for both parameters were limited.
304 However, all studies find electroactive humic distributions to better agree with dissolved iron
305 directly than with ligand measurements. The ligand pool is dynamic and its composition is
306 unlikely to be constant regionally or with depth. The seawater pH, the molecular size and
307 composition of marine organic matter and the presence of other iron-binding ligands, as well
308 as competition between different metals for common ligands, will all influence the amount of
309 iron complexed by any specific ligand group^{44,46}. Furthermore, ligand measurements depend
310 on the detection window and the artificial ligand used, some of which may underestimate
311 humic contributions to the ligand pool⁴⁵ and overestimate the amount of metal complexed by
312 dissolved organic matter⁴⁷, while the effect of buffering the pH during measurements may
313 dampen the influence of subtle pH changes on metal complexation⁴⁸.



314
 315 Figure 5: Black shapes show the relationship between the concentrations of dissolved iron
 316 (DFe) and humic substances (eHS, from this study, filled, and published values¹², open)
 317 compared to the typical relationship between DFe and the ligand pool (L, open coloured
 318 shapes, this study and literature-derived values, slope 0.76 ± 1.3 , not shown. See Methods for
 319 references). The line represents 1:1 complexation of DFe with the concentration of total
 320 ligand or eHS expressed as nanomolar iron binding equivalent, which for eHS is based on the
 321 maximum reported potential binding capacity (32 nM Fe per mg/L HA¹³). Figure generated
 322 using Matlab software.

323
 324 Nevertheless, valuable information can be obtained from studying the overall ligand pool. In
 325 our North Atlantic samples we find a ligand class of intermediate to strong binding strength
 326 throughout the water column (Supplementary Table S1), with the strongest ligands typically
 327 at the surface, similar to values reported previously in this region⁴⁹ and in the Southern
 328 Ocean⁵⁰. Although we did not have ligand samples for the Pacific, other studies have found
 329 the intermediate ligand class dominating iron complexation at depth⁴². In our samples where
 330 both parameters were measured, a conservative estimate suggests electroactive humics could
 331 account for 23-58% of the ligand pool, to as high as 100% at the highest binding capacity.
 332 These values are similar to previous studies in the Mediterranean and Eastern Atlantic, where
 333 electroactive humics were found to account for 30-50% of the ligand pool¹², while they could
 334 account for almost all of the ligand pool in the Arctic⁴⁵, with both studies using the lower
 335 binding capacity.

336
 337 In some cases, a siderophore-like class is reported to dominate iron complexation throughout
 338 the water column⁴¹. Recent ligand characterisation techniques in samples off the Californian
 339 Coast found the iron ligand pool to largely consist of humic-like material in combination with
 340 low concentrations of siderophores, with iron saturation of humics increasing with depth⁷.
 341 Thus unsurprisingly, the humic contribution to iron complexation is dependent on the
 342 concentration of other, stronger ligand groups, varying regionally and with depth. We find
 343 metal-binding humics are ubiquitous and persistent throughout the water column in three
 344 ocean basins, corresponding with dissolved iron distributions vertically and laterally. While

345 ligand groups such as siderophores are important for controlling iron bioavailability, humic
346 ligands may control overall dissolved iron distributions, particularly in the deep ocean. The
347 ability of humic ligands to cap dissolved iron concentrations will ultimately be influenced by
348 an array of factors, such as the presence of stronger iron-binding ligands, and the availability
349 of other metals that may compete with iron for humic complexation^{44,46}. Even so, we find the
350 maximum dissolved iron concentrations at depth coinciding with the maximum reported
351 binding capacities reported for humic isolates. Processes that control the production,
352 degradation and iron-binding capacity of humic substances may thus be particularly important
353 for controlling dissolved iron distributions in the deep ocean.

354

355 **Broader impacts**

356 In light of the central role that marine iron availability may have played in regulating the
357 biological pump during the Pleistocene⁵¹, a corollary hypothesis is that changes in the
358 delivery of humic ligands and the processes regulating the iron binding capacity (as presented
359 in Figure 4) may have had an impact on climate. Glacial periods are characterised by
360 increased soil erosion, lower sea level and continental shelf shrinkage, increasing terrestrial
361 organic matter supply to the deep ocean⁵². Glacial-interglacial changes in fluvial and aeolian
362 transport of terrestrial humics to the ocean may thus have played a key role in controlling the
363 marine iron inventory^{18,53,54}. However, we know very little about the supply of terrestrial
364 ligands to ocean waters. Currently we do not fully understand the dominant controls on
365 ligands such as humics in the ocean, and whether they persist long enough to influence the
366 iron supply to iron limited regions, or influence its bioavailability to microorganisms. Hence
367 it may be important to better constrain these processes and improve their representation in
368 earth system models if we are to understand and predict climate and climate change.

369

370 **Conclusions**

371 Here we present the novel hypothesis that variation in both the concentration and binding
372 capacity of humic material in ocean waters could explain key variations in iron distributions,
373 buffering the dissolved iron inventory of the deep ocean. There is mounting evidence that
374 humics play a significant role in dissolved iron distributions globally. There is therefore a
375 requirement to improve our understanding of this poorly characterised pool in order to
376 constrain the controls on dissolved iron distributions in ocean waters. This study highlights
377 the lack of open ocean data, and an immediate need to simultaneously measure humic, ligand
378 and dissolved iron concentrations throughout the water column in different ocean basins. We
379 recommend studies also consider the effect of physicochemical conditions such as pH on
380 these measurements, and incorporate complementary techniques for measuring and
381 characterising DOC content, in order to better establish the controls on iron complexation in
382 ocean waters.

383

384 **Methods**

385 *Sampling*

386 Depth profiles from the North Atlantic were sampled during the GEOVIDE cruise on board
387 the *N/O Pourquoi Pas ?* (15 May-30 June 2014, GEOTRACES GA01), in 12 L Teflon-coated
388 GO-FLO (General Oceanics) bottles attached to a 24-bottle powder-coated modified trace
389 metal clean rosette system^{55,56}. West Atlantic surface samples were collected using a modified
390 trace metal clean Towfish⁵⁷ pumping seawater directly into a laminar flow hood bubble,
391 during transit of the AE1714 cruise from Bermuda on board the *RV Atlantic Explorer* (July-
392 August 2017). Samples from the Northeast Pacific were collected at station P26 of the Line P
393 Time Series transect from the Canadian Coast Guard Ship *John P. Tully* (14-30 August 2012,
394 cruise 2012-13) into 12 L Teflon-coated GO-FLO (General Oceanics) bottles attached to a
395 12-bottle powder-coated modified trace metal clean rosette system^{55,56}. Samples from the
396 Southern Ocean were collected during the KEOPS2 cruise on board the *N/O Marion Dufresne*
397 (8 October-30 November 2012, GEOTRACES G1pr01) in 10 L externally closing Teflon-
398 lined Niskin-1010X bottles, mounted on a polyurethane powder-coated aluminium frame
399 (TMR, model 1018, General Oceanics)⁵⁸.

400
401 Water taken for analysis of dissolved trace metals was either taken from the filtrate of
402 particulate samples (collected on polyethersulfone filters, 0.45 µm Supor) or filtered through a
403 0.2 µm capsule filter (Sartorius Sartobran 300). Seawater was collected in acid-cleaned 60 mL
404 or 125 mL LDPE bottles after rinsing three times with about 20 mL of seawater. Samples for
405 dissolved iron were acidified to ~pH 1.7 with 2 % (v/v) HCl (Ultrapur, Merck) in the laminar
406 flow hood. The sample bottles were then double bagged and stored at ambient temperature in
407 the dark before analysis on shore. Samples for ligands and humic substances were double
408 bagged and frozen immediately at -20 °C. Before analysis, the seawater samples were thawed
409 in the dark at 4 °C and measured within 3 days of defrosting. Samples were swirled gently
410 and left to come to room temperature (20 °C) before preparation for analysis.

411

412 *Equipment and reagents*

413 Water used for rinsing and dilution of reagents was purified by reverse osmosis (Millipore)
414 and deionisation (Milli-Q, MQ hereafter). Sample bottles (LDPE) were cleaned according to
415 GEOTRACES protocols^{59,60} and stored in MQ for at least a week after acid-cleaning.

416

417 All voltammetric measurements used cathodic stripping voltammetry (CSV) in differential
418 pulse mode. The voltammetric apparatus consisted of a µ-Autolab III potentiostat
419 (Ecochemie, Netherlands) connected to a 663 VA stand (Metrohm) with hanging mercury
420 drop electrode (HMDE). The reference electrode was Ag/AgCl with a 3 M KCl salt bridge
421 and a glassy carbon counter electrode. Solutions were stirred with a rotating
422 polytetrafluoroethylene (PTFE) rod. PTFE voltammetric cells used were cleaned using 0.1 M
423 HCl (Suprapur Merck) and rinsed with MQ water. Sample (10 mL) was purged with nitrogen
424 for up to 5 minutes to remove dissolved oxygen prior to analysis.

425

426 *Dissolved iron (DFe)*

427 The DFe concentrations from the GEOVIDE (North Atlantic) and Bermuda AE1714 (West
428 Atlantic) were determined by using an online Inductively Coupled Plasma Mass Spectrometry
429 (ICP-MS), at Pôle Spectrométrie Océan (France). The spectrometer was coupled to an ESI
430 SeaFAST pico system to measure dissolved trace metals with a method analytically similar to
431 that of Lagerström et al. (2013)⁶¹. Samples collected during KEOPS2 (Southern Ocean) were
432 also analysed by SF-ICP-MS but with a different resin^{62,63}. Full details on sampling and
433 measurement are provided by Tonnard et al. (2018) and Quérroué et al. (2015) respectively.
434 DFe samples from the Pacific were analysed by ICP-MS/MS as described by Jackson et al.
435 (2018)⁶⁴.

436

437 *Humic substances (HS)*

438 We measured the concentrations of electroactive metal-binding HS (eHS) using cathodic
439 stripping voltammetry of their complexes with copper⁶⁵. The copper method was favoured as,
440 unlike iron, dissolved copper can be present in excess of HS. Measurements also do not suffer
441 the same interferences from common marine compounds such as glutathione, as the peak is at
442 a different potential. The concentrations of eHS using the copper technique agree very well
443 with HS concentrations from UV spectrophotometry⁶⁵ and with voltammetric detection of
444 iron-humic complexes directly⁴⁴ in estuarine waters. For this study we also compared the
445 concentrations measured using the copper method⁶⁵ to the recently updated iron method^{13,21,27}
446 in open ocean waters, surface and deep, and found they agree very well (Supplementary Fig.
447 S2).

448

449 Samples from the Atlantic and Southern Ocean were measured with an EPPS buffer (N-(2-
450 hydroxyethyl)piperazine-N'; -2-propanesulfonic acid in 1M NH₄; 100 µL addition to 10 mL
451 seawater buffered the pH to 8.05) and 30 nM added copper (spectrophotometry standard, pH
452 2). A deposition potential of +0.05 V was used, usually for a deposition time of 60s, with a 1s
453 jump to -0.2 V and background subtraction (subtraction of a 1s scan), to improve the baseline
454 and reduce interference from free copper. Samples from the Atlantic were measured using

455 standard additions of the International Humic Substances Society (IHSS) Suwannee River
 456 humic acid (SRHA) standard (II 2S101H), and from the Southern Ocean using the IHSS
 457 fulvic acid (SRFA) standard (II 2S101F). We performed tests in UV-digested seawater with
 458 added known amounts of HS, and found the HA and FA standards to give identical results (as
 459 found previously⁶⁵), since the electrochemical methods measure total humic substances and
 460 cannot distinguish between HA and FA. Concentrations of eHS for the Pacific samples were
 461 already published for copper⁶⁶ and we converted the values to their iron-binding equivalents.
 462 The concentration of eHS is measured in mg/L, and the iron-binding equivalent then
 463 calculated by multiplication with reported binding capacities. The maximum and minimum
 464 Fe-HS binding capacities used for the eHS envelope were derived from published values for
 465 IHSS standards: isolated HA bind around 32 nM iron per mg/L¹³, while FA have a reported
 466 binding capacity of 14.6-16.7 nM iron per mg/L^{13,21,27}. The lower limit of the envelope was
 467 calculated by multiplying the measured eHS concentration by the FA binding capacity, while
 468 the upper limit was calculated by multiplication with the HA binding capacity, with upper and
 469 lower errors included within the width of the envelope (equations 1 and 2). This envelope
 470 provides an assumption of the amount of iron that can be bound by these eHS concentrations
 471 as a first approximation based on terrestrial standards, but the range could be wider.

$$472 \quad SRFA = ([eHS] \times 14.6) - ([eHS_{SD}] \times 14.6) \quad (1)$$

$$473 \quad SRHA = ([eHS] \times 32) + ([eHS_{SD}] \times 32) \quad (2)$$

474 *Iron-binding ligands*

475 We performed iron speciation measurements using CSV with 10 μM 2-(2-Thiazolylazo)-p-
 476 cresol (TAC) as the competing ligand¹¹. Briefly, 100 μL EPPS buffer was added to 10 mL
 477 sample in acid-cleaned PTFE pots (10-12), followed by increasing iron additions (iron
 478 spectrophotometry standard, pH 2), usually of 0, 0.2, 0.4, 0.6, 0.8, 1, 2, 4, 6, 8, 10 nM iron.
 479 These were left to stand for one hour before addition of the TAC artificial ligand (final
 480 concentration 10 μM), and were then left to equilibrate overnight. The CSV measurement was
 481 at a deposition potential of -0.36 V for 180s, followed by a 10s equilibration. At the end of the
 482 titration, some samples had fresh additions of 2, 4 and 6 nM iron (final concentrations 12, 14
 483 and 16 nM iron) added to the cell after measurement of the final (+10 nM Fe) pot; this was in
 484 order to ensure that the ligands were fully complexed and to check the calculation of the
 485 sensitivity, but were not used in the data fitting. Data fitting was performed using the
 486 ‘complete fitting’ procedure in independent ProMCC software⁶⁷. These measurements
 487 provide a ligand concentration (L) and conditional stability constant (log K_{Fe^{e+}}) based on the
 488 detection window, set by the artificial ligand concentration; this method may miss the
 489 contribution of ligands outside of the set detection window, and can underestimate the
 490 contribution of humic substances⁶⁸, therefore the values presented represent the minimum
 491 concentration of the ligand pool. For the comparison of published concentrations of dissolved
 492 iron and iron-binding ligands across multiple ocean basins shown in Figure 5^{11,12,41,45,49,50,69-76},
 493 when more than one ligand class was distinguished, the total ligand concentration was used.

494 *Optical measurements*

495 Absorbance was measured from 200 to 800 nm on a Varian 300UV spectrophotometer in 10
 496 cm long quartz cells (Starna Cells, Inc.). MQ water was used as a blank for optical
 497 measurements; after blank subtraction, absorbance values (A_λ) were then converted to
 498 Napierian absorption coefficients (a_λ)⁷⁷:

$$499 \quad a_{\lambda} = 2.303 \frac{A_{\lambda}}{L} \quad (3)$$

500 where *L* is the pathlength, in meters. Fluorescence emission (Em) spectra (300 to 600 nm)
 501 were measured at multiple excitation (Ex) wavelengths (240 to 600 nm, in 5 nm increments)
 502 on a Varian Eclipse fluorescence spectrometer. Integration time was 0.2 s and excitation and
 503 emission slit widths were set to 5 nm. MQ water blanks and quinine sulfate standardization of

508 the detector were conducted each analytical day; results were quantified in Raman units (R.
509 U.). Prior to data analysis, corrections for absorption inner-filter effects and instrument bias
510 were conducted using in-house Matlab code. Emission spectra were concatenated into
511 excitation emission matrices (EEMs) for visualization as contour plots. Values for the various
512 “Coble” peaks (Table 1) were extracted from the EEMs using regional integration over
513 reported wavebands⁷⁸. We used the ratio of different peaks to describe the fulvic and humic
514 nature of HS fluorescence⁷⁹. The marine HS were estimated as the sum of fluorescence under
515 peaks M and N divided by the sum of peaks A, C, M, and N. The terrestrial-like were
516 estimated as the sum of fluorescence of peaks A and C divided by the sum of peaks A, C, M,
517 and N.

518

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745 746 **Acknowledgements**

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764 765 **Author contributions**

766 Led design of the study, writing of the manuscript, assembly of the iron and HS datasets and
 767 HS measurements (HW); ligand measurements (HW, AGG), FDOM measurements (CLO)
 768 and Pacific iron measurements (DJJ). Provided samples and cruise data (GS, HP, JTC).
 769 Participated in discussion of the hypothesis and underlying concepts (HW, NC, CLO, EB, GS,
 770 HP, CV). All authors contributed to the overall discussion of the results and their implications,
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774
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776
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779

780 **Figure legends:**

781

782 Figure 1. Map of sample locations. Surface samples (5m depth) are from the Bermuda
783 AE1714 cruise sampled from the Towfish whilst steaming. Depth profiles: Stations G1, G13,
784 G38, G44, G69 and G77 in the North Atlantic are from the GEOVIDE cruise (GA01); P26 in
785 the Northeast Pacific is from the August 2012-13 Line P cruise; KR2, KE4 and KA3 are from
786 the KEOPS2 study in the Southern Ocean (G1pr01). Figure generated using Matlab software.

787

788 Figure 2. The concentrations of dissolved iron (blue line) and iron-binding ligands (green
789 circles, where available), with an envelope (red) for electroactive humic substances (eHS),
790 encompassing the maximum and minimum iron-binding capacities reported for terrestrial
791 IHSS standards^{13,27}. (A) Station P26 in the Northeast Pacific (Line P); (B) Surface samples
792 (~5 m) Northwest Atlantic (Bermuda AE1714), with salinity included below; (C to G)
793 Stations G1-G77 depth profiles from the North Atlantic (GEOVIDE GA01). Error bars show
794 standard deviation, which for eHS is included within the envelope. Spaces in the eHS
795 boundaries show eHS sampling points. Figure generated using Matlab software.

796

797 Figure 3: The relationship between the concentrations of electroactive humic substances and
798 dissolved iron from this study, coloured by depth ($\rho = 0.5$, $p < 0.0001$, $n = 105$, where ρ is the
799 Spearman's correlation coefficient). The upper line demonstrates the maximum iron binding
800 capacity for the measured humic concentrations (32 ± 2.2 nM Fe/mg SRHA), and the lower
801 line shows the lower binding capacity for the equivalent concentration (14.6 ± 0.7 nM Fe/mg
802 SRFA), from reported values for terrestrial IHSS standards^{13,27}. These have slopes of 0.33 and
803 0.73 ± 0.43 as SRHA and SRFA iron-binding equivalents respectively, not shown. The four
804 points with a dashed circle are influenced by sediment, based on transmissiometry data. Error
805 bars represent the standard deviation. Figure generated using Matlab software.

806

807 Figure 4: Our proposed schematic of the processes influencing the supply and iron-binding
808 nature of humic substances in seawater. Above represents the continuum of iron binding by
809 humics linked to aromaticity²², along with the contributors of humic-like material to ocean
810 waters. Below, our hypothesis for the potential contribution of humics to iron complexation
811 and the iron ligand pool in two scenarios: iron limited regions (left) and terrestrially
812 influenced regions (right). Figure generated using Adobe Illustrator CC software.

813

814 Figure 5: Black shapes show the relationship between the concentrations of dissolved iron
815 (DFe) and humic substances (eHS, from this study, filled, and published values¹², open)
816 compared to the typical relationship between DFe and the ligand pool (L, open coloured
817 shapes, this study and literature-derived values, slope 0.76 ± 1.3 , not shown. See Methods for
818 references). The line represents 1:1 complexation of DFe with the concentration of total
819 ligand or eHS expressed as nanomolar iron binding equivalent, which for eHS is based on the
820 maximum reported potential binding capacity (32 nM Fe per mg/L HA¹³). Figure generated
821 using Matlab software.

822

823 Table 1. Location in EEM-space of major peaks in DOM fluorescence. From Coble (2007).

824

Component	Peak name ⁸⁰	Ex/Em	Peak number ^{81,82}	Source ^{81,82}	Peak ^{83,84}
Tyrosine-like, protein-like	B	275/305	8	autochthonous	γ
tryptophan-like, protein-like	T	275/340	7	autochthonous	σ

unknown	N	280/370			
UVC humic-like	A	260/400-460	4	fulvic acid, autochthonous, terrestrial	α'
UVC humic-like	A	260/400-460	1	humic, terrestrial, autochthonous	α'
UVC humic-like	A	260/400-460	3	humic, terrestrial, autochthonous	α'
UVA marine humic-like	M	290-310/370- 410	6	anthropogenic wastewater, agriculture	β
UVA humic-like	C	320-360/420- 460	5	terrestrial, anthropogenic, agriculture	α
Pigment-like	P	398/660			
UVA humic-like		250(385)/504	2	fulvic acid, terrestrial, autochthonous	

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