



# Evolutionary roads to syntax

Klaus Zuberbühler

Date of deposit	10/05/2019
Document version	Author's accepted manuscript
Access rights	Copyright © 2019, Elsevier Ltd on behalf of The Association for the Study of Animal Behaviour. This work is made available online in accordance with the publisher's policies. This is the author created, accepted version manuscript following peer review and may differ slightly from the final published version.
Citation for published version	Zuberbuhler, K. (2019). Evolutionary roads to syntax. Animal Behaviour, 151, 259-265.
Link to published version	https://doi.org/10.1016/j.anbehav.2019.03.006

Full metadata for this item is available in St Andrews Research Repository at: <u>https://research-repository.st-andrews.ac.uk/</u>

St Andrews Research Repository

### **1** Evolutionary roads to syntax

2

#### 3 Abstract

Syntax is habitually named as what sets human language apart from other 4 communication systems, but how did it evolve? Comparative research on animal 5 behaviour has contributed in important ways, with mainly three sets of data. First, 6 animals have been subjected to artificial grammar tasks, based on the hypothesis that 7 human syntax has evolved through advanced *computational capacity*. In these 8 9 experiments humans generally outperform animals, but there are questions about validity, as experimental stimuli are (deliberately) kept devoid of semantic content. 10 Second, animal communication has been compared in terms of the surface structures 11 12 with the aim of developing a typology of animal syntax, based on the hypothesis that syntax is an evolutionary solution to the constraints of small signal repertoires. A 13 wide range of combinatorial phenomena has been described, mainly in non-human 14 15 primates, but there is little support for the hypothesis that syntax has emerged due to repertoire size constraints. A third way of studying the evolution of syntax is to 16 compare how animals perceive and communicate about external events, the mental 17 deep structure of syntax. Human syntax is closely aligned with how we perceive 18 events in terms of agency, action, and patience, each with subsidiary functions. The 19 20 event perception hypothesis has been least explored in animals and requires a serious research programme. 21

## 23 <u>Theories of syntax</u>

Studying the evolution of language is notoriously difficult. Neither brains nor 24 behaviours fossilise, such that the archaeological record can only offer little insight 25 into much of what makes humans unique. The comparative approach has turned out to 26 be a viable alternative, by which the behaviours and cognition of closely related 27 animal species are compared in order to draw inferences about the past evolutionary 28 history. The assumption is that behaviour, and its underlying cognitive governance, 29 has a heritable, genetic basis that can be traced phylogenetically. If the topic concerns 30 a human-specific trait, such as syntax, non-human primates naturally play a key role 31 in such endeavours, something that is also reflected in this opinion piece. 32 What is syntax and how did it evolve? The standard dictionary entry for syntax is 33 something like "a set of rules, principles and processes that determine how sentences 34 are formed from words and phrases in a language". For evolutionary studies, 35 36 however, this definition is unsatisfactory because it presupposes linguistic units, i.e., words, phrases, sentences, which are themselves not available to animals. It is 37 possible, however, to modify the standard syntax definition by using functional 38 placeholders (word = meaningful unit; sentence = utterance that conveys a statement, 39 question, exclamation, or command). Hence, syntax is the set of rules, principles and 40 processes that determine how statements, questions, exclamations or commands are 41 formed from meaningful units. 42

How did syntax evolve? The prevailing view, at least amongst biologically trained
scholars, is that the evolution of syntax was a gradual process to the effect that its
evolutionary history can be reconstructed by comparative evidence. Three lines of
investigation have produced relevant data for evolutionary considerations: syntax as a

computational capacity (the computational hypothesis), syntax as way to evade
repertoire constraints (the surface structure hypothesis), and syntax as a reflection of
event perception (the deep structure hypothesis).

## 50 Syntax as computational capacity

A first road to study the evolution syntax derives from theoretical linguistics, which 51 52 seeks to describe language in terms of formal, artificial grammars with increasing complexity (the 'Chomsky hierarchy') using computer science tools (Chomsky, 53 54 1956). The assumption is that computationally simple syntax, such as finite state grammar, requires fewer computational operations and thus fewer cognitive resources 55 than complex syntax, such as phrase structure grammar. In finite state grammar, the 56 meaning of a sentence emerges from taking into account the relations of adjacent 57 words, i.e. decisions are taken serially. In natural languages the finite state grammar 58 cannot explain the entire range of phenomena, mainly because there are also 59 dependencies between non-adjacent words, requiring more complex phrase structure 60 grammar (Chomsky, 1957). 61

62

In behaviour experiments, subjects are exposed to stimulus sequences that comply 63 with (or are in breach of) the grammar under investigation. The prediction is that 64 successful processing enables a subject to perceive syntactic violations, measured by 65 increased attention (Fitch & Hauser, 2004). This reasoning is analogous to how 66 linguists examine natural grammars, by asking native speakers to make 67 grammaticality judgements (Chomsky 1957). For example, the sentence "colourless 68 green ideas sleep furiously" is typically judged as grammatical, despite the fact that it 69 is semantically nonsensical. In artificial grammar research, however, 'sentences' are 70

71	usually represented by sequences of tones, speech sounds or vocalisations that do or
72	do not comply with the respective grammar under study. The main conclusion from
73	this research has been that only humans can deal with complex artificial grammars
74	(Fitch & Hauser, 2004; Wilson, Smith, & Petkov, 2015) due to the limited
75	computational power of animal brains (Friederici, 2004), but see (Gentner, Fenn,
76	Margoliash, & Nusbaum, 2006; van Heijningen, de Visser, Zuidema, & ten Cate,
77	2009). The evolution of syntax, in this view, is a direct consequence of the evolution
78	of computational power required for syntactic processing.
79	

80 One issue with artificial grammar research is that stimulus sequences are usually meaningless simple tones. This is a deliberate choice so that the syntactic apparatus 81 82 can be investigated in its pure state, uncontaminated by semantics. Although the logic is pertinent, there are questions about the 'ecological' validity of this approach. 83 'Colourful green ideas sleep furiously' may be nonsensical but the sentence is still 84 85 composed of meaningful units, which may trigger processing in different brain areas than processing of meaningless tone sequences. One debate therefore is whether 86 artificial grammar experiments reveal something relevant for evolutionary theories of 87 syntax or whether they are more informative regarding acoustic pattern recognition 88 (Hochmann, Azadpour, & Mehler, 2008; Zuberbuhler, 2018). Brain imaging studies 89 would provide valuable input towards this question. 90

91

## 92 <u>Syntax in surface structures</u>

93

Another influential hypothesis is that syntax evolves as soon as lexicons reach their

limits, because of memory or production limits: "...<u>natural selection can only favour</u>

96 the emergence of syntax if the number of required signals exceeds a threshold value"
97 (Nowak, Plotkin, & Jansen, 2000). Although intuitively appealing, the hypothesis is
98 difficult to test because it presumes species-specific thresholds, but there is no theory
99 as to how they could be determined. Nevertheless the hypothesis predicts that, in
100 closely related species, syntax is only present in species that have reached the
101 threshold, i.e. the ones with larger repertoires.

102

# 103 *A typology of syntax in animal communication*

There is a long ethological tradition of studying the surface features of animal 104 communication, i.e., the way species combine elements of their signal repertoires into 105 sequences. Pioneering were studies of birdsong that have revealed, for example, that 106 107 syntax plays a role in social interactions (Marler & Peters, 1988). Birdsong functions to attract mates and keep rivals away and, as such, mainly contains information about 108 caller identity (Catchpole & Slater, 1995). More recently, research on bird syntax has 109 110 shifted towards the question of how meaning is conveyed by combinations of signals that carry their own meaning, with relevant work on babblers (Engesser, Ridley, & 111 Townsend, 2016) and Japanese tits ((Engesser et al., 2016; Suzuki, Wheatcroft, & 112 Griesser, 2016). For mammals, studies exist on rock hyraxes (Kershenbaum, Ilany, 113 114 Blaustein, & Geffen, 2012) and various primates (Crockford & Boesch, 2005; 115 Hedwig, Mundry, Robbins, & Boesch, 2015; Schamberg, Cheney, Clay, Hohmann, & Seyfarth, 2016; Zuberbuhler, 2018). 116 117 In primates, early studies reported syntactic structures for example in Cebus and 118

squirrel monkey calls (Newman, Katzlieblich, Talmageriggs, & Symmes, 1978;

120 Robinson, 1984). More recently, combinatorial calling has been found in various

121	primate alarm and contact calls (alarms: Diana monkeys (Stephan & Zuberbuhler,
122	2008), Campbell's monkeys (Lemasson, Ouattara, Bouchet, & Zuberbuehler, 2010;
123	Ouattara, Lemasson, & Zuberbuhler, 2009a; Zuberbühler, 2002), King Colobus
124	(Schel, Tranquilli, & Zuberbuhler, 2009); contact calls: Diana monkeys, Campbell's
125	monkeys (Candiotti, Zuberbühler, & Lemasson, 2012; Coye, Ouattara, Arlet,
126	Lemasson, & Zuberbuhler, 2018; Coye, Ouattara, Zuberbuhler, & Lemasson, 2015;
127	Coye, Zuberbuhler, & Lemasson, 2016)).
128	

129 Putty-nosed monkeys have been particularly well studied, with males producing two alarm call types, pyows and hacks, either singly or in combination. Series of pyows 130 are given mainly to terrestrial disturbances (e.g. leopards) and series of hacks to aerial 131 132 dangers (e.g. crowned eagles) (Arnold & Zuberbuhler, 2006). In addition, males can combine both calls into 'pyow-hack' sequences, which carry a different meaning 133 (travel) unlinked to the meanings of the component calls (Arnold & Zuberbühler, 134 135 2006). The composed meaning appears to reside in the pyow-hack transition, regardless of the number of pyows and hacks (Arnold & Zuberbühler, 2012). 136 Listeners respond to the combinatorial features, by perceiving the combination as a 137 meaningful unit, which is different from its components (Arnold & Zuberbühler, 138 2008). 139

140

Although these bird and monkey studies are relevant, an evolutionarily informed 141 theory of syntax necessarily requires data from our closest relatives, the apes. Gibbon 142 song has long been of interest (Demars & Goustard, 1972), although structural 143 changes were not usually linked with changes in meaning. More recently, it was 144 found that white-handed gibbon songs were produced both as duets and to predators; 145

snakes, clouded leopards and humans. Crucially, predator and non-predator songs 146 were assembled in different ways, albeit from the same basic song units, with 147 syntactic differences particularly visible during the early parts of a song, with 148 indications that recipients discriminated between the different song types (Clarke, 149 Reichard, & Zuberbuhler, 2006). For chimpanzees, an early study found also evidence 150 for regular use of call combinations but no clear semantic effects (Crockford & 151 Boesch, 2005). Some progress has been made with a study on the syntax of pant hoot 152 call utterances. Using machine learning and automated feature extraction, the study 153 produced evidence for encoding of age, rank, identity and context, across the four 154 phases (fig. 1), (Fedurek, Zuberbühler, & Dahl, 2016). The introduction and build-up 155 phases are low amplitude signals and contained mainly caller identity information, 156 157 suggesting they are directed at nearby individuals. The climax phase, in contrast, is acoustically conspicuous and high-amplitude and contained information on both 158 identity and social status (low vs. high rank), presumably targeting faraway group 159 members and neighbouring groups. This is a relevant finding because, in 160 chimpanzees, decisions about whether to engage in intergroup conflict are largely 161 based on attending to neighbouring pant hoot vocalisations (Herbinger, Papworth, 162 Boesch, & Zuberbuehler, 2009; Wilson, Hauser, & Wrangham, 2001; Wilson et al., 163 2014; Wilson et al., 2012; Wilson, Hauser, & Wrangham, 2007). Finally, pant hoots 164 165 usually end with low-amplitude, let-down units, which inform nearby group members about the caller's forthcoming behavioural intentions (feeding vs. travelling). Callers 166 can omit one or several of the four phases, allowing them to target specific audiences 167 with specific information (fig. 1). 168





Another line of research in great apes is on the combined use of different modalities.

169

176

Here, call-gesture combinations were generally rare and only used in social 177 interactions of very positive or very negative connotations. Gestures were often added 178 when vocal utterances failed to achieve a desired social goal, an expression of 179 underlying persistence (Hobaiter, Byrne, & Zuberbuhler, 2017). For bonobos, 180 (Schamberg et al., 2016) demonstrated natural call combinations in a wild population, 181 whereas for captive groups there was evidence for call/gesture combinations, notably 182 to disambiguate meaning (Genty, Clay, Hobaiter, & Zuberbühler, 2014). These 183 studies demonstrate that call/call and call/gesture combinations exist and as such 184 provide the groundwork for further research on the evolution of signal combinations 185 in our closest living relative. Also relevant is the finding that when encountering food, 186 bonobos produced sequences of call types that depended on the perceived quality of 187 food (Clay & Zuberbuhler, 2009). Playback experiments confirmed that listeners were 188

189	able to attend to the different sequences and make predictions about what type of food
190	the caller has found (Clay & Zuberbühler, 2011). For gorillas, finally, grunts,
191	grumbles and hums can be given singly or in combinations (Harcourt & Stewart,
192	1996; Harcourt, Stewart, & Hauser, 1993; Salmi, Hammerschmidt, & Doran-Sheehy,
193	2013; Seyfarth, Cheney, Harcourt, & Stewart, 1994; Stewart & Harcourt, 1994).
194	When produced in isolation, grunts were given by individuals resting in close
195	proximity of each other, whereas grumbles were given during foraging. When
196	produced in combination, grumbles appeared to lose their foraging meaning,
197	suggesting that call combinations have less to do with augmenting semantics but to
198	mark social roles during communicative interactions (Hedwig et al., 2015).
199	The studies reviewed so far have revealed a bewildering range of combinatorial
200	structures that can be grouped as follows (Zuberbuhler, 2018). First, in merged units
201	callers combine vocal structures, mainly to convey identity and event information
202	(Diana monkeys (Candiotti et al., 2012; Coye et al., 2016); Campbell's monkeys
203	(Candiotti et al., 2012; Coye et al., 2018)). Related to this is <i>suffixation</i> , as found in
204	male Campbell's monkey alarm calls. Here, callers add acoustically invariable 'oo'-
205	units to three distinct alarm calls, to indicate that danger is non-urgent (Ouattara,
206	Lemasson, & Zuberbuehler, 2009b). At the call sequence level, there are examples of
207	permutations, i.e., ordered call deliveries, as found in alarm calling of male
208	Campbell's and, as discussed before, male putty-nosed monkeys (Arnold &
209	Zuberbühler, 2006; Ouattara, Lemasson, & Zuberbuehler, 2009a). Another line of
210	inquiry has been on New World monkeys in Brazil. Black-fronted titi monkeys
211	produce alarm call sequences to refer to both predator type and location (Cäsar,
212	Zuberbühler, Young, & Byrne, 2013), although meaning is encoded by a <i>probabilistic</i>
213	(stochastic) rather than a categorical mechanism (Berthet et al submitted). Finally,

there is evidence for meaning being conveyed by utterances of varying lengths,

further assembled into more complex sequences, a *numeric* and seemingly

216 *hierarchical* structure (Diana monkeys (Zuberbühler, 2000), Campbell's monkeys

217 (Lemasson et al., 2010), black-and-white Colobus monkeys (Schel, Candiotti, &

218 Zuberbühler, 2010).

### 219 Syntax in deep structure

The studies reviewed so far have revealed little about any underlying cognitive 220 221 processes and it is even possible that, what appears as syntax, is not linked to any interesting mental processing. For example, syntactic regularities in signal sequences 222 could emerge merely by accident due to physiological constraints (e.g. structural 223 changes due to increasing exhaustion), semantic constraints (e.g. responding to X may 224 warrant some call types but not others), pragmatic constraints (e.g. more urgent calls 225 may be produced before less urgent calls), or on-going changes in the environmental 226 context triggering calls (P Schlenker and E Chemla, pers. comm.). 227

228

In humans, however, syntax is tightly linked with how events in the external world are 229 perceived, structured and mentally represented. In particular, humans have a natural 230 propensity to decompose events into actors, actions, and patients to the effect that 231 there is a curious correspondence between the components of natural events and the 232 grammatical functions of language. Sentences are structured in that they contain 233 agents (doer, cause, experiencer), actions (what), patients, targets or beneficiaries (to 234 235 whom) who experience the action or state of affair (e.g. "the eagle attacked the monkey"). Arguments usually have additional components, such as the manner (how) 236 by which an action is carried out or the instrument used (with what) for this purpose. 237

Additionally, arguments can contain information about location (where), origin or 238 direction (from - to where), or time (when) an action was, is or will be carried out 239 (e.g. "the eagle attacked the monkey *from above*". 240 241 Another useful description of events is in terms of predication ("the eagle 242 attacked..."), modification ("the large eagle...") and coordination 243 ("eagles and leopards") (Townsend, Engesser, Stoll, Zuberbuhler, & Bickel, 2018). 244 Languages have means to express these event features in ways to make them evident 245 to listeners, usually with specific syntactic functions. For example, to syntactically 246 distinguish an agent from a patient, some languages use phonological case marking 247 while others use word order. 248 249 The hypothesis here is that, during human evolution, these event-bound cognitive 250 universals (agents, patients, actions, manners, etc.) have become externalised and 251 assimilated into the communication system. This hypothesis is supported by work on 252 Nicaraguan sign language, which has shown that deaf children will gradually and 253 without specific tutoring develop syntactic structures in spontaneous sign language 254

that enables them to encode the core components of an event, rather than referring to
entire events holistically (Senghas, Kita, & Ozyurek, 2004). Modern humans, in other
words, have a natural propensity to mark the key components of external events with
(arbitrary) syntactic features.

259

How do animals perceive natural external events? There is evidence from artificial
language studies that marine mammals can be trained to discriminate agents from
patients (Herman, Richards, & Wolz, 1984). In natural communication, a study on

263	chimpanzee vocal behaviour has found acoustic differences in screams given in
264	different social roles, i.e. when the caller was the actor or the patient in an aggressive
265	act, which was discriminated by others (Slocombe, Kaller, Call, & Zuberbuehler,
266	2010; Slocombe & Zuberbuhler, 2005).
267	
268	Human event perception, however, is vastly more complex than marking agents and
269	patients. Complex event perception is likely to have evolved first, possibly due to
270	increasingly complex social systems and associated brain enlargements. Syntax, in
271	this view, is a mere by-product of perceiving external events in decomposed ways and
272	of the ability to mark these components with communication signals. The human road
273	to syntax may have built on this predisposition, completed with the advent of
274	unprecedented vocal control, allowing event perception to become linguistically
275	encoded with grammatical functions.

276

277 <u>Current issues</u>

278

279 Syntax without precursors

A particularly contentious on-going debate is whether animal data can contribute in meaningful ways to questions about syntax evolution in humans. One argument is that studies of animal communication are irrelevant, because the only relevant property of human syntax is its generative, hierarchical nature, for which there is no evidence in animal communication. Cognitively, the argument goes, this is achieved by a single mental operation, merge, which takes two syntactic elements and assembles them to form a set (Bolhuis, Tattersall, Chomsky, & Berwick, 2014)(Bolhuis, 2017; Bolhuis,

Beckers, Huybregts, Berwick, & Everaert, 2018; Bolhuis et al., 2014; Townsend etal., 2018).

289

The 'merge' view of language, however, is not universally accepted, even amongst 290 linguists. For example, much of ordinary language use is based on accessing 291 prefabricated phrases from a vast memory stock. Although the retrieved utterances 292 may be analysed in terms of syntactic structure, language users simply retrieve them 293 'wholesale' to fit into appropriate slots (Townsend et al., 2018). Prefabricated 294 295 expressions account for up to half of all phrases used in conversations (Van Lancker-Sidtis & Rallon, 2004), suggesting that evolutionary investigations of syntax should 296 also focus on non-generative, non-hierarchical combinatorial systems, as frequently 297 seen in animal communication. 298

299

## 300 Varieties of merge

301 An evolutionarily more fruitful proposal has been to distinguish between different levels of 'merge', with increasing generative capacity (Rizzi, 2016). According to 302 this, 0-merge systems operate only with individual items from the lexicon. In fact, this 303 has been the default view of animal communication for decades, i.e., that animal 304 signals function as holistic units without any recourse to combinations (Hauser, 305 306 2000). 1-merge systems, next, have combinatorial properties insofar as they allow for the formation of two-unit expressions, although the system then stops, with no 307 recursive procedures (i.e., word-word merges). Following this are 2-merge systems 308 that allow for recursion insofar as merged expressions (e.g. word-word or 'phrase') 309 can enter new merges, with its own components, but this requires more memory 310 capacity. Thus, 2-merge systems can potentially generate an unlimited set of 311

expressions (word-phrase merges) and are thus truly generative. Finally, 3-merge
systems are characterised by the ability to merge already merged expressions (phrasephrase merges), which requires further memory capacity. Sentence formation in
human language requires a 3-merge system, as subjects and predicates consist of
merged expressions. Current evidence suggests that animal calling goes beyond 0merge systems but stops at 1-merge systems, without any recursive applications.

318

#### 319 *Compositionality*

Are humans thus unique in having higher-level hierarchical syntax to generate meaning? Most definitions of human language require compositionality, that is, that simple expressions are used to build more complex expressions, whose meaning is determined by the meanings of the constituent simple expressions *and* the rule that combines them. The meaning of the whole is determined by the meaning of its parts and how they are put together, the principle of compositionality.

326

327 In several theory papers, primate call systems have been analysed in such ways,

which has led to the conclusion that some systems, particularly Campbell's monkey

329 call suffixation and putty-nosed monkey call permutations, have weak *compositional* 

properties (fig. 2), a claim with implications for evolutionary theories of language

331 (Schlenker et al., 2014; Schlenker, Chemla, Arnold, & Zuberbuhler, 2016; Schlenker,

Chemla, Casar, Ryder, & Zuberbuhler, 2017; Schlenker, Chemla, et al., 2016a, 2016b;

333 Schlenker, Chemla, & Zuberbuhler, 2016).



Figure 2. Examples of simple and complex compositionality in animals and humans. 335 a) Male Campbell's monkeys produce 'krak' alarms (to leopards) and 'hok' alarms (to 336 eagles), but both calls can also be merged with an '-oo' suffix to generate 'krak-oo' 337 (to a range of disturbances) and 'hok-oo' (to non-ground disturbances) (Ouattara, 338 Lemasson, & Zuberbuhler, 2009b). In playback experiments, suffixation has shown to 339 be meaningful to listeners, suggesting that it is an evolved communication function 340 (Coye et al., 2015). This system may qualify as limited compositionality, as the 341 meanings of krak-oo and hok-oo are directly derived from the meanings of krak/hok 342 plus the meaning of-oo. b) Compositionality in birds: Pied babblers produce 'alert' 343 calls in response to unexpected but low-urgency threats and 'recruitment' calls when 344 recruiting conspecifics to new foraging sites (Engesser, 2016; Engesser et al., 2016). 345

When encountering a terrestrial threat that requires recruiting group members (in the 346 form of mobbing), pied babblers combine the two calls into a larger structure, and 347 playback experiments have indicated that receivers process the call combination 348 compositionally by linking the meaning of the independent parts. c) Compositionality 349 in humans: humans are capable of producing both simple, non-hierarchical 350 compositions (e.g., 'Duck and cover!') and complex hierarchical compositions and 351 dependencies. Photo in panel A credited to Erin Kane. Photo in panel B credited to 352 Sabrina Engesser. A, adjective; AP, adjective phrase; C, conjunction; CP, conjunction 353 354 phrase; D, determiner; I, Inflection-bearing element; IP, inflectional phrase; N, (pro-)noun; NP, noun phrase; S, sentence; V, verb; VP, verb phrase (reprinted from 355 (Townsend et al., 2018) under the Creative Commons Attribution license). 356

357

# 358 <u>Conclusion</u>

Animal communication research has long worked under the assumption that animal 359 calls are structurally simple, holistic signals that develop under strong genetic control 360 (Snowdon et al., 1992; Snowdon & Hausberger, 1997). As call producers, animals 361 (including primates) were thought to be cognitively unengaged, merely responding 362 with acoustically invariable signals to evolutionarily urgent situations in more or less 363 automated ways (Tomasello, 2008). This point has also been made for great apes, 364 despite the fact that chimpanzees and bonobos have excelled in terms of social 365 cognition and visually based communication (Call & Tomasello, 2008, 2007). More 366 recently, the stance has come under scrutiny, due to a range of empirical 367 developments. First, although primates do not imitate sounds, they have considerable 368 degrees of control over their vocal output, which enables them to refrain from 369

370 signalling and to modify parts of their vocal repertoire in communicatively functional ways (Lameira, Maddieson, & Zuberbuehler, 2014). Moreover, it has become clear 371 that primate vocal behaviour goes beyond producing single calls to single events, with 372 a steady stream of studies reporting various forms of signal combinations, sometimes 373 even in compositional ways (Zuberbuhler, 2018). As a consequence, research on 374 animal syntax is currently amongst the most productive areas in animal behaviour 375 research, with results being debated across disciplines (Bolhuis et al., 2018; 376 Schlenker, Chemla, et al., 2016b). 377

However, the currently available data do not yet give rise to an empirically informed
evolutionary theory of human syntax. Instead, the current literature provides a
bewildering diversity of combinatorial systems in animal communication, with no
clear evolutionary trends or obvious phylogenetic patterns (Zuberbuhler, 2018).
Equally, there is no conceptual agreement in how to integrate the different phenomena
into a coherent evolutionary theory of syntax (Kershenbaum et al., 2014; Zuberbuhler,
2018).

Human syntax is the result of mental processes but this is rarely addressed by animal
communication studies. Testing animals with artificial grammars has produced
interesting findings, revealing something about the limits of computational capacities,
but results are difficult to interpret because stimulus sequences are devoid of semantic
relations. Yet "...what distinguishes true language from just collections of uttered
words is that the semantic relations among the words are conveyed by syntactic and
morphological structure" (Jackendoff, 2007).

Future research should focus on how animals, and especially non-human primates,naturally discriminate and mentally represent natural events and whether these

- representation correspond to the main grammatical functions of human language
- 395 (actors, patients, descriptions of objects etc.). Data on whether animals perceive
- events as functionally structured is likely to produce important progress and lead to a
- 397 better understanding of the evolutionary road to syntax.
- 398
- 399 <u>References</u>
- 400
- 401 Arnold, K., & Zuberbuhler, K. (2006). The alarm-calling system of adult male putty-nosed monkeys,
- 402 Cercopithecus nictitans martini. *Animal Behaviour, 72,* 643-653.
- 403 Arnold, K., & Zuberbühler, K. (2006). Semantic combinations in primate calls. *Nature*, 441(7091), 303404 303.
- 405 Arnold, K., & Zuberbühler, K. (2008). Meaningful call combinations in a non-human primate. *Current*406 *Biology*, *18*(5), R202-R203. doi: 10.1016/j.cub.2008.01.040
- 407 Arnold, K., & Zuberbühler, K. (2012). Call combinations in monkeys: Compositional or idiomatic
- 408 expressions? Brain and Language, 120(3), 303-309. doi: 10.1016/j.bandl.2011.10.001
- Bolhuis, J. J. (2017). Making sense of language in the light of evolution. *Mind & Language, 32*(5), 591596. doi: 10.1111/mila.12161
- 411 Bolhuis, J. J., Beckers, G. J. L., Huybregts, M. A. C., Berwick, R. C., & Everaert, M. B. H. (2018).
- 412 Meaningful syntactic structure in songbird vocalizations? *Plos Biology, 16*(6). doi:
- 413 10.1371/journal.pbio.2005157
- Bolhuis, J. J., Tattersall, I., Chomsky, N., & Berwick, R. C. (2014). How Could Language Have Evolved? *Plos Biology*, *12*(8). doi: 10.1371/journal.pbio.1001934
- 416 Call, J., & Tomasello, M. (2008). Does the chimpanzee have a theory of mind? 30 years later. *Trends in*417 *Cognitive Sciences*, *12*(5), 187-192.
- 418 Call, J., & Tomasello, M. (Eds.). (2007). *The gestural communication of apes and monkeys*: Taylor &
  419 Francis Lea.
- 420 Candiotti, A., Zuberbühler, K., & Lemasson, A. (2012). Context-related call combinations in female
- 421 Diana monkeys. Animal Cognition, 15(3), 327-339. doi: 10.1007/s10071-011-0456-8
- 422 Cäsar, C., Zuberbühler, K., Young, R. J., & Byrne, R. W. (2013). Titi monkey call sequences vary with
- 423 predator location and type. *Biology Letters, 9*(5). doi: 10.1098/rsbl.2013.0535
- 424 Catchpole, C. K., & Slater, P. J. B. (1995). *Bird song: biological themes and variations*. Cambridge:
  425 Cambridge Univ. Press.
- 426 Chomsky, N. (1956). Three models for the description of language. *IRE Transactions on Information* 427 *Theory*, *2*, 113-124. doi: doi:10.1109/TIT.1956.1056813
- 428 Chomsky, N. (1957). *Syntactic structures*. The Hague: Mouton.
- 429 Clarke, E., Reichard, U. H., & Zuberbuhler, K. (2006). The Syntax and Meaning of Wild Gibbon Songs.
  430 *Plos One, 1*(1). doi: 10.1371/journal.pone.0000073
- 431 Clay, Z., & Zuberbuhler, K. (2009). Food-associated calling sequences in bonobos. *Animal Behaviour,*
- 432 77(6), 1387-1396. doi: Doi 10.1016/J.Anbehav.2009.02.016
- Clay, Z., & Zuberbühler, K. (2011). Bonobos extract meaning from call sequences. *Plos One, 6*(4). doi:
  10.1371/journal.pone.0018786
- 435 Coye, C., Ouattara, K., Arlet, M. E., Lemasson, A., & Zuberbuhler, K. (2018). Flexible use of simple and
- 436 combined calls in female Campbell's monkeys. *Animal Behaviour, 141, 171-181. doi:*
- 437 10.1016/j.anbehav.2018.05.01
- 438 Coye, C., Ouattara, K., Zuberbuhler, K., & Lemasson, A. (2015). Suffixation influences receivers'
- 439 behaviour in non-human primates. *Proceedings of the Royal Society B-Biological Sciences, 282*(1807).
- 440 doi: 10.1098/rspb.2015.0265

- 441 Coye, C., Zuberbuhler, K., & Lemasson, A. (2016). Morphologically structured vocalizations in female
- 442 Diana monkeys. *Animal Behaviour, 115*, 97-105. doi: 10.1016/j.anbehav.2016.03.010
- 443 Crockford, C., & Boesch, C. (2005). Call combinations in wild chimpanzees. *Behaviour, 142,* 397-421.
- 444 Demars, C., & Goustard, M. (1972). STRUCTURE AND DEVELOPMENTAL PATTERN OF SONORAL
- EMISSIONS OF HYLOBATES HYLOBATES-CONCOLOR. Bulletin Biologique de la France et de la Belgique,
  106(3), 177-191.
- Engesser, S. (2016). Vocal combinatoins in the Southern Pied Babbler (Turdoides bicolor) and the
  Chestnut-Crowned Babbler (Pomatostomus ruficeps). PhD, Zurich, Zurich.
- 449 Engesser, S., Ridley, A., & Townsend, S. (2016). Meaningful call combinations and compositional
- 450 processing in the southern pied babbler. *Proceedings of the National Academy of Sciences*. doi:
  451 10.1073/pnas.1600970113
- 452 Fedurek, P., Zuberbühler, K., & Dahl, C. D. (2016). Sequential information in a great ape utterance.
  453 Scientific Reports, 6, 38226.
- 454 Fitch, W. T., & Hauser, M. D. (2004). Computational constraints on syntactic processing in a
- 455 nonhuman primate. *Science, 303*(5656), 377-380.
- 456 Friederici, A. D. (2004). Processing local transitions versus long-distance syntactic hierarchies. *Trends*457 *in Cognitive Sciences, 8*(6), 245-247. doi: 10.1016/j.tics.2004.04.013
- Gentner, T. Q., Fenn, K. M., Margoliash, D., & Nusbaum, H. C. (2006). Recursive syntactic pattern
  learning by songbirds. *Nature*, 440(7088), 1204-1207.
- Genty, E., Clay, Z., Hobaiter, C., & Zuberbühler, K. (2014). Multi-modal use of a socially directed call in
  bonobos. *Plos One*, 9(1). doi: DOI 10.1371/journal.pone.0084738
- 462 Harcourt, A. H., & Stewart, K. J. (1996). Function and meaning of wild gorilla 'close' calls .2.
- 463 Correlations with rank and relatedness. *Behaviour, 133*, 827-845.
- Harcourt, A. H., Stewart, K. J., & Hauser, M. (1993). Functions of wild gorilla "close" calls: I. Repertoire
  context, and interspecific comparison. *Behaviour, 124*, 89-122.
- Hauser, M. D. (2000). A primate dictionary? Decoding the function and meaning of another species'
  vocalizations. *Cognitive Science*, 24(3), 445-475.
- Hedwig, D., Mundry, R., Robbins, M. M., & Boesch, C. (2015). Contextual correlates of syntactic
- 469 variation in mountain and western gorilla close-distance vocalizations: Indications for lexical or
- 470 phonological syntax? *Animal Cognition, 18*(2), 423-435. doi: 10.1007/s10071-014-0812-6
- Herbinger, I., Papworth, S., Boesch, C., & Zuberbuehler, K. (2009). Vocal, gestural and locomotor
  responses of wild chimpanzees to familiar and unfamiliar intruders: a playback study. *Animal*
- 473 Behaviour, 78(6), 1389-1396. doi: 10.1016/j.anbehav.2009.09.010
- Herman, L. M., Richards, D. G., & Wolz, J. P. (1984). Comprehension of sentences by bottlenosed
  dolphins. *Cognition*, *16*(2), 129-219.
- 476 Hobaiter, C., Byrne, R. W., & Zuberbuhler, K. (2017). Wild chimpanzees' use of single and combined
- vocal and gestural signals. *Behavioral Ecology and Sociobiology*, 71(6). doi: 10.1007/s00265-017-23251
- Hochmann, J. R., Azadpour, M., & Mehler, J. (2008). Do humans really learn A(n) B-n artificial
- grammars from exemplars? *Cognitive Science*, *32*(6), 1021-1036. doi: 10.1080/03640210801897849
  Jackendoff, R. (2007). *Language, Consciousness, Culture*. Cambridge MA: MIT Press.
- 482 Kershenbaum, A., Bowles, A. E., Freeberg, T. M., Jin, D. Z. Z., Lameira, A. R., & Bohn, K. (2014). Animal
- vocal sequences: not the Markov chains we thought they were. *Proceedings of the Royal Society B- Biological Sciences, 281*(1792). doi: 10.1098/rspb.2014.1370
- 485 Kershenbaum, A., Ilany, A., Blaustein, L., & Geffen, E. (2012). Syntactic structure and geographical
- dialects in the songs of male rock hyraxes. *Proceedings of the Royal Society B-Biological Sciences,* 279(1740), 2974-2981, doi: 10.1092/rspb.2012.0222
- 487 *279*(1740), 2974-2981. doi: 10.1098/rspb.2012.0322
- Lameira, A. R., Maddieson, I., & Zuberbuehler, K. (2014). Primate feedstock for the evolution of consonants. *Trends in Cognitive Sciences, 18*(2), 60-62. doi: 10.1016/j.tics.2013.10.013
- Lemasson, A., Ouattara, K., Bouchet, H., & Zuberbuehler, K. (2010). Speed of call delivery is related to
  context and caller identity in Campbell's monkey males. *Naturwissenschaften*, *97*(11), 1023-1027. doi:
  10.1007/s00114-010-0715-6
- 492 10.1007/s00114-010-0715-6
  493 Marler, P., & Peters, S. (1988). The role of song phonology and syntax in vocal learning preferences in
  494 the song sparrow, Melospiza melodia. *Ethology*, *77*, 125-149.
- 495 Newman, J. D., Katzlieblich, A., Talmageriggs, G., & Symmes, D. (1978). SYLLABLE CLASSIFICATION
- 496 AND SEQUENCING IN TWITTER CALLS OF SQUIRREL-MONKEYS (SAIMIRI-SCIUREUS). Zeitschrift Fur
- 497 Tierpsychologie-Journal of Comparative Ethology, 47(1), 77-88.

- 498 Nowak, M. A., Plotkin, J. B., & Jansen, V. A. A. (2000). The evolution of syntactic communication.
- 499 Nature, 404(6777), 495-498. doi: 10.1038/35006635
- 500 Ouattara, K., Lemasson, A., & Zuberbuehler, K. (2009a). Campbell's monkeys concatenate
- vocalizations into context-specific call sequences. *Proceedings of the National Academy of Sciences of the United States of America, 106*(51), 22026-22031. doi: 10.1073/pnas.0908118106
- 503 Ouattara, K., Lemasson, A., & Zuberbuehler, K. (2009b). Campbell's Monkeys Use Affixation to Alter
- 504 Call Meaning. *Plos One, 4*(11). doi: 10.1371/journal.pone.0007808
- 505 Ouattara, K., Lemasson, A., & Zuberbuhler, K. (2009a). Campbell's monkeys concatenate vocalizations
- into context-specific call sequences. *Proceedings of the National Academy of Sciences of the United States of America, 106*(51), 22026-22031.
- Ouattara, K., Lemasson, A., & Zuberbuhler, K. (2009b). Campbell's Monkeys Use Affixation to Alter Call
   Meaning. *Plos One*, 4(11).
- 510 Rizzi, L. (2016). Monkey morpho-syntax and merge-based systems. *Theoretical Linguistics, 42*(1-2),
- 511 139-145. doi: 10.1515/tl-2016-0006
- Robinson, J. G. (1984). Syntactic structures in the vocalizations of wedge-capped capuchin monkeys,
  Cebus olivaceus. *Behaviour*, *90*, 46-79.
- 514 Salmi, R., Hammerschmidt, K., & Doran-Sheehy, D. M. (2013). Western Gorilla Vocal Repertoire and 515 Contextual Use of Vocalizations. *Ethology*, *119*(10), 831-847. doi: 10.1111/eth.12122
- 516 Schamberg, I., Cheney, D. L., Clay, Z., Hohmann, G., & Seyfarth, R. M. (2016). Call combinations, vocal
- 517 exchanges and interparty movement in wild bonobos. *Animal Behaviour, 122*, 109-116. doi:
- 518 10.1016/j.anbehav.2016.10.003
- 519 Schel, A. M., Candiotti, A., & Zuberbühler, K. (2010). Predator-deterring alarm call sequences in
- 520 Guereza colobus monkeys are meaningful to conspecifics. *Animal Behaviour, 80*(5), 799-808. doi: 10.1016/j.aphobay.2010.07.012
- 521 10.1016/j.anbehav.2010.07.012
- 522 Schel, A. M., Tranquilli, S., & Zuberbuhler, K. (2009). The Alarm Call System of Two Species of Black-
- and-White Colobus Monkeys (Colobus polykomos and Colobus guereza). *Journal of Comparative Psychology*, *123*(2), 136-150.
- 525 Schlenker, P., Chemla, E., Arnold, K., Lemasson, A., Ouattara, K., Keenan, S., . . . Zuberbuhler, K.
- 526 (2014). Monkey semantics: two 'dialects' of Campbell's monkey alarm calls. *Linguistics and*
- 527 *Philosophy, 37*(6), 439-501. doi: 10.1007/s10988-014-9155-7
- Schlenker, P., Chemla, E., Arnold, K., & Zuberbuhler, K. (2016). Pyow-hack revisited: Two analyses of
  Putty-nosed monkey alarm calls. *Lingua*, *171*, 1-23. doi: 10.1016/j.lingua.2015.10.002
- 530 Schlenker, P., Chemla, E., Casar, C., Ryder, R., & Zuberbuhler, K. (2017). Titi semantics: Context and 531 meaning in Titi monkey call sequences. *Natural Language & Linguistic Theory*, *35*(1), 271-298. doi:
- 532 10.1007/s11049-016-9337-9
- 533 Schlenker, P., Chemla, E., Schel, A. M., Fuller, J., Gautier, J. P., Kuhn, J., . . . Zuberbuhler, K. (2016a).
- 534 Formal monkey linguistics. *Theoretical Linguistics, 42*(1-2), 1-90. doi: 10.1515/tl-2016-0001
- 535 Schlenker, P., Chemla, E., Schel, A. M., Fuller, J., Gautier, J. P., Kuhn, J., . . . Zuberbuhler, K. (2016b).
- Formal monkey linguistics: The debate. *Theoretical Linguistics, 42*(1-2), 173-201. doi: 10.1515/tl-20160010
- Schlenker, P., Chemla, E., & Zuberbuhler, K. (2016). What Do Monkey Calls Mean? *Trends in Cognitive Sciences*, 20(12), 894-904. doi: 10.1016/j.tics.2016.10.004
- 540 Senghas, A., Kita, S., & Ozyurek, A. (2004). Children creating core properties of language: Evidence 541 from an emerging sign language in Nicaragua. *Science, 305*(5691), 1779-1782.
- 542 Seyfarth, R. M., Cheney, D. L., Harcourt, A. H., & Stewart, K. J. (1994). The acoustic features of gorilla 543 double grunts and their relation to behavior. *Am. j. Primatol., 33*(1), 31-50.
- 544 Slocombe, K. E., Kaller, T., Call, J., & Zuberbuehler, K. (2010). Chimpanzees Extract Social Information 545 from Agonistic Screams. *Plos One, 5*(7). doi: 10.1371/journal.pone.0011473
- 546 Slocombe, K. E., & Zuberbuhler, K. (2005). Agonistic screams in wild chimpanzees (Pan troglodytes
- 547 schweinfurthii) vary as a function of social role. *Journal of Comparative Psychology*, 119(1), 67-77.
- Snowdon, C. T., Elowson, A. M., Nishida, T., McGrew, W. C., Marler, P., Pickford, M., & de Waal, F. B.
  M. (Eds.). (1992). Ontogeny of primate vocal communication.
- Snowdon, C. T., & Hausberger, M. (Eds.). (1997). Social influences on vocal development. Cambridge:
  Cambridge University Press.
- 552 Stephan, C., & Zuberbuhler, K. (2008). Predation increases acoustic complexity in primate alarm calls.
- 553 *Biology Letters, 4*(6), 641-644. doi: Doi 10.1098/Rsbl.2008.0488

- 554 Stewart, K. J., & Harcourt, A. H. (1994). Gorillas' vocalizations during rest periods: signals of impending 555 departure? *Behaviour, 130,* 29-40.
- 556 Suzuki, T. N., Wheatcroft, D., & Griesser, M. (2016). Experimental evidence for compositional syntax in
- bird calls. *Nature Communications, 7*. doi: 10.1038/ncomms10986
- 558 Tomasello, M. (2008). *Origins of human communication*. Cambridge MA: MIT Press.
- Townsend, S. W., Engesser, S., Stoll, S., Zuberbuhler, K., & Bickel, B. (2018). Compositionality in
- animals and humans. *Plos Biology, 16*(8). doi: 10.1371/journal.pbio.2006425
- van Heijningen, C. A. A., de Visser, J., Zuidema, W., & ten Cate, C. (2009). Simple rules can explain
- discrimination of putative recursive syntactic structures by a songbird species. *Proceedings of the*
- 563 National Academy of Sciences of the United States of America, 106(48), 20538-20543. doi:
   564 10.1073/pnas.0908113106
- 565 Van Lancker-Sidtis, D., & Rallon, G. (2004). Tracking the incidence of formulaic expressions in
- everyday speech: methods for classification and verification. *Language & Communication, 24*(3), 207240. doi: 10.1016/j.langcom.2004.02.003
- 568 Wilson, B., Smith, K., & Petkov, C. I. (2015). Mixed-complexity artificial grammar learning in humans
- and macaque monkeys: evaluating learning strategies. *European Journal of Neuroscience*, 41(5), 568578. doi: 10.1111/ejn.12834
- 571 Wilson, M., Hauser, M., & Wrangham, R. (2001). Does participation in intergroup conflict depend on
- numerical assessment, range location, or rank for wild chimpanzees? *Animal Behaviour, 61*(6), 12031216.
- Wilson, M. L., Boesch, C., Fruth, B., Furuichi, T., Gilby, I. C., Hashimoto, C., . . . Wrangham, R. W.
- 575 (2014). Lethal aggression in Pan is better explained by adaptive strategies than human impacts.
  576 *Nature*, *513*(7518), 414-+.
- 577 Wilson, M. L., Boesch, C., Furuichi, T., Gilby, I. C., Hashimoto, C., Hohmann, G., . . . Wrangham, R. W.
- 578 (2012). Rates of lethal aggression in chimpanzees depend on the number of adult males rather than
- 579 measures of human disturbance. *American Journal of Physical Anthropology,* 147, 305-305.
- 580 Wilson, M. L., Hauser, M. D., & Wrangham, R. W. (2007). Chimpanzees (Pan troglodytes) modify
- 581 grouping and vocal behaviour in response to location-specific risk. *Behaviour, 144*, 1621-1653.
- 582 Zuberbuhler, K. (2018). Combinatorial capacities in primates. *Current Opinion in Behavioral Sciences,*
- 583 *21*, 161-169. doi: 10.1016/j.cobeha.2018.03.015
- 584 Zuberbühler, K. (2000). Referential labelling in Diana monkeys. *Animal Behaviour, 59*(5), 917-927.
- 585 Zuberbühler, K. (2002). A syntactic rule in forest monkey communication. Animal Behaviour, 63, 293-
- 586 299.
- 587