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Bach, L, Bailey, D, Harvey, E and MacLeod, R The MacKinnon Lists Technique: an efficient new method for rapidly assessing biodiversity and species abundance ranks in the marine environment. PLoS One. ISSN 1932-6203 (Accepted)

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1 **The MacKinnon Lists Technique: an efficient new method for rapidly assessing**
2 **biodiversity and species abundance ranks in the marine environment**

3

4 **Short Title: A new method for monitoring marine biodiversity**

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18 **Abstract**

19 Widespread and ever-increasing anthropogenic impacts in the marine environment are
20 driving a need to develop more efficient survey methods for monitoring changes in
21 marine biodiversity. There is a particular urgent need for survey methods that could
22 more rapidly and effectively detect change in species richness, abundance and
23 community composition. Here, test the suitability of the MacKinnon Lists Technique
24 for use in the marine environment by testing its effectiveness for rapid assessment of
25 fish communities. The MacKinnon Lists Technique is a time-efficient and cost-
26 effective sampling method developed for studying avian tropical biodiversity, in which
27 several list samples of species can be collected from a single survey. Using the well-
28 established MaxN approach on data from deployments of a Baited Remote Underwater
29 Video Systems for comparison, we tested the suitability of the MacKinnon Lists
30 Technique for use in marine environments by analysing tropical reef fish communities.
31 Using both methods for each data set, differences in community composition between
32 depths and levels of protection were assessed. Both methods were comparable for
33 diversity and evenness indices with similar ranks for species. Multivariate analysis
34 showed that the MacKinnon Lists Technique and MaxN detected similar differences in
35 community composition at different depths and protection status. However, the
36 MacKinnon Lists Technique detected significant differences between factors when
37 fewer videos (representing reduced survey effort) were used. We conclude that the
38 MacKinnon Lists Technique is at least as effective as the widely used MaxN method
39 for detecting differences between communities in the marine environment and suggest
40 can do so with lower survey effort. The MacKinnon Lists Technique has the potential

41 to be widely used as an effective new tool for rapid conservation monitoring in marine
42 ecosystems.

43 **Introduction**

44 Monitoring the abundance, diversity and distribution of species helps track the impacts
45 of environmental disturbance, detect changes in population dynamics and enables
46 effective management [1-3]. This requires accurate and precise information on species
47 richness, abundance and assemblage composition, permitting the detection of
48 community responses that might be caused by environmental change [4]. Such data also
49 contributes to understanding the factors shaping community assemblages which can
50 assist managers to make informed decisions [5,6].

51 In the marine environment a number of sophisticated methods such as mark and
52 recapture, acoustic surveys or destructive methods have been developed to survey and
53 monitor biodiversity for conservation and scientific purposes [7]. Many of these
54 methods are costly and time intensive, requiring considerable expertise in terms of data
55 collection and analysis [8-10]. Moreover, species assemblages in the marine
56 environment are often characterised by high spatiotemporal variation and
57 heterogeneity, making it difficult to fulfil the underlying assumptions of complex
58 methodologies [9,11]. In many cases key conservation priority areas, such as coral reef
59 environments, are characterised by high species richness and patchy distribution of key
60 habitats and species. This adds considerable challenges to data collection, analysis and
61 interpretation [9,12,13].

62 Marine environments, including temperate and coral reefs, are changing rapidly in
63 response to climate change and other human disturbances [14,15], creating a need for
64 methods which can rapidly assess these communities in a standardized and comparable
65 manner [16]. A commonly used method for studying fish assemblages in coral reefs is
66 the underwater visual census (UVC) conducted by divers. UVC has a range of

67 limitations such as the divers' impact on fish behaviour [17], effects of variation in
68 diver swimming speed [18] and the need for trained divers that can immediately identify
69 the species encountered and estimate their length [4, 19-21].

70 With the development of higher quality and relatively cheap video camera technology
71 some of these limitations have been overcome, in particular the problems of consistent
72 species identification [22-24]. With advances in computer power and software, the
73 ability to carry out underwater photogrammetry, means that fish length and biomass
74 estimates have greatly improved. Deployments of stationary video cameras are also
75 used in conjunction with bait to attract fish to the camera [25-28].

76 One of the most common sampling approaches is to record the maximum number of
77 individuals of each species seen at one time [29]. This value is known as the MaxN for
78 that species and is considered an index of abundance. This approach was suggested by
79 Cappo et al. (2003) and subsequently adopted by other teams in Australia and the US.
80 The use of the MaxN approach avoids repeated counts of the same individual. However,
81 because it only uses the maximum number of individuals at a single time it ignores
82 much of the information recorded by the video [4]. Furthermore, the number of
83 individuals detected at one time depends on behaviours of individual species. Changes
84 in true abundance may not be detectable in species that only come to the bait in ones
85 and twos and at higher densities fish may actively chase each other away [30].

86 Recognising that no survey method is without biases, it is useful to evaluate and
87 compare methods of counting animals from terrestrial systems to see if these can be
88 applied to marine systems. For example, the widely used Underwater Visual Census
89 approach to sampling coral reef fish developed by Brock (1954) was a successful

90 adaptation of visual counts of birds with an observer identifying and counting all the
91 birds they saw along a transect [31].

92 Ideally, potential new sampling techniques should allow for analysis of both *in situ* data
93 and video footage. They should also be comparable across survey methods, reduce the
94 potential for double counting in UVC survey, use the data available in video footage to
95 a greater extent, be widely applicable, fast and cost-efficient.

96 The MacKinnon Lists Technique (MLT) was developed for surveys of avifaunal
97 communities in tropical forest ecosystems and has become an established technique for
98 bird surveys, particularly in highly species rich communities [32-36]. The MLT can
99 accumulate samples from any set of observational data where the order of individual
100 detections can be recorded, and could therefore be used widely in the marine
101 environment including for UVC surveys, baited and unbaited remote underwater video
102 surveys.

103 We propose that MLT has unique features (further described below) that may make it
104 useful in the marine environments, in particular in species rich habitats such as coral
105 reefs. As such it is a highly flexible method to rapidly assess biodiversity *in situ* or
106 using video, and, due to its simplicity, lower survey costs, staff time; availability of
107 technology or training. Moreover, in comparison to MaxN more information is retained.

108 The MLT works by sequentially recording species detected during a survey in a
109 standard-length list sample of unique species. To create a list sample, each species
110 observed is recorded in order first seen until a pre-decided number of species is reached,
111 normally either 5 or 10 unique species depending on the species richness of the study
112 community [34,37]. A species can only be recorded once in each list sample. Once a
113 list is completed, a new sample is begun, which can include species observed in the

114 previous list(s). Typically, several lists are created during each survey effort (e.g. a
115 transect or video recording), these lists are the sample units.

116 For birds, this technique has been shown to rapidly generate consistent species richness
117 and relative abundance indices under a wide range of field conditions [34,37]. Bibby et
118 al. (2000) argue that the MLT provides sampling units that are independent of collection
119 time, observer expertise and spatial extent. This makes it a useful method to investigate
120 changes in assemblage composition in space and time. Species relative abundance can
121 be generated using MLT samples by calculating the proportion of samples each species
122 occurs in. Previous studies suggest that the MLT is an efficient method to survey
123 species groups of special interests such as species of conservation importance [37].
124 MacLeod et al. (2011) suggested that the MLT might be suitable for measuring
125 differences in abundance and communities of many other taxonomic groups in addition
126 to birds, including the marine environment.

127 In this study, we investigate for the first time the ability of MLT to rapidly generate
128 monitoring data for marine fish communities, capable of 1) producing species richness
129 and diversity estimates, 2) providing measures of relative abundance of species,
130 including species targeted by fisheries, 3) detecting ecological relevant differences such
131 as differences in community composition with depth and protection status and 4) its
132 effectiveness at detecting changes in community composition as sampling effort
133 decreases. In each case we compare MLT to results from the MaxN method, which is
134 already widely used in marine science.

135 **Materials and methods**

136 **Study area**

137 Video footage for this study was collected in the Houtman Abrolhos Islands, located on
138 the west coast of Western Australia, approximately 60 km offshore between 28°15'S
139 and 29°S. The Houtman Abrolhos consists of four main island groups. This study took
140 place in the Easter group, which lies South of North Island and the Wallabi Group but
141 North of the Pelsaert group [4]. The Easter group study area includes an area (22.29
142 km²) closed to fishing which was established in 1994. For this study we used imagery
143 collected between August and October 2005. Permits to conduct this work were
144 obtained from the Department of Fisheries, Western Australia, who also provided
145 logistical assistance.

146 *Survey work*

147 Imagery for this study was collected by baited remote stereo-video systems, filming for
148 one hour. Video cameras were deployed in four sites, three of which were open to
149 fishing and one was closed to fishing within the reef observation area (ROA). Within
150 each of these at least five replicate deployments were made, which were split between
151 shallow (8-12 m) and deep (22-26 m) reef slopes. Therefore, survey work resulted in
152 34 one-hour videos from a three-factor experimental design: protection status (St, two
153 level fixed factor: fished or ROA), depth (De, two level fixed factor: deep (22-26 m) or
154 shallow (8-12 m)) and site (S, nested random factor). This work was conducted by
155 Warson et al. (2007). To account for correlation between lists within the same videos,
156 we also added video as a random factor for MLT.

157 Survey sites were standardized with each site representing the same general habitat
158 (predominantly coral) and deployments were made randomly within these sites. Each
159 deployment site was separated by at least 250 m in order to minimize the chances of

160 individual fish from moving between sites. Surveys were carried out between 0800 and
161 1600 hours.

162 **Image Analysis**

163 Each video was viewed in the video analysis program EventMeasure [38] and the
164 following information extracted. For MaxN, each individual or group of individuals
165 were identified to species level and then the maximum number of individuals of each
166 species in the field of view at any one time was established for each video [26]. In line
167 with other studies for MLT [32,34], we generated a chronologically ordered master list
168 by recording a list of all individuals seen during a video. To simplify recording, species
169 had to be out of field of view for more than three minutes before the same species was
170 added as a new record. This avoided having to record long sequences of a species from
171 a single individual passing repeatedly through the field of view. This was for
172 convenience and is not an essential part of the technique, as repeated records of the
173 same species would in any event be eliminated at the next stage of the sampling process.
174 Once the data was assembled into this time ordered master list, we separated it into list
175 samples consisting of five species each. A list sample size of five species was selected
176 rather than ten species which is more common in avian studies, as the fish community
177 species richness was less than found in most bird communities to which this method
178 has been applied (most bird communities surveyed comprised between 150 and 300,
179 compared to approximately 90 fish species associated prior work conducted in our
180 sampling location) [34,37]. Each list sample provides a sample of the overall
181 community present at a unique combination of time and space, as each sample is made
182 up of a fixed number of species it represents a fixed proportion of the overall
183 community studied. To ensure all data from the master list were used to estimate species

184 richness for each habitat (i.e. the same status and depth category), partial list samples
185 from individual videos (where less than five species were found at the end of a video)
186 were pooled and added as additional lists for each habitat. Additional lists were not
187 analysed as part of the multivariate analysis as video was being used as a random factor.

188 **Statistical analysis**

189 **Species Richness Estimation**

190 Observed and estimated species richness accumulation curves for MaxN (per video
191 sample for the factors status and depth) and MLT (per list sample for the factors status
192 and depth) were generated using EstimateS v. 9.1 [39]. In order to remove sample order
193 effects, average observed species richness (Sobs accumulation curve) was calculated
194 by bootstrapping order species 50 times. Species richness estimators were then used to
195 predict number of species within each habitat, with curves generated indicating if the
196 area was sufficiently sampled. We selected ACE, ICE, Chao 1, Chao 2, Jack 1, Jack 2m
197 MMruns and MMMeans species richness estimators as previous studies have suggested
198 that these estimators produce the most consistent predictions over a range of species
199 richness values [37].

200 **Community diversity and evenness**

201 Fisher's alpha [40], Pilon's J evenness [41], and Brillouin index for evenness [41] and
202 diversity were calculated for MaxN (sample unit being video within a habitat) and MLT
203 (sample unit being a list sample within a habitat) using the Diversity4 package.
204 Standard deviations of the abundance indices were calculated using Diversity4. The
205 equations used to calculate the indexes are based on published sources [42,43].

206 **Relative Abundance Indices for common and target species**

207 Comparisons between methods were made using the ten species with the highest
208 relative abundance index for each method within each habitat. We also calculated the
209 relative abundance within each habitat of species commonly targeted for fishing. MaxN
210 and MLT species abundance indices were calculated as average MaxN and total
211 abundance count for MLT (sum of all lists), per video in each of the four habitat types.

212 **Multivariate analysis**

213 Community assemblage data were analysed with permutational multivariate analysis of
214 variance (PERMANOVA), in the PRIMER 6 statistical package [44]. Relative
215 abundance based on MaxN and MLT were analysed separately according to a three -
216 factor design (MaxN) and four - factor design (MLT), as described above. Prior to
217 analysis this data was square root transformed and a dummy variable was added. The
218 analysis used Bray Curtis distance dissimilarly. Permutational distance based
219 approaches are of advantage when analysing abundance data as these tend to have many
220 zero counts and are highly skewed [45,46]. This enabled the examination of significant
221 factors influencing the abundance data. In order to understand the ability of each
222 technique to discriminate patterns and distinguish between factors at lower sampling
223 efforts, we analysed a lower number of videos within each habitat according to a
224 balanced design with five, three and two videos per habitat. Videos were chosen
225 randomly, but were the same for both methods. At these lower sampling efforts, we
226 generated p-values for both methods using a Monte Carlo random samples from the
227 asymptotic permutation distribution [47].

228 **Results**

229 **Species Richness and Diversity Measurement**

230 The MLT consistently generated more samples across each of the habitats, with for
231 example 53 list samples compared to 15 video samples in the Deep Fished habitat
232 (Table 1). This is because the MLT makes use of more of the observations captured in
233 each video allowing several list samples (each of which contains five species) to be
234 compiled from a single video. Using these samples both methods yielded similar
235 estimated species richness in each habitat (Paired t-test: $t=0.80$, $df=3$, $p=0.48$, Table 2).
236 However, the greater number of MLT samples appeared to result in species richness
237 estimates and species accumulation curves levelling off to a greater extent compared to
238 MaxN thus providing more stable estimates of community species richness in each
239 habitat (Table 1 and Fig 1). This was investigated further using the sample-based Chao2
240 species richness estimator, as this enables confidence interval calculation for species
241 richness estimates. In the Deep Fished, Shallow Fished and Deep ROA habitats, the
242 MLT Chao2 species richness estimate appeared to have stabilised by the final samples
243 with the last three, five and three samples respectively providing species richness
244 estimates that differed by less than one species (Table 1, S1 Table). For Shallow ROA
245 the MLT Chao2 species richness estimate was still changing by slightly more than one
246 species per sample in the final samples suggesting more sampling would be needed to
247 produce a stable species richness estimate. In all four habitats Chao2 species richness
248 estimate was still changing between the final two samples for MaxN, with a change
249 between estimates of four species for Deep Fished, two species for Shallow Fished,
250 three species for Deep ROA and two species for Shallow ROA (Table 1 and
251 supplementary materials). Even with only four habitat comparisons available this
252 difference in the final rate at which species richness estimates were changing was very
253 close to significant between the two methods (Paired t-test: $t=3.0$, $df=3$, $p=0.058$),

254 providing evidence of an underlying difference in efficiency of methods. For the MLT
 255 Chao 2 species richness estimates the range of the 95% confidence intervals was also
 256 somewhat smaller than for MaxN for three out of the four habitats (95% CI Range:
 257 Deep Fished MLT 76.7 v MaxN 88.8, Shallow Fished MLT 58.4 v MaxN 62.1, Deep
 258 ROA MLT 23.2 v MaxN 54.3, Shallow Fished MLT 47.2 v MaxN 26.8).

259

260 **Table 1. Samples generated by MaxN and MLT per habitat and stability of species**
 261 **richness (SR) estimates.** As described in the methods, based on the master list, partial
 262 list samples at the end of videos were added to form additional pooled list samples for
 263 a habitat. Total number of additional lists generated is given in brackets.

	Number of video samples generated	MaxN Final SR Estimate Chao 2	MaxN Penultimate SR Estimate	MaxN Final Rate of SR Change
Deep Fished	14	97.00	93.18	3.82
Shallow Fished	10	83.30	81.52	1.78
Deep ROA	5	51.54	48.72	2.82
ShallowROA	5	54.42	52.76	1.66
	Number of list samples generated (pooled lists in brackets)	MLT Final SR Estimate Chao 2	MLT Penultimate SR Estimate	MLT Final Rate of SR Change
Deep Fished	53 (6)	90.70	91.13	0.43
Shallow Fished	54 (4)	81.90	82.44	0.54
Deep ROA	14 (1)	39.04	39.21	0.17
ShallowROA	27 (2)	61.37	60.23	1.14

264

265

266 **Figure 1. Species accumulation curves based on MaxN and MLT for four coral**
 267 **reef fish habitats.**

268

269 **Table 2. Species richness estimates for each habitat.** Based on species estimators
 270 (S(exp), ACE, ICE, Choa1, Chao2, Jack1, Jack2 and MMruns).

Habitat	Deep fished		Shallow fished		Deep ROA		Shallow ROA	
Index	MaxN	MLT	MaxN	MLT	MaxN	MLT	MaxN	MLT
S(exp)	58.00	58.00	58.00	59.00	32.00	32.00	45.00	45.00
ACE	79.00	82.16	74.4	81.21	50.27	45.15	51.84	59.36
ICE	105.35	92.45	83.56	79.08	66.68	49.38	62.35	61.6
Chao 1	79.34	84.19	74.97	77.97	43.30	37.04	78.92	59.96
Chao 2	97.00	90.70	83.30	81.90	51.54	39.04	54.42	61.37
Jack 1	84.00	82.53	78.70	79.61	47.20	45.00	59.40	61.37
Jack 2	101.67	99.03	91.41	92.27	55.90	48.30	64.80	70.88
MMruns	83.49	69.59	74.28	71.87	69.10	55.45	83.66	64.74

271

272 Fisher's alpha (all sample index), Brillouin Diversity, Brillouin Evenness and PielouJ
273 evenness were calculated for each habitat (Table 3). Based on the widely overlapping
274 standard errors the values for both methods are very similar with both methods
275 identifying the same pattern, with Deep Fished and Shallow Fished habitats
276 characterised by greater species diversity, but similar evenness compared to those in
277 the ROA.

278

279 **Table 3. Diversity and evenness indices for MaxN and MLT.** Fishers alpha index,
280 Brillouin Diversity, Brillouin Evenness and PielouJ evenness for community diversity
281 and evenness were obtained from Diversity 4 for both techniques including Jackknife
282 Standard Error across the four habitats.

Habitat type	Fishers alpha (+- Jackknife SE)	Brillouin Diversity (+- Jackknife SE)	Brillouin Evenness (+- Jackknife SE)	PielouJ Evenness (+- Jackknife SE)
Max N				
Deep Fished	16.19 (2.45)	3.10 (0.16)	0.81 (0.03)	0.80 (0.04)
Shallow Fished	15.19 (1.77)	3.00 (0.15)	0.77 (0.06)	0.77 (0.04)
Deep ROA	12.42 (3.77)	2.19 (0.25)	0.70 (0.12)	0.69 (0.08)
Shallow ROA	12.50 (2.38)	2.16 (0.20)	0.60 (0.05)	0.60 (0.05)
MLT				
Deep Fished	17.35 (1.79)	3.13 (0.09)	0.82 (0.02)	0.81 (0.02)
Shallow Fished	15.83 (2.09)	2.96 (0.18)	0.76 (0.05)	0.76 (0.05)

Deep ROA	12.70 (1.87)	2.16 (0.38)	0.69 (0.16)	0.68 (0.13)
ShallowROA	12.74 (2.63)	2.18 (0.41)	0.61 (0.12)	0.61 (0.12)

283

284 **Abundant species and target species**

285 We compared the ten most abundant species (numerically) for MLT and MaxN (Table
286 4). Both methods identified very similar lists of the most abundant ten species. For each
287 habitat, the methods agreed on 9 out of 10 of the most abundant species and for Shallow
288 ROA provided agreement on 10 out of 10. Species ranks within the lists were also very
289 similar, with an average difference of one rank or less between the methods in each of
290 Deep Fished, Shallow Fished, Deep ROA and Shallow ROA.

291

292 Table 4. **Most abundant species in the four coral reef fish communities according to MaxN and MacKinnon Lists Technique.** The rank of
 293 the top ten species is indicated in brackets.

	MaxN	MLT	MaxN	MLT	MaxN	MLT	MaxN	MLT
	Deep Fished	Deep Fished	Shallow Fished	Shallow Fished	Deep ROA	Deep ROA	ShallowROA	ShallowROA
<i>Chaetodon assarius</i>	23 (8)	20 (8)	0	0	0	0	0	0
<i>Chaetodon lunula</i>	0	0	0	0	3 (9)	4	11 (8)	6 (9)
<i>Chaetodon plebeius</i>	0	0	0	0	3 (10)	2 (9)	0	0
<i>Chlorurus sordidus</i>	0	0	69 (2)	58 (2)	5 (7)	5 (6)	13 (6)	13 (5)
<i>Choerodon rubescens</i>	39 (4)	30 (3)	18 (9)	16 (10)	7 (4)	6 (5)	12 (7)	12 (6)
<i>Chromis westaustralis</i>	23 (7)	23 (5)	137 (1)	134 (1)	64 (1)	63(1)	218 (1)	203 (1)
<i>Coris auricularis</i>	37 (5)	22 (6)	38 (5)	24(8)	0	0	0	0
<i>Dascyllus trimaculatus</i>	0	0	28 (8)	26 (7)	0	0	0	0
<i>Gymnothorax woodwardi</i>	0	0	8	15 (9)	4 (8)	4 (7)	0	0
<i>Kyphosus cornelii</i>	0	0	0	0	0	0	42 (2)	43 (2)
<i>Lethrinus nebulosus</i>	0	0	0	0	6 (6)	3 (8)	17 (4)	17 (4)
<i>Pagrus auratus</i>	67 (2)	26 (4)	0	0	7 (5)	7 (4)	0	0
<i>Parupeneus spilurus</i>	20 (9)	18	0	0	0	0	0	0
<i>Pentapodus nagasakiensis</i>	16	16 (10)	0	0	0	0	0	0
<i>Plectropomus leopardus</i>	46 (3)	42 (2)	30 (7)	30 (4)	12 (2)	11 (2)	9 (9)	12 (8)

<i>Pseudocaranx spp</i>	68 (1)	62 (1)	56 (3)	56 (3)	0	0	0	0
<i>Scarus ghobban</i>	20 (10)	18 (9)	0	0	0	0	0	0
<i>Scarus schlegeli</i>	27 (6)	21 (7)	33 (6)	26 (6)	0	0	7 (10)	6 (10)
<i>Scombridae spp</i>	0	0	0	0	9 (3)	8 (3)	19 (3)	19 (3)
<i>Stethojulis strigiventer</i>	0	0	0	0	2	2 (10)	0	0
<i>Thalassoma lunare</i>	0	0	42 (4)	29 (5)	0	0	16 (5)	10 (7)
<i>Thalassoma lutescens</i>	0	0	17 (10)	16	0	0	0	0

294

295 The mean relative abundance of four species targeted for fishing was calculated per
 296 habitat for both methods. Again, the methods identified very similar patterns of species
 297 abundance across different habitats (Fig 2).

298

299 **Figure 2. Mean relative abundance for MaxN (average MaxN per video**
 300 **deployment) and MLT (fraction of lists the species occurred in within videos) in**
 301 **each habitat of the most important fishing targeted species.**

302

303 **Multivariate analysis**

304 The square-root transformed relative abundance data generated from all the
 305 deployments with each method analysed separately, showed the same significant
 306 differences in fish assemblage composition for the factors conservation status and depth
 307 with both methods. The random factor video was highly significant for MLT (Table 5).

308

309 **Table 5. Comparison of ability of MaxN and MTL methods to detect significant**
 310 **effects on community composition.** PERMANOVA results of square root

311 transformed relative abundance data generated by MaxN and MLT using Bray Curtis
 312 dissimilarity matrix and one dummy variable. Significant values are highlighted bold.

Source	Df	MS	Pseudo-F	P(perm)
MaxN				
Status	1	6528.5	4.1	0.007
Depth	1	8623.2	5.3	<0.001
StatusxDepth	1	3424.4	2.1	0.051
Site(Status)	8	1507.9	0.8	0.810
DepthxSite(Status)**	7	1576.5	0.8	0.760
Residual	9	1902.8		
Total	27			
MLT				

Source	Df	MS	Pseudo-F	P(perm)
Status	1	7373.4	2.4	0.007
Depth	1	9207.4	3.2	0.002
StatusxDepth	1	4484.1	1.6	0.100
Site(Status)	8	3070.2	1.0	0.610
Video(Site(Status)xDepth)	17	3296.2	1.3	0.006
Res	98	2604.1		
Total	134			

313

314 Following this analysis, we randomly dropped the number of videos used in the
315 analysis, allowing us to investigate how MaxN and MLT perform at lower sampling
316 efforts (Table 6). Both techniques found significant differences between status and
317 depth at a balanced sampling effort of five video deployments per habitat. However,
318 MLT found a highly significant difference for the interaction between status and depth.
319 MLT continued to detect the effect of protection status, depth and their interaction as
320 significant with a further reduction in sampling effort to three videos per habitat. While
321 MaxN only detected a significant effect of status with no significant differences
322 between depth and no interactions.

323

324 **Table 6. Comparison of ability of MaxN and MTL methods to detect significant effects on community composition with lower sampling**
 325 **effort.** PERMANOVA results of square root transformed relative abundance data generated by MaxN and MTL. Significant values are
 326 highlighted in bold. The full experimental design was reduced to five videos for all habitats. By reducing the sample size of the fished sites at
 327 both depths to five, maintaining ROA samples at five, following by reducing fished and ROA video deployments to three and ultimately two.
 328 P(MC) denotes Monte Carlo permutations. Significant values are highlighted in bold.
 329

Video/ habitat	MaxN					MLT				
	Source	df	MS	Ps-F	P(MC)	Source	df	MS	Ps-F	P(MC)
5	Status	1	5923.7	3.8	0.014	St	1	7841.9	2.3	0.010
	Depth	1	7087.4	4.1	0.010	De	1	8569.1	3.5	0.001
	Site(Status)	6	1537.6	0.8	0.711	Si(St)	5	2972.8	0.9	0.613
	StatusxDepth	1	3503.2	2.0	0.096	StxDe	1	4324.5	1.9	0.028
	DepthxSite(Status)	5	1738.1	0.9	0.593	DexSi(St)	5	2101.8	0.7	0.966
	Residuals	4	1902.7			Vi(Si(St)xDe)	7	3338.0	1.2	0.089
	Total	18				Res	61	2710.4		
						Total	81			
3	Status	1	2932.3	2.5	0.086	St	1	4864.6	2.8	0.005
	Depth	1	5683.7	3.0	0.072	De	1	10760.0	8.2	0.001
	Site(Status)	3	1134.0	0.5	0.842	Si(St)	2	1480.2	0.4	0.991
	StatusxDepth	1	4273.6	2.2	0.121	StxDe	1	6526.5	5.9	0.001
	DepthxSite(Status)	3	1858.9	0.9	0.613	DexSi(St)	2	836.0	0.2	0.999
	Residuals	2	2185.7			Vi(Si(St)xDe)	4	3808.9	1.4	0.049

	Total	11					Res	41	2751.6		
							Total	52			
2	Source	df	MS	Ps-F	P(MC)		Source	df	MS	Ps-F	P(MC)
	Status	1	2976.4	2.7	0.202		St	1	3214.4	2.0	0.119
	Depth	1	5159.4	2.5	0.233		De	1	6017.1	3.6	0.017
	Site(Status)	1	1023.0	0.5	0.697		Si(St)	1	1713.1	0.4	0.923
	StatusxDepth	1	2349.7	1.4	0.413		StxDe	1	3365.5	2.5	0.053
	DepthxSite(Status)	1	1693.2	0.8	0.538		DexSi(St)	1	1400.3	0.4	0.961
	Residuals	2	2185.7				Vi(Si(St)xDe)	2	4375.9	1.5	0.065
	Total	7					Res	26	2833.6		
							Total	33			

330

331

332 **Discussion**

333 For the first time, we have tested the ability of the MacKinnon Lists Technique to
334 generate useful results on biodiversity patterns in marine fish communities. Our results
335 show that this new approach is able to generate comparable results to the well-
336 established MaxN methodology, with species richness estimates, diversity indices,
337 relative abundance and assemblage composition results similar between the two
338 methods. Moreover, MLT continued to detect more key variables as significant effects
339 compared to the MaxN methodology as sampling effort was reduced. Due to the greater
340 use of data available in video surveys, the MLT appeared to produce more stable
341 estimations of species richness, suggesting that reliable assessments of biodiverse
342 communities could be achieved with lower sampling effort.

343 These results suggest MLT is a viable method to assess spatial or temporal changes in
344 species richness, relative abundance and community composition in marine
345 environments and therefore could be a valuable tool for rapid conservation assessments
346 in marine environments and possibly more widely under other circumstances where
347 resources for sampling are limiting.

348 The consistency of both methods in generating similar ranks of the most abundant
349 species and in generating comparable patterns of relative abundance for species of key
350 conservation concern suggest that MLT should be a useful tool to assess the relative
351 abundance of target species. This is encouraging not only for surveys in the marine
352 environment, but also more generally, as previous tests on highly diverse tropical avian
353 communities have often struggled to collect sufficient data from multiple methods to
354 compare relative abundance ranks of more than a few species [35,37].

355 The choice of sampling technique and method of analysis for biodiversity assessments
356 in general often depends on the researcher's experience and preference, budget, study
357 aim, focal species and a choice between different biases associated with different
358 techniques [12]. Fjeldsa (1999) advocates the use of MLT for birds as being a highly
359 time-efficient method as lists samples can be continuously generated while randomly
360 moving through a habitat. This is a potentially significant advantage of the MLT
361 compared to other methods traditionally used in avian studies, such as point counts
362 where the time moving between survey points can significantly reduce data collection
363 time [37].

364 In the context of field surveys whether in terrestrial or marine environments, MLT
365 could allow a surveyor to cover a greater survey area in less time, generating a greater
366 number of samples and often will require almost no prior preparation time for laying
367 out survey grids or lines. In this study, the effort needed to analyse video footage to
368 calculate relative abundance and species richness was similar for both methods (one-
369 person hour per 60 min video). When measuring species richness and relative
370 abundance, both methods require little technology and are comparable in terms of time
371 required for analysis. Therefore, both methods are likely to be feasible options in
372 environments where survey costs, staff time, availability of technology and training is
373 limited. In a snorkelling and diving context, the MLT may allow for a faster and more
374 standardized sampling approach, without the challenge of considering time restrictions,
375 swimming speed or transect length, therefore making it a much simpler approach that
376 is easier to implement in a standardised manner.

377 In a real-world context, areas of conservation importance often lack expertise and
378 equipment to fully assess fish community composition. MLT has been shown to

379 generate consistent relative abundance estimates across a range of personnel experience
380 [34,37]. We suggest that using MLT in the marine environment could allow personnel
381 with a lack experience or scientific support to focus on being able to confidently
382 identify species of key conservation importance in the field, rather than on the more
383 complex methodological requirements of other techniques. This should then enable
384 such observers to help assess the spatial and temporal variation in fish assemblage
385 composition more reliably, a key aim of many rapid assessment surveys and for
386 conservation monitoring.

387 It is worth also noting that because it collects multiple samples per video the MLT
388 technique may sample solitary fish species to a greater extent than MaxN, which only
389 focuses on the maximum group size seen per video. This would make MLT a useful
390 tool for assessing changes in relative abundance of solitary and numerically less
391 common species, which would be consistent with data generated from terrestrial
392 surveys [37]. In contrast, it is likely that the focus on maximum group size will mean
393 the MaxN technique will more readily detect changes in relative abundance of fish
394 species that frequently move in large groups. For this reason, we suggest that, where
395 sufficient funds are available, an effective approach to marine biodiversity assessments
396 might be to use both the MLT and MaxN methods together to analyse videos, diver or
397 other surveys and report the results of both so that the strengths of each complement
398 each other and make the most of the data available.

399 An important aspect of the MLT is that as a sampling with replacement methodology,
400 it does not require all redetections of the same fish to be eliminated from the analysis.
401 Most methods of assessing biodiversity patterns can be used with sampling with
402 replacement methodologies that are not invalidated if some individuals are redetected.

403 Here, we used a set of rules to reduce redetections (i.e. a species had to have been out
404 of the field of view for > three minutes before the same species was added to a new
405 list). Although a useful time-saving step during processing of the videos this is not
406 essential to the method.

407 As with all methods, MLT has some limitations. As such, it should be taken in
408 consideration that MLT tends to weight regularly spaced territorial species as more
409 abundant than schooling species, which can affect the calculation of diversity indices
410 and may result in the distribution of relative abundances to appear more even than using
411 other methods such as MaxN (which is likely to estimate solitary species and species
412 abundance and makes it challenging to quantify sampling area in particular when bait
413 is used). Moreover, Pourson (1997) noted that while MLT is a useful tool to determine
414 sampling effort and species richness, differences in species detectability mean that
415 relative abundances can only be compared within species across habitats or sites. The
416 importance of considering similar habitats when making comparisons has been noted
417 by others previously [16,35,36].

418 There are currently a number of useful methods available to monitor and compare fish
419 assemblage composition, including MaxN. The results of our study suggest that MLT
420 is also likely to be a useful technique for the assessment of fish assemblages, enabling
421 rapid assessment of spatial and temporal variation in species relative abundance, and
422 one that may complement existing methods. The MLT method is a promising tool to
423 collect biodiversity survey data or analyse video footage in aquatic environments where
424 there is a limited budget, staff time, available technology and conditions might be too
425 challenging to maintain some other types of standardized sampling approach. In
426 particular, we suggest MLT could be considered for difficult to standardize conditions

427 such as transects in coral reef and other marine applications such as diver and un-baited
428 video or camera surveys.

429 In this study, as well as providing the first test of the MLT for marine sampling, we also
430 carried out the most comprehensive comparison to date between MLT and an existing
431 biodiversity sampling methodology. By showing that species richness estimates,
432 diversity indices, relative abundance and assemblage composition results were all
433 consistent across methods our results are likely to be useful not just in the marine
434 context but also for biodiversity surveys in general. We therefore suggest that the MLT
435 methodology is likely to be effective not just for coral reef fish, for bird communities
436 and amphibian communities (49), but also in other species-rich communities where
437 biodiversity needs to be sampled cheaply, quickly and efficiently for conservation
438 monitoring or other purposes.

439 **Acknowledgements**

440 We thank Dianne McLean (nee Watson) for access to imagery from her PhD. The
441 imagery was collected with logistical support from the Department of Fisheries
442 Western Australia and the West Australian and Australian Governments Natural
443 Heritage Trust initiative through the Northern Agricultural Catchment Council.

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589

590 **Figure legends**

591 **Figure 1. Species accumulation curves based on MaxN and MLT for four coral**
592 **reef fish habitats.**

593

594 **Figure 2. Mean relative abundance for MaxN (per video deployment) and MLT**
595 **(fraction of list species occurred in within video) in each habitat of the most**
596 **important fishing targeted species.**

597 **Tables**

598 **Table 1. Samples generated by MaxN and MLT per habitat and stability of species**
599 **richness (SR) estimates.** As described in the methods, based on the master list, partial
600 list samples at the end of videos were added to form additional pooled list samples for
601 a habitat. Total number of additional lists generated is given in brackets.

602
603 **Table 2. Species richness estimates for each habitat.** Based on species estimators
604 (S(exp), ACE, ICE, Choa1, Chao2, Jack1, Jack2 and MMruns).

605
606 **Table 3. Diversity and evenness indices for MaxN and MLT.** Fishers alpha index,
607 Brillouin Diversity, Brillouin Evenness and PiousJ evenness for community diversity
608 and evenness were obtained from Diversity 4 for both techniques including Jackknife
609 Standard Error across the four habitats.

610
611 **Table 4. Most abundant species in the four coral reef fish communities according**
612 **to MaxN and MacKinnon Lists Technique.** The rank of the top ten species is
613 indicated in brackets.

614
615 **Table 5. Comparison of ability of MaxN and MTL methods to detect significant**
616 **effects on community composition.** PERMANOVA results of square root
617 transformed relative abundance data generated by MaxN and MLT using Bray Curtis
618 dissimilarity matrix and one dummy variable. Significant values are highlighted bold.

619

620 **Table 6. Comparison of ability of MaxN and MTL methods to detect significant**
621 **effects on community composition with lower sampling effort.** PERMANOVA
622 results of square root transformed relative abundance data generated by MaxN and
623 MLT. Significant values are highlighted in bold. The full experimental design was
624 reduced to five videos for all habitats. By reducing the sample size of the fished sites
625 at both depths to five, maintaining ROA samples at five, following by reducing fished
626 and ROA video deployments to three and ultimately two. P(MC) denotes Monte Carlo
627 permutations. Significant values are highlighted in bold.

628 **Supporting information**

629
630 **S1 Table. Chao 2 species richness estimative for all samples within each habitat**
631 **and rate of change in richness estimate.**

632
633 **S2 Dataset. Data set showing mean relative abundance per video deployment**
634 **across status, site and depth (MaxN).**

635
636 **S3 Dataset. Data set showing lists of species per video across status, site and**
637 **depth (MLT).**

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