



LEEDS  
BECKETT  
UNIVERSITY

---

Citation:

Holdsworth, DA and Frise, MC and Bakker-Dyos, J and Boos, C and Dorrington, KL and Woods, D and Mellor, A and Robbins, PA (2020) Iron bioavailability and cardiopulmonary function during ascent to very high altitude. *European Respiratory Journal*. ISSN 0903-1936 DOI: <https://doi.org/10.1183/13993003.02285-2019>

Link to Leeds Beckett Repository record:

<https://eprints.leedsbeckett.ac.uk/id/eprint/6706/>

Document Version:

Article (Accepted Version)

---

This is an author-submitted, peer-reviewed version of a manuscript that has been accepted for publication in the *European Respiratory Journal*, prior to copy-editing, formatting and typesetting. This version of the manuscript may not be duplicated or reproduced without prior permission from the copyright owner, the European Respiratory Society. The publisher is not responsible or liable for any errors or omissions in this version of the manuscript or in any version derived from it by any other parties. The final, copy-edited, published article, which is the version of record, is available without a subscription 18 months after the date of issue publication.

The aim of the Leeds Beckett Repository is to provide open access to our research, as required by funder policies and permitted by publishers and copyright law.

The Leeds Beckett repository holds a wide range of publications, each of which has been checked for copyright and the relevant embargo period has been applied by the Research Services team.

We operate on a standard take-down policy. If you are the author or publisher of an output and you would like it removed from the repository, please [contact us](#) and we will investigate on a case-by-case basis.

Each thesis in the repository has been cleared where necessary by the author for third party copyright. If you would like a thesis to be removed from the repository or believe there is an issue with copyright, please contact us on [openaccess@leedsbeckett.ac.uk](mailto:openaccess@leedsbeckett.ac.uk) and we will investigate on a case-by-case basis.

# **Iron bioavailability and cardiopulmonary function during ascent to very high altitude**

## **Authors and affiliations**

David A. Holdsworth<sup>1,2</sup>, Matthew C. Frise<sup>1</sup>, Josh Bakker-Dyos<sup>2</sup>, Christopher Boos<sup>3,4</sup>, Keith L. Dorrington<sup>1</sup>, David Woods<sup>2,3</sup>, Adrian Mellor<sup>2,3</sup> and Peter A. Robbins<sup>1</sup>

<sup>1</sup> Department of Physiology, Anatomy and Genetics, University of Oxford, Sherrington Building, Parks Road, Oxford OX1 3PT, U.K.

<sup>2</sup> Royal Centre for Defence Medicine, Queen Elizabeth Hospital, Birmingham, UK

<sup>3</sup> Institute for Sport, Physical Activity & Leisure, Leeds Beckett University, Leeds, UK

<sup>4</sup> Department of Postgraduate Medical Education, Bournemouth University, UK

## **Corresponding author**

Dr David A. Holdsworth

Email: [david.holdsworth@dpag.ox.ac.uk](mailto:david.holdsworth@dpag.ox.ac.uk) Telephone: +44 (0) 1865 851186

## **Take home message**

Intravenous iron supplementation at sea level is associated with enhanced stroke volume and higher SpO<sub>2</sub> on ascent to very high altitude (5100 m). These effects appear to result from reduced pulmonary vascular resistance and improved right heart function.

Editor,

More than one hundred million people reside worldwide at altitudes in excess of 2,500 m above sea level. In the millions more who sojourn at high altitude for recreational, occupational or military pursuits, hypobaric hypoxia drives physiological changes affecting the pulmonary circulation, haematocrit and right ventricle (RV) [1]. Coincident with these, maximal left ventricular (LV) stroke volume (SV) falls [2], with a reduction of 20% reported after a two-week stay at 4,300 m [3]. A rise in heart rate (HR) compensates at rest and during submaximal exercise but is insufficient during maximal intensity exercise, constraining maximal cardiac output (CO). Previously it was considered that a reduction in plasma volume or a direct effect of hypoxia on LV myocardial contractility were probably responsible [4]. More recently it has been suggested that increased RV afterload may be of greater importance [5].

Hypoxic pulmonary vasoconstriction (HPV) contributes significantly to increased RV work and pulmonary hypertension during alveolar hypoxia [6]. In healthy iron-replete individuals, intravenous (IV) iron attenuates HPV [7, 8], tending to reduce RV afterload. We hypothesised that IV iron would improve cardiopulmonary function during ascent to very high altitude through this action upon the pulmonary vasculature, with or without a direct effect on the heart.

We conducted a randomised, controlled, double-blind, clinical physiology study. Eighteen British Armed Forces personnel (17 male, 1 female) volunteered; one was excluded because of abnormal baseline iron indices. Participants were randomized to receive either 1 g ferric carboxymaltose (Ferinject®), or saline control, as a single infusion. Two weeks later, participants flew to Kathmandu, Nepal, at an altitude of 1,400 m, were driven to 2,600 m

(day 4), trekked to 3,800 m (day 5), 4,100 m (day 7), and then 5,100 m (day 10). Serial measurements of iron indices, peripheral oxyhaemoglobin saturation (SpO<sub>2</sub>), and transthoracic echocardiographic parameters (VividQ, GE, Boston) were recorded at rest.

Stroke volume was estimated by multiplying LV outflow tract (LVOT) velocity-time integral (VTI) by LVOT cross-sectional area, and CO by multiplying SV and HR. Both were then normalised to body surface area in m<sup>2</sup> (BSA; Mosteller formula).

Right ventricular systolic pressure (RVSP) was estimated from the peak velocity of the tricuspid regurgitation jet [1, 5, 7-9]. The LV and RV indices of myocardial performance (LIMP and RIMP) and tricuspid annular planar systolic excursion (TAPSE) were measured. Pulmonary vascular resistance (PVR) was estimated using the Abbas method [9]. Between-group differences in responses were analysed using mixed-effects modelling (SPSS Statistics version 25, IBM). Ethical approval was given by the Ministry of Defence Research Ethics Committee, all participants provided written informed consent, and the study was registered with ClinicalTrials.gov (NCT03707249).

The groups were well matched at baseline. Comparisons for the iron group vs. controls were as follows: mean (SD) age 35.5 (8.2) vs. 36.1 (7.7) years; body mass index 24.8 (1.0) vs. 24.6 (2.0) kg/m<sup>2</sup>; and BSA 2.02 (0.06) vs. 1.99 (0.04) m<sup>2</sup>. No adverse infusion-related events occurred. One participant in the control group did not ascend beyond 4,100 m due to severe gastrointestinal symptoms; all available data for this participant were included in the analysis.

Changes in iron indices, haematological parameters and cardiopulmonary variables are illustrated in the Figure. Ferritin and hepcidin were elevated in the iron group, with a

corresponding reduction in the rise in both erythropoietin and soluble transferrin receptor (sTfR).

The prior administration of iron significantly attenuated the progressive fall in SpO<sub>2</sub> seen with increasing altitude (absolute difference in desaturation 5.5%; 95% CI: 2.5 to 8.4%;  $p < 0.001$ ). Iron also abolished the normal fall in SV observed with increasing altitude. The mean between-group difference in the change in stroke volume index (SVI) was 6.2 ml/m<sup>2</sup> (95% CI: 0.31 to 12.2 ml/m<sup>2</sup>;  $p = 0.039$ ).

In the control group, LIMP, RIMP and TAPSE all worsened significantly with increasing altitude. LIMP rose by 0.08 (95% CI: 0.003 to 0.16 ml/m<sup>2</sup>;  $p = 0.043$ ), RIMP rose by 0.31 (95% CI: 0.24 to 0.38 ml/m<sup>2</sup>;  $p < 0.001$ ), and TAPSE fell by 0.55 cm (95% CI: 0.27 to 0.83 cm;  $p < 0.001$ ). When comparing the iron group with controls, the degree of impairment in RIMP and TAPSE was reduced by 0.14 (95% CI: 0.03 to 0.24;  $p = 0.013$ ) and 0.41 cm (95% CI: 0.01 to 0.82 cm;  $p = 0.045$ ), respectively. However, the iron group showed no difference in the deterioration in LIMP (95% CI for between group difference: -0.07 to 0.16;  $p = 0.41$ ) nor the rise in RVSP on ascent (95% CI: -7.6 to 4.0 mmHg;  $p = 0.51$ ).

Interestingly, we found that iron supplementation was associated with augmented SV in the absence of a difference in RVSP. Had PVR remained similar in both groups, the higher SV of the iron group would be expected to have associated with a higher RVSP. In fact, RVSP responses were similar and there appeared to be a trend towards a lower PVR in the iron group, although this was not statistically significant (95% CI: -0.58 to 0.23 Wood Units;  $p = 0.38$ ). However, a strong negative correlation was evident between the change in SVI and the change in PVR (Pearson's  $r = -0.72$ ;  $p = 0.003$ ), implying a close relationship between increased RV afterload and falling SV.

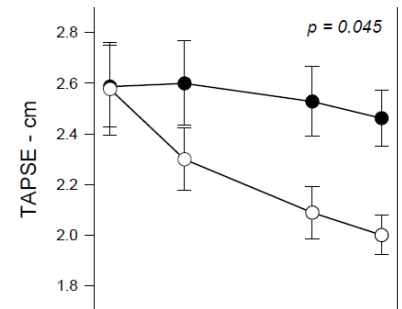
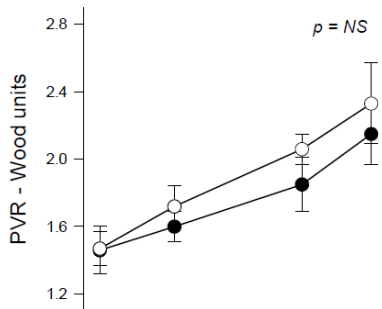
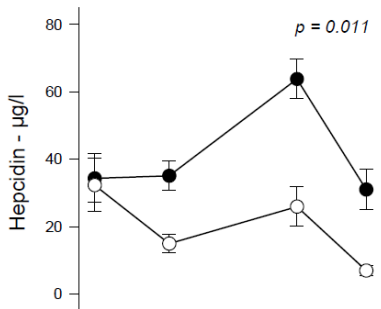
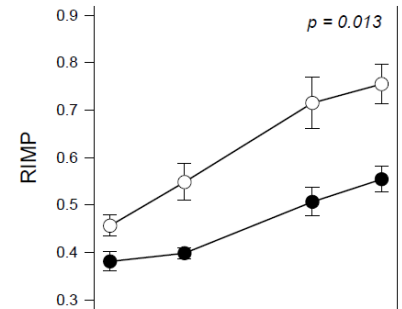
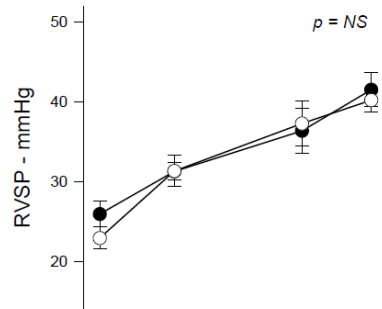
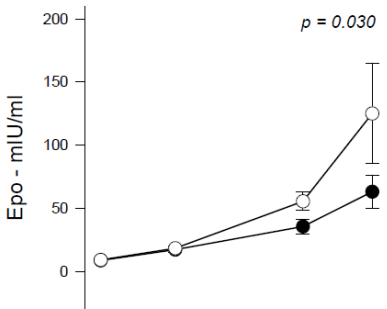
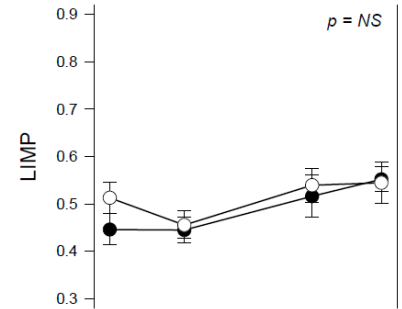
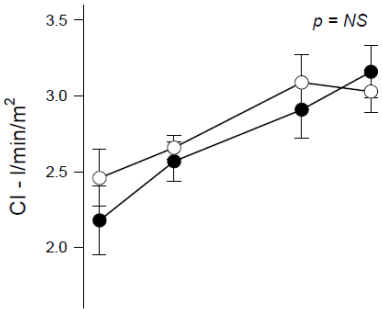
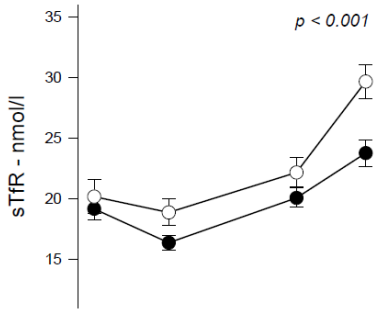
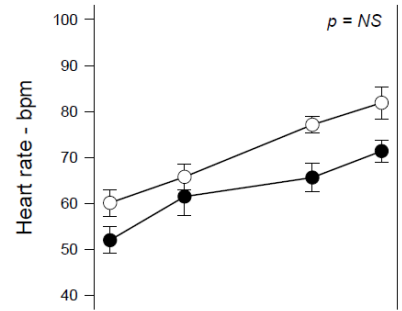
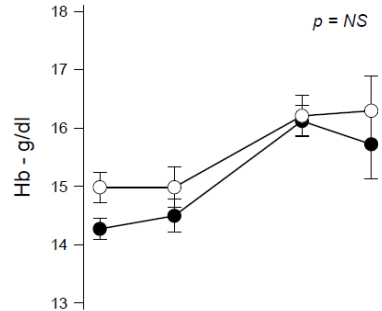
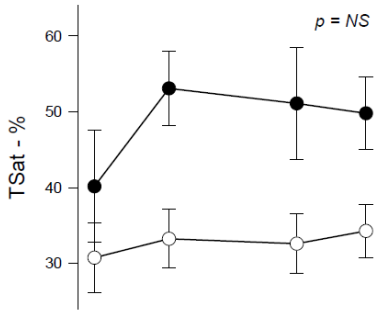
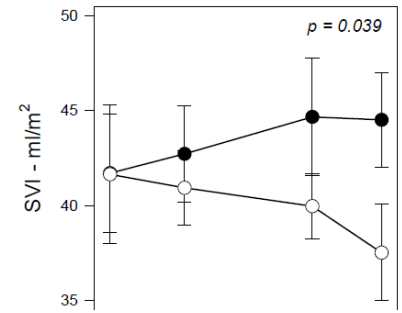
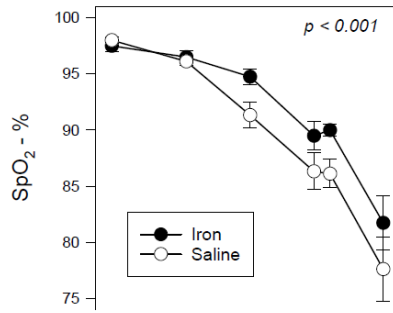
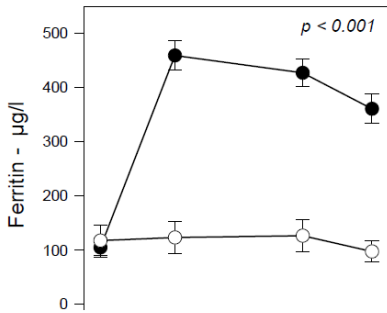
Reduced PVR might be a direct result of increased iron bioavailability, as previously described [7, 8], or may result from improved oxyhaemoglobin saturation. The latter would also act to reduce HPV as the result of a corresponding increase in mixed venous oxygen tension. The latter is a significant stimulus for HPV, albeit less so than alveolar oxygen tension [10]. Both mechanisms are biologically plausible, as is the putative mechanism for increased oxygenation in the iron group: that an iron-mediated reduction in HPV promotes  $\dot{V}/\dot{Q}$  matching. The finding that RV, but not LV, function was enhanced in the group given iron also seems likely to reflect reduced RV work secondary to attenuated HPV and consequently reduced PVR.

An alternative explanation would be that iron acted to augment the ventilatory response to hypobaric hypoxia. We were not able to measure ventilation as part of the expedition. Whilst there is good reason to believe iron bioavailability might affect pulmonary ventilation via an action on the hypoxia inducible factor (HIF) pathway within carotid body glomus cells [11], no human study has detected such a phenomenon [8, 12]. Moreover, the expected direction of effect is for iron to diminish alveolar ventilation rather than augment it.

The links between iron, erythropoiesis and oxygen homeostasis are complex [13]. Erythropoietin is under transcriptional regulation by both hypoxia and iron [12], so the attenuated erythropoietin rise in the iron group will reflect some combination of both a direct action of iron and improved renal oxygenation. The rise in sTfR, levels of which reflect the balance between iron supply and erythropoietic activity [14], was similarly attenuated in the iron group, reflecting some combination of greater iron bioavailability and lower stimulation of the bone marrow by erythropoietin. Both iron and hypoxia regulate expression of hepcidin, the key hormone regulating iron homeostasis; the effect of hypoxia is indirect, mediated downstream of marrow stimulation [13]. The effect of prior iron infusion on iron

bioavailability in the present study was so marked that it lifted the heavy suppression of hepcidin seen at 5,100 m in the control group.

A role for IV iron therapy is well established in chronic heart failure [15], Our findings support the view that manipulation of iron bioavailability should be explored more broadly in conditions that feature increased PVR,  $\dot{V}/\dot{Q}$  mismatch, or right heart dysfunction, including right heart failure, acute pulmonary embolism, high altitude pulmonary oedema, adult congenital heart disease, chronic thromboembolic pulmonary hypertension, and chronic obstructive pulmonary disease.



Altitude - m



**Figure. Variation with altitude of iron indices, haematological parameters and cardiopulmonary physiological variables. Sea-level data were acquired immediately prior to infusion of iron or saline. Data for the iron group are shown as filled circles; the control group, empty circles. Data are plotted as means  $\pm$  SEM. The p-values given are for the interaction between group and altitude, that is, whether iron administration altered the change from sea level to maximum altitude. CI, cardiac index; Epo, erythropoietin; Hb, haemoglobin concentration; PVR, pulmonary vascular resistance; RIMP and LIMP, RV and LV indices of myocardial performance (combined measures of the efficiency of ventricular filling and ejection; higher values indicate more significant impairment); RVSP, right ventricular systolic pressure; SpO<sub>2</sub>, peripheral oxyhemoglobin saturation; sTfR, soluble transferrin receptor; SVI, stroke volume index; TAPSE, tricuspid annular planar systolic excursion; TSat, transferrin saturation.**

## References

1. Naeije R. Pulmonary hypertension at high altitude. *The European respiratory journal* 2019; 53(6): 1900985.
2. Bartsch P, Gibbs JS. Effect of altitude on the heart and the lungs. *Circulation* 2007; 116(19): 2191-2202.
3. Saltin B, Grover RF, Blomqvist CG, Hartley LH, Johnson RL. Maximal oxygen uptake and cardiac output after 2 weeks at 4,300 m. *Journal of Applied Physiology* 1968; 25(4): 400-409.
4. Alexander JK, Grover RF. Mechanism of reduced cardiac stroke volume at high altitude. *Clinical cardiology* 1983; 6(6): 301-303.
5. Maufrais C, Rupp T, Bouzat P, Doucende G, Verges S, Nottin S, Walther G. Heart mechanics at high altitude: 6 days on the top of Europe. *European heart journal cardiovascular Imaging* 2017; 18(12): 1369-1377.
6. Groves BM, Reeves JT, Sutton JR, Wagner PD, Cymerman A, Malconian MK, Rock PB, Young PM, Houston CS. Operation Everest II: elevated high-altitude pulmonary resistance unresponsive to oxygen. *Journal of applied physiology (Bethesda, Md : 1985)* 1987; 63(2): 521-530.
7. Smith TG, Talbot NP, Privat C, Rivera-Ch M, Nickol AH, Ratcliffe PJ, Dorrington KL, Leon-Velarde F, Robbins PA. Effects of iron supplementation and depletion on hypoxic pulmonary hypertension: two randomized controlled trials. *Jama* 2009; 302(13): 1444-1450.
8. Frise MC, Cheng HY, Nickol AH, Curtis MK, Pollard KA, Roberts DJ, Ratcliffe PJ, Dorrington KL, Robbins PA. Clinical iron deficiency disturbs normal human responses to hypoxia. *The Journal of clinical investigation* 2016; 126(6): 2139-2150.
9. Abbas AE, Fortuin FD, Schiller NB, Appleton CP, Moreno CA, Lester SJ. A simple method for noninvasive estimation of pulmonary vascular resistance. *J Am Coll Cardiol* 2003; 41(6): 1021-1027.

10. Marshall BE, Marshall C. A model for hypoxic constriction of the pulmonary circulation. *Journal of applied physiology (Bethesda, Md : 1985)* 1988; 64(1): 68-77.
11. Cheng X, Prange-Barczynska M, Fielding JW, Zhang M, Burrell AL, Lima JD, Eckardt L, Argles I, Pugh CW, Buckler KJ, Robbins PA, Hodson EJ, Bruick RK, Collinson LM, Rastinejad F, Bishop T, Ratcliffe PJ. Marked and rapid effects of pharmacological HIF-2alpha antagonism on hypoxic ventilatory control. *The Journal of clinical investigation* 2020.
12. Ren X, Dorrington KL, Maxwell PH, Robbins PA. Effects of desferrioxamine on serum erythropoietin and ventilatory sensitivity to hypoxia in humans. *Journal of applied physiology (Bethesda, Md : 1985)* 2000; 89(2): 680-686.
13. Talbot NP, Smith TG, Lakhali-Littleton S, Gulsever C, Rivera-Ch M, Dorrington KL, Mole DR, Robbins PA. Suppression of plasma hepcidin by venesection during steady-state hypoxia. *Blood* 2016; 127(9): 1206-1207.
14. Skikne BS, Punnonen K, Caldron PH, Bennett MT, Rehu M, Gasior GH, Chamberlin JS, Sullivan LA, Bray KR, Southwick PC. Improved differential diagnosis of anemia of chronic disease and iron deficiency anemia: a prospective multicenter evaluation of soluble transferrin receptor and the sTfR/log ferritin index. *Am J Hematol* 2011; 86(11): 923-927.
15. Ponikowski P, van Veldhuisen DJ, Comin-Colet J, Ertl G, Komajda M, Mareev V, McDonagh T, Parkhomenko A, Tavazzi L, Levesque V, Mori C, Roubert B, Filippatos G, Ruschitzka F, Anker SD, Investigators C-H. Beneficial effects of long-term intravenous iron therapy with ferric carboxymaltose in patients with symptomatic heart failure and iron deficiency. *Eur Heart J* 2015; 36(11): 657-668.