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1 **The association between clinical parameters recorded at vet gates during Fédération Equestre**
2 **Internationale (FEI) endurance rides and the imminent risk of elimination**

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6 **Keywords:** horse;

7 **Running head:** FEI endurance veterinary inspection records

8

9 **Authors' declaration of interests**

10 No competing interests have been declared.

11

12 **Ethical animal research**

13 This work was conducted as part of an agreement with the FEI, who fund EB. The FEI Veterinary
14 Committee approved the study protocol and the FEI gave explicit informed consent for publication of
15 these data.

16

17 **Owner informed consent**

18 Not applicable.

19

20 **Data accessibility statement**

21 The data that support the findings of this study are available from the FEI. Restrictions apply to the
22 availability of these data, which were used under license for this study. Data are available from the
23 corresponding author with the permission of the FEI.

24

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27

28 **Authorship**

29 T. Parkin contributed to study design, data analysis and interpretation, and preparation of the
30 manuscript. E. Bennet contributed to study design, study execution, data analysis and interpretation,
31 and preparation of the manuscript. M. Hayes contributed to study execution and data analysis and
32 interpretation. L. Friend contributed to study execution. All authors gave their final approval of the
33 manuscript.

34

35 **Summary**

36 **Background:** Endurance competitions over distances of 80 to 160km are required by Fédération
37 Equestre Internationale (FEI) rules to be divided into between three and six stages, known as “loops”.
38 Veterinary inspections, designed to ensure horse welfare, are conducted at the end of each loop, with
39 details recorded on a separate “vet card” for each horse.

40

41 **Objectives:** To identify risk factors recorded on vet cards that were associated with elimination at
42 subsequent loops.

43

44 **Study design:** Retrospective cohort

45

46 **Methods:** Data relating to 3,213 horse starts worldwide in international (CEI) events during 2014
47 were analysed. Descriptive statistics and univariable logistic regression to identify risk factors for
48 potential inclusion in the final multivariable logistic regression models. Models were constructed
49 stepwise using backwards-removal and assessed using the Bayesian information criterion.

50

51 **Results:** Risk factors were identified, which would allow an “in-ride” risk profile to be constructed for
52 each horse which evolves as the horse progresses through the ride. Some risk factors such as
53 abnormal gait and high heart rate were found to be repeatedly associated with imminent failure to
54 qualify.

55

56 **Main limitations:** This is a relatively small study in terms of cohort size, based on the data that were
57 available at the time of the study. Although comprehensive ride history data were also available for
58 each horse via the main FEI database, training data was not.

59

60 **Conclusions:** By identifying risk factors observed during the veterinary inspections at the end of a
61 loop that are strongly associated with elimination at the end of the next or subsequent loops, these
62 results provide an evidence-base for educational initiatives and regulatory changes that will inform the
63 way veterinary delegates use veterinary inspections to help identify horses at risk of imminent FTQ.

64

64

65 **Introduction**

66 The Fédération Equestre Internationale (FEI) equestrian discipline of endurance is a challenging sport
67 of long-distance riding. At international level (Concours de Raid d'Endurance Internationale [CEI])
68 single-day rides cover distances between 80km and 160km. The welfare of the horse is the primary
69 focus of the rules and regulations of competition, and one fundamental rule relates to course design:
70 endurance rides must consist of several phases known as “loops”, each of which must be between
71 16km and 40km [1]. The minimum number of loops, determined by the total distance of the ride, is
72 between three and six. Horses are assessed at veterinary inspections before the ride, at the end of
73 each loop and after completion of the final loop. If a horse does not pass a veterinary inspection, they
74 are automatically eliminated from the competition. In those instances, the outcome “Failure to Qualify
75 (FTQ)” is assigned, usually with a subcategory indicating the nature of the FTQ. The subcategories
76 are broad in definition but can be summarised as “irregular gait” (FTQ GA) and “metabolic problems”
77 (FTQ ME) [1].

78 FTQ GA outcomes generally indicate that the horse was eliminated because it had irregular gait or
79 was observed to be lame during the vet inspection. FTQ ME outcomes indicate that the horse was
80 eliminated because it was showing signs of exhaustion, dehydration, substrate depletion, heat
81 accumulation, or other metabolic problems – symptoms of which would have been detected by vets
82 during clinical investigation of horses' conditions. The intent behind these rules is to protect the
83 welfare of the horse and ensure that early intervention is made, before serious injuries or metabolic
84 problems occur.

85 Recent epidemiological studies of endurance horses have identified several risk factors that in
86 principle could be used to start building a “risk profile” of an individual horse before and even during
87 competition [2-9]. The focus of this study is the identification of intra-ride veterinary inspection related
88 risk factors that may be used to better identify horses at imminent (i.e. during the rest of the current
89 ride) risk of FTQ. This study is the first of its kind in endurance, but a similar study in Thoroughbred
90 racing found an association between pre-race veterinary inspection parameters and likelihood of
91 catastrophic injury [10]

92

93 **Materials and methods**

94 The data used in this retrospective cohort study were collected as part of a FEI pilot study, then
95 combined and cross-referenced with data from the FEI's global endurance database [11]. The main
96 database is publicly available in a reduced form, but through direct collaboration with the FEI we had
97 access to the full raw database. The pilot study gathered hard copy veterinary cards that were
98 completed during the pre-ride, post-loop, and final veterinary inspections for 3,213 horse starts made
99 by 2,583 horses in 347 CEI rides during 2014. The number of unique riders amongst the cohort was
100 2,055. These records were digitised and the data for pre-ride, loop 1, loop 2, and loop 3 veterinary
101 inspections extracted. Most rides included no more than four loops and the number of horses
102 completing more than three loops gradually diminished such that any attempt to identify risk factors
103 for imminent FTQ (associated with veterinary inspections after loop 4) were significantly compromised
104 by a lack of statistical power.

105 At each post-loop veterinary inspection the horse first has to pass through a heart rate (HR) check
106 within 20 minutes of completing the loop. The HR of the horse must be no higher than 64 beats per
107 minute (bpm) to be allowed to pass to the second part of the veterinary inspection. A horse with a HR
108 higher than 64 bpm can take an additional rest and then return again to the vet gate. The total time
109 allowed to pass the HR check is 20 minutes from the end of the ridden loop, apart from the final
110 veterinary inspection for which 30 minutes is permitted. If at that second presentation the HR is still
111 greater than 64 bpm the horse is eliminated with an FTQ ME. Once a horse passes the HR check the
112 following clinical parameters are assessed: gait; mucous membranes; skin turgor; capillary refill time;
113 gut sounds; and girth, back, and withers soreness. Each is graded on a scale from 1-4 (or A-D) with
114 1(A) representing a horse in 'sound/good health' and 4(D) representing a horse in 'significantly
115 lame/very poor health'. Clear definitions of exactly what each grade for each parameter implies are
116 not readily available. However, guidance and definitions from a course provided for FEI1* endurance
117 vets is included in supplementary item 1 (personal communication Drs Martha Misheff and Sarah
118 Coombs). Horses with a gait score of 3 or 4 at any inspection are immediately eliminated with an FTQ
119 GA. A combination of the scores for the other clinical parameters are used to decide on the metabolic
120 status of the horse, thus informing whether the horse should be subject to an FTQ ME or allowed to
121 continue in the ride.

122 Towards the end of 2013, FEI veterinary delegates were asked to send all veterinary cards to the FEI
123 Veterinary Department (as required by the rules of Endurance). During 2014, 3,213 (24% of all
124 veterinary cards for that year) were sent to the FEI and subsequently made available for this study.
125 The percentage of veterinary cards returned to the FEI Veterinary Department in 2014, by region
126 group is provided in Table 1. The full records for these 3,213 horse starts in the main FEI global
127 endurance database were identified and reconciled with the data from the veterinary cards.

128 A total of six multivariable logistic regression models were built, for the following outcomes: FTQ GA
129 at the end of Loop 1, Loop 2, and Loop 3; and FTQ ME at the end of Loop 1, Loop 2, and Loop 3.
130 Each model contained risk factors relating to each horse/rider and “in event” data up to the end of the
131 previous phase of competition. For example, the model for FTQ GA at the end of Loop 2 contained
132 the age and sex of both horse and rider, riding speed during loop 1, and veterinary examination data
133 from the pre-ride and end of loop 1 veterinary inspections. Models were developed to provide a,
134 potentially predictive, risk profile for each horse as it progressed through each ride. Table 2 shows
135 potential risk factors available for inclusion at the start of each analysis.

136 Each model was constructed using the same process in a bespoke code written using MATLAB
137 2018b (MathWorks): a univariable model was created for each outcome for each of the risk factors in
138 Table 2, with a cut-off of $p < 0.2$ used to determine candidates for the final model. Multivariable models
139 were built using a backwards-removing iterative process with the Bayesian information criterion used
140 to assess fit at each step. The final models contained only those risk factors with a p-value of less
141 than 0.05. Biologically-plausible interactions were considered at the multivariable stage. Risk factors
142 rejected at both the univariable and multivariable stages were tested for confounding in the final
143 models. Confounding was considered to be present if inclusion of the confounding variable altered
144 coefficients of existing variables by more than 30% [12]. Hosmer-Lemeshow goodness of fit tests
145 were used to identify any evidence of a lack of fit of the data to the final models [13]. Residuals were
146 examined to confirm normality and those residuals identified as outwith the norm were removed and
147 models refit to identify any excessive influence on final models. Horse- and athlete-level clustering
148 was examined by including horse or athlete ID as a random effect in each of the final multivariable
149 models.

150

151 Given that six different models were developed a simple power calculation encompassing all six
152 analyses is meaningless. Nevertheless, once the initial descriptive analyses were conducted which
153 identified as few as 15 cases of FTQ ME at the end of loop 1 and as many as 290 cases of FTQ GA
154 at the end of loop 2 (out of between approximately 2,500 and 3,000 horses at risk at the start of each
155 loop) a series of power calculations were conducted (assuming 20% exposure in the control group):
156 These suggested that for all FTQ GA outcomes these analyses would have sufficient power (at least
157 80%) with 95% confidence, to identify odds ratios of between 1.5 and 1.7. For the FTQ ME outcomes
158 this sample size is somewhat limited suggesting these analyses would only be able to identify, with
159 confidence, odds ratios of between 2 and 4. In reality the analysis for FTQ ME at the end of loop 1 (15
160 cases), and possibly loop 2 (43 cases), is underpowered so those results in particular should be
161 interpreted with some caution.

162

163 **Results**

164 The total number of horse starts included in the analyses was as follows: 3,116 in models for loop 1
165 outcomes; 2,848 in models for loop 2 outcomes; and 2,438 in models for loop 3 outcomes. The
166 reduction in numbers at each loop reflects the fact that some horses were eliminated before reaching
167 that stage of the ride. At the end of each loop a very small number of horses were voluntarily retired
168 from the ride – these were counted as controls for the loop in which they retired (as they had
169 successfully completed the loop and passed the vet inspection). A total of 3,172 horses completed
170 loop 1 and were subject to veterinary inspection at the end of that loop. Of these 2,910 (91.7%)
171 passed that veterinary inspection and continued; 56 (1.8%) passed but were voluntarily retired; 167
172 (5.3%) horses ended the ride at that point with an FTQ GA, 15 (0.5%) horses ended the ride at that
173 point with a FTQ ME, and 24 (0.8%) were either disqualified or eliminated without a specific recorded
174 reason. Of the 2,909 horses that completed loop 2 and were subject to veterinary inspection at the
175 end of that loop, 2,485 (85.4%) passed and continued, 61 (2.1%) passed and retired, 290 (10.0%)
176 ended the ride at that point with a FTQ GA, 43 (1.5%) with FTQ ME, and 30 (1.0%) were either
177 disqualified or eliminated without a specific recorded reason. Of the 2,481 horses that completed loop
178 3 and were subject to veterinary inspection at the end of that loop, 2,120 (85.4%) passed and
179 continued, 43 (1.7%) retired, 203 (8.2%) horses ended the ride at that point with a FTQ GA, 78 (3.1%)

180 with FTQ ME, and 37 (1.5%) disqualified or eliminated for an unrecorded reason. Results of the six
181 multivariable models are shown in Table 3 (FTQ GA outcomes) and Table 4 (FTQ ME outcomes). For
182 a small minority (<1%) of veterinary cards, some clinical data relating to particular parts of the
183 inspection were absent, and were treated as being in the reference category for the relevant risk
184 factor.

185

186 *FTQ GA at the end of loop 1*

187 Two significant risk factors were retained in this final model: the first was rider age with an odds ratio
188 (OR) of 1.01 per additional year (95% confidence interval (95%CI) 1.00 – 1.02; $p = 0.03$). The horses'
189 gait, as assessed at the pre-ride veterinary inspection, was also significantly associated with the
190 likelihood of FTQ GA at the end of loop 1. Compared with horses rated as “1” at that check, horses
191 rated “2” were more likely to be designated FTQ GA at the end of loop 1 (OR 2.09; 95% CI 1.34 –
192 3.27; $p = 0.001$).

193 *FTQ GA at the end of loop 2*

194 Compared with horses rated “1” for gait at the veterinary inspection at the end of loop 1, horses rated
195 “2” were at significantly increased odds of an FTQ GA outcome at the end of loop 2 (OR 2.71; 95%
196 CI 2.04 – 3.59; and $p < 0.001$).

197 *FTQ GA at the end of loop 3*

198 Compared with horses whose mucous membranes were assessed at the pre-ride veterinary
199 inspection as ‘1’ horses, with mucous membranes assessed at the pre-ride inspection as “2” or
200 above were at reduced odds of FTQ GA at the end of loop 3 (OR 0.31; 95% CI 0.12 – 0.76; $p = 0.01$).
201 Horses with gut sounds assessed as “2” or above at the veterinary inspection at the end of loop 2
202 were also at reduced odds (compared with horses assessed as “1”) of FTQ GA at the end of loop 3
203 (OR 0.60; CI 0.43 – 0.84; 0.003). In contrast, horses whose capillary refill time was assessed as “2” or
204 above at the veterinary inspection at the end of loop 1 (compared with horses assessed as “1”) were
205 at increased odds of FTQ GA at the end of loop 3, (OR 1.43, CI 1.05 – 1.94, $p = 0.02$). Finally, horses
206 assessed at the veterinary inspection at the end of loop 2 as having a gait score of “2” were more

207 likely to be designated as FTQ GA at the end of loop 3 compared with horses with a gait score of “1” at
208 the veterinary inspection at the end of loop 2 (OR 2.49; CI 1.82 – 3.40; p<0.001).

209

210 *FTQ ME at the end of Loop 1*

211 Compared with horses with a ‘girth, back and withers soreness score’ of ‘1’ at the pre-ride veterinary
212 inspection, those with a pre-ride score for their ‘girth, back, and withers soreness score’ of “2” or
213 above were at significantly increased odds of being designated FTQ ME at the end of loop 1 (OR
214 7.72; 95% CI 2.14 – 27.81; p=0.002).

215 *FTQ ME at the end of Loop 2*

216 Horses with male riders were at increased odds of FTQ ME at the end of loop 2 (OR 2.14; 95% CI
217 1.12 – 4.11; p=0.022). Horses who initially presented at the veterinary inspection at the end of loop 1
218 with a heart rate of more than 64bpm were more likely to be designated as FTQ ME at the end of loop
219 2, compared with those who initially presented with a heart rate of 64bpm or lower at the veterinary
220 inspection at the end of loop 1 (OR 3.6; 95% CI 1.37 – 9.49; p=0.01). Horses with a mucous
221 membrane score of “2” or above at the veterinary inspection at the end of in loop 1 were at increased
222 odds of FTQ ME at the end of loop 2 compared with those with a mucous membrane score of “1” at
223 the end of loop 1 (OR 2.31; CI 1.25 – 4.27; p=0.007).

224 *FTQ ME at the end of Loop 3*

225 Average riding speed in loop 1 was found to be associated with the likelihood of FTQ ME at the end of
226 loop 3. For each additional km/h of average speed, the likelihood of FTQ ME increased by 14% (OR
227 1.14; CI 1.07 – 1.22; p<0.001). Horses with a gut sounds score of “2” or above at the veterinary
228 inspection at the end of loop 1 were at increased odds of FTQ ME at the end of loop 3 compared to
229 those horses with a gut sounds score of “1” (OR 1.79; CI 1.09 - 2.96; p=0.02). Horses whose average
230 speed in loop 2 increased by more than 20% compared to loop 1 were more likely to end loop 3 with a
231 FTQ ME compared to those horses whose loop 1 and loop 2 speeds were more consistent or those
232 who slowed down in loop 2 (OR 2.86; CI 1.09 – 7.53; p=0.03). Horses that initially presented at the
233 veterinary inspection at the end of loop 2 with a heart rate of more than 60bpm were at increased
234 odds of FTQ ME at the end of loop 3 (OR 1.66; CI 1.05 – 2.63; p=0.03) compared to horses that first

235 presented with heart rate of 60bpm or less. During the initial assessment of this variable a significantly
236 increased risk of FTQ ME was found if the heart rate was between 60 and 64 bpm (OR 1.78; CI 1.11
237 – 2.85; p=0.002), and there was a non-statistically significant increase in risk for horses with initial
238 heart rate greater than 64bpm (OR 2.08; CI 0.78 – 5.54; p=0.1). Horses with a skin turgor score of “2”
239 or above at the veterinary inspection at the end of loop 2 were more likely to end the ride with an FTQ
240 ME at the end of loop 3 compared with those with a skin turgor score of “1” at the veterinary
241 inspection at the end of loop 2 (OR 1.95; CI 1.23 – 3.09; p=0.005).

242 Interactions terms not statistically significant in any of the models nor did they improve the fit of any
243 model. No evidence of significant horse- or athlete-level clustering was found in any of the six models,
244 indicating that the variance in any of the outcomes modelled was not significantly associated with
245 either horse or athlete. No evidence of a lack of fit was found for any of the models and reintroduction
246 of potential confounders into final models did not significantly alter coefficients within the models.
247 Assessments of residuals indicated no indication of excessive influence on the variables retained
248 within the final models or their associated coefficients.

249

250 **Discussion**

251 Minimising the risk to endurance horses is one of the primary goals of the rules and regulations
252 governing the sport. This study is the first to combine detailed veterinary inspection data gathered
253 during a ride with the large-scale global FEI database. A previous study of significantly smaller scale
254 focussed on a single ride of 53 horses to examine which clinical parameters were associated with
255 elimination [3]. The present study examined all clinical parameters recorded as part of end of loop
256 veterinary inspections, along with individual information about both horse and rider and demonstrated
257 that several of those clinical parameters are predictive of imminent FTQ GA or FTQ ME.

258 Lameness is the most common cause of elimination from endurance competition [6-9]. Several risk
259 factors have previously been demonstrated to be associated with FTQ GA outcomes, including sex of
260 horse and rider, age of the horse, field size, location of the event, and the average riding speed of the
261 horse [6, 7]. Gait scores are assessed by veterinarians who observe the horse trot along a flat, solid
262 surface. The likelihood of FTQ GA at the end of loops 1, 2 and 3, was consistently associated with a
263 horse's gait score at the previous veterinary inspection. In other words horses with a gait score of “2”

264 at the pre-ride exam were more likely to be eliminated with a FTQ GA at the end of loop 1 than horses
265 with a score of “1” at the pre-ride exam. Very similar associations were found between the end of loop
266 1 and loop 2 inspections and the likelihood of FTQ GA outcomes at the end of loop 2 and loop 3,
267 respectively. The consistency of this finding from loop to loop is important as it provides greater
268 confidence to veterinary surgeons making decisions about whether to eliminate a horse with an FTQ
269 GA. In particular, the knowledge that horses assessed with a gait score of ‘2’ at the end of one loop
270 are more likely to end the ride at the end of the next loop should encourage veterinary surgeons
271 assessing horses as perhaps border-line ‘2’ or ‘3’ on gait score to err on the side of caution and
272 eliminate them at that earlier veterinary inspection, potentially preventing them from sustaining a more
273 serious lameness-related injury later in the ride. Alternatively, horses assessed with a gait score of ‘2’
274 could be required to re-present within a certain time limit, similar to the existing rules for horses with
275 high heart rate.

276

277 Three further clinical variables (mucous membranes pre-ride, capillary refill time at the end of loop 1,
278 and gut sounds at the end of loop 2) were associated with the likelihood of FTQ GA at the end of loop
279 3. All three form part of the assessment of the metabolic status of the horse and as such the two
280 variables that demonstrated a reduced risk of FTQ GA if they were scored higher (Pre-ride mucous
281 membranes and end of loop 2 gut sounds) are more easy to understand. Very few horses end a ride
282 with both an FTQ GA and FTQ ME, suggesting that there is some degree of ‘competing risk’ present
283 in this situation, in that horses at greater risk of FTQ ME may be at lower risk of FTQ GA and vice-
284 versa. This is likely to be the case if the performance or speed of the horse at greater risk of one type
285 of FTQ is compromised such that their risk of sustaining the other type of FTQ is reduced. For
286 example: A horse is at the end of loop 2 and starts to show mild signs of metabolic problems, but the
287 vet passes the horse to go out on the next loop. The horse continues to worsen with perhaps
288 increased, but maybe just mild metabolic signs that do not warrant FTQ ME. However, because it is
289 showing these symptoms it is perhaps not riding to the best of its ability or speed. Because it is not
290 riding at ‘top speed’ and because we know speed is associated with risk of FTQ, it is therefore less
291 likely to end up with an FTQ GA.

292 However, the association between an increased score on capillary refill time at the end of loop 1 and
293 an increased risk of FTQ GA at the end of loop 3 is more difficult to explain. Although not a
294 particularly satisfactory conclusion, it may be that this is genuinely a 'chance' finding. As such it is
295 important that this, alongside all other findings in this study, are replicated in a larger-scale analyses
296 once an easier system to collect veterinary inspection data from all FEI endurance rides is
297 established. The authors view this initial study as important evidence that should be used to
298 demonstrate the true potential value of collection and analysis of these veterinary gate data by the
299 FEI for all Endurance rides in the future.

300

301 Finally, older riders were more likely to end a ride with a horse designated as FTQ GA at the end of
302 loop 1. The risk of FTQ GA at the end of loop 1 increased by approximately 1% for every extra year of
303 age. Given that an association between rider age and elimination was not identified in the previous
304 much larger scale analysis of risk factors (that did not include veterinary inspection parameters), it
305 may be the case that this finding is peculiar to this particular data set alone [6, 7].

306

307 The association between heart rate at the previous veterinary inspection and FTQ ME outcome at the
308 end of loops 2 and 3 is important in that it suggests that it may be possible to identify horses at
309 greater risk of a metabolic problem well before they actually end up with an FTQ ME. These results
310 have been used as evidence to design new regulations for Endurance linked to heart rate at initial
311 presentation at the veterinary gate. From the first veterinary gate after the half-way point in a ride or
312 from the third veterinary gate onwards (which ever comes first) a horse presenting with an initial heart
313 rate over 68 beats per minute will be compelled to undergo a further veterinary inspection before
314 being allowed to start the next loop. These rules were voted upon at the FEI General Assembly in
315 November 2019, and will be coming into force by July 2020.

316

317 Clinical assessment of mucous membranes, gut sounds, skin turgor, and girth, back, and withers
318 soreness all form part of the veterinary inspection to decide whether a horse should be eliminated
319 with an FTQ ME. The results reported here suggest that these clinical assessments are all somewhat

320 indicative that a horse will at some point further on during the ride end up being designated with an
321 FTQ ME. This shows the value of measuring these parameters and should be further motivation to
322 encourage much better compliance with the current regulations that require the return of veterinary
323 cards to the FEI at the end of an FEI Endurance competition – along with specific definitions of
324 severity for each clinical measure and guidance to ensure consistency in completion of the vet cards
325 across all events. Having said that, the current format of these veterinary cards (i.e. on card or paper)
326 is not conducive to their further use once at the FEI HQ. It is therefore important that the FEI
327 Veterinary Department consider ways in which these data can be collected in digital format and
328 immediately uploaded to an FEI database. It has previously been reported that skin turgor is not a
329 reliable indicator of dehydration in horses [14] which suggests that any change in data collection
330 protocol should follow a review of which parameters should be included and how they are graded.

331 The FEI Endurance rules [1] specify that the pre-ride veterinary exam must take place either on the
332 day of the ride or the day before. The vet cards used in this study did not record the date of the pre-
333 ride exam, but we would suggest that the time and date of this exam would be a useful addition to the
334 data recorded.

335 Associations between high average riding speeds (and male riders) and the odds of FTQ ME have
336 been reported previously in larger scale studies [7, 8, 9] and the potential reasons behind these
337 associations are well-rehearsed. The present results also found that if riding speed in loop 2
338 increased compared to loop 1, FTQ ME outcomes at the end of loop 3 were more likely. This
339 indicates that sub-optimal pacing strategies potentially contribute to an increased risk of FTQ ME.
340 Further work identifying optimal pacing strategies for successful completion of rides will be a focus of
341 future work with these data.

342

343 By its nature this study is a pilot and the results provide strong motivation to design data collection
344 protocols to carry out a larger scale study. This would overcome several of the limitations of the
345 present study, in particular the low sample size in later loops. A new data collection protocol should
346 be accompanied by clear and consistent definitions of severity at the point of collection and training
347 (or at least some sort of guidance) would be useful for FEI veterinary delegates. The present study is
348 limited by potential inconsistencies in the data given the current lack of such clear guidance. Despite

349 the small-scale nature of the present study which only had access to a relatively small number of vet
350 cards, and no prior input into the collection protocol, these results have already proved useful for
351 informing regulatory change, and demonstrate the almost unique opportunity amongst equestrian
352 sports of in-competition risk prediction that is available in endurance.

353

354 **Conclusion**

355 As a whole these results provide plenty of evidence to suggest that further collection and routine
356 analysis of veterinary card data could provide significant reward in terms of being able to predict,
357 before and during a ride, which horses are at greater risk of FTQ GA or ME. An initial effort to
358 establish a mechanism by which these data can be more readily collected from all FEI Endurance
359 rides could provide, within one year, approximately four times as many veterinary cards as were
360 analysed in this study. This analysis could then be extended to include individual horse and rider
361 histories to develop risk profiles for each rider/horse combination, that evolve in real-time over the
362 course of an endurance competition, to reflect the findings of clinical examinations recorded at each
363 veterinary inspection. The next step would be to develop algorithms that inform an automated risk
364 calculator which would be available to veterinary delegates at the veterinary gates at the time each
365 horse arrives for its inspection at the end of a loop. This information could then be used, alongside
366 clinical judgement, to enable vets to make evidence-based decisions about which horses to eliminate
367 earlier in rides before more serious FTQs were incurred, thus providing significant benefit to equine
368 welfare.

369

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374

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413

414 **Table 1**

415 Number and percentage of starts by region group for which veterinary cards were returned to the FEI
416 Veterinary Department in 2014.

Geographical area (FEI designated Region Group) ¹	Number of vet cards	Starts in 2014	% of starts, in that region, for which a vet card was returned
Western/Southern Europe (Group I)	1778	5128	34.7
Northern/Eastern Europe (Group II)	393	870	45.2
Russia/Caucasia (Group III)	120	162	74.1
North America (Group IV)	203	415	48.9
Central America (Group V)	32	95	33.7
South America (Group VI)	73	2194	3.3
North Africa/Middle East (Group VII)	380	3745	10.1
East/South Asia and Oceania (Group VIII)	152	391	38.9
Sub-Saharan Africa (Group IX)	82	491	16.7
Total	3213	13491	23.8

417

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¹ Groups I and II are now known as the European Equestrian Federation (EEF)

418

419 **Table 2**

420 The full list of risk factors included at the beginning of the model-building process of six multivariable
 421 models investigating six different outcomes for horses during endurance rides: FTQ GA² in loop 1, 2,
 422 and 3, and FTQ ME³ in loop 1, 2, and 3. A “Y” indicates the variables which were candidates for
 423 inclusion in each multivariable logistic regression model.

Risk factors			Included FTQ GA outcome in loop...			Included FTQ ME outcome in loop...		
Name	Categorisation	Notes	1	2	3	1	2	3
Horse sex	Categorical	Four categories: mare, gelding, stallion, male unknown.	Y	Y	Y	Y	Y	Y
Horse age	Continuous		Y	Y	Y	Y	Y	Y
Rider sex	Binary		Y	Y	Y	Y	Y	Y
Rider age	Continuous		Y	Y	Y	Y	Y	Y
Loop 1 riding speed	Continuous	Average riding speed during Loop 1		Y	Y		Y	Y
Loop 2 riding speed	Continuous	Average riding speed during Loop 2			Y			Y
Change in speed between loop 1 and loop 2	Continuous	Change in speed was the percentage difference between the horse’s average riding speed in loop 1 and that in loop 2			Y			Y
Heart rate at first presentation	Categorical	Categories: under 60bpm, 60-64bpm, greater than 64bpm	Y	Y	Y	Y	Y	Y
Cardiac recovery index	Continuous			Y	Y		Y	Y
Mucous membranes	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Capillary refill	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Skin turgour	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Gut sounds	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Girth, back and withers soreness	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Muscle tone	Binary	Records are categorical 1-4; grouped into “1” and “2 or above”	Y	Y	Y	Y	Y	Y
Gait	Binary	Records are categorical 1-4; grouped into “1” and “2” ⁴	Y	Y	Y	Y	Y	Y

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425

² FTQ GA – Failure to qualify due to irregular gait

³ FTQ ME – Failure to qualify due to metabolic problems

⁴ According to the rules, any horse whose gait was assessed as “3” or “4” at any veterinary inspection would automatically be eliminated with a FTQ GA outcome. Therefore no horse assessed as a “3” or “4” for gait could continue to the next loop.

425

426 **Table 3**

427 Results of three different multivariable logistic regression models for failure to qualify due to irregular
 428 gait (FTQ GA) outcomes for horses during FEI endurance rides. Outcomes studied were FTQ GA in
 429 loop 1, loop 2, and loop 3.

Risk factor	FTQ GA in loop 1			FTQ GA in loop 2			FTQ GA in loop 3		
	Odds ratio	95% confidence interval	p-value	Odds ratio	95% confidence interval	p-value	Odds ratio	95% confidence interval	p-value
Rider age Per year	1.01	1.00 – 1.02	0.026						
Pre-ride Gait 1 (n=2831) 2 (n=282)	Ref. 2.09	- 1.34 – 3.27	- 0.001						
Loop 1 Gait 1 (n=2536) 2 (n=584)				Ref. 2.71	- 2.04 – 3.59	- <0.001			
Pre-ride mucous membranes 1 (n=2965) 2-4 (n=194)							Ref. 0.31	- 0.12 – 0.76	- 0.011
Loop 1 Cap refill time 1 (n=2178) 2-4 (n=963)							Ref. 1.43	- 1.05 – 1.94	- 0.023
Loop 2 Gut sounds 1 (n=1786) 2-4 (n=969)							Ref. 0.6	- 0.43 – 0.84	- 0.003
Loop 2 Gait 1 (n=2051) 2 (n=837)							Ref. 2.49	- 1.82 – 3.4	- <0.001

430

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431

432 **Table 4**

433 Results of three different multivariable logistic regression models for failure to qualify due to metabolic
 434 problems (FTQ ME) outcomes for horses during FEI endurance rides. Outcomes studied were FTQ
 435 ME in loop 1, loop 2, and loop 3.

Risk factor	FTQ ME in loop 1			FTQ ME in loop 2			FTQ ME in loop 3		
	Odds ratio	95% confidence interval	p-value	Odds ratio	95% confidence interval	p-value	Odds ratio	95% confidence interval	p-value
Pre-ride Girth, back, and withers soreness 1 (n=2764) 2-4 (n=104)	Ref. 7.72	- 2.14 – 27.81	- 0.002						
Rider sex Female (n=1722) Male (n=1491)				Ref. 2.14	- 1.12 – 4.11	- 0.022			
Loop 1 Heart rate 64bpm or less (n=2406) Greater than 64bpm (n=75)				Ref. 3.6	- 1.37 – 9.49	- 0.01			
Loop 1 Mucous membranes 1 (n=2226) 2-4 (n=908)				Ref. 2.31	- 1.25 – 4.27	- 0.007			
Loop 1 average riding speed Per additional km/h							1.14	1.07 – 1.22	<0.001
Loop 1 Gut sounds 1 (n=2260) 2-4 (n=707)							Ref. 1.79	- 1.09 – 2.96	- 0.022
Speed change between Loop 1 and Loop 2 Same, decrease or increase by no more than 20% (n=2393) Increase greater than 20% (n=88)							Ref. 2.86	- 1.09 – 7.53	- 0.033
Loop 2 Heart Rate 60bpm or less (n=1648) Greater than 60 bpm (n=933)							Ref. 1.66	- 1.05 – 2.63	- 0.029
Loop 2 Skin turgour 1 (n=1993) 2-4 (n=885)							Ref. 1.95	- 1.23 – 3.09	- 0.005

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