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Highlights

- Effects of multi-session (m-)tDCS on verbal associative learning were investigated
- Active m-tDCS enhanced immediate learning in older adults
- Active m-tDCS enhanced long-term maintenance in both age-groups.
- Effects were most pronounced in individuals with lower baseline learning ability
- Effects were not exclusively due to enhanced memory consolidation

1 **Multisession transcranial direct current stimulation facilitates verbal learning**
2 **and memory consolidation in young and older adults**

3 **Garon Perceval^{a,b}, Andrew K. Martin^{a,c}, David A. Copland^{a,d}, Matti Laine^e, Marcus Meinzer^{a,f*}**

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5 ^aThe University of Queensland, Centre for Clinical Research, Brisbane, Australia

6 ^bDepartment of Psychology, School of Education, Soochow University, Suzhou, China

7 ^cUniversity of Kent, Department of Psychology, Canterbury, UK

8 ^dThe University of Queensland, School of Health and Rehabilitation Sciences, Brisbane, Australia

9 ^eÅbo Akademi University, Department of Psychology, Turku, Finland

10 ^fUniversity Medicine Greifswald, Department of Neurology, Greifswald, Germany

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12 *Corresponding author: University Medicine Greifswald, Greifswald, Germany, Tel. +49 170

13 7976170, e- mail: marcus.meinzer@med.uni-greifswald.de

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16

17 **Abstract**

18 This study investigated effects of multisession transcranial direct-current stimulation on learning
19 and maintenance of novel memory content and scrutinised effects of baseline cognitive status and
20 the role of multi-session tDCS on overnight memory consolidation. In a prospective, randomized,
21 double-blind, parallel-group, sham-tDCS controlled design, 101 healthy young and older adults
22 completed a five-day verbal associative learning paradigm while receiving multisession tDCS to the
23 task-relevant left prefrontal cortex. In older adults, active multisession tDCS enhanced recall
24 performance after each daily training session. Effects were maintained the next morning and during
25 follow-up assessments (one week; three months). In young adults, multisession tDCS significantly
26 increased long-term recall. Unlike previous findings in the motor domain, beneficial effects of
27 multisession tDCS on cognitive learning and memory were not exclusively due to enhanced memory
28 consolidation. Positive stimulation effects were primarily found in participants with lower baseline
29 learning ability, suggesting that multisession tDCS may counteract memory impairment in health
30 and disease.

31

32

33 **Keywords:** multi-session transcranial direct current stimulation, aging, memory, learning, language,
34 longitudinal design

35

36 **1. Introduction**

37 Problems in establishing and maintaining new memories are common in healthy aging and age-
38 related disease (Kester, Benjamin, Castel, & Craik, 2002; Zacks, Hasher, & Li, 2000), reducing the
39 quality of life and increasing the economic and social burden on aging societies on a global scale
40 (Grady, 2012; D. C. Park & Reuter-Lorenz, 2009). Given that the proportion of elderly people in the
41 population worldwide is expected to triple over the next 30-40 years(WHO, 2011), it is imperative to
42 explore the effectiveness of novel interventions aimed at improving memory function in older
43 adults. Transcranial direct current stimulation (tDCS) is one promising technique towards addressing
44 this goal (Hsu, Juan, & Tseng, 2016; Perceval, Floel, & Meinzer, 2016; Summers, Kang, & Cauraugh,
45 2016). TDCS involves a weak electrical current administered to target brain regions via scalp-
46 attached electrodes. Neural and behavioral effects during or immediately after a single tDCS session
47 are mediated by short-lived modulation of the neural resting membrane potential, resulting in either
48 enhanced or reduced neural excitability (Stagg & Nitsche, 2011). In aging, a growing number of
49 proof-of-concept studies have demonstrated that single session tDCS can temporarily improve
50 behavioral and brain function or even restore performance levels to those of young controls (for
51 reviews see (Hsu et al., 2016; Perceval et al., 2016; Summers et al., 2016)).

52 However, to achieve longer-lasting behavioral and neural effects, tDCS needs to be administered
53 over several days or weeks (i.e., multisession tDCS) and combined with behavioral training. Such
54 protocols promote adaptive neuroplasticity via mechanisms similar to long-term potentiation (Cirillo
55 et al., 2017). In young individuals, multisession tDCS has resulted in long-lasting (i.e., weeks to
56 months) improvement of motor or cognitive learning (Cohen Kadosh, Soskic, Iuculano, Kanai, &
57 Walsh, 2010; Dockery, Hueckel-Weng, Birbaumer, & Plewnia, 2009; Hilgenstock, Weiss, Huonker, &
58 Witte, 2016; Meinzer, Jahnigen, et al., 2014; Reis et al., 2009). Moreover, enhanced behavioral
59 treatment effects have been demonstrated in different patient populations (Allman et al., 2016;
60 Manenti et al., 2016; Meinzer, Darkow, Lindenberg, & Floel, 2016). In aging, multisession tDCS

61 studies that employed working memory training (Jones, Stephens, Alam, Bikson, & Berryhill, 2015;
62 Stephens & Berryhill, 2016) or other cognitive training paradigms (Antonenko et al., 2017; S. H. Park,
63 Seo, Kim, & Ko, 2014) have also demonstrated an improvement in specifically trained cognitive
64 functions and provided preliminary evidence for enhanced transfer effects to untrained cognitive
65 functions; but see Nilsson et al. (2017).

66 We expanded on these promising findings by training 101 healthy young and older adults on a verbal
67 associative learning paradigm in a prospective, double-blind, sham-tDCS controlled study to address
68 the following issues: (1) Because little is known about the time course of learning facilitation by
69 multi-session tDCS, this was investigated across multiple time points (i.e., daily during the training
70 period; 24 hrs, one week and three months later). (2) Multisession tDCS has been suggested to
71 specifically affect memory consolidation (Reis et al., 2015). However, this has only been
72 demonstrated in young individuals and by using procedural motor learning task. Here we probed
73 whether the same mechanism explains potential cognitive multisession tDCS effects in young and
74 older adults by investigating learning ability before and after each daily learning session. (3) Because
75 several previous cross-sectional tDCS studies have shown that baseline cognitive ability can affect
76 stimulation effectiveness (Berryhill & Jones, 2012; Learmonth, Thut, Benwell, & Harvey, 2015;
77 Meinzer, Lindenber, Antonenko, Fleisch, & Flöel, 2013) we included this factor in our analyses. We
78 hypothesized that individuals with lower baseline ability would benefit most from the stimulation.
79 (4) Since some previous studies have suggested that multisession tDCS may enhance transfer to
80 untrained tasks (Antonenko et al., 2017; Cappelletti et al., 2013; S. H. Park et al., 2014), we
81 hypothesized that multisession tDCS would enhance transfer to tasks that share common cognitive
82 and neural components with the trained task (e.g., verbal learning or working memory).

83 **2. MATERIALS AND METHODS**

84 **2.1. Study overview:** The study employed a prospective, between-subjects, double-blind, placebo
85 (“sham-tDCS”) controlled design and was conducted at the Centre for Clinical Research at the

86 University of Queensland. We employed an explicit learning paradigm where 41 younger adults, and
87 60 healthy older adults were trained to learn associations between pictures of “space alien”
88 characters (Gupta et al. 2004), their respective (non-word) names and two semantic attributes. The
89 training was administered across five consecutive days. Participants received either active (anodal-
90 tDCS) or placebo (sham-tDCS) stimulation of the left inferior frontal gyrus. IFG-tDCS was chosen,
91 because this montage has been shown to induce neural modulation in a larger fronto-temporal
92 network (Meinzer et al., 2012), overlapping with brain regions relevant for verbal associative
93 learning (Laine & Salmelin, 2010; Rodriguez-Fornells, Cunillera, Mestres-Misse, & de Diego-Balaguer,
94 2009).

95 Prior to the learning phase, all participants were assessed for baseline cognitive status and
96 completed a short version of the learning paradigm. Performance on the latter, along with age and
97 sex, was used to randomly assign participants to the stimulation groups (see below). Learned
98 associations were probed immediately prior to (except for day₁) and after each training day. This
99 allowed us to investigate both immediate and delayed effects of active tDCS. Maintenance of
100 learning success was assessed 24 hours, one week and three months after the end of the training.
101 Short- and long-term transfer effects to untrained cognitive functions were assessed using a
102 comprehensive and repeatable test battery. As baseline cognitive status predicted tDCS response in
103 previous studies, we also investigated whether baseline learning ability would mediate tDCS effects.
104 Blinding, adverse events and potential effects of tDCS on mood were systematically assessed. **Figure**
105 **1** illustrates the design of the study.

106 **2.2. Participants:** Participants were right-handed, healthy native English speakers from the Brisbane
107 metropolitan area (Young group: 25 women, 16 men, mean±SD years: 21.44±3.61; Older group: 50
108 women, 10 men, mean±SD years: 67.05±6.00). None had previously participated in a tDCS study.
109 Participants were excluded from the study according to standard tDCS safety criteria (e.g., if they
110 had a history of seizures, metallic objects in the head or cardiac pacemakers, current depression or

111 other psychiatric condition (Bikson et al., 2016)). None of the younger participants reported to be on
112 chronic medication, except for contraceptives (females). Several older participants reported to be on
113 chronic prescription medicine; however, medication status was comparable in the stimulation
114 groups (sham/anodal group: antihypertensives N=9/13, lipid lowering medication N=6/7,
115 antidiuretics N=2/3, antidiabetics n=2/1, thyroid hormone replacement N=3/6, COPD puffers:
116 N=1/1). None of the participants reported use of recreational drugs. All participants scored within
117 normal (age-corrected) ranges during baseline cognitive testing (**Table 1**). Within each age-group,
118 participants were pairwise stratified by age, sex and baseline learning ability on a short version of
119 the learning paradigm and randomly assigned to the stimulation groups. This procedure resulted in
120 two stimulation groups for each age group that were comparable regarding demographic
121 characteristics, baseline cognitive status and learning ability (**Table 1**). Written informed consent
122 was obtained from each participant and the study was approved by the Human Research Ethics
123 Committee of The University of Queensland. Participants received AUD\$250 upon study completion.

124 **2.3. Cognitive Screening:** To ensure normal cognitive function, all participants completed a
125 comprehensive neuropsychological test battery comprising tests used in the Australian Imaging,
126 Biomarker and Lifestyle Study of Ageing (Ellis et al., 2009) that are known to have good reliability
127 and validity. Tests covered a wide range of cognitive domains including language (vocabulary,
128 naming, and fluency), executive functions, visual-spatial processing, working memory and learning
129 (For details please see **Table 1**).

130 **2.4. Experimental learning paradigm:** We used an explicit verbal learning paradigm and participants
131 were trained to learn associations between “space aliens” (Gupta et al., 2004), a non-word “name”
132 and two semantic attributes. The training was administered across five consecutive weekdays (Mon-
133 Fri between 8 am - 4 pm, based on individual preferences but at the same time of day for individual
134 participants). Participants were instructed to memorize the names and attributes of each alien and
135 were informed that they would be tested using three memory tasks immediately after the training

136 (assessing *immediate after-effects* of tDCS) and prior to the start of the training session on the next
137 day (assessing *long-term after-effects*; from day₂ on, including the day after the training ended). Our
138 primary outcome measure was a *free recall task* that required written naming of each alien
139 character. Secondary outcome measures were two recognition tasks that required a forced-choice
140 decision between two non-word names (*name recognition*) or two sets of semantic attributes
141 (*attribute recognition task*). To assess *long-term maintenance* of potential tDCS effects, the recall
142 and recognition tasks were administered during two (one-week; three months) follow-up
143 assessments.

144 **2.4.1. Acquisition phase:** 36 color images of “space aliens” were used (see **Figure 1B** for an example,
145 (Gupta et al., 2004)). The aliens varied along three dimensions: head shape (human, N=12; vertically
146 elongated, N=12; horizontally elongated, N=12), number of arms (two, N=18; four, N=18), and type
147 of non-human appendage (tail, N=18; head appendage, N=18, (Gupta et al., 2004)). Each space alien
148 character was presented together with a non-word “name” (e.g., Prute) and two semantic attributes
149 (e.g., wise and heroic). 54 five-letter legal non-words were selected from the ARC Non-Word
150 Database (Rastle, Harrington, & Coltheart, 2002). 36 of these non-words served as the name for
151 each alien. 18 non-words were used as distractors in the forced-choice word recognition task (see
152 below). 108 English adjectives served as non-visual, semantic attributes (word length: 5-6 letters).
153 From this list, 54 pairs of adjectives were created so that both words matched for semantic
154 congruency (e.g., ‘wise - heroic’, rather than ‘wise - stupid’). 36 of these attribute pairs served as the
155 attributes for each object. 18 pairs were used as distractors in the attribute recognition task (see
156 below). Semantic attributes were included because semantic information has been suggested to
157 facilitate learning (Angwin, Phua, & Copland, 2014).

158 The daily acquisition phase comprised 8 blocks with 9 trials in each block (in total 72 trials, with each
159 of the 36 alien, name and attribute combinations presented twice daily in a pseudo-randomised
160 order). Each trial began with a fixation cross presented in the centre of the screen for 1500 ms,

161 followed by the alien with its name and attributes for 8000 ms. Trials were separated by an interval
162 of 500 ms. Participants were instructed to learn the names and attributes of each alien and were
163 informed that they would subsequently be tested on their memory of these. During each block, the
164 aliens and their names and attributes were presented automatically on a computer screen with
165 white background. After each block, participants were prompted to take a short break and to press
166 “space” to resume.

167 **2.4.2. Recall and recognition tasks:** All participants completed three memory tasks immediately
168 after each training day, prior to the start of the next acquisition phase (from day2 on) and during the
169 three follow-up assessments (24 hrs, 1 week, 3 months). During the *free recall task*, all aliens were
170 presented in random order on a white background and participants were instructed to use the
171 computer keyboard to type the name into a space provided on the display below the image.
172 Participants were instructed to type the whole name and to adhere to correct spelling. If they could
173 not recall the whole name, participants were told that they could type part of the name or to take a
174 guess at typing the whole name. If they were unable to produce a response, participants were
175 instructed to press “enter” to continue to the next trial. The number of phonetically similar
176 responses (e.g., “prute” rather than “proot”) was very low (old adults: N=160 across all time points;
177 2.67/person, which is about 2% of approx. 8000 correct responses; young adults: N=51, 1.24/person,
178 approx. 0.46% of approx. 11.000 correct responses). Therefore, only full word responses with correct
179 spelling were scored as correct.

180 Afterwards, participants completed two forced-choice *name* and *attribute recognition tasks*. Each
181 recognition task comprised 36 trials and the aliens were presented on a white background with a
182 selection of either two names or two attribute pairs at the bottom of the screen. Participants had to
183 select the correct name or attribute pair for each alien using the left or right mouse buttons. During
184 both recognition tasks, the distractors comprised 18 names or attributes assigned to other aliens

185 and 18 novel names and attributes (i.e., not assigned to other aliens). There was no time limit for
186 any of the tasks.

187 **2.5. Baseline learning ability:** A short version of the explicit learning paradigm (12 different alien,
188 word, attribute combinations) assessed baseline learning ability prior to the training. This short
189 version of the paradigm comprised three acquisition trials, each followed immediately by free recall
190 and name and attribute recognition trials. Learning success (# correctly recalled names) on this short
191 version was used together with age and sex to stratify participants to the intervention groups.
192 Although the stimulation groups did not differ in baseline learning ability (Younger: Sham, M=13.7,
193 SD=5.58, Anodal, M=12.76, SD=7.47, p=0.65; Older: Sham, M=3.97, SD=3.83, Anodal, M=4.37,
194 SD=4.44, p=0.71), older adults showed poorer baseline learning ability than younger adults
195 (p<0.001). Baseline learning ability was also considered in the statistical analysis (see below).

196 **2.6. Transfer effects:** To assess potential transfer effects to untrained cognitive functions, the
197 Cogstate computerized test battery (<https://cogstate.com/>) was administered immediately prior to
198 and after the training period, as well as during the two long-term follow-up assessments. The test
199 battery assessed a range of cognitive functions: processing speed, executive function, working
200 memory, and verbal, visuospatial, and associative learning. It was chosen because it is repeatable,
201 easy to administer, user-friendly, has good test-retest reliability (Cole et al., 2013), validity (Mielke et
202 al., 2015), and is sensitive to assess change in cognitive functions that decline with age and in age-
203 related cognitive disease (D. Darby, Maruff, Collie, & McStephen, 2002; D. G. Darby et al., 2012; Lim,
204 Ellis, et al., 2013; Lim, Jaeger, et al., 2013).

205 **2.7. Transcranial direct current stimulation:** tDCS was administered using a battery-driven direct
206 current stimulator (DC-Stimulator Plus, NeuroConn, Ilmenau, Germany). A pair of conductive rubber
207 electrodes inserted into saline-soaked sponge pockets were used and attached to the scalp using
208 rubber bands. The anode (5x7 cm²) was placed over the left inferior frontal gyrus (left IFG), an area
209 crucial for language learning (Rodriguez-Fornells et al., 2009). Moreover, because the left IFG is also

210 involved in a number of other cognitive processes like working memory (Nixon, Lazarova, Hodinott-
211 Hill, Gough, & Passingham, 2004) and semantic retrieval (Meinzer et al., 2009; Thompson-Schill,
212 D'Esposito, Aguirre, & Farah, 1997), we hypothesized that this montage would maximize both verbal
213 learning and potential transfer effects. The location of the left IFG was determined using the EEG
214 10-20 system as described previously (Meinzer et al., 2012; Meinzer et al., 2013). The cathode
215 (10x10 cm²) was placed over the contralateral supraorbital region. The large size of the reference
216 electrode renders the stimulation ineffective at this site without compromising the effect
217 underneath the anode (Nitsche et al., 2007). The current was ramped up immediately prior to the
218 acquisition phase over 10 seconds to 1 mA during both stimulation conditions. Afterwards, it
219 remained constant for 20 min (anodal tDCS) or 40 seconds (sham-tDCS) before ramping down (over
220 10 sec). This protocol allows effective blinding of participants in the sham-tDCS group by inducing a
221 similar physical sensation as in active stimulation without modulating neural activity (Gandiga,
222 Hummel, & Cohen, 2006; Gbadeyan, Steinhauser, McMahon, & Meinzer, 2016). TDCS was
223 administered during the acquisition phase (vs. retrieval phase) to maximize stimulation effects
224 (Simonsmeier, Grabner, Hein, Krenz, & Schneider, 2017). Investigator blinding was achieved by the
225 “study mode” of the DC stimulator where a predefined code triggered active or sham-tDCS. Codes
226 were assigned by a researcher not involved in conducting the experiments.

227 **2.8 Adverse effects and blinding:** Adverse effects were assessed using a self-report questionnaire
228 developed by Brunoni et al. (Brunoni et al., 2011). Participants rated the presence and intensity of a
229 range of possible adverse events (1=absent, 2=mild, 3=moderate, 4=severe, see **Tables 2 and 3**).
230 Participant blinding was assessed at the completion of training. Participants were asked the
231 following: “What type of stimulation do you believe you received? (a) real stimulation, (b) placebo,
232 or fake stimulation, or (c) unsure?”

233 **2.9. Statistical analysis:** Immediate and delayed effects of anodal-tDCS on recall and recognition
234 performance over the five days of training (# correct items) and at follow-up (change scores, e.g.,

235 Change₂₄=Day_{5on}-24-hrs) were analyzed using linear mixed effects models (Baayen, Davidson, &
236 Bates, 2008; Verbeke & Molenberghs, 2000) with the lme4 package (Version 1.1.12, (Bates, Mächler,
237 Bolker, & Walker, 2015)) in the R environment (Version 1.0.44; R Core Team 2014). Subject was
238 modelled as a random effect using random-intercept models. The five time-points for the learning
239 phase (TIME) for immediate effects of tDCS (i.e., after the end of each training session) were
240 modelled as fixed effects. The analysis of delayed effects (i.e., the next morning) across the training
241 phase comprised Days₂₋₅ and the short-term follow-up (24 hours). Long-term follow-up effects were
242 modelled separately based on change scores (e.g., Change₂₄=Day_{5on}-24-hrs) during the follow-up
243 time points (24 hrs, 1 week, 3 months). Transfer effects were analyzed with linear mixed effects
244 models for each Cogstate subtest by comparing pre-post training scores and scores across the three
245 follow-up time-points.

246 For immediate and long-term after-effects (i.e., after training vs. the next morning), follow-up, and
247 transfer data, the factors TIME, STIMULATION (anodal- vs. sham-tDCS), and AGEGROUP (young vs.
248 older) served as fixed effects. Baseline learning ability (BASELINE) was included as a continuous
249 covariate. The interactions TIME × STIMULATION assessed whether the slopes of the learning,
250 follow-up, and transfer task curves differed between the stimulation and age-groups. The TIME ×
251 STIMULATION × BASELINE interactions assessed whether baseline learning ability influenced these
252 effects. The TIME × STIMULATION × BASELINE × AGEGROUP interactions assessed for the impact of
253 both baseline learning and age-group on these effects. To assess between-group differences in
254 overnight memory decline across time, the same model was also used with change scores calculated
255 between immediate and long-term after-effects (e.g., Change₁=Day_{1imm}-Day_{2long}). *P*-values were
256 obtained using the Satterthwaite approximation to degrees of freedom via the lmerTest Package
257 (Version 2.0-33, (Kuznetsova, Brockhoff, & Christensen, 2015)). Unstandardized regression
258 coefficients (*B*), standard errors (*SE*), *F* values and significance levels are reported for all analyses.
259 Please note, because of the skewed sex distribution in our sample (75 women, 26 men), we also

260 conducted an exploratory analysis that included sex as an additional co-variate in the statistical
261 models. None of the significant effects were found to interact significantly with sex.

262 For display purposes, we also performed a hierarchical cluster analysis (Bailey, 1994) of the
263 continuous baseline learning data with a 2-cluster solution using Ward's method (Ward, 1963) to
264 generate a two-level categorical variable (Younger group: high learners, n=19; Anodal=9; low
265 learners, n=22; Anodal=12; Older group: high learners, n=30; Anodal=14; low learners, n=30;
266 Anodal=16). Figures relating to baseline learning illustrate data for these two subgroups. Detailed
267 information about demographic and neuropsychological profiles of the groups resulting from the 2-
268 cluster solution is provided in **Supplementary Tables 1+2**.

269 A 2x2 ANOVA (STIMULATION x AGEGROUP) assessed differences between the stimulation and age
270 groups on the neuropsychological test battery. Linear mixed models assessed differences in adverse
271 effects between stimulation and age groups over the five training days separately for each symptom.
272 STIMULATION, TIME (Day₁₋₅), and AGEGROUP were included in models as fixed effects. Blinding
273 success was evaluated using Chi²-tests.

274 **3. RESULTS**

275 **3.1. Baseline Cognitive Status, Adverse Effects, and Blinding**

276 All participants performed within normal age ranges on the neuropsychological test battery. As
277 expected, older adults performed worse than younger adults on a number of tests (see **Table 1**).
278 Within each age-group, the two stimulation groups showed comparable neuropsychological profiles
279 (Note: a significant difference on the Trail Making Test A in the younger group did not survive
280 correcting for multiple comparisons). TDCS was well tolerated by participants of both age groups and
281 only mild adverse effects were reported. Across both age-groups, no differences in the degree of
282 reported adverse events in the two stimulation groups were found (all p's > 0.09, see **Tables 2 and**
283 **3**), except for tingling and scalp pain sensations: participants in the anodal group reported a greater

284 degree of tingling over time than sham ($p=0.01$), while participants in the sham group reported a
285 greater degree of (mild) scalp pain ($p=0.03$). Age effects were also observed: the younger group
286 reported a greater degree of headache, scalp pain, tingling, sleepiness and trouble concentrating
287 than the older adults ($p<0.001$ - $p=0.049$). A greater decrease in the degree of itching sensations over
288 time was observed in the sham group, compared to anodal ($p=0.02$). Older adults in the anodal
289 group reported a greater degree of tingling than sham ($p=0.03$). Participant blinding was successful.
290 In the older group, only 23.3% of participants correctly guessed which type of stimulation they
291 received (Incorrect: 38.3%, Unsure: 38.3%). In the young group, 39% guessed correctly (Incorrect:
292 46.3%, Unsure: 14.6%). There were no differences between stimulation groups concerning blinding
293 results (Older: $\chi^2 = 0.902$, $p = 0.637$. Younger: $\chi^2 = 0.75$, $p = 0.686$).

294 **3.2. Learning Data**

295 **3.2.1. Overall Sample** (Young and Older Adults Combined)

296 First, we assessed immediate or delayed stimulation effects on learning rates over the five days of
297 training, and whether this was specific to age-group membership or baseline learning ability.

298 **3.2.2. Immediate after-effects of tDCS during the learning period** (Days₁₋₅, see **Figures 2 and 3**)

299 Participants successfully learned the novel active vocabulary (TIME, $B = 8.00$, $SE = 1.01$, $F(1, 396) =$
300 62.29 , $p<0.001$). A significant TIME \times STIMULATION \times AGE_{GROUP} \times BASELINE interaction was
301 observed for the free-recall task ($B = -0.30$, $SE = 1.14$, $F(1, 396) = 4.70$, $p=0.03$), suggesting a specific
302 effect of stimulation dependent on age-group membership and baseline learning ability.

303 Subsequently, we performed two 3-way ANOVAs for each age group independently. A significant
304 TIME \times STIMULATION \times BASELINE interaction was identified in the older adults ($B=-0.26$, $SE=0.09$,
305 $F(1, 236)=7.67$, $p<0.01$), but not in the younger adults ($B = 0.04$, $SE = 0.10$, $F(1, 160) = 0.15$, $p=0.70$).

306 To follow up on this interaction in older adults, we analysed the TIME \times BASELINE interaction for
307 each stimulation group independently. This interaction was stronger for the sham group (TIME \times

308 BASELINE, $B = 0.64$, $SE = 0.07$, $F(1, 118) = 82.1$, $p < 0.001$) than for the group that had received anodal
309 tDCS (TIME \times BASELINE, $B = 0.38$, $SE = 0.06$, $F(1, 118) = 38.83$, $p < 0.001$), suggesting that anodal tDCS
310 weakened the impact of baseline ability on recall immediately after the end of the training. This
311 means more pronounced benefits of anodal tDCS on learning ability were found specifically in
312 participants with lower baseline learning scores. This effect is illustrated in **Figure 3**.

313 **3.2.3. Long-term after-effects of tDCS during the learning period** (day₂-24 hour follow-up, see
314 **Figure 2 and 3**)

315 Recall performance as assessed prior to each training day improved across the training period (TIME,
316 $B = 7.59$, $SE = 0.98$, $F(1, 391.08) = 60.14$, $p < 0.001$). A significant TIME \times STIMULATION \times AGEGROUP \times
317 BASELINE interaction was observed ($B = -0.32$, $SE = 0.13$, $F(1, 391.17) = 5.58$, $p = 0.02$) for long-term
318 after-effects of tDCS on free-recall. Three-way ANOVAs were computed for each age group and a
319 significant TIME \times STIMULATION \times BASELINE interaction was identified in the older adults ($B = -0.31$,
320 $SE = 0.09$, $F(1, 231.11) = 10.89$, $p < 0.01$), but not in the younger adults ($B = 0.01$, $SE = 0.10$, $F(1, 160)$
321 $= 0.02$, $p = 0.89$). To follow-up this interaction in the older adults, we analysed the TIME \times BASELINE
322 interaction for each stimulation group. The influence of this interaction was stronger for the sham
323 group (TIME \times BASELINE, $B = 0.76$, $SE = 0.07$, $F(1, 114) = 109.04$, $p < 0.001$), than for anodal (TIME \times
324 BASELINE, $B = 0.45$, $SE = 0.06$, $F(1, 117) = 61.33$, $p < 0.001$), suggesting that anodal tDCS weakened the
325 impact of baseline ability on immediate learning. Therefore, stimulation also selectively improved
326 learning in older adults with lower baseline ability (see **Figure 3**).

327 For the older adults, there was no difference between groups in overnight decline scores across time
328 (TIME \times STIMULATION, $B = 0.02$, $SE = 0.26$, $F(1, 231.51) = 0.01$, $p = 0.93$), indicating that the stimulation
329 effects were not selectively induced by effects on overnight consolidation. Baseline learning ability
330 did not further influence this null effect (TIME \times STIMULATION \times BASELINE, $B = 0.01$, $SE = 0.05$,
331 $F(1, 230.96) = 0.09$, $p = 0.77$).

332 In summary, we show a facilitatory effect of anodal-tDCS on immediate learning for the free recall
333 task that is specific to older adults with lower baseline learning ability. These effects were
334 maintained during the testing session on the next day. Performance on the easier forced choice
335 name and attributed recognition tasks improved over time (all p 's < 0.001), which demonstrates
336 participant motivation and task compliance. No further significant effects were found for these tasks
337 (see **Supplementary Table 1**).

338 **3.3. Long-term maintenance – Free recall task (Day₅-3 month follow-up, see **Figure 4**)**

339 During the learning phase, we observed age-related differences in stimulation response on the free-
340 recall task. Therefore, to assess long-term maintenance effects, we analysed recall accuracy decline
341 during the follow-up phase (24hrs, 1 week, 3 months) independently for young and older adults.

342 As expected, performance declined in both age groups during the three follow-up assessments (see
343 **Figure 4A**). In the older group, decline scores were comparable between the two stimulation groups
344 (TIME × STIMULATION, $B = 0.57$, $SE = 1.51$, $F(1, 113.7) = 0.14$, $p=0.71$), and baseline learning had no
345 effect (TIME × STIMULATION × BASELINE, $B = -0.28$, $SE = 0.27$, $F(1, 113.1) = 1.12$, $p=0.29$). This
346 suggests that stimulation-induced gains that were observed in older individuals with lower baseline
347 performance during the learning phase, were maintained during the follow-up.

348 In the young group, the rate of decline was greater for the sham group than for the anodal tDCS
349 group (TIME × STIMULATION, $B = -7.78$, $SE = 3.41$, $F(1, 115) = 5.22$, $p=0.02$). We also observed a
350 significant TIME × STIMULATION × BASELINE interaction ($B = 0.46$, $SE = 0.23$, $F(1, 115) = 43.92$,
351 $p=0.049$). Therefore, we inspected the effect of TIME × BASELINE in both stimulation conditions.
352 Neither sham nor anodal stimulation resulted in a significant TIME × BASELINE interaction. For Sham,
353 the TIME × BASELINE interaction was negative ($B = -0.31$, $SE = 0.19$, $F(1, 56) = 2.49$, $p=0.12$); for
354 anodal stimulation the TIME × BASELINE interaction was positive ($B = 0.15$, $SE = 0.13$, $F(1, 59) = 1.35$,
355 $p=0.25$). Therefore, stimulation differences were demonstrated at the level of the TIME ×

356 STIMULATION × BASELINE interaction, with anodal stimulation resulting in a greater effect of
357 baseline learning on decline over time. Overall, this suggests that even in the absence of immediate
358 stimulation effects on learning ability, learning gains were better maintained during the follow-up
359 assessments (i.e., 1 week, 3 months) in younger individuals with lower baseline learning scores who
360 had received anodal-tDCS (**Figure 4C**).

361 **3.4. Transfer effects**

362 No baseline differences were found between active and sham stimulation groups for any of the
363 Cogstate subtests (STIMULATION, all $p = 0.06 - 1.00$). Age-group membership had no further effect
364 (STIMULATION × AGEGROUP, all $p = 0.09 - 0.94$). There were some significant effects of stimulation
365 at different time points and for different tests (see **Tables 4 and 5**). However, none of them survived
366 correcting for multiple comparisons. Therefore, no substantial transfer effects were observed and
367 the stimulation groups were comparable in their performance across time on all Cogstate subtests
368 (TIME × STIMULATION, pre vs. post: $p = 0.02 - 0.93$; follow-up: $p = 0.01 - 0.95$). Including age-group
369 or baseline learning ability in the analysis did not further alter this outcome (TIME × STIMULATION ×
370 AGEGROUP, pre vs. post: $p = 0.04 - 0.92$; follow-up: $p = 0.01 - 0.95$; TIME × STIMULATION ×
371 BASELINE, pre vs. post: $p = 0.04 - 0.97$; follow-up: $p = 0.01 - 0.92$).

372 **4. DISCUSSION**

373 This study demonstrated that multisession tDCS can improve verbal associative learning and its long-
374 term maintenance in healthy older adults. Importantly, beneficial tDCS effects were not exclusively
375 explained by overnight consolidation. In younger individuals, no immediate effects of tDCS were
376 found, but active tDCS reduced memory decline during the long-term follow-up sessions. In both
377 age-groups, beneficial effects of multisession tDCS were most pronounced in individuals with lower
378 baseline learning capacity. This shows that both short- and long-term tDCS effects are dependent on
379 baseline cognitive status. Our result thus emphasizes that tDCS is particularly suited to improve

380 learning and memory formation in those individuals who require such a “boost”. However, it is
381 worth noting that multisession tDCS did not “restore” learning and memory in lower-functioning
382 (older) adults to the level of young individuals or high-functioning older participants. Blinding was
383 successful in both age-groups and only mild adverse effects were reported. Therefore, our study also
384 adds to the growing literature demonstrating that positive effects of multisession tDCS on brain
385 function can be achieved without side effects, making it an attractive tool for cognitive
386 enhancement in advanced age (Kortteenniemi, Ali-Sisto, Wikgren, & Lehto, 2017). Unlike previous
387 studies that reported near transfer effects to untrained materials (Antonenko et al., 2017; S. H. Park
388 et al., 2014), such effects were absent in the present study. This highlights a task-specific effect of
389 tDCS on brain activity elicited during learning and memory formation. Note that in a recent meta-
390 analysis by Nilsson et al. (2017) that failed to find beneficial effects of tDCS during cognitive training,
391 the outcome measures mixed transfer and training tasks, thus being uninformative of effects on
392 trained tasks.

393 Overall, young and older participants in both stimulation conditions showed evidence of learning
394 associations between the alien characters and their respective names and attributes across the five
395 training days, but there was also substantial variability in performance within each group.
396 Importantly, active tDCS selectively improved learning ability only in individuals with lower baseline
397 learning performance. Although potential tDCS effects in the high-performing subgroup may have
398 been masked by near ceiling effects on the two easier recognition tasks, learning curves were
399 comparable (in older adults almost identical) for active and sham-tDCS even for the more difficult
400 name recall task where there was a substantial room for improvement even in high performers.
401 These results suggest that baseline cognitive status is an important factor in determining stimulation
402 effectiveness (Silvanto, Muggleton, & Walsh, 2008). This is in line with previous cross-sectional
403 research showing that tDCS effects in elderly participants are modified by factors such as baseline
404 task performance and lateralization of brain activity (Berryhill & Jones, 2012; Learmonth et al., 2015;
405 Meinzer et al., 2013). While it has been suggested that brains already functioning at a near optimal

406 (“homeostatic”) level may not respond to tDCS in the same way as those with suboptimal activity
407 (Brem, Fried, Horvath, Robertson, & Pascual-Leone, 2014; Krause, Márquez-Ruiz, & Kadosh, 2013),
408 neural mechanisms underlying such modifying factors are not well understood, even in healthy
409 young individuals (Hsu et al., 2016; Martin, Huang, Hunold, & Meinzer, 2017; Tseng et al., 2012). This
410 needs to be scrutinized in future imaging studies by investigating baseline brain network structure
411 and training-induced changes related to the facilitatory effects of multisession tDCS.

412 Our results also demonstrate that the beneficial short-term effects of tDCS in low-performing older
413 adults were mainly due to acute stimulation effects, which were largely maintained during the
414 assessments on the morning of the following training day. To the best of our knowledge, only two
415 previous studies aimed to address the temporal locus of multisession tDCS effects (Reis et al., 2015;
416 Reis et al., 2009) with both studies testing younger individuals [Please note, only one of these
417 studies (Reis et al. 2015) allowed investigation of true “offline” or long-term after-effects by
418 including a training block without concurrent tDCS]. Both studies used a motor sequence learning
419 task with concurrent tDCS and did not find immediate performance improvement. However,
420 profound effects on memory consolidation were reported several hours after the end of the training
421 (Reis et al., 2015) or after a period of sleep (Reis et al., 2015; Reis et al., 2009). A number of tentative
422 explanations may explain these differences. First, memory consolidation for procedural (Reis et al.,
423 2015; Reis et al., 2009) vs. explicit episodic memory content (i.e., the present study) is supported by
424 different neural systems (Plihal & Born, 1997). Procedural memory has been linked to basal ganglia
425 and cortico-cerebellar networks, while episodic memory requires the hippocampus and neocortical
426 structures relevant for specific tasks (Harand et al., 2012; Squire, 2004). There may also be
427 differences in the optimal timing depending on the experimental context or task and other motor
428 learning studies have demonstrated beneficial effects of tDCS when administered shortly after the
429 end of the training (e.g., Tecchio et al. 2010; Rumpf et al. 2017). TDCS may thus act differently on
430 different memory systems, irrespective of age, but there is also evidence for reduced (overnight)
431 memory consolidation in advanced age (Gudberg, Wulff, & Johansen-Berg, 2015; Harand et al.,

432 2012). Moreover, only one recent study investigated the impact of multisession tDCS on learning
433 ability using an implicit object location learning paradigm (Antonenko et al., 2017). This study also
434 failed to find immediate stimulation effects on performance, which only became evident
435 immediately after a three-day training period, being maintained during a one month follow-up
436 assessment. It is worth noting that across the entire sample, tDCS did not result in immediate
437 performance improvement in the present study, and positive effects were limited to the subgroup of
438 low performers. As none of the previous multisession tDCS studies considered baseline cognitive
439 status or learning ability in their analysis, potential subgroup effects may have been missed.
440 Therefore, future studies are urgently needed to disentangle the contribution of chronological age,
441 target memory systems, and task characteristics on the temporal dynamics of multisession tDCS
442 response. Nonetheless, the results of our study did not provide support for the notion that tDCS
443 exclusively acts on memory consolidation mechanisms. This is also in line with a previous study from
444 our group that demonstrated immediate beneficial effects on verbal associative learning ability in
445 young participants (Meinzer, Jahnigen, et al., 2014). In contrast, no immediate effects were found in
446 the present younger sample, which is likely explained by the design of this study. Specifically, in an
447 attempt to keep the learning paradigm comparable for young and older adults and to account for
448 reduced learning ability in aging, the present study used relatively few picture-name pairs (N=36)
449 compared to our previous study (N=120; Meinzer et al. (2014)). Therefore, task demands were
450 substantially different. Nonetheless, beneficial long-term stimulation effects in the young group
451 were found (a) in those individuals that found the task “more challenging” (i.e., low performers) and
452 (b) during delayed memory retrieval (i.e., the follow-up assessments). Both findings are in line with a
453 task difficulty account that impacted multisession tDCS effects in the present study.

454 We also observed that tDCS-induced learning gains were largely maintained for up to three months
455 after the end of the training. This is in line with previous multisession tDCS studies in young adults
456 demonstrating long-term beneficial stimulation effects that outlasted the end of the training for at
457 least one week and up to one year (Cohen Kadosh et al., 2010; Dockery et al., 2009; Meinzer,

458 Jahnigen, et al., 2014; Reis et al., 2009). In older adults, only a handful of studies combined cognitive
459 training (Jones et al., 2015; S. H. Park et al., 2014) or learning paradigms (Antonenko et al., 2017)
460 with multisession tDCS. These studies reported beneficial effects that were maintained for up to one
461 month. Moreover, several multisession tDCS studies in both young (Richmond, Wolk, Chein, & Olson,
462 2014) and older (Antonenko et al., 2017; Jones et al., 2015; S. H. Park et al., 2014; Stephens &
463 Berryhill, 2016) individuals also reported transfer effects to untrained cognitive tasks. In the present
464 study, we did not find evidence for any transfer effects, which suggests a rather specific impact of
465 tDCS on brain networks activated by the associative learning task. However, it needs to be
466 acknowledged that transfer effects in older adults were mainly found for closely related tasks (near
467 transfer tasks), which was not tested in the present study. In addition, in comparison to learning
468 paradigms, successful transfer may be more likely with general cognitive training approaches (S. H.
469 Park et al., 2014).

470 **5. Conclusions**

471 We have demonstrated that multisession tDCS enhances both immediate and delayed learning in
472 older adults with lower baseline learning ability and that these effects were maintained for up to
473 three months. While no immediate effects were found in young adults, the rate of forgetting over
474 time was reduced by the stimulation in this group. Future studies employing fMRI are needed to
475 investigate the underlying neural mechanisms responsible for such enhancement, and the baseline
476 neural characteristics predicting stimulation response in low performers. In sum, we demonstrated
477 that multisession tDCS is a viable method for improving verbal learning and memory performance in
478 healthy young and older individuals. The fact that these effects were mainly found in lower
479 performing individuals opens the possibility that it may also be suited for clinical populations such as
480 patients with mild cognitive impairment (Meinzer, Lindenber, et al., 2014).

481

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697 **Table 1.** Demographic and neurocognitive profiles of young and older participants in the two stimulation groups (anodal-; sham- tDCS), means \pm standard
 698 deviation are reported

	Younger			Older			Age-group Comparison
	Sham-tDCS	Anodal-tDCS	Signif.	Sham-tDCS	Anodal-tDCS	Signif.	Signif.
Age (yrs)	21.25 \pm 3.97	21.62 \pm 3.32	0.75	67.4 \pm 6.08	66.7 \pm 6.05	0.66	<.001*
Sex (men/women)	8/12	8/13		6/24	4/26		
Education (yrs)	14.25 \pm 1.29	14.62 \pm 1.12	0.33	14.07 \pm 2.36	14.17 \pm 1.90	0.86	0.38
MMSE ¹	29.95 \pm 0.22	29.86 \pm 0.36	0.33	29.47 \pm 0.86	29.67 \pm 0.76	0.30	0.01
D-KEFS¹	(Scaled score)						
Semantic Fluency	44.05 \pm 6.83	44.19 \pm 8.98	0.96	44.3 \pm 10.54	45.67 \pm 8.73	0.59	0.06
	(12.6 \pm 2.82)	(12.57 \pm 3.31)	(0.98)	(13.47 \pm 3.73)	(14.23 \pm 3.22)	(0.40)	(0.64)
Phonemic Fluency	40.85 \pm 8.69	42.76 \pm 10.52	0.53	46.53 \pm 15.03	48.43 \pm 11.12	0.58	0.02
	(11.7 \pm 2.89)	(12.05 \pm 3.02)	(0.71)	(13.23 \pm 4.33)	(13.80 \pm 3.06)	(0.56)	(0.02)
Boston Naming Test^{1#}	14.20 \pm 0.89	14.43 \pm 1.08	0.47	14.37 \pm 1.03	14.40 \pm 1.16	0.91	0.76

Trail Making Test A²	23.75 ± 6.53	19.13 ± 3.86	0.01	29.23 ± 7.75	29.53 ± 8.76	0.89	<.001*
Trail Making Test B	49.96 ± 16.92	47.99 ± 15.80	0.70	63.28 ± 27.62	65.87 ± 19.03	0.67	<.001*
HLVT¹	(T-scores)						
Total Recall	30.70 ± 2.47	28.81 ± 4.27	0.09	26.90 ± 4.71	28.83 ± 4.71	0.12	0.04
	(57.17 ± 7.83)	(60.00 ± 7.02)	(0.36)	(47.07 ± 12.12)	(53.92 ± 12.83)	(0.17)	(0.60)
Delayed Recall	11.50 ± 0.76	10.62 ± 1.91	0.06	9.62 ± 2.40	10.10 ± 1.81	0.43	<0.01
	(57.17 ± 5.41)	(57.5 ± 5.28)	(0.88)	(45.86 ± 11.58)	(51.08 ± 8.32)	(0.19)	(0.47)
Retention (%)	94.89 ± 9.96	89.60 ± 14.66	0.19	89.27 ± 17.30	91.53 ± 10.66	0.54	0.52
	(51.6 ± 6.65)	(48.14 ± 9.74)	0.19	(50.13 ± 8.53)	(51.07 ± 6.62)	0.64	(0.44)
D-KEFS²	(Scaled Scores)						
Colour Naming	26.96 ± 4.83	26.06 ± 3.73	0.50	30.31 ± 6.24	30.49 ± 5.28	0.91	<.001*
	(10.35 ± 2.35)	(10.71 ± 1.79)	(0.56)	(10.07 ± 2.55)	(10.87 ± 2.26)	(0.75)	(0.35)
Word Reading	20.07 ± 3.20	20.33 ± 4.54	0.83	23.11 ± 5.18	22.48 ± 3.44	0.58	<0.01
	(11.15 ± 1.95)	(10.95 ± 2.71)	(0.79)	(10.93 ± 2.63)	(11.23 ± 1.72)	(0.60)	(0.94)

Inhibition	42.77 ± 7.88	43.17 ± 7.22	0.87	54.41 ± 11.92	54.37 ± 10.03	0.99	<.001*
	(11.95 ± 1.79)	(11.81 ± 1.66)	(0.80)	(12.70 ± 2.15)	(12.53 ± 1.68)	(0.74)	(0.05)
Inhibition/Switching	51.96 ± 10.66	51.91 ± 7.47	0.99	59.80 ± 13.95	58.92 ± 11.33	0.79	0.002*
	(11.20 ± 2.33)	(11.14 ± 1.65)	(0.93)	(12.53 ± 1.91)	(12.57 ± 1.77)	(0.94)	(<.001*)
RBANS							
Figure Copy ²	18.40 ± 1.67	17.67 ± 1.80	0.19	19.17 ± 1.23	18.73 ± 1.44	0.22	<0.01
Figure Copy Delay ²	16.70 ± 2.00	15.29 ± 3.18	0.10	15.07 ± 3.26	14.00 ± 2.49	0.16	0.01
Digit Span ¹	11.90 ± 2.27	12.33 ± 2.61	0.58	12.07 ± 2.35	12.37 ± 2.34	0.62	0.84
Symbol Coding	58.95 ± 8.18	61.05 ± 9.88	0.47	48.47 ± 9.06	49.83 ± 7.48	0.53	<.001*
Story Memory ¹	18.45 ± 2.54	19.19 ± 2.42	0.35	17.83 ± 3.60	17.50 ± 2.73	0.69	0.06
NART							
NART Error	18.65 ± 6.63	18.67 ± 4.43	0.99	11.30 ± 6.77	11.43 ± 6.22	0.94	<.001*
NART IQ	112.25 ± 5.50	112.24 ± 3.71	0.99	118.38 ± 5.61	118.07 ± 5.00	0.82	<.001*

HADS

Depression	2.10 ± 1.65	2.71 ± 2.45	0.36	2.40 ± 1.77	2.70 ± 2.55	0.60	0.76
Anxiety	5.35 ± 3.22	6.71 ± 3.54	0.21	4.80 ± 3.21	4.97 ± 3.32	0.84	0.09

699 *Note.* MMSE, Mini Mental State Examination; D-KEFS, Delis-Kaplan Executive Function System; HVLT, Hopkins Verbal Learning Test; RBANS,
700 Repeatable Battery for the Assessment of Neuropsychological Status; NART, National Adult Reading Test; HADS, Hospital Anxiety and Depression
701 Scale (For a review of all tests, see Strauss et al. (2006)).¹Number of correct responses. ²Response latency (seconds). #15-item version.

702 *($p < 0.05/23 = p < 0.002$).

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Table 2. Adverse effects reported by younger participants in both stimulation groups (anodal-, sham-tDCS) as assessed after the end of each daily stimulation session, means \pm standard deviation are reported

Symptom	StimGroup	Day 1	Day 2	Day 3	Day 4	Day 5	Between-group comparison
Headache	Anodal	1.33 \pm 0.58	1.33 \pm 0.48	1.29 \pm 0.56	1.24 \pm 0.44	1.19 \pm 0.51	p = 0.76
	Sham	1.25 \pm 0.55	1.40 \pm 0.68	1.20 \pm 0.41	1.20 \pm 0.41	1.20 \pm 0.41	
Neck pain	Anodal	1.29 \pm 0.56	1.19 \pm 0.40	1.14 \pm 0.36	1.19 \pm 0.40	1.10 \pm 0.30	p = 0.62
	Sham	1.25 \pm 0.55	1.10 \pm 0.31	1.05 \pm 0.22	1.05 \pm 0.22	1.05 \pm 0.22	
Scalp pain	Anodal	1.10 \pm 0.30	1.10 \pm 0.30	1.14 \pm 0.48	1.14 \pm 0.36	1.10 \pm 0.30	p = 0.12
	Sham	1.30 \pm 0.57	1.20 \pm 0.52	1.15 \pm 0.37	1.10 \pm 0.31	1.15 \pm 0.37	
Tingling	Anodal	1.83 \pm 0.10	1.93 \pm 0.10	1.87 \pm 0.11	1.73 \pm 0.11	1.77 \pm 0.11	p = 0.17
	Sham	1.70 \pm 0.10	1.57 \pm 0.11	1.57 \pm 0.11	1.53 \pm 0.11	1.50 \pm 0.11	
Itching	Anodal	1.90 \pm 0.77	2.05 \pm 0.74	1.95 \pm 0.67	2.00 \pm 0.71	1.95 \pm 0.67	p = 0.51
	Sham	2.05 \pm 0.95	1.80 \pm 0.70	1.75 \pm 0.64	1.65 \pm 0.59	1.55 \pm 0.61	
Burning	Anodal	1.86 \pm 0.91	1.95 \pm 0.97	1.86 \pm 0.96	1.71 \pm 0.90	1.71 \pm 0.85	p = 0.29
	Sham	1.60 \pm 0.75	1.70 \pm 0.80	1.70 \pm 0.66	1.80 \pm 0.83	1.50 \pm 0.69	
Sleepiness	Anodal	2.19 \pm 1.03	1.95 \pm 1.02	2.05 \pm 1.02	2.24 \pm 1.00	1.62 \pm 0.67	p = 0.84
	Sham	2.35 \pm 0.81	2.10 \pm 0.85	1.80 \pm 0.77	2.05 \pm 0.76	1.90 \pm 0.91	

Concentration	Anodal	1.76 ± 0.70	1.38 ± 0.74	1.43 ± 0.60	1.43 ± 0.68	1.38 ± 0.74	p = 0.85
	Sham	1.75 ± 0.72	1.40 ± 0.60	1.50 ± 0.83	1.55 ± 0.83	1.50 ± 0.83	
Mood Change	Anodal	1.14 ± 0.36	1.10 ± 0.44	1.10 ± 0.30	1.10 ± 0.30	1.19 ± 0.51	p = 0.68
	Sham	1.15 ± 0.37	1.10 ± 0.31	1.00 ± 0.00	1.10 ± 0.31	1.05 ± 0.22	

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Table 3. Adverse effects reported by older participants in both stimulation groups (anodal-, sham-tDCS) as assessed after the end of each daily stimulation session, means \pm standard deviation are reported

Symptom	StimGroup	Day 1	Day 2	Day 3	Day 4	Day 5	Between-group comparison
Headache	Anodal	1.10 \pm 0.31	1.07 \pm 0.25	1.10 \pm 0.31	1.03 \pm 0.18	1.03 \pm 0.18	p = 0.99
	Sham	1.10 \pm 0.31	1.10 \pm 0.40	1.07 \pm 0.25	1.10 \pm 0.31	1.03 \pm 0.18	
Neck pain	Anodal	1.17 \pm 0.46	1.13 \pm 0.35	1.10 \pm 0.31	1.07 \pm 0.25	1.10 \pm 0.31	p = 0.36
	Sham	1.07 \pm 0.37	1.10 \pm 0.40	1.07 \pm 0.25	1.03 \pm 0.18	1.03 \pm 0.18	
Scalp pain	Anodal	1.03 \pm 0.18	1.00 \pm 0.00	1.03 \pm 0.18	1.00 \pm 0.00	1.00 \pm 0.00	p = 0.28
	Sham	1.07 \pm 0.25	1.10 \pm 0.31	1.07 \pm 0.25	1.00 \pm 0.00	1.07 \pm 0.25	
Tingling	Anodal	1.83 \pm 0.59	1.93 \pm 0.64	1.87 \pm 0.63	1.73 \pm 0.69	1.77 \pm 0.68	p = 0.11
	Sham	1.70 \pm 0.54	1.57 \pm 0.50	1.57 \pm 0.57	1.53 \pm 0.51	1.50 \pm 0.51	
Itching	Anodal	1.50 \pm 0.73	1.40 \pm 0.72	1.33 \pm 0.55	1.33 \pm 0.61	1.37 \pm 0.62	p = 0.44
	Sham	1.33 \pm 0.55	1.27 \pm 0.52	1.23 \pm 0.50	1.17 \pm 0.38	1.20 \pm 0.41	
Burning	Anodal	1.20 \pm 0.11	1.23 \pm 0.57	1.20 \pm 0.48	1.30 \pm 0.65	1.13 \pm 0.35	p = 0.31
	Sham	1.47 \pm 0.63	1.20 \pm 0.41	1.30 \pm 0.54	1.33 \pm 0.48	1.30 \pm 0.47	
Sleepiness	Anodal	1.40 \pm 0.77	1.20 \pm 0.48	1.20 \pm 0.48	1.13 \pm 0.51	1.13 \pm 0.35	p = 0.22
	Sham	1.17 \pm 0.53	1.30 \pm 0.65	1.20 \pm 0.48	1.27 \pm 0.52	1.17 \pm 0.46	

Concentration	Anodal	1.30 ± 0.54	1.13 ± 0.35	1.10 ± 0.31	1.17 ± 0.46	1.03 ± 0.18	p = 0.93
	Sham	1.10 ± 0.31	1.27 ± 0.64	1.17 ± 0.46	1.10 ± 0.31	1.03 ± 0.18	
Mood Change	Anodal	1.03 ± 0.18	1.00 ± 0.00	1.10 ± 0.40	1.00 ± 0.00	1.00 ± 0.00	p = 0.10
	Sham	1.10 ± 0.40	1.07 ± 0.37	1.00 ± 0.00	1.00 ± 0.00	1.00 ± 0.00	

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712 **Table 4.** Assessment of transfer effects in younger participants of both stimulation groups. Means \pm standard deviation are reported

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	<i>Pre-test</i>		<i>24 hours</i>		<i>1 week</i>		<i>3 months</i>	
	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS
International Shopping list ¹	29.5 \pm 2.61	28.24 \pm 2.25	29.5 \pm 3.52	28.90 \pm 2.86	30.50 \pm 3.03	29.24 \pm 3.71	30.75 \pm 3.78	30.14 \pm 3.02
Groton Maze Learning ²	40.75 \pm 9.40	38.81 \pm 9.22	35.45 \pm 10.29	41.14 \pm 9.75	35.05 \pm 11.08	34.43 \pm 7.83	32.20 \pm 8.53	37.67 \pm 11.23
Detection test ³	2.50 \pm 0.04	2.52 \pm 0.05	2.55 \pm 0.07	2.53 \pm 0.04 ^{*ab}	2.51 \pm 0.04	2.54 \pm 0.07	2.51 \pm 0.06	2.53 \pm 0.06
Identification test ³	2.65 \pm 0.04	2.67 \pm 0.06	2.69 \pm 0.06	2.68 \pm 0.05 ^{ac}	2.69 \pm 0.06	2.70 \pm 0.06	2.68 \pm 0.06	2.69 \pm 0.05
One Card Learning ⁴	1.06 \pm 0.08	1.06 \pm 0.09	1.10 \pm 0.12	1.09 \pm 0.12	1.08 \pm 0.11	1.06 \pm 0.09	1.05 \pm 0.13	1.08 \pm 0.11
One Back ⁴	1.40 \pm 0.10	1.40 \pm 0.15	1.36 \pm 0.13	1.40 \pm 0.11 ^{*b}	1.41 \pm 0.12	1.44 \pm 0.14	1.36 \pm 0.15	1.38 \pm 0.13 ^{#+fg}
Two Back ⁴	1.36 \pm 0.13	1.30 \pm 0.15	1.37 \pm 0.16	1.31 \pm 0.16	1.38 \pm 0.18	1.36 \pm 0.14	1.37 \pm 0.20	1.38 \pm 0.14
Set Shifting ²	18.40 \pm 12.27	20.67 \pm 10.20	20.30 \pm 12.91	19.24 \pm 8.23	21.30 \pm 9.77	18.10 \pm 8.12	22.45 \pm 12.93	18.05 \pm 5.90 ^{+def}
Continuous Paired Associative Learning ²	24.50 \pm 18.71	29.57 \pm 25.28	17.30 \pm 27.03	25.33 \pm 30.86	14.90 \pm 25.58	19.33 \pm 39.95	14.80 \pm 25.48	18.90 \pm 35.51

Social-Emotional Cognition ⁴	1.15 ± 0.07	1.13 ± 0.07	1.16 ± 0.11	1.13 ± 0.08	1.16 ± 0.10	1.18 ± 0.10	1.14 ± 0.14	1.16 ± 0.09
International Shopping List (Delayed Recall) ¹	10.55 ± 1.82	10.48 ± 1.36	10.80 ± 1.47	10.33 ± 1.74	10.60 ± 1.50	10.48 ± 1.54	10.60 ± 1.50	10.62 ± 1.75
Groton Maze Learning (Delayed Recall) ²	3.55 ± 2.74	5.14 ± 3.38	4.75 ± 2.63	6.14 ± 4.55	4.40 ± 3.07	4.52 ± 2.86	3.80 ± 2.40	4.95 ± 3.23

714 ¹Number of correct responses. ²Number of errors. ³Speed of performance (lower score = better performance). ⁴Accuracy of performance (higher score =
715 better performance).

716 Between group analysis: *p<0.05 (Pre-test – 24 hours, Time × StimGroup); #p<0.05 (24 hours – 3 months, StimGroup), †p<0.05 (24 hours – 3 months, Time ×
717 StimGroup).

718 Old/Young combined analysis: ^ap<0.05 (Pre-test – 24 hours, Time × StimGroup), ^bp<0.05 (Pre-test – 24 hours, Time × StimGroup × AgeGroup), ^cp<0.05 (Pre-
719 test – 24 hours, Time × StimGroup × Baseline), ^dp<0.05 (Pre-test – 24 hours, Time × StimGroup), ^ep<0.05 (24 hours – 3 months, Time × StimGroup ×
720 AgeGroup), ^fp<0.05 (24 hours – 3 months, Time × StimGroup × Baseline), ^gp<0.01 (24 hours – 3 months, Time × StimGroup × AgeGroup)

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723 **Table 5.** Assessment of transfer effects in older participants of both stimulation groups. Means \pm standard deviation are reported

	<i>Pre-test</i>		<i>24 hours</i>		<i>1 week</i>		<i>3 months</i>	
	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS	Sham-tDCS	Anodal-tDCS
International Shopping list ¹	25.61 \pm 3.53	26.46 \pm 2.86	27.68 \pm 3.81	27.96 \pm 3.60	27.66 \pm 4.11	28.21 \pm 3.36	28.62 \pm 3.91	28.53 \pm 3.15
Groton Maze Learning ²	56.46 \pm 16.66	54.61 \pm 13.99	48.11 \pm 13.93	48.67 \pm 16.92	45.24 \pm 17.25	44.34 \pm 13.37	47.96 \pm 19.80	44.47 \pm 12.85
Detection test ³	2.57 \pm 0.09	2.53 \pm 0.09	2.58 \pm 0.10	2.55 \pm 0.09 ^{ab}	2.57 \pm 0.08	2.54 \pm 0.08	2.58 \pm 0.09	2.55 \pm 0.09
Identification test ³	2.74 \pm 0.05	2.72 \pm 0.06	2.76 \pm 0.09	2.72 \pm 0.05 ^{ac}	2.75 \pm 0.05	2.72 \pm 0.05	2.75 \pm 0.06	2.72 \pm 0.06
One Card Learning ⁴	1.02 \pm 0.07	1.01 \pm 0.10	1.02 \pm 0.09	1.04 \pm 0.09	1.03 \pm 0.09	1.03 \pm 0.08	1.04 \pm 0.08	1.04 \pm 0.08
One Back ⁴	1.37 \pm 0.14	1.38 \pm 0.16	1.44 \pm 0.10	1.43 \pm 0.11 ^b	1.45 \pm 0.13	1.43 \pm 0.10	1.40 \pm 0.14	1.40 \pm 0.12 ^{fg}
Two Back ⁴	1.26 \pm 0.11	1.23 \pm 0.09	1.27 \pm 0.13	1.28 \pm 0.12	1.35 \pm 0.15	1.31 \pm 0.13	1.30 \pm 0.16	1.30 \pm 0.12
Set Shifting ²	16.61 \pm 10.04	14.71 \pm 4.41	14.36 \pm 7.46	11.70 \pm 3.48	13.03 \pm 5.32	11.76 \pm 2.46	15.89 \pm 9.44	12.77 \pm 4.96 ^{def}
Continuous Paired Associative Learning ²	81.21 \pm 45.85	76.50 \pm 41.94	75.36 \pm 60.63	52.74 \pm 42.46	59.00 \pm 43.90	50.55 \pm 49.65	54.00 \pm 57.53	54.23 \pm 42.12

Social-Emotional Cognition ⁴	1.12 ± 0.15	1.11 ± 0.08	1.13 ± 0.16	1.16 ± 0.09	1.13 ± 0.18	1.17 ± 0.06	1.14 ± 0.17	1.16 ± 0.08
International Shopping List (Delayed Recall) ¹	8.86 ± 1.94	9.04 ± 1.64	9.61 ± 1.66	9.48 ± 2.10	8.97 ± 2.11	9.21 ± 1.92	9.62 ± 1.70	9.90 ± 1.79
Groton Maze Learning (Delayed Recall) ²	9.36 ± 3.99	10.61 ± 3.65	8.58 ± 3.43	8.07 ± 3.00	8.62 ± 4.30	8.14 ± 3.59	8.23 ± 3.55	8.13 ± 3.43

724

725 ¹Number of correct responses. ²Number of errors. ³Speed of performance (lower score = better performance). ⁴Accuracy of performance (higher score =
726 better performance).

727 Old/Young combined analysis: ^ap<0.05 (Pre-test – 24 hours, Time × StimGroup), ^bp<0.05 (Pre-test – 24 hours, Time × StimGroup × AgeGroup), ^cp<0.05 (Pre-
728 test – 24 hours, Time × StimGroup × Baseline), ^dp<0.05 (Pre-test – 24 hours, Time × StimGroup), ^ep<0.05 (24 hours – 3 months, Time × StimGroup ×
729 AgeGroup), ^fp<0.05 (24 hours – 3 months, Time × StimGroup × Baseline), ^gp<0.01 (24 hours – 3 months, Time × StimGroup × AgeGroup)

730

731 **Figure Captions**

732 **Figure 1. Study Design: A.** Overview of training and testing sessions from day 1 to 3 month follow-
733 up. Day 1 begins with acquisition of name + object pairings and simultaneous stimulation (anodal- or
734 sham-tDCS) and is followed by immediate recall and recognition tasks. Days 2-5 comprise delayed
735 recall and recognition tasks. This is followed by acquisition and stimulation phases and immediate
736 recall and recognition tasks. 24 hour to 3 month follow-ups comprise recall and recognition tasks
737 and assessment of transfer to untrained functions using the Cogstate battery. **B.** Shows acquisition
738 phase and recall and recognition tasks. During acquisition, each novel object picture is presented
739 with the matching non-word and two semantic attributes. During recall the object picture is
740 presented and participants are instructed to type the correct names. During the recognition tasks
741 the object picture is presented with a choice of two nonwords/sets of attributes and participants are
742 instructed to select the correct nonword/set of attribute.

743

744 **Figure 2. Learning phase in both age groups:** Displays accuracy scores (# correct) for the recall task
745 assessed immediately after the end of each daily training session (“imm”) and the morning of the
746 next day (“del” refers to delayed after-effects of tDCS). Shown are day 1 to the 24 hour follow-up
747 (FU) for the primary outcome measure (name recall) and the entire sample of young and older
748 adults.

749

750 **Figure 3. Learning phase older adults split by baseline learning ability:** Displays accuracy scores (#
751 correct) for the recall task assessed immediately after the end of each daily training session (“imm”) and
752 the morning of the next day (“del” refers to delayed after-effects of tDCS). Shown are day 1 to
753 the 24 hour follow-up (FU) for the primary outcome measure (name recall) for older participants
754 with high and low baseline learning ability. Data shows that tDCS-induced learning gains were more

755 pronounced in the low performing older group that had received anodal tDCS. More pronounced
756 learning at the end of day 5 and the short-term follow up (24 hrs) amounted to 86.7% and 75.4%
757 respectively (Effect size $d'=.64/.74$; i.e., medium effect sizes). Please note, figures are for illustrative
758 purposes only. In the main results we report baseline performance as a continuous variable.

759

760 **Figure 4. Displays decline scores for correctly recalled names (# correct) for the follow-up time-**
761 **points relative to the end of the last training day.** (A) Entire sample, (B) participants with high or (C)
762 low learning ability during the baseline assessment. Data shows that tDCS-induced learning gains in
763 our primary outcome variable (name recall accuracy) were maintained in older low learners for up to
764 3 months. In low performing young adults, decline rates were significantly higher for participants
765 that had received sham compared to anodal tDCS during the training period. The more pronounced
766 drop in performance in the low performing younger adults (drop in correct recall 24 hrs – 3 months
767 f-u anodal/sham: $19.8\pm 8.3/25.1\pm 7.5$) equals 26.8% ($d'=.67$). Figures are for illustrative purposes only.
768 In the main results, we report baseline performance as a continuous variable.

769

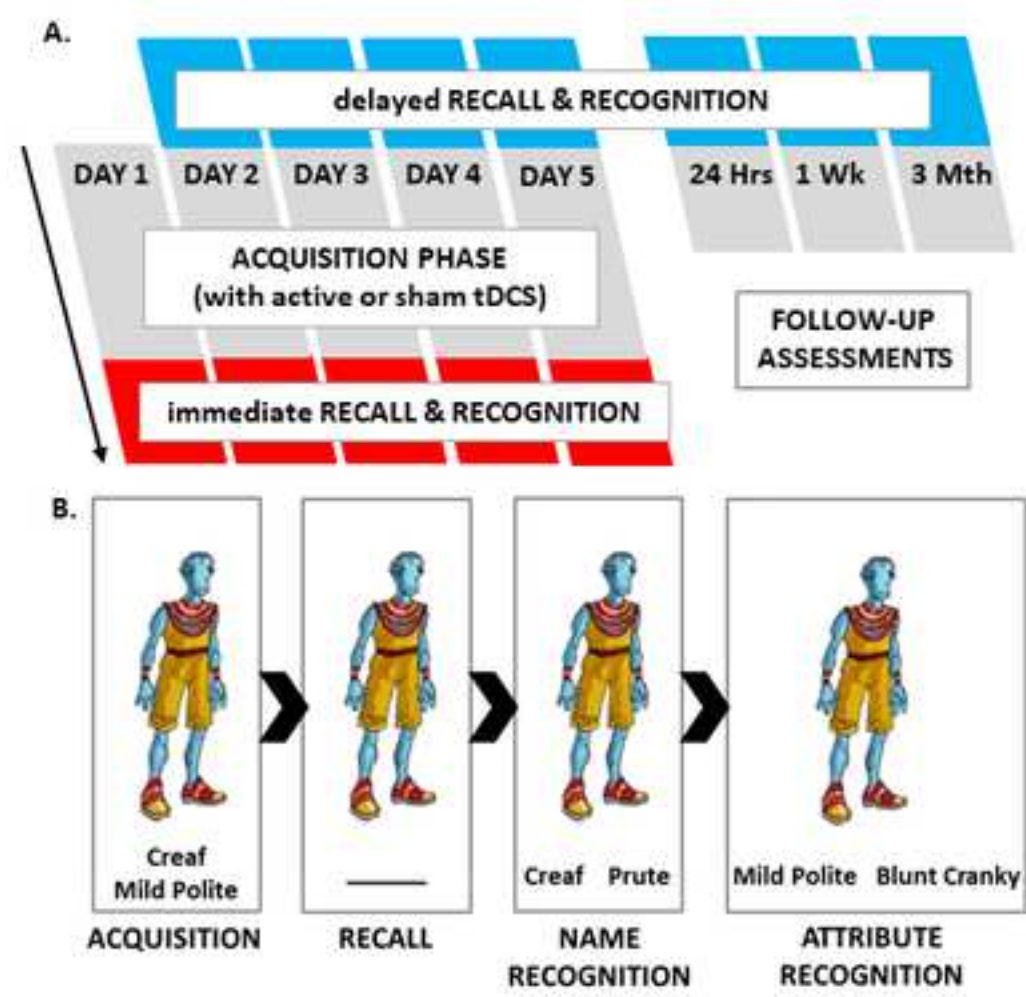


Figure 2

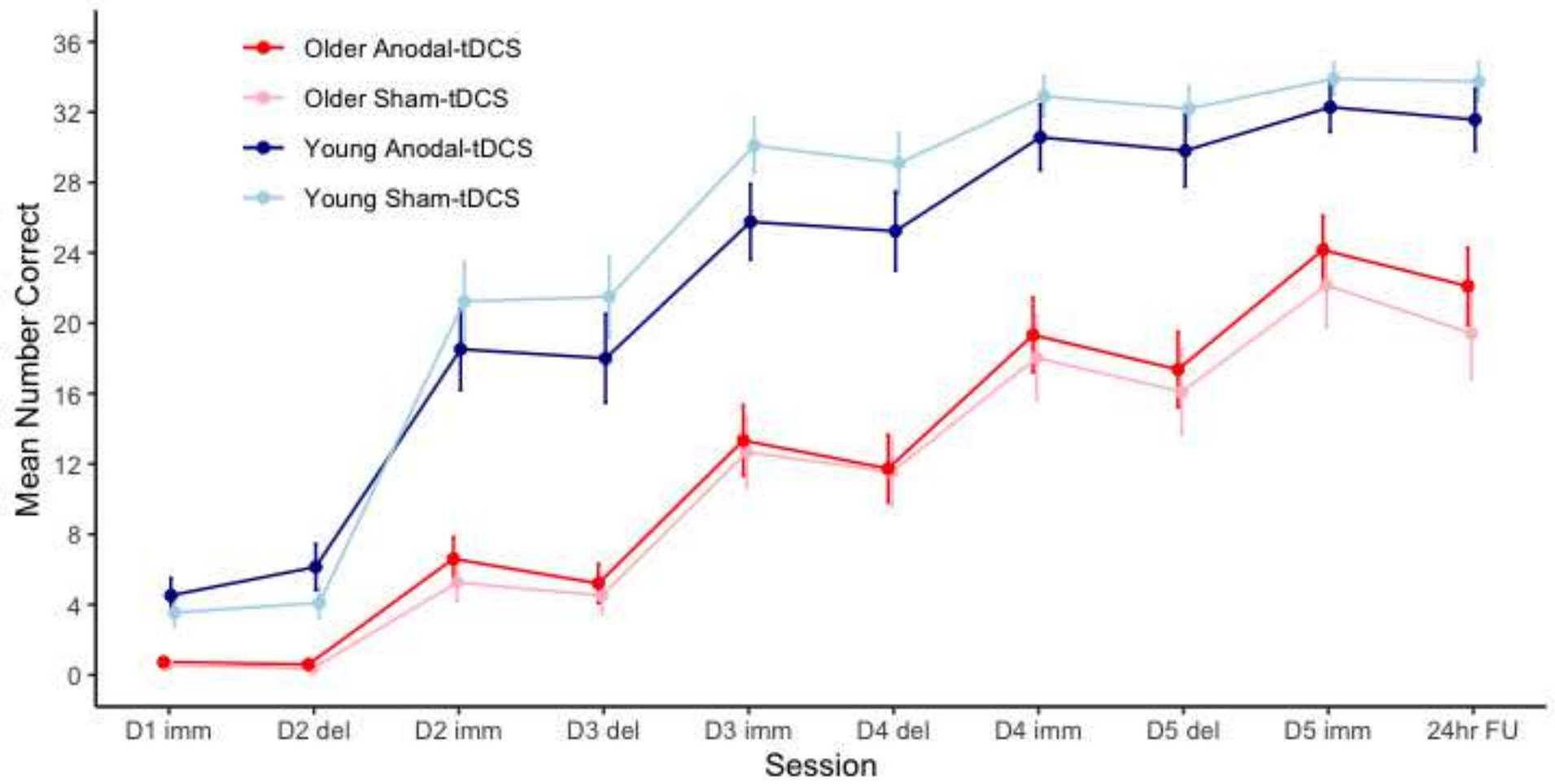
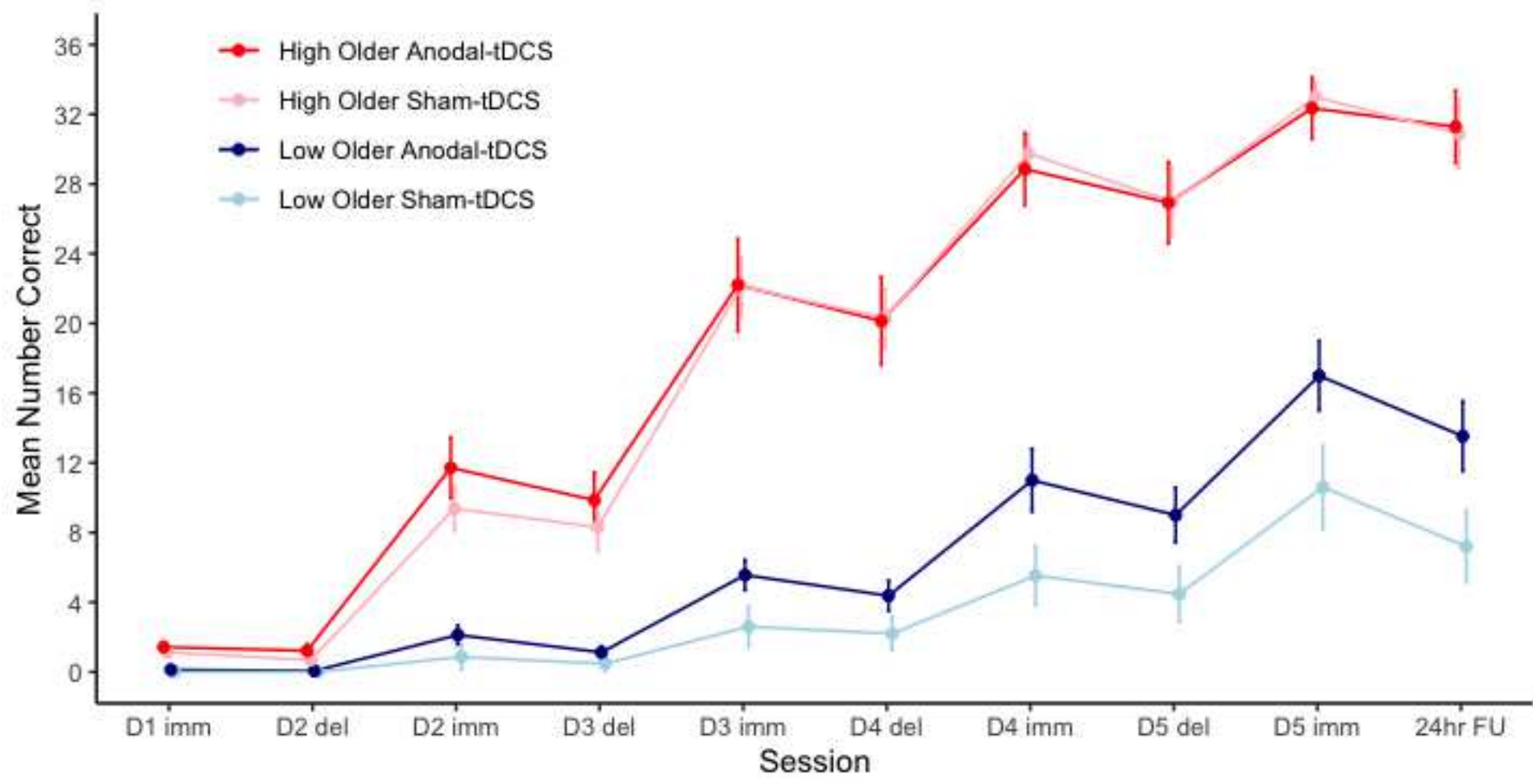
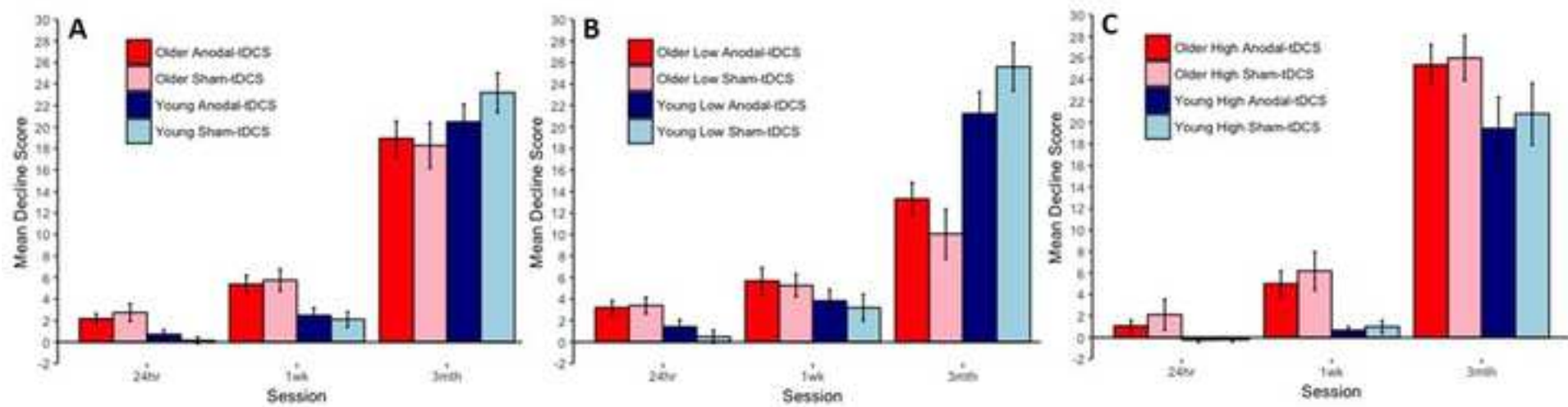
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Figure 3







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Table

Supplementary_Table_1_Perceval_Young_Group.docx





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Table

[Supplementary_Table_2_Perceval_Older_Group.docx](#)

