

1 ***Dunaliella* microalgae for nutritional protein, an undervalued asset**

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9 **Key words:**

10 novel food; microbial protein; single-cell protein; essential amino acids; protein shift

11 **Abstract:**

12  $\beta$ -carotene production with *Dunaliella* microalgae is established, yet their potential as protein  
13 source for food and feed applications seems overlooked. The rich protein content and  
14 nutritional tunability of *Dunaliella* make these algae intriguing sources of sustainable protein. It  
15 is of societal interest to exploit these promising proteinaceous *Dunaliella* traits.

16 ***Dunaliella* microalgae: Spotlighted  $\beta$ -carotene and undervalued protein**

17 Microalgae are recognized as promising sources for diversified applications including food, feed  
18 and high-value products [1]. Both science and industry have already focused their interests on  
19 *Dunaliella* microalgae, especially for their unique feature of hyper carotenogenesis, producing

20  $\beta$ -carotene as one of the first commercial high-value products from microalgae [2]. The large-  
21 scale production of  $\beta$ -carotene from *Dunaliella*, as  $\beta$ -carotene extract or dried biomass, started  
22 in the 1980s in Israel, Australia and USA, followed by other countries like India and China [2].  
23 *Dunaliella* has been classified by the U.S. Food and Drug Administration (FDA) as food sources  
24 with GRAS (Generally Regarded as Safe) status, and is mostly used for human and animal  
25 nutrition, food coloring and cosmetics due to its pro-vitamin and anti-oxidant functions [1].  
26 Among all commercial *Dunaliella* products, they are mostly extracts of  $\beta$ -carotene,  
27 accompanied by powders in capsules or tablets. When possible, the residual of biomass allows  
28 further usage as glycerol, protein, enzymes, fatty acids, vitamins etc.

29 Nevertheless, the societal challenge of food and protein scarcity are prompting a need for novel  
30 protein sources to sustain the population growth, and microalgae present a resource-efficient  
31 and ecological way of producing proteinaceous food and feed ingredients among proteins from  
32 other microbes such as bacteria and yeast [3,4]. In this regard, the potential of *Dunaliella*  
33 protein has been undervalued. From the 1950s until recently, although *Dunaliella* has been  
34 noted for its proteinaceous traits, only scattered studies have been carried out. Even in the  
35 established studies, patents and projects (e.g. European project D-Factory), *Dunaliella* protein  
36 mostly comes as a by-product used for e.g. animal feeding after  $\beta$ -carotene extraction. These  
37 facts leave *Dunaliella* protein rather poorly understood comparing with traditional microalgal  
38 protein producers such as *Chlorella* and *Spirulina*. Therefore, it is of great interest to revisit and  
39 further explore the potential usage of *Dunaliella* protein.

#### 40 ***Dunaliella* protein snapshot: Limitations of single-point analyses**

41 The typical way to analyze protein in *Dunaliella* biomass is to assess its protein content and  
42 protein composition from snapshot approaches, which are performed under specific conditions  
43 and for specific growth phases (mostly in the stationary phase under standard cultivation  
44 conditions) [4]. These approaches have shown that the dried biomass of *Dunaliella* consists of  
45 50-80% protein [3,4]. More notably, the essential amino acid (EAA) composition of *Dunaliella*,  
46 as indicated by essential amino acid index (EAAI), can reach superior protein quality for human  
47 requirement following reference level set by Food and Agriculture Organization (FAO) [5] (Box  
48 1). Compared with soybean and other protein-rich microalgae genera such as *Spirulina* and  
49 *Chlorella*, *Dunaliella* also shows either equal or better quantity and quality of proteins [3].  
50 Differently from most other microalgae, *Dunaliella* microalgae lack rigid cellulosic cell wall, so  
51 they are more easily digested by both humans and animals. Although the protein profile of  
52 *Dunaliella* seems favorable, these snapshot approaches provide incomplete information and  
53 neglect the potential variabilities introduced by external cultivation conditions.

#### 54 ***Dunaliella* protein tunability: Exploring the spectrum**

55 The synthesis of biomass in microalgae strongly depends on nutrient level, salinity, pH, growth  
56 phase, and other conditions. Accordingly, studying the tunability of protein quantity and quality  
57 in *Dunaliella* can largely broaden the spectrum of snapshot analyses. For instance, nitrogen (N)  
58 is an essential element composing protein and amino acids (AA), so its availability can directly  
59 affect protein dynamics. High N availability can result in more protein accumulation in  
60 *Dunaliella*, provided that other nutrients are neither limiting nor overabundant [6]. Due to its  
61 halophilic characteristics, salinity also plays an important role. Around 10% sodium chloride

62 (NaCl) concentration has been reported to be optimal for both cell growth and protein quantity  
63 [4,7]. Although pH level can be influential as well, it is mostly species dependent, with optima  
64 ranging from pH 7.5 to pH 8.5 for maximum cell growth and protein quantity [4,7]. Higher light  
65 intensity and longer illumination period seem to result in higher protein accumulation in  
66 *Dunaliella*, yet they can also lower the light usage efficiency by microalgae, resulting in lower  
67 protein yield [5,7,8]. Lastly, the growth phase of microalgae can affect the protein dynamics  
68 significantly [4,5]. The exponential phase implies the generation of new cells, which is  
69 accompanied by increased protein synthesis for cell reproduction [4,5]. The stationary phase,  
70 however, implies a limiting condition which translates into slower cell growth and N  
71 assimilation rates, resulting in lower protein quantity [4,5]. It is therefore common to find an  
72 increase-decrease pattern of protein level throughout the growth phases [4,5].

73 There exist large unidentified areas preventing an in-depth understanding on how AA dynamics  
74 influence protein quality in *Dunaliella*. Only recently, one study demonstrated that EAA levels in  
75 *Dunaliella* increase from the exponential towards the stationary phase, despite the increase-  
76 decrease pattern of protein quantity [5]. Another study shows a clear benefit of N limitation to  
77 boost EAA production in *Dunaliella* despite reduced protein quantity [8]. Both studies indicate  
78 the superior quality of *Dunaliella* protein by its essential amino acid index (EAAI) as high as 1.53  
79 (Box 1). These studies show that further insights into dynamics of both protein quantity and  
80 quality in *Dunaliella* are required to fully exploit its potential as a protein source.

## 81 ***Dunaliella* protein in food and feed applications**

82 From the 1980s until recently, very few studies were published on *Dunaliella* microalgae for  
83 human and animal nutrition. The most pronounced benefits of food products containing dried  
84 *Dunaliella* biomass, such as bread and pasta, is their enhanced nutritional properties, such as  
85 increased proteins and minerals, and improved rheological properties [9,10]. Moreover,  
86 *Dunaliella* biomass is better digested by rats (to mimic human digestion) compared with other  
87 microalgae and casein, validating its “without rigid cell wall” advantage [11]. In husbandry, the  
88 ovarian activity of goats is also improved when fed with *Dunaliella*-supplemented feed,  
89 hastening the follicular development [12]. In aquaculture, living *Dunaliella* cells are mostly used  
90 as feed ingredients, and sea urchins can efficiently incorporate *Dunaliella* protein into their  
91 larvae [13]. These examples show that studies on the practical applications of *Dunaliella* protein  
92 are indeed very scattered. Nevertheless, they provide positive prospects for favorable usage in  
93 food and feed applications.

## 94 **Future outlooks and concluding remarks**

95 Single-product exploitation has been the primary focus of microalgal research for decades, even  
96 though the remaining biomass after extraction of the main product is still ripe for further  
97 valorization [14]. Some microalgae such as *Chlorella* and *Spirulina* producing lower-value  
98 products e.g. protein and lipid, are also finding their higher-value paths towards pigments and  
99 fatty acids including phycocyanin and linoleic acids. Nevertheless, these exclusive concepts  
100 focusing on single product seems less beneficial comparing with a more inclusive strategy: co-  
101 production of nutritional compounds in microalgae [14]. As for *Dunaliella*, an emphasis on its  
102 protein should not exclude its interesting  $\beta$ -carotene characteristic. Consequently, a new

103 approach to co-produce multiple products of interest (e.g. high quality protein and  $\beta$ -carotene  
104 together) in *Dunaliella* biomass may be more advantageous [8]. Similarly, a co-production of  
105 some functional proteins can further improve the quality of *Dunaliella* biomass. This biomass  
106 can be subsequently used in several applications, bringing proteins with high quality and  
107 digestibility, carotenoids with coloring, antioxidant and immune-stimulating functions together,  
108 such as poultry feed, pet food, ornamental fish feed and ornamental bird feed [15] (Figure 1).  
109 This approach could lead to win-win scenarios where microalgae production efficiency is  
110 improved, resulting in products with higher values, and the relatively high production costs of  
111 microalgae can be reduced.

112 The proteinaceous traits of *Dunaliella* present considerable potential for food and feed  
113 applications. Nonetheless, the high-value  $\beta$ -carotene production from *Dunaliella* has largely  
114 overshadowed its potential as a protein source. It is societally timely to focus on exploiting this  
115 digestible high-quality protein, advance its co-production with  $\beta$ -carotene, and nutritionally  
116 demonstrate the added value of the optimized products in multiple feed and food applications.  
117 Furthermore, as concerned in all microalgal production and biorefinery domains, there still  
118 exists various challenges on technological and social-economic aspects, encouraging  
119 contributions from future endeavors.

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125 **Box 1. Essential amino acid index (EAAI) determines the protein quality for human food**

126 Essential amino acids (EAA) cannot be synthesized by the human body, so humans rely on  
127 external food supplies to provide them. EAAI scores can indicate the quality of a protein source  
128 by comparing the ratios of EAA in the protein source to those required by the human body. The  
129 following equation is used to calculate the EAAI score:

130 
$$AAI = \sqrt[n]{\frac{aa_1}{AA_1} \times \frac{aa_2}{AA_2} \times \dots \times \frac{aa_n}{AA_n}}$$

131 where  $aa_n$  and  $AA_n$  are the EAA content over total protein (mg EAA/g protein) in the sample and  
132 the FAO/WHO reference for human requirement, respectively [5]. EAAI values of  $\geq 1$ , 0.95-1,  
133 0.86-0.95, 0.75-0.86 and  $\leq 0.75$  correspond to superior, high, good, useful and inadequate  
134 proteins quality, respectively [5]. For instance, based on the EAA composition of egg and  
135 soybean, their EAAI scores are 1.65 and 1.34, respectively [3,5].

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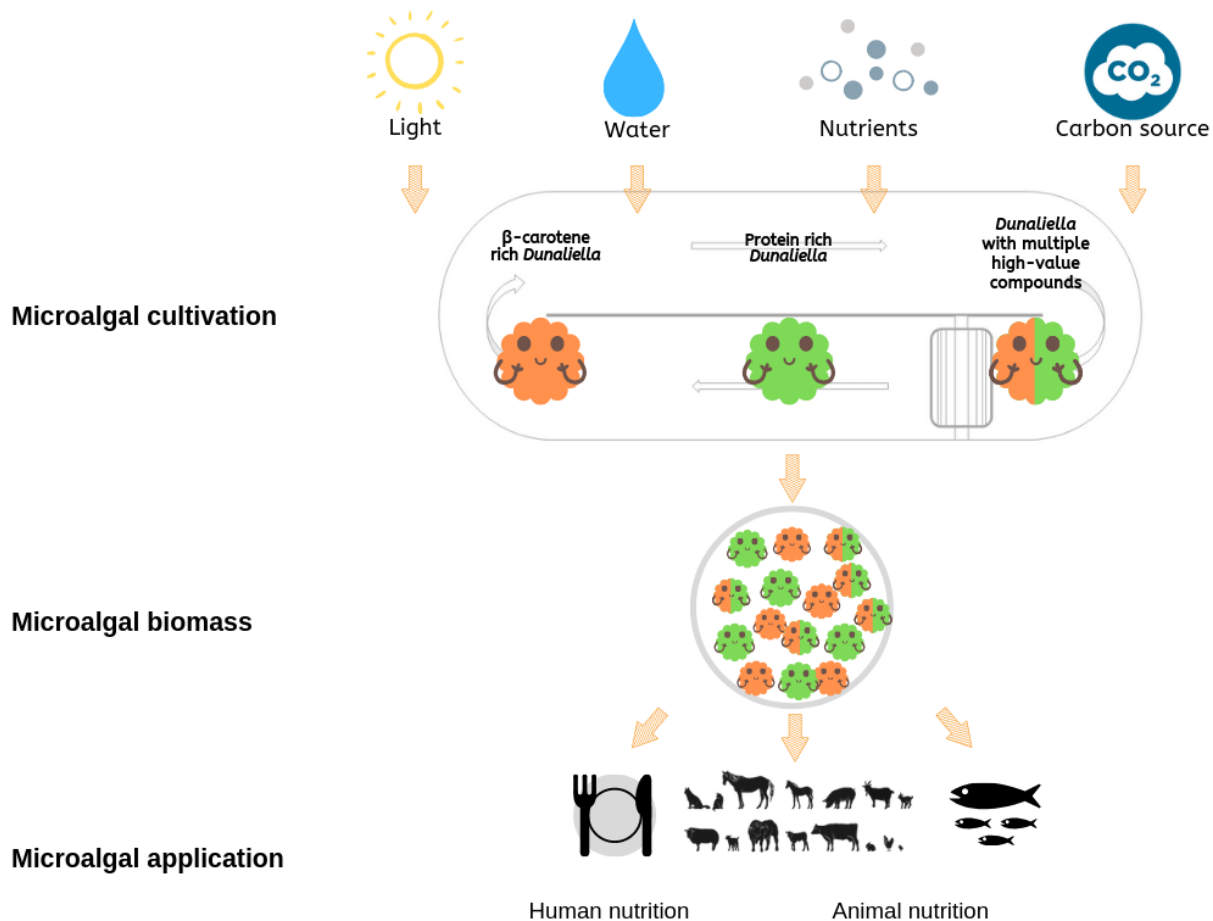
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173 **Figure 1. Production scheme of *Dunaliella* microalgae for food and feed applications.** The  
 174 scheme demonstrates the main steps of producing *Dunaliella* biomass: 1) Microalgal  
 175 cultivation, which requires light, water, nutrients and carbon source to synthesis *Dunaliella*  
 176 biomass. Depending on the cultivation conditions, *Dunaliella* biomass can be  $\beta$ -carotene rich,  
 177 protein rich or with multiple high-value compounds. 2) Microalgal biomass, which can be  
 178 obtained from harvesting step, obtaining either dried, or pasty *Dunaliella* biomass. 3)  
 179 Microalgal application, which is the final step to valorize the nutritional values of *Dunaliella*  
 180 biomass in food and feed applications, enhancing human and animal nutrition.

181