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Does the implicit outcomes expectancies shape learning and memory processes?

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## Abstract

Does the explicit or implicit knowledge about the consequences of our choices shape learning and memory processes? This seems to be the case according to previous studies demonstrating improvements in learning and retention of symbolic relations and in visuospatial recognition memory when each correct choice is reinforced with its own unique and explicit outcome (the differential outcomes procedure, DOP). In the present study, we aim to extend these findings by exploring the impact of the DOP under conditions of non-conscious processing. To test for this, both the outcomes (Experiment 1A) and the sample stimuli (Experiment 1B) were presented under subliminal (non-conscious) and supraliminal conditions in a delayed visual recognition memory task. Results from both experiments showed a better visual recognition memory when participants were trained with the DOP regardless the awareness of the outcomes or even of the stimuli used for training. To our knowledge, this is the first demonstration that the DOP can be effective under unconscious conditions. This finding is discussed in the light of the two-memory systems model developed by Savage and colleagues to explain the beneficial effects observed on learning and memory when differential outcomes are applied.

**Keywords:** implicit processes, differential outcomes procedure, visual recognition memory

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32 We are continually making choices throughout our lives, choices that are usually  
33 followed by different consequences. For example, when crossing the road, the green  
34 light coincides with cars stopping allowing you to cross the road safely; on the contrary,  
35 the red light could be paired with cars passing, making road crossing a riskier option. In  
36 such situations, could the explicit or implicit knowledge of the consequences of our  
37 choices shape the way we learn and memorize information about them? This is a crucial  
38 question that has been indirectly and only partially addressed by research investigating  
39 the effect of administering differential (or specific) outcomes versus non-differential (or  
40 random) outcomes in discriminative learning tasks, and, more recently, in working  
41 memory.

42 The simple manipulation of administering differential outcomes, pairing a unique  
43 outcome with each target stimulus or each correct stimulus-response sequence, is  
44 known as the differential outcomes procedure (DOP). To better understand this, let us  
45 consider a group of participants having to perform a delayed facial recognition task.  
46 That is, they have to remember faces that they have just seen (e.g., a man with a black  
47 beard, and a man with red hair and a moustache) and respond after a delay by selecting  
48 them among a group of distractor faces. When the DOP is applied, the correct  
49 recognition of each face is followed by a specific outcome. For example, participants  
50 only get the feedback “well done” when they correctly identify the face of the man with  
51 a black beard. Next, if the face is now the man with red hair and a moustache, the  
52 phrase “fantastic” will appear exclusively paired with it. By contrast, under the non-  
53 differential outcomes condition (NOP) there is not a predetermined and specific  
54 association between the faces and the outcomes. Therefore, participants receive a  
55 random phrase (e.g. “well done” or “fantastic”) following their correct responses.

56 Previous studies have demonstrated that the DOP is effective in optimizing  
57 discriminative learning and visuospatial recognition memory in healthy people (e.g.,  
58 Easton, 2004; Esteban, Vivas, Fuentes, & Estévez, 2015; Estévez et al., 2007; López-  
59 Crespo, Plaza, Fuentes, & Estévez, 2009; Martínez, Estévez, Fuentes, & Overmier,  
60 2009; Miller, Waugh, & Chambers, 2002; Mok & Overmier, 2007; Molina, Plaza,  
61 Fuentes, & Estévez, 2015; Plaza, Estévez, López-Crespo, & Fuentes, 2011; Plaza,  
62 Molina, Fuentes, & Estévez, 2018). The DOP also helps to improve the same cognitive  
63 processes in populations with neurocognitive deficits (e.g., Carmona, Vivas, & Estévez,  
64 2019; Esteban, Plaza, López-Crespo, Vivas, & Estévez, 2014; Estévez, Fuentes,  
65 Overmier, & González, 2003; Hochhalter, Sweeney, Bakke, Holub, & Overmier, 2000;  
66 Joseph, Overmier, & Thompson, 1997; Martínez et al., 2012; Plaza, López-Crespo,  
67 Antúnez, Fuentes, & Estévez, 2012). Taken together, these findings indicate that the  
68 DOP is a very promising, economic, and effective technique; which can be applied in  
69 diverse settings, such as schools and mental health clinics.

70         It is worth noting that in all the aforementioned studies, the target stimuli as well  
71 as the outcomes were supraliminally presented thus allowing its explicit processing.  
72 Accordingly, when participants assigned to the DOP condition have been asked which  
73 outcome was paired with each discriminative stimulus following the training, they have  
74 responded correctly (see Maki, Overmier, Delos, & Gutman, 1995). Thus, although the  
75 main goal of these studies has been specifically to explore the potential benefits of the  
76 DOP on learning and memory in different populations, it could be said that, based on  
77 their procedures, both processes are affected by the explicit or *conscious* knowledge of  
78 the outcomes. However, no studies have addressed whether the *unconscious* knowledge  
79 of the consequences of our choices would equally influence learning and memory. If so,

80 this finding would have relevant applied implications with strong significance for  
81 current theories.

82         To our knowledge, very little research has been done on the cognitive and neural  
83 mechanisms underlying the DOP, particularly in humans. The most accepted  
84 explanation with the strongest empirical support is the one proposed by Savage and  
85 colleagues (e.g., Savage, Pitking, & Careri, 1999; Savage, 2001; Savage, & Ramos,  
86 2009) based on animal research. This theory, the two-memory systems model, suggests  
87 that there are two different memory systems: (i) prospective, activated when the DOP is  
88 applied; and (ii) retrospective, activated when the outcomes are not specific of the  
89 associations to be learned or of the target stimuli (the NOP condition). Continuing with  
90 the previous example, an implicit association between the target stimulus (e.g., a man  
91 with a black beard) and its unique outcome (e.g., the phrase “well done”) is established  
92 under the DOP condition. A Pavlovian association like this is responsible for creating  
93 unique reward expectancies (or implicit-prospective memory representations of the  
94 forthcoming outcome). This prospective memory system is largely implicit and has  
95 been linked to the functioning of glutamatergic pathways by Savage and colleagues.  
96 After several training trials, the presentation of the target stimulus automatically  
97 activates the expectancy of its unique outcome. This expectancy (or Pavlovian  
98 conditioned anticipatory state) has discriminative or functional stimulus-like properties  
99 and, therefore, can be used to guide the selection of the correct response independent of  
100 target stimulus information (e.g., Overmier, Savage, & Sweeney, 1999; Savage,  
101 Buzzetti, & Ramirez, 2004). Noteworthy, expectancies are also functionally different  
102 than remembering a past event. For instance, they are more persistent than retrospective  
103 memories (e.g., Overmier, Savage, & Sweeney, 1999) and are unaffected by  
104 hippocampal lesions (e.g., Savage et al., 2004). A theoretical assumption of the two-

105 memory systems model is that the Pavlovian-induced expectancy of the forthcoming  
106 outcome is maintained throughout the delay interval in delayed matching-to-sample  
107 tasks. In other words, the unique expectancy of the phrase “well done” facilitates the  
108 subsequent recognition of the face of a man with a black beard after the delay, without a  
109 representation of such stimulus being activated and maintained in working memory. By  
110 contrast, when the NOP is applied, there is no specific information available about the  
111 forthcoming outcomes so participants would have to remember the target stimulus they  
112 have just seen (e.g., the face of a man with a black beard) during the delay to correctly  
113 solve the task. This process would require a retrospective memory system associated  
114 with the hippocampus that is dependent on Acetylcholine.

115         There has been only one study exploring the basic mechanisms underlying the  
116 DOP in humans using functional magnetic resonance imaging (fMRI), and the results  
117 seem to support the two memory systems model. Mok, Thomas, Lungu, and Overmier  
118 (2009), using a delayed matching-to-sample task with young adults, observed that  
119 separate brain regions are recruited when differential or non-differential outcomes are  
120 used. Namely, when DOP was used, the lateral posterior parietal cortex, and more  
121 specifically the angular gyrus, was activated during the blank delay between the offset  
122 of the sample stimulus and the onset of the choice stimuli. By contrast, when the NOP  
123 was applied, greater hippocampal (medial temporal lobe) activation was observed.  
124 Furthermore, in the DOP condition, areas specific to the sensorial processing of the  
125 outcome (auditory vs. visual), were also activated during this delay. These findings  
126 were used to suggest that the expectation of an outcome, elicited by the sample  
127 stimulus, may indeed be represented in prospective memory. In an extension of this  
128 study, Mok (2012) argued that short-term retrospective (NOP) and prospective (DOP)  
129 memory processes (i) are mediated by two different subsets of the default brain network

130 (the medial temporal lobe would be involved in monitoring what has just happened –the  
131 cue or sample stimulus- whereas the lateral parietal lobe would be implicated in  
132 prospective processing of what is forthcoming –the outcome-) and (ii) might be  
133 spontaneously engaged not requiring a deliberate and effortful activation.

134         Despite current support to the idea that the DOP stimulates implicit memory  
135 systems, and thus is largely unaffected by consciousness and explicit expectations, this  
136 aspect has remained a theoretical assumption and has never been tested. The present  
137 study will provide first evidence on the role of awareness in the DOP with important  
138 implications for theoretical models and its applications in humans. To do so, both the  
139 outcomes (Experiment 1A) and the sample stimuli (Experiment 1B) will be presented  
140 under subliminal (non-conscious) and supraliminal (conscious) conditions in a delayed  
141 visual recognition memory task. Subliminal presentation aims to eliminate the  
142 subjective visibility of the stimuli by masking and displaying them for a few  
143 milliseconds (e.g., Breitmeyer & Ogmen, 2006). The provided information is therefore  
144 inaccessible to consciousness and it cannot be reported (Dehaene, Changeux, Naccache,  
145 Sackur, & Sergent, 2006), although their processing still can be boosted by increasing  
146 attention to them (Dehaene et al., 2006). By contrast, supraliminal presentation allows  
147 the subjective visibility of the stimuli and its access to consciousness. According to the  
148 two-memory systems theory, we should observe the beneficial effect of applying the  
149 DOP under conditions of non-conscious processing, since (i) the association established  
150 between the sample stimulus and the specific outcome is formed via an implicit process  
151 (Pavlovian associations) and (ii) the activation and maintenance of these reward  
152 expectancies also depends on an implicit prospective memory system. Thus, we should  
153 observe a similar magnitude of the DOP effect under subliminal or supraliminal  
154 presentations of either the cue stimulus or the outcome.





180 interactions (between-subjects factors). With an alpha = .05 and power = .80, the  
181 analysis revealed that thirty-six participants were required to detect a small-medium  
182 effect size ( $d=0.44$ ). The effect size expected is based on previous studies concerning to  
183 the DOP in healthy adults (e.g., Plaza et al., 2018).

184 Forty-four participants (ranging in age from 18 to 38 years,  $M = 20.9$ ,  $SD = 4.9$ )  
185 and forty-six participants (ranging in age from 18 to 36 years,  $M = 20.8$ ,  $SD = 3.2$ )  
186 volunteered in experiments 1A and 1B, respectively. These opportunistic samples  
187 included 10 males and 34 females (Experiment 1A) and 14 males and 32 females  
188 (Experiment 1B). Written informed consent was obtained from all participants. The  
189 study was approved by the University of Almería Human Research Ethics Committee  
190 and was conducted in accordance with the Declaration of Helsinki. Participants reported  
191 normal or corrected-to-normal vision and were naïve with respect to the purposes of the  
192 experiment. They received extra course credit for their participation and the chance to  
193 win one of the prizes that were raffled off at the end of the study.

194 **Setting and materials.** The stimuli were displayed on a black background on a  
195 colour monitor (15-inch VGA monitor) of an IBM-compatible computer. The E-prime  
196 software (Psychology Software Tools Inc., 2012) controlled the stimulus presentation as  
197 well as the collection of the participant's responses (latency and accuracy data).  
198 Participants were tested individually in quiet rooms with identical sound and lighting  
199 conditions.

200 The stimuli were six white circular shapes with shaded sectors (see Figure 1  
201 depicting the stimulus sequence) designed by one of the authors (I.C.) with the  
202 AutoCAD software (Autodesk, 2010). Four of them were presented as initial cue stimuli  
203 and the rest as comparison stimuli. The size of the shapes was  $3^\circ \times 3^\circ$  of visual angle  
204 and could be displayed either individually at the centre of the screen (sample stimulus),

205 or in a  $2 \times 3$  grid (comparison stimuli). Four reinforcers (a pen drive, a five-euro bill, a  
 206 key ring or a set of four pens) were used in the experiment and they were raffled off at  
 207 the end of the study. Pictures of these prizes were used as outcomes. They appeared at  
 208 the center of the screen along with both a congratulation phrase (“very well”, “well  
 209 done”, “congratulations” or “very good”) and the phrase “you may win a” followed by  
 210 the name of a reinforcer, after a correct choice. The phrases were in Courier New, size  
 211 12 and in white colour.

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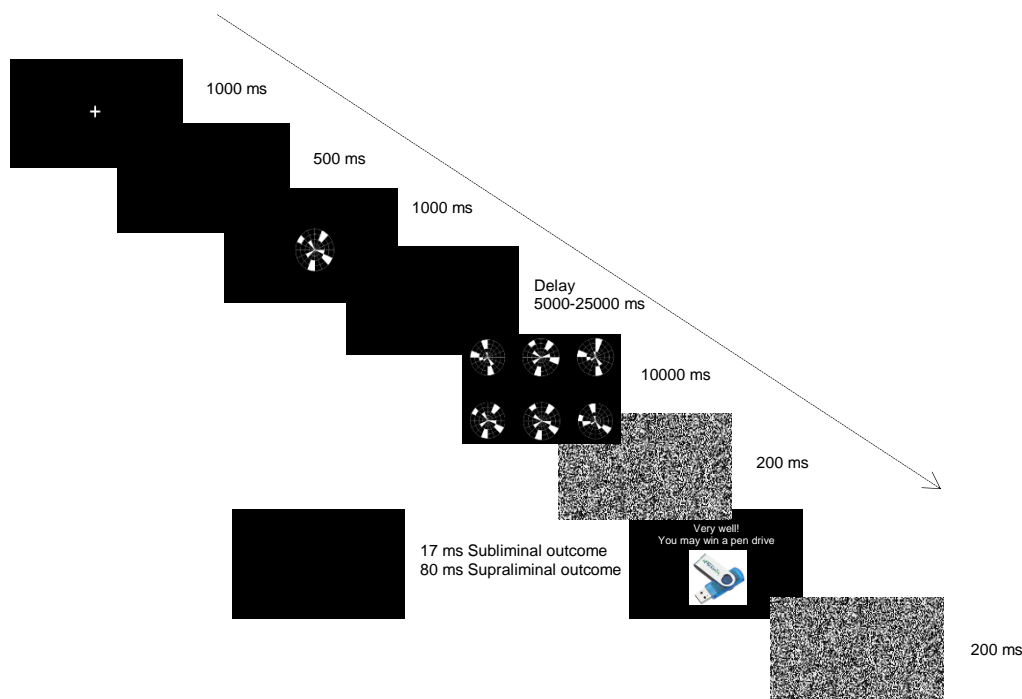
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**Figure 1.** Stimulus sequence (from left to right) used in Experiment 1A.

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### Procedure

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As a first step, we conducted two pilot studies to make sure that participants were not able to perceive the stimuli consciously. In the first one (N=62) we tested the following parameters: (i) stimulus presentation time (17 ms, 33 ms, 50 ms, 67 ms or 80 ms); (ii) pattern mask presentation time (100 ms or 200 ms), and (iii) type of pattern mask (simple or double). The studies showed that when the target stimuli, or the

230 outcomes, were displayed for 17 ms, with a double pattern mask (before and after the  
231 stimulus) during 200 ms, all participants informed that they had seen no stimulus. With  
232 33 or 50 ms and the same type of mask, most of the participants reported that they had  
233 seen some of them. Finally, when the stimuli appeared for 67 or 80 ms, all participants  
234 reported full conscious processing. In the second pilot study, we designed a decision  
235 task following the stimulus parameters. Eight circular sample stimuli and eight square  
236 sample stimuli were presented subliminally during 17 ms, with two pattern masks  
237 appearing before and after each of them for 200 ms. Each stimulus appeared twice, so  
238 the total number of trials was 32. For each trial, participants (N= 42) had to decide  
239 whether they had seen a circular or a square shape by pressing the “1” or “2” keys on  
240 the keyboard. Participants knew in advance that there was the same number of circular  
241 and square shapes. The results revealed a performance at chance for all participants  
242 demonstrating no indication of conscious processing of the stimuli.

243         For the final experiments, participants performed a delayed matching to sample  
244 task (DMTS). As in previous studies (e.g., López-Crespo et al., 2009; Plaza et al.,  
245 2012), a variable delay of 5 and 25 seconds was interposed between the offset of the  
246 sample stimulus and the onset of the comparison stimuli in both experiments. The task  
247 lasted approximately 20 minutes.

248         In Experiment 1A, each participant received the same verbal instructions, also  
249 written on the screen: “First, a central fixation point will appear. Then, it will be  
250 replaced by a circular shape presented for a short time. You must pay attention because,  
251 after a variable delay, you will have to identify the shape that you have just seen out of  
252 six different options by clicking on it with the mouse. When you are ready, please press  
253 the space bar to begin”. In addition, all of them were informed that (i) a masked  
254 outcome would appear after their responses (see Figure 1), (ii) even when they could

255 not to see it, the outcome for the correct responses included a picture of one of four  
256 prizes along with both a congratulation phrase and the phrase ‘You may win a (the  
257 name of the specific prize)’ whereas incorrect choices would be followed by a blank  
258 screen; (ii) the four prizes would be raffled off at the end of the study; and, (iii) the  
259 more accurate their responses were, the more tickets they would win for the raffle with  
260 higher chances of winning one of the prizes. Finally, participants were also asked to  
261 choose one of the comparison shapes as quickly as possible.

262         Each trial began with a fixation cross presented for 1000 ms (see Figure 1). After  
263 a blank brief period of 500 ms, a visual sample stimulus was displayed for 1000 ms  
264 followed by a variable delay of 5000 ms or 25000 ms with a blank screen. Then, six  
265 comparison stimuli (the sample stimulus plus five distractor shapes) appeared and  
266 remained on the screen until the participants responded by clicking with the left mouse  
267 button on one of the shapes, or 10 seconds were elapsed, whichever occurred first. The  
268 position of the correct sample stimulus among the comparison stimuli was  
269 counterbalanced. When the response was correct, the specific outcome was presented  
270 during 17 ms (subliminal condition) or 80 ms (supraliminal condition), right in between  
271 two masked patterns that appeared for 200 ms before and after the outcome. When the  
272 response was incorrect, the screen remained blank during the same time used for the  
273 outcome presentation (17 or 80 ms). The trial was also scored as incorrect if the  
274 participant did not emit any response in 10 s.

275         Participants were randomly assigned to one of the two experimental outcomes  
276 conditions, differential (DOP;  $N = 21$ ) and non-differential (NOP;  $N = 23$ ). In the DOP  
277 condition, each to-be-remembered stimulus was associated with one specific outcome  
278 so that the correct response to a particular stimulus was always followed by its own  
279 consequence. In the NOP condition, each correct response was followed by the random

280 presentation of one of four possible outcomes. For 26 participants (12 in the DOP and  
281 14 in the NOP condition), outcomes were presented subliminally, being supraliminal for  
282 the remaining participants (N=18; 9 in the DOP and 9 in the NOP condition). All of  
283 them performed four practice trials followed by 72 training trials, grouped in six blocks  
284 of 12 trials each. The order of the blocks and the position of the correct comparison  
285 stimulus on the screen were counterbalanced across participants. At the end of the  
286 experiment, each participant had to report whether they had perceived any shape in the  
287 masked outcome screen or not. They were not told that they would be tested later. Two  
288 participants, one in the NOP condition and one in the DOP condition, reported they had  
289 perceived an image. Although none of them knew the identity of the outcome, their data  
290 were not included in the statistical analysis.

291         In Experiment 1B, the procedure was similar to that used in the Experiment 1A  
292 with a few changes: i) The sample stimulus, instead of the outcome, was presented  
293 either subliminally (17 ms) or supraliminally (80 ms), interposed between two masked  
294 patterns that appeared for 200 ms (before and after the sample stimulus). ii) The number  
295 of sample stimuli and reinforcers was reduced from four to two. Previous pilot tests  
296 conducted in our lab revealed that when the sample stimulus was presented subliminally  
297 (instead of the outcomes), the task difficult substantially increased with participants  
298 performing close to chance. Therefore, we reduced the number of the sample stimuli to  
299 make the task easier. iii) Instructions were modified so that participants were asked to  
300 choose one comparison shape as quickly as possible, even if they had not seen any  
301 shape before the presentation of the choice stimulus. iv) Participants were also informed  
302 that when their responses were correct, they would see a picture of a prize along with  
303 both a congratulation phrase and the phrase ‘You may win a (the name of that specific  
304 prize)’; by contrast, the screen would remain blank for several seconds after their

305 incorrect responses. v) The outcomes were displayed on screen for 1500 ms after the  
306 correct responses.

307 As in Experiment 1A, participants were randomly assigned to one of the two  
308 experimental outcomes conditions, differential (DOP; N = 24) and non-differential  
309 (NOP; N = 22). For 26 participants (14 in the DOP and 12 in the NOP condition), the  
310 sample stimuli were presented subliminally; their presentation was supraliminal for the  
311 remaining participants (N=20; 10 in the DOP and 10 in the NOP condition).

312 At the end of the experiment, as in the Experiment 1A, participants had to report  
313 whether they had noticed any shape in the masked sample stimulus screen or not. None  
314 of them reported having perceived an image.

### 315 **Statistical analysis**

316 Percentages of correct responses and median correct response times for each  
317 participant were submitted to a 2 x 2 x 2 mixed ANOVA with Outcomes (DOP and  
318 NOP) and Type of presentation (subliminal and supraliminal) as the between-  
319 participants factors and Delay (5s and 25 s) as the within-participants factor. The  
320 statistical significance level was set at  $p \leq .05$ . Normality of data was checked using  
321 Kolmogorov-Smirnov test, and homogeneity of variance was tested using Levene's test.  
322 Results showed the normal distribution of data and the homogeneity of variance in all  
323 variables.

### 324 **Results**

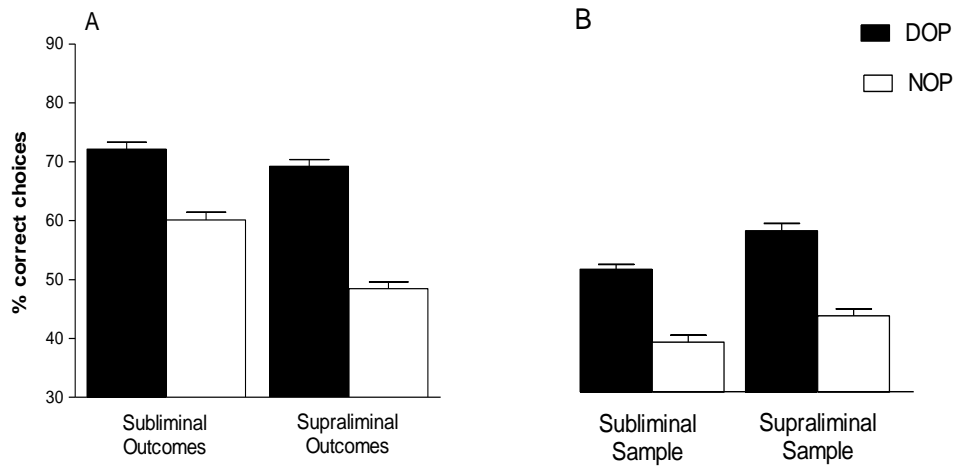
325 **Accuracy data.** In Experiment 1A, the results showed that participants were more  
326 accurate in the DOP (71% correct responses) than in the NOP condition (54% correct  
327 responses), [main effect of Outcomes,  $F(1,40)=15.11$ ,  $p<0.001$ ,  $\eta_p^2=0.27$ ]. The  
328 comparison between the subliminal and non-subliminal conditions did not show  
329 statistically significant differences [ $F(1,40)=2.99$ ,  $p=0.091$ ,  $\eta_p^2=.07$ ] (see Figure 2,

330 panel A). For theoretical reasons, despite the Outcomes x Type of presentation  
331 interaction not reaching significance [ $F(1,40)=1.10$ ,  $p=0.30$ ,  $\eta_p^2=.02$ ], we nevertheless  
332 tested whether the DOP showed the expected benefits in the subliminal group. The  
333 results revealed that accuracy was better in the DOP condition (72% correct responses)  
334 than in the NOP condition (60% correct responses), [main effect of Outcomes,  $F$   
335  $(1,24)=5.36$ ,  $p=0.029$ ,  $\eta_p^2=0.18$ ]. Similarly, in the supraliminal group, accuracy was  
336 better in the DOP condition (69% correct responses) than in the NOP condition (48%  
337 correct responses) [main effect of Outcomes,  $F(1,16)=9.17$ ,  $p=0.008$ ,  $\eta_p^2=0.36$ ]. No  
338 main effect of Delay was found [ $F(1,40)=3.36$ ,  $p=0.08$ ,  $\eta_p^2=0.07$ ]. No other variables  
339 nor interactions reached significance. ( $ps>0.05$ ).

340 As mentioned earlier, the benefits of the DOP did not change depending on the  
341 type of presentation, but the mean accuracy data showed that these benefits were nearly  
342 twice as large in the supraliminal as in the subliminal condition. Subsequently we tested  
343 the equality of these outcomes conditions between the subliminal and supraliminal  
344 groups. The estimated Bayes factors ( $BF_{01}$ ) suggested that the differences in masking  
345 for the DOP group were 3:1 times in favour of the Null Hypothesis, providing  
346 substantial evidence for the equality of the group means (Jarosz & Wiley, 2014). In the  
347 NOP group, there were no signs of improvement of learning due to consciousness with  
348 even a 0.7:1 tendency (albeit very weak) in favour of an unexpected alternative  
349 hypothesis that would see an increase in accuracy in subliminal rather than in the  
350 supraliminal group (See Figure 2).

351





352

353 **Figure 2.** Mean percentage of correct responses obtained by participants in experiments  
 354 1A (panel A) and 1B (panel B) as a function of Outcomes (differential –DOP- vs. non-  
 355 differential –NOP-) and Type of presentation (subliminal vs. supraliminal). Error bars  
 356 represent the standard deviations.

357 In Experiment 1B, the analysis of the correct responses also revealed that those  
 358 participants assigned to the DOP condition performed the task better than those who  
 359 received non-differential outcomes after their correct responses (53% and 40% accuracy  
 360 for the DOP and NOP conditions, respectively) [main effect of Outcomes  
 361  $F(1,42)=14.64, p<0.001, \eta_p^2=0.26$ ]. As in the previous experiment, there were not  
 362 differences between both types of presentation (subliminal vs. supraliminal; 44% vs.  
 363 49% correct responses for both conditions), [ $F(1,42)=2.45, p=0.13, \eta_p^2=0.06$ ] (see  
 364 Figure 2, panel B). Similarly to Experiment 1, for theoretical reasons we tested whether  
 365 the DOP showed the expected benefits in the subliminal group (50% and 38% correct  
 366 responses in the DOP and NOP conditions, respectively) [ $F(1,24)=8.62, p=0.007, \eta_p^2$   
 367  $=0.26$ ]. The same effect was found when analysing data from the supraliminal group  
 368 (56% and 42% correct responses in the DOP and NOP conditions, respectively) [F

369 (1,24)=6.13,  $p=0.02$ ,  $\eta_p^2=0.254$ ]. No main effect of Delay was found [ $F(1,42)=3.36$ ,  
 370  $p=0.07$ ,  $\eta_p^2=0.07$ ], nor any interaction between the three main factors ( $ps>0.05$ ).  
 371 Finally, the estimated Bayes factors ( $BF_{01}$ ) suggested that the effect of the type of  
 372 presentation was in favour of the null hypothesis 3:1 times for the NOP group and 2:1  
 373 for the DOP group confirming the absence of an impact due to consciousness on the  
 374 different types of outcomes.

375 **Latency data.** The analysis of latency data from both experiments only showed  
 376 a significant effect of Delay [ $F_{1A}(1,40)=12.48$ ,  $p<0.01$ ,  $\eta_p^2=0.24$ ;  $F_{1B}(1,42)=11.48$ ,  
 377  $p<0.01$ ,  $\eta_p^2=0.21$ ] indicating that participant's correct responses were faster in the short  
 378 than in the long delay (3117 ms vs. 3380 ms and 4035 ms vs. 3772 ms for both delays in  
 379 experiments 1A and 1B, respectively). No other effects, nor their interactions, were  
 380 statistically significant ( $ps>0.05$ ). Table 1 shows the mean correct RTs in the task as a  
 381 function of Outcomes, Type of presentation and Delay.

382 **Table 1.** Median correct response times (in milliseconds) obtained by participants in  
 383 experiments 1A and 1B as a function of Delay (5000 ms –short- vs. 25000 ms –long-)  
 384 Outcomes (differential –DOP- vs. non-differential –NOP-) and Type of presentation  
 385 (subliminal vs. supraliminal). The values in parenthesis are the standard error of the  
 386 mean.

|                      | DOP                        | NOP                 | DOP                          | NOP                 |
|----------------------|----------------------------|---------------------|------------------------------|---------------------|
| <i>Experiment 1A</i> | <i>Subliminal outcomes</i> |                     | <i>Supraliminal outcomes</i> |                     |
| Short-delay          | 3353.42<br>(257.44)        | 3212.11<br>(238.34) | 2949.00<br>(297.26)          | 2952.06<br>(297.26) |
| Long-delay           | 3669.58<br>(270.10)        | 3420.82<br>(250.06) | 3133.00<br>(311.88)          | 3293.61<br>(311.88) |
| <i>Experiment 1B</i> | <i>Subliminal samples</i>  |                     | <i>Supraliminal samples</i>  |                     |

|             |                     |                     |                     |                     |
|-------------|---------------------|---------------------|---------------------|---------------------|
| Short-delay | 4053.93<br>(270.59) | 3864.54<br>(292.27) | 3436.25<br>(252.05) | 3734.00<br>(252.05) |
| Long-delay  | 4424.14<br>(273.21) | 4175.38<br>(295.10) | 3373.35<br>(246.09) | 4167.05<br>(246.09) |

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### Discussion

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One relevant question we might ask is whether being aware of the specific consequences of our actions is a necessary condition for them to have beneficial effects in cognition (as demonstrated by the DOP effect). The two-memory systems theory (e.g., Savage & Ramos, 2009) would claim this not be the case, because expectancies of the specific outcomes are implicitly formed via classical conditioning associations (i.e., sample stimulus-outcome). After several pairings, the presentation of the sample stimulus would activate the representation of its own and unique outcome and this can be used to make the correct choice. This activation is meant to be automatic and non-intentional, both characteristics of implicit memory systems. Thus, the unique expectancy of the outcome, represented in a prospective memory trace, could be implicitly formed and stay active for some time. Our findings are in agreement with this theory. DOP benefits in visual recognition memory were observed whether the specific outcomes were subliminal or supraliminal (Experiment 1A). Similar results were obtained regardless of the awareness of the sample stimulus (subliminal vs. supraliminal presentation, Experiment 1B). These results clearly show that the explicit knowledge of the sample is not necessary either for it to create and activate expectancies about its unique outcome. Given that the DOP effect was evident in both experiments across all conditions, the results clearly support the idea of an implicit-prospective memory process activated when the outcomes are differentially administered. To our

408 knowledge, this is the first time that the DOP effect has been reported under  
409 unconscious conditions.

410       Regarding the NOP, results from Experiment 1B are most relevant here. If, as  
411 suggested by the two-memory systems model (e.g., Savage & Ramos, 2009), the  
412 presence of non-differential outcomes triggers an explicit retrospective memory process,  
413 then a supraliminal sample should have been better remembered than the subliminal  
414 one. However, performance was similar in both conditions. This fits with the idea that  
415 this type of retrospective memory is activated spontaneously (Mok, 2012) without a  
416 deliberate intention. In fact, it seems that only a subliminal encoding of the stimulus is  
417 enough to engage it. Based on this finding, we would no longer be referring to this  
418 retrospective memory as explicit (in which we are aware of the stimulus and keep it  
419 active in memory, Graf & Schacter, 1985). Rather, we think of it as the activation of an  
420 implicit representation of the stimulus that has just been presented. Nonetheless, it is  
421 possible that this still is the same retrospective memory processes proposed by Savage  
422 and colleagues (see also Mok, 2012 and Mok et al., 2009) largely based around the  
423 activity of the hippocampus. Accordingly, and contrary to previous theories assigning to  
424 the hippocampus an exclusive role in explicit memory, recent studies have found that  
425 this brain region is involved in both explicit and implicit memory (e.g., Addante, 2015).  
426 To further confirm this, future neuroimaging studies should investigate whether the  
427 neurobiological mechanisms activated by the DOP are the same whether the processing  
428 is conscious or not.

429       Finally, it is worth noting that in Experiment 1A, despite the lack of interaction  
430 between the outcomes and the type of stimulus presentation, there is still a marginally  
431 better performance in the NOP condition when the outcomes were subliminally  
432 presented as compared to when the presentation was supraliminal [ $F(1,21)=4.02$ ,

433  $p=0.056$ ,  $\eta_p^2 = .16$ ]. This effect could be explained in two different ways: (i) the  
434 supraliminal reward may interfere with retrospective working memory process  
435 (Zedelius, Veling, & Aarts, 2011; Zedelius et al., 2014) or (ii) the increase in conscious  
436 working memory load (having to remember the sample stimuli plus the four explicit  
437 outcomes) may have a detrimental impact on performance (Vogel, Woodman, & Luck,  
438 2001; Awh, Barton, & Vogel, 2007). Further research is needed to clarify this issue.

439         To conclude, the present results are important to understand the cognitive  
440 mechanisms underlying the benefits observed in the human version of the DOP. In fact,  
441 we demonstrated that these beneficial effects depend on implicit mechanisms, as  
442 proposed by the two-memory systems, and can be observed regardless the awareness of  
443 either the sample stimulus or its associated outcome. Furthermore, we consider that  
444 these findings throw some light on how we process information in situations in which  
445 we know (consciously or not) the specific consequences of our choices. We think that,  
446 from an evolutionary perspective, being able to predict these consequences has been so  
447 crucial for survival that its benefits are observed even when they are unconscious. Thus,  
448 as soon as a stimulus-unique outcome association can be established, the way the brain  
449 processes the information seems to change to an implicit-prospective manner; helping  
450 optimizing the functioning of cognitive processes involved in memory and learning.  
451 This research has strong implications when applying the differential outcomes  
452 methodology at different stages of human brain development, in patients who have  
453 diminished conscious processing for a variety of reasons (such as brain injury or  
454 neurodegenerative impairments), or with disabilities specifically affecting explicit  
455 memory and/or executive functions (e.g., patients diagnosed with Alzheimer's disease,  
456 Cushing's syndrome or schizophrenia). Similarly, because we have shown that explicit  
457 knowledge of consequences would not be necessary for the DOP to improve memory

458 and learning processes, our results further support its use as a powerful learning tool in  
459 educational contexts from early childhood to older people with or without cognitive  
460 deficits.

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#### 462 **Conflict of interest**

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464 The authors report no conflicts of interest.

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