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How to cite: Ferreira DM, Carvalho LC, Kosour C, Santos ATS, Marin LF, Santos GX, et al. Inter-and intra-rater analysis of hemiparetic shoulder abduction using PhysioPlay™: software for measuring range of motion. Acta Fisiatr. 2019;26(3). Doi: <https://doi.org/10.11606/issn.2317-0190.v26i3a166375>

Article in Press

1 OJS 166375 | Original Article




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3 **Inter-and intra-rater analysis of hemiparetic shoulder abduction using PhysioPlay™:**
4 **software for measuring range of motion**

5

6 **Análise inter e intra-avaliador da abdução do ombro hemiparético pelo PhysioPlay™:**
7 **software para medir a amplitude de movimento**

8

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20 Submetido: 28 Setembro 2019.

21 Aceito: 27 Fevereiro 2020.

22

23 ABSTRACT

24 Changes in balance are observed in some hemiparetics following a stroke, generating significant
25 physical, social, and economic impacts; thus, an assessment was developed to facilitate
26 treatment plans for patients. Goniometry is currently used as an evaluation tool for range of
27 motion (ROM); however, the development of exergames has brought a new perspective to the
28 assessment, which uses a dynamic mechanism and has little subjectivity. **Objective:** This study
29 evaluates the inter-and intra-rater reliability of ROM measurement of the shoulder abduction in
30 post-stroke patients using the exergame PhysioPlay™. **Method:** Thirteen volunteer chronic
31 stroke survivors, aged 58.23 ± 9.96 years (men and women), participated in this study. Two
32 physiotherapists evaluated the abduction of the shoulder using goniometry and the exergame
33 PhysioPlay™. A retest was performed one week later. Clinical trial registry number – RBR-
34 55smwr. **Results:** The results of the analyses using intraclass correlation coefficient (ICC)
35 showed an excellent inter- and intra-rater reliability level ($r > 0.90$; $p < .05$). The Pearson
36 correlation between the maximum measures obtained in the goniometry and the software
37 PhysioPlay™ showed a high correlation ($r > 0.90$, $p = .001$). **Conclusion:** The Kinect associated
38 with the exergame PhysioPlay™ presented excellent reliability in capturing the ROM measure
39 compared to the conventional goniometry.

40

41 **Keywords:** Stroke, Rehabilitation, Physical Therapy Specialty, Arthrometry, Articular

42

43 RESUMO

44 Alterações no equilíbrio são observadas em hemiparéticos após um acidente vascular
45 encefálico (AVE), gerando impactos físicos, sociais e econômicos significativos; assim, uma
46 avaliação foi desenvolvida para facilitar os planos de tratamento para os pacientes. A

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47 goniometria é atualmente usada como ferramenta de avaliação da amplitude de movimento
48 (ADM); no entanto, o desenvolvimento de exergames trouxe uma nova perspectiva para a
49 avaliação, que utiliza um mecanismo dinâmico e tem pouca subjetividade. **Objetivo:** Este
50 estudo avaliou a confiabilidade inter e intraexaminadores da medida da ADM da abdução do
51 ombro em pacientes pós-AVE usando o exergame PhysioPlay™. **Método:** Treze voluntários
52 com AVE crônico, com idade de $58,23 \pm 9,96$ anos (homens e mulheres), participaram deste
53 estudo. Dois fisioterapeutas avaliaram a abdução do ombro usando goniometria e o exergame
54 PhysioPlay™. Um reteste foi realizado uma semana depois. **Resultados:** Os resultados das
55 análises utilizando o coeficiente de correlação intraclasse (CCI) mostraram excelente nível de
56 confiabilidade inter e intraexaminadores ($r > 0,90$; $p < 0,05$). A correlação de Pearson entre as
57 medidas máximas obtidas na goniometria e o software PhysioPlay™ apresentou alta correlação
58 ($r > 0,90$, $p = 0,001$). **Conclusão:** O Kinect associado ao exergame PhysioPlay™ apresentou
59 excelente confiabilidade na captura da medida da ADM em comparação à goniometria
60 convencional.

61

62 **Palavras-chave:** Acidente Vascular Cerebral, Reabilitação, Fisioterapia, Artrometria Articular

63

64 INTRODUCTION

65

66 Strokes are one of the leading causes of death and disability in adults.¹ According to the location
67 of the lesion, the size of the area of inadequate perfusion, and the amount of collateral blood
68 flow, dysfunctions such as anxiety, depression, motor, sensory, cognitive, and communication
69 disorders are observed in patients following a stroke.²

70

71 A sensorimotor disorder might include somatosensory changes that impair movement control
72 and joint stabilit.¹ During the stroke, the upper motor neuron is reached, with a change in muscle
73 tone with sagging and weak muscles related to the glenohumeral joint. This flaccid period is
74 followed by involuntary muscle hyperactivity, called muscle spasticity, which may progress to
75 the development of fixed contractures or adhesive capsulitis. Such changes imply significant
76 immobility, limitations in upper limb function, and delays in the rehabilitation of these patients.³

77

78 An important subsystem of the somatosensory system involves proprioception, which, when
79 altered, impairs the feedback and control of the advancement of therapies, negatively
80 influencing joint range of motion (ROM), stability movements, and coordination.¹

81

82 The most commonly used instrument for measuring ROM is the universal goniometer. This tool
83 should be used by an experienced therapist to decrease the risk of error during measurement.
84 The results are stored manually, which makes it difficult to process the obtained data and offers
85 little or no feedback to the patient.⁴

86

87 Following the creation of devices with motion sensors such as Kinect, exergames have been
88 developed, which allow the player's body to interact with the virtual environment.⁵ The camera
89 located in the device can detect the individual and the points of the skeleton in real time; thus, it
90 is a suitable tool for accurate evaluation and low cost physical rehabilitation because it captures
91 the complete movement of the body and is comfortable for the patient to use.⁶

92

93 However, before new measuring instruments or evaluation tools can be employed in research
94 or clinical applications, their reliability must be determined. Reliability is nothing more than the
95 precision of a measure when replicated.⁷

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97 **OBJECTIVE**

98
99 This study evaluates the inter-and intra-rater reliability of the ROM measurement of the shoulder
100 abduction in post-stroke patients using PhysioPlay™ - software that generates visual
101 biofeedback to the patient - enabling their interaction with a virtual environment during the
102 movement of stimuli generated on the screen.

103 104 **METHODS**

105
106 Thirteen volunteers, aged 58.23 ± 9.96 , participated in the study. Requirements for the
107 volunteers were male and female chronic stroke survivors with resulting conditions lasting more
108 than six months; aged 25 - 75 years; present with a good level of cognition as evaluated by the
109 Mini Mental State Examination (MMSE); active shoulder abduction movements observed by
110 previous evaluation; spasticity lower than two on the Ashworth Modified Scale (AMS) for the
111 spastic upper extremity musculature; and no associated neurological pathologies and/or
112 pathological conditions of the shoulder that are unrelated to the post-stroke event. All volunteers
113 were required to sign the informed consent term before participating.

114 115 **Range of motion**

116
117 The evaluation was performed by two trained physiotherapists. First, the shoulder abduction
118 goniometry was conducted with the patient positioned in orthostatism, with the upper limbs along
119 the body, the axis positioned near the acromion, a fixed bar on the posterior axillary line, and
120 the movable bar accompanying the abduction movement in the dorsal aspect of the arm (Grade
121 0° – 180°).⁴

122
123 Next, an evaluation of the abduction movement of the shoulder was made using the
124 PhysioPlay™ exergame. The data obtained after one play were considered measures of the
125 shoulder's ROM (abduction). After the patient's registration, elements such as the duration of
126 the session (60 seconds), the interval between the angles to reach (10 seconds), and the limb
127 to work on (left or right depending on the involvement) were determined.

128
129 The Kinect sensor made an initial depth reading of the patient positioned in front of the sensor
130 it. The captured data were sent to the computer to which the Kinect was connected and were
131 available for consultation through the Software Development Kit (SDK, released by Microsoft).

132
133 The patient was considered to have reached the target when the angulation achieved the angles
134 previously determined during the play, where one of the points was the maximum angle obtained
135 in the goniometry. The information obtained was presented in a report generated at the end of
136 each execution, which showed the angulations of the evaluated limb captured at every second
137 of the game. An analysis of the report allowed the professional responsible for the evaluation to
138 determine the highest angulation reached by each patient.⁵

139
140 A retest of all patients was performed one week later by the same evaluators.

141 142 **Ethical Considerations**

143
144 This research was approved by the Ethics Committee of UNIFAL-MG (CAAE:
145 58830816.0.0000.5142), respecting all the norms and guidelines of resolution 466/12 of the
146 National Health Council (CNS). All the participants signed the Consent Form.

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147 For statistical analysis, the Statistical Package for Social Science for Windows (SPSS, v. 20.0)
 148 software was used. The agreement of the intra- and inter-rater reliability results for the use of
 149 the PhysioPlay™ software was analyzed using Intraclass Correlation Coefficient (ICC) type 1.1
 150 and type 1.2. The interpretation of the CCI was made according to Lexell and Downham,⁸ which
 151 considers the following levels of reliability: <0.40 = poor; 0.40–0.75 = good; >0.75 = excellent.

152
 153 A 95% Confidence Interval (CI) was calculated, with values above 0.709 considered excellent.
 154 The calculation of the Standard Error of the Mean (SEM) was performed using the formula SEM
 155 = Standard Deviation x $\sqrt{1-ICC}$. The minimum detectable change (MDC) was calculated using
 156 the formula MDC = 1.96 x Greater Standard Deviation x $\sqrt{2 [1 - \text{retest-retest}]}$.⁸ A significance
 157 level (α) of 0.05 was used for all tests.

158
 159 The maximum measures obtained in the goniometry and the PhysioPlay™ by the two evaluators
 160 were verified for data normality using the Shapiro Wilk test, and the calculated variables were
 161 compared using the Pearson Correlation to verify the strength of the correlation between the
 162 two methods; r values above 0.80 were considered high. A significance level (α) of 0.01 was set
 163 a priori for the correlations.

164 RESULTS

165
 166 Table 1 near here presents the socio-demographic data, and Table 2 near here presents the
 167 mean values and standard errors from the variables obtained in the test and retest when using
 168 the PhysioPlay™ exergame.

169
 170
 171 **Table 1.** Values of means and standard deviation for the socio-demographic data of the sample
 172

	Sex	LA	TiL	Averages (SD)		
				Weight (kg)	Height (cm)	TL (months)
Men	9	6(D)/3(E)	6(I)/3(H)	75.50 (13.67)	169.33 (6.24)	38 (21.08)
Women	4	4 (E)	4(I)	58.25 (4.44)	156.25 (8.17)	54 (45.30)

173 LA: affected side; D = right; E = left; TiL: lesion type; I = ischemic; H = hemorrhagic; TL: injury time

174
 175 **Table 2.** Mean values and standard error of the analyses obtained with the PhysioPlay™ by
 176 examiners 1 and 2 (test-retest)

	Averages (EP)			
	Rater 1	Rater 2	Retest 1	Retest 2
ABD-A(°)	78,40 (9,11)	81,55 (8,80)	78,28 (8,66)	77,65 (8,86)

178 ABD-A: Abduction of the affected side

179
 180 In the ICC analysis, the level of reliability between inter-examiner and intra-examiner was
 181 excellent ($r > 0.75$) for all variables obtained ($p < .05$), as demonstrated in Table 3.

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182 **Table 3.** Intra-class correlation (ICC) values intra and inter rater according to the measurements
 183 obtained for abduction of the affected shoulder
 184

	INTRA EXAMINER		INTER EXAMINER	
	TEST/RETAIL AV1	TEST/RETAIL AV2	TEST AV1 AV2	RETEST AV1 AV2
CCI	0,992	0,985	0,992	0,996
IC 95%	0.975–0.998	0.945–0.996	0.968–0.998	0.986–0.999
EP	2,94	3,91	2,94	2,02
MMD	8,15	10,84	8,15	5,60
Level	E	E	E	E

185 *ICC: Intraclass correlation coefficient; 95% CI: 95% confidence interval; EP: Standard error; MDD: Minimum Change Detectable*
 186

187 Table 4 near here presents the results of the Pearson Correlation between the maximum mean
 188 obtained from the goniometry and PhysioPlay™ in the test and retest. All results show high
 189 correlations ($r > 0.80$).
 190

191 **Table 4.** Pearson correlation between the two methods used for evaluation, r and p
 192

Variable	Comparison	r	p	Correlation
Abduction of shoulder (side affected)	Physio/Gonio Appraise 1 Test	0,930	0,01	High
	Physio/Gonio Appraise 2 Test	0,943	0,01	High
	Physio/Gonio Appraise 1 Retest	0,971	0,01	High
	Physio/Gonio Appraise 2 Retest	0,920	0,01	High

193 DISCUSSION

194 The present study originated from the need to develop a tool capable of accurately and reliably
 195 evaluating the range of shoulder movement in a simple, low cost, and practical way, since the
 196 measurement of this measure is extremely important for recognizing abnormal movement,
 197 determining effective therapies, and obtaining significant improvement for the patient.
 198

199 As reported in the literature, Kinect presents significantly accurate results for reading the human
 200 skeletal movement, including the upper limbs, making it a useful tool for the clinical
 201 measurement of ROM.¹ However, while many studies have shown the usefulness of the Kinect
 202 associated with software for static amplitude measurement, few have evaluated the active
 203 movement of the shoulder joint.⁹
 204

205 In this study, the dynamic amplitude evaluation of PhysioPlay™ is capable of capturing every
 206 second of a patient's active movement from the beginning of the movement to its maximum
 207 performance, thus demonstrating increased efficacy compared to the data collected by
 208 goniometry.
 209

210 The results of the statistical analyses showed excellent inter- and intra-rater correlations in the
 211 initial evaluation and in the retest, demonstrating that the Kinect was capable of accurately
 212 capturing movements with little variation between the evaluations by showing a reliable angle
 213 measurement.
 214
 215
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217 In addition, the presentation of the PhysioPlay™ exergame makes the assessment more
218 dynamic when compared to goniometry due to visual biofeedback, responsible for the correct
219 achievement of the movement.

220
221 In a study performed with 10 healthy individuals, shoulder ROM was measured in four static
222 poses using the goniometer and the Kinect sensor, followed by point-to-point measurements
223 using the Kinect while the patient performed the movement as naturally as possible. An excellent
224 correlation was observed between the measurements obtained using the Kinect and
225 goniometry, and in the quality of movement captured by the sensor.⁹

226
227 A previous study examined 20 women after a mastectomy to evaluate the correlation between
228 the Kinect measurement and goniometry. The women were positioned in front of the camera
229 and asked to perform passive and active movements. The results showed a good relation
230 between the two methods ($r = 0.70-0.80$), thus demonstrating the ability to capture the
231 movement and determine its limitations.¹⁰

232
233 Another study compared Kinect measurements with photogrammetry by assessing the active
234 shoulder motion of 20 healthy youths. The results showed a positive correlation between the
235 evaluated methods and emphasized the practicality and agility of the measurement using the
236 Kinect sensor.¹¹ Although the Kinect evaluation is dynamic, a specific exergame has not been
237 developed and evaluated, thus motivating the intention of the exergame developed in the current
238 study, since it is possible to collect data quickly and dynamically, store the data, and transport
239 the instrument.

240
241 A study of healthy adolescents aged 12 to 17 were evaluated using Shriners Hospital for
242 Children Upper Extremity Evaluation (SHUEE), a scale that measures the individual's ability to
243 perform functional tasks, and the Kinect. The objective was to develop a set of scores of the
244 function for the upper limb, similar to those assessed by SHUEE, but in an automated way using
245 the Kinect. It was concluded that the Kinect motion analysis platform is technically solid and can
246 be applied for upper-end evaluations based on standardized tasks.¹²

247
248 The results of the present study demonstrate the efficacy of Kinect, associated with exergame
249 PhysioPlay™, in the evaluation of the ROM of the shoulder abduction in the sample studied. In
250 addition, the individuals evaluated showed greater motivation to reach the maximum ROM. The
251 results encourage the use of this practical and low-cost instrument in clinical practice and
252 contribute information to new studies that incorporate the Kinect sensor associated with gaming
253 in the evaluation and treatment of neuro-musculoskeletal dysfunctions.

254 255 **Limitations of the study**

256
257 The limitations of the study were the small number of patients evaluated and who fit the inclusion
258 criteria. We suggest future studies use a larger sample and implement a standardized distance
259 between the patient and the Kinect sensor.

260 261 **CONCLUSIONS**

262
263 The Kinect associated with the PhysioPlay™ presented high reliability in capturing the ROM
264 measurement in a fast, simple, safe, and easy way.

265 266 **ACKNOWLEDGMENT**

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267 To the Federal University of Alfenas UNIFAL-MG, University Center of the Guaxupé Educational
268 Foundation – Unifeg, to the collaborators and patients.

269 270 **Financing source**

271
272 This work was supported by the Coordination of Improvement of Higher Level Personnel –
273 CAPES.

274 275 276 **REFERENCES**

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ACCEPTED MANUSCRIPT
Acta Fisiatr. 2019;26(3)