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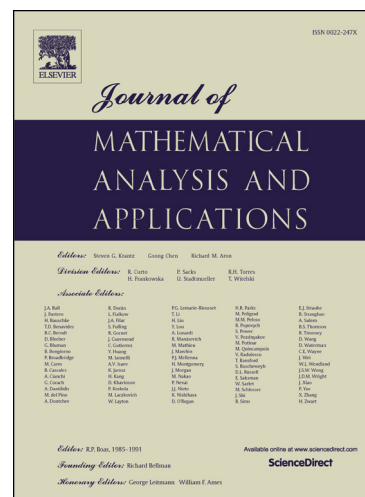
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Classes of operators preserved by extensions or liftings

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ABSTRACT. A standard way to obtain extensions (resp. liftings) of operators is by making the so-called operations of push-out (resp. pull-back). In this paper we study the preservation of some classes of operators associated with an operator ideal \mathcal{A} under push-out extensions or pull-back liftings. We show several examples of classical operator ideals whose associated classes are preserved, we prove that the preservation of those classes under push-out extension or pull-back lifting implies that the space ideal of \mathcal{A} satisfies the 3-space property, and we derive some results for \mathcal{A} that are useful in the study of commutative diagrams of operators.

1. Introduction

We study the preservation of some classes of operators under the canonical methods of push-out and pull-back (PO and PB in short). We refer to Section 2 for unexplained terminology. A germinal result was proved in [13, 14] showing that the tauberian operators are preserved under PO-extensions and the cotauberian operators are preserved under PB-liftings.

In Section 3 we prove several stability results under PO-extensions or PB-liftings for the semigroups \mathcal{A}_+ and \mathcal{A}_- associated with an operator ideal \mathcal{A} (see [1]). Some of these results are valid for \mathcal{A} injective or surjective. Moreover, if \mathcal{A}_+ is always preserved by PO-extensions (i.e., it satisfies the 3S-PO property) or \mathcal{A}_- is always preserved by PB-liftings (i.e., it satisfies the 3S-PB property), then the space ideal of \mathcal{A} has the 3-space property. Some concrete examples are provided by the semigroups \mathcal{A}_+ characterized in terms of sequences in Proposition 8: \mathcal{A}_+ satisfies the 3S-PO property and its dual class $(\mathcal{A}_+)^d = (\mathcal{A}^d)_-$ satisfies the 3S-PB property.

In general, operator ideals are stable under PO-extensions or PB-liftings only if we impose some additional conditions. This is what we do in Section 4 where we introduce the $3S_-$ property and the $3S_+$ property in terms of exact sequences.

Many operator ideals satisfy those restricted stability properties. For example, the operator ideals \mathcal{K} , \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} and \mathcal{WC} , introduced in Subsection 3.1, satisfy the $3S_+$

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property, their dual operator ideals satisfy the $3S_-$ property, and the p -converging operators ($1 \leq p \leq \infty$) satisfy both properties.

To know that an operator ideal \mathcal{A} satisfies one of the properties $3S_-$ or $3S_+$ is useful when studying complex situations in commutative diagrams. For example, let us consider the push-out diagram in Proposition 14. It is shown in [11, Proposition 3.3] that the operators q_g, q_j are strictly singular if and only if so are q_i, q_f ; and [7, Lemma 8] can be restated by saying that the operator ideal of strictly singular operators satisfy the $3S_-$ property. We show that such results can be framed in a general study about the behavior of operator ideals. We also show that the space ideal of \mathcal{A} has the 3-space property when \mathcal{A} has one of the properties $3S_-$ or $3S_+$.

Our notations are standard like those of [2] and [10]. An *operator* is a bounded linear map acting between Banach spaces, and an *embedding* is an operator with continuous inverse, not necessarily surjective. We denote by $\text{Ker } T$ and $\text{Ran } T$ the *kernel* and the *range* of an operator T . The set of all operators acting from X into Y is denoted $\mathcal{L}(X, Y)$, given a class \mathcal{A} of operators, its component in $\mathcal{L}(X, Y)$ is $\mathcal{A}(X, Y) := \mathcal{A} \cap \mathcal{L}(X, Y)$, and we write $\mathcal{A}(X)$ instead of $\mathcal{A}(X, X)$. A sequence of operators

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

is an *exact sequence* if the kernel of each operator coincides with the range of the previous one, that is, j is an embedding, q is surjective, and $\text{Ker } q = \text{Ran } j$.

2. Preliminaries and basic results

We review the push-out and pull-back constructions for a pair of operators when one of them is either an embedding or a surjection.

Given $T \in \mathcal{L}(X, Y)$ and an embedding $J \in \mathcal{L}(X, Z)$ then $\Delta := \{(Tx, -Jx) : x \in X\}$ is a closed subspace of $Y \oplus_1 Z$, and the *push-out space* $\text{PO}(T, J)$ of the pair (T, J) is defined as the quotient space $\text{PO}(T, J) = (Y \oplus_1 Z)/\Delta$. The operators $\bar{J} : Y \rightarrow \text{PO}(T, J)$ and $\bar{T} : Y \rightarrow \text{PO}(T, J)$ defined as $\bar{J}(y) = (y, 0) + \Delta$ and $\bar{T}(z) = (0, z) + \Delta$ yield the following commutative diagram which is called the *PO-diagram* of (T, J) :

$$(1) \quad \begin{array}{ccccccc} 0 & \longrightarrow & X & \xrightarrow{J} & Z & \xrightarrow{q} & Z/J(X) \longrightarrow 0 \\ & & \downarrow T & & \downarrow \bar{T} & & \parallel \\ 0 & \longrightarrow & Y & \xrightarrow{\bar{J}} & \text{PO}(T, J) & \xrightarrow{p} & Z/J(X) \longrightarrow 0 \end{array}$$

where q is the quotient map, and $p((y, z) + \Delta) = z + J(X)$.

Since \bar{J} is an embedding (Proposition 1), both rows in (1) are exact sequences, and \bar{T} can be regarded as an extension of T to the superspace Z , which we call the *PO-extension* of T through J .

Similarly, given $S \in \mathcal{L}(Y, X)$ and a surjective operator $Q \in \mathcal{L}(Z, X)$, the *pull-back space* of (S, Q) is defined as the space $\text{PB}(S, Q) = \{(y, z) \in Y \oplus_\infty Z : Sy = Qz\}$. The operators $\underline{S} : \text{PB}(S, Q) \rightarrow Z$ and $\underline{Q} : \text{PB}(S, Q) \rightarrow Y$, given by $\underline{S}(y, z) = z$ and $\underline{Q}(y, z) = y$ provide a commutative diagram which is called the *PB-diagram* of (S, Q) :

$$(2) \quad \begin{array}{ccccccc} 0 & \longrightarrow & \text{Ker } Q & \xrightarrow{i} & \text{PB}(S, Q) & \xrightarrow{Q} & Y \longrightarrow 0 \\ & & \parallel & & \underline{S} \downarrow & & \downarrow S \\ 0 & \longrightarrow & \text{Ker } Q & \xrightarrow{j} & Z & \xrightarrow{Q} & X \longrightarrow 0 \end{array}$$

where j is the natural embedding, and $i(z) = (0, z)$.

Since \underline{Q} is surjective (Proposition 3), both rows in (2) are exact sequences, and \underline{S} can be regarded as a lifting of S to Z , which we call the *PB-lifting of S by Q* .

Sometimes we will simply write PO and PB instead of $\text{PO}(T, J)$ and $\text{PB}(S, Q)$.

The proof of the following result is not difficult.

LEMMA 1. *An operator $T \in \mathcal{L}(X, Y)$ has closed range if and only if each bounded sequence $(x_n)_{n=1}^\infty$ in X such that $\lim Tx_n = 0$ satisfies $\lim_{n \rightarrow \infty} \text{dist}(x_n, \text{Ker } T) = 0$.*

Next we show some properties of the PO-extensions and PB-liftings.

PROPOSITION 1. *In the PO-diagram (1), where J is an embedding, one has:*

- (i) \overline{J} is an embedding;
- (i) $\dim \text{Ker } T = \dim \text{Ker } \overline{T}$;
- (ii) T has closed range if and only if so has \overline{T} .

PROOF. Statement (i) is proved in [10, Lemma 1.3.b], and (ii) is a consequence of $\text{Ker } \overline{T} = J(\text{Ker } T)$.

To prove (iii), we apply Lemma 1. Assume \overline{T} has closed range and let $(x_n)_{n=1}^\infty$ be a bounded sequence in X such that $\lim Tx_n = 0$. Thus $\lim \overline{T}Jx_n = 0$, and therefore, $\lim \text{dist}(Jx_n, \text{Ker } \overline{T}) = 0$. But J is an embedding and $\text{Ker } \overline{T} = J(\text{Ker } T)$, hence also $\lim \text{dist}(x_n, \text{Ker } T) = 0$, which proves that T has closed range.

Conversely, assume T has closed range and let $(z_n)_{n=1}^\infty$ be a bounded sequence in Z such that $\lim \overline{T}(z_n) = 0$. Then there exists a sequence $(Tx_n, -Jx_n)_{n=1}^\infty$ in Δ such that $\lim(Tx_n, z_n - Jx_n) = 0$. Since $Tx_n \rightarrow 0$ and T has closed range, $\lim \text{dist}(x_n, \text{Ker } T) = 0$. Moreover $\text{Ker } \overline{T} = J(\text{Ker } T)$ and $\lim(z_n - Jx_n) = 0$ means that $\lim \text{dist}(z_n, \text{Ker } \overline{T}) = 0$. Hence the range of \overline{T} is closed. \square

The push-out and pull-back constructions satisfy the following relations.

PROPOSITION 2 (Duality relations).

- (a) *Given $T \in \mathcal{L}(X, Y)$ and an embedding $J \in \mathcal{L}(X, Z)$, the dual of the PO-diagram of (T, J) can be identified with the PB-diagram of (T^*, J^*) .*
- (b) *Given $S \in \mathcal{L}(Y, X)$ and a surjective operator $Q \in \mathcal{L}(Z, X)$, the dual of the PB-diagram of (S, Q) can be identified with the PO-diagram of (S^*, Q^*) .*

PROOF. (a) The operator J^* is surjective with kernel $J(X)^\perp$. Since $\text{PO}(T, J) = (Y \oplus_1 Z)/\Delta$ and $\Delta^\perp = \text{PB}(T^*, J^*)$, we get $\text{PO}(T, J)^* \equiv \text{PB}(T^*, J^*)$. Let $(y^*, z^*) \in \text{PB}(T^*, J^*) \equiv \Delta^\perp$. For each $z \in Z$,

$$\begin{aligned} \langle z, (\overline{T})^*(y^*, z^*) \rangle &= \langle \overline{T}z, (y^*, z^*) \rangle = \langle (0, z) + \Delta, (y^*, z^*) \rangle \\ &= \langle z, z^* \rangle = \langle z, (\overline{T^*})^*(y^*, z^*) \rangle. \end{aligned}$$

Thus $(\overline{T})^* = \overline{(T^*)}$, and similarly we can prove $(\overline{J})^* = \overline{(J^*)}$ and the remaining identifications.

(b) The operator Q^* is an embedding and $\text{PB}(S, Q)^\perp = \{(S^*x^*, -Q^*x^*) : x^* \in X^*\}$. Indeed, it is easy to check that $\text{PB}(S, Q)$ coincides with the annihilator ${}^\perp\{(S^*x^*, -Q^*x^*) : x^* \in X^*\}$. So the equality follows from the fact that $\{(S^*x^*, -Q^*x^*) : x^* \in X^*\}$ is a weak*-closed subspace of $(Y \oplus_\infty Z)^*$. Thus $\text{PB}(S, Q)^* \equiv \text{PO}(S^*, Q^*)$.

To prove $(\underline{S})^* = \overline{(S^*)}$, $(\underline{Q})^* = \overline{(Q^*)}$ and the remaining identifications, we can proceed as we did for part (a). \square

Propositions 1 and 2 imply the next result.

PROPOSITION 3. *In the PB-diagram (2), where Q is surjective, one has:*

- (i) \underline{Q} is surjective;
- (ii) $\dim X/\overline{\text{Ran } \underline{S}} = \dim Z/\overline{\text{Ran } \underline{Q}}$;
- (iii) S has closed range if and only if so has \underline{S} .

3. Semigroups associated with an operator ideal

In [12, Definition 6.1.1] (see also [1]), certain classes of operators, called *semigroups*, were introduced, and it was proved that each operator ideal \mathcal{A} has two associated semigroups \mathcal{A}_+ and \mathcal{A}_- whose components are defined as follows:

$$\begin{aligned} \mathcal{A}_+(X, Y) &:= \{T \in \mathcal{L}(X, Y) : S \in \mathcal{L}(Z, X), TS \in \mathcal{A} \Rightarrow S \in \mathcal{A}\}, \\ \mathcal{A}_-(X, Y) &:= \{T \in \mathcal{L}(X, Y) : S \in \mathcal{L}(Y, Z), ST \in \mathcal{A} \Rightarrow S \in \mathcal{A}\}. \end{aligned}$$

Among the basic properties of \mathcal{A}_+ and \mathcal{A}_- one has:

PROPOSITION 4. [12, Propositions 6.1.8 and 6.1.14] *Let \mathcal{A} be an operator ideal, let $T \in \mathcal{L}(X, Y)$ and let $S \in \mathcal{L}(Y, Z)$.*

- (1) $S, T \in \mathcal{A}_+ \Rightarrow ST \in \mathcal{A}_+ \Rightarrow T \in \mathcal{A}_+$,
- (2) $S, T \in \mathcal{A}_- \Rightarrow ST \in \mathcal{A}_- \Rightarrow S \in \mathcal{A}_-$.

Note that two different operator ideals \mathcal{A} and \mathcal{B} can have the same associated semigroups, say $\mathcal{A}_+ = \mathcal{B}_+$ (when \mathcal{A} stands for the compact or the strictly singular operators, \mathcal{A}_+ is the class of upper semi-Fredholm operators) or $\mathcal{A}_- = \mathcal{B}_-$ (when \mathcal{A} stands for the compact or the strictly cosingular operators, \mathcal{A}_- is the class of lower semi-Fredholm operators).

Recall that an operator ideal \mathcal{A} is called *injective* if given $S \in \mathcal{L}(X, Y)$ and an embedding $J \in \mathcal{L}(Y, Z)$, $JS \in \mathcal{A}$ implies $S \in \mathcal{A}$ (i.e., all embeddings are in \mathcal{A}_+). The operator ideal \mathcal{A} is called *surjective* if given $S \in \mathcal{L}(X, Y)$ and a surjective operator $Q \in \mathcal{L}(Z, X)$, $SQ \in \mathcal{A}$ implies $S \in \mathcal{A}$ (i.e., all surjective operators are in \mathcal{A}_-).

PROPOSITION 5. *Let \mathcal{A} be an operator ideal and consider $T \in \mathcal{L}(X, Y)$ and an embedding $J \in \mathcal{L}(X, Z)$.*

- (1) *If \mathcal{A} is surjective and $T \in \mathcal{A}_-$ then $\overline{T} \in \mathcal{A}_-$.*
- (2) *If \mathcal{A} is injective and $\overline{T}J \in \mathcal{A}_-$ then $T, \overline{J} \in \mathcal{A}_-$.*
- (3) *If \mathcal{A} is injective and $\overline{T} \in \mathcal{A}_+$ then $T \in \mathcal{A}_+$.*

PROOF. (1) Let $S \in \mathcal{L}(\text{PO}, W)$ and suppose that $S\bar{T} \in \mathcal{A}$. Since $T \in \mathcal{A}_-$ and $S\bar{T}J = S\bar{T}T \in \mathcal{A}$, we obtain $S\bar{J} \in \mathcal{A}$. Let $Q : Y \oplus_1 Z \rightarrow \text{PO}$ be the natural quotient map. Then $S\bar{T}, S\bar{J} \in \mathcal{A}$ and $SQ(y, z) = S\bar{J}y + S\bar{T}z$, hence $SQ \in \mathcal{A}$, thus $S \in \mathcal{A}$ because \mathcal{A} is surjective.

(2) Note that $\bar{J}T = \bar{T}J \in \mathcal{A}_-$ implies $\bar{J} \in \mathcal{A}_-$. For the other part, let $\tau \in \mathcal{L}(Y, W)$, suppose that $\tau T \in \mathcal{A}$, and look at the diagram

$$\begin{array}{ccccc} X & \xrightarrow{T} & Y & \xrightarrow{\tau} & W \\ J \downarrow & & \downarrow \bar{J} & & \downarrow \bar{J}' \\ Z & \xrightarrow{\bar{T}} & \text{PO} & \xrightarrow{\tau'} & \text{PO}' \end{array}$$

where $\text{PO}' = \text{PO}(\tau, \bar{J})$ and τ' and \bar{J}' are the corresponding extensions.

From $\bar{T}J \in \mathcal{A}_-$ and $\bar{J}'\tau T = \tau'\bar{T}J \in \mathcal{A}$ we get $\tau' \in \mathcal{A}$, and thus $\bar{J}'\tau = \tau'\bar{J} \in \mathcal{A}$. Since \bar{J}' is an embedding and \mathcal{A} is injective, $\tau \in \mathcal{A}$. Thus, $T \in \mathcal{A}_-$.

(3) \mathcal{A} injective implies $J \in \mathcal{A}_+$. Thus $\bar{T}J = \bar{J}T \in \mathcal{A}_+$ which implies $T \in \mathcal{A}_+$. \square

An argument dual to the one in the proof of Proposition 5 provides the following result.

PROPOSITION 6. *Let \mathcal{A} be an operator ideal and consider $S \in \mathcal{L}(Y, X)$ and a surjective operator $Q \in \mathcal{L}(Z, X)$.*

- (1) *If \mathcal{A} is injective and $S \in \mathcal{A}_+$ then $\underline{S} \in \mathcal{A}_+$.*
- (2) *If \mathcal{A} is surjective and $QS \in \mathcal{A}_+$ then $S, \underline{Q} \in \mathcal{A}_+$.*
- (3) *If \mathcal{A} is surjective and $\underline{S} \in \mathcal{A}_-$ then $S \in \mathcal{A}_-$.*

Recall that a class of Banach spaces \mathbb{A} satisfies the 3-space property if X is in \mathbb{A} when X contains a closed subspace Y such that $Y, X/Y \in \mathbb{A}$. We refer to [10] for examples of classes of Banach spaces satisfying or failing the 3-space property. Also the space ideal $Sp(\mathcal{A})$ associated with an operator ideal \mathcal{A} is the class of all Banach spaces X such that the identity I_X belongs to \mathcal{A} [21].

Note that $X \in Sp(\mathcal{A})$ if and only if $\mathcal{A}(X, Y) = \mathcal{A}_+(X, Y) = \mathcal{L}(X, Y)$ for every Y , and similarly $Y \in Sp(\mathcal{A})$ if and only if $\mathcal{A}(X, Y) = \mathcal{A}_-(X, Y) = \mathcal{L}(X, Y)$ for every X .

PROPOSITION 7. *Let \mathcal{A} be an operator ideal. Suppose that*

- (a) *every PO-extension of an operator in \mathcal{A}_+ belongs to \mathcal{A}_+ , or*
- (b) *every PB-lifting of an operator in \mathcal{A}_- belongs to \mathcal{A}_- .*

Then $Sp(\mathcal{A})$ satisfies the 3-space property.

PROOF. Let X be a Banach space with a closed subspace Y such that $Y, X/Y \in Sp(\mathcal{A})$. Let us denote by J and Q the embedding of Y into X and the quotient map from X onto X/Y respectively.

(a) When every PO-extension of an operator in \mathcal{A}_+ belongs to \mathcal{A}_+ , we consider the PO-diagram of $(0_Y, J)$, where 0_Y is the zero operator on Y . Thus $\text{PO} = Y \oplus_1 X/Y$ and $\bar{0}_Y x = (0, Qx)$.

We have $\bar{0}_Y \in \mathcal{A}(X, \text{PO}) \cap \mathcal{A}_+(X, \text{PO})$ because $\text{PO} \in Sp(\mathcal{A})$ and $0_Y \in \mathcal{A}_+$. Hence $X \in Sp(\mathcal{A})$.

(b) When every PB-lifting of an operator in \mathcal{A}_- belongs to \mathcal{A}_- , we consider the PB-diagram of $(0_{X/Y}, Q)$. Thus $PB = X/Y \oplus_\infty Y \in Sp(\mathcal{A})$ and $0_{X/Y}(Qx, y) = y$.

We have $0_{X/Y} \in \mathcal{A}(PB, X) \cap \mathcal{A}_-(PB, X)$ because $PB \in Sp(\mathcal{A})$ and $0_{X/Y} \in \mathcal{A}_-$. Hence $X \in Sp(\mathcal{A})$. \square

Proposition 7 suggests to introduce the following properties:

DEFINITION 1. *Let \mathcal{A} be an operator ideal.*

- (a) *We say that \mathcal{A}_+ satisfies the 3S-PO property if every PO-extension of an operator in \mathcal{A}_+ belongs to \mathcal{A}_+ .*
- (b) *We say that \mathcal{A}_- satisfies the 3S-PB property if every PB-lifting of an operator in \mathcal{A}_- belongs to \mathcal{A}_- .*

As we mentioned before, for different operator ideals \mathcal{A} and \mathcal{B} it may happen that $\mathcal{A}_+ = \mathcal{B}_+$ or $\mathcal{A}_- = \mathcal{B}_-$. For this reason the notions introduced in Definition 1 cannot be properly described as properties of the operator ideal.

3.1. Semigroups admitting a sequential characterization. Here we show several concrete examples of semigroups \mathcal{A}_+ and \mathcal{A}_- for which the previous results in this section are applicable.

We denote by \mathcal{K} , \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} and \mathcal{WC} the operator ideals of compact, weakly compact, Rosenthal, unconditionally convergent, completely continuous, and weakly completely continuous operators (see [21] for their definitions). The corresponding semigroups \mathcal{A}_+ admit a sequential characterization:

PROPOSITION 8. [15], [1, Section 3.4] *Let $T \in \mathcal{L}(X, Y)$.*

- (a) *$T \in \mathcal{K}_+$ if and only if each bounded sequence (x_n) with (Tx_n) convergent has a convergent subsequence.*
- (b) *$T \in \mathcal{R}_+$ if and only if each bounded sequence (x_n) with (Tx_n) weakly Cauchy has a weakly Cauchy subsequence.*
- (c) *$T \in \mathcal{W}_+$ if and only if each bounded sequence (x_n) with (Tx_n) weakly convergent has a weakly convergent subsequence.*
- (d) *$T \in \mathcal{U}_+$ if and only if each w.u.C. series $\sum_n x_n$ with $\sum_n Tx_n$ unconditionally convergent is unconditionally convergent.*
- (e) *$T \in \mathcal{C}_+$ if and only if each weakly Cauchy sequence (x_n) with (Tx_n) convergent is convergent.*
- (f) *$T \in \mathcal{WC}_+$ if and only if each weakly Cauchy sequence (x_n) with (Tx_n) weakly convergent is weakly convergent.*

The semigroups characterized in Proposition 8 were studied in [1, 4, 18, 20, 6, 16]. Also note that \mathcal{W}_+ is the class of tauberian operators and \mathcal{W}_- is the class of cotauberian operators introduced in [18] and [22], respectively.

Given a class \mathcal{A} of operators, its dual class \mathcal{A}^d is defined by its components

$$\mathcal{A}^d(X, Y) := \{T \in \mathcal{L}(X, Y) : T^* \in \mathcal{A}\}.$$

Observe that $\mathcal{K} = \mathcal{K}^d$, $\mathcal{W} = \mathcal{W}^d$, and that the dual class of an operator ideal is also an operator ideal [21].

REMARK 1. Let \mathcal{A} be one of the operator ideals \mathcal{K} , \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} and \mathcal{WC} . Then \mathcal{A} is injective and \mathcal{A}^d surjective [21]. Moreover $(\mathcal{A}^d)_- = (\mathcal{A}_+)^d$ [12, Section 3.5].

THEOREM 1. Let \mathcal{A} be one of the operator ideals \mathcal{K} , \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} and \mathcal{WC} . Then \mathcal{A}_+ satisfies the 3S-PO property and $(\mathcal{A}^d)_-$ satisfies the 3S-PB property.

PROOF. We begin with the result for \mathcal{A}_+ , in which we have to consider separately the following different cases. Recall that Δ is the closed subspace $\{(Tx, -Jx) : x \in X\}$ of $Y \oplus_1 Z$.

Suppose $\bar{T} \notin \mathcal{C}_+$. Then Z contains a weakly Cauchy sequence (z_n) with no convergent subsequence such that $(\bar{T}z_n)$ is convergent. Thus there exists $(y, z) \in Y \oplus_1 Z$ such that $\text{dist}((y, z - z_n), \Delta) \rightarrow 0$. Hence, for every n , we can select $(Tx_n, -Jx_n) \in \Delta$ such that

$$\|(y, z - z_n) - (Tx_n, -Jx_n)\|_1 = \|y - Tx_n\| + \|z - z_n + Jx_n\| \rightarrow 0.$$

Since $\|z - z_n + Jx_n\| \rightarrow 0$, (x_n) is a weakly Cauchy sequence having no convergent subsequences, and since $\|y - Tx_n\| \rightarrow 0$, (Tx_n) is convergent. Hence $T \notin \mathcal{C}_+$.

Suppose $\bar{T} \notin \mathcal{WC}_+$. Then there exists a weakly Cauchy sequence (z_n) in Z having no weakly convergent subsequence and such that $(\bar{T}z_n)$ is weakly convergent. Since for convex sets the norm closure and the weak closure coincide, we can find a sequence (w_k) of successive convex combinations of (z_n) such that (w_k) is weakly Cauchy and has no weakly convergent subsequence, and $(\bar{T}w_k)$ is convergent. Applying to (w_k) the argument we gave in the previous case we conclude that $T \notin \mathcal{WC}_+$.

Suppose $\bar{T} \notin \mathcal{R}_+$. Following the proof of Theorem 1 in [15], there exists a sequence (z_n) in Z equivalent to the unit vector basis of ℓ_1 such that $(\bar{T}z_n)$ converges to 0. Thus $\text{dist}((0, -z_n), \Delta) \rightarrow 0$, and repeating the argument of the case of \mathcal{C}_+ (with $(y, z) = (0, 0)$ here) we obtain a sequence (x_n) in X equivalent to the unit vector basis of ℓ_1 such that (Tx_n) converges to 0. Hence $T \notin \mathcal{R}_+$.

Suppose that $\bar{T} \notin \mathcal{U}_+$. Then there exists a sequence (z_n) in Z equivalent to the unit vector basis of c_0 such that $(\bar{T}z_n)$ converges to 0, and the argument of the case of \mathcal{R}_+ allows us to conclude that $T \notin \mathcal{U}_+$.

For \mathcal{W}_+ we can give a similar argument (a weakly convergent sequence admits a sequence of successive convex blocks which is convergent). Moreover, since \mathcal{K}_+ is the class of operators with closed range and finite dimensional kernel, we can apply Proposition 1.

The result for $(\mathcal{A}^d)_-$ is a direct consequence of the result for \mathcal{A}_+ , since $(\mathcal{A}^d)_- = (\mathcal{A}_+)^d$ and, by Proposition 2, we can identify the operators $(\underline{T})^*$ and (\bar{T}^*) . Moreover, since \mathcal{K}_- is the class of operators with closed range and finite codimensional range, this case is a direct consequence of Proposition 3. \square

4. Preservation of operator ideals by extension or lifting

We now consider operator ideals \mathcal{A} such that, given an exact sequence

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

satisfying some conditions and an operator $T : X \rightarrow W$, to check that $T \in \mathcal{A}$ it is enough to show that the restriction Tj is in \mathcal{A} . Clearly this property is equivalent to $j \in \mathcal{A}_-$.

We are also interested in operator ideals \mathcal{A} for which, under some conditions on the exact sequence, $q \in \mathcal{A}_+$. Equivalently, an operator $S : W \rightarrow X$ is in \mathcal{A} when $qS \in \mathcal{A}$.

The reason for the name of the following concept will be clear in Proposition 18.

DEFINITION 2. *We say that an operator ideal \mathcal{A} satisfies the $3S_-$ property if given an exact sequence*

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

with $q \in \mathcal{A}$, we have $j \in \mathcal{A}_-$.

The operator ideal \mathcal{K} satisfies the $3S_-$ property, but this is a trivial example because q compact implies Z finite dimensional.

PROPOSITION 9. *The operator ideals \mathcal{W} , \mathcal{R}^d , \mathcal{U}^d , \mathcal{C}^d and \mathcal{WC}^d satisfy the $3S_-$ property.*

PROOF. We consider an exact sequence

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

and assume first that $q \in \mathcal{W}$. Since \mathcal{W} is surjective, $Z \simeq X/j(Y)$ is reflexive. Hence $j \in \mathcal{W}_-$ by [12, Proposition 3.1.5], and \mathcal{W} satisfies the $3S_-$ property.

Let \mathcal{A}^d denote \mathcal{R}^d , \mathcal{U}^d , \mathcal{C}^d or \mathcal{WC}^d . Since \mathcal{A}^d is also surjective, using the characterization of $j \in (\mathcal{A}^d)_-$ given in [12, Proposition 3.5.12], we can apply the argument we used for \mathcal{W} . \square

PROPOSITION 10. *An operator ideal \mathcal{A} satisfies the $3S_-$ property if and only if given a commutative diagram*

$$\begin{array}{ccccccccc} 0 & \longrightarrow & Y & \xrightarrow{j} & X & \xrightarrow{q} & Z & \longrightarrow & 0 \\ & & \downarrow T & & \downarrow \hat{T} & & \parallel & & \\ 0 & \longrightarrow & Y' & \xrightarrow{i} & X' & \xrightarrow{p} & Z & \longrightarrow & 0 \end{array}$$

whose rows are exact sequences, we have $\hat{T} \in \mathcal{A}$ when q and T belong to \mathcal{A} .

PROOF. Suppose that \mathcal{A} satisfies the $3S_-$ property and $q, T \in \mathcal{A}$. Then $j \in \mathcal{A}_-$ and $iT = \hat{T}j \in \mathcal{A}$, hence $\hat{T} \in \mathcal{A}$.

For the converse implication, suppose that $q \in \mathcal{A}$, and let $\tau \in \mathcal{L}(X, E)$ such that $\tau j \in \mathcal{A}$. A look at the PO-diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & Y & \xrightarrow{j} & X & \xrightarrow{q} & Z & \longrightarrow & 0 \\ & & \downarrow \tau j & & \downarrow \overline{\tau j} & & \parallel & & \\ 0 & \longrightarrow & E & \xrightarrow{\overline{j}} & \text{PO} & \longrightarrow & Z & \longrightarrow & 0 \end{array}$$

shows that the hypothesis yields that $\overline{\tau j} \in \mathcal{A}$. The universal property of the push-out [5, Section A.4.1] implies the existence of an operator α making a commutative diagram

$$(3) \quad \begin{array}{ccc} Y & \xrightarrow{j} & X \\ \tau j \downarrow & & \downarrow \overline{\tau j} \\ E & \xrightarrow{\bar{j}} & PO \\ & \searrow id & \downarrow \alpha \\ & & E \end{array} \quad \begin{array}{l} \nearrow \tau \\ \searrow \end{array}$$

Therefore $\tau = \alpha \overline{\tau j} \in \mathcal{A}$, and we conclude $j \in \mathcal{A}_-$. \square

REMARK 2. The proof of Proposition 10 makes clear that in its statement it is enough to consider the PO-diagrams described in Formula (1).

An operator $T \in \mathcal{L}(X, Y)$ is *strictly singular* (denoted $T \in \mathcal{SS}$) if no restriction of T to an infinite dimensional subspace of X is an embedding; and T is *strictly cosingular* (denoted $T \in \mathcal{SC}$) if there is no infinite codimensional closed subspace N of Y such that $Q_N T$ is surjective, where $Q_N : Y \rightarrow Y/N$ is the quotient map. Both \mathcal{SS} and \mathcal{SC} are operator ideals, \mathcal{SS} is injective, not surjective, and \mathcal{SC} is surjective, not injective [21].

Note also that the existence of an exact sequence

$$0 \longrightarrow \ell_2 \xrightarrow{j} Z_2 \xrightarrow{q} \ell_2 \longrightarrow 0$$

with j strictly cosingular and q strictly singular was proved in [17, Theorem 6.4], and other examples of such exact sequences can be found in [7].

The following result is a direct consequence of [7, Lemma 8] and Proposition 10.

PROPOSITION 11. *The operator ideal \mathcal{SS} satisfies the $3S_-$ property.*

A Banach space \mathcal{X} is called *minimal* if every infinite dimensional subspace of \mathcal{X} contains a subspace isomorphic to \mathcal{X} . Example of minimal spaces are c_0 , ℓ_p ($1 \leq p < \infty$) and Tsirelson's space T^* . We refer to [8] for other examples of minimal spaces.

DEFINITION 3. *Let \mathcal{X} be a minimal space. We say that $T \in \mathcal{L}(X, Y)$ is \mathcal{X} -singular if there exists no subspace M of X isomorphic to \mathcal{X} such that the restriction of T to M is an isomorphism.*

The arguments in the proof of [23, Proposition on p. 289] show that the \mathcal{X} -singular operators form an operator ideal, which we denote $\mathcal{X}\text{-}\mathcal{S}$. Clearly $\mathcal{X}\text{-}\mathcal{S}$ is an injective operator ideal. Moreover $\ell_1\text{-}\mathcal{S} = \mathcal{R}$, the Rosenthal operators, and $c_0\text{-}\mathcal{S} = \mathcal{U}$, the unconditionally converging operators [12, Proposition 3.5.4].

PROPOSITION 12. *Let \mathcal{X} be a minimal Banach space. Then the operator ideal $\mathcal{X}\text{-}\mathcal{S}$ satisfies the $3S_-$ property.*

PROOF. Suppose in the statement of Proposition 10 that $T \in \mathcal{X}\text{-}\mathcal{S}$ but $\widehat{T} \notin \mathcal{X}\text{-}\mathcal{S}$. Then there exists a subspace M of X isomorphic to \mathcal{X} such that the restriction of \widehat{T} to M is an isomorphism.

From $T \in \mathcal{X}\text{-}\mathcal{S}$ we derive that $M \cap j(Y)$ is finite dimensional and $M + j(Y)$ is closed. Otherwise, a standard perturbation argument would allow us to show that there exist a

subspace N of M isomorphic to \mathcal{X} and a nuclear operator $K : X \rightarrow X$ with $\|K\|$ arbitrarily small norm such that $(I - K)(N) \subset j(Y)$ and Tj an isomorphism on $(I - K)(N)$, which is not possible. And from $M \cap j(Y)$ finite dimensional and $M + j(Y)$ closed we derive $q \notin \mathcal{X}\text{-}\mathcal{S}$, concluding the proof. \square

We say that a sequence (x_n) in a Banach space X is *weakly p -summable* ($1 \leq p < \infty$) when, for each $f \in X^*$, $\sum_{n=1}^{\infty} |f(x_n)|^p < \infty$. We say that (x_n) is *weakly ∞ -summable* if it is weakly null.

DEFINITION 4. *Let $1 \leq p \leq \infty$. We say that an operator $T \in \mathcal{L}(X, Y)$ is p -converging if $\|Tx_n\| \rightarrow 0$ for each weakly p -convergent sequence (x_n) in X .*

We denote by \mathcal{C}_p the class of p -converging operators. Note that \mathcal{C}_p ($1 \leq p \leq \infty$) is an injective, non-surjective, operator ideal [9, Lemma 2], $\mathcal{C}_\infty = \mathcal{C}$, the completely continuous operators, and $\mathcal{C}_1 = \mathcal{U}$, the unconditionally converging operators.

PROPOSITION 13. *For $1 \leq p \leq \infty$, the operator ideal \mathcal{C}_p satisfies the $3S_-$ property.*

PROOF. Suppose in the statement of Proposition 10 that $q, T \in \mathcal{C}_p$ and let (x_n) be a weakly p -convergent sequence in X . It is enough to show that a subsequence of $(\widehat{T}x_n)$ converges in norm to 0.

Since $q \in \mathcal{C}_p$ we get $\|qx_n\| \rightarrow 0$, and passing to a subsequence we can pick $y_n \in Y$ so that $\|x_n - y_n\| \leq 2^{-n}$. Thus (y_n) is also weakly p -summable in Y , and $T \in \mathcal{C}_p$ implies $\|Ty_n\| \rightarrow 0$. Hence $\|\widehat{T}x_n\| \rightarrow 0$. \square

The choice $\mathcal{A} = \mathcal{S}\mathcal{S}$ in the following result yields [11, Proposition 3.3].

PROPOSITION 14. *Let \mathcal{A} be an operator ideal satisfying the $3S_-$ property. Consider the following commutative diagram*

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & Y & \xrightarrow{f} & X & \xrightarrow{q_f} & Z \longrightarrow 0 \\
 & & j \downarrow & & \downarrow i & & \parallel \\
 0 & \longrightarrow & X' & \xrightarrow{g} & P & \xrightarrow{q_g} & Z \longrightarrow 0 \\
 & & q_j \downarrow & & \downarrow q_i & & \\
 & & Z' & = & Z' & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

whose rows and columns are exact sequences. Then $q_g, q_j \in \mathcal{A}$ if and only if $q_i, q_f \in \mathcal{A}$.

PROOF. Suppose $q_g, q_j \in \mathcal{A}$. Then $q_f = iq_g \in \mathcal{A}$. Moreover, by Proposition 10, we have $g, j \in \mathcal{A}_-$. Then $gj = if \in \mathcal{A}_-$, hence $i \in \mathcal{A}_-$ which implies $q_i \in \mathcal{A}$. The proof of the converse implication is identical. \square

Next we consider a dual version of Definition 2.

DEFINITION 5. *We say that an operator ideal \mathcal{A} satisfies the $3S_+$ property if given an exact sequence*

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

with $j \in \mathcal{A}$, we have $q \in \mathcal{A}_+$.

Again \mathcal{K} is a trivial example of operator ideal satisfying the $3S_+$ property. The following results gives some other examples.

PROPOSITION 15. *The operator ideals \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} and \mathcal{WC} satisfy the $3S_+$ property.*

PROOF. Let \mathcal{A} denote \mathcal{W} , \mathcal{R} , \mathcal{U} , \mathcal{C} or \mathcal{WC} . We consider an exact sequence

$$0 \longrightarrow Y \xrightarrow{j} X \xrightarrow{q} Z \longrightarrow 0$$

and assume that $j \in \mathcal{A}$. Since \mathcal{A} is injective, we get $Y \equiv \ker q \in Sp(\mathcal{A})$, and applying the characterizations of \mathcal{A}_+ in [12, Theorem 2.1.5 and Proposition 3.5.12], we get $q \in \mathcal{A}_+$. \square

A dual version of the proof of Proposition 10 proves the following result and shows that in its statement it is enough to consider the PB-diagrams described in Formula (2).

PROPOSITION 16. *An operator ideal \mathcal{A} satisfies the $3S_+$ property if and only if, given a commutative diagram*

$$\begin{array}{ccccccc} 0 & \longrightarrow & Y & \xrightarrow{i} & X' & \xrightarrow{p} & Z' & \longrightarrow & 0 \\ & & & & & & & & \\ & & & & \tilde{T} \downarrow & & \downarrow T & & \\ 0 & \longrightarrow & Y & \xrightarrow{j} & X & \xrightarrow{q} & Z & \longrightarrow & 0 \end{array}$$

whose rows are exact sequences, we have $\tilde{T} \in \mathcal{A}$ when j and T belong to \mathcal{A} .

PROPOSITION 17. *For $1 \leq p \leq \infty$, the operator ideal \mathcal{C}_p satisfies the $3S_+$ property.*

PROOF. We consider the commutative diagram in the statement of Proposition 16, and assume that $j, T \in \mathcal{C}_p$. Let (x_n) be a weakly p -convergent sequence in X' . It is enough to show that a subsequence of $(\tilde{T}x_n)$ converges in norm to 0.

Since $q\tilde{T} = Tp \in \mathcal{C}_p$, the sequence $(q\tilde{T}x_n)$ converges in norm to 0, and passing to a subsequence we can pick $y_n \in Y$ so that $\|\tilde{T}x_n - jy_n\| \leq 2^{-n}$. Thus (jy_n) , and also (y_n) , are weakly p -summable, and $j \in \mathcal{C}_p$ implies $\|jy_n\| \rightarrow 0$. Hence $\|\tilde{T}x_n\| \rightarrow 0$. \square

It follows from Proposition 16 and [7, Lemma 10] that \mathcal{SC} is a non-trivial example of operator ideal which satisfies the $3S_+$ property.

PROPOSITION 18. *Let \mathcal{A} be an operator ideal satisfying one of the properties $3S_-$ or $3S_+$. Then $Sp(\mathcal{A})$ satisfies the 3-space property.*

PROOF. Suppose that $Sp(\mathcal{A})$ fails the 3-space property, take a Banach space $X \notin Sp(\mathcal{A})$ with a subspace M such that $M, X/M \in Sp(\mathcal{A})$, and consider the exact sequence

$$0 \longrightarrow M \xrightarrow{j} X \xrightarrow{q} X/M \longrightarrow 0.$$

Then $j, q \in \mathcal{A}$ because $M, X/M \in Sp(\mathcal{A})$, but $I_X \notin \mathcal{A}$. Thus $j \notin \mathcal{A}_-$ and $q \notin \mathcal{A}_+$. Hence \mathcal{A} fails both the $3S_-$ and the $3S_+$ properties. \square

We can also state the following dual version of Proposition 14.

PROPOSITION 19. *Let \mathcal{A} be an operator ideal satisfying the $3S_+$ property. Consider the following commutative diagram*

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \uparrow & & \uparrow & & \\
 0 & \longleftarrow & Z & \xleftarrow{q_f} & X & \xleftarrow{f} & Y \longleftarrow 0 \\
 & & q_j \uparrow & & q_i \uparrow & & \parallel \\
 0 & \longleftarrow & X' & \xleftarrow{q_g} & P & \xleftarrow{g} & Y \longleftarrow 0 \\
 & & j \uparrow & & i \uparrow & & \\
 & & Y' & = & Y' & & \\
 & & \uparrow & & \uparrow & & \\
 & & 0 & & 0 & &
 \end{array}$$

whose rows and columns are exact sequences. Then $g, j \in \mathcal{A}$ if and only if $i, f \in \mathcal{A}$.

We leave the details of the proof to the interested reader.

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