

# Impact of short-chain galactooligosaccharides on the gut microbiome of lactose-intolerant individuals

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**Directed modulation of the colonic bacteria to metabolize lactose effectively is a potentially useful approach to improve lactose digestion and tolerance. A randomized, double-blind, multisite placebo-controlled trial conducted in human subjects demonstrated that administration of a highly purified (>95%) short-chain galactooligosaccharide (GOS), designated "RP-G28," significantly improved clinical outcomes for lactose digestion and tolerance. In these individuals, stool samples were collected pretreatment (day 0), after GOS treatment (day 36), and 30 d after GOS feeding stopped and consumption of dairy products was encouraged (day 66). In this study, changes in the fecal microbiome were investigated using 16S rRNA amplicon pyrosequencing and high-throughput quantitative PCR. At day 36, bifidobacterial populations were increased in 27 of 30 of GOS subjects (90%), demonstrating a bifidogenic response in vivo. Relative abundance of lactose-fermenting *Bifidobacterium*, *Faecalibacterium*, and *Lactobacillus* were significantly increased in response to GOS. When dairy was introduced into the diet, lactose-fermenting *Roseburia* species increased from day 36 to day 66. The results indicated a definitive change in the fecal microbiome of lactose-intolerant individuals, increasing the abundance of lactose-metabolizing bacteria that were responsive to dietary adaptation to GOS. This change correlated with clinical outcomes of improved lactose tolerance.**

prebiotic | GOS | lactose intolerance | microbiome

A limited ability to digest lactose occurs when the intestinal lactase enzyme is reduced in the brush border of the small bowel mucosa. Consumption of dairy foods by lactose-intolerant individuals may result in clinical symptoms including abdominal pain, diarrhea, bloating, flatulence, and abdominal cramping. In these cases, lactose travels through the gastrointestinal tract and is fermented in the colon, producing acetate, carbon dioxide, hydrogen gas, and methane by gas-producing microbes. Approximately 75% of the global human population are lactose malabsorbers (1, 2). In the United States, it is estimated that up to 80 million Americans are at risk for lactose intolerance.

Efforts to address lactose intolerance include avoidance of dairy foods, reduction in the lactose content of milk through treatment with microbial lactases, and the use of lactase enzymes to process milk before dairy consumption (3). Savaiano et al. conducted a randomized, double-blind, parallel-group, placebo-controlled study at two sites in the United States (4). A high purity (>95%) galactooligosaccharide (GOS/RP-G28) or a placebo (Sweetose; Tate & Lyle Ingredients) was administered in increasing doses to 62 lactose-intolerant subjects for 36 d. Subjects refrained from consuming lactose during the GOS treatment period. After GOS treatment, subjects reintroduced dairy products into their diets for an additional 30 d and then were challenged for

lactose digestion and were evaluated for symptoms improvement via a Likert scale. Subjects consuming GOS trended toward improvement in overall symptoms after 36 d of GOS feeding and for an additional 30 d after GOS treatment that included a dairy diet. Subjects on GOS were six times more likely than control subjects to claim they were lactose tolerant after dairy foods had been reintroduced into their diets posttreatment ( $P = 0.0389$ ).

GOS are short-chain galactooligosaccharides comprising two to five residues of galactose terminating with an N-terminal glucose that form lactose as a terminal disaccharide within the molecule. These oligosaccharides are not digestible by humans but are readily fermented by some members of the commensal microbiota capable of fermenting GOS and lactose, notably lactobacilli and bifidobacteria, and also by other microbial members of the intestinal community (5). The pathway for GOS utilization was demonstrated for *Lactobacillus acidophilus* (6) and was shown

## Significance

Approximately 75% of the global human population are lactose malabsorbers. In a previous clinical trial, it was shown that feeding a high-purity galactooligosaccharide (>95% GOS) could improve symptoms of lactose-intolerant subjects, attaining lactose tolerance in a majority of subjects. To investigate the mechanism, we examined the microbiome of human subjects before and after GOS feeding. The results show a significant shift in the microbiome of responsive individuals, including lactose-fermenting microbes in their stools. The high-purity prebiotic GOS resulted in adaptive shifts in the microbiome and correlated with improvement in clinical symptoms.

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**Table 1. Chemical composition of the GOS preparation RP-G28 used in the present study**

Component	% of GOS/RP-G28
Glucose	<0.1
Galactose	<0.1
Lactose	<3.2
GOS total	95–97
GOS-1 (Hexa)	11–16
GOS-2 (Penta)	
GOS-3 (Tetra)	26–30
GOS-4 (Tri)	56–59
GOS-5 (di)	<0.2
GOS-6 (mono)	0.5–2.2

to be driven by the LacS permease that transports both lactose and GOS into the bacterium. GOS then is broken down intracellularly by galactosidases, and eventually  $\beta$ -galactosidase hydrolyzes the terminal lactose. GOS consumption has been reported to promote the growth of bifidobacteria in the human gastrointestinal tract, a bifidogenic response widely considered beneficial because intrinsic *Bifidobacterium* populations were elevated (5).

The objective of this study was to investigate whether a shift occurred in the fecal microbiome of lactose-intolerant human subjects who were treated with GOS and a dairy diet and who exhibited a clinical response toward lactose tolerance. Fecal samples were taken at day 0, day 36, and day 66, and microbiome changes were evaluated by 16S rRNA amplicon sequencing and high-throughput quantitative PCR (qPCR) within each subject over the three time points to determine if changes occurred in the microbiome of lactose-intolerant individuals consuming GOS.

## Results

### Experimental Design and Chemical Composition of GOS/RP-G28.

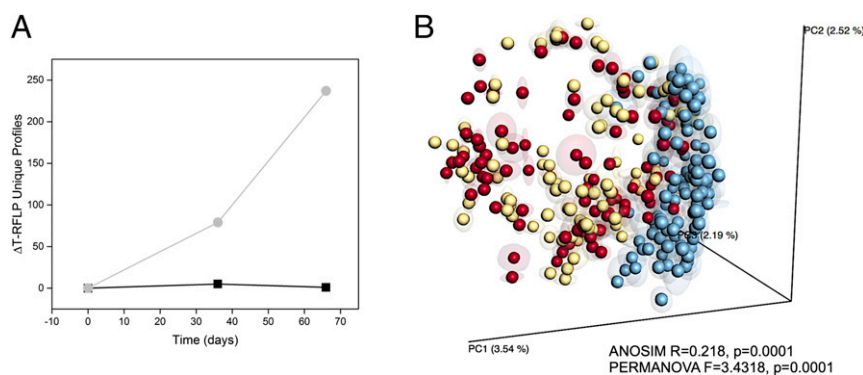
Subjects with a self-reported history of lactose intolerance were assessed via a hydrogen breath test (HBT) after a 25-g lactose challenge. Individuals with a minimum symptom score and a positive HBT were randomly assigned to either GOS- or placebo-treatment groups (2:1). The placebo was corn syrup (Sweetose) with a consistency and sweetness similar to GOS and was used at the same dosing schedule and with the same packaging. GOS and placebo were escalated in 5-d increments from 1.5 g to 15 g consumed once a day. The subjects avoided dairy during the 35-d treatment. After completion of the treatment period, subjects

were instructed to reintroduce dairy foods into their diets. Stool samples from the treatment and placebo groups were collected at days 0, 36, and 66 (4). The GOS preparation used throughout this study and in the prior clinical study by Savaiano et al. (4), designated “RP-G28” (Ritter Pharmaceuticals, Inc.), was >95% GOS (Table 1).

### Characterization of the Gut Microbiome of Lactose-Intolerant Individuals.

Microbiome metrics of fecal samples from patients enrolled in a randomized, double-blind, parallel-group, placebo-controlled study conducted to test the effectiveness of RP-G28 in two sites in the United States (4) by terminal restriction length polymorphism analysis (T-RFLP) and 16S rRNA amplicon pyrosequencing. T-RFLP analysis was conducted in all treatment and placebo samples ( $n = 380$ ). At the time of this analysis, T-RFLP was a cost-effective method for analyzing the composition of the gut microbiome in a large number of samples. Placebo samples were analyzed only by T-RFLP and showed no changes in microbiome composition over time (Fig. 1A); therefore we performed 16S rRNA gene sequencing only on GOS samples. In the GOS group, the baseline samples (day 0) served as each individual's own control. T-RFLP analysis is based on PCR amplification and endonuclease restriction-generated fragments which permit a qualitative assessment of unique bacterial groups present, even rare ones, but do not provide information about the relative abundance of any given bacterial species. In this study, peak files for all samples were compressed in silico to identify unique terminal restriction fragments (TRFs). A direct comparison of unique TRFs represented in at least 3% (if TRFs were binned, 2% if not) of the total samples from treatment and placebo showed a significant change in the number of TRFs that were differentially represented (over- or underrepresented) (79 unique peaks) in day 36 samples from the treatment group compared with the placebo group (Fig. 1A). Moreover, a dramatic change occurred in the GOS treatment group at day 66, after the cessation of GOS at day 36 and the addition of dairy to the diet. This analysis represents only changes in number of unique TRFs without discriminating between positive or negative changes.

We next performed 16S rRNA amplicon pyrosequencing only on samples from the treatment group ( $n = 307$ ). We included the three fecal samples obtained from each subject at three time points (a total of nine samples per individual). Amplicon sequencing yielded a total of 911,970 sequences ( $2,990 \pm 1,677$  reads per sample) and an average of  $2,990 \pm 1,679$  operational taxonomic units (OTUs) per sample. Sequencing data assigned the majority of OTUs to the phyla Firmicutes (48.7  $\pm$  27.1%), Bacteroidetes (43.9  $\pm$  26%), and Proteobacteria (1.0  $\pm$  1.6%);



**Fig. 1.** Overall microbiome analysis of individuals fed GOS/dairy. (A) Unique TRFs differentially represented in each time point compared with day 0 for subjects fed GOS (light gray line) versus the placebo/control groups (black line). Peak files for all samples were compressed in silico to identify unique TRFs. The analysis represents only changes in the number of unique TRFs without discriminating between positive or negative changes. (B) Unweighted UniFrac-based PCoA of microbial communities from individuals at day 0 (pretreatment; red dots), day 36 (GOS consumption; yellow dots), and day 66 (GOS halted, dairy consumption encouraged; blue dots). Fecal samples were obtained in triplicate from each subject at three time points (a total of nine samples per individual) were included in this analysis. ANOSIM- and PERMANOVA-generated parameters are indicated in the plot. PC1 and PC2, principal components 1 and 2.

Fusobacteria, Tenericutes, Elusimicrobia, Actinobacteria, Synergistetes, Cyanobacteria, and Lentisphaerae were detected at levels <1%, in agreement with previous reports of the human gut microbiome composition (7). In addition,  $5.5 \pm 4\%$  of sequences were not assigned to any bacterial phylum. It should be noted that while our study was underway the sequencing primers used for 16S rRNA amplicon sequencing were reported to underrepresent the phylum Actinobacteria and specifically *Bifidobacterium* species (8).

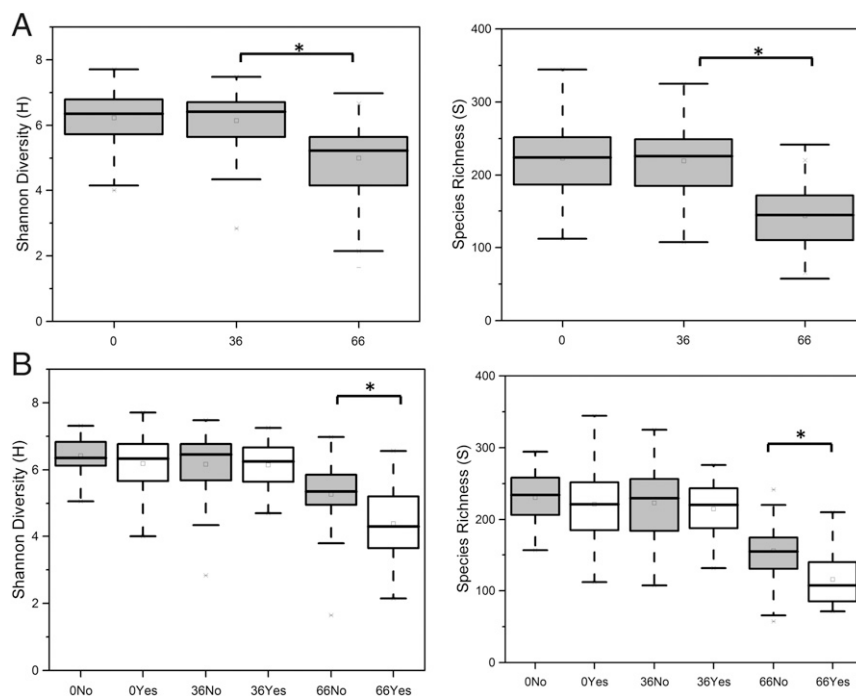
More in-depth analysis by unweighted Unifrac similarity matrices and subsequent analysis of similarities (ANOSIM) and permutational multivariate analysis of variance (PERMANOVA) analyses revealed a significant correlation (ANOSIM  $R = 0.218$ ,  $P = 0.0001$ ; PERMANOVA pseudo- $F = 3.4318$ ,  $P = 0.0001$ ) between microbiome composition and the day when GOS-fed subjects were sampled (day 0 or baseline, and day 36 and day 66 as categories) (Fig. 1B). The variance percent explained in the principal coordinate analysis (PCoA) suggested that the microbiome of fecal samples from individuals at day 36 was not dramatically impacted by GOS, but at day 66, when dairy had been reincorporated in the diet, the microbiome shifted to specific microbial clusters. Grouping of samples by individual patient was also statistically significant (ANOSIM  $R = 0.7064$ ,  $P = 0.0001$ ; PERMANOVA pseudo- $F = 2.1239$ ,  $P = 0.0001$ ). Finally, a small but statistically significant (ANOSIM  $R < 0.2$ ;  $P < 0.05$ , PERMANOVA pseudo- $F > 1$ ,  $P = 0.0001$ ) effect on variables was observed for the following factors: sex, weight, bloating, cramping, and abdominal pain, and for the combined strata of bloating, cramping, and abdominal pain by day. No effect was observed for the factors location, diarrhea, and flatulence (ANOSIM  $< 0.2$ ,  $P > 0.05$ ) (Table S1).

We observed significant differences (ANOVA with Tukey pairwise comparisons  $P < 0.05$ ) in Shannon diversity (H) and species richness (S) indexes between groups at different days (Fig. 2). Specifically, all samples had significantly lower diversity

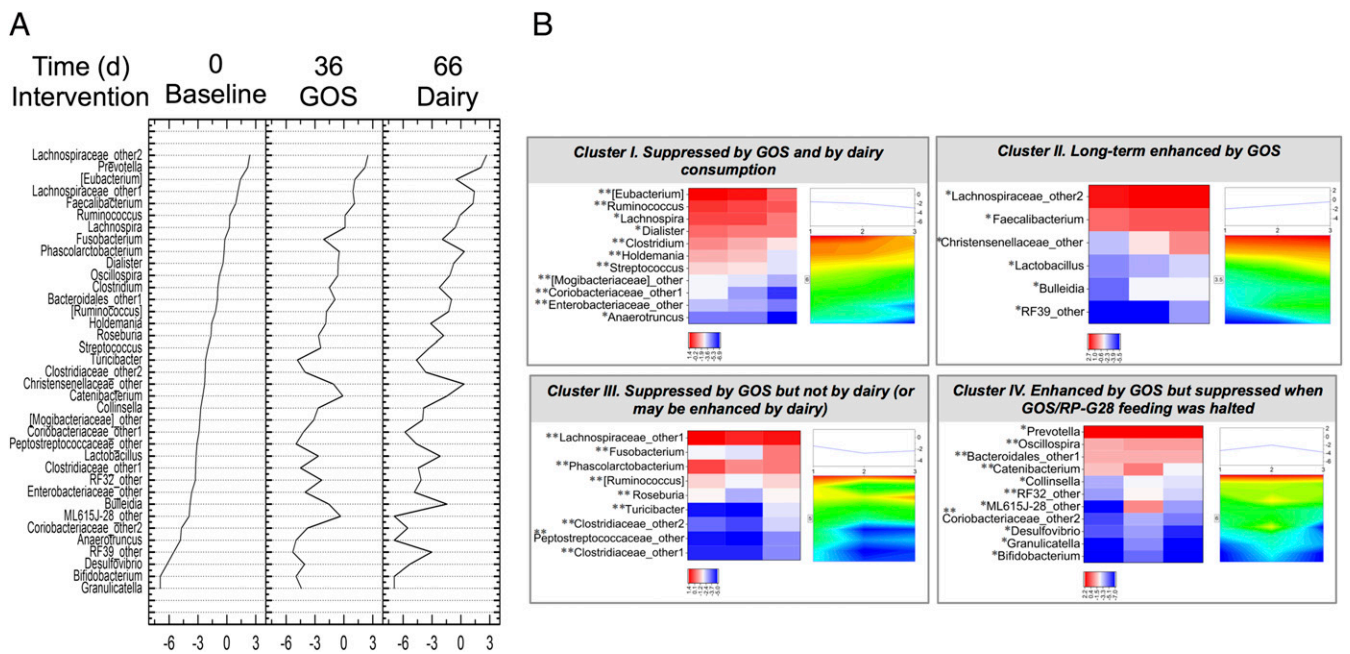
and lower richness at day 66 than at day 0. Additionally, we observed lower microbial diversity and richness values at day 66 in individuals reporting cramping (H =  $4.38 \pm 1.11$  and S =  $116.38 \pm 38.66$ ) than in individuals not reporting this symptom (H =  $5.27 \pm 0.96$  and S =  $155.56 \pm 37.66$ ). No significant associations were identified between diversity and richness indexes and sex for other gastrointestinal (GI) symptoms (bloating, flatulence, diarrhea, or abdominal pain).

#### Impact of GOS on the Composition and Function of the Gut Microbiome.

To identify specific taxa affected by GOS consumption followed by a lactose/dairy diet, we conducted pairwise comparisons at the phylum and genus levels between days 0, 36, and 66 for individuals in the treatment group. Significant differences [Wilcoxon signed-rank test with false-discovery rate (FDR) correction  $P < 0.05$ ] in the relative abundance of the phyla Fusobacteria and Actinobacteria were identified. The abundance of Fusobacteria decreased at days 36 and 66 (Fig. S1), whereas Actinobacteria showed a significant increase in responders at day 36 (see Fig. 4) and then a decrease at day 66 following cessation of GOS feeding. Analysis conducted at the genus level showed clear changes in microbiome profiles at days 36 and 66 compared with the baseline (day 0) (Fig. 3A and Table S2), with genera significantly (Wilcoxon signed-rank test  $P < 0.05$ ; with FDR correction  $P < 0.05$ ) or borderline significantly (Wilcoxon signed-rank test  $P < 0.05$ ; with FDR correction  $P > 0.05$ ) differentially represented in response to the interventions. In this analysis we included over- or underrepresented taxa that were of borderline significance because the applied FDR correction tends to be overly restrictive, and because lineages that, based on our experience, were relevant for this study fell into that category. Moreover, the sequencing primers used in the present study have been reported to underrepresent the phylum Actinobacteria and specifically *Bifidobacterium* species (8).



**Fig. 2.** Comparisons of Shannon diversity (H; number of species and species evenness) (Left) and species richness (S; number of species) (Right) indexes at day 0, day 36, and day 66 in all individuals (A) and in individuals reporting cramping (B). ANOVA analysis and Tukey tests were performed to identify statistically significant differences in relative abundance between time points ( $P < 0.05$ ). Yes, subject reports cramping; No, subject reports no cramping. Boxes represent 25–75%, and whiskers represent the 1.5 interquartile range. Horizontal lines within boxes represent median values.



**Fig. 3.** (A) Statistically significant changes to the microbiome profiles of subjects at day 36 when receiving GOS and at day 66 d after dairy consumption was resumed. The y axis shows the relative abundance of taxa impacted by either or both treatments. (B) Cluster analysis of taxa impacted by treatments. Each panel represents the identified trends in log<sub>2</sub>-transformed relative abundances of bacteria in response to GOS and dairy. Two-dimensional heat maps and trend plots of clusters I, II, III, and IV at the genus level provide visual representations of the direction and intensity of the changes in relative abundances caused by each treatment. \* $P < 0.05$ , uncorrected Wilcoxon signed-rank test; \*\* $P < 0.05$ , FDR-corrected Wilcoxon signed-rank test.

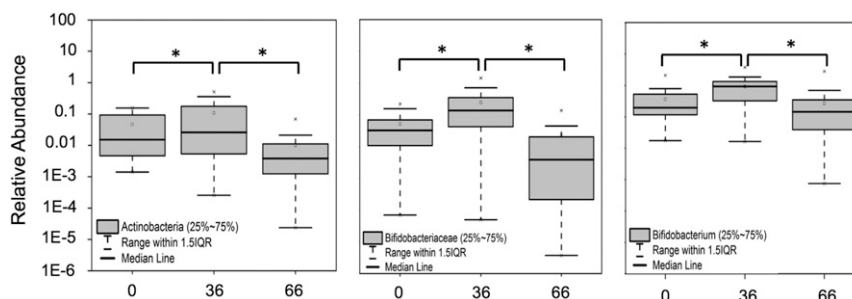
The most impacted phylum was Firmicutes, with 25 genera modified, followed by Proteobacteria (five genera), Actinobacteria (four genera), Bacteroidetes (two genera), and Fusobacteria (one genus, *Fusobacterium*). Cluster analysis of significantly differentially represented genera identified four different clusters (Fig. 3B). Cluster I included taxa that were underrepresented at days 36 and 66 (i.e., their relative abundance showed a linear decrease over time), cluster II included taxa that were overrepresented at days 36 and 66 (i.e., their relative abundance showed a linear increase over time), cluster III included taxa that were underrepresented at day 36 but were overrepresented at 66 (compared with the previous time point), and cluster IV included taxa that were overrepresented at day 36 but were underrepresented at day 66. Genera in cluster I, interpreted as taxa suppressed by GOS and dairy, included genera in Enterobacteriaceae, *Streptococcus*, *Clostridium*, *Lachnospira*, *Anaerotruncus*, *Ruminococcus*, and others. Cluster II, interpreted as taxa enhanced in the long term by GOS, included the health-promoting genera *Lactobacillus* and *Faecalibacterium*; the latter was found at lower population levels in individuals with inflammatory bowel diseases (9, 10). Also enhanced in population were Christensenellaceae (Christensenellaceae\_other), a poorly defined family enriched in individuals with a low body mass index (BMI). The cluster also included undefined Lachnospiraceae (Lachnospiraceae\_2), *Bulleidia*, and a poorly characterized group of Tenericutes (RF39\_other). Cluster III, interpreted as taxa suppressed by the GOS but not by dairy (or perhaps enhanced by dairy) comprised most of the Firmicutes, including lactose-fermenting *Roseburia* and other potential butyrate producers. Also included in the suppressed cluster at day 36 following GOS feeding was *Fusobacterium*, a genus that has been widely associated with adenomas and colorectal cancer (11–13). Finally, cluster IV, interpreted as taxa increased by GOS but decreased when GOS feeding was halted, included three Actinobacteria lineages: *Bifidobacterium*, undefined Coriobacteriaceae (Coriobacteriaceae\_other2), and *Collinsella*; two Bacteroidetes: *Prevotella* and Bacteroidales\_other1; and

four Firmicutes: *Granulicatella* in the Lactobacillales order, *Oscillospira*, and undefined Clostridiaceae (Clostridiaceae\_other 2) in the Clostridiales order, and *Catenibacterium* in the Erysipelotrichales order. Cluster I also included two Proteobacteria and Tenericutes genera.

Analysis of predicted  $\beta$ -galactosidases from our 16S amplicon sequencing dataset using Phylogenetic Investigation of Communities by Reconstruction of Unobserved States (PICRUSt) (14) showed a significant (Kruskal–Wallis  $P < 0.01$ ) incremental change in the relative abundance of *ebgA*, an evolved  $\beta$ -galactosidase subunit  $\alpha$  (EC:3.2.1.23), and a borderline significant increase ( $P = 0.07$ ) of *lacA*,  $\beta$ -galactosidase (EC:3.2.1.23), over time (Table S3). The abundance of *lacG*, a 6-phospho- $\beta$ -galactosidase (EC:3.2.1.85), showed lower values over time.

**Impact of GOS on *Bifidobacterium* Species of the Human Gut Microbiome of Lactose-Intolerant Individuals.** Increased abundance of *Bifidobacterium* has been reported following prebiotic consumption (15, 16). Therefore, *Bifidobacterium* species were quantified in stool samples from lactose-intolerant individuals who were clinically responsive to dietary intervention with GOS. Two hundred thirty-eight samples (of a total of 366), corresponding to 30 subjects at three time points (days 0, 36, and 66), were analyzed by high-throughput qPCR using specific 16S rRNA gene and GroEL probes. Data showed a significant increase at day 36 (Fig. 4) in the relative abundance of the phylum Actinobacteria (increased 25-fold on average in treatment samples), the family Bifidobacteriaceae (increased 14-fold), and the genus *Bifidobacterium* (increased onefold on average) in stool samples from individuals consuming GOS as compared with controls (the levels in the same individuals at day 0). At day 66 the abundance of these taxa returned to baseline (day 0) levels.

Quantification of *Bifidobacterium bifidum*, *Bifidobacterium longum*, *Bifidobacterium dentium*, *Bifidobacterium adolescentis*, *Bifidobacterium angulatum*, *Bifidobacterium catenulatum*, *Bifidobacterium lactis*, *Bifidobacterium breve*, and *Bifidobacterium gallicum*



**Fig. 4.** High-throughput qPCR determination of the relative abundance of Actinobacteria (Left), Bifidobacteriaceae (Center), and *Bifidobacterium* (Right) at day 0 (pretreatment), day 36 (GOS consumption), and day 66 (GOS halted, dairy consumption encouraged). ANOVA analysis and Tukey tests were performed to identify statistically significant differences in relative abundance between time points. \* $P < 0.05$ .

was carried out using species-specific 16S and GroEL primers (Fig. 5). The species *B. longum* (increased between 42- and 108-fold, according to data obtained with GroEL and 16S primers, respectively), *B. adolescentis* (increased between 45- and 8,212-fold), *B. catenulatum* (increased between 25 and 1,874-fold), and *B. breve* (increased an average of 46-fold detected by the GroEL probe) were significantly overrepresented at day 36 compared with day 0, whereas the abundance of *B. dentium* was significantly higher at day 66. The abundance of *B. lactis* and *B. gallicum* also increased at day 36, but the changes did not reach statistical significance. A linear fit analysis was performed to assess the correlation between the 16S rRNA gene and GroEL primers for detection of the same *Bifidobacterium* species. The analysis indicated a good correlation (Pearson's  $r > 0.70$ ) for *B. longum*, *B. adolescentis*, *B. bifidum*, *B. angulatum*, and *B. gallicum* (Fig. S2); lower correlation indices (Pearson's  $r < 0.70$ ) were observed for *B. catenulatum*, *B. lactis*, *B. dentium*, and *B. breve* (Table S4).

**Correlations Between Changes in the Gut Microbiome and Clinical Findings.** In this study, the longitudinal high-throughput qPCR analysis of samples showed a bifidogenic response in 90% (27/30) of treated individuals (Fig. S3A–D), with only three obvious nonresponders (Fig. S3E). Regarding a symptomatic response, 71% (25/35) of treated individuals reported alleviation of at least one symptom (pain, bloating, diarrhea, cramping, or flatulence) after GOS feeding at day 33, and 69% (24/35) reported symptom alleviation at day 66 when dairy was reintroduced into the diet (4). The three subjects who did not show a bifidogenic response were symptomatic responders. All symptomatic nonresponders demonstrated a bifidogenic response.

A repeated-measures logistic regression model was applied to identify positive or negative correlations between specific bacteria and symptoms (Fig. 6). In this model the estimate values indicate how much the response (log odds of reporting each symptom) increases for a one-unit increase in the predictor (bacteria). Our data showed negative estimate values (indicating that an increase in the group was associated with a decreased likelihood of the symptom) for *Bifidobacterium* in association with pain and cramping outcomes, whereas positive estimates values were observed for *Coprobacillus* and the family Rikenellaceae in association with the same symptoms. Fig. 6 shows all positive and negative correlations between bacterial occurrences and reported symptoms.

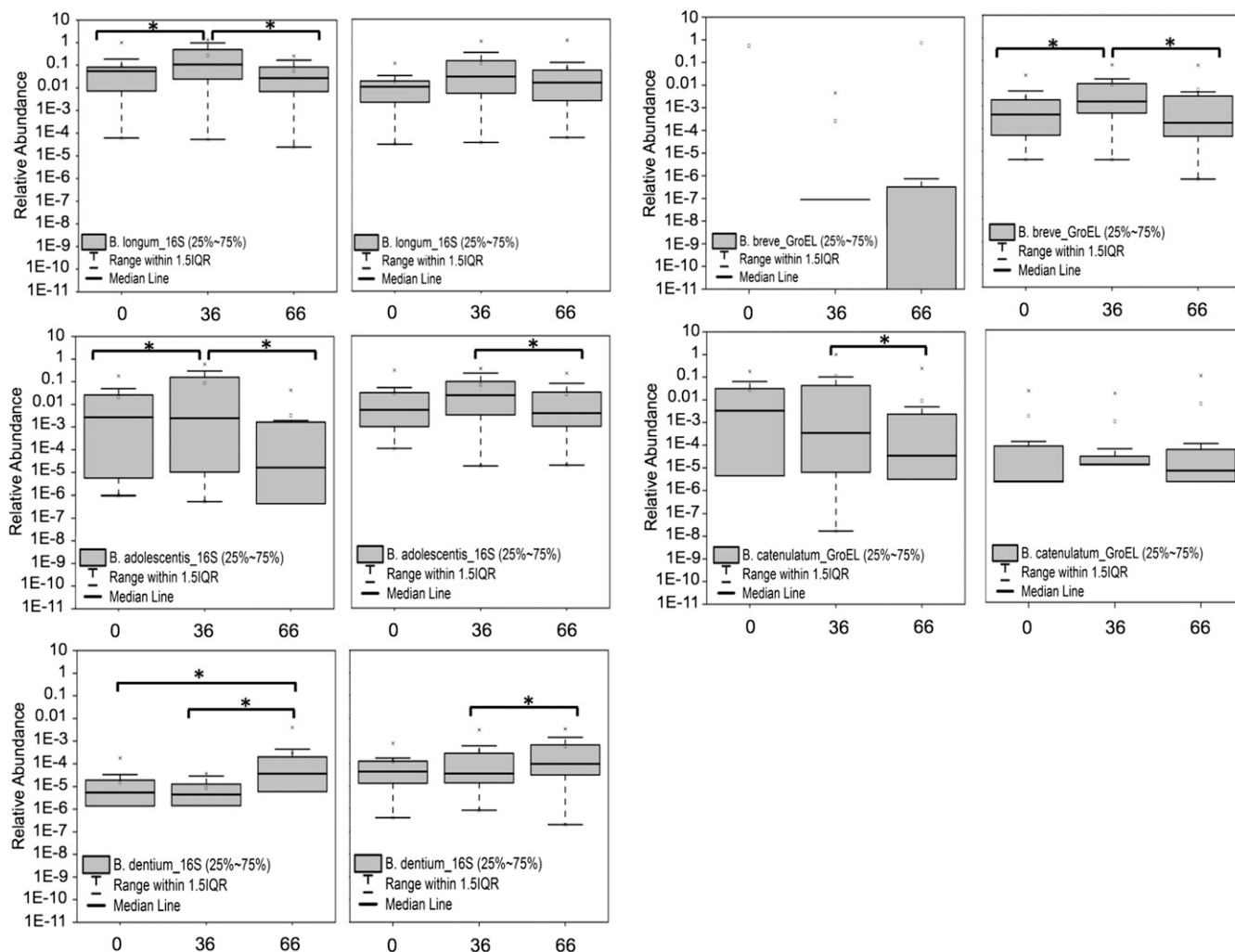
## Discussion

This study demonstrates modulation of the gut microbiome of lactose-intolerant individuals by GOS. The high-purity (>95%) prebiotic significantly modified the microbiome composition at day 36 (based on T-RFLP analysis) and further manifested significant alterations in diversity parameters (Shannon diversity index and species richness) after dairy was reintroduced into the

diet of lactose-intolerant participants. The clinical trial included subjects who consumed GOS but refrained from consuming dairy for 36 d, then stopped GOS consumption and reintroduced dairy into their diets for 30 additional days, until day 66. The changes in microbiome composition reported here included increases in lactose-fermenting *Bifidobacterium*, *Lactobacillus*, and *Faecalibacterium*, and those changes correlated with an improvement of symptoms for lactose-intolerant subjects who were participating in the study (4). In this aligned clinical study, 50% of the subjects with abdominal pain at baseline reported no abdominal pain at the end of treatment with GOS (at day 36), and at 30 d post-treatment (at day 66) ( $P = 0.0190$ ). Subjects fed GOS were also six times more likely to claim lactose tolerance post-treatment after dairy foods had been reintroduced into their diets ( $P = 0.0389$ ).

Our original hypothesis was that incremental GOS feeding would adapt the microbiome to beneficial lactose-fermenting bacteria that do not produce gas. Analysis of predicted  $\beta$ -galactosidases showed incremental changes in the relative abundance of *ebgA*, an evolved  $\beta$ -galactosidase subunit  $\alpha$  (EC:3.2.1.23), and *lacA*,  $\beta$ -galactosidase (EC:3.2.1.23), over time in response to GOS and dairy feeding, suggesting that such microbiome adaptation occurred (Table S3). We also noted a decreased diversity in the microbiome of subjects when dairy was reintroduced to their diets (at day 66) after GOS-feeding was halted; this decreased diversity could be associated with the increased abundance of *Bifidobacterium* and *Lactobacillus* species and a concomitant increase in the intraluminal concentration of organic acids, including acetate, butyrate, and lactate (17). Moreover, a previous study showed that an animal-based diet (containing cheese products) had a greater impact on the gut microbiota than a plant-based diet consisting of grains, legumes, fruits, and vegetables (18), although the study reported no changes in  $\alpha$ -diversity. A further decrease in microbiome diversity was noted in patients reporting cramping at day 66 compared with individuals not reporting that symptom at that time point. There are no reports in the literature of changes in diversity in correlation with abdominal cramping. No other symptom correlated with decreases in diversity.

In our study, at least 11 microbial genera were impacted by GOS feeding, in contrast to a previous report (5) in which GOS feeding was reported to enhance bifidobacteria selectively without consistently increasing or decreasing other specific bacterial lineages. We observed an increased abundance of *Bifidobacterium* in response to GOS at day 36 that was confirmed by high-throughput qPCR using bifidobacterial-specific primers. *B. longum*, *B. adolescentis*, and *B. catenulatum* were increased in response to GOS, as previously reported in vitro and in vivo (5). In addition, the relative abundances of *B. breve* and *B. dentium* were significantly higher at day 36 and 66, respectively. *B. longum*, *B. adolescentis*, *B. catenulatum*, and *B. breve* have been reported to encode more than one type of  $\beta$ -galactosidase, a



**Fig. 5.** Relative abundance of *Bifidobacterium* species at day 0 (pretreatment), day 36 (GOS consumption), and day 66 (GOS halted, dairy consumption encouraged) using 16S rRNA-specific (Left) and *groEL*-specific (Right) primers. 16S rRNA-specific primers did not permit accurate quantification of *B. breve* at days 0 and 36. ANOVA analysis and Tukey tests were performed to identify statistically significant differences in relative abundance between time points. \* $P < 0.05$ .

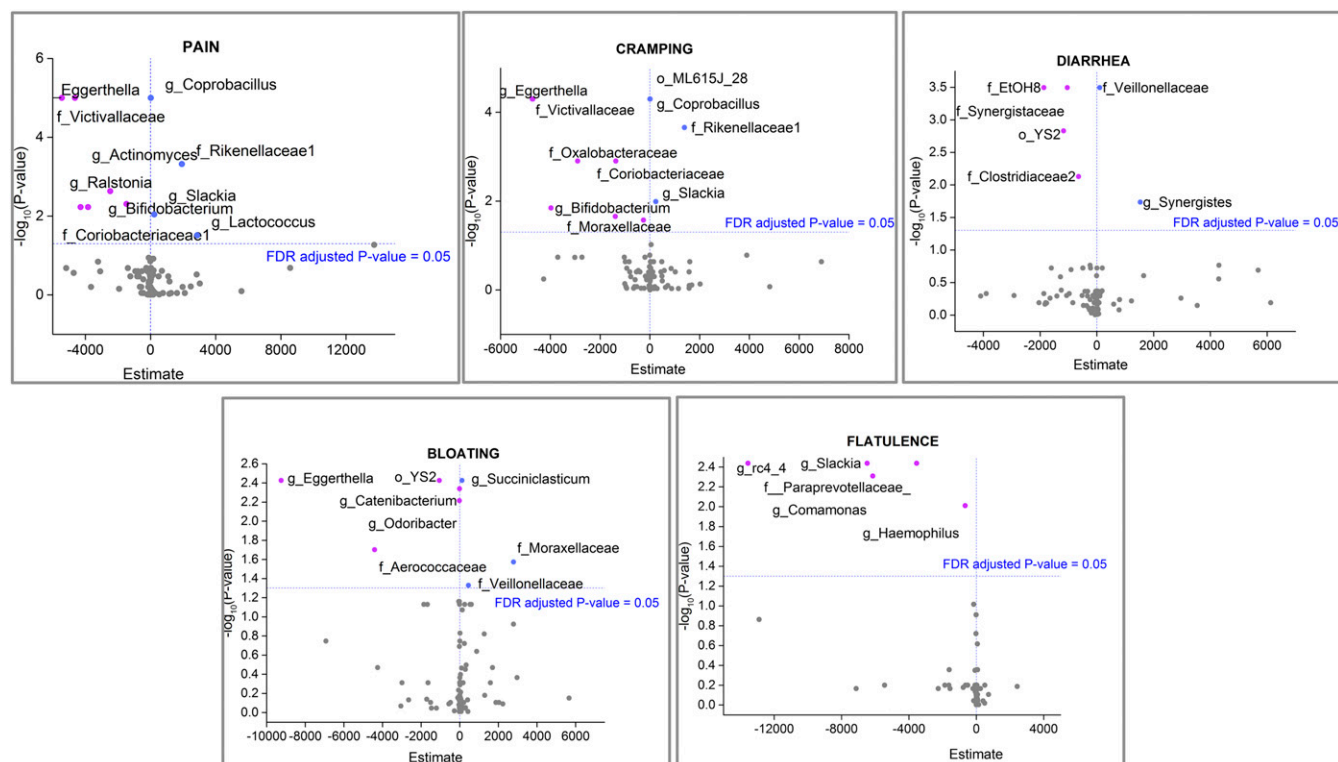
glycolytic enzyme essential for GOS metabolism that typically is genetically linked with a LacS permease responsible for transport and internalization of GOS (6). *B. dentium* has been less thoroughly characterized, is mostly associated with the oral cavity, and recently was shown to be increased in the stools of 100- to 108-y-old centenarians living in Bama, Guangxi (China) as compared with younger (80- to 99-y-old) elderly persons (19).

In our study, the longitudinal analysis of a cohort of GOS-consuming individuals showed that 90% (27/30) had a bifidogenic response at day 36 and that three were clear nonresponders. These results significantly expand a previous report in which the bifidogenic response to GOS occurred in 50% of subjects fed a daily dose of 5 g/d (responders), whereas the other subjects were consistent nonresponders (5). Our study used GOS that was >95% pure for the oligosaccharide, with <5% contamination by glucose, galactose, and lactose.

*Faecalibacterium*, *Lactobacillus*, and taxa of the family Christensenellaceae were among the taxa enhanced by GOS and a subsequent dairy diet. Strains of the *Lactobacillus* genus are widely acknowledged to be beneficial, and their properties have been reviewed extensively (20). Given their widespread association with a variety of different environments, including dairy, meat, vegetable, and grain-fermented foods and as commensals in the gas-

trointestinal tract, this genus has been widely consumed and also has been used in dietary supplements to promote general health and well-being (21–23). Recent human microbiome studies have identified *Faecalibacterium prausnitzii* as an organism with anti-inflammatory properties and have reported it to be an indicator microbial population that was lowered in subjects with Crohn's disease and ulcerative colitis (10, 24). In addition to GOS, reported herein, *Faecalibacterium* also has been shown to be stimulated by the fructooligosaccharide inulin, and specific strains are reported to grow in host-derived substrates such as *N*-acetylglucosamine (25). Finally, the Christensenellaceae family was enhanced by GOS and dairy. This family has been reported to be enriched in persons who have a low BMI. One culturable member of this family, *Christensenella minuta*, was associated with reduced weight gain and altered the microbiome in mice (26).

With the reintroduction of dairy to the diet, the relative abundance of butyrate producers in the families Clostridiaceae and Lachnospiraceae and the genus *Roseburia* increased. The abundance of other Clostridia in the genera *Clostridium*, *Lachnospira*, *Ruminococcus*, *Dialister*, and *Anaerotruncus* actually decreased at day 36 and day 66, highlighting the diversity of the microbiome environment.



**Fig. 6.** Volcano plots showing the  $-\log_{10}(P\text{-value})$  (x axis) versus estimate values (y axis) of taxa significantly associated with specific symptoms. Estimate values indicate how much the response (log odds of reporting each symptom) increases with a one-unit increase in the predictor (bacteria). A positive estimate value means an increase in the group was associated with an increased likelihood of outcome. A negative estimate value means an increase in the group was associated with a decreased likelihood of outcome. Significant estimate values (FDR-adjusted  $P$  value  $< 0.05$ ) are represented in pink (negative) and blue (positive).

Regression analysis of data identified specific taxa positively or negatively correlated with symptoms. *Bifidobacterium* showed a negative correlation with cramping and pain. Interestingly, also showing a negative correlation with those symptoms was the family Victivallaceae, a taxon that contains one described species, *Victivallis vadensis*, originally known as “strain Cello<sup>T</sup>” because it was isolated using cellobiose as a carbon source (27). Bacterial strains capable of metabolizing cellobiose are also capable of metabolizing lactose. To our knowledge there are no reports of direct correlations between occurrences of specific bacteria and the likelihood of symptom outcome.

It is notable that many studies reported on GOS have used impure material that often comprises only  $\sim 50\%$  GOS, with the remainder being monosaccharides (glucose and galactose) and lactose. In this study, highly purified GOS ( $>95\%$  purity) was found to alter the microbiome of lactose-intolerant subjects significantly, and those changes correlated with positive symptom responses for lactose tolerance (4). GOS plus subsequent dairy consumption modulated a shift in the microbiome with increases in the relative abundance of proven beneficial microbes (*Lactobacillus*, *Bifidobacterium*, *Faecalibacterium*, and *Roseburia*) and decreases in potentially detrimental taxa (Enterobacteriaceae) in the individuals who responded.

## Materials and Methods

**Experimental Design.** An overview of the original study design has been reported previously (4). The study was a randomized, double-blind, parallel-group, placebo-controlled study conducted at two sites in the United States. The trial was approved by the Independent Investigational Review Board, Inc., Plantation, FL, on February 15, 2011, and the research was carried out in accordance with the clinical research practices defined in the Good Clinical Practice Guidelines of the International Conference on Harmonization. After

informed consent was obtained, 395 eligible subjects underwent screening assessments; of these, 85 were randomized (57 to RP-G28 and 28 placebo). In the randomized population, 42% were male with a mean age of 41 y and mean BMI of 27.1 (the ethnicity breakdown can be found in Table S5). There were no obvious differences in the two treatment groups. Participants had a self-reported history of dairy intolerance. Baseline lactose digestion was measured by hydrogen production via the HBT, and lactose-intolerance symptoms (abdominal pain, flatulence, cramping, bloating, and diarrhea) were measured via a patient-reported symptom-assessment Likert scale after a 25-g lactose challenge. Eligible subjects were required to demonstrate a minimum symptom score and a positive HBT to be eligible for randomization. Exclusion criteria included diabetes mellitus, GI motility disorders, irritable bowel syndrome, inflammatory bowel diseases, celiac disease, and surgery that altered the normal function of the GI tract (4). During the clinical trial, participants avoided lactose in their diets and received escalating doses of high-purity ( $>95\%$ ) GOS or placebo (Sweetose) in 5-d increments according to a fixed schedule from 1.5 g/d to 15 g/d. At day 36, treatment was stopped, and subjects were encouraged to consume lactose/dairy until day 66. A total of 468 samples from 52 individuals (GOS and placebo) were collected. Measurements were taken at the end of treatment and 30-d posttreatment to evaluate changes in subjects' lactose digestion and symptoms from baseline.

**DNA Isolation.** Isolation of DNA and subsequent microbiome analyses were done at the University of North Carolina Microbiome Core Facility. The Microbiome Core is a University-approved recharge facility and Biosafety Level 2 laboratory. Total DNA isolation was carried out using the DNeasy Qiagen Blood and Tissue protocol (Qiagen). The Qiagen protocol was modified to ensure optimal isolation of DNA from Gram-positive bacteria. Briefly, 200 mg of sample were transferred to a microtube containing 0.2 g of autoclaved glass beads (11  $\mu\text{m}$  in diameter; Sigma) and 1.4 mL of ASL buffer (Qiagen). Next, samples were homogenized in a TissueLyser II instrument for 2 min at 25 Hz. Two hundred microliters of a lysozyme solution (200 mg/mL) were then added to the mix, which was incubated at 37  $^{\circ}\text{C}$  for 30 min following steps performed according to the manufacturer's protocol.

**T-RFLP Analysis.** From a total of 468 stool samples from 52 individuals (nine samples per individual), 380 samples were successfully analyzed by T-RFLP as previously described (28). The individuals 081 and 092 were unable to produce all nine samples, and samples were lacking from subjects 68, 73, 95, 102, 106, 115, and 118. Eighty samples failed despite several attempts. For further details, see *SI Materials and Methods*.

**16S rRNA Gene Amplicon Sequencing.** Stool samples obtained in triplicate at three time points (days 0, 33, and 66) from 36 lactose-intolerant individuals consuming GOS were processed. In total, nine samples from each individual were analyzed by 16S rRNA amplicon pyrosequencing. A total of 18 samples did not yield enough reads and were discarded from subsequent analyses. Individual fecal samples were compared for microbiome changes in that subject from day 0 (prefeeding), at day 36 of GOS feeding, and at day 66, 33 d after the cessation of GOS feeding and the reintroduction of dairy into the diet. The study intentionally used each subject as his or her own control, comparing the microbiome changes occurring within each specific individual at each sampling time point.

Amplification and sequencing of the V1–V2 region of the bacterial 16S rRNA gene was performed on total DNA from collected samples ( $n = 307$ ) as previously described (29, 30). Briefly, reaction master mixes contained the Qiagen Hotstar HiFidelity Polymerase Kit (Qiagen) with a forward primer composed of the Roche Titanium Fusion Primer A (5'-CCATCTCATCCCTGCGTGCTCCGACTCAG-3'), a 10-bp Multiplex Identifier (MID) sequence (Roche), and the universal bacterial primer 8F (5'-AGAGTTTGATCTGGCTCAG-3') (31). The reverse primer was composed of the Roche Titanium Primer B (5'-CCTATCCCTGTGTGCTTGGCAGTCTCAG-3'), the identical 10-bp MID sequence, and the reverse bacterial primer 338R (5'-GCTGCCTCCCGTAGGAGT-3') (32). The 16S rRNA amplicons from the pooled sample were sequenced on a 454 Genome Sequencer FLX Titanium instrument (Roche) in the Microbiome Core Facility of the University of North Carolina at Chapel Hill using GS FLX Titanium XLR70 sequencing reagents and the corresponding protocols. Initial data analysis, base-pair calling, and trimming of each sequence to yield high-quality reads were performed by Research Computing at the University of North Carolina at Chapel Hill.

**Sequencing Data Analysis.** Bioinformatics analysis of 16S amplicon pyrosequencing data were conducted using the Quantitative Insights Into Microbial Ecology (QIIME) v.1.8.0 software pipeline (33). The combined raw sequencing data were demultiplexed and filtered; all reads with lengths less than 200 bp and with a quality score below 25 were removed. After chimera (34) and singleton removal, sequences were clustered into OTUs at 97% similarity using UCLUST (35) and were aligned to build a phylogenetic tree (36). To ensure an even sampling depth, a random selection of 652 sequences from each sample was used for rarefaction analysis to measure alpha diversity using observed species (S) and phylogenetic diversity metrics on rarefied OTU tables. Eighteen samples had fewer than 652 reads per sample and were excluded from subsequent statistical analysis of differences in diversity and richness between groups. Beta diversity and PCoA also were calculated within QIIME using weighted and unweighted Unifrac distances (37) be-

tween samples at a depth of 652 sequences per sample to evaluate dissimilarities between the samples.

**PICRUSt Analysis of 16S rRNA Amplicon-Sequencing Data.** PICRUSt (14), designed to deduce metagenomic information from 16S rRNA amplicon sequencing data, was applied to sequencing data using the default settings (version 0.9.1). The generated metagenomic tables then were entered into the Human Microbiome Project unified metabolic analysis network (HUMAN) (38) pipeline (version 0.98) to sort individual genes into Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways representing varying proportions of each imputed sample metagenome.

**High-Throughput qPCR Detection of *Bifidobacterium* Species.** We used the Fluidigm BioMark HD 192.24 Integrated Fluidics Chip (Fluidigm Corporation) for high-throughput qPCR experiments to detect specific *Bifidobacterium* species genes, as previously described (39). (For further information, see *SI Materials and Methods*.) Because of the depletion of DNA from a number of samples, a total of 238 samples including 30 subjects at three time points (days 0, 36, and 66) in triplicate were analyzed.

**Statistical Analyses.** We computed the distance matrix between OTUs using ANOSIM and PERMANOVA tests within QIIME to evaluate similarities or dissimilarities between groups. Paired *t* tests were used to identify significant differences in phylogenetic diversity and species richness (S) between the different time points, and the Wilcoxon signed-rank test was used to identify significant differences in bacterial taxa and metagenome pathways between paired samples. All statistical analysis was performed in JMP Genomics 10.0 (SAS). *P* values <0.05 were considered significant unless otherwise stated.

For high-throughput qPCR data, the relative proportion of *Bifidobacterium* species was computed based on the Livak method (40). Quantitation cycle (C<sub>q</sub>) values for each sample were normalized against the C<sub>q</sub> value for the universal primers. Fold differences in the different *Bifidobacterium* species were calculated by the  $2^{-\Delta\Delta C_t}$  method. Paired *t* tests and ANOVA with Tukey tests were conducted to assess statistically significant differences between groups.

Finally, a repeated-measures logistic regression adjusted for sex with an autocorrelation structure for the within-subject correlation matrix was performed for each bacterial species and each condition (bloating, cramping, diarrhea, flatulence, and pain) to identify positive and negative correlations between specific bacteria and symptoms. "Day" was considered in the model as a continuous variable. All the resulting *P* values then were adjusted for multiple comparisons via the FDR method.

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