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### ETHANOL-INDUCED GABAA RECEPTOR ALPHA4 SUBUNIT PLASTICITY INVOLVES PHOSPHORYLATION AND NEUROACTIVE STEROIDS

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#### Abstract

GABA<sub>A</sub> receptors containing  $\alpha$ 4 subunits are widely implicated in acute ethanol sensitivity, and their spatial and temporal regulation prominently contributes to ethanol-induced neuroplasticity in hippocampus and cortex. However, it is unknown if  $\alpha$ 4-containing GABA<sub>A</sub> receptors in the thalamus, an area of high  $\alpha$ 4 expression, display similar regulatory patterns following ethanol administration, and if so, by which molecular mechanisms. In the current study, thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit levels were increased following a 6-week, but not a 2-week chronic ethanol diet. Following acute high-dose ethanol administration, thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit levels were regulated in a temporal fashion, as a decrease was observed at 2 hours followed by a delayed transient increase. PKC $\gamma$  and PKC $\delta$  levels paralleled  $\alpha$ 4 temporal expression patterns following ethanol exposure. Initial decreases in  $\alpha$ 4 subunit expression were associated with reduced serine phosphorylation. Delayed increases in expression were not associated with a change in phosphorylation state, but were prevented by inhibiting neuroactive steroid production with the 5 $\alpha$ -reductase inhibitor finasteride. Overall, these studies indicate that thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression following acute and chronic ethanol administration exhibits similar

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regulatory patterns as other regions and that transient expression patterns following acute exposure *in vivo* are likely dependent on both subunit phosphorylation state and neuroactive steroids.

#### Keywords

Ethanol; Thalamus; GABA Receptors; Alpha4 Subunit; PKC; Neuroactive Steroids

#### INTRODUCTION

Ethanol exposure impacts a number of neurotransmitter systems within the brain, and the plasticity of such systems likely underlies tolerance, dependence, and potentially alcohol addiction. Much evidence supports a prominent role of  $\gamma$ -aminobutyric acid type A (GABA<sub>A</sub>) receptors in ethanol-induced neuroadaptations (see: Kumar *et al.*, 2009). GABA<sub>A</sub> receptors are a family of heteropentameric ligand-gated chloride ion channels that mediate the majority of rapid synaptic inhibition within the central nervous system. Although over 19 different subunits exist, most GABA<sub>A</sub> receptors contain 2 $\alpha$ , 2 $\beta$ , and either a  $\gamma$  or  $\delta$  subunit (Olsen and Sieghart, 2009). Receptors with  $\alpha$ 1 and  $\gamma$ 2 subunits tend to be localized synaptically and contribute to phasic inhibition, whereas receptors containing  $\alpha$ 4 and  $\delta$  subunits are extrasynaptic and contribute to tonic inhibition (Farrant and Nusser, 2005). GABA, ethanol, and endogenous neuroactive steroids are more potent at extrasynaptic than synaptic receptor subtypes (Santhakumar *et al.*, 2006; Wei *et al.*, 2004). Although  $\alpha$ 4-containing receptors are less prevalent than other  $\alpha$  subunit types, they exhibit diffuse expression throughout the brain and are particularly enriched in thalamic and hippocampal regions (Chandra *et al.*, 2006).

Multiple studies strongly support the downregulation of ethanol-sensitive extrasynaptic  $\alpha$ 4containing receptors in response to acute and chronic ethanol exposure as well as increases in synaptic  $\alpha$ 4-containing GABA<sub>A</sub> receptors paired with  $\gamma$ 2 subunits (Liang *et al.*, 2007; Liang *et al.*, 2006). Increases in  $\alpha$ 4- and  $\gamma$ 2-containing GABA<sub>A</sub> receptors result in reduced net postsynaptic inhibitory responses. Much of our understanding of  $\alpha$ 4-containing receptor regulation following ethanol exposure has been conducted in cortical and hippocampal regions, but little is understood regarding thalamic  $\alpha$ 4-containing receptors.

Work from our lab and elsewhere strongly implicates protein kinase C (PKC) involvement in  $\alpha$ 4-containing GABA<sub>A</sub> receptor trafficking. In particular, not only does PKC $\gamma$  co-localize with GABA<sub>A</sub> receptor  $\alpha$ 4 subunits, but their association is increased following chronic ethanol exposure *in vivo* (Kumar *et al.*, 2002). Furthermore, our *in vitro* studies not only demonstrate that PKC activity is necessary for increases in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression, but knocking down PKC $\gamma$  prevents increases in  $\alpha$ 4 subunit expression (Werner *et al.*, 2011). Despite these outcomes, it remains unknown whether rapid changes in response to acute ethanol exposure *in vivo* involve PKC regulation. PKC $\delta$  co-localizes with extrasynaptic receptors and modulates enhanced tonic inhibition by ethanol in thalamic relay neurons (Choi *et al.*, 2008). Furthermore, as GABA<sub>A</sub> receptor  $\delta$  subunits do not appear to contain a PKC substrate (Abramian *et al.*, 2010), and endocytosis of extrasynaptic receptors is independent of  $\beta$ 3 subunit dephosphorylation (Gonzalez *et al.*, 2012), the phosphorylation

state of  $\alpha$ 4-containing receptors may also be a contributing factor. Conversely, PKCindependent factors such as neuroactive steroids may also be involved (Gulinello *et al.*, 2001; Shen *et al.*, 2005).

In the present study, we explored whether thalamic GABA<sub>A</sub> receptors are regulated similar to other brain regions following acute and chronic ethanol exposure *in vivo*. Further, we investigated PKC isoform expression in parallel with rapid reductions in GABA<sub>A</sub> receptor  $\alpha 4$  subunit expression and serine phosphorylation as well as the role of neuroactive steroids in these adaptations.

#### MATERIALS AND METHODS

#### Subjects

All experiments were conducted in accordance with guidelines from the National Institutes of Health and Institutional Animal Care and Use Committee at the University of North Carolina at Chapel Hill. Adult male Sprague-Dawley rats (~10–12 weeks of age) were purchased from Harlan (Indianapolis, IN, USA). For acute ethanol exposure experiments, rats were group housed and maintained with *ad libitum* access to rat chow and water. For chronic ethanol experiments, rats were single-housed and maintained on a liquid diet (see below), but had *ad libitum* access to water. All rats were maintained on a standard 12-hour light-dark schedule with lights on at 7:00 AM.

#### Drugs

Ethanol was obtained from Pharmco-AAPER (Brookfield, CT) for use in all experiments. The 5α-reductase inhibitor finasteride (100 mg/kg, Steraloids, Newport, RI) was dissolved in 20% beta-cyclodextrin (2 ml/kg) and administered 1-hour prior to ethanol administration (Khisti *et al.*, 2003; VanDoren *et al.*, 2000).

#### Ethanol exposure

For acute ethanol experiments, rats were injected with 3.5 g/kg ethanol (20% v/v in saline, intraperitoneally) and tissue was collected at various time points between 1 and 48 hours. Chronic ethanol exposure was conducted similar to prior studies (Boyd *et al.*, 2010; Kumar *et al.*, 2002). Individually housed rats were given free access to a nutritionally complete liquid diet for 3 days (Custom Stanley Diet, MP Biomedicals, Solon, OH, USA), after which they received 6% v/v ethanol for 1 week and 7.5% ethanol for subsequent weeks. Control rats were fed identical diet, but with dextrose as an isocaloric substitute. All dietary consumption was monitored daily. Rats consumed between 6–10 g/kg ethanol per day. Mean body weights for control and ethanol diet rats did not differ following completion of the experiment (p > 0.05). Tissue was collected following either 2- or 6-weeks of chronic ethanol diet. Ethanol-exposed animals had continual access to ethanol diet up until tissue harvesting. All acute ethanol exposures and tissue harvesting were conducted during morning time periods between the beginning of the light cycle (7:00am) and noon.

#### Tissue and protein preparation

P2 synaptosomal fractions from thalamic and cerebral cortical tissue were prepared as described elsewhere (Werner *et al.*, 2011). Briefly, samples were homogenized in 320 mM sucrose in PBS followed by low-speed centrifugation after which the supernatant was spun at 12,000×g for 20 min. The resulting pellet (P2) was resuspended in phosphate buffered saline with phosphatase inhibitor cocktail (1:100 dilution; a proprietary mixture of microcystin LR, cantharidin, and bromotetramisole; Sigma, St. Louis, MO). For analysis of total lysate, samples were lysed in a homogenization buffer (1% SDS, 1mM EDTA, and 10mM Tris) as noted elsewhere (Grosshans *et al.*, 2002). All protein concentrations were determined through use of a bicinchoninic acid protein assay and stored at -80°C until further use.

#### Western blot analysis

P2 synaptosomal fractions were denatured and separated using sodium dodecyl sulfate polyacrylamide gel electrophoresis and transferred to polyvinylidene-difluoride membranes (Life Technologies, Carlsbad, CA). Membranes were probed with antibodies for the following proteins: GABA<sub>A</sub> receptor  $\alpha 4$  and  $\alpha 1$  subunits (Millipore, Billerica, MA; 1:250 and 1:500, respectively); GABA<sub>A</sub> receptor  $\alpha 4$  and  $\alpha 1$  subunit (Santa Cruz Biotechnology, Santa Cruz, CA, 1:250); GABA<sub>A</sub> receptor  $\gamma 2$  subunit (kind gift from Jean-Marc Fritschy, University of Zurich, Zurich Switzerland 1:1000); and PKC $\beta$ ,  $\gamma$ ,  $\delta$ , and  $\varepsilon$  (BD Biosciences, San Jose, CA, 1:500). Bands were visualized using enhanced chemiluminescence (GE Healthcare, Amersham, UK) under nonsaturating conditions. Blots were then exposed to an actin specific antibody (Millipore, Billerica, MA, 1:1000) for normalization. Densitometric analysis was conducted using NIH Image J. Data were analyzed using Student's t-test or ANOVA with Newman-Keul's post hoc where appropriate.

#### **Phosphoserine Immunoprecipitation**

P2 membrane fractions (650 µg) were solubilized and denatured in modified radioimmunoprecipitation (RIPA) buffer (Sigma-Aldrich) with PMSF (1 mM), leupeptin (1 µg/µL), sodium fluoride (50 mM), sodium vanadate (200 µM) and EDTA (2 mM) to prevent protein degradation and dephosphorylation as described elsewhere (Kumar *et al.*, 2006). Solubilized protein was centrifuged at 10,000×g for 30 min. The resulting supernatant was incubated overnight with 100 µL of anti-phosphoserine specific antibody (Abcam, Cambridge, MA) linked to magnetized Dynabeads (Life Technologies). The receptorantibody-bead solution was washed with PBS three times followed by boiling in SDS. Beads were separated from the immunoprecipitate by magnetic exposure. Immunoprecipitated serine phosphorylated protein was analyzed by SDS-PAGE and western blot analysis using the GABA<sub>A</sub> receptor  $\alpha$ 4 subunit antibody and normalized to total  $\alpha$ 4 expression in the P2 fraction. Data were analyzed using Student's t-test.

#### 3a,5a-THP measurements

 $3\alpha,5\alpha$ -THP [ $(3\alpha,5\alpha)$ -3-hydroxypregnan-20-one or allopregnanolone] was measured using gas chromatography-mass spectrometry as described elsewhere (Porcu *et al.*, 2009). Serum samples (300 µL) were spiked with 400 pg/ml of deuterated internal standard (d4–

17,21,21,21- $3\alpha$ , $5\alpha$ -THP, Cambridge Isotope Laboratories, Inc., Andover, MA, USA) and applied to C18 solid phase extraction columns (RPN1910, 500 mg, GE Healthcare, UK) preconditioned with methanol and distilled water. The samples were washed with distilled water to eliminate polar impurities.  $3\alpha$ , $5\alpha$ -THP was eluted with methanol, and subsequent extracts were dried. Dry residues were resuspended in ethyl acetate/methanol (80/20 v/v) and then filtered through a NH<sub>2</sub> column (Supelclean LC-NH<sub>2</sub>, 500 mg, Supelco, Bellefonte, Pa, USA) previously conditioned with ethyl acetate and ethyl acetate/methanol (80/20 v/v).  $3\alpha$ , $5\alpha$ -THP passed unretained through the sorbent. The percent accuracy for  $3\alpha$ , $5\alpha$ -THP by combining C18 and NH<sub>2</sub> column purification is approximately 90% as noted elsewhere (Porcu *et al.*, 2009). Samples were derivatized with heptafluorobutyric anhydride (Pierce, Rockford, IL, USA) then resuspended in 10 µL of heptane of which 2µL were injected in duplicate into an Agilent 6890 gas chromatograph coupled to a 5975 mass selective detector (Agilent Technologies, Inc., Santa Clara, CA, USA) operated in negative chemical ionization mode. Data were analyzed using ANOVA as above.

#### RESULTS

#### GABA<sub>A</sub> receptor a4 subunit upregulation in the thalamus following chronic ethanol diet

Ethanol exposure is known to regulate GABAA receptor a4 subunit expression, (Grobin et al., 2000; Kumar et al., 2002; Matthews et al., 1998) but it is not known if such effects occur in all regions, such as the thalamus, where  $\alpha 4$  expression is abundant. Therefore, we initially investigated whether a 2-week chronic ethanol exposure would alter thalamic GABAA receptor  $\alpha 4$  subunit expression. Thalamic GABA<sub>A</sub> receptor  $\alpha 4$  expression in animals exposed to the ethanol diet did not differ from those given a calorically equivalent control diet (Figure 1A). To determine whether the ethanol diet was effective, cortical GABAA receptor  $\alpha 1$  and  $\alpha 4$  levels were assessed which we previously have shown are changed by 2week chronic ethanol diet (Grobin et al., 2000; Kumar et al., 2002). Consistent with prior reports, ethanol increased cortical  $\alpha$ 4 subunit expression by 27.4  $\pm$  10.2% compared to controls (p < 0.05, n = 5–6/group, not shown) whereas  $\alpha$ 1 subunit expression was decreased by  $35.0 \pm 5.5\%$  compared to controls (p < 0.05, n =4/group, not shown). We next determined whether a lengthened ethanol exposure period would alter thalamic GABAA receptor a4 subunit expression, as noted for hippocampal tissue (Matthews et al., 1998). Following a 6week ethanol exposure,  $\alpha 4$  subunit expression was increased by 39.5  $\pm$  13.7% as compared to controls (p < 0.05, Figure 1B).

# A single high dose ethanol exposure regulates thalamic $GABA_A$ receptor a4 subunit expression

Increased  $\alpha 4$  subunit expression following chronic ethanol exposure is associated with increased transcription/translation (see: Kumar *et al.*, 2009); however, recent *in vitro* and *in vivo* studies demonstrate that acute high dose ethanol exposure rapidly regulates GABA<sub>A</sub> receptor  $\alpha 4$  subunit expression (Liang *et al.*, 2007; Pignataro *et al.*, 2007; Werner *et al.*, 2011). Therefore, we next assessed whether thalamic  $\alpha 4$  subunit expression displayed a similar rapid adaptation following a single high dose ethanol exposure. Analysis revealed transient temporal changes. Specifically, P2 synaptosomal GABA<sub>A</sub> receptor  $\alpha 4$  subunit expression was reduced 2-hours post ethanol exposure (Figure 2 A, B: ethanol, 72.4 ± 7.4,

control,  $100 \pm 5.4$ , p < 0.05). GABA<sub>A</sub> receptor  $\alpha 4$  subunit expression returned to basal levels by 3-hours, but by 4-hours post ethanol, expression was increased (ethanol,  $125.1 \pm 6.9$ , control,  $100 \pm 6.9$ , p < 0.05). GABA<sub>A</sub> receptor  $\alpha 4$  subunit expression returned to baseline levels by 8-hours and did not differ at later time points.

We next examined total thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression in whole cell lysates to determine whether increased synaptosomal GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression following acute ethanol exposure was due to transcription/translation-related processes or limited to synaptic regions. Total GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression did not differ between controls and ethanol-exposed subjects (100.0 ± 10.3 and 99.4 ± 19.3, respectively, n = 4/group; not shown), thereby suggesting that synaptosomal changes are likely the result of post-translational receptor regulation. To gain some insight as to which GABA<sub>A</sub> receptor subtypes may be changing at the 2- and 4-hour time points, we next assessed GABA<sub>A</sub> receptor  $\gamma$ 2 and  $\delta$  subunit expression. GABA<sub>A</sub> receptor  $\delta$  subunit expression displayed a trend towards a reduction 2 hours following acute ethanol exposure (Figure 3A, B: 72.6 ± 12.7%, p = 0.055), whereas  $\delta$  subunit expression was statistically decreased at 4 hours (61.8 ± 9.2, p< 0.05). Conversely,  $\gamma$ 2 did not significantly differ at either time point (Figure 3A, B). Taken together, this data suggests that  $\alpha$ 4/ $\delta$ -containing GABA<sub>A</sub> receptor subtypes were initially decreased following acute ethanol exposure, whereas  $\alpha$ 4-containing receptors independent of  $\delta$  are likely transiently increased.

## Reduced phosphorylation state associates with immediate decreases in thalamic GABA<sub>A</sub> receptor α4 subunit expression following acute ethanol exposure

We next determined whether PKC isoform regulation associated with changes in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression. Similar to GABA<sub>A</sub> receptor  $\alpha$ 4 subunits, we noted that both PKC $\gamma$  and PKC $\delta$  expression were decreased at 2-hours post ethanol exposure by 27.3 ± 9.7 and 30.8 ± 6.9%, respectively (Figure 4A, B). At 4-hours, however, both PKC $\gamma$  and PKC $\delta$  had returned to baseline levels. Conversely, PKC $\beta$  expression was increased at both time points (44.8 ± 8.3 and 50.0 ± 14.5%, respectively), whereas PKC $\epsilon$  was unaffected at either time point (Figure 4A, B). Finally, because of the similar directional changes in P2 synaptosomal GABA<sub>A</sub> receptor  $\alpha$ 4 subunit serine residue phosphorylation was impacted. 2-hours post ethanol exposure, serine phosphorylated  $\alpha$ 4 subunits were reduced by 56.8 ± 16.3% compared to controls (p < 0.05, Figure 5A, B), but no difference was detected between groups at 4-hours.

# Neuroactive steroids contribute to delayed increases in thalamic GABA<sub>A</sub> receptor a4 subunit expression

While the pattern of PKC $\gamma$  and PKC $\delta$  expression changes are parallel with GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression, these PKC isoforms may not account for the changes since the level of serine phosphorylation was not increased compared to controls at 4-hours. Higher ethanol doses are known to increase systemic neuroactive steroids, which are potent modulators of  $\alpha$ 4-containing receptors (Gulinello *et al.*, 2001; Shen *et al.*, 2005); therefore we assessed whether ethanol-induced elevations in neuroactive steroids contributed to GABA<sub>A</sub> receptor  $\alpha$ 4 subunit regulation by inhibition of ethanol-induced elevations in these steroids using

finasteride. Initial assessment of finasteride treatment indicated an effect of treatment ( $F_{2,14}$ =200.0, p < 0.0001). Further analysis indicated that  $3\alpha$ ,  $5\alpha$ -THP was higher 2-hours post ethanol exposure compared to controls, but that finasteride pretreated animals exposed to ethanol did not differ from controls, indicating that ethanol-induced increases in 3a,5a-THP were abolished (Figure 6A). Analysis of GABA<sub>A</sub> receptor  $\alpha$ 4 expression at 2 hours post ethanol exposure revealed an effect of finasteride treatment ( $F_{2.15} = 5.69$ , p < 0.05). Further analysis indicated a highly suggestive reduction in  $\alpha 4$  by ethanol alone (80.0 ± 10.3%) compared to controls, p = 0.06), similar to our initial 2-hour results (Figure 6B). Similarly, finasteride pre-treatment also reduced  $\alpha 4$  expression (70.1 ± 4.2% compared to controls, p < 0.05). At 4 hours post ethanol exposure, an effect of finasteride treatment was found ( $F_{2,33} =$ 3.39, p < 0.05; Figure 6C). Further analyses indicated that although ethanol alone increased  $\alpha$ 4 subunit expression by 38.0 ± 12.6%, animals pretreated with finasteride prior to ethanol exposure did not differ from controls. Finasteride exposure alone did not alter basal levels of  $3\alpha$ ,  $5\alpha$ -THP (vehicle control – 99.9 ± 7.1 pg/mL; finasteride – 97.1 ± 7.4 pg/mL, p > 0.05), nor did it affect basal levels of GABAA receptor a4 subunit expression (vehicle control,  $100.0 \pm 13.0\%$ ; finasteride, 97.1  $\pm 13.9\%$ , p > 0.05). Taken together, these results suggest that the neuroactive steroids such as 3a,5a-THP contribute to increases in GABAA receptor  $\alpha 4$  subunit expression.

#### DISCUSSION

The present study demonstrates that thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression is regulated following acute and chronic ethanol exposure. Chronic ethanol exposure of 6weeks, but not 2-weeks, increased P2 synaptosomal GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression. Following acute ethanol exposure, P2 synaptosomal  $\alpha$ 4 subunit expression was more dynamic, with levels decreased at 2-hours followed by a transient increase at 4-hours before returning to baseline levels by 8-hours. Early reductions in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression following acute ethanol exposure were paralleled by reductions in both PKC $\gamma$ and PKC $\delta$  isoforms as well as serine phosphorylation of GABA<sub>A</sub> receptor  $\alpha$ 4 subunits. In contrast, the delayed increase was associated with a restoration of both PKC isoforms and phosphorylation. Further, the transient increase in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression at 4-hours was dependent on  $5\alpha$ -reductase derived neuroactive steroids, as it was ablated by finasteride pretreatment.

The finding that GABA<sub>A</sub> receptor  $\alpha$ 4 subunit was increased following chronic ethanol exposure is not surprising as this effect has been demonstrated by several other groups in various brain regions (Devaud *et al.*, 1997; Liang *et al.*, 2006). Further, the lack of change in  $\alpha$ 4 subunit following 2-weeks of chronic ethanol diet is consistent with observations in hippocampus, where 2-week exposure does not alter GABA<sub>A</sub> receptor  $\alpha$ 4 subunit levels (Matthews, 1998) but 40 day exposure induces a significant elevation. In agreement, increased hippocampal P2 fraction GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression was also found following chronic intermittent ethanol exposure for 60 days (Cagetti *et al.*, 2004) and this change is driven by elevation of synaptic  $\alpha$ 4 $\gamma$  receptors that mediate hippocampal mIPSPs (Liang et al., 2006). Similar results are found in cortical neurons where elevations in P2 synaptosomal  $\alpha$ 4 receptors are driven by elevations in synaptic  $\alpha$ 4 $\gamma$  receptors that alter mIPSC decay tau (Werner et al., 2011). It is possible that distinct molecular mechanisms

account for the temporal delay in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit adaptations observed in hippocampus and thalamus as opposed to cortex where increases in  $\alpha$ 4 are seen much earlier. Our current results indicate that neuroactive steroid levels may contribute to acute increases in  $\alpha$ 4 subunit expression in the thalamus.; however, neuroactive steroid levels are reduced following chronic ethanol exposure (Janis et al., 1998). Speculatively, such mechanisms may involve the spatial and temporal activation of heat shock factors that alter the transcription of ethanol responsive genes such as  $\alpha$ 4 (Pignataro et al., 2007). Alternatively, it is possible that neuroactive steroids modify phosphorylation of GABAA receptors and this contributes to the effects on GABAA a4 subunit expression (Adams paper). Further studies are required to explain the regional differences in response to ethanol exposure for 2-weeks. Additionally, as rodents are known to display higher consumption during the beginning of the dark period of their light cycle, we cannot rule out that elevated  $\alpha$ 4 expression may be due to ethanol withdrawal.

Following acute ethanol exposure, our data suggest that P2 synaptosomal GABA<sub>A</sub> receptor  $\alpha$ 4 subunits are transiently regulated with a decrease at 2-hours and an increase at 4-hours. Although the regulation of specific GABA<sub>A</sub> receptor subtypes is not definitive, it is likely that  $\delta$ -containing receptors are decreased at both time points as there was a suggestive reduction in the  $\delta$  subunit at 2-hours that persisted at 4-hours. The transient fluctuations in  $\alpha$ 4 subunits, coupled with  $\gamma$ 2 subunit levels not differing following ethanol exposure further supports the idea that perisynaptic trafficking of  $\alpha$ 48 receptors occurs in response to ethanol exposure and extends previous reports (Liang *et al.*, 2007). In support of this interpretation, recent work strongly suggests that  $\alpha$ 4 subunits are necessary for intracellular trafficking of  $\delta$  subunits and membrane expression (Peng *et al.*, 2013; Sabaliauskas et al., 2012). Potentially, increased pairing of  $\alpha$ 4-GABA<sub>A</sub> receptor subunits, potentially with  $\gamma$ 2, would lead to extended suppression of  $\delta$  subunit expression. Future studies will more definitively address this issue. Nonetheless, these results confirm and extend studies examining  $\alpha$ 4 $\delta$  subunit-containing GABA<sub>A</sub> receptors following acute ethanol exposure (Gonzalez *et al.*, 2012; Liang *et al.*, 2007; Suryanarayanan *et al.*, 2011).

The parallel reductions in  $\alpha$ 4-containing GABA<sub>A</sub> receptors with PKC $\gamma$  and PKC $\delta$  2-hours following ethanol exposure suggest potential mechanisms of GABAA a4 receptor regulation. As PKC $\delta$  has been shown to co-localize with  $\delta$ -containing GABA<sub>A</sub> receptors in the hippocampus and thalamus (Choi et al., 2008), it is likely that the decrement of PKC8 contributes to thalamic  $\alpha 4\delta$  receptor trafficking. Since PKCy co-immunoprecipitates with  $\alpha 4$ receptors (Kumar et al., 2002) and is required for a4 receptor upregulation in cortex following ethanol exposure (Werner *et al.*, 2011), the decrease in PKC $\gamma$  at 2-hours may prevent up-regulation of a4 receptors at this time point. Decrements in phosphorylation may allow for PKC-independent mechanisms, such as PKA, to more readily internalize  $\alpha$ 4containing GABAA receptors (Carlson et al., 2014), as knockdown of PKCy and blocking PKC activity did not reduce basal levels of  $\alpha 4$  expression (Werner *et al.*, 2011). Thalamic PKC $\gamma$  expression is also highly consistent with GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression across multiple thalamic nuclei, with the exception of the reticular nuclei, which is devoid of both (Ding et al., 2005; Jia et al., 2005). Further work using PKCγ and PKCδ knockouts or thalamic specific knockdown may help elucidate the respective contributions of each PKC isoform.

The present results indicate that the state of GABA<sub>A</sub> receptor  $\alpha$ 4 subunit serine phosphorylation is altered in conjunction with GABA<sub>A</sub>  $\alpha$ 4 subunit expression. However, it is unknown which serine residue is necessary for GABA<sub>A</sub> receptor  $\alpha$ 4 subunit regulation. Serine 443 is the most likely residue involved given that its phosphorylation increases cell surface stability of  $\alpha$ 4-containing receptors (Abramian *et al.*, 2010). However, the same study also indicated that basal endocytosis of  $\alpha$ 4 receptors with the serine 443 site mutated did not differ from wildtype receptors. Thus, while our data highly suggest  $\alpha$ 4 subunit phosphorylation may be a contributing factor following ethanol exposure, we cannot exclude that downregulation of  $\alpha$ 4 $\delta$  receptors may also involve phosphorylation of GABA<sub>A</sub> receptor  $\beta$  subunits (Bright and Smart, 2013); however, interactions between clathrin adaptor proteins and the  $\delta$  subunit in response to ethanol exposure lessens this possibility (Gonzeles et al., 2012).

It is unclear at present how decrements in a4-containing GABAA receptors and phosphorylation in the thalamic P2 fraction contribute to acute ethanol's phenotypic responses. It is unlikely that transient effects from a single exposure contribute to withdrawal effects, as synaptosomal changes occurred when blood ethanol are known to be greater than 300 mg/dL as we have reported elsewhere (see: Carter et al., in press). GABAA receptor a4 knockout mice displayed normal behavioral responsivity to acute ethanol exposure (Chandra et al., 2008); however, viral-mediated knockdown of a4 subunit levels in the nucleus accumbens shell reveals that  $\alpha 4$  expression impacts ethanol operant responding (Rewal et al., 2012). Given that thalamic extrasynaptic a4-containing GABAA receptors mediate tonic inhibitory currents that are potentiated at socially relevant ethanol concentrations (Chandra et al., 2008; Jia et al., 2008), reducing the number of ethanol sensitive receptors is likely a rapid mechanism to ameliorate ethanol's increased central nervous system inhibition and behavioral responses. Such rapid adaptation in the thalamus is particularly important given its relevance to cortical circuitry (e.g., Vijayan et al., 2013). Furthermore, it is possible that rapid adaptations may be in response to ethanol-induced elevations in synaptic vesicle proteins and vesicular GABA release (Varodayan and Harrison, 2013; Weiner and Valenzuela, 2006), but further work would need to be done to critically assess such effects.

Neuroactive steroids, including  $3\alpha$ , $5\alpha$ -THP, are potent modulators of extrasynaptic receptor function and expression (Belelli *et al.*, 2002; Brown *et al.*, 2002; Shen *et al.*, 2007; Wohlfarth *et al.*, 2002), particularly related to ovarian cycles (Maguire *et al.*, 2005). We found that initial reductions in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression *in vivo* were independent of neuroactive steroids; however, delayed increases following ethanol exposure were dependent on  $5\alpha$ -reductase derived neuroactive steroids. Although acute administration of  $3\alpha$ , $5\alpha$ -THP has been shown to increase  $\alpha$ 4-containing GABA<sub>A</sub> receptors (Shen *et al.*, 2005), Neuroactive steroids alone are likely not sufficient for ethanol-induced increases in  $\alpha$ 4, as  $3\alpha$ , $5\alpha$ -THP and THDOC are rapidly increased in serum following ethanol exposure (VanDoren *et al.*, 2000; Porcu *et al.*, 2010) when  $\alpha$ 4 levels are initially decreased. More likely, the increases in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression are the result of multimodal molecular events. Recent evidence indicating that neuroactive steroids may work in concert with PKC activity to regulate  $\alpha$ 4-containing receptor trafficking and functioning (Abramian *et al.*, 2014; Adams *et al.*, 2015) further supports this idea.

In summary, the present results suggest that initial decreases in thalamic GABA<sub>A</sub> receptor  $\alpha$ 4 subunit following acute ethanol exposure are associated with decreases in serine phosphorylation and correlate with decreases in PKC $\gamma$  and PKC $\delta$  isoforms, whereas delayed increases are dependent on 5 $\alpha$ -derived neuroactive steroids and restoration of  $\alpha$ 4 phosphorylation *in vivo*. Further work is required to determine the subtypes of  $\alpha$ 4 receptors that are affected by these changes.

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#### Highlights

- Thalamic GABA<sub>A</sub> receptor alpha4 subunit expression is increased following chronic ethanol exposure
- Thalamic GABA<sub>A</sub> receptor alpha4 subunit expression is regulated following a single high dose ethanol exposure.
- Decreases in GABA<sub>A</sub> receptor alpha4 subunit expression is accompanied by reduced phosphorylation states.
- Neuroactive steroids contribute to delayed increases in thalamic GABA<sub>A</sub> receptor alpha4 subunit expression.

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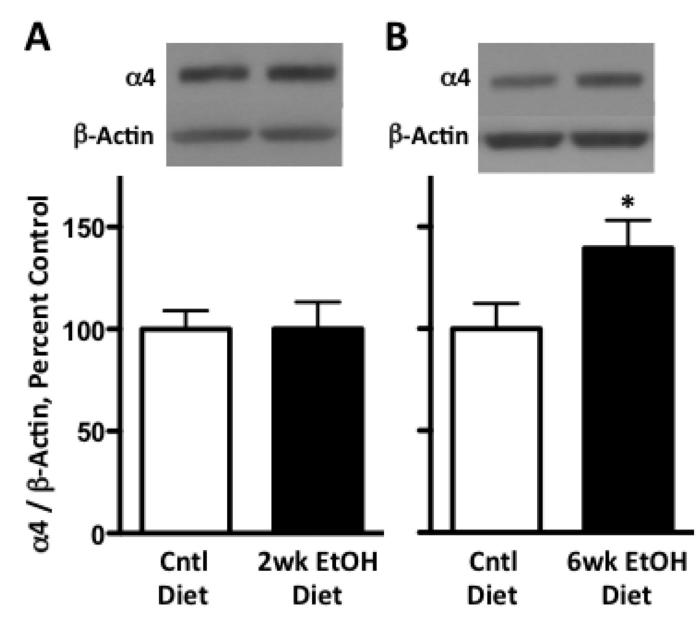


Figure 1. Six weeks, but not two weeks chronic ethanol diet increases thalamic  ${\rm GABA}_A$  receptor a4 subunit expression

Rats were given either a chronic ethanol or isocaloric diet for 2-weeks (A) or 6-weeks (B). Thalamic tissue was isolated followed by preparations of P2 synaptosomal fractions. Western blot analysis revealed that A) GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression was unaffected after 2-weeks, B) GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression was increased by 39.5  $\pm$  13.7% after 6-weeks. Graphs show the mean  $\pm$  SEM of percent control values normalized to  $\beta$ -actin levels (n=7–8 per group). \* p < 0.05 compared to control diet (Student's *t*-test).

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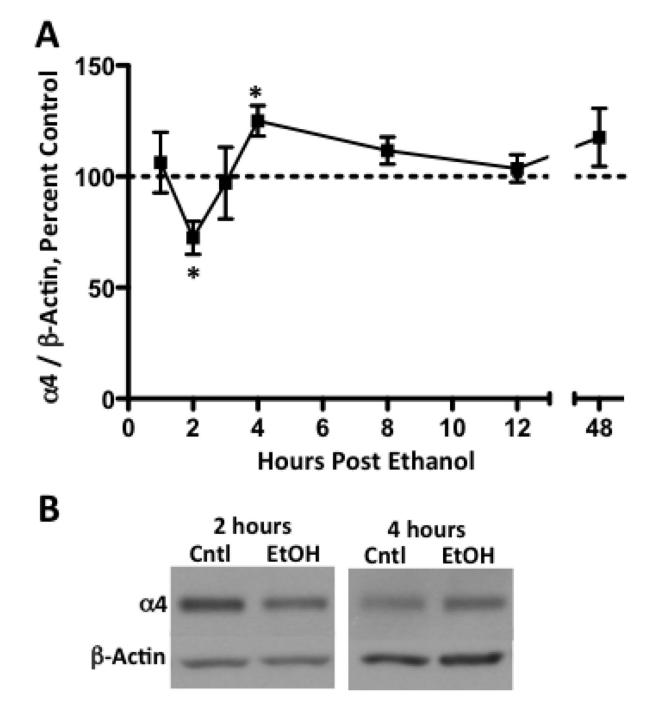


Figure 2. Acute ethanol administration transiently alters thalamic  ${\rm GABA}_A$  receptor a4 subunit expression

Graph denotes time course for GABA<sub>A</sub> receptor  $\alpha$ 4 subunit levels at various time points following ethanol administration (A).  $\alpha$ 4 subunit expression is decreased by 27.6 ± 7.4% at 2-hours, and is increased by 25.1 ± 6.9% at 4-hours. Representative blots are shown for both the 2- and 4-hour time points (B). Data are presented as mean ± SEM. n = 7–10 per group, in duplicate. \* p < 0.05 compared to vehicle controls (Student's *t*-test).

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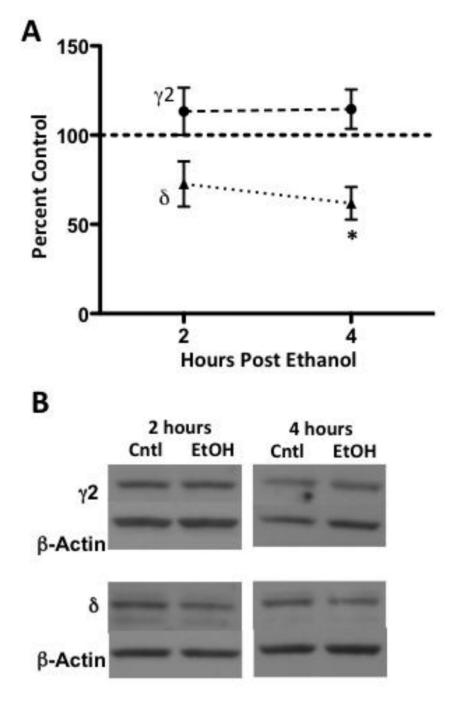
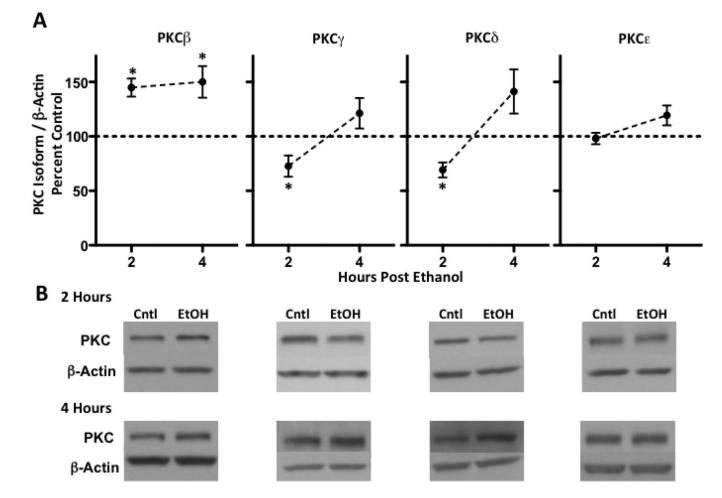


Figure 3. Acute ethanol administration reduces GABA<sub>A</sub> receptor  $\delta$  subunit expression Graph denotes time course for GABA<sub>A</sub> receptor  $\gamma$ 2 and  $\delta$  subunit levels at time points related to changes in  $\alpha$ 4 subunit expression following ethanol administration (A). GABA<sub>A</sub> receptor  $\delta$  subunit expression was decreased at 4 hours by 38.2 ± 9.2%, and a trend for a reduction was noted at 2 hours (27.4 ± 12.7%). Representative blots are shown for both subunits (B). Data are presented as mean ± SEM. n = 5–8 per group, in duplicate. \* p < 0.05 compared to vehicle controls (Student's *t*-test).

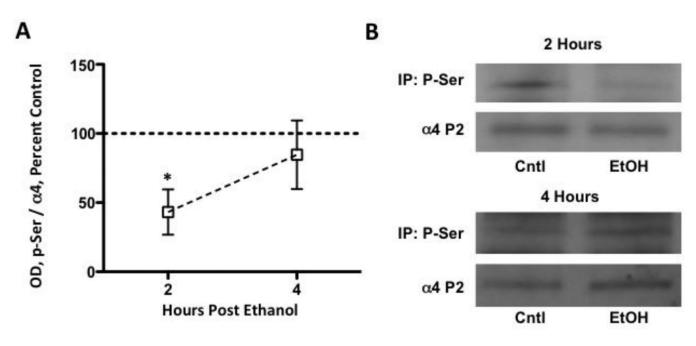
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### Figure 4. Acute ethanol administration selectively alters thalamic PKC isoform expression in a temporal specific fashion

PKCβ, γ, δ and ε isoforms were examined at time points related to changes in GABA<sub>A</sub> receptor α4 subunit expression (A). Both PKC γ and PKCδ were deceased at 2-hours, but were similar to controls at 4-hours. PKCβ remained elevated at both time points. Representative blots are shown for each PKC isoform at 2- and 4-hours (B). Data are presented as mean  $\pm$  SEM. n = 8–10 per group, in duplicate. \* p < 0.05 compared to vehicle controls (Student's *t*-test).

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## Figure 5. Acute ethanol administration modulates thalamic $\mbox{GABA}_A$ receptor a4 subunits serine phosphorylation

Acute ethanol administration reduced phosphoserine immunoprecipitation of  $GABA_A$  receptor  $\alpha 4$  subunit levels at 2-hours, but not 4-hours, following ethanol exposure (A). Representative blots are shown for each time point (B). Data are presented as mean  $\pm$  SEM. n = 4-5 per group. \* p < 0.05 compared to vehicle controls (Student's *t*-test).

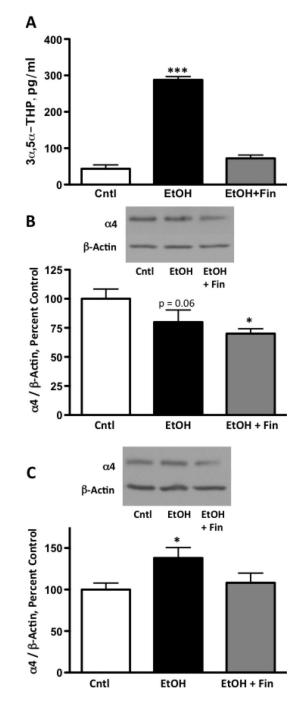


Figure 6. 3 $\alpha$ ,5 $\alpha$ -THP modulates GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression following acute ethanol administration

Administration of the 5 $\alpha$ -reductase inhibitor finasteride prevents ethanol-induced increases in peripheral  $3\alpha$ , $5\alpha$ -THP (A). Finasteride does not alter ethanol-induced changes in GABA<sub>A</sub> receptor  $\alpha$ 4 subunit expression at 2-hours (B), but reverses increases at 4-hours (C). Data are presented as mean  $\pm$  SEM. Representative blots are shown for 2- and 4-hours. n = 6–12 per group. \* p < 0.05, and \*\*\* p < 0.001 compared to vehicle controls (one-way ANOVA with Newman-Keuls posthoc).