



# Combined HIV-1 Envelope Systemic and Mucosal Immunization of Lactating Rhesus Monkeys Induces a Robust Immunoglobulin A Isotype B Cell Response in Breast Milk

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# ABSTRACT

Maternal vaccination to induce anti-HIV immune factors in breast milk is a potential intervention to prevent postnatal HIV-1 mother-to-child transmission (MTCT). We previously demonstrated that immunization of lactating rhesus monkeys with a modified vaccinia Ankara (MVA) prime/intramuscular (i.m.) protein boost regimen induced functional IgG responses in milk, while MVA prime/intranasal (i.n.) boost induced robust milk Env-specific IgA responses. Yet, recent studies have suggested that prevention of postnatal MTCT may require both Env-specific IgA and functional IgG responses in milk. Thus, to investigate whether both responses could be elicited by a combined systemic/mucosal immunization strategy, animals previously immunized with the MVA prime/i.n. boost regimen received an i.n./i.m. combined C.1086 gp120 boost. Remarkably, high-magnitude Env-specific IgA responses were observed in milk, surpassing those in plasma. Furthermore, 29% of vaccine-elicited Env-specific B cells isolated from breast milk were IgA isotype, in stark contrast to the overwhelming predominance of IgG isotype Env-specific B cells in breast milk of chronically HIV-infected women. A clonal relationship was identified between Env-specific blood and breast milk B cells, suggesting trafficking of that cell population between the two compartments. Furthermore, IgA and IgG monoclonal antibodies isolated from Env-specific breast milk B cells demonstrated diverse Env epitope specificities and multiple effector functions, including tier 1 neutralization, antibody-dependent cellular cytotoxicity (ADCC), infected cell binding, and inhibition of viral attachment to epithelial cells. Thus, maternal i.n./i.m. combined immunization is a novel strategy to enhance protective Env-specific IgA in milk, which is subsequently transferred to the infant via breastfeeding.

#### IMPORTANCE

Efforts to increase the availability of antiretroviral therapy to pregnant and breastfeeding women in resource-limited areas have proven remarkably successful at reducing HIV vertical transmission rates. However, more than 200,000 children are infected annually due to failures in therapy implementation, monitoring, and adherence, nearly half by postnatal HIV exposure via maternal breast milk. Intriguingly, in the absence of antiretroviral therapy, only 10% of breastfed infants born to HIV-infected mothers acquire the virus, suggesting the existence of naturally protective immune factors in milk. Enhancement of these protective immune factors through maternal vaccination will be a critical strategy to reduce the global pediatric AIDS epidemic. We have previously demonstrated that a high magnitude of HIV Env-specific IgA in milk correlates with reduced risk of infant HIV acquisition. In this study, we describe a novel HIV vaccine regimen that induces potent IgA responses in milk and therefore could potentially protect against breast milk HIV MTCT.

More than 200,000 new pediatric human immunodeficiency virus (HIV) infections occur annually via mother-to-child transmission (MTCT), nearly half through breastfeeding (1). Antiretroviral (ARV) drugs can dramatically reduce the rate of MTCT, but in areas of high HIV prevalence, acute HIV infection in pregnant and postpartum women as well as poor access and adherence to ARV treatment throughout the breastfeeding period has limited progress in the prevention of breast milk transmission (2). According to UNAIDS in 2014, only 68% of HIV-infected pregnant women in low- and middle-income countries received ARV therapy during pregnancy, and only 61% of those women continued this therapy postpartum (3). Despite the risk of HIV acquisition, breastfeeding is necessary for infant survival in many regions of the world, as breastfed infants have lower rates of diarrheal and respiratory infections (4). It is well established that antibodies are transferred to infants via the placenta and through breast milk consumption (5); thus, maternal immunization could

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Address correspondence to Sallie R. Permar, sallie.permar@dm.duke.edu. Copyright © 2016, American Society for Microbiology. All Rights Reserved. be an important alternative strategy to allow safe breastfeeding in areas of high HIV prevalence.

The specificity and function of antibodies important to prevent mucosal HIV transmission remain unclear. Despite the high level of total IgA in breast milk, the predominant envelope (Env)-specific antibody response in the breast milk of both HIV-infected women and simian immunodeficiency virus (SIV)-infected rhesus monkeys is IgG(6, 7). The presence of high levels of functional IgG in breast milk, particularly IgG mediating antibody-dependent cellular cytotoxicity (ADCC), has been linked to reduced incidence of MTCT (8). Env-specific IgG in breast milk is likely due to transudate from the systemic compartment, since HIV Env-specific IgG responses in breast milk correlate well with those in plasma, though they are lower by two orders of magnitude (6). Interestingly, the majority of Env-specific B cells in the breast milk of chronically HIV-infected women produce IgG and not IgA (9, 10). Indeed, it has traditionally proven to be exceedingly difficult to induce Env-specific IgA or IgA isotype B cells in the mucosal compartment via vaccination (11), and thus the potential role of IgA in preventing viral transmission at the mucosal surface is poorly understood.

The RV144 ALVAC/AIDSVAX vaccine efficacy trial in Thailand, which demonstrated 31.2% efficacy, suggested a deleterious role of plasma Env-specific IgA responses of certain specificities in protection against sexually transmitted HIV infections (12). Further analysis revealed an inverse correlation between ADCC activity and acquisition risk in vaccinees with low IgA responses (12). It was hypothesized that IgA blocks ADCC by competing for the same binding sites as ADCC-mediating IgG antibodies. Indeed, vaccine-elicited IgA specific for conformational epitopes in the C1 region of Env can block ADCC activity in clinical samples (13). Nevertheless, there is solid evidence that mucosal IgA could contribute to the prevention of HIV transmission. In a recent study, vaccine-elicited mucosal IgA responses were associated with protection against vaginal simian-human immunodeficiency virus (SHIV) challenge (14), and multiple investigations have demonstrated that passive rectal immunization with neutralizing dimeric IgA can protect from subsequent SHIV exposure (15, 16). Similarly, we recently reported an association between a high magnitude of Env-specific IgA and low rates of breast milk HIV transmission (17). Thus, Env-specific IgA responses in the systemic and mucosal compartments seem to play dramatically different physiologic roles.

In previous studies, we demonstrated that HIV vaccination can elicit strong Env-specific antibody responses in milk of rhesus monkeys (18). Hormonally induced lactating animals were immunized with the transmitted/founder Env C.1086 using either a gp140 DNA or modified vaccinia Ankara (MVA) prime followed by two C.1086 gp120 protein boosts delivered either systemically (intramuscularly [i.m.]) or mucosally (intranasally [i.n.]). The systemic and mucosal vaccine regimens elicited comparable levels of plasma Env-binding IgG, yet mucosal immunization induced significantly higher Env-binding IgA responses in breast milk. Furthermore, systemic vaccination induced a much more potent functional IgG response (tier 1 neutralization, ADCC) in breast milk than mucosal vaccination. An optimal vaccine regimen for the prevention of breast milk transmission may need to elicit both strong Env-binding and functional antibody responses in milk (19).

Thus, we sought to design a vaccine strategy that could com-



FIG 1 Combined i.n./i.m. HIV-1 Env vaccine regimen. Lactation was hormonally induced in four female rhesus macaques prior to weeks 0, 12, and 50 so that milk samples could be collected at each time point. Animals were primed with recombinant MVA expressing HIV Env C.1086 gp140 and then boosted twice with HIV Env C.1086 gp120 i.n. with R848 adjuvant. The animals were then boosted a third time using a combined i.n./i.m. Env boost with C.1086 gp120 i.n. plus R848 adjuvant as well as C.1086 gp120 i.m. plus STR8S-C adjuvant.

bine the potent functional antibody response observed in the systemically vaccinated animals with the robust Env-specific IgA response in the mucosally vaccinated animals. Animals previously immunized with the C.1086 MVA prime/i.n. boost regimen received an additional simultaneous i.n./i.m. protein boost. Envbinding and functional responses were then assessed in both plasma and breast milk. To examine the antibody responses in more detail, B cells from both blood and milk were isolated,  $V_H/V_L$ genes sequenced, and IgA and IgG monoclonal antibodies (MAbs) analyzed for specificity and associated function. This study provides a detailed understanding of the antibody-mediated immune responses elicited by combined systemic and mucosal vaccination, enabling critical assessment of this maternal vaccination strategy for the prevention of HIV-1 breast milk transmission.

# MATERIALS AND METHODS

Animals and vaccine regimen. Recombinant MVA expressing the C.1086 Env gp140 gene and recombinant Env gp120 glycoproteins was generated as described previously (18). Lactation was induced in 4 female Indian rhesus monkeys by depot medroxyprogesterone and estradiol injections followed by oral dopamine antagonist administration as described previously (20). The lactating monkeys were initially primed with 10<sup>9</sup> PFU of recombinant MVA (rMVA) expressing the HIV C.1086 Env gene and boosted twice intranasally (i.n.) at weeks 12 and 16 with 200 µg of HIV C.1086 gp120 protein (100 µg in each nostril) adjuvanted with the Tolllike receptor 7/8 (TLR7/8) agonist R848 (500 µg/animal) (Fig. 1). The i.n. boosted animals were immunized a third time with a combined i.n./i.m. boost technique at week 50 following the original MVA prime. The i.n. component of the combined boost was identical to the previous i.n. boosts (200 µg HIV Env C.1086 gp120 plus 500 µg R848). The intramuscular (i.m.) component of the combined boost consisted of 100 µg HIV Env C.1086 gp120 and 250 µl of the adjuvant STR8S-C (squalene-containing STS base adjuvant plus R848 plus oCpGs) (21). i.n. protein boosts were administered to anesthetized monkeys placed on their backs in 30-µl aliquots at 30-s intervals for a total volume of 150  $\mu$ l per nostril (22). Between aliquot administrations, the nares were held closed. The i.m. protein boost was administered at a single injection site in the quadriceps of anesthetized monkeys. Blood samples were collected weekly for 4 weeks following immunization and then bimonthly for an additional 1 to 2 months. Milk was collected twice weekly by manual collection (20) and then separated into cellular, supernatant, and lipid fractions by centrifugation (7, 23). Animals were maintained according to the *Guide for the Care and Use of Laboratory Animals* (grants.nih.gov), and the animal protocol was approved by the Duke University Animal Care and Use Committee.

Plasma, milk, and MAb HIV-1 Env recombinant protein ELISA. Env-binding IgG and IgA were assessed for plasma/milk (18) and for monoclonal antibodies (MAbs) (24). For plasma/milk assays, 384-well enzyme-linked immunosorbent assay (ELISA) plates were coated overnight with HIV C.1086 gp120 (30 ng/well) and then blocked with the assay diluent (phosphate-buffered saline [PBS] containing 4% whey, 15% normal goat serum, and 0.5% Tween 20). Dilutions of plasma or milk were then added to the plates. Antibodies were detected with a horseradish peroxidase (HRP)-conjugated polyclonal goat anti-monkey IgG (Rockland, Gilbertsville, PA) or IgA (Rockland, Gilbertsville, PA) and the addition of the ABTS-2 peroxidase substrate system (KPL, Gaithersburg, MD). Macaca mulatta purified IgG and IgA (Nonhuman Primate Reagent Resource) were used to develop standard curves, and the concentration of IgG or IgA antibody was calculated relative to the standard using a 5-parameter fit curve (WorkOut 2.5; PerkinElmer, Waltham, MA). For recombinant MAb ELISA, 384-well ELISA plates (Corning Life Sciences, Lowell, MA) were coated with each antigen at 30 ng/well. Antigens included C.1086 gp120 D7 and A1.con env03 gp140 (12), B.con env03 gp140 (12), C.con env03 gp140 (12), AE.A244 gD gp120 (12), C.1086 V1V2, C.1086 V2, C.1086 V1V2 N156Q, and gp70 B.CaseA2 V1V2 (18), gp70 B.CaseA2 V1V2/169K (18), C.con env03.V3 linear peptide and BioRV144 C1 peptide (13), C.YU2 core, C.YU2 core D368R, RSC3 (25), RSC3 d371/P363N (25), and C.1086 D7 gp120 K160N, ConC gp120, and ConC gp120 N332A. Serial dilutions of monoclonal antibody were distributed to the plates after blocking, and the MAbs were detected using a peroxidase-conjugated goat anti-monkey IgG (Rockland, Gilbertsville, PA) and the SureBlue Reserve tetramethylbenzidine (TMB) peroxidase substrate (KPL, Gaithersburg, MD). For monoclonal antibodies, the 50% effective concentration (EC<sub>50</sub>) was calculated by the concentration of antibody which resulted in a 50% reduction in optical density (OD) from the maximum value.

Quantitative SIgA ELISA. ELISA plates (Corning Life Sciences, Lowell, MA) were coated overnight at 4°C with Con6 gp120 B. Dilutions of breast milk were added to the plate following blocking. The antibodies were detected using anti-secretory-chain IgA Ab SC 9H7 CL3 (a mousederived monoclonal IgG2A kappa antibody which cross-reacts with rhesus and human secretory components [SCs] though does not react with dimeric IgA alone) (kindly provided by Barton Haynes), followed by an HRP-conjugated polyclonal anti-mouse IgG (Promega, Madison, WI) and then TMB peroxidase substrate. The standard used was b12 secretory IgA (SIgA) prepared by complexing b12 dimeric IgA (dIgA) (NHP reagent program), with rhesus SC followed by an overnight incubation, resulting in a 1:1 molar ratio of dIgA to SC. The concentration of HIV-1 Env-specific SIgA antibody was calculated relative to the standard curve using a 5-parameter fit curve (WorkOut 2.5; PerkinElmer, Waltham, MA). A positive result was defined as the mean OD of preimmune milk samples plus 3 standard deviations (SD). The limit of detection (LOD) in this assay was determined to be 100 ng/ml based upon the lowest concentration of the dIgA-SC standard with a corresponding OD that exceeded 3 times that of the blank wells.

**sCD4 and A32 blocking ELISA.** Assessment of antibody specificity for the CD4bs and C1 conformational epitopes was done as described previously (24). Briefly, 384-well plates were coated overnight with C.1086 gp120 (30 ng/well) and then blocked before addition of serially diluted MAbs. For the soluble CD4 (sCD4) blocking ELISA, sCD4 (a gift from Bing Chen) was next added to the plates (6.4 ng/well), followed by addition of a biotinylated anti-CD4 (0.3 ng/well). For the A32 blocking ELISA, biotinylated A32 antibody was added (0.625 ng/well). In both assays, HRP-conjugated streptavidin (at a 1:30,000 dilution) and SureBlue Re-

serve TMB peroxidase substrate were used to detect the biotinylated antibodies. Background was normalized using negative-control wells that received only assay diluent in place of primary monoclonal antibody. Percent inhibition was calculated as follows:  $100 - (\text{serum triplicate} mean/no-inhibition control mean) \times 100$ . A reduction of absorbance by >50% by a MAb indicated blocking of sCD4 binding to gp120 or blocking of A32 MAb binding to the C1 region (26).

TNC ELISA. A 384-well plate was coated with 2  $\mu$ g/ml of anti-tenascin C (anti-TNC) antibody rabbit polyclonal IgG (H-300; Santa Cruz Biotechnology) and incubated at 4°C overnight. Wells were washed with PBS plus 0.1% Tween 20 and blocked with 7.5% bovine serum albumin (BSA) (Gibco) at room temperature for 1 h. Milk samples delipidized by centrifugation at 21,000  $\times$  g were diluted in 7.5% BSA, and a commercially available purified TNC (Millipore) was used as the protein standard, ranging from 5 µg/ml to 5 ng/ml. The standards and samples were added to the plate and incubated for 1 h at room temperature. Plates were washed 2 times, and 1 µg/ml of tenascin C mouse monoclonal antibody (T2H5; Fisher) was added and incubated for 1 h at room temperature. Plates were washed 2 times, and a 1:10,000 dilution of goat anti-mouse HRP-conjugated antibody (Promega) was added to each well and incubated for 1 h at room temperature. Plates were washed 4 times, and SureBlue Reserve TMB microwell substrate (KPL) was added and incubated in the dark at room temperature for 5 min. TMB stop solution (KPL) was added, and plates were read at 450 nm.

Peptide array serum specificity mapping. Serum epitope mapping of heterologous strains was performed as described previously with minor modifications (27, 28). In short, a peptide library of overlapping peptides (15-mers overlapping by 12), covering 7 full-length HIV-1 gp160 Env consensus sequences (clades A, B, C, and D, group M, CRF1, and CRF2) and 6 vaccine and laboratory strain gp120 sequences (A244\_1, TH023\_1, MN\_B, 1086\_C, TV1\_C, and ZM651\_C), was printed onto epoxy glass slides (provided by JPT Peptide Technologies GmbH [Germany]). Sequences of all peptides in the library have been previously reported (29). Microarray binding was performed using the HS4800 Pro Hybridization Station (Tecan, Männedorf, Switzerland). All arrays were blocked with blocking buffer (PBS, 1% milk, 5% normal goat serum [NGS], 0.05% Tween 20) for 1 h at 30°C, followed by a 2-h incubation at 30°C with serum diluted 1:50 in blocking buffer. Arrays were subsequently incubated for 45 min at 30°C with goat anti-human IgG conjugated with AF647 (Jackson ImmunoResearch, PA) (0.75 µg/ml final concentration) diluted with blocking buffer. Washes between all steps were with PBS containing 0.1% Tween 20. Arrays were scanned at a wavelength of 635 nm using an Axon Genepix 4300 scanner (Molecular Devices, Sunnyvale, CA, USA) at a photomultiplier tube (PMT) setting of 580 and 100% laser power. Images were analyzed using Genepix Pro 7 software (Molecular Devices). The intensity of binding of the postimmunization serum to each peptide was corrected with its own background value, which was defined as the median signal intensity of the prebleed serum for that peptide plus 3 times the standard errors among the 3 subarray replicates present on each slide.

**Neutralization.** Neutralization of clade C tier 1 (C.MW965) and autologous tier 2 (C.1086) HIV-1 pseudovirus variants by plasma, milk, and isolated MAbs was measured in TZM-bl cells via a reduction in luciferase reporter gene expression (30). In brief, dilutions of plasma, milk, or MAbs were incubated with an optimized amount of virus for 45 min at 37°C in a 96-well plate, and then freshly trypsinized TZM-bl cells in growth medium were added to each well. Following a subsequent 48-h incubation, the culture medium was removed and replaced with a luciferase reagent (Bright-Glo; Promega), causing cell lysis and luminescence proportional to the amount of infection. The luminescence was measured using a Victor 2 luminometer (PerkinElmer). The 50% inhibitory dilution ( $ID_{50}$ ) titers were calculated as the dilution that resulted in a 50% reduction in relative luminescence units (RLU) compared to virus control wells. Likewise, the 50% inhibitory concentration ( $IC_{50}$ ) values were calculated as the concentration of antibody which caused a 50% reduction in RLU (31).

MAbs that mediated tier 1 virus neutralization were further screened against a multiclade panel of tier 1 viruses B.BaL.26, B.MN.3, and B.SF162.

ADCC. The ADCC activity of plasma, delipidized breast milk, purified IgG, and MAbs was assessed using the GranToxiLux (GTL) assay (32). Briefly, CEM.NKR<sub>CCR5</sub> cells (NIH AIDS Reagent Program, Division of AIDS, NIAID, NIH; from Alexandra Trkola) (33) were used as targets after coating with recombinant C.1086 gp120 protein (5 µg/ml). Cryopreserved human peripheral blood mononuclear cells (PBMC) from an HIV-seronegative donor with the heterozygous 158F/V genotype for Fcgamma receptor IIIa were used as the source of the effector cells (34). Serial dilutions of plasma, milk, purified IgG, and MAbs were tested. The maximum percent granzyme B (GzB) activity was defined as the peak proportion of cells positive for proteolytically active GzB out of the total viable target cell population. The final results are expressed after subtraction of the background percent GzB activity observed in wells containing effector and target cells in the absence of antibodies. ADCC endpoint titers and concentrations were determined by interpolating the dilutions of plasma and breast milk, or the concentrations of purified antibodies, that intersect the positive cutoff using GraphPad Prism software version 6.0f (GraphPad Software, Inc., La Jolla, CA).

**ADCC inhibition.** For studies with spiked breast milk (see Fig. 8), a 1:16 dilution of breast milk was spiked with previously determined optimal ADCC concentrations of either DH532 (10  $\mu$ g/ml) or a mixture of ADCC-mediating antibodies (A32, 2G12, 7B2, and CH44 at 15 ng/ml). The results are reported as ADCC activity (percent granzyme B activity) and percent ADCC inhibition, calculated as the percentage of ADCC activity reduction based on the ADCC mediated by the IgG MAb or MAb mixture in prevaccination milk.

Isolation of C.1086 Env-specific B cells. The C.1086 Env-specific B cell population was identified in blood, breast milk, and gastrointestinal (GI) tissue of all 4 monkeys via flow cytometry. Data were collected using the FACSAria2 instrument (BD Biosciences) with the FACSDiVa software and were analyzed by manual gating with FlowJo software. The complete gating strategy for the total B cell population was defined as CD3<sup>-</sup> CD14<sup>-</sup> CD16<sup>-</sup> CD20<sup>+</sup>. The total numbers of identified B cells from blood and breast milk of each monkey were as follows: A6E030, 81,191 and 1,383; A6E042, 73,258 and 97; A6E088, 107,300 and 248; and AU71S, 34,859 and 14. Env-specific B cells were obtained from B cell population by conjugation of C.1086 Env to dyes AF647 and Pac-Blue (Invitrogen) and selection for double-positive B cells.

MAb genetic characterization and small-scale testing. Following B cell sorting, the expressed Ig  $\mathrm{V}_{\mathrm{H}}$  and  $\mathrm{V}_{\mathrm{L}}$  genes were amplified by reverse transcription and nested PCR as described previously (35-37). The PCR products were purified and sequenced. Ig isotype was determined by sequence homology. Somatic hypermutation frequencies, inferred V(D)J rearrangement, and CDR3 length were determined from Clonalyst (40). Overlapping PCR was performed to coexpress the variable-region PCR products with full-length IgG1 (heavy chain) and kappa or lambda (light chain) cassettes, and the PCR products were transiently transfected in 293T cells without the need for a cloning step. The supernatants of transfected cells were screened for reactivity against the following HIV Env proteins by small-scale ELISA: C.1086 gp140, C.10868 gp120, M.ConS gp120, B.MN gp120, A.9004SS gp120, M.ConS gp140, A.92RW020 gp140, B.BxB/Bal gp140, and C.CH505TFqODwV3. Six IgA and six IgG antibodies were selected for large-scale production based upon (i) the strength of binding to the immunogen gp120 region (those with higher OD against gp140 construct were excluded) and (ii) broad clade binding specificity. Heavy and light chains for the 12 MAbs selected were cloned into the pcDNA3.1+ mammalian expression vector. The heavy chain for all antibodies, including IgA, included an IgG backbone. Plasmids were transiently transfected into 293F cells, and the antibody was purified using protein A beads as described previously (35).

**Epithelial cell binding.** The ability of breast milk Env-specific MAbs to impede infectious virus binding to colonic epithelial cells was assessed

(24). In short, colonic HT-29 cells (ATCC) were grown to confluence on a 96-well flat-bottom plate in modified McCoy's 5A medium supplemented with 10% FBS and antibiotics. The HT-29 cells were washed once with serum-free medium and treated with 100 µl of 50 µg/ml mitomycin C for 1 h to prevent further division, followed by two washes. The isolated MAbs then were diluted in serum-free medium, incubated with 10× concentrated HIV pseudovirus MW965 for 1 h at 37°C, added in quadruplicate to the colonic epithelial cell monolayer, and then finally incubated at 37°C for 4 h. Monolayers were washed twice with PBS to remove free virus, and 10<sup>4</sup> TZM-bl reporter cells were added to each well of the virusbound monolayer. After 48 h, luciferase reagent (Bright-Glo; Promega) was added to the well, and the RLU were measured. Percent inhibition was calculated by dividing the RLU of each well by the median RLU of epithelium-bound virus that was not preincubated with isolated rhesus Abs. The IgG isoform of the influenza virus MAb CH65 was used as a negative control, whereas the IgG isoform of broadly neutralizing CD4 binding site MAb VRC01 was used as a positive control. The cutoff value was determined by the mean plus 2 SD of CH65 IgG relative to no antibody.

Binding of MAbs to infected cells. The ability of MAbs to recognize CEM.NKR<sub>CCR5</sub> infected with a C.1086 infectious molecular clone (IMC) was assessed (32). CEM.NKR<sub>CCR5</sub> cells were infected with a C.1086 IMC that encodes the Renilla luciferase reporter gene and preserves all HIV-1 open reading frames (38) using dextran-DEAE as described previously (32). At 48 h postinfection, the ability of MAbs to recognize the HIVinfected cells was measured by indirect surface immunofluorescence analysis. The infected CEM.NKR<sub>CCR5</sub> cells were incubated with 10 µg/ml of the rhesus-derived MAbs in R10 medium for 2 h at 37°C and then stained with a vital dye (Live/Dead Fixable Aqua Dead Cell Stain; Invitrogen) to exclude nonviable cells from subsequent analyses. Primary Ab binding was detected by secondary labeling with fluorescein isothiocyanate (FITC)-conjugated goat anti-rhesus IgG (SouthernBiotech Inc., Birmingham, AL), and HIV-1-infected cells were identified by staining for intracellular expression of p24 (KC57-RD1; Beckman Coulter) using standard methods. Infected cell binding was evaluated by gating on live, p24<sup>+</sup> events using FlowJo version 9.8 software (TreeStar, Inc., Ashland, OR).

IgG purification and concentration. IgG was isolated from plasma and breast milk using protein G columns (6) (protein G resin prepacked into 96-well depletion plates [GE Healthcare]). Plasma was diluted 2-fold with Tris-buffered saline (TBS) (pH 7.5), and 200 µl of the diluted sample was added per well. For breast milk, 300 µl of undiluted sample was added to each well. The plates were incubated at room temperature, with shaking, for 1 h. The unbound fractions were removed by centrifugation at  $700 \times g$  for 3 min. Wells were then washed 3 times with 400 µl of TBS to remove loosely bound material. The IgG bound to the resin was eluted with 200 µl of 2.5% glacial acetic acid (pH 2.51) and immediately neutralized with 120 µl of 1 M Tris-HCl (pH 9.0). The eluted IgG fractions were concentrated using Amicon Ultra centrifugal filters (Millipore) with a 30,000-molecular-weight cutoff. The sample volume was reduced to 50  $\mu$ l by centrifugation at 14,000  $\times$  g in a microcentrifuge precooled to 4°C. A buffer exchange was then performed using 2.0 volumes of PBS, pH 7.5. The concentrated IgG was assayed for protein concentration using a NanoDrop 8000 spectrophotometer (Thermo Fisher Scientific) using the IgG reference setting and then diluted to 1 mg/ml with PBS.

**Statistical methods.** Exact Wilcoxon signed rank tests were performed for all outcome and time point comparisons described in the text. R software version 2.141.1 was used for calculations (R Foundation for Statistical Computing, Vienna, Austria). Note that 0.13 is the lowest *P* value attainable with 4 experimental animals.

# RESULTS

**Combined i.n./i.m. Env immunization increases Env-specific IgA responses in breast milk.** In an attempt to elicit both functional IgG and Env-specific IgA responses in breast milk, four female Indian rhesus monkeys previously immunized with an MVA prime/i.n. boost regimen were boosted a third time with a



FIG 2 The combined i.n./i.m. HIV-1 Env boost enhances IgA responses in mammary and vaginal compartments over those elicited by i.n. boost alone. The gp120-specific IgG responses of animals given the MVA prime/i.n. boost regimen plus the combined i.m./i.n. boost are shown for plasma/milk (A) and vaginal/rectal secretions (C), while gp120-specific IgA responses are shown for plasma/milk (B) and vaginal/rectal secretions (D). Data points represent mean value for 4 animals, with standard deviations indicated by error bars. Plasma is in red, milk in blue, vaginal secretions in green, and rectal secretions in purple. The secretory IgA (SIgA) fraction of the gp120-specific IgA responses is in turquoise (B). IgA was not detectable in rectal secretions for any time point.

combined i.n./i.m. approach 50 weeks after the original MVA prime (Fig. 1). Lactation was hormonally induced in the rhesus monkeys prior to immunization (20). In both plasma and milk we observed an increase in Env-specific IgA over the response following i.n. Env boost alone. This increase was more pronounced in milk yet was not statistically significant (median milk IgA after boosts 2 and 3, 1,712 ng/ml and 7,641 ng/ml, respectively; P =0.13) (Fig. 2). The magnitude of the Env-specific IgA response elicited in breast milk following i.n./i.m. boost is remarkable and distinct from that found in chronically HIV-infected individuals, as median breast milk Env-specific IgA levels exceeded those in plasma (median milk IgA = 7,641 ng/ml and plasma IgA = 6,200 ng/ml; P = 0.13). Interestingly, the vaccine-elicited antibody responses were quite durable over the 34 weeks prior to i.n./i.m. boost 3 (week 50), with high-magnitude Env-specific IgG and IgA measured in the breast milk of vaccinated monkeys prior to the final boost. We next investigated what proportion of the vaccineelicited Env-specific IgA response was secretory (SIgA) by assessing the amount of secretory component in milk (Fig. 2B). Due to

sample limitation after the third protein boost, SIgA in the breast milk was measured only preimmunization and following boosts 1 and 2. Env-specific SIgA was detected in 3 of 4 animals following i.n. boost 2, comprising only 9% of the total Env-specific IgA in breast milk (median IgA = 1,712 ng/ml and SIgA = 152 ng/ml; P = 0.13). This finding suggests that the majority of vaccine-elicited Env-specific IgA did not traffic to the breast milk compartment via poly-Ig receptor interaction. Thus, the predominance of vaccine-elicited Env-specific IgA antibodies in milk was likely either passively transcytosed through mammary epithelia or produced locally. The vaccine-elicited IgA responses in other mucosal compartments were also assessed. Following i.n./i.m. immunization, Env-binding IgA increased in the vaginal compartment, surpassing levels previously attained following i.n. Env boosts (18). There was no detectable IgA in rectal secretions (Fig. 2C and D).

High proportion of Env-specific IgA isotype memory B cells isolated from breast milk of i.n./i.m. Env-immunized lactating monkey A6E030. Env-specific B cells were isolated from blood,

	C.1086 <sup>+</sup> B cel	ls (%) in:	
Animal	Blood	Milk	Intestine
A6E030	0.27	2.46	0.07
A6E042	0.06	$ND^{a}$	0.07
A6E088	0.10	2.82	0.22
AU71S	0.06	ND	0.07
Avg	0.12	$2.64^{b}$	0.11

TABLE 1 Percentages of Env-specific memory B cells isolated from blood, milk, and intestines of four i.n./i.m. Env-vaccinated lactating monkeys

<sup>*a*</sup> ND, none detected (CD20<sup>+</sup> total cell count of <100).

<sup>b</sup> Average excluding values not detected due to low total CD20<sup>+</sup> cell count.

milk, and intestinal tissue using a fluorescently labeled C.1086 Env probe (data not shown). Populations of Env-specific B cells were identified in the blood and intestines of all four animals, comprising an average of 0.12% and 0.11% of all B cells isolated in each respective compartment. Two animals had low B cell counts in breast milk (<100 total CD20<sup>+</sup> cells). However, intriguingly, in the remaining two animals with a significant population of breast milk B cells, 2.64% on average were Env specific (Table 1). Based upon the large number of breast milk B cells in monkey A6E030, this animal was selected for investigation of the vaccine-elicited Env-specific breast milk B cell repertoire. In total, 33 Env-specific B cells were isolated from blood and 28 from breast milk. The isotype distribution of the blood Env-specific MAbs was 97% IgG and 3% IgA (Fig. 3E). In contrast, 29% of Env-specific MAbs in the breast milk compartment were IgA, whereas 68% were IgG and 3% IgM (Fig. 3F). This relatively high proportion of IgAproducing B cells in milk suggests that i.n./i.m. vaccination may induce localization of Env-specific IgA isotype B cells to the mammary compartment. A similar analysis was conducted for breast milk B cells isolated from animal A6E088; however, only 5 Envspecific B cells were isolated from blood and 5 from breast milk. Though it is difficult to draw conclusions from the small number of MAbs isolated from A6E088, 20% (1 of 5) of Env-specific B cells were IgA isotype in both blood and breast milk

The i.n./i.m. Env vaccine regimen increases both the magnitude and breadth of the systemic Env epitope-specific IgG response. To determine the impact of our immunization regimen on the fine specificity of the plasma IgG response, we used a peptide array assay to assess binding to overlapping linear peptides covering 7 consensus strains and 6 vaccine strains of Env gp160. The magnitude and breadth of the IgG response against Env peptides increased following each vaccination (Table 2). In all animals, anti-V3 binding was the dominant response observed; however, all vaccinated animals also developed binding responses to other linear regions, including C1, C2, V3, C4, and C5. The animal with the highest-magnitude IgG response (A6E030) developed notable epitope breadth with binding responses against all these regions. The greatest increase in gp120 peptide binding magnitude was seen following the first i.n. Env boost, as evidenced in the two animals for which the preimmune and i.n. boost 1 time points



FIG 3 Isolation of C.1086 Env-specific IgA-producing B cells from breast milk of animal A6E030. (A and B) Env-specific B cells were isolated by selection of cells from blood (A) and milk (B) that are double positive for C.1086 Env labeled with two different fluorophores. (C and D) All MAbs isolated from Env-specific B cells in blood (C) and breast milk (D) were confirmed to be gp120 specific by ELISA. (E and F) While the blood gp120-specific MAbs were predominantly IgG isotype (E), those in milk were 29% IgA isotype (F). An identical analysis was performed for animal A6E088, though only 5 MAbs were isolated from plasma B cells and 5 MAbs from breast milk B cells.

	Signal in	ntensity <sup>a</sup> fo	or the indic	ated monkey	and time	point						
HIV Env epitope	A6E030				A6E042				AU71S		A6E088	
(peptide range, aa)	Prime	i.n.1	i.n.2	i.n./i.m.3	Prime	i.n.1	i.n.2	i.n./i.m.3	i.n.2	i.n./i.m. 3	i.n.2	i.n./i.m.3
C1.1 (28–29)	1	94	2,369	13,162	1	1	1	1	50	46	264	1,472
C1.2 (32-36)	1	10	2,128	7,091	1	1	3,646	22,843	37	21	4,558	20,443
C2.1 (83-84)	1	42	1,115	4,050	1	1	62	347	17	4	43	39
C2.2 (91)	1	6,599	7	<u>31,884</u>	1	501	18,187	18,831	15	205	43	7,344
V3 (93-106)	6,115	41,303	50,112	65,186	4,321	60,998	57,211	60,520	18,498	22,535	54,913	<u>57,958</u>
V4 (126-127)	168	207	11,719	9,690	1	1	1	1	162	102	471	649
C4 (136–139)	1,671	2,361	<u>31,085</u>	47,253	1	232	1	113	7,846	7,153	562	313
V5 = C5 (147 - 151)	1	472	3,155	8,040	1	1	721	293	106	29	9,176	13,172
C5.1 (156-159)	1	6,594	24,739	23,126	1	115	504	1,610	68	164	6,153	10,711
gp41 ID (187–196)	96	781	524	1,495	1	56	1	512	597	518	117	114
MPER (212–216)	1	1	1	1	1	1	1	1	111	56	8	4

TABLE 2 i.n./i.m. vaccine regimen increases both linear epitope magnitude and breadth of systemic gp120-specific IgG responses

<sup>*a*</sup> Maximum binding (signal intensity) to a single peptide within each identified epitope. Italic, signal intensity of 1,000 to 10,000; bold italic, signal intensity of 10,000 to 30,000; underlined bold italic, signal intensity of >30,000. Plasma samples for the postprime and post-i.n. boost 1 time points were not available for animals AU71S and A6E088 due to sample limitation.

were measured. However, the i.n./i.m. Env boost introduced robust epitope expansion in all animals, with the exception of monkey AU71S.

Combined i.n./i.m. Env boost increases neutralizing activity in breast milk but does not elicit ADCC responses. We previously observed that systemic (i.m.) Env immunization, but not mucosal (i.n.) immunization, was able to elicit neutralizing and ADCC-mediating responses in the breast milk of lactating rhesus macaques (18). Thus, we measured these functional antibody responses following combined i.n./i.m. Env immunization in both



FIG 4 Functional HIV-1 Env-specific antibody responses elicited in milk and plasma following combined i.n./i.m. Env immunization. (A and B) Neutralization responses against the tier 1 clade C virus MW965 in TZM-bl cells in plasma (A) and milk (B). Each colored line represents a different vaccinated animal. (C and D) ADCC activity against HIV-1 C.1086 gp120-coated CEM.NKR cells in plasma (C) and milk (D) following the combined i.n./i.m. Env boost. The dashed line indicates the starting dilution. Four of four animals had detectable ADCC activity in plasma, compared to zero of four animals with detectable activity in breast milk.

TABLE 3 The concentration of innate HIV-neutralizing factor tenascin C in breast milk does not significantly change during the vaccination schedule

	Tenascin C c	oncn (µg/ml) at ti	ime point:	
Animal	Postprime	Post-boost 1	Post-boost 2	Pre-boost 3
A6E030	0.53	1.47	1.37	2.47
A6E042	0.91	1.92	0.90	3.94
A6E088	1.30	0.66	2.69	2.22
AU71S	3.62	2.81	3.19	NA <sup>a</sup>

<sup>a</sup> NA, sample not available.

plasma and breast milk (Fig. 4). In each vaccinated, lactating monkey, the neutralization response against a tier 1 clade C variant, MW965, was augmented in milk following the combined i.n./i.m. Env boost (median ID<sub>50</sub>s after boosts 2 and 3, 226 and 702, respectively; P = 0.13) (Fig. 4B). Surprisingly one animal (A6E030) had comparable neutralization activity in plasma and breast milk (ID<sub>50</sub>s of 5,974 and 4,796, respectively). The plasma of all animals demonstrated ADCC antibody responses, which is consistent with results seen in the MVA prime/i.n. boost group prior to administration of the i.n./i.m. combined boost (18). ADCC responses were enhanced in plasma following the i.n./i.m. boost (median titers after boots 2 and 3, 5,994 and 81,498, respectively; P = 0.13) (Fig. 4C). However, no ADCC activity was detectable in breast milk following the i.n./i.m. boost.

The concentration of TNC does not increase in response to vaccination. Tenascin C (TNC) is an innate protein found in breast milk, which we have previously described to have HIV-1 neutralizing function (39). Thus, we investigated whether an increase in innate factors like tenascin C might play a role in the enhanced neutralization titers following each immunization observed in this study. However, measurement of breast milk TNC concentration across time points of the immunization schedule revealed that while TNC was detectable in rhesus monkey milk, it was comparable between all 4 animals and 4 time points (Table 3).

**Clonal lineage from** 

We have previously found that the concentration of TNC in human breast milk ranges from 2.2 to 671 µg/ml and that TNC has a neutralization IC<sub>50</sub> of 82 to 158  $\mu$ g/ml (39). Therefore, the low level of tenascin C detected in the vaccinated monkey breast milk across all time points (0.53 to 3.94 µg/ml) suggests that the sequential increase in neutralization titers throughout the vaccine schedule is not due to a change in the composition of innate breast milk factors.

Genetic characteristics of IgA and IgG MAbs produced from breast milk and blood Env-specific memory B cells. Genetic analysis of paired heavy and light chains from Env-specific blood and breast milk B cells was conducted using Cloanalyst to determine the utilized V<sub>H</sub> and V<sub>L</sub> genes, complementarity-determining region 3 (CDR3) length, and mutation frequency (40). Interestingly, we identified a B cell clonal lineage spanning the systemic and mammary compartments (Fig. 5). This clonal lineage was comprised of 8 IgG MAbs, including 6 from blood and 2 from breast milk, which strongly suggests trafficking of vaccine-elicited Env-specific IgG isotype B cells between the two compartments. We found that the V<sub>H</sub>4 gene family was predominant in blood and breast milk compartments (66% of all MAbs). The median heavychain CDR3 (HCDR3) length and mean percent V<sub>H</sub> mutation frequency were 15 amino acids (aa) and 5% in blood and 13 aa and 5% in breast milk. Among the 28 MAbs isolated from A6E030 breast milk B cells, the median HCDR3 length and mean percent  $\rm V_{H}$  mutation frequency were 9 aa and 5% for IgA but 15 aa and 5% for IgG (Table 4). From these 28 milk MAbs, 6 IgA and 6 IgG MAbs were selected for subsequent study based on the magnitude and breadth of the Env-binding profile following transient transfection. The HCDR3 length and percent V<sub>H</sub> mutation frequency of the 6 IgA and 6 IgG MAbs selected were representative of the total breast milk MAb population (Table 5).

i.n./i.m. Env vaccine-elicited IgA and IgG MAbs isolated from breast milk B cells have variable Env epitope specificity. First, the Env-binding breadth of the 6 IgA and 6 IgG antibodies chosen for large-scale production was examined by ELISA using



Genetic analysis of mAbs from

FIG 5 Clonal relationship of vaccine-elicited Env-specific blood and breast milk IgG isotype B cells. (A) A clonal lineage comprising monoclonal antibodies isolated from C.1086 Env-specific blood and breast milk B cells was identified in animal A6E030 following combined i.n./i.m. immunization. UCA, unmutated common ancestor. (B) Heavy-chain genes, HCDR3 length, and mutation frequency were determined for pairings using Cloanalyst.

dentificatio	n		Heavy chain			Light	chain				Small-s	cale trans	ection su	ıpernataı	nt ELISA (C	DD) <sup>b</sup>			1	
			·	HCDR3 length	% V <sub>H</sub> mutations	Light	·	LCDR3 length	$\% V_{L}$ Mutations	IgG	C.1086	C.1086	ConS	MN	A.9004SS	M.ConS	~	4.92RW	A.92RW B.BxB/	A.92RW B.BxB/ CH505TF
Aonkey I	sotype I	gH ID	$V_H gene^a$	(aa)	(nt)	chain	$V_{\rm L}$ gene <sup><i>a</i></sup>	(aa)	(nt)	$(\mu g/ml)$	gp140	gp120	gp120	gp120	gp120	gp140	0	$20_{140}$	20_140 Bal_140	20_140 Bal_140 qODwV3
\6E030 I	gA I	4901314	5-20@1	20	J	×	1-32@1	9	4.23	1.087	3.52	3.59	3.37	2.00	0.13	3.30	.0	40	40 0.01	40 0.01 0.00
		4901315	3-SC11@201	8	14.2	¥	1D-5@12	9	6.97	0.309	0.76	1.69	0.52	0.57	0.01	0.35	0.0	10	0.00	0.00 0.18
	I	4901316	4-32@1	6	2.7	х	1-LC1g@211	9	3.56	0.973	3.27	3.87	0.05	0.05	0.19	0.21	0.	80	08 0.08	08 0.08 0.05
	1	4901319	2-SC7@20	10	5.7	7	3-SC6@20	9	6.3	0.526	3.44	3.95	0.37	0.17	0.27	0.13	0	16	16 0.17	16 0.17 0.10
	-	4901323	4-32@1	6	2	×	1-LC1g@211	9	5.32	0.509	3.37	3.64	0.08	0.06	0.04	0.04	0.0	90	0.02	0.02 0.04
	-	4901325	3-108@11	9	5.4	×	2-SC2@23	9	4.08	0.206	3.35	3.59	3.86	3.80	3.50	3.93	3.0	54	54 3.33	54 3.33 0.00
	1	4901340	4-32@1	6	1.7	7	2-23@11	10	2	0.552	3.64	3.63	0.17	0.02	0.03	0.08	0.4	<b>1</b> 1	11 0.03	41 0.03 0.11
I	gG I	4901310	4-32@1	18	2.7	¥	1-SC6@20	9	3.17	1.946	3.63	3.31	0.80	0.01	0.01	1.10	0.0	2	0.02	2 0.02 0.00
	-	4901312	5-20@11	15	8.2	>	3-33@1	10	8.8	0.047	1.09	0.99	0.92	0.29	0.43	0.95	0.4	L1	0.42	17 0.42 0.00
	H	4901313	4-SC3@20	11	4.8	$\succ$	5-64@14	9	3.3	0.15	3.30	3.31	3.31	2.94	0.25	2.95	0.0	3	0.01	0.01 0.00
	I	4901317	5-20@11	15	4.8	7	3-27@1	11	9.4	0.884	3.26	3.95	3.39	2.59	3.21	3.81	3	72	72 3.08	72 3.08 3.17
	I	4901318	4-56@1	17	5.8	7	D2-13@11	NaN <sup>c</sup>	NaN	0.167	3.30	3.73	2.09	0.17	0.10	2.23	0.0	6	6 0.05	6 0.05 0.04
	I	4901321	3-58@1	13	1	7	2-23@11	10	2	0.037	1.73	2.31	0.16	2.02	0.00	0.07	0.00	0	0.00	0.00 3.02
	-	4901321	3-58@1	13	1	7	2-23@11	10	2.4	0.052	1.98	2.62	0.11	1.93	0.00	0.07	0.01		0.03	0.03 3.32
	1	1901326	4-56@1	17	9.15	7	D2-13@11	10	6.7	0.534	3.64	3.67	3.45	3.44	0.45	3.81	0.30		0.27	0.27 0.09
	I	4901328	4-32@1	16	2.4	×	1-SC6@20	9	3.17	1.524	3.32	3.95	3.95	3.33	0.44	3.13	0.01		0.02	0.02 0.00
	1	4901331	3-8@1	9	5.8	х	1-31@11	9	6.64	0.581	3.46	3.90	3.62	0.00	0.42	3.72	3.68		0.00	0.00 3.41
	I	4901334	4-SC3@20	20	4.15	¥	3D-4@14	8	1.77	0.099	2.77	3.38	3.11	2.44	0.18	1.80	0.01		0.01	0.01 0.00
	I	4901335	4-32@1	6	3.4	¥	1-LC1g@211	9	2.14	0.196	3.36	3.56	0.14	0.00	0.00	0.06	1.55		0.01	0.01 0.20
	I	4901336	4-100@1	15	υı	¥	1-23@1	9	5.94	0.088	1.27	1.17	0.58	0.15	0.00	0.62	0.01	_	0.02	0.02 0.00
	I	4901337	4-56@1	17	8.5	7	5-76@12	NaN	NaN	0.079	2.72	2.80	1.42	0.35	0.01	1.45	0.01		0.01	0.01 0.00
	I	4901339	4-32@1	18	6.5	¥	1-SC6@20	9	5.28	0.938	3.44	3.79	2.85	0.01	0.01	3.02	0.02		0.02	0.02 0.00
	I	4901341	4-56@1	17	1.7	7	2-SC1@20	10	ω	0.007	1.02	1.02	0.89	0.07	0.00	0.77	0.04	-	0.01	0.01 0.02
	I	4901343	4-32@12	17	8.8	¥	1-116@1	9	5.02	0.297	1.38	1.20	0.02	0.03	0.00	0.03	$0.0^{2}$	-	1 0.02	4 0.02 0.01
	I	4901348	1-SC13@20	11	9.2	~	3-SC3@21	11	3.1	0.052	3.33	3.49	3.31	3.30	2.54	3.30	3.27	7	3.14	7 3.14 0.22
I	gM I	1901322	3-108@11	9	10.5	*	2-SC2@204	9	6.80	0.138	3.23	3.62	3.53	3.75	3.14	3.52	3.43		3.20	3.20 0.00
v6E088 I	gA I	1900884	1-SC13@322	17	6.3	~	3-27@1	11	3.4											
Ι	gG I	1900874	4-SC6@21	16	7	×	2-73@11	9	4.6											
		4900876	4-SC6@20	20	11.7	>	1-45@1	11	12.5											
	-	1900882	4-SC6@20	15	6.3	×	1D-5@1	9	12.7											
	_	1900886	4-SC6@21	12	5.3	7	11-96@1	9	6.4											

STI C

 $^{\circ}$  An OJ of  $^{\circ}$  2 times that for mock-transfected wells was considered positive and is ingingined by starwing, sin  $^{\circ}$  NaN, "not a number," indicating that Clonalyst could not computationally infer the percentage of mutations.

Identific	cation	Genetic data							Fine spec	cificity	Epitope b	locking	Neutralizat (IC <sub>50</sub> , µg/n	ion (lt		Inforted call	Mean % inhihition of
Isotype	Antibody	V <sub>H</sub> gene	HCDR3 length (aa)	% V <sub>H</sub> mutations (nt)	Light chain	$V_{\rm L}$ gene	LCDR3 length (aa)	% V <sub>L</sub> mutations (nt)	Target <sup>a</sup>	EC <sub>50</sub> (µg/ml)	Target	% blocking	C.MW965	C.1086	(titer, μg/ml) <sup>b</sup>	binding (avg FITC MFI) <sup>c</sup>	epithelial cell binding <sup>d</sup>
IgA	DH524	5-20@1	20	5	¥	1-32@1	6	4.23			CD4	76	>50	>50	>40	652	45
	DH525	3-SC11@201	8	14.2	¥	1D-5@12	6	6.97	Lin C4	0.028			>50	>50	>40	73	32
	DH526	4-32@1	9	2.7	¥	1-LC1g@211	6	3.56					>50	>50	$>\!40$	29	22
	DH527	2-SC7@20	10	5.7	$\checkmark$	3-SC6@20	6	6.3	V2	0.002			>50	>50	0.588	327	23
	DH528	4-32@1	6	2	¥	1-LC1g@211	6	5.32					>50	>50	>40	12	17
	DH529	3-108@11	6	5.4	¥	2-SC2@23	6	4.08	Lin C1	0.014			>50	>50	>40	31	23
IgG	DH530	4-32@1	16	2.4	¥	1-SC6@20	6	3.17			CD4	76	>50	>50	>40	472	15
	DH531	3-8@1	6	5.8	¥	1-31@11	6	6.64					>50	>50	$>\!40$	15	15
	DH532	4-SC3@20	20	4.15	¥	3D-4@14	8	1.77			A32 (C1)	70	>50	>50	0.002	1,154	15
	DH533	4-32@1	9	3.4	¥	1-LC1g@211	6	2.14					>50	>50	$>\!\!40$	8	26
	DH534	4-32@1	18	6.5	¥	1-SC6@20	6	5.28			CD4	80	>50	>50	>40	723	35
	DH535	1-SC13@20	11	9.2	$\checkmark$	3-SC3@21	11	3.1	V3	0.07			$0.024^{e}$	>50	0.013	1,460	91

 $= 0.06 \mu g/ml$ ), and B.SF162 (IC<sub>50</sub> = 0.13  $\mu g/ml$ ) the cutoff of 31% inhibition (2 standard deviations above value for the negative control, CH65) MAb DH535 was also screened for neutralization against other viruses: B.Bal.26 ( $IC_{50} = 2.01 \mu g/ml$ ), B.MN.3 ( $IC_{50}$ Bold indicates value above the cutoff of a MFI of 100. indicates value above Bold i

consensus clade A, B, C, and AE gp140 proteins. As anticipated, the vaccine-elicited breast milk MAbs showed highest binding strength to clade C Env proteins; however, 8 of the 12 MAbs bound Env proteins of multiple clades (Fig. 6). Next, epitope specificity of the MAbs was evaluated against a panel of Env peptides. Individual IgA MAbs showed strong binding to the V2 (DH527,  $EC_{50} = 0.002 \ \mu g/ml$ ), C1 (DH529,  $EC_{50} = 0.014 \ \mu g/ml$ ), and C4 (DH525, EC<sub>50</sub> = 0.028  $\mu$ g/ml) regions. Additionally, one IgG MAb was specific for V3 linear peptide (DH535,  $EC_{50} = 0.07$  $\mu$ g/ml) (Fig. 6). To determine whether any of the breast milk MAbs were specific for the CD4-binding site, binding to YU2 core gp120 alongside the CD4-binding site-specific mutant (YU2 D368R) was assessed. One IgA and two IgG vaccine-elicited MAbs demonstrated strong binding to the wild-type YU2 core but not to the YU2 D368R mutant (DH524, DH530, and DH534) (Fig. 6). In addition, these three MAbs were able to block binding of soluble CD4 (sCD4) to Env protein in a competitive ELISA (Table 5). Glycan dependence was assessed by measuring binding to gp120 proteins with and without mutations at well-described glycosylation sites (C.1086 gp120/C.1086 gp120 K160N and ConC gp120/ ConC gp120 N332A). None of the vaccine-elicited MAbs appeared to depend on these glycosylation sites for Env binding (Fig. 6). Finally, MAbs were tested for the ability to block Env binding of A32, an ADCC-mediating MAb directed against a C1 conformational epitope. One IgG MAb (DH532) demonstrated 70% blocking, suggesting conformational C1 specificity (Table 5). Two IgA and two IgG vaccine-elicited breast milk MAbs were unable to be epitope mapped and presumably bind to conformational epitopes (Fig. 6; Table 5).

i.n./i.m. Env vaccine-elicited MAbs isolated from breast milk B cells have diverse antiviral function. The neutralization potency of the isolated milk Env-specific MAbs was assessed in TZM-bl cells against four tier 1 viruses (C.MW965, B.SF162, B.BaL.26, and B.MN.3), as well as the autologous tier 2 virus C.1086. One V3-specific IgG MAb, DH535, neutralized all the tier 1 viruses tested but not the tier 2 autologous virus (Table 5). The ability of MAbs to bind CEM.NKR<sub>CCR5</sub> cells infected with C.1086 autologous virus was measured by immunofluorescence. Six MAbs (2 IgA and 4 IgG) were observed to bind the surface of C.1086-infected cells: DH524, DH530, DH534 (sCD4 blocking), DH527 (V2), DH532 (A32 blocking), and DH535 (V3) (Table 5; Fig. 7). Three of these MAbs also demonstrated ADCC activity against CEM.NKR<sub>CCR5</sub> cells coated with C.1086 gp120: DH527 (V2), DH532 (A32 blocking), and DH535 (V3) (Table 5; Fig. 8A). Finally, the ability of the MAbs to inhibit binding of HIV C.MW965 virions to epithelial cells was assessed (41). Four MAbs (2 IgA and 2 IgG) blocked epithelial cell binding: DH524 and DH534 (sCD4 blocking), DH525 (linear C4), and DH535 (V3) (Table 5; Fig. 7). Thus, vaccine-elicited breast milk MAbs had a wide array of antiviral functionalities which could contribute to blocking viral infection at mucosal surfaces.

Postimmunization breast milk has a moderate inhibitory effect on MAb ADCC function. Since vaccine-elicited IgA in plasma has previously been observed to interfere with the IgG effector function of antibodies with the same specificity (13), we next sought to determine whether the robust i.n./i.m.-elicited IgA responses in breast milk could inhibit ADCC activity by milk IgG. Though ADCC was not observed in the unfractionated breast milk of i.n./i.m. immunized animals, IgG purified from the same breast milk was found to mediate a low level of ADCC activity



FIG 6 Binding profile and determined specificities for 6 IgA and 6 IgG vaccine-elicited Env-specific MAbs isolated from breast milk B cells and selected for functional characterization.  $EC_{50}s$  (in µg/ml) obtained by ELISA are shown. Tested antigens are grouped into categories (Env glycoproteins, Env regional epitopes, CD4bs epitopes, and glycosylation mutants). NB, no binding detected.

(approximate endpoint concentrations of 0.2 µg/ml for A6E042 and 0.1 µg/ml for A6E088) (Fig. 8B). This result suggests a potential ADCC-blocking effect of the high-magnitude Env-specific IgA response, but another possibility is the presence of an unidentified blocking factor in milk. To further investigate this phenomenon of ADCC inhibition, pre- and post-i.n./i.m. vaccination breast milk was spiked with 10 µg/ml of DH532 (the A32-blocking, vaccine-elicited milk MAb that mediates robust ADCC) or 15 ng/ml of an ADCC MAb mix consisting of A32, 2G12, 7B2, and CH44 (Fig. 8C). Partial inhibition of ADCC effector function was observed in the post-i.n./i.m. vaccination milk spiked with both DH532 (29% inhibition) and the ADCC MAb mix (71% inhibition) but not in the pre-i.n./i.m. milk sample (Fig. 8D). Thus, the high-magnitude IgA response or unidentified factors in post-i.n./ i.m. vaccination milk may account for the inability to detect ADCC activity in unfractionated milk of i.n./i.m. Env-immunized monkeys.

# DISCUSSION

An inherent challenge in the prevention of breast milk HIV MTCT is elicitation of robust immune responses more potent than those following natural infection. Breast milk from HIV-infected women contains protective Env-specific antibodies (6, 8, 17, 42), the presence of which makes development of a maternal HIV vaccine to enhance these preexisting responses an attractive strategy to prevent breastfeeding transmission of HIV. In this study, we gained further understanding of the isotypes, specificities, and functions of HIV-specific breast milk antibody responses induced by i.n./i.m. immunization and then compared them to previously described responses that may be important to impede postnatal transmission.

Although breast milk from both HIV-infected humans and SIV-infected rhesus monkeys contains higher-magnitude Envspecific IgG than IgA, in our recent analysis of breast milk antibody responses of women from the Breastfeeding Antiretrovirals and Nutrition (BAN) study, only breast milk HIV envelope gp140-specific IgA was associated with reduced risk of postnatal HIV-1 acquisition (6, 7, 17). These results suggest that a maternal vaccine with the ability to elicit robust IgA responses in milk could potentially be protective. It has traditionally proven very difficult to induce Env-specific IgA in mucosal compartments by HIV vaccination (11). Yet, the combined systemic and mucosal vaccination regimen (i.n./i.m.) used in this study elicited remarkably high-magnitude Env-specific IgA responses in breast milk, with a concentration exceeding that in plasma. This provides proof of principle that a vaccine can selectively elicit strong IgA responses in the mammary compartments. It is intriguing that although secretory IgA typically constitutes the majority of IgA in mucosal secretions (43), following i.n. boost 2, SIgA represented only approximately 9% of the total Env-specific IgA in breast milk. This finding indicates that the majority of Env-specific breast milk IgA was either produced locally or passively transudated across epithelial cells rather than secreted across mammary epithelia. However, despite the observed differences in antibody response magnitude, it is important to note that these differences were not statistically significant given the small number of experimental animals.

In addition to the increased IgA-binding responses, i.n./i.m. immunization also enhanced neutralization titers in breast milk. Many innate factors in breast milk, such as tenascin C (TNC) and lactoferrin, have been described to have an HIV-1-neutralizing function (39, 44). To investigate whether an increased production of these innate factors following immunization could contribute to the observed increase in neutralization titers, we determined the concentrations of tenascin C at multiple time points during the immunization schedule. We observed that the concentrations were quite low compared to human levels, far below TNC neutralization  $IC_{50}$  levels previously observed (39), and were similar between all animals and across all samples. These findings suggest that the increase in neutralizing titer following immunization is the result of an enhancement in Env-specific IgG and IgA responses rather than other innate factors. Interestingly, this investigation is the first to report the presence of TNC in breast milk of rhesus monkeys.

Concordant with the high magnitude of Env-specific IgA in



FIG 7 Vaccine-elicited Env-specific MAbs from breast milk B cells bind infected cells and prevent viral binding to epithelial cells. (A) 6 MAbs (2 IgA and 4 IgG) demonstrated binding to the surface of C.1086 HIV-infected CEM. NKR<sub>CCR5</sub> cells. (B) 4 MAbs (2 IgA, 2 IgG) prevented C.MW965 from binding to GI epithelial cells. Dashed lines indicate the cutoff for positivity, set at a mean fluorescence intensity (MFI) of 100 for infected cell binding and a mean percent inhibition (MPI) of 31 (mean plus 2 SD for negative control) for inhibition of epithelial cell binding. The specificity of i.n./i.m. milk MAbs with a positive result is denoted above the error bars.

milk, a relatively high proportion of Env-specific breast milk B cells were found to be IgA isotype. Previous studies have indicated that IgA isotype B cells comprise 75 to 80% of all mammary glandassociated B cells (45), and there is a body of evidence which supports the existence of a gut-mammary axis in primates by which gut IgA-producing plasmablasts home to lactating mammary tissue (46, 47). Quite counterintuitively, we and others have observed that in the setting of chronic HIV infection, HIV Envspecific memory B cells in breast milk are overwhelmingly IgG isotype (9, 10). Therefore, the relatively high proportion (29%) of Env-specific IgA-producing B cells induced by i.n./i.m. vaccination in breast milk is a novel finding and suggests that this vaccine regimen led to trafficking of clonally proliferating Env-specific B cells to the mammary compartment. The immune function of these B cells in breast milk once ingested by the infant is poorly understood. Intriguingly, activated mononuclear cells have been observed to persist in the mammalian neonatal gut, bind to the brush border, and infiltrate neonatal tissues (48, 49). This observation leaves open the possibility that ingested Env-specific memory B cells could serve as immune sentinels or further differentiate into antibody-producing plasma cells in the infant GI tract.

Among our limited panel of 12 breast milk MAbs (6 IgA and 6 IgG), there was no clear association of antibody isotype with epitope specificity, breadth, or function. As these MAbs were isolated from a single immunized animal, it is unknown whether our results are representative of all immunized animals. Nevertheless, our results clearly demonstrate that i.n./i.m. HIV vaccination can induce IgA isotype memory B cells of diverse specificities in the mucosal compartment and that vaccine-elicited IgA MAbs are capable of mediating functions such as infected cell binding and inhibition of viral binding to epithelial cells. Importantly, this study is the first to isolate and characterize vaccine-elicited IgA from mucosal Env-specific memory B cells. The significant epitope and functional variability observed among our MAb panel suggests that breast milk B cells are not restricted in their epitope specificity. Given our previous discovery that a higher proportion of breast milk B cells are gp120 directed in breast milk than in plasma during chronic HIV-1 infection (9), we anticipate that gp120 i.n./i.m. immunization of a chronically infected woman could further enrich the proportion of gp120-specific B cells.

Interestingly, one of the breast milk IgG MAbs (DH535) was able to mediate multiple effector functions. This V3-specific MAb was determined to have broad tier 1 neutralization function, bind to the surface of C.1086 infected cells, mediate ADCC, and inhibit viral binding to epithelial cells. In a cohort of 248 HIV-infected mothers, the magnitude of the V3-specific IgG in maternal plasma was recently associated with a decreased risk of perinatal MTCT (50). The role of a V3-binding antibody in the prevention of postnatal transmission has not clearly been established, yet it is possible that the polyfunctionality demonstrated by DH535 is required to block mucosal HIV transmission. Indeed, a comparison between two HIV clinical trials (RV144 and VAX003) demonstrated that the ability of a vaccine to induce multiple, coordinated antiviral responses is associated with lower rates of HIV transmission (51, 52).

The role of ADCC in protection against breast milk HIV transmission remains unclear. While Mabuka et al. reported an association between ADCC-mediating antibodies in milk and a reduced rate of transmission (8), in our analysis of the BAN cohort, ADCC responses in milk were not associated with protection (17). Important differences between the cohorts of HIV-infected women could explain these discordant results, including sample size, the virus clade, and the maternal immune status. Surprisingly, combined systemic/mucosal immunization did not elicit ADCC activity in milk, though multiple ADCC-mediating MAbs were isolated from breast milk B cells. As IgA blocking of ADCC effector function was demonstrated in the RV144 vaccine trial analysis, we sought to determine if the lack of detectable ADCC activity in milk was due to IgA interference. Purified IgG from post-i.n./i.m. vaccination breast milk was able to mediate low levels of ADCC activity, suggesting a possible IgA-blocking effect. Furthermore, when post-i.n./i.m. vaccination breast milk was spiked with ADCC-mediating antibodies, there was a reduction in the potency of ADCC effector activity. Therefore, the high concentration of Env-specific IgA in breast milk could be an important factor affecting ADCC-mediated antibody function after i.n./i.m. vaccination. Nevertheless, the biological relevance of this ADCC blocking for virus transmission remains to be determined.



FIG 8 The ADCC effector function of MAbs is partially inhibited in milk following i.n./i.m. immunization. (A) Three MAbs were determined to mediate ADCC. DH527 (originally IgA, here made with a IgG backbone) has V2 specificity, DH532 is A32 blocking (C1 conformational), and DH535 is V3 specific. (B) Purified IgG from breast milk of animals A6E042 and A6E088 mediates a low level of ADCC activity exceeding that of whole milk from those same animals. (C) ADCC activity of ADCC-mediating monoclonal antibodies spiked into post-i.n./i.m. immunization milk is partially inhibited. (D) ADCC inhibition quantified by the percent change from MAbs spiked into prevaccination milk. The dotted lines indicate the threshold for positivity. Two experimental replicates were completed for panel A; panels B to D represent only a single experiment due to sample limitation.

Since Env-specific IgA and ADCC activities have been associated with a reduced risk of postnatal HIV transmission, these responses are the benchmarks we have to judge the success or failure of maternal HIV vaccination strategies to induce potentially protective immune responses in breast milk. It is remarkable that the i.n./i.m. vaccination regimen both elicited a high level of Envspecific breast milk IgA and induced local IgA isotype breast milk B cells, which is a quite distinct immune response from that following natural infection. The ability of these robust vaccine-elicited Env-specific IgA responses to prevent breast milk HIV transmission should be further investigated in a nonhuman primate model through infant oral SHIV challenge in the setting of exposure to breast milk of a vaccinated dam. These studies would address the potential in vivo protection of high-magnitude Env-specific IgA responses in breast milk and also interrogate any impact of these IgA responses on ADCC functionality. Furthermore, a nonhuman primate model has the potential to address the feasibility of administering this proposed immunization regimen during pregnancy, as well as the safety of an MVA prime for a developing fetus. If the procedure is proven efficacious and safe, such studies would enable identification of novel immune correlates of protection for postnatal HIV MTCT and would suggest that a maternal vaccination strategy such as i.n./i.m. combined immunization given during pregnancy has potential to curb the ongoing pediatric HIV epidemic.

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