Research

A Comparative Effectiveness Trial of Alternate Formats for Presenting Benefits and Harms Information for Low-Value Screening Services A Randomized Clinical Trial

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IMPORTANCE Healthcare overuse, the delivery of low-value services, is increasingly recognized as a critical problem. However, little is known about the comparative effectiveness of alternate formats for presenting benefits and harms information to patients as a strategy to reduce overuse.

OBJECTIVE To examine the effect of different benefits and harms presentations on patients' intentions to accept low-value or potentially low-value screening services (prostate cancer screening in men ages 50-69 years; osteoporosis screening in low-risk women ages 50-64 years; or colorectal cancer screening in men and women ages 76-85 years).

DESIGN, SETTING, AND PARTICIPANTS Randomized clinical trial of 775 individuals eligible to receive information about any 1 of the 3 screening services and scheduled for a visit with their clinician. Participants were randomized to 1 of 4 intervention arms that differed in terms of presentation format: words, numbers, numbers plus narrative, and numbers plus framed presentation. The trial was conducted from September 2012 to June 2014 at 2 family medicine and 2 internal medicine practices affiliated with the Duke Primary Care Research Consortium. The data were analyzed between May and September of 2015.

INTERVENTIONS One-page evidence-based decision support sheets on each of the 3 screening services, with benefits and harms information presented in 1 of 4 formats: words, numbers, numbers plus narratives, or numbers plus a framed presentation.

MAIN OUTCOMES AND MEASURES The primary outcome was change in intention to accept screening (on a response scale from 1 to 5). Our secondary outcomes included general and disease-specific knowledge, perceived risk and consequences of disease, screening attitudes, perceived net benefit of screening, values clarity, and self-efficacy for screening.

RESULTS We enrolled and randomly allocated 775 individuals, aged 50 to 85 years, to 1 of 4 intervention arms: 195 to words, 192 to numbers, 196 to narrative, and 192 to framed formats. Intentions to accept screening were high before the intervention and change in intentions did not differ across intervention arms (words, -0.07; numbers, -0.05; numbers plus narrative, -0.12; numbers plus framed presentation, -0.02; P = .57 for all comparisons). Change in other outcomes also showed no difference across intervention arms. Results were similar when stratified by screening service.

CONCLUSIONS AND RELEVANCE Single, brief, written decision support interventions, such as the ones in this study, are unlikely to be sufficient to change intentions for screening. Alternate and additional interventions are needed to reduce overused screening services.

TRIAL REGISTRATION clinicaltrials.gov Identifier: NCT01694784

JAMA Intern Med. 2016;176(1):31-40. doi:10.1001/jamainternmed.2015.7339 Published online December 28, 2015. Corrected on January 5, 2016. Invited Commentary page 41

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Corresponding Author: Stacey L. Sheridan, MD, MPH, University of North Carolina at Chapel Hill, Division of General Medicine and Epidemiology, 5039 Old Clinic Building, CB 7110, Chapel Hill, NC 27599 (Stacey_sheridan @med.unc.edu). elivery of low-value services, also known as health care overuse, is a critical problem for US health care. Lowvalue services are those in which the degree of benefit does not justify the harms and costs.¹ The United States spends an estimated \$192 billion annually on delivery of such services.² This results in physical, psychological, and financial harms; hassle; and opportunity costs without potential benefit for patients.³

However, the best ways to address health care overuse are unknown. It is unclear whether the same strategies that increase use of effective health care services work equally well to reduce overuse of health care services.⁴ Furthermore, little comparative effectiveness research is available to guide prevention and deimplementation of strategies targeted at overuse.^{5,6}

Any comprehensive strategy to address health care overuse must include messages for patients.⁶ While the message might vary based on several factors, experts agree that key components to inform patients about health care services include the likelihood of acquiring and dying from the targeted disease; the benefits and harms of the health care service; and encouragement to make a decision about whether to have the service based on the patient's individual preferences and values.⁷

The effectiveness of such messages is likely to be influenced by many factors, including their presentation format. Convincing literature⁸ suggests that words describing probability do not have shared meaning and that the format of numbers has substantial impact on understanding and behavioral intention.^{9,10} Furthermore, different narratives and framed presentation formats differentially affect these same outcomes.¹¹⁻¹³ However, to our knowledge, no studies have compared the effectiveness of these presentation formats in reducing intentions or behavior for low-value or potentially low-value screening services.

Therefore, our goal was to examine the comparative effectiveness of 4 alternate formats for presenting benefits and harms information in reducing intentions for screening and changing secondary behavioral and decision-making outcomes for patients eligible for 1 of 3 low-value or potentially low-value screening services.

Methods

Study Overview and Setting

We conducted a randomized clinical trial in a convenience sample of four community-based practices (2 family medicine, 2 internal medicine), with 26 810 patients and 32 clinicians, that were affiliated with the Duke Primary Care Research Consortium (PCRC). The institutional review boards at the University of North Carolina and Duke University approved the study, and a data safety monitoring committee monitored the study. The trial protocol is provided in Supplement 1.

Participants and Their Recruitment

From weekly practice patient lists, study staff recruited a consecutive sample of individuals, ages 50 to 85 years, who received continuing care for more than 1 year at any of the participating PCRC sites, had an upcoming medical visit with their clinician, and were eligible to receive information about any 1 of the 3 screening services of interest. These services had either net harm at the population level (ie, prostate cancer screening in men ages 50-69 years) or small net benefit (making it likely that some individuals would benefit and some be harmed; ie, osteoporosis screening in low-risk women ages 50-64 years or colorectal cancer screening in men and women ages 76-85 years). We chose these services because they represent a spectrum of net benefit, encompass a variety of test types (a laboratory test, a procedural test, and a radiographic study), and are applied to a spectrum of adult patients. Because age groups did not overlap, each patient was eligible for only 1 service. Although we initially planned to include cardiovascular screening in low-risk men and women as an additional service, we dropped this service before recruitment given low numbers of eligible patients at study sites. Additional inclusion and exclusion criteria are published elsewhere.14

We identified eligible patients for each service through electronic clinical records and consecutively sampled them until we reached target enrollment (n = 775). Patients provided written informed consent and were given \$35 in compensation for participating. We stratified recruitment by site and service and attempted to recruit at least 25% of the sample with no prior screening for each service (although this was not always possible). We mailed letters to all potentially eligible patients and then followed up with up to 3 telephone calls to reach the patient and verify eligibility.

Procedures

Staff invited eligible participants to a study visit before or after a regularly scheduled physician's appointment or at a separate time if necessary. At the study visit, patients gave informed consent, completed a preintervention survey, and were assigned using central computerized randomization to 1 of 4 intervention arms for a single screening service. Randomization was stratified by site, screening service, and prior screening with allocation concealed from staff in a computerized database until after baseline survey completion. Patients were told only that they were participating in a study about how to best communicate with patients about screening. Patients read their 1 assigned evidence-based decision support sheet and completed postintervention surveys but did not discuss the materials with their clinicians.

Intervention

The intervention consisted of 1-page, written, evidence-based decision support sheets for each of the screening services. These were based on US Preventive Services Task Force (USPSTF) recommendations, ¹⁵⁻¹⁸ were developed through an expert consensus process (that included 1 former USPSTF member), and were written at an eighth-grade reading level. Each sheet included a description of the disease for which screening could be undertaken (including disease incidence and mortality rates as derived from population estimates^{19,20}), a description of the screening test and its benefits (primarily disease-specific mortality reduction), and harms (including physical and psychological harms across the screening cascade),¹⁴ and encourage-

ment to make a decision. We represented overdiagnosis only indirectly by showing that incident disease rates exceed clinically important outcomes (see eTable 1 in Supplement 2) and, for prostate cancer only, overtreatment as a physical harm in which participants received unnecessary treatment for harmless disease. Decision support sheets within each screening service were identical, except that we provided information on the benefits and harms of screening in 1 of the 4 alternate presentations: words, numbers, numbers plus narrative (narratives), or numbers plus a framed presentation (framed) (see eFigures 1-16 in Supplement 2). We chose these formats to represent presentations of information that might affect intentions for accepting screening based on behavioral, communication, and economic theory²¹⁻²³ and other literature.^{10,11}

The words presentation format used ordered descriptions for probabilities (eg, "many," "few," or "very few" people affected). The numbers format presented probabilities in a frequency format with a common denominator (x/1000) in text and a separate "facts box." Benefits were presented among those screened, and harms were presented among those treated, which may have presented a slightly more negative view of screening than if harms had been presented among those screened. The narrative format built on an approach that we previously used²⁴ and was designed to engage readers, inform them about the screening decision, and model the process of decision-making.¹³ Narratives included (1) photographs of 4 racially diverse patients in the screening age group, and (2) text that showed individuals investigating the facts. The framed format was designed to dissuade screening. It used a gain frame to promote risk aversion and discourage screening instead of a loss frame to promote risk-seeking in the face of the risky option of screening to detect disease. The format presented the benefits of not screening (ie, harms avoided by not screening) rather than the harms of screening, which was presented in the other study arms. We tested the quantitative vignette during a linked qualitative study, revising it based on participant feedback. We then created the other intervention formats and tested them among administrative staff.

Measures

Study outcomes were intention to accept screening (primary outcome) and multiple decision-making and behavioral theory-related outcomes (secondary outcomes).

Primary Outcome

Intention is a measurable antecedent to behavior²⁵ and explains as much as 30% of variance in health behavior.²⁶ The survey measured intention to accept screening by assessing patients' plans to be screened for the service for which they were eligible during the usually recommended screening interval (1 year for prostate cancer screening, 5 years for osteoporosis screening, and 10 years for colon cancer screening). The 5-point response scale ranged from strongly disagree (coded as 1) to strongly agree (5).

Secondary Outcomes

General Screening Knowledge

The survey assessed knowledge of key screening concepts using 8 items created by the study team that addressed: the

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definition of screening, false-positive results, false-negative results, overdiagnosis (3 questions regarding harmless disease and the need to live long enough and have effective treatments in order to benefit from screening), overtreatment, and the potential for harm. Response options were true, false, and don't know, with scoring based on total number of correct responses, from 0 to 8.

Disease-Specific Knowledge About Overdiagnosis and Harms

The survey assessed disease-specific knowledge using 2 items for each service that we adapted from prior work^{27,28} or, in the case of osteoporosis screening, we developed. For prostate screening, items were (1) "Some men can live long, normal lives with untreated prostate cancer"; and (2) "Problems with sexual function and urination are common side effects of prostate cancer treatments." For colorectal cancer screening, items were (1) "Most polyps in the bowel never become cancer"; and (2) "Bleeding and tears in the bowel are complications of a colonoscopy." For osteoporosis screening, items were (1) "Broken hip bones are uncommon before the age of 65"; and (2) "Treatments for osteoporosis can sometimes result in bone damage." Response options were true, false, and don't know, with scoring based on total number of correct responses, from 0 to 2.

Perceived Risk of Disease

The survey assessed patients' perceived risk of disease with a single question: "How likely is it that you will get disease *x* in the next 10 years?" The 4-point response scale ranged from not at all likely (coded as 1) to very likely (coded as 4).

Perceived Disease Severity

The survey assessed perceived severity of the disease using the Lay Perceptions of Serious Illnesses Scale that had 4 items assessing perceptions that the "disease is very serious," "has serious financial consequences," "affects the way the person sees himself as a person," and "causes difficulties for those close to the patient" (α .66).²⁹ The 5-point response scale ranged from strongly disagree (coded as 1) to strongly agree (5). For this and the remaining multi-item measures, we averaged items to create a scale except as noted.

Positive Disease-Specific Screening Attitudes

The survey measured disease-specific screening attitudes using 6 items developed by investigators that assessed agreement that screening is a good idea in a healthy person of the patient's age, not a special responsibility (reverse coded), associated with little harm, owed to one's family or physician, and would be regretted if not done. The 5-point response scale ranged from strongly disagree (coded as 1) to strongly agree (5).

Perceived Net Benefit of Screening

We assessed perceived net benefit using a single question on decisional balance adapted from our previous work.²⁷ Respondents were instructed to think about how they felt at that moment about the decision to accept the screening in question. The 5-point response scale ranged from the harms greatly outweigh the benefits (coded as 1) to the benefits greatly outweigh the harms (5).

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Values Clarity

We assessed values clarity using the Values Clarity Subscale of the Decisional Conflict Scale that had 3 items that assessed whether patients agreed that they are clear about which benefits and harms matter most and whether benefits or harms are most important (α >.78). The 5-point response scale ranged from strongly agree (coded as 0) to strongly disagree (100), so that lower scores indicated greater clarity about personal values.

Self-efficacy for Screening

We measured self-efficacy for screening using a single item, for each type of screening that read "How confident are you that you could get screened for disease x if you wanted to?" The 5-point response scale ranged from not at all confident (coded as 1) to very confident (5).

Potential Moderators of Intervention Impact

To support exploratory analysis of moderators of the intervention's effect, we measured variables related to the ability and motivation to process information. These included education; numeracy (3 items, reported in aggregate as percentage correct, "Imagine that we flip a fair coin 1000 times. What is your best guess about how many times the coin would come up heads in 1000 flips?"; "In the lottery, the chance of winning the prize is 1%. If 1000 people each buy a single ticket to the lottery, how many people would win the prize?"; "In a publisher's sweepstakes, the chance of winning a car is 1 in 1000. What percent of tickets in this sweepstakes win a car?")³⁰; need for cognition (3 items with the highest item-total correlations from an 18-item scale: "thinking is not my idea of fun"; "I would rather do something that requires little thought than something that is sure to challenge my thinking abilities"; and "I find satisfaction in deliberating hard and for long hours")³¹; anticipated regret of not screening (1 item, reported on a 5-point scale from strongly disagree [1] to strongly agree [5], "I would regret opting not to get screened if I later tested positive for disease.")³²; worry (3 items from the Illness Attitudes Scale, with responses on a 5-point scale from "no" [0] to "most of the time" [4] and summed from 0 to 12: "Do you worry about your health?"; "Are you worried you might get a serious illness in the future?"; "Does the thought of serious illness scare you?")33; prior screening noted on medical chart review; and prior information (5 investigator-developed items with a common stem reported on 5-point scales from "never" [1] to "always" [5] and summed for a range of 1-25; items addressed exposure to screening information through newspapers, magazines, the Internet, television, or friends and family). We also assessed demographics.

Potential Harm of the Intervention

We monitored potential harms of our intervention by assessing increases in illness-related worry between preintervention and postintervention.³³

Other Preintervention-Only Measures

As important characteristics of the sample, we also measured general screening attitudes using 11 items, in 2 subscales, developed and validated as part of this study rather than used as an outcome as originally planned (Jessica DeFrank, PhD, email communication, May 5, 2015). These items were about the perceived benefits of screening (α .82) and feelings of duty or obligation to screen (α .84) and were correlated with intention for screening (r = 0.25 and r = 0.35, respectively).

Sample Size and Power

We calculated our sample sizes to be able detect a mean difference in pre-post changes in intention to accept screening of at least 0.5 points across intervention groups overall and within specific screening services. Based on prior work, we considered this 0.5 point difference the minimally clinically important difference in intention to accept screening services; this difference corresponds to a 21% reduction in screening intention.³⁴ Assuming 2-sided *t* tests with a = .001 and a standard deviation of change of 1,³⁵ we calculated that we would need 184 participants in each of the 4 intervention arms to give 95% power to detect this difference. This sample size provided about 80% power to detect a 0.5-point mean change in intention in subgroup analyses of the 3 screening services.

Statistical Analysis

We summarized sample characteristics using descriptive statistics. Per a priori plans, we tested the effectiveness of intervention formats on change in intention-to-accept screening in our overall sample, and subsequently in subgroups of screening services. We conducted analysis of covariance that included format as the key variable of interest, baseline intent for screening, and other covariates that differed among intervention arms. We compared several approaches for assessing change and found that all produced similar effects.^{36,37} Similar analyses were conducted for secondary outcomes (using logistic regressions for binary outcomes). Per a priori plans, we first tested the difference between all study arms using an omnibus F test. If this test result was negative after accounting for multiple comparisons (in which we considered P <. 001 significant), we did not pursue additional statistical testing between intervention arms. To examine potential moderators of the impact of the interventions on our primary outcome, we visually depicted moderation and added interaction terms to the models. To examine pre-post changes in primary and secondary outcomes, we used paired t tests for continuous outcomes and McNemar χ^2 tests for binary ones. Within subgroups of screening services, we repeated similar analyses (finding similar effects, thereby supporting our decision to combine analyses).

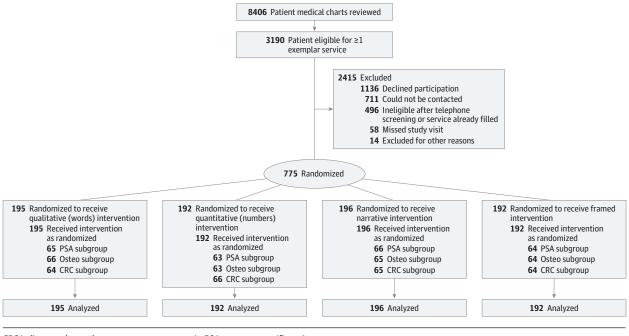
Results

Patient Characteristics

We enrolled and randomized 775 patients to the 4 intervention arms: words (n = 195), numbers (n = 192), narrative (n = 196), or framed (n = 192) formats (**Figure 1**). Within each intervention arm, patients were distributed evenly across the 3 screening services. Baseline characteristics were mostly wellbalanced, although those in the framed format arm were

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Figure 1. CONSORT Diagram of Participant Flow



CRC indicates colorectal cancer; osteo, osteoporosis; PSA, prostate-specific antigen.

slightly more educated (**Table 1**). Baseline characteristics were less well balanced in subgroups (eTables 2-4 in the Supplement).

Potential Harm of the Intervention

We observed no evidence of increase in postintervention illness-related worry.

Intervention Effects

Primary Outcome: Change in Intention-to-Accept Screening

The intervention arms had high intention-to-accept screening at baseline (words, 3.56; numbers, 3.71; narrative, 3.66; framed, 3.53 out of 5.00; **Table 2**). The 4 intervention arms did not differ in change in screening intentions (adjusted P = .57). In analyses within each arm, the narrative format had lower intention-to-accept screening at postintervention compared with preintervention (-0.12; 95% CI, -0.22 to -0.02 on a 5-point scale), but other interventions arms had no changes from baseline. In separate subgroup analyses for the 3 screening services, we observed a similar pattern of findings (see Table 2 and eTables 5-7 in Supplement 2).

Secondary Outcomes

Overall and subgroup analyses found no statistically significant differences in change in intention for screening across intervention arms for any of the secondary study outcomes after accounting for multiple comparisons. However, within intervention arms, some secondary outcomes improved from baseline (eg, screening knowledge, screening attitudes, and perceived net benefit of screening; see Table 2 and eTables 5-7 in Supplement 2).

Moderators

In the overall sample, change in intention for screening did not differ across study arms for subgroups of patients defined by ability, motivation, or demographics (**Figure 2**).

Discussion

In a randomized clinical trial of 4 formats for presenting benefits and harms of 3 screening services with low-value or potentially low-value, we found no differences in change in intention for screening across intervention arms. Furthermore, while secondary outcomes showed small improvements from baseline, none of these changes were sufficient to change intentions to accept screening. There were no clinically important differences in subgroups of patients defined by ability, motivation, or demographics and no evidence of harm from the interventions.

Our findings are consistent with those of systematic reviews³⁸ showing that patient decision aids produce increases in screening knowledge and improve other decisionmaking outcomes; however, they also suggest that single, brief, written decision support sheets, such as those used in this trial, are unlikely to be sufficient to change intention for screening of low-value or potentially low-value screening services, regardless of their format. Decisions about screening are driven by a complex interplay of attitudes, social norms, and selfefficacy, many of which often strongly favor screening. Furthermore, many decision-makers rely on emotions and heuristic decision-making, rather than the rational processes involved in weighing harms and benefits, and are subject to a host of cognitive biases that make foregoing health care services difficult.^{14,39,40} This suggests that either more inten-

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	No. (%)							
	All	Intervention Arm						
Characteristic	Participants (n = 775)	Words (n = 195)	Numbers (n = 192)	Narrative (n = 196)	Framed (n = 192) 66			
Mean age, y	65	65	65	66				
Female sex	53	54	54	53	53			
Race								
White	535 (69)	133 (68)	135 (70)	136 (69)	131 (69)			
Black	202 (26)	52 (27)	47 (25)	52 (27)	51 (27)			
Asian	15 (2)	9 (<1)	4 (2)	6 (3)	4 (2)			
More than one race	20 (3)	8 (4)	6 (3)	2 (1)	4 (2)			
Unknown	2 (<1)	1 (<1)	0	0	1 (<1)			
Hispanic	14 (2)	3 (2)	8 (4)	1 (<1)	2 (1)			
Education								
<high school<="" td=""><td>17 (2)</td><td>5 (3)</td><td>3 (2)</td><td>8 (4)</td><td>1 (<1)</td></high>	17 (2)	5 (3)	3 (2)	8 (4)	1 (<1)			
High school graduate	57 (7)	14 (7)	16 (8)	20 (10)	7 (4)			
Some college	121 (16)	30 (15)	38 (20)	28 (14)	25 (13)			
2-y degree	71 (9)	26 (13)	15 (8)	12 (6)	18 (9)			
4-y degree	209 (27)	47 (24)	51 (27)	57 (29)	54 (28)			
Graduate school	299 (39)	73 (37)	69 (36)	71 (36)	86 (45)			
Insurance coverage	764 (99)	190 (98)	192 (100)	193 (99)	189 (98)			
Type of Insurance								
Medicaid	37 (5)	14 (7)	5 (3)	9 (5)	9 (5)			
Medicare	327 (42)	80 (41)	76 (40)	89 (45)	82 (43)			
Health maintenance organization	107 (14)	25 (13)	23 (12)	31 (16)	28 (15)			
Traditional insurance	552 (71)	142 (73)	143 (74)	133 (68)	134 (70)			
Other, eg, Veterans Administration	38 (5)	9 (5)	12 (6)	6 (3)	11 (6)			
Excellent/very good/good health	682 (88)	168 (86)	174 (91)	168 (86)	172 (90)			
Previously screened	602 (78)	151 (77)	151 (79)	150 (77)	150 (78)			
Prior screening ^a								
Osteoporosis	146 (57)	38 (58)	36 (57)	36 (55)	36 (56)			
Prostate	206 (80)	52 (80)	51 (81)	52 (79)	51 (80)			
Colorectal cancer	250 (97)	61 (95)	64 (97)	62 (95)	63 (98)			
Any prior bad screening experience	95 (12)	21 (11)	24 (13)	25 (13)	25 (13)			
General screening attitudes								
Benefits of screening, mean score, 95%; range 1-5 ^b	3.7	3.8	3.7	3.7	3.8			
Screening as a duty, mean score; range 1-5 ^b	3.5	3.5	3.5	3.5	3.6			
Need for cognition, mean score; range -6 to 6	1.8	1.7	1.9	1.6	1.9			
Numeracy, mean score; range 0-3	1.6	1.5	1.5	1.6	1.6			

^a Participants with prior screening for condition/total participants allocated to receive information about that screening.

^b Higher scores indicate more favorable attitudes for screening.

sive interventions or new approaches will be needed. More intensive decision support interventions for prostate cancer screening have been shown to reduce screening intentions and behavior (one by 22% at 9 months),^{24,41} likely through their inclusion of more detailed information and additional components such as modeling self-discovery about harms. Such interventions may have an important role in reducing screening intentions for low-value services. However, even more intensive interventions may not be enough.

Rather than simply intensifying current clinical interventions, effective approaches to reducing overuse of low-value services may need to take a comprehensive approach. The most successful campaigns have targeted multiple levels of the public health pyramid.⁶ It may be that prevention and deimplementation of low-value care will require combinations of interventions such as (1) patient and clinician engagement through campaigns, like Choosing Wisely; (2) aligned recommendations and incentives^{42,43}; (3) committed leaders and champions; (4) the time and space for change; (5) system-level supports^{42,44-47}; and (6) more intensive clinician and patient decision support than the 1-page written decision support sheets provided in this study.^{24,48} Possible adjunctive decisionmaking interventions include highlighting the financial and opportunity costs of screening, emphasizing the potential harms of overdiagnosis and overtreatment,⁴⁹ increasing the salience of harms through video or other media, and testing appeals to

Table 2. Change in Primary and Secondary Outcomes	comes								
	Intervention Arm								
	Words		Numbers		Narrative		Framed		1
Outcome	Baseline/ Postintervention, Mean (SD)	Adj Change From Baseline (95% CI)	Baseline/ Postintervention, Mean (SD)	Adj Change From Baseline (95% CI)	Baseline/ Postintervention, Mean (SD)	Adj Change From Baseline (95% CI)	Baseline/ Postintervention, Mean (SD)	Adj Change From Baseline (95% CI)	- P Value ^a
Primary Outcome									
All screening services combined ^b									
Intent to accept screening, range $1-5^{\circ}$	3.56 (1.14)/ 3.50 (1.14)	-0.07 (-0.17 to 0.03)	3.71 (1.10)/ 3.65 (1.15)	-0.05 (-0.15 to 0.05)	3.66 (1.12)/ 3.54 (1.14)	-0.12 ^d (-0.22 to -0.02)	3.53 (1.18)/ 3.51 (1.26)	-0.02 (-0.12 to 0.08)	.57
Prostate cancer screening subgroup ^b									
Intent to accept screening, range $1-5^{\circ}$	3.75 (0.88)/ 3.72 (0.96)	-0.02 (-0.20 to 0.15)	3.79 (1.03)/ 3.63 (1.15)	-0.17 (-0.35 to 0.00)	3.74 (1.17)/ 3.53 (1.24)	-0.20 ^d (-0.38 to -0.03)	3.88 (1.05)/ 3.88 (1.06)	-0.01 (-0.18 to 0.17)	.26
Osteoporosis screening subgroup ^e									
Intent to accept screening to range 1-5 ^c	3.64 (1.08)/ 3.38 (1.16)	-0.23 ^f (-0.40 to -0.06)	3.73 (1.08)/ 3.73 (1.10)	0.02 (-0.15 to 0.19)	3.88 (0.69)/ 3.82 (0.85)	-0.06 (-0.23 to 0.11)	3.69 (0.92)/ 3.67 (1.18)	-0.05 (-0.21 to 0.12)	.19
Colorectal cancer screening subgroup ⁹									
Intent to accept screening, range $1-5^{c}$	3.30 (1.36)/ 3.41 (1.26)	0.12 (-0.06 to 0.30)	3.60 (1.18)/ 3.58 (1.21)	0.03 (-0.15 to 0.22)	3.34 (1.35)/ 3.27 (1.24)	-0.10 (-0.28 to 0.08)	3.05 (1.37)/ 3.00 (1.37)	-0.10 (-0.28 to 0.08)	.26
Secondary Outcomes, All Screening Services Combined ^h	bined ^h								
General screening knowledge, range 0-8 ⁱ	5.35 (1.68)/ 5.73 (1.75)	0.36 ^k (0.17 to 0.55)	5.37 (1.70)/ 5.71 (1.86)	0.34 ^k (0.15 to 0.53)	5.55 (1.57)/ 5.86 (1.75)	0.38 ^k (0.19 to 0.57)	5.42 (1.58)/ 5.82 (1.71)	0.33 ^k (0.14 to 0.53)	66.
Disease-specific knowledge, range 0-2 ⁱ	0.91 (0.75)/ 1.39 (0.64)	0.47 ^k (0.39 to 0.55)	0.90(0.74)/ 1.41(0.64)	0.50 ^k (0.42 to 0.59)	0.95 (0.75)/ 1.36 (0.71)	0.43 ^k (0.34 to 0.51)	0.97 (0.72)/ 1.30 (0.67)	0.33 ^k (0.25 to 0.41)	.03
Perceived disease risk, range 1-4 ¹	1.80 (0.70)/ 1.89 (0.82)	0.03 (-0.08 to 0.13)	1.97 (0.78)/ 1.96 (0.91)	0.02 (-0.09 to 0.12)	1.99 (0.87)/ 1.98 (0.82)	0.03 (-0.08 to 0.13)	1.92 (0.77)/ 1.98 (0.84)	0.07 (-0.04 to 0.18)	89.
Perceived disease severity, range 4-20 ^m	15.15 (2.68)/ 14.65 (2.84)	-0.55 ^k (-0.82 to -0.29)	15.32 (2.55)/ 15.17 (2.50)	-0.15 (-0.42 to 0.12)	15.54 (2.63)/ 15.48 (2.56)	0.01 (-0.26 to 0.27)	15.20 (2.37)/ 15.29 (2.45)	0.07 (-0.20 to 0.34)	.01
Disease-specific screening attitudes, range 1-5 ⁿ	3.63 (0.66)/ 3.42 (0.78)	-0.21 ^k (-0.27 to -0.14)	3.62 (0.74)/ 3.46 (0.76)	-0.16 ^k (-0.23 to -0.09)	3.60 (0.72)/ 3.42 (0.78)	-0.19 ^k (-0.25 to -0.12)	3.53 (0.72)/ 3.44 (0.71)	-0.08 ^d (-0.14 to -0.01)	.04
Perceived net benefit, range 1-5; 5 = benefit greatly outweighs harms ^o	4.45 (0.86)/ 4.24 (1.04)	-0.20 ^f (-0.33 to -0.08)	4.42 (0.98)/ 4.22 (1.15)	-0.21 ^f (-0.33 to -0.08)	4.32 (1.01)/ 4.17 (1.17)	-0.19 ^f (-0.31 to -0.06)	4.40 (0.82)/ 4.21 (1.01)	-0.17 ^d (-0.29 to -0.04)	86:
Values clarity, range 0-100, higher scores mean less clarity ^p	71.31 (17.99)/ 75.82 (16.20)	-4.84 ^k (-6.63 to -3.04)	70.83 (18.15)/ 76.26 (13.38)	-5.47 ^k (-7.28 to -3.67)	70.66 (16.17)/ 74.35 (13.25)	-3.65 ^k (-5.44 to -1.85)	69.90 (19.66)/ 75.52 (16.61)	-5.28 ^k (-7.11 to -3.46)	.49
Self-efficacy for screening, range 1-5 ^q	4.16 (0.97)/ 4.25 (0.90)	0.07 (-0.00 to 0.15)	4.27 (0.89)/ 4.20 (0.88)	-0.06 (-0.14 to 0.02)	4.28 (0.81)/ 4.31 (0.78)	0.04 (-0.04 to 0.11)	4.21 (0.94)/ 4.15 (1.03)	-0.07 (-0.15 to 0.01)	.02
Abbreviation: Adj. adjusted.				ⁱ Higher scores i	¹ Higher scores indicate greater knowledge about key screening concepts.	ledge about key scr	eening concepts.		
^a <i>P</i> value for any difference among intervention arms.	ls.			^j Higher scores i	Higher scores indicate greater disease-specirfic knowledge	se-specirfic knowled	lge.		
^b Adjusted for baseline intention to accept screening and education.	ig and education.			^k <i>P</i> value for pre	P value for pre-post change <.001.				
$^{\rm c}$ Higher scores indicate stronger intention to accept screening.	ot screening.			^I Higher scores i	¹ Higher scores indicate greater perceived risk of disease.	eived risk of disease.			
^d <i>P</i> value for pre-post change <.05.				^m Higher scores i	^m Higher scores indicate more severe perceived consequences of disease.	perceived conseque	inces of disease.		
$^{\mathrm{e}}$ Adjusted for baseline intention for screening and screening benefit attitude	screening benefit attit	udes.		ⁿ Higher scores i	ⁿ Higher scores indicate a more positive attitude toward screening.	ve attitude toward s	creening.		
$^{\rm f}$ <i>P</i> value for pre-post change <.01.				^o Higher scores i	ndicate greater perce	ption that the bene	^o Higher scores indicate greater perception that the benefits of screening outweigh the harms.	eigh the harms.	
⁸ Adjusted for baseline intention for screening, general screening benefit attitudes, disease specific attitudes, and numeracy scores.	eral screening benefit.	attitudes, disease sp	becific attitudes, and	^p Lower scores i ^q Higher scores i	^p Lower scores indicate more clarity about personal values for screening. ^a Higher scores indicate greater self-efficacv.	bout personal value ífficacy.	s for screening.		
^h Adjusted for baseline differences in outcome variables and education.	ables and education.			0	0				

Figure 2. Effect of Intervention on Change in Intent to Accept Screening, Stratified by Moderators

	Interve	ention /	Arm, No. of	Patients	Р		Interve	ention	Arm, No. of	Patients	S	Р
Source	Words	Nos.	Narrative	Frame	, Value	Source	Words	Nos.	Narrative	Frame	ed	, Valı
verall	195	192	196	192		Previously screened						.70
Words					-	Yes	151	150	149	150		
Numbers					•	Words						
Narrative					*	Numbers						
Framed					+	Narrative					-	
Race					.28	Framed					_ ++	
	133	134	135	131		No	44	41	46	42		
Words						Words						
Numbers					•	Numbers					_ +	
Narrative					*	Narrative						
Framed	-				+	Framed						
Black	52	47	52	51		Worry about health						.61
Words						0-3	58	58	48	61		
Numbers					+	Words					_ +	
Narrative					- <u>+</u> -	Numbers					_ •	
Framed					+	Narrative					- 📩	
Education	10	10	20	0	.49	Framed	125	125	100	122	- *	
High school or less	19	18	28	8		4-8 Manda	125	125	132	122		
Words Numbers						Words						
Narrative					-	Numbers Narrative					_	
Framed					T .	Framed					_	
Some college	56	53	40	43		9-12	11	8	15	9	_	-
Words	50	55	40	45		Words	11	0	15	5	_	
Numbers					T	Numbers						
Narrative						Narrative					—	
Framed						Framed					— <u> </u>	
4-y college degree	47	51	56	54	•	Numeracy						.69
Words		51	50	51		0	37	35	30	25		.05
Numbers						Words	57	55	50	23	- <u> </u>	
Narrative					1	Numbers					- 1	
Framed						Narrative					- 1	
Graduate degree	73	69	71	86		Framed					- 1	
Words						1-2	117	115	128	133		
Numbers						Words						
Narrative					<u></u>	Numbers						
Framed						Narrative						
Insurance type					.18	Framed						
Medicaid	14	5	8	9		All 3	41	41	37	34		
Words						Prior information						.30
Numbers					—	0-10	39	36	43	26		
Narrative					<u>▲</u>	Words						
Framed					+	Numbers					-	
Medicare	68	73	82	77		Narrative					- <u>+</u> -	
Words						Framed						
Numbers					+	11-15	113	111	93	115		
Narrative					- <u>+</u> -	Words						
Framed					-	Numbers					_ -	
Traditional	92	96	84	83		Narrative						
Words						Framed					+	
Numbers					+	16-25	42	43	59	51		
Narrative					*	Words						
Framed					-	Numbers					_ +	
HMO	15	12	17	19		Narrative						
Words						Framed					_ +-	
Numbers					•	Antic inaction regre		4.60	470			.13
Narrative						Agree/strongly	161	162	172	164		
Framed					•	agree					_	
Health status	77	10	20	20	.68	Words					- 🕈	
Fair/poor	27	18	28	20		Numbers					- 1	
Words					-	Narrative Framed					_ *	
Numbers Narrative						Other	34	29	23	28	- 1	
Framed						Words	54	29	2۵	۷ŏ		
Good/very good/	169	172	167	172		Numbers						
excellent	100	т/ Э	101	1/2		Narrative						
Words						Framed						
Numbers						railleu						
Narrative					1							
Framed												
Trained											· · · · · · · · ·	
					5 0 0.5 1.0						-1.0 -0.5 0 0.	5 1.0
				(n Intent to Accept						Change in Intent to	
					ening (95% CI)						Screening (95%	

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peripheral cues that are persuasive to those who do not centrally process benefits and harms information.^{22,40,46}

In interpreting our results, readers should consider the limitations of our study. First, we tested decision support sheets for only 3 screening services. Similar interventions for other screening services could produce other results, particularly if services have different rates of overuse or public visibility. Second, some of our measures were single items or previously unvalidated measures adapted from other studies. Different measures may produce different results.⁵⁰ Third, some characteristics differed across trial arms at baseline. Analyses controlled for these potential confounders, but residual confounding remains a possibility. Fourth, the success of our gain framed option depended on patients' perceptions that screening is the riskier option; however, we did not measure this perception explicitly. Fifth, we may have slightly overestimated the rate of osteonecrosis of the jaw in average-risk individuals in the osteoporosis decision support sheet, however, this does not change net benefit of the service. Finally, we conducted the study in 4 clinics in the southeastern United States. To the extent that screening rates, clinician training, local decision-making patterns, or patient characteristics (eg, education, numeracy, insurance, presence of usual source of care) are different, results could be different in future studies.

Conclusions

Despite limitations, our study provides important insights about what is required to change decision-making about lowvalue screening services. A single brief decision support intervention, regardless of format, is unlikely to be sufficient to change intentions for screening. Alternate and additional interventions should be explored.

ARTICLE INFORMATION

Accepted for Publication: October 29, 2015.

Published Online: December 28, 2015. doi:10.1001/jamainternmed.2015.7339. Correction: This article was corrected on January 5, 2016, to clarify editorial issues in the abstract, text, and Figure 2 title and caption.

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Obtained funding: Sheridan, Brewer, Lewis, Golin, Harris.

Administrative, technical, or material support: Sutkowi-Hemstreet, Barclay, Brewer, Vu, Harris. *Study supervision:* Sheridan, Sutkowi-Hemstreet, Lewis.

Conflict of Interest Disclosures: None reported.

Funding/Support: This project was supported by the Agency for Healthcare Research and Quality (AHRQ) Research Centers for Excellence in Clinical Preventive Services, grant PO1 HSO21133-03.

Role of the Funder/Sponsor: The Agency for Healthcare Research and Quality (AHRQ) Research Centers for Excellence in Clinical Preventive Services had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

Disclaimer: The content of this paper is solely the responsibility of the authors and does not necessarily represent the official views of the Agency for Healthcare Research and Quality.

Additional Contributions: We are grateful for the participation of the clinicians and staff at Duke Primary Care Croasdaile, Sutton Station Internal Medicine, Duke Primary Care Pickett Road, and Duke Primary Care Timberlyne; and for data collection by the coordinators and trial assistants at Duke PCRC-Erica Suarez, Liz Fisher, Lynn Harrington, Kathlene Chmielewski, Nick Walter, Beth Patterson, Luis Ballon, and Sarah Ricker Clinicians participating in the study received no reimbursement; however, practices received reimbursement (\$1000/y) for assisting with recruitment letters and use of clinic space to conduct study visits. Coordinators and trial assistants were reimbursed at an hourly rate for their assistance.

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Patient Decision Aids for Discouraging Low-Value Health Care Procedures Null Findings and Lessons Learned

Judith J. Prochaska, PhD, MPH; Ashley Sanders-Jackson, PhD

Per-capita health care spending in the United States is disproportionally higher than that of other industrialized nations, while life expectancy is appreciably lower. In 2013, US health

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care spending totaled \$2.9 trillion.¹ At \$9255 per person, this was 42% higher than the next highest per-capita

spender. Yet, the United States ranks 50th for life expectancy among 221 nations and 27th out of the 34 industrialized Organisation for Economic Co-operation and Development (OECD) countries. With a focus on maximizing the return of health care spending, of interest are best practices for reducing the use of medical procedures that offer low net benefit or, at the population level, possible net harm.

In this issue of *JAMA Internal Medicine*, Sheridan and colleagues² conducted a randomized clinical trial comparing alternative versions of printed patient decision support materials to discourage acceptance of low-value preventive health services. The targeted health services were prostate cancer screening with prostate-specific antigen (PSA) (for men ages 50-69 years), osteoporosis screening (for women ages 50-64 years), and colorectal cancer screening (for both sexes, ages 76-85 years). The information, printed on a single page in 4 formats, varied only in how harms and benefits were presented. The formats were qualitative (words only), quantitative (with numbers), quantitative with a narrative (a story of an individual thinking about the numbers), and quantitative with framing (to promote risk aversion and discourage screening).

Overall, the study showed no significant change in intention to screen preintervention to postintervention and no difference between the 4 formats. Addressing an important clinical and public health issue, the investigators and *JAMA Internal Medicine* are commended for publishing these null findings. We interpret the findings herein with consideration of the study's patient sample, risk communication strategy, and evaluation methods, and identify potential future research directions.

Described as a convenience sample, participants averaged 65 years of age, were highly educated (>90% were college educated, many with advanced degrees), nearly all insured, and all receiving continuity care within 4 selected community-based, primary care practices. Despite attempts to recruit patients not screened previously, most had in the past completed the screening tests of interest (>80% for PSA and nearly 100% for colorectal cancer screening). Just over onethird (39%) of invited eligible patients enrolled. No financial incentive was provided for study participation. Patient factors predictive of study enrollment were not reported. It is likely that interest in screening related to participation. Notably, the sample's intention for screening at baseline was characterized as high. Unknown is how study findings generalize to younger, more socioeconomically disadvantaged groups, and those without interest and prior screening experience.

The primary outcome was patient self-reported intention to accept future screening, not medical chart documentation of actual screening behavior. The investigators² acknowledged that intention is an incomplete measure, predicting a maximum of 30% variance in behavior. Research^{3,4} in various wide-ranging fields has consistently found that past behavior is the best predictor of future behavior. It is unsurprising then that in a patient group compliant in the past with clinician screening recommendations, the effect of this lowintensity patient-focused intervention would be null.

Some points are worth mentioning with regard to the risk communication approach. Although aimed at reducing acceptance of screening, the study materials used gain-framed messaging (eg, foregoing a PSA test would avoid biopsy, worry, and unnecessary treatments). Best practices suggest the utility of gain-framed messages for promoting behavior and lossframed messages for reducing a behavior.⁵ The decision aids emphasized the problem of overdiagnosis, represented indirectly with reference to how incident disease rates exceed clinically important outcomes, such as mortality or fractures. While the quantified benefits were reported for the number of patients screened (eg, 1 fewer death in every 1000 men screened), the event rates for treatment complications applied only to treated patients (eg, 300 of 1000 men treated have sexual dysfunction and urinary tract problems), which the investigators² acknowledged likely portrayed an overly negative view of screening. The investigators² pilot tested only the quantitative vignette with patients; unknown is the degree to which patients noted and appreciated the subtle differences in each risk communication format. Finally, the materials were static without tailoring to patient race or ethnicity, personal or family medical history (eg, cancer, fractures), prior screening behavior, or current intention. Research generally has found that patients have greater recollection for and are more responsive to tailored health communications.⁶ Process measures that would have been useful to collect include the amount of time patients spent reading the material and comprehensibility and perceived usefulness of the information.

Also of interest are clinician effects. The study's focus was on patient acceptance of screening, which ostensibly would be recommended by their health care clinicians. Unmentioned were efforts to attenuate clinician recommendation for low-value screening practices. In the literature, standardized protocols, group education, alert systems with reminders, and

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ongoing feedback have positively influenced clinician behavior.⁷ The study's analyses also did not adjust for clustering by clinician or within clinic. One would expect shared variance in use of preventive health services among patients treated by the same clinician and within the same clinic.

While none of the methods decreased participants' intentions to participate in the screening programs, small improvements were found in general and disease-specific knowledge, screening attitudes, and perceived net benefit, though again, not differentially so by treatment arm. Most of the assessments were study-created scales, many lacking information on validity, internal consistency, and stability over time; hence, the implication of these small reported changes are unknown. Needed are validated scales of patient behavior and intentions regarding low-value medical practices.

Given the highly educated sample and small increases in knowledge found, it seems that acceptance of screening was largely unrelated to transferred knowledge, at least as communicated on a single-page information sheet varied only in written presentation of risks and benefits. That is, the intervention tested was brief, static, and subtle in the degree to which the 4 formats differed.

Reducing the use of low-value health services is a complex issue, with significant clinical and health policy implications. The study by Sheridan and colleagues² provides a useful vantage point for informing future efforts. Certainly, hindsight is 20/20. In interpreting study findings, key considerations included the representativeness of the sample, the quality of the outcome measures, intervention characteristics, and the fidelity of delivery. To inform clinical practice, future study is needed with more diverse patient samples, process measures of patient-clinician communications, and tracking of outcomes to actual behavior. Furthermore, the null findings suggest the need for novel approaches to dissuade low-value health services that move beyond 1-size-fitsall patient education interventions. More personalized and tailored approaches would include computer-assisted behavior change coaching, applied virtual reality technologies, and interactive digital games. Also important are strategies that consider the dyadic nature of the patient-clinician relationship. A leading National Institutes of Health research priority and health movement backed by President Barack Obama, personalized or precision medicine recognizes that variability in response to medical treatment and prevention exists because of individual differences in genes, environment, and lifestyle.8 Personalized approaches tailored to familial and genetic risk, environment, and lifestyle are worth testing for coaching patient decision making around low-value medical procedures. That most of the study sample had been screened prior, likely within the clinical practice through which the intervention was being tested, without ill-reported effects and with intention to screen again, suggests the appropriateness of a more personalized and multilevel systems approach.

ARTICLE INFORMATION

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Published Online: December 28, 2015. doi:10.1001/jamainternmed.2015.7347.

Conflict of Interest Disclosures: None reported.

Funding/Support: This study was supported by the National Heart, Lung, and Blood Institute grant ROIHL117736.

Role of the Funder/Sponsor: The National Heart, Lung, and Blood Institute had no role in the collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

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