#### Research

#### **Original Investigation**

# **Oral Anticoagulant Therapy Prescription in Patients** With Atrial Fibrillation Across the Spectrum of Stroke Risk

Jonathan C. Hsu, MD, MAS; Thomas M. Maddox, MD, MSc; Kevin F. Kennedy, MS; David F. Katz, MD; Lucas N. Marzec, MD; Steven A. Lubitz, MD, MPH; Anil K. Gehi, MD; Mintu P. Turakhia, MD, MAS; Gregory M. Marcus, MD, MAS

**IMPORTANCE** Patients with atrial fibrillation (AF) are at a proportionally higher risk of stroke based on accumulation of well-defined risk factors.

Insights From the NCDR PINNACLE Registry

**OBJECTIVE** To examine the extent to which prescription of an oral anticoagulant (OAC) in US cardiology practices increases as the number of stroke risk factors increases.

DESIGN, SETTING, AND PARTICIPANTS Cross-sectional registry study of outpatients with AF enrolled in the American College of Cardiology National Cardiovascular Data Registry's PINNACLE (Practice Innovation and Clinical Excellence) Registry between January 1, 2008, and December 30, 2012. As a measure of stroke risk, we calculated the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score for all patients. Using multinomial logistic regression models adjusted for patient, physician, and practice characteristics, we examined the association between increased stroke risk score and prescription of an OAC.

MAIN OUTCOMES AND MEASURES The primary outcome was prescription of an OAC with warfarin sodium or a non-vitamin K antagonist OAC.

**RESULTS** The study cohort comprised 429 417 outpatients with AF. Their mean (SD) age was 71.3 (12.9) years, and 55.8% were male. Prescribed treatment consisted of an OAC (192 600 [44.9%]), aspirin only (111134 [25.9%]), aspirin plus a thienopyridine (23454 [5.5%]), or no antithrombotic therapy (102 229 [23.8%]). Each 1-point increase in risk score was associated with increased odds of OAC prescription compared with aspirin-only prescription using the CHADS<sub>2</sub> score (adjusted odds ratio, 1.158; 95% CI, 1.144-1.172; P < .001) and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score (adjusted odds ratio, 1.163; 95% CI, 1.157-1.169; P < .001). Overall, OAC prescription prevalence did not exceed 50% even in higher-risk patients with a CHADS<sub>2</sub> score exceeding 3 or a CHA<sub>2</sub>DS<sub>2</sub>-VASc score exceeding 4.

CONCLUSIONS AND RELEVANCE In a large quality improvement registry of outpatients with AF, prescription of OAC therapy increased with a higher CHADS<sub>2</sub> score and CHA<sub>2</sub>DS<sub>2</sub>-VASc score. However, a plateau of OAC prescription was observed, with less than half of high-risk patients receiving an OAC prescription.

Invited Commentary page 63

brought to you by 🗓 CORE

ed by Carolina Digital Rer

Author Audio Interview at jamacardiology.com

Supplemental content at jamacardiology.com

Author Affiliations: Author affiliations are listed at the end of this article

Corresponding Author: Jonathan C. Hsu, MD, MAS, Section of Cardiac Electrophysiology, Division of Cardiology, Department of Medicine, University of California. San Diego. 9444 Medical Center Dr, Mail Code 7411, La Jolla, CA 92037 (jonathan.hsu@ucsd.edu).

JAMA Cardiol. 2016;1(1):55-62. doi:10.1001/jamacardio.2015.0374 Published online March 16, 2016.

trial fibrillation (AF) is the most common cardiac arrhythmia, with an estimated 1 in 4 lifetime risk in those older than 40 years and a projected increase in prevalence to approximately 5.6 million affected individuals by 2050 in the United States.<sup>1,2</sup> Atrial fibrillation imparts stroke risk, and risk stratification schemes that include the CHADS<sub>2</sub> score<sup>3</sup> and, more recently, the CHA<sub>2</sub>DS<sub>2</sub>-VASc score<sup>4</sup> have been developed to estimate the risk of thromboembolism in patients with AF based on specific risk factors.<sup>3,4</sup> Consensus guidelines have called for the use of these risk stratification schemes to determine the absolute risk of stroke5-7 and aid the health care professional in determining whether prescription of an oral anticoagulant (OAC) with warfarin sodium (a vitamin K antagonist) or the newer nonvitamin K antagonist OACs may be warranted for stroke risk reduction.<sup>8-11</sup> Although it is well known that appropriate OAC prescription in patients with AF at risk for stroke outside of clinical trial settings falls short of guideline-based expectations,<sup>12-15</sup> the extent to which prescription of OACs in real-world practice increases as the risk of stroke increases is less well known.

We evaluated the prevalence of OAC prescription by cardiovascular specialists in a cohort of outpatients using data from the American College of Cardiology's National Cardiovascular Data Registry (NCDR) Practice Innovation and Clinical Excellence (PINNACLE) Registry. The use of this prospective national registry of cardiovascular care in the United States provides a unique opportunity to examine patterns of OAC treatment in routine practice among outpatients. We sought to examine the prevalence of treatment with OACs, antiplatelet therapy only, or no antithrombotic therapy in patients with AF across the spectrum of stroke risk as established by the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score in real-world US cardiology practices.

#### Methods

#### Data Source

The NCDR PINNACLE Registry was created in 2008 by the American College of Cardiology as the first national, prospective, office-based cardiac quality improvement registry in the United States.<sup>16,17</sup> Participating academic and private practices collect longitudinal point-of-care data, including patient demographics, symptoms, comorbidities, vital signs, medications, laboratory values, and recent hospitalizations, with either paper forms or modification of a practice's electronic medical record using a standardized collection tool to comprehensively obtain and transmit uniform data. The NCDR data quality assurance is maintained through standardized data collection and transmission protocols, rigorous data definitions, and periodic data quality audits, which have shown much greater than 90% raw accuracy of data abstraction.<sup>18,19</sup> Quality checks and analyses of the data have been performed at Saint Luke's Mid America Heart Institute, the primary analytical center for the PINNACLE Registry. Waiver of written informed consent and authorization for this study was granted by Chesapeake Research Review Incorporated.

There were 2172 455 patients in the PINNACLE Registry between January 1, 2008, and December 30, 2012. We excluded 1714 950 patients without a diagnosis of AF and 28 088 patients deemed not able to be prescribed antiplatelet or OAC therapy as assessed by the treating health care professional and specified on data collection forms. Therefore, our final study cohort comprised 429 417 patients with AF from 144 practices in 38 states across the United States. We characterized the study cohort using 2 different metrics to estimate thromboembolic risk in patients with AF. First, we used the traditional CHADS<sub>2</sub> score (with 1 point for congestive heart failure, hypertension, age ≥75 years, and diabetes mellitus and 2 points for stroke or transient ischemic attack<sup>3</sup>). Second, we used the CHA<sub>2</sub>DS<sub>2</sub>-VASc score (with 1 point for congestive heart failure, hypertension, age  $\geq$  65 years [2 points if age  $\geq$ 75 years], diabetes mellitus, female sex, and coronary or peripheral arterial disease and 2 points for stroke or transient ischemic attack), a more sensitive tool to risk-stratify patients with AF who may be at risk for stroke and benefit from anticoagulant therapy.<sup>4</sup> The use of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score may have influenced cardiovascular specialist prescription of an OAC during the study time frame as reflected in updated 2012 European Society of Cardiology guidelines<sup>7</sup> and subsequently published updated guidelines after the study.<sup>6</sup> These updated guidelines, published after the study time frame in 2014, advise the use of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score for the assessment of stroke risk.<sup>6</sup> To minimize overrepresentation by patients with multiple visits, only data from the index visit of each patient during the study period were used. The index visit was considered the first encounter at which a diagnosis of AF was specified. To ensure that misclassification of OAC prescription was not overlooked by examining only the index visit, we performed a sensitivity analysis to determine the number of patients that would be reclassified as being prescribed an OAC by increasing the window from baseline to within 1 year after the index visit. Due to a high rate of data missingness (44.4%), analyses specific to patient race/ ethnicity were not performed.

#### Study Outcomes

Our primary study outcome was treatment with any US Food and Drug Administration-approved OAC for stroke prevention in patients with AF, which included warfarin, dabigatran, or rivaroxaban (apixaban and edoxaban had not yet been approved by the Food and Drug Administration during the study time frame). Among patients not treated with anticoagulant therapy, we also examined whether these patients were treated with an antiplatelet agent (including aspirin alone or aspirin plus a thienopyridine) or were receiving neither OAC nor antiplatelet therapy. Treatment with a thienopyridine was defined as prescription of clopidogrel bisulfate, ticlopidine hydrochloride, or prasugrel.

#### Statistical Analysis

Normally distributed continuous variables are expressed as means (SDs), whereas categorical variables are expressed as proportions. Unadjusted differences were compared using  $\chi^2$  test for categorical variables and 1-way analysis of variance or *t* test for continuous variables, as appropriate.

## Table 1. Baseline Characteristics of Patients With AF Across the Spectrum of Stroke Risk, Stratified by Prescription of an Oral Anticoagulant

		Prescribed Oral Anticoagulant			Standardized Difference	
Characteristic	Total Cohort (N = 429 417)	Yes No (n = 192 600) (n = 236 817)		P Value		
Patient Demographic Characterist	ics					
Age, mean (SD), y	71.3 (12.9)	73.2 (11.0)	69.7 (14.0)	<.001	1 6.67	
Male sex, %	55.8	57.5	54.5	<.001	6.02	
CHADS <sub>2</sub> score, mean (SD)	2.0 (1.3)	2.1 (1.2)	1.9 (1.3)	<.001	20.04	
CHA <sub>2</sub> DS <sub>2</sub> -VASc score, mean (SD)	3.7 (1.8)	3.8 (1.7)	3.5 (1.8)	<.001	18.51	
Comorbidities, %						
Hypertension	76.8	80.4	74.0	<.001	6.67	
Coronary artery disease	49.7	48.6	50.5	<.001	3.79	
Unstable angina	1.0	0.8	0.8 1.2		4.43	
Stable angina	6.4	4.8	7.7	<.001	12.01	
Dyslipidemia	55.2	57.5	53.4	<.001	8.39	
Congestive heart failure	24.9	28.4	22.1	<.001	14.50	
Prior stroke or transient ischemic attack	14.3	14.8	13.8	<.001	2.81	
Prior systemic embolism	1.2	1.4	1.0	<.001	3.91	
Peripheral arterial disease	8.5	7.7	9.0	<.001	4.65	
Diabetes mellitus	22.9	23.7	22.2	<.001	3.67	
Prior myocardial infarction	17.9	18.1	17.7	<.001	1.04	
Prior CABG surgery	8.7	8.5	9.0	<.001	1.69	
Institutional Characteristics						
US region, %						
Northeast	13.5	14.6	12.6		8.47	
Midwest	28.2	34.1	23.3	. 001	24.05	
South	38.7	33.5	43.0	<.001	19.57	
West	19.6	17.8	21.1		5.87	
Urban location, %	86.7	89.1	84.7	<.001	12.98	
Clinic volume, mean (SD) visits per year	36276.5 (27661.9)	36915.4 (27261.5)	35 756.9 (27 972.6)	<.001	4.19	
Health care professional designation, %						
Physician	94.2	94.3	94.2		1.11	
Nurse practitioner	4.1	4.4	3.9	<.001	2.82	
Other	1.6	1.2	1.9		1.20	

Abbreviations: AF, atrial fibrillation; CABG, coronary artery bypass graft.

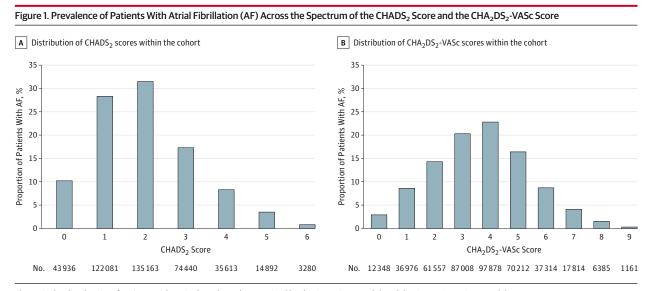
To investigate the independent associations of the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score as continuous variables with the outcome of antithrombotic therapy prescription, we constructed multinomial logistic regression models adjusted for patient demographic, clinical, and practice characteristics. These models included site as a random effect to account for patient clustering within sites. Covariates considered to be potential confounders were entered in the multivariable model and included sex, unstable angina, dyslipidemia, prior coronary artery bypass graft surgery, prior percutaneous coronary intervention, US region, urban location, clinic volume, and health care professional designation. Patient characteristics that comprised the CHADS<sub>2</sub> score or the CHA<sub>2</sub>DS<sub>2</sub>-VASc score were not included in the multivariable model to avoid collinearity. Covariates selected for the multivariable analyses were chosen based on the plausibility that they could be associated with differential prescription of anticoagulation. Statistical tests were 2 sided and considered significant if they yielded *P* < .05. Analyses were performed using statistical

software packages, including SAS (version 9.3; SAS Institute Inc), R (version 2.15.3; R Foundation for Statistical Computing), and IVEWare (Institute for Social Research, University of Michigan, Ann Arbor).

#### Results

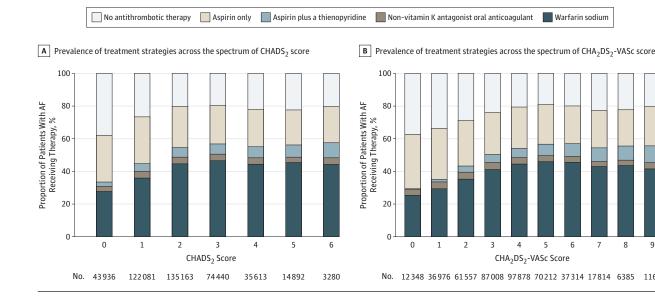
Demographic, clinical, and institutional characteristics among the 429 417 patients with AF in the overall cohort, stratified by prescription of an OAC, are summarized in **Table 1**. Some absolute differences in baseline characteristics were small but statistically significant between those prescribed an OAC and those not prescribed an OAC. Patients with AF prescribed an OAC were older, more often male, and more often resided in the Northeast and Midwest. Patients prescribed an OAC were more likely to have a history of hypertension, dyslipidemia, congestive heart failure, diabetes mellitus, prior stroke or transient ischemic attack, prior systemic embolism, and prior

Warfarin sodium



Shown is the distribution of patients with AF in the cohort characterized by the CHADS<sub>2</sub> score (A) and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score (B).

Figure 2. Prevalence of Antithrombotic Therapies in Patients With Atrial Fibrillation (AF) Across the Spectrum of Stroke Risk by the CHADS, Score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc Score



Shown is the proportion of patients treated with different antithrombotic therapies based on the CHADS<sub>2</sub> score (A) and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score (B). Oral anticoagulant therapy was defined as prescription of either warfarin sodium, dabigatran, or rivaroxaban, further stratified by warfarin (dark blue) vs dabigatran or rivaroxaban (dark brown). Other treatment strategies included

prescription of aspirin only (light brown), aspirin plus a thienopyridine (light blue), or no antithrombotic therapy (light grey). Treatment with a thienopyridine was defined as prescription of clopidogrel bisulfate, ticlopidine hydrochloride, or prasugrel.

5 6 7

myocardial infarction. Patients prescribed an OAC were less likely to have coronary artery disease, unstable angina, stable angina, peripheral arterial disease, and prior coronary artery bypass graft surgery.

The cohort's mean (SD) CHADS<sub>2</sub> score and CHA<sub>2</sub>DS<sub>2</sub>-VASc score were 2.0 (1.3) and 3.7 (1.8), respectively (Table 1). The full distribution of patient CHADS<sub>2</sub> scores and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores is shown in Figure 1.

A total of 192600 patients (44.9%) with AF were prescribed an OAC. Warfarin was the most commonly used therapy (173 832 [90.3%]), followed by dabigatran (14 896 [7.7%]) and rivaroxaban (3872 [2.0%]). Of the total cohort, 111134 patients (25.9%) were prescribed aspirin only, 23454 patients (5.5%) were prescribed aspirin plus thienopyridine dual antiplatelet therapy, and 102 229 patients (23.8%) were prescribed no antithrombotic therapy. The prevalence of prescription of an OAC (stratified by warfarin vs dabigatran or rivaroxaban), aspirin only, aspirin plus a thienopyridine, and no antithrombotic therapy across the spectrum of the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score is shown in Figure 2. Patients with AF with

9 8

1161

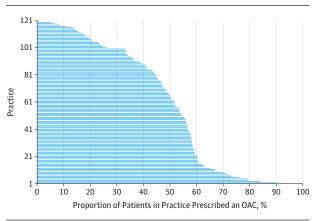
a CHADS<sub>2</sub> score of 3 and a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 5 were most often prescribed anticoagulation at 50.6% and 49.7%, respectively. Oral anticoagulant prescription did not exceed 50% even in higher-risk patients, including patients with AF with a CHADS<sub>2</sub> score exceeding 3 or a CHA<sub>2</sub>DS<sub>2</sub>-VASc score exceeding 4. The prevalence of non-vitamin K antagonist OAC (dabigatran and rivaroxaban) prescription did not exceed 4.5% across the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score. In a sensitivity analysis evaluating reclassification of patients prescribed an OAC within 1 year of the index visit, a small proportion of patients (4859 [2.1%]) not prescribed an anticoagulant at baseline were prescribed an OAC in follow-up. Evaluation of practicelevel variation of OAC prescription revealed that the median practice rate for OAC prescription was 51.7%. There was significant variation in OAC prescription, with an interquartile range of 37.7% to 58.3% (Figure 3).

In both unadjusted and multivariable-adjusted analyses, each 1-point increase in the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was significantly associated with greater odds of both antiplatelet therapy and OAC prescription (Table 2). Notably, for each 1-point increase in the CHADS2 score, patients with AF had a 16.6% greater odds of OAC prescription vs no antithrombotic therapy prescription (adjusted odds ratio [OR], 1.166; 95% CI, 1.152-1.180; P < .001) and a 15.8% greater odds of OAC prescription vs aspirin-only prescription (adjusted OR, 1.158; 95% CI, 1.144-1.172; *P* < .001). Similarly, for each 1-point increase in the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, the same patients had a 19.0% greater odds of OAC prescription vs no antithrombotic therapy (adjusted OR, 1.190; 95% CI, 1.184-1.196; P < .001) and a 16.3% greater odds of OAC prescription vs aspirin-only prescription (adjusted OR, 1.163; 95% CI, 1.157-1.169; P < .001). The association of all covariates included in the adjusted multivariable models evaluating the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score and the odds of antithrombotic therapy prescription are summarized in the eTable in the Supplement.

### Discussion

In a large quality improvement registry of 429 417 outpatients with AF across the spectrum of stroke risk as measured by the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score, each 1-point increase in either score was associated with an approximately 15% greater adjusted odds of OAC prescription. Overall, there appeared to be a plateau effect of OAC prescription across the spectrum of stroke risk because patients with a CHADS<sub>2</sub> score exceeding 3 or a CHA<sub>2</sub>DS<sub>2</sub>-VASc score exceeding 4 were often not prescribed an OAC even when compared with their lower-risk counterparts, and OAC prescription did not exceed 50% in these highest-risk patients. Our findings have important implications for patients with AF, particularly because annual stroke risk increases with the number of stroke risk factors measured by the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score.<sup>3,4</sup> Therefore, the lack of guidelineadhering prescription of OACs for stroke prophylaxis in patients with the highest CHADS<sub>2</sub> scores and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores should draw attention to a treatment gap in patients who may most appropriately need OAC therapy.

Figure 3. Variation in Oral Anticoagulant (OAC) Prescription Prevalence Across Practices



The median practice treatment prevalence with OAC therapy was 51.7%, with an interquartile range of 37.7% to 58.3%. Each practice is given a number representing the proportion of patients with atrial fibrillation within that practice prescribed an OAC.

Despite a well-established association of AF with stroke, significant lack of OAC prescription to reduce thromboembolism in at-risk candidates has been demonstrated in several large-scale studies.<sup>13,20,21</sup> These previous studies described primarily US patients in the era of warfarin therapy and before the promulgation of the importance of stroke risk scores to aid in risk stratification. Clinical risk scores that include the CHADS<sub>2</sub> score and the CHA2DS2-VASc score have been developed to elucidate and quantify stroke risk in patients with AF to aid in the decision to prescribe antithrombotic therapies.<sup>3,4</sup> In our study, we used the CHADS<sub>2</sub> score because this risk scheme was the predominant scoring system contemporary with the study period.<sup>22</sup> To expand on the robustness of our findings, we also studied the same cohort of patients with AF as assessed by the CHA2DS2-VASc score because this risk scheme may improve discrimination of patients with AF at risk for stroke and thromboembolism and is supported by updated guidelines published near the end of and after the time frame of the study.<sup>6,7,23</sup> In the GARFIELD (Global Anticoagulant Registry in the FIELD) multinational observational study<sup>24</sup> of 10 614 patients with AF enrolled between 2009 and 2011 at 540 sites in 19 non-US countries and cared for by general practitioners, cardiologists, and neurologists, 38.0% of patients with a CHADS<sub>2</sub> score of 2 or higher did not receive anticoagulant therapy. A similar plateau effect as seen in our study was observed at higher ranges of CHADS<sub>2</sub> scores and CHA<sub>2</sub>DS<sub>2</sub>-VASc scores in the GARFIELD registry. Our cohort was completely composed of patients from the United States treated by cardiovascular specialists. The lack of prescription of an OAC by cardiovascular specialists in more than 50% of patients at the highest thromboembolic risk categories suggests that US cardiovascular health care professionals, who should be well versed in guideline-based therapy for AF, may not fully appreciate the continued increased risk of thromboembolism with accumulation of additional stroke risk factors. This deficit has been highlighted in the lack of correlation between empirical risk scores and physician assessment of stroke risk in 10 094 patients with AF in the ORBIT-AF

jamacardiology.com

Antithrombotic Therapy Prescription	CHADS <sub>2</sub> Score				CHA <sub>2</sub> DS <sub>2</sub> -VASc Score			
	Unadjusted Analysis		Adjusted Analysis <sup>a</sup>		Unadjusted Analysis		Adjusted Analysis <sup>b</sup>	
	OR (95% CI) <sup>c</sup>	P Value	Adjusted OR (95% CI) <sup>c</sup>	P Value	OR (95% CI) <sup>c</sup>	P Value	Adjusted OR (95% CI) <sup>c</sup>	P Value
No antithrombotic therapy	1 [Reference]	NA	1 [Reference]	NA	1 [Reference]	NA	1 [Reference]	NA
Oral anticoagulant	1.248 (1.240-1.256)	<.001	1.166 (1.152-1.180)	<.001	1.174 (1.168-1.179)	<.001	1.190 (1.184-1.196)	<.001
Aspirin plus a thienopyridine	1.350 (1.335-1.365)	<.001	1.189 (1.181-1.196)	<.001	1.354 (1.343-1.365)	<.001	1.274 (1.262-1.286)	<.001
Aspirin only	1.065 (1.057-1.072)	<.001	1.011 (1.003-1.018)	.01	1.049 (1.044-1.055)	<.001	1.031 (1.025-1.036)	<.001
Aspirin only	1 [Reference]	NA	1 [Reference]	NA	1 [Reference]	NA	1 [Reference]	NA
Oral anticoagulant	1.172 (1.165-1.179)	<.001	1.158 (1.144-1.172)	<.001	1.119 (1.114-1.23)	<.001	1.163 (1.157-1.169)	<.001
Aspirin plus a thienopyridine	1.267 (1.254-1.281)	<.001	1.185 (1.178-1.193)	<.001	1.290 (1.280-1.301)	<.001	1.254 (1.242-1.267)	<.001

Abbreviations: CABG, coronary artery bypass graft; NA, not applicable; OR, odds ratio.

<sup>a</sup> Adjusted for sex, unstable angina, dyslipidemia, prior CABG surgery, prior percutaneous coronary intervention, US region, urban location, clinic volume, and health care professional designation.

<sup>b</sup> Adjusted for unstable angina, dyslipidemia, prior CABG surgery, prior

(Outcomes Registry for Better Informed Treatment of Atrial Fibrillation).<sup>25</sup> Other explanations need to be entertained for the lack of OAC prescription in high-risk patients, including justifiable clinical reasons or patient refusal of this therapy. Differences in OAC prescription prevalence between the ORBIT-AF and the PINNACLE Registry may not be directly comparable. In general, the PINNACLE Registry cohort was composed of a much larger population of patients with AF treated specifically by cardiovascular disease specialists, whereas the industry-sponsored ORBIT-AF was a smaller cohort and also included patients with AF treated by internists. We observed a lower prevalence of OAC prescription in the South compared with the Midwest and Northeast. The reason for such variation in treatment patterns among different regions in the United States is unclear but may be related to differences in insurance coverage, socioeconomic status, or exposure of health care professionals in different regions to guidelines through educational programs.

The reasons underlying the associations observed, particularly the plateau effect at higher risk scores, remain unknown. Because many of the risk factors incorporated into the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score that predict stroke risk in patients with AF are the same risk factors that predict bleeding complications in patients prescribed an OAC (eg, the HAS-BLED score<sup>26</sup>), health care professionals may be more reluctant to prescribe anticoagulation in these sicker patients due to concerns regarding bleeding risk. Most important, despite the heightened bleeding risk with higher HAS-BLED scores, the benefit of anticoagulation continues to outweigh the risk as all of these scores increase.<sup>27</sup> In addition, risk factors for stroke, such as age, diabetes mellitus, hypertension, prior stroke or transient ischemic attack, or coronary artery disease and its equivalents (eg, angina and previous revascularization), may necessitate treatment with aspirin or even aspirin plus thienopyridine dual antiplatelet therapy. Given increased risk of percutaneous coronary intervention, US region, urban location, clinic volume, and health care professional designation.

<sup>c</sup> The OR represents the odds of antithrombotic therapy prescription compared with the reference group (either no antithrombotic therapy or aspirin only) per 1-point score increase in the continuous predictor variable (CHADS<sub>2</sub> score and CHA<sub>2</sub>DS<sub>2</sub>-VASc score).

bleeding with the addition of an OAC to antiplatelet therapy<sup>28</sup> or the incorrect perception that antiplatelet therapy (even when aspirin is combined with clopidogrel) has a similar efficacy as anticoagulation,<sup>29,30</sup> health care professionals may avoid additional antithrombotic therapy in patients taking antiplatelet therapy. However, because more than 50% of high-risk patients had anticoagulation withheld in the absence of antiplatelet therapy, these considerations cannot fully explain our results. Although we focused on relationships between established stroke risk scores and anticoagulation prescription across the entire PINNACLE Registry, there was significant variability among individual practices (Figure 3). This finding suggests that focusing on factors pertinent to practices that are the least and most compliant with related guideline adherence may prove fruitful in efforts to rectify inadequate anticoagulation prescription more broadly.

Because dabigatran and rivaroxaban were approved toward the end of our study time frame, most patients prescribed an OAC in our cohort were prescribed warfarin. Our study time frame concluded in 2012, and it is possible that practice patterns may have changed since that time given the subsequent growing availability of several approved OACs. Although 4 non-vitamin K antagonist OACs are now available in clinical practice, warfarin remains the most common drug prescription for anticoagulation in AF,<sup>31</sup> making these data relevant to contemporary practice.

Our study has some limitations. First, while the PINNACLE Registry ascertains whether an anticoagulant is contraindicated, the data are not sufficiently granular to calculate the HAS-BLED score (ie, the PINNACLE Registry does not capture data on medication use predisposing to bleeding, labile international normalized ratios, or alcohol or drug use).<sup>26</sup> This additional information may have been helpful to quantify the bleeding risk among those who did and did not receive an anticoagulation prescription. Second, the

PINNACLE program enrolled patients from 144 academic and private cardiology practices in 38 states across the United States, which are potentially more dedicated to quality improvement. Therefore, antithrombotic therapy prescription patterns in other US or international practices or by noncardiology health care professionals may differ from those reported in this study, potentially reducing the generalizability of our results. Third, specific data are unavailable regarding previous bleeding complications or exact reasons for contraindications to anticoagulant therapy; therefore, we cannot determine the validity of a reported contraindication. Although the lack of this and other outcome data, such as stroke and major bleeding events, are shortcomings of the PINNACLE Registry, the large number of patients included in our analyses provides substantial power in evaluating the primary focus of our analyses, which is prescription of an OAC in patients with AF across the spectrum of stroke risk. Fourth, the main data analyzed consisted of OAC prescription at the index AF visit. Although the index visit may not capture OAC prescription at any time in patient follow-up, a sensitivity analysis that included follow-up visits until 1 year after the index visit demonstrated that only a small proportion of additional patients (approximately 2%) were prescribed an OAC. Fifth, some may argue that the PINNACLE

data collection form may not reflect actual prescription of the drug and much less what patients actually receive or consume. However, that distinction is arguably minimally relevant for the purposes of this study because it is likely that the data recorded on the form more purely mirror the intent or perceived "correct" prescription of medications. Nevertheless, we cannot rule out the possibility that, despite best efforts for accurate data collection, underreporting of OAC prescription occurred by those recording this information.

### Conclusions

Data from this quality improvement registry of cardiology outpatients with AF across the spectrum of stroke risk suggest that cardiovascular disease specialists were more likely to prescribe an OAC as the number of stroke risk factors increased based on both the CHADS<sub>2</sub> score and the CHA<sub>2</sub>DS<sub>2</sub>-VASc score. However, less than 50% of all patients at the highest ranges of stroke risk were prescribed an OAC. These findings draw attention to important gaps in appropriate treatment of patients with AF at the highest risk of stroke and highlight opportunities to understand the reasons behind these gaps and insights to improve them.

#### **ARTICLE INFORMATION**

Accepted for Publication: December 22, 2015. Published Online: March 16, 2016. doi:10.1001/jamacardio.2015.0374.

Author Affiliations: Section of Cardiac Electrophysiology, Division of Cardiology, Department of Medicine, University of California, San Diego (Hsu); Division of Cardiology, Department of Medicine, Veterans Affairs Eastern Colorado Health Care System. Denver (Maddox): Division of Cardiology, Department of Medicine, University of Colorado School of Medicine, Denver (Maddox, Katz, Marzec); Division of Cardiology, Department of Medicine, Colorado Cardiovascular Outcomes Research Consortium, Denver (Maddox): Saint Luke's Mid America Heart Institute, Kansas City. Missouri (Kennedy): Division of Cardiology. Department of Medicine, Massachusetts General Hospital, Boston (Lubitz); Division of Cardiology, Department of Medicine, The University of North Carolina at Chapel Hill (Gehi); Division of Cardiology, Department of Medicine, Veterans Affairs Palo Alto Health Care System, Palo Alto, California (Turakhia); Division of Cardiology, Department of Medicine, Stanford University School of Medicine, Palo Alto, California (Turakhia); Section of Cardiac Electrophysiology, Division of Cardiology, Department of Medicine, University of California, San Francisco (Marcus).

Author Contributions: Drs Hsu and Marcus had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

*Study concept and design:* Hsu, Maddox, Marcus. *Acquisition, analysis, or interpretation of data:* All authors.

*Drafting of the manuscript:* Hsu, Maddox, Kennedy, Marcus.

*Critical revision of the manuscript for important intellectual content:* All authors.

Statistical analysis: Kennedy. Obtained funding: Hsu, Marcus. Administrative, technical, or material support: Marcus.

Study supervision: Marcus.

Conflict of Interest Disclosures: All authors have completed and submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Dr Hsu reported receiving honoraria from Janssen Pharmaceuticals, Medtronic, and St Jude Medical and reported receiving consulting fees from Celladon. Dr Lubitz reported being supported by grant K23HL114724 from the National Institutes of Health and by Clinical Scientist Development Award 2014105 from the Doris Duke Charitable Foundation. Dr Marcus reported receiving research support from the National Institutes of Health, Patient-Centered Outcomes Research Institute. Medtronic, Pfizer Inc, Rhythm Diagnostic Systems, and SentreHeart and reported serving as a consultant to and owning equity in InCarda. No other disclosures were reported

Funding/Support: This research was supported by the American College of Cardiology National Cardiovascular Data Registry.

Role of the Funder/Sponsor: The PINNACLE Registry is an initiative of the American College of Cardiology. Bristol-Myers Squibb and Pfizer Inc are founding sponsors of the PINNACLE Registry. The PINNACLE Registry and the National Cardiovascular Data Registry had no role in the design or conduct of the study, the management or analysis performed in the study, or the interpretation of the data. Before submission for publication, the manuscript was approved with minor editorial suggestions by the PINNACLE Registry Research and Publications Committee.

**Disclaimer:** The views expressed in this article represent those of the authors and do not necessarily represent the official views of the

National Cardiovascular Data Registry or its associated professional societies identified at http://www.ncdr.com.

#### REFERENCES

1. Lloyd-Jones DM, Wang TJ, Leip EP, et al. Lifetime risk for development of atrial fibrillation: the Framingham Heart Study. *Circulation*. 2004;110(9): 1042-1046.

2. Go AS, Hylek EM, Phillips KA, et al. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the AnTicoagulation and Risk Factors in Atrial Fibrillation (ATRIA) Study. *JAMA*. 2001;285 (18):2370-2375.

3. Gage BF, Waterman AD, Shannon W, Boechler M, Rich MW, Radford MJ. Validation of clinical classification schemes for predicting stroke: results from the National Registry of Atrial Fibrillation. *JAMA*. 2001;285(22):2864-2870.

**4**. Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based approach: the Euro Heart Survey on atrial fibrillation. *Chest.* 2010;137 (2):263-272.

5. Fuster V, Rydén LE, Cannom DS, et al; European Heart Rhythm Association; Heart Rhythm Society; American College of Cardiology; American Heart Association Task Force on Practice Guidelines; European Society of Cardiology Committee for Practice Guidelines; Writing Committee to Revise the 2001 Guidelines for the Management of Patients With Atrial Fibrillation. ACC/AHA/ESC 2006 guidelines for the management of patients with atrial fibrillation: executive summary: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the European Society of Cardiology Committee for Practice Guidelines (Writing Committee to Revise the 2001 Guidelines for the Management of Patients With Atrial Fibrillation). *J Am Coll Cardiol*. 2006;48(4):854-906.

**6**. January CT, Wann LS, Alpert JS, et al; ACC/AHA Task Force Members. 2014 AHA/ACC/HRS guideline for the management of patients with atrial fibrillation: executive summary: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the Heart Rhythm Society. *Circulation*. 2014;130 (23):2071-2104.

7. Camm AJ, Lip GY, De Caterina R, et al; ESC Committee for Practice Guidelines (CPG). 2012 Focused update of the ESC guidelines for the management of atrial fibrillation: an update of the 2010 ESC guidelines for the management of atrial fibrillation: developed with the special contribution of the European Heart Rhythm Association [published corrections appear in *Eur Heart J*. 2013;34(10):790 and 2013;34(36):2850-2851]. *Eur Heart J*. 2012;33(21):2719-2747.

8. van Walraven C, Hart RG, Singer DE, et al. Oral anticoagulants vs aspirin in nonvalvular atrial fibrillation: an individual patient meta-analysis. *JAMA*. 2002;288(19):2441-2448.

**9**. Hart RG, Pearce LA, Aguilar MI. Meta-analysis: antithrombotic therapy to prevent stroke in patients who have nonvalvular atrial fibrillation. *Ann Intern Med.* 2007;146(12):857-867.

**10**. Connolly SJ, Ezekowitz MD, Yusuf S, et al; RE-LY Steering Committee and Investigators. Dabigatran versus warfarin in patients with atrial fibrillation. *N Engl J Med*. 2009;361(12):1139-1151.

 Patel MR, Mahaffey KW, Garg J, et al; ROCKET AF Investigators. Rivaroxaban versus warfarin in nonvalvular atrial fibrillation. *N Engl J Med*. 2011; 365(10):883-891.

12. Chan PS, Maddox TM, Tang F, Spinler S, Spertus JA. Practice-level variation in warfarin use among outpatients with atrial fibrillation (from the NCDR PINNACLE program). *Am J Cardiol*. 2011;108(8): 1136-1140.

13. Go AS, Hylek EM, Borowsky LH, Phillips KA, Selby JV, Singer DE. Warfarin use among ambulatory patients with nonvalvular atrial fibrillation: the AnTicoagulation and Risk Factors in Atrial Fibrillation (ATRIA) study. *Ann Intern Med*. 1999;131(12):927-934.

14. Reynolds MR, Shah J, Essebag V, et al. Patterns and predictors of warfarin use in patients with new-onset atrial fibrillation from the FRACTAL Registry. *Am J Cardiol.* 2006;97(4):538-543. **15.** Gallagher AM, Rietbrock S, Plumb J, van Staa TP. Initiation and persistence of warfarin or aspirin in patients with chronic atrial fibrillation in general practice: do the appropriate patients receive stroke prophylaxis? *J Thromb Haemost*. 2008;6(9):1500-1506.

**16**. Chan PS, Oetgen WJ, Buchanan D, et al. Cardiac performance measure compliance in outpatients: the American College of Cardiology and National Cardiovascular Data Registry's PINNACLE (Practice Innovation and Clinical Excellence) program. *J Am Coll Cardiol.* 2010;56(1):8-14.

**17**. Chan PS, Oetgen WJ, Spertus JA. The Improving Continuous Cardiac Care (IC<sup>3</sup>) program and outpatient quality improvement [published correction appears in *Am J Med*. 2010;123(10):e13]. *Am J Med*. 2010;123(3):217-219.

**18**. Messenger JC, Ho KK, Young CH, et al; NCDR Science and Quality Oversight Committee Data Quality Workgroup. The National Cardiovascular Data Registry (NCDR) data quality brief: the NCDR Data Quality Program in 2012. *J Am Coll Cardiol*. 2012;60(16):1484-1488.

**19**. Masoudi FA, Ponirakis A, Yeh RW, et al. Cardiovascular care facts: a report from the National Cardiovascular Data Registry: 2011. *J Am Coll Cardiol*. 2013;62(21):1931-1947.

**20.** Glazer NL, Dublin S, Smith NL, et al. Newly detected atrial fibrillation and compliance with antithrombotic guidelines. *Arch Intern Med.* 2007; 167(3):246-252.

**21**. Gage BF, Boechler M, Doggette AL, et al. Adverse outcomes and predictors of underuse of antithrombotic therapy in Medicare beneficiaries with chronic atrial fibrillation. *Stroke*. 2000;31(4): 822-827.

22. Fuster V, Rydén LE, Cannom DS, et al; American College of Cardiology/American Heart Association Task Force on Practice Guidelines; European Society of Cardiology Committee for Practice Guidelines; European Heart Rhythm Association; Heart Rhythm Society. ACC/AHA/ESC 2006 Guidelines for the Management of Patients with Atrial Fibrillation: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines and the European Society of Cardiology Committee for Practice Guidelines (Writing Committee to Revise the 2001 Guidelines for the Management of Patients With Atrial Fibrillation): developed in collaboration with the European Heart Rhythm Association and the Heart Rhythm Society [published correction appears in Circulation. 2007;116(6):e138]. Circulation. 2006;114(7):e257-e354. doi:10.1161 /CIRCULATIONAHA.106.177292.

23. Olesen JB, Torp-Pedersen C, Hansen ML, Lip GY. The value of the CHA2DS2-VASc score for refining stroke risk stratification in patients with atrial fibrillation with a CHADS2 score O-1: a nationwide cohort study. *Thromb Haemost*. 2012; 107(6):1172-1179.

24. Kakkar AK, Mueller I, Bassand JP, et al; GARFIELD Registry Investigators. Risk profiles and antithrombotic treatment of patients newly diagnosed with atrial fibrillation at risk of stroke: perspectives from the international, observational, prospective GARFIELD registry. *PLoS One.* 2013;8 (5):e63479. doi:10.1371/journal.pone.0063479.

**25.** Steinberg BA, Kim S, Thomas L, et al; Outcomes Registry for Better Informed Treatment of Atrial Fibrillation (ORBIT-AF) Investigators and Patients. Lack of concordance between empirical scores and physician assessments of stroke and bleeding risk in atrial fibrillation: results from the Outcomes Registry for Better Informed Treatment of Atrial Fibrillation (ORBIT-AF) registry. *Circulation*. 2014; 129(20):2005-2012.

**26**. Pisters R, Lane DA, Nieuwlaat R, de Vos CB, Crijns HJ, Lip GY. A novel user-friendly score (HAS-BLED) to assess 1-year risk of major bleeding in patients with atrial fibrillation: the Euro Heart Survey. *Chest.* 2010;138(5):1093-1100.

**27**. Friberg L, Rosenqvist M, Lip GY. Net clinical benefit of warfarin in patients with atrial fibrillation: a report from the Swedish Atrial Fibrillation Cohort Study. *Circulation*. 2012;125(19):2298-2307.

**28**. Hansen ML, Sørensen R, Clausen MT, et al. Risk of bleeding with single, dual, or triple therapy with warfarin, aspirin, and clopidogrel in patients with atrial fibrillation. *Arch Intern Med.* 2010;170(16): 1433-1441.

29. Connolly S, Pogue J, Hart R, et al; ACTIVE Writing Group of the ACTIVE Investigators. Clopidogrel plus aspirin versus oral anticoagulation for atrial fibrillation in the Atrial Fibrillation Clopidogrel Trial With Irbesartan for Prevention of Vascular Events (ACTIVE W): a randomised controlled trial. *Lancet*. 2006;367(9526):1903-1912.

**30**. Connolly SJ, Pogue J, Hart RG, et al; ACTIVE Investigators. Effect of clopidogrel added to aspirin in patients with atrial fibrillation. *N Engl J Med*. 2009;360(20):2066-2078.

**31**. Lip GY, Laroche C, Dan GA, et al. 'Real-world' antithrombotic treatment in atrial fibrillation: the EORP-AF pilot survey. *Am J Med*. 2014;127(6):519-29.e1. doi:10.1016/j.amjmed.2013.12.022.