# **ORIGINAL ARTICLE**

## **Pulmonary Disease and Age at Immigration among Hispanics** Results from the Hispanic Community Health Study/Study of Latinos

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## Abstract

**Rationale:** Asthma has been reported to be more prevalent among Hispanics of Puerto Rican heritage than among other Hispanics and among Hispanics born in the United States or who immigrated as children than among those who came as adults; however, direct comparisons across Hispanic groups are lacking.

**Objectives:** To test whether asthma is more prevalent among Hispanics of Puerto Rican heritage than among other Hispanic groups, whether asthma is associated with age of immigration, and whether chronic obstructive pulmonary disease varies by heritage in a large, population-based cohort of Hispanics in the United States.

**Methods:** The Hispanic Community Health Study/Study of Latinos researchers recruited a population-based probability sample of 16,415 Hispanics/Latinos, 18–74 years of age, in New York City, Chicago, Miami, and San Diego. Participants self-reported Puerto Rican, Cuban, Dominican, Mexican, Central American, or South American heritage; birthplace; and, if relevant, age at immigration. A respiratory questionnaire and standardized spirometry were performed with postbronchodilator measures for those with airflow limitation. **Measurements and Main Results:** The prevalence of physiciandiagnosed asthma among Puerto Ricans (36.5%; 95% confidence interval, 33.6–39.5%) was higher than among other Hispanics (odds ratio, 3.9; 95% confidence interval, 3.3–4.6). Hispanics who were born in the mainland United States or had immigrated as children had a higher asthma prevalence than those who had immigrated as adults (19.6, 19.4, and 14.1%, respectively; P < 0.001). Current asthma, bronchodilator responsiveness, and wheeze followed similar patterns. Chronic obstructive pulmonary disease prevalence was higher among Puerto Ricans (14.1%) and Cubans (9.8%) than among other Hispanics (<6.0%), but it did not vary across Hispanic heritages after adjustment for smoking and prior asthma (P = 0.22), by country of birth, or by age at immigration.

**Conclusions:** Asthma was more prevalent among Puerto Ricans, other Hispanics born in the United States, and those who had immigrated as children than among other Hispanics. In contrast, the higher prevalence of chronic obstructive pulmonary disease among Puerto Ricans and Cubans was largely reflective of differential smoking patterns and asthma.

**Keywords:** asthma; chronic obstructive pulmonary disease; race/ ethnicity; social determinants of health

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### At a Glance Commentary

#### Scientific Knowledge on the

**Subject:** Asthma has been reported to be more prevalent among Hispanics of Puerto Rican heritage and Hispanics born in the United States, and some studies have suggested that Hispanics of Mexican heritage may have a lower risk of chronic obstructive pulmonary disease (COPD); however, direct comparisons across Hispanic groups are lacking.

### What This Study Adds to the

Field: Asthma was up to three times more common among Hispanics of Puerto Rican heritage than among other Hispanics. Hispanics who were born in the mainland United States or immigrated to the United States as children had a higher asthma prevalence than those who immigrated as adults. In contrast, the prevalence of COPD did not differ by Hispanic heritage after accounting for smoking patterns and asthma earlier in life (onset before age 45 yr). These findings suggest that exposure to a more Americanized environment or lifestyle at a young age may contribute to asthma in Hispanics and suggest that differences in COPD prevalence across Hispanic groups is due mostly to variation in the prevalence of cigarette smoking and asthma earlier in life.

Hispanics are the largest and fastestgrowing minority population in the United States, accounting for 17% of the U.S. population currently and projected to represent an estimated 30% of the U.S. population by 2050 (1). Over one-third of U.S. Hispanics were born outside the United States, and approximately one-half of immigrants to the United States are Hispanic (2, 3).

Asthma is the leading cause of hospitalization among young adults in the United States of Hispanic and non-Hispanic ethnicity (4, 5). Several studies suggest that persons in and from Puerto Rico, an unincorporated territory of the United States, have a particularly high prevalence of asthma (4, 6–10). However, direct comparisons between Hispanics of different heritages are not available, except in smaller studies that do not allow confident conclusions to be drawn about possible differences in respiratory disease across Hispanic groups.

Puerto Ricans move to the mainland United States at a younger age, on average, than other Hispanics, potentially resulting in earlier exposures and acculturation in the United States, and they may be subject to more Americanized exposures in Puerto Rico than Hispanics who emigrate from other countries. Early life exposures have been implicated in the pathogenesis of asthma due to effects on innate and adaptive immunity (11). Given that immigration to the United States implies a change in environmental and allergen exposures, birth in the United States and immigration to the United States at an early age may affect asthma risk. Indeed, some U.S. studies suggest a higher risk of asthma among Hispanics born in the United States than in those who immigrated to the United States (6, 12-15); however, these studies have generally been small or have focused on Hispanics of one heritage.

Chronic obstructive pulmonary disease (COPD) is the third leading cause of death in the United States. COPD mortality is lower among Hispanics than among non-Hispanics (16), in part due to lower prevalence of cigarette smoking in some Hispanic groups (17). Some studies have suggested that some Hispanics may be at reduced risk of COPD (18, 19), whereas others found no difference by ancestry (20) and after adjustment for smoking (21). The prevalence of spirometry defined COPD across the major Hispanic groups, particularly in relation to smoking and asthma, has not been described.

We therefore examined the prevalence of asthma and COPD in the HCHS/SOL (Hispanic Community Health Study/Study of Latinos), hypothesizing that asthma would be more prevalent among Puerto Ricans than among Hispanics of other heritages and among other Hispanics who were born in or had immigrated to the United States as children than among those who had immigrated as adults. Some of the results we report were previously presented in abstract form (22).

## Methods

The HCHS/SOL is a community-based probability sample cohort study designed

to examine risk factors of chronic disease among Hispanics of Mexican, Puerto Rican, Cuban, Dominican, Central American, and South American heritages living in the United States (23, 24). The HCHS/SOL researchers recruited and evaluated 16,415 self-identified Hispanics/ Latinos ages 18-74 years between 2008 and 2011 in four U.S. communities (Bronx, NY; Chicago, IL; Miami, FL; and San Diego, CA). Households were selected using a stratified, two-stage area probability sample design with oversampling to increase the likelihood that a selected address would yield a Hispanic/Latino household (23). Of 39,384 individuals who were screened and selected and who met the eligibility criteria, 16,415 Hispanics (41.7%) from 9,872 households were enrolled. The study oversampled those ages 45-74 years to facilitate examination of target outcomes.

As HCHS/SOL recruited only Hispanics, we compared prevalence estimates in the MESA (Multi-Ethnic Study of Atherosclerosis), in which investigators recruited a multiethnic sample ages 45–84 years without clinical cardiovascular disease between 2000 and 2002 (25). MESA conducted examinations between 2010 and 2012 with pulmonary measures identical to those used in HCHS/SOL. The studies were approved by the institutional review boards at each participating institution, and written informed consent was obtained from all participants.

## Self-reported Diagnoses and Symptoms

A standard respiratory questionnaire (26) was used to ascertain respiratory diagnoses and symptoms. *Physician-diagnosed asthma* was defined by affirmative responses to the items "Have you ever had asthma?" and "Was it diagnosed by a doctor or other healthcare professional?" *Current asthma* was defined as physician-diagnosed asthma with the use of an asthma treatment within the previous 12 months.

Wheeze was defined as ever having had "an attack of wheezing or whistling in your chest that made you feel short of breath," and *dyspnea* was defined by a positive response to the item "Are you troubled by shortness of breath when hurrying on level ground or walking up a slight hill?"

Items were translated into Spanish and confirmed with focus groups.

#### Place of Birth and Age of Immigration

Heritage was identified by asking, "Which best describes your Hispanic/Latino heritage?" Participants were asked, "In what country or territory were you born?" Separate codes were recorded for "United States," "Puerto Rico," and "other countries." The age at immigration into the mainland United States was defined as current age minus the number of years living in the United States, assessed by the item "From the time that you FIRST moved to the United States to today, about how many years have you lived in the mainland United States (50 states + DC)?" The mainland United States was defined as the 50 U.S. states and Washington, DC. Childhood versus adult immigration was dichotomized at 16 years of age.

#### Spirometry

Spirometry was conducted in accordance with American Thoracic Society/European Respiratory Society guidelines (27) using a dry rolling sealed spirometer with automated quality checks (Occupational Marketing, Houston, TX) according to the MESA-Lung study protocol (28) with overreading by one investigator. All participants, except those with recent cardiovascular events or surgery, were asked to perform prebronchodilator spirometry. Participants with prebronchodilator FEV<sub>1</sub>/FVC ratios less than 0.70 or less than the lower limit of normal (29) met the criteria to perform post-bronchodilator spirometry.

COPD was defined by a postbronchodilator  $FEV_1/FVC$  ratio less than 0.70 (30). A positive bronchodilator response was defined as an increase in the  $FEV_1$  of 200 milliliters or greater and an increase in  $FEV_1$ % predicted of 12% or greater.

#### Covariates

Information on demographics, education, income, health insurance, and smoking was obtained by questionnaire. Never smoking was defined as smoking fewer than 100 cigarettes ever, and current smoking was defined as self-reported smoking of a cigarette in the previous 30 days. Height and weight were measured following a standardized protocol. Acculturation was assessed on the basis of two factors from the Short Acculturation Scale for Hispanics (31)—one on language preference and the other on social relationships—and an item on Hispanic compared with American food preference, as previously described (32).

#### **Statistical Analysis**

Prevalence estimates were calculated and weighted to adjust for disproportionate selection probabilities and to adjust for bias due to differential nonresponse at the household and person levels (23, 24). All analyses accounted for cluster sampling and the use of stratification in sample selection. Estimates are applicable to the study target population, defined as Hispanics/Latinos ages 18–74 years living in the four study communities.

Prevalence estimates were stratified by Hispanic heritage using survey logistic regression-predicted marginals, which adjusted each subgroup to the target population distribution of age, sex, education, health insurance, years in the United States, smoking status, and body mass index. As certain Hispanic/Latino heritages tended to be concentrated in specific geographic areas, not all heritages were present in each study site, creating the possibility of confounding between heritage and site. We therefore examined for possible site effects by fitting additional survey logistic regression models, adjusting for a 17-level heritage-by-site interaction term in place of heritage.

Prevalence estimates of COPD were restricted to participants age 45 years and older. Prevalence estimates of bronchodilator responsiveness were restricted to participants with airflow limitation. Missing assessments of COPD and bronchodilator responsiveness were accounted for using a three-step process. Missing data for prebronchodilator spirometry were accounted for by inverse probability weighting. Weights were developed using a logistic regression model from a set of baseline covariates. COPD and bronchodilator responsiveness for participants with missing postbronchodilator spirometry data along with sporadic missing data for covariates were then estimated with multiple imputation using inverse probability weighting. See Table E1 in the online supplement for details. Analyses in MESA proceeded in a similar fashion but were unweighted.

All analyses were performed using SAS version 9.2 (SAS Institute, Cary, NC) and SUDAAN release 11.0.0 (RTI International, Research Triangle Park, NC) software.

### Results

The HCHS/SOL research team recruited 16,415 participants, of whom 98.2% completed the respiratory questionnaire (Figure E1). Hispanics of Mexican heritage were recruited predominantly in San Diego and Chicago, Puerto Ricans in New York City and Chicago, Cubans in Miami, Dominicans in New York City, and Central and South Americans in Miami and Chicago (Table E2).

Prebronchodilator spirometry was completed by 94.1% of participants, and post-bronchodilator spirometry by 1,073 (76% of those selected). Participants with spirometry measures were modestly younger, better educated, and more likely to be male and uninsured compared with those who did not complete spirometry (Table E3).

The estimated Hispanic heritage in the four communities was 38% Mexican, 16% Puerto Rican, 20% Cuban, 7% Central American, 10% Dominican, 5% South American, and 4% other or mixed. Fortyeight percent were male, and 50% were older than 45 years of age. Twenty-one percent currently smoked cigarettes, and 17% had previously smoked cigarettes. Twenty-three percent were born in the mainland United States, 15% had moved to the United States as children, and 63% had moved to the United States as adults.

Table 1 shows participant characteristics stratified by Hispanic heritage. Cubans were older than the overall average, and a higher proportion of Dominicans were women. Education varied modestly, and health insurance varied appreciably, across heritages. Approximately half of Puerto Ricans and those of other or mixed heritages had been born in the continental United States, a much greater proportion than others, and more Puerto Ricans and Dominicans had moved to the mainland United States as children. Smoking was most common among Puerto Ricans, followed by Cubans and other or mixed heritages.

## Prevalence of Asthma by Hispanic Heritage

The prevalence of physician-diagnosed lifetime asthma among Hispanics was 16.4% (95% confidence interval [CI], 15.4–17.4), and the prevalence of current asthma was 7.4% (95% CI, 6.8–8.1). The

	Mexican	E	Puerto Rican	ican	Cuban	_	Central American	erican	Dominican	an	South American	rican	Other or Mixed	lixed
	Estimate	SE	Estimate	R	Estimate	SE	Estimate	SE	Estimate	S	Estimate	SE	Estimate	SE
Sample size*	6,438		2,659		2,324		1,712		1,426		1,059		511	
Age, yr, mean	38.6	0.37	42.9	0.50	46.6	0.53	39.8	0.49	39.1 60.0	0.67	42.4	0.76	35.3	0.80
sex, % remare Educational attainment. %	03.3	0.97	49.0	C <del>1</del> .	41.1		0.70	/0.1	2.00		7.40	2.00	50.4	с. С
Less than high school	36.0	1.34	36.7	1.63	22.1	1.06	38.6	1.67	37.2	1.80	21.8	1.92	20.3	2.95
High school Bevond high school	29.8 21.2	1.00 87 87	27.4 35.0	 22 5	29.9 18.0	1.35 1.46	26.1 25.1	1.43 1.63	23.3 30.5	1.82 75	27.5 50.7	6 - 6 - 6	22.9 56.8	3.53 2.72
Yearly household income. %	1:40	00.1	0.00	0.	0.04		t. 	<u>.</u>	0.00	0		2.1	0.00	21.0
≤\$10,000	10.0	0.73	18.2	1.28	15.8	1.04	13.9	1.24	15.4	1.36	9.7	1.12	8.7	1.44
>\$10,000-\$20,000	26.9	1.32	27.5	1.37	30.0	1.18	33.3	1.78	33.9	2.23	30.3	1.85	20.9	2.48
>\$20,000-\$40,000	34.7	1.10	24.5	1.52	25.9	1.23	29.2	1.68	29.0	1.77	34.5	1.94	32.3	3.30
>\$40,000-\$75,000	16.3	0.98	14.8	1.08	8.5	0.77	9.7	1.18	9.2	0.99	12.9	1.43	19.7	2.69
>\$75,000	6.9	1.16	6.3	0.74	3.9	0.68	2.1	0.49	2.1	0.57	4.5	0.94	9.6	2.16
Refused/missing	5.3	0.38	8.8	0.72	15.8	1.07	11.8	1.03	10.6	1.04	8.1	1.16	8.8	1.65
Health insurance, %	42.4	1.42	78.3	1.37	41.7	1.65	31.3	2.09	70.7	1.98	41.5	2.21	56.1	3.64
Age at immigration, %														
Born in United States	23.8	1.04	47.7	1.56	7.1	0.92	7.0	1.13	16.0	1.99	5.7	0.95	56.0	3.57
0–15 yr	14.1	0.74	23.5	1.41	9.5	0.69	11.3	1.16	17.7	1.46	12.1	1.65	12.4	з.11 Э.11
>15 yr	62.2	1.16	28.8	1.56	83.4	1.20	81.6	1.55	66.3	2.36	82.3	1.94	31.7	2.86
10 or more yr in United States <sup>†</sup> , %	76.1	1.18	93.4	0.87	50.7	1.87	62.9	2.11	75.0	1.97	59.0	2.39	86.5	2.30
Body mass index, kg/m <sup>2</sup> , mean	29.2	0.15	30.7	0.23	29.0	0.16	29.1	0.18	29.5	0.32	28.0	0.25	29.5	0.36
Smoking status, % Never smoker	641	1 06	40 F	1 55	54.4	1 53	707	1 63	77 1	1 69	65.3	0 18 8	56 5	3 54
Former smoker	18.4	0.82	16.8	1.17	19.1	0.94	14.8	1.17	 	1.10	21.6	1.60	17.7	2.44
Current smoker	17.6	0.90	33.8	1.39	26.6	1.32	14.5	1.20	11.8	1.53	13.1	1.48	25.8	3.18
>10 pack-years <sup>‡</sup> , %	8.1	0.53	25.3	1.45	29.9	1.38	9.3	0.89	8.4	0.84	10.6	1.17	13.6	2.05
*Sample size completing the respiratory guestionnaire from which the population estimates are derived. All estimates account for sampling weight and study design.	nuestionnaire	from w	hich the poc	Julation	estimates ar	re derive	3d. All estimate	ss accour	nt for samplir	piaw pr	nt and study	desian.		
<sup>†</sup> Among those who immigrated to the United States.	ited States.					)				0 0	65555	5		
<sup>+</sup> Among ever smokers.														

Table 1. Estimated Population Characteristics in the Hispanic Community Health Study/Study of Latinos

	Mexican	Puerto Rican	Cuban	Central American	Dominican	South American	Other or Mixed P Value	P Value
Sample size	6,438	2,659	2,324	1,712	1,426	1,059	511	
riysiciair-ulagilosed astillia, ever Age and sex adjusted Multivariate analysis Physician-diagnosed asthma,	7.5 (6.6–8.6) 7.8 (6.8–8.9)	36.5 (33.6–39.5) 30.9 (27.8–34.2)	36.5 (33.6–39.5) 21.8 (19.9–23.9) 30.9 (27.8–34.2) 23.3 (21.1–25.7)	11.2 (9.4–13.3) 12.8 (10.7–15.2)	15.4 (13.1–18.1) 15.7 (13.0–18.7)	9.1 (7.0–11.8) 10.4 (7.9–13.5)	9.1 (7.0–11.8) 17.7 (13.5–22.9) 10.4 (7.9–13.5) 16.5 (12.5–21.4)	<0.001 <0.001
current Age and sex adjusted Multivariate analysis Bronchordiator resonativaness	3.1 (2.5–3.8) 3.4 (2.7–4.1)	21.9 (19.5–24.6) 15.3 (13.2–17.6)	7.2 (6.0–8.7) 8.6 (7.1–10.4)	3.5 (2.6–4.6) 4.5 (3.4–6.0)	6.7 (5.3–8.4) 6.7 (5.3–8.5)	3.2 (2.1–4.7) 4.3 (2.9–6.2)	7.8 (5.5–10.9) 6.9 (4.8–9.8)	<0.001 <0.001
Sample size Age and sex adjusted Multivariate analysis Second multivariate analysis	354 11.5 (7.1–17.9) 11.0 (6.5–17.9) 12.8 (7.7–20.5)	224 24.2 (9.2–49.0) 21.2 (7.5–45.6) 18.0 (7.1–37.4)	231 10.2 (5.0–19.1) 12.1 (6.3–21.6) 12.5 (6.7–22.0)	74 8.0 (3.5–17.0) 9.6 (4.1–20.6) 10.3 (4.5–21.8)	89 15.5 (8. 2–27.1) 16.0 (8.4–28.1) 14.2 (7.5–25.0)	61 10.7 (4.2–24.4) 12.3 (5.0–27.0) 13.7 (6.0–28.4)	37 15.5 (0.3–83.3) 14.7 (0.2–85.2) 15.8 (0.3–31.2)	0.465 0.799 0.935
Ninety-five percent confidence intervals are shown in parentheses. Sample size represents number completing the respiratory questionnaire (or post-bronchodilator spirometry) from which the population estimates are derived. All estimates account for sampling weight and study design. <i>P</i> values comparing backgrounds are derived from a survey logistic regression Wald <i>F</i> test with 6 numerator degrees of freedom. Multivariate model adjusted for age, sex, educational attainment, age at immigration, 10 or more years in the United States, smoking status, body mass index, and health insurance. Second model for bronchodilator responsiveness was not adjusted for health insurance but was additionally adjusted for current pulmonary medication use and prebronchodilator FEV <sub>1</sub> .	e shown in paren stimates account tivariate model ad el for bronchodilat	theses. Sample siz for sampling weight justed for age, sex, ior responsiveness	e represents numbe and study design. <i>I</i> educational attainm was not adjusted for	r completing the res <sup>o</sup> values comparing l ent, age at immigrati ' health insurance bu	piratory questionnai backgrounds are de on, 10 or more years tt was additionally a	re (or post-bronch rived from a survey s in the United Stat djusted for current	odilator spirometry) logistic regression es, smoking status, pulmonary medicati	from which Wald <i>F</i> test body mass on use and

Table 2. Prevalence Estimates of Physician-diagnosed Asthma and Bronchodilator Responsiveness from the Hispanic Community Health Study/Study of Latinos

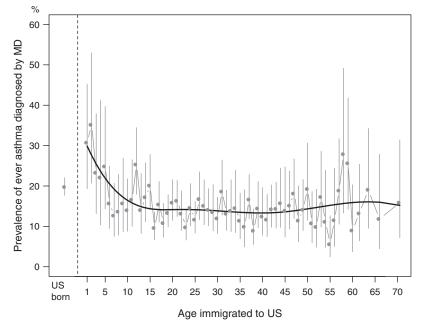
	Born in United States	Immigrated at Age 0–15 Yr	Immigrated at Age >15 Yr	P Value
Sample size Physician-diagnosed asthma, ever	2,083	2,035	11,271	
Multivariate analysis Physician-diagnosed asthma, current	19.6 (17.3–22.0)	19.4 (16.9–22.2)	14.1 (13.0–15.3)	< 0.001
Multivariate analysis Bronchodilator responsiveness	9.5 (8.0–11.3)	8.5 (6.9–10.5)	6.0 (5.3–6.7)	<0.001
Sample size Multivariate analysis Second multivariate analysis	215 24.5 (13.5–39.8) 26.0 (15.0–41.0)	120 13.2 (2.7–42.1) 11.8 (2.6–36.9)	735 10.7 (5.7–18.8) 10.7(5.7–18.9)	0.269 0.239

**Table 3.** Prevalence Estimates of Physician-diagnosed Asthma and Bronchodilator Responsiveness from the Hispanic Community

 Health Study/Study of Latinos by Age at Immigration to the United States

Ninety-five percent confidence intervals are shown in parentheses. Sample size represents number completing the respiratory questionnaire (or post-bronchodilator spirometry) from which the population estimates are derived. All estimates account for sampling weight and study design. *P* values comparing age of immigration categories are derived from a survey logistic regression Wald *F* test with 2 numerator degrees of freedom. Multivariate model adjusted for age, sex, educational attainment, age at immigration, 10 or more years in the United States, smoking status, body mass index, and health insurance. Second model for bronchodilator responsiveness was not adjusted for health insurance but was additionally adjusted for current pulmonary medication use and prebronchodilator FEV<sub>1</sub>.

prevalence of asthma varied by Hispanic heritage (P < 0.001) and was highest among Puerto Ricans; intermediate among Cubans, Dominicans, and other or mixed heritage groups; and lowest among Mexicans (Table 2). The age- and sexadjusted odds ratio for asthma among Puerto Ricans compared with Hispanics of other heritages was 3.9 (95% CI, 3.3–4.6). These differences changed little in the fully adjusted analysis (Table 2). Additional adjustment for three measures of acculturation made no material differences to the results; for example, the acculturation-adjusted prevalence of asthma was 31.0% among Puerto Ricans and 7.7% among Mexicans. Similar differences across Hispanic heritages were observed for current asthma, symptoms of wheezing that resulted in shortness of breath, wheeze in the previous 12 months, dyspnea, and bronchodilator



**Figure 1.** Estimated prevalence of asthma by age at immigration to the continental United States. The *gray vertical lines* represent 95% confidence intervals around the point estimates of asthma prevalence at each year of age of immigration adjusted for age, sex, and Hispanic heritage. The *black line* is the cubic smooth spline curve fitted across the point estimates.

responsiveness, although the last item did not attain statistical significance (Table 2; Table E4). The findings for asthma and bronchodilator responsiveness were little changed among never smokers (Table E5).

The prevalence of asthma varied by site and was particularly high in New York City (Figure E2). Most Puerto Ricans were recruited in New York City, which might have accounted for the difference by Hispanic heritage. However, the prevalence of asthma among Puerto Ricans in New York City was higher than that among Dominicans and others also recruited in New York City, and the prevalence of asthma was much higher in Puerto Ricans in Chicago than among all other Hispanics in Chicago (Figure E2).

#### Age at Immigration and Asthma

The adjusted prevalence of asthma among Hispanics who had immigrated to the United States as adults was 14.1% compared with 19.4% among those who had immigrated as children and 19.6% among those who were born in the United States (Table 3). These differences were independent of age, sex, educational attainment, smoking status, body mass index, and health insurance, which differed across these groups (Table E6), and persisted among never smokers (P = 0.001). Among Hispanics who immigrated to the United States, the prevalence of asthma was highest among those who immigrated in early childhood compared with those who had immigrated as adults, with an inflection point between ages 5 and 15 years (Figure 1).

 Table 4.
 Prevalence Estimates of Chronic Obstructive Pulmonary Disease for Participants Ages 45 Years and Older from the

 Hispanic Community Health Study/Study of Latinos

	Mexican	Puerto Rican	Cuban	Central American	Dominican	South American	Other or Mixed	P Value
Sample size Age and sex adiusted	3,383 4.6 (3.6–6.0)	1,547 14.1 (9.9–19.6)	1,454 9.8 (8.3–11.6)	873 5.2 (3.6–7.3)	760 5.6 (3.6–8.4)	604 5.9 (3.7–9.5)	195 5.1 (2.0–12.0)	< 0.001
Multivariate analysis Second multivariate analysis						6.8 (4.4–10.4) 7.2 (4.8–10.8)	5.5 (2.2–12.7) 5.8 (2.3–13.4)	0.002 0.223

Ninety-five percent confidence intervals are shown in parentheses. Sample size represents participants age 45 years and older completing prebronchodilator spirometry and post-bronchodilator spirometry, when indicated, from which the population estimates are derived. P value comparing backgrounds is from a survey logistic regression Wald F test with 6 numerator degrees of freedom. Multivariate analysis is adjusted for age, sex, educational attainment, age at immigration, 10 or more years in the United States, smoking status, pack-years of smoking, and health insurance. Second multivariate model is adjusted for all items in the multivariate analysis except health insurance plus asthma onset before age 45 years.

Current asthma and bronchodilator responsiveness were also higher among participants who had immigrated as children and those who were born in the United States than in the other groups (Table 3), although the differences were not statistically significant for bronchodilator responsiveness.

The association of age of immigration with current asthma was modified by Hispanic heritage (P = 0.004 for interaction). Figure E3 shows large, monotonic differences in current asthma prevalence by age at immigration among Central Americans and Mexicans (both P < 0.05); consistent but nonsignificant associations among Cubans, Dominicans, and other or mixed heritages; and no evidence for association among Puerto Ricans and South Americans. The findings were similar for ever having had asthma (Figure E4). There was little or no evidence for effect modification by site (P-interaction = 0.87 and P = 0.06, respectively), with similar findings across all sites (Figure E5).

Wheeze that contributed to shortness of breath was also lower among Hispanics who had immigrated to the United States at age 16 years or older (12.5%) than in those who had immigrated before age 16 years (15.9%) or among those who were born in the United States (17.6%; P < 0.001). The findings were similar for current wheeze and, with more variation, dyspnea (Table E7).

#### COPD

The prevalence of COPD among participants older than 45 years of age was 8.2% (95% CI, 7.1–9.5). The age- and sex-

adjusted prevalence of COPD differed by Hispanic heritage (P < 0.001) and was higher among Puerto Ricans and Cubans and compared with others (Table 4). However, differences were attenuated by adjustment for smoking history and asthma with onset before age 45 years resulting in no differences in COPD prevalence by Hispanic heritage in the fully adjusted model (Table 4). These findings were similar in analyses restricted to participants with 10 or more pack-years of smoking (Table E8).

There was no evidence that age at immigration was associated with COPD. The adjusted prevalence of COPD was 7.8% (95% CI, 6.9–8.8%) among Hispanics who had immigrated to the United States as adults, 11.1% (95% CI, 6.4–18.7%) among those who had immigrated as children, and 8.1% (95% CI, 5.1–12.5%) among those who were born in the mainland United States (P = 0.46 for trend).

#### **Comparisons in MESA**

The prevalence of asthma among Hispanics of Mexican heritage in MESA was 8.8%, similar to that in HCHS/SOL. The prevalence of asthma was somewhat higher in non-Hispanic whites and non-Hispanic African Americans than Hispanics of Mexican heritage in MESA (Table E9), but it was not nearly as high as that among Puerto Ricans, Cubans, and Dominicans in HCHS/SOL. A similar but less marked pattern was present for the prevalence of wheezing in the previous 12 months. The prevalence of COPD among Hispanics in HCHS/SOL was not dissimilar from that among non-Hispanics in MESA.

## Discussion

In this large, population-based U.S. study, Puerto Ricans had a threefold higher prevalence of asthma compared with Hispanics of other heritages. Among non-Puerto Rican Hispanics, those who were born in United States or who had immigrated to the United States as children had a higher prevalence of asthma than those who immigrated as adults. The findings were similar for bronchodilator responsiveness and wheeze. In contrast, there was no evidence that prevalence of COPD differed by Hispanic heritage or varied by age at immigration after accounting for differences in smoking and asthma history.

The present study is by far the largest on this topic to report on Hispanics of multiple heritages. The noted high prevalence of asthma among Puerto Ricans is consistent with prior smaller studies that showed a prevalence of 12-26% in Puerto Ricans compared with the U.S. national prevalence of approximately 8% (4, 5, 7, 8) and in a large, nationally representative sample (15). Unlike prior studies on this topic, the present findings were not potentially due to selection bias, differences by site, or diagnostic bias, as noted by the consistent findings for bronchodilator responsiveness and symptoms of wheezing. The low prevalence among those from Mexico, Central America, and South America is consistent with evidence that asthma is less common among Mexican

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Americans than among non-Hispanic whites (6, 12), similar to the comparisons with MESA reported here and a prior study including Central Americans and South Americans (33).

Asthma prevalence was high among Puerto Ricans who were born in the mainland United States and Puerto Rico, regardless of age at migration. In contrast, other Hispanics who were born in the United States or who had immigrated to the United States as children had a higher prevalence of asthma than those who had immigrated to the United States as adults. Prior studies have found similar associations in Mexican Americans (12-15, 34) and, recently, in non-Hispanic whites and African Americans (15). Similar findings have been reported for immigrants to Great Britain, Sweden, and, more variably, Israel (35-37).

In contrast, there was no suggestion that COPD prevalence was related to either Hispanic heritage or age at immigration after accounting for history of smoking and a prior history of asthma. In studies from the United States, Central America, and South America, researchers have found a lower prevalence of COPD among Mexican Americans compared with non-Hispanic Americans (16, 38, 39) and among Mexicans compared with South Americans (40), although much of these differences was attributable to smoking patterns. In the present study, the largest on the topic to date, the higher prevalence of COPD among Puerto Ricans and Cubans was largely explained by differences in smoking patterns and asthma earlier in life. Mexican Americans had the lowest prevalence of all groups; however, the multivariate 95% CI for Mexican Americans included the prevalence estimates of all other groups except Puerto Ricans, and there was no evidence for differential COPD prevalence in the multivariate results.

The mechanisms behind the higher prevalence of asthma among Puerto Ricans and other Hispanics of Caribbean origin were not examined in detail in the present study but may include greater exposure to active and passive tobacco smoke (41); a greater predisposition to allergic disease, including rhinitis, eczema (42), and atopy (43, 44); environmental exposures (43, 45); premature birth (46); other socioeconomic factors (47); and genetic (18, 48) and epigenetic (49)

factors. Early life exposures may also be implicated (41), which is supported by our findings of the markedly elevated risk of asthma among Hispanics who had immigrated to the United States before age 6 years. Early-life exposures encountered in predominantly urban U.S. environments may include increased dust, cockroach- and mold-borne allergens, and air pollution exposures (45), which may increase the risk of developing asthma via eosinophilic and noneosinophilic mechanisms (50). Acculturation has also been suggested to play a role in asthma development (15). However, in the present study, measures of acculturation based upon language, social factors, and food preferences had little impact on the results, possibly because they were collinear with items already in the model, such as years in the mainland United States.

Evidence for contributions of both genetic and nongenetic factors to asthma is suggested by its higher prevalence among Puerto Ricans than in Dominicans and other Hispanics in the Bronx and also than Mexicans and other Hispanics in Chicago in addition to its considerably higher prevalence among Puerto Ricans in the Bronx compared with Chicago.

Although the present study is the largest population-based study of Hispanics in the United States, particularly one that includes postbronchodilator spirometry measures, it has a number of limitations. First, the sample was drawn predominantly from urban settings, and hence the results may not fully capture respiratory disease related to nonurban exposures. Whereas asthma prevalence may be lower in rural than in urban settings, COPD might be higher (51). Furthermore, residents of Puerto Rico and Hispanics in the U.S. Southwest were not included in the HCHS/SOL. The response rate to the study was modest, and not all participants completed spirometry. However, we used two-stage weighted and multiple imputation to account for missing data; therefore, the estimates are likely to be reasonably accurate. A control group of non-Hispanics was not included in the original design; however, we included results for Hispanics and non-Hispanics in MESA, in which researchers ascertained respiratory disease in an

identical manner in the same time period in two of the same cities as HCHS/SOL, although the strategy and years of cohort recruitment differed. Hispanic heritage was based upon self-report, which has limitations but is a reasonable standard; subsequent work will evaluate heritage including ancestral markers. Asthma was also defined by self-report of a physician's diagnosis, which may be subject to bias, and there is some degree of misclassification with COPD. However, the results for asthma restricted to never smokers and COPD restricted to participants with 10 or more pack-years yielded findings that were highly consistent with those from the overall cohort.

In conclusion, asthma prevalence was high in Puerto Ricans, regardless of place of birth and age at immigration. Among other Hispanics, birth in the United States, and particularly immigration to the United States as a child, was associated with elevated asthma risk. Consistent associations were observed for symptoms of wheeze and bronchodilator responsiveness, and there was no relationship between age at immigration and COPD after adjustment for smoking, demonstrating a specific association likely not due to diagnostic bias. In contrast, differences in COPD prevalence across Hispanic heritages in this study were fully explained by smoking patterns and asthma earlier in life. These findings suggest that exposure to the U.S. environment or lifestyle at a young age may contribute to asthma and that differences in smoking and asthma drive much of the variation in COPD prevalence across different Hispanic groups. 🔳

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