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# Multiday Evaluation of Techniques for EMG Based Classification of Hand Motions

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2 Abstract— currently, most of the adopted myoelectric schemes for upper limb prostheses do not provide users 3 4 with intuitive control. Higher accuracies have been 5 reported using different classification algorithms but 6 investigation on the reliability over time for these 7 methods is very limited. In this study, we compared for 8 the first time the longitudinal performance of selected 9 state-of-the-art techniques for Electromyography 10 (EMG) based classification of hand motions. 11 Experiments were conducted on ten able-bodied and six 12 transradial amputees for seven continuous days. Linear 13 Discriminant Analysis (LDA), Artificial Neural Network 14 (ANN), Support Vector Machine (SVM), K-Nearest Neighbour (KNN) and Decision Trees (TREE) were 15 16 compared. Comparative analysis showed that the ANN attained highest classification accuracy followed by 17 LDA. Three-way repeated ANOVA test showed a 18 19 significant difference (P<0.001) between EMG types 20 (surface, intramuscular and combined), Days (1-7), 21 classifiers and their interactions. Performance on last 22 day was significantly better (P<0.05) than the first day for all classifiers and EMG types. Within-day 23 24 classification error (WCE) across all subject and days in 25 ANN was: surface  $(9.12 \pm 7.38\%)$ , intramuscular 26  $(11.86\pm7.84\%)$  and combined  $(6.11\pm7.46\%)$ . The 27 between-day analysis in a leave-one-day-out fashion 28 showed that ANN was the optimal classifier (surface 29  $(21.88 \pm 4.14\%)$  intramuscular  $(29.33 \pm 2.58\%)$  and 30 combined  $(14.37 \pm 3.10\%)$ ). Results indicate that that 31 within day performances of classifiers may be similar 32 but over time it may lead to a substantially different

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<sup>1</sup>Center for Sensory-Motor Interaction, Department of Health Science and Technology, Aalborg University, Denmark. <sup>2</sup>SMME, National University of Sciences and Technology (NUST) Islamabad, Pakistan. <sup>3</sup>Center of Chiropractic Research, New Zealand College of Chiropractic, Auckland, New Zealand. <sup>4</sup>Department of Electrical and Computer Engineering, University of New Brunswick, Fredericton, NB, Canada, <sup>5</sup>Centre for Robotics Research, Department of Informatics, King's College London, London, United Kingdom

\*Corresponding Author: Ernest N. Kamavuako, Centre for Robotics Research, Department of Informatics, King's College London, London, United Kingdom, Tel: +44 207 848 8666. E-mail: ernest.kamavuako@kcl.ac.uk outcome. Furthermore, training ANN on multiple days
might allow capturing time-dependent variability in the
EMG signals and thus minimizing the necessity for daily
system recalibration.

1

37 Index Terms— Electromyography; Pattern recognition;
 38 Classification; Myoelectric control; Prostheses;
 39 Intramuscular

#### 40 I. INTRODUCTION

41 Myoelectric control schemes use muscle contractions as 42 control signals to activate prostheses [1]. During the 43 electric contraction of muscles, the activity 44 (Electromyography, EMG) is detected from selected 45 residual limb muscles of an amputee [2]. Commercial 46 myoelectric control systems employ the relatively simple 47 approach of encoding the amplitude of the EMG signal 48 measured at one or more sites to actuate one or more 49 functions of a prosthesis [3]. Single-site controlled 50 myoelectric devices are used when limited number of 51 control sites (muscles) are available in a residual limb and 52 utilize single electrode to control both motions of paired 53 activity. Dual-site controlled myoelectric control scheme is 54 commonly used in clinics in transradial amputees. This 55 system utilizes separate electrodes for paired prosthetic 56 activity from antagonistic muscles (i.e. wrist flexor and 57 wrist extensor). When multiple degrees of freedom (DOF) 58 are to be controlled, sequential and mode switches are used, 59 allowing the same pair of electrodes to control a second 60 DoF. Switching mode is performed by a brief co-contraction 61 of the muscles or by a switch to toggle between different 62 functions of a prosthesis. Although these control schemes 63 are clinically and commercially viable option for 64 myoelectric prostheses, they do not provide intuitive and 65 simultaneous control of a device having multiple DOFs [3]. 66 This, among other reasons, make patient compliance to the 67 current prostheses low [4].

68 Pattern recognition (PR) schemes can be used to extract a 69 wealth of controllable information from the EMG. The key 70 assumptions of a PR myoelectric control are that repeatable 71 and distinctive signal patterns can be extracted from muscle 72 signals. These decoding algorithms have been used in 73 academia for several decades [5,6]. Since then significant 74 improvement has been made in these PR algorithms with the 75 advent of advanced signal processing techniques and highspeed embedded controllers. These systems are intended to 76 77 be more intuitive and control a greater number of DOFs

1 which should improve performance while keeping the 2 number of electrodes low. Furthermore, PR systems do not 3 require independent channels, which can sometimes be

4 impossible to locate due to small stump size.

5 In the context of PR of EMG signal, the first step involves

feature extraction from the different time windows. 6 7 Choosing a feature set is an important step as several studies 8 [7] have shown some feature are more representative of data 9 than others. These feature sets are then fed into the 10 classifiers for the recognition of the different hand motions. 11 The output of the classifier is used by the controller for the 12 actuation of prosthetic devices. The typical modern classification algorithms used in myoelectric control are: 13 14 Linear discriminant analysis(LDA) [8,9], Support vector 15 machine(SVM) [10,11,12], K-nearest neighbour(KNN) [13], artificial Artificial Neural Network (ANN) [14-15], 16 17 Bayesian classifiers [16], Gaussian mixture models [17], Fuzzy logic [18] and genetic algorithms [19]. It has been 18 19 demonstrated in these studies that if proper methods are 20 used, high classification accuracies (>95%) can be achieved on a dataset with multiple classes [20]. Despite these high 21 22 accuracies, only one prosthetic control system based on 23 pattern recognition is commercially available [21]. There are several factors which are preventing the implementation 24 25 of these systems outside laboratory conditions, such as adaptation over time, muscle fatigue and electrode shift in 26 27 offline settings [22,23,24].

28 The efficiency of classification algorithms is of utmost 29 priority as prosthetic control is implemented on low 30 performance embedded systems due to some constraints like 31 the size of residual limb and space available in a socket. 32 Many of these algorithms have been compared for shortterm EMG recordings [25,26]. Englehart et al. compared 33 34 the performances of LDA and MLP for four classes. LDA exhibited better a classification performance over MLP after 35 36 using a PCA reduced feature set [27]. Kaufmann et al 37 applied five PR schemes on 21 days of data from only one able-bodied subject to evaluate five classifiers (KNN, DT, 38 39 MLP, LDA, SVM) and found that the accuracy degrades with increasing time difference between training and testing 40 41 data, and drops gradually if not retrained for all algorithms 42 but the LDA [28]. On the same data set, Phinyomark et al. 43 found that LDA outperformed the rest of the seven 44 compared classifiers with an overlapped window size of 500 45 ms and increment of 125 ms [29]. Bellingegni et al. evaluated the maximum acceptable complexity of each 46 47 classifier, by using a constraint of a typically available memory of high-performance microcontroller [30]. It was 48 49 found that a non-logistic regression (NLR) provided the best 50 compromise between the complexity and the performance 51 followed by multiple layer perceptron (MLP). Recently, it has been shown that classification accuracies vary 52 53 significantly over time [31,32], as data recorded on one day 54 has different characteristics from data recorded on the other 55 day due to the real-world conditions mentioned above. The 56 central question is: why studies have focused on comparing 57 classifiers on the basis of their performance using short-term 58 scenarios while many other factors such as time can

influence their performances? Hence the choice of a 59 60 classifier should not be entirely based on performance and 61 computational load but on a trade-off between performance 62 and robustness over time. Moreover, limitation of surface 63 EMG suggests that combining a new control strategy by combining multiple channels from the surface and 64 65 intramuscular EMG can increase the amount of information harvested from the body [33]. The combined effect of 66 67 surface and intramuscular EMG could improve the 68 performance of selected classifiers.

69 Weir et al. developed first implantable myoelectric sensors 70 (IMES) for prosthesis control [34]. These electrodes were 71 intended to detect and wirelessly transmit EMG signals to 72 an electromechanical prosthetic hand via an electromagnetic 73 coil built into the prosthetic socket. This system was only tested on animals. Since then only a few researchers have 74 75 used IMES to achieve direct and simultaneous control of myoelectric prosthesis on humans. Such a control is not 76 77 possible by using conventional surface-based myoelectric 78 control [35,36,37]. The Myoelectric Implantable Recording 79 Array (MIRA) is other solution for future advanced 80 prostheses [38].

81 Intramuscular recordings have several advantages over surface EMG. The insertion of the intramuscular electrode 82 83 can acquire signals from the small and deep muscles 84 providing localized information, thereby greatly increasing the information to control a prosthetic device. Intramuscular 85 recordings also have limited crosstalk and are less affected 86 87 by factors such as skin impedance and precipitation [39], however, the selectivity of these recordings may constitute a 88 89 drawback.

90 Therefore, the aim of this study was to evaluate and 91 compare for the first time the longitudinal performance of 92 five classifiers; Linear Discriminant Analysis (LDA), Artificial Neural Network (ANN), Support Vector Machine 93 94 (SVM), Naive Bayes (NB), K-Nearest Neighbour (KNN) 95 and Decision Trees (TREE) over seven days for surface and intramuscular EMG recordings. The intention was to 96 97 provide insight into the behavior of the selected classifiers 98 with time as a robustness factor, an experimental design that 99 constitutes the novelty of this study. Intramuscular EMG 100 signals was recorded concurrently in an effort to increase 101 the information content. Intramuscular electrodes were kept 102 inside the muscles for seven days in ten able-bodied and six 103 trans-radial amputee subjects.

The rest of the paper is prepared as follows: in the next 104 105 section, the subjects, data collection, and experimental 106 procedure are presented. In Section III complete 107 experimental results with respect to different training and testing strategies are presented. In Section IV, a discussion 108 109 is given on the impact of the use of surface and intramuscular recordings and classification methods. 110 111 Finally, the conclusions are given in Section V.

## 112 II. EXPERIMENTAL METHODS

## 113 A. Subjects

114 Subjects were divided into two groups, one group

comprised of eight subjects who had transradial amputation 1 2 at different levels (all males, age range: 20-56 yrs., mean 3 age 26.56 yrs.) and the other group included 10 normally-4 limbed subjects who had no history of upper extremity 5 deformity or other musculoskeletal disorders (all male, age 6 range: 18-38 yrs., mean age 24.6 yrs.). Subjects were 7 informed about the experiment and their participation was 8 voluntary. They provided informed written consent and they 9 had the right to leave the experiment without providing an 10 explanation. Out of the eight inducted amputees, two left the 11 experiment (after first and third day) before the completion 12 of data collection and thus were excluded from data 13 analysis. The procedures were in accordance with the 14 Declaration of Helsinki and approved by the Aalborg University, Denmark local ethical committee approval 15 number N-20160021. 16

#### 17 B. Data Collection

18 EMG signals for 11 different motions were recorded from 19 the skin surface as well as from inside the muscles. Surface 20 EMG was recorded using bipolar Ag/AgCl electrodes 21 (Ambu WhiteSensor 0415M). According to the surface area 22 available on the residual limb, five to six surface bipolar 23 electrodes were placed at equal distance from each other 24 around the circumference of the forearm. Positions of 25 surface electrodes were marked each day with a skin maker, 26 to ensure correct placement of electrodes on the following day. Three to six bipolar wire electrodes were used to 27 28 record intramuscular EMG. These electrodes were inserted 29 to reside underneath each surface EMG electrode pair, 30 providing similar sites for surface EMG so intramuscular EMG could be recorded together with the surface EMG. 31 32 Intramuscular electrodes in amputees were inserted using a 33 B-mode ultrasound machine, whereas in healthy subjects, we relied on surface anatomy of the forearm for insertion. 34

35 Intramuscular wire electrodes were made of Teflon-36 coated stainless steel (A-M Systems, Carlsborg WA 37 diameter 50µm) and were inserted into each muscle with a sterilized 25-gauge hypodermic needle. Antiseptic measures 38 39 were used to minimize the risk of infection. Skin of subjects 40 was prepared by using 70% isopropyl alcohol before 41 inserting the needle. All the electrodes used were sterile and 42 unpacking of needle and electrodes took place using sterile 43 gloves. The needle was inserted to a depth of approximately 44 10-15 millimetres below the muscle fascia and then 45 removed to leave the wire electrodes inside the muscle. The insulated wires were cut to expose 3mm of wire from the tip 46 47 to maximize pickup area [40]. Intramuscular electrodes 48 were kept inside the muscles for seven days while surface 49 EMG electrodes were placed on a daily basis on the same 50 location, with the help of the marks placed on the skin on 51 the previous day.

52 After the electrodes had been inserted, a sterile bandage 53 was placed to cover all the insertion sites and only the tips 54 of the wires were left outside the bandage to allow 55 connection to the amplifiers. After each session, a second 56 bandage was placed to cover the wires before the subject

- 57 could leave the room, to minimize the risk of electrode58 displacement. The top bandage was removed to allow wire59 connections at the subsequent session. The bottom bandage
- $60\;$  was only removed after the completion of all sessions or if
- 61 the subject wished to withdraw from the experiment.
- 62 EMG signals were acquired using a commercial myoelectric
- amplifier (AnEMG12, OT Bioelletronica, Torino, Italy).
  Signals were analog bandpass filtered (10 500 Hz for
  surface EMG and 100 4400 Hz for intramuscular EMG),
  A/D converted using 16 bits (NI-DAQ PCI-6221), and
  sampled at 8 kHz. Recorded signals were amplified with the
  gain of 2000 for surface and 5000 for intramuscular EMG.
  A reference wristband electrode was placed on the opposite
- 70 hand close to the carpus.

## 71 C. Experimental Procedures

72 Subjects were prompted to execute comfortable and 73 sustainable contractions corresponding to 11 classes 74 containing 10 active motions: Hand Open (HO), Hand Close (HC), Wrist Flexion (WF), Wrist Extension(WE), 75 76 Pronation,(PRO) Supination(SUP), Side Grip (SG) (all 77 fingers are flexed around the object which is usually at a 78 right angle to the forearm and thumb is wrapped around the 79 object), Fine Grip (FG) (Metacarpophalangeal and proximal 80 inter-phalangeal joint of the fingers are flexed, thumb is 81 abducted and the distal joints of both are extended, bringing the pad of the thumb and finger together), Agree (AG) 82 83 (thumb abducted and fingers flexed, with thumb pointing in 84 upward direction), Pointer Grip (PG)(index finger is extended while middle, ring, and little fingers are flexed, 85 86 with the thumb in adducted position) and Resting state or no 87 motions (RT).

88 For data collection, BioPatRec [41], an open source 89 acquisition software was used. Data of four repetitions of 90 five seconds each were collected. One experimental session was conducted in one day. The complete duration of the 91 92 experimental session was around one hour. The time 93 interval between two experimental sessions on consecutive 94 days was approximately 24 hours. The amputee subjects had 95 never used a prosthesis, except for one subject who had 96 been using a body-powered prosthesis. Experimental 97 sessions were conducted for seven consecutive days.

98 During the experiment, over the course of seven days, 99 some of the intramuscular electrodes were pulled out. In 100 amputee subjects, about three electrodes remained in the 101 muscles and functioned properly for seven days. In normally limbed subjects, at minimum four intramuscular electrodes 102 103 remained inside muscles until day seven. Thus, data from 104 only functioning electrodes were used for analysis. The 105 number of surface channels used for analysis was reduced 106 accordingly on a per subject basis to allow a fair 107 comparison. Although absolute classification rates will be 108 reduced by eliminating channels, the time effect on 109 classification, the key element of this study, is the essential 110 observation. Therefore, the number of viable channels can 111 be considered a subject-specific parameter, and

1 consequently is embedded in the *subject* effect in the 2 statistical analysis.

### 3 D.Data Analysis

4 EMG surface signals were digitally high-pass filtered (third order Butterworth filtered) with a cut-off frequency of 5 20 Hz as well as low pass filtered with a cut-off frequency 6 7 of 500 Hz. A notch filter at 50 Hz was used to reduce power 8 line interferences. Intramuscular EMG signals were 9 digitally high-pass filtered (third order Butterworth filtered) 10 with a cut-off frequency of 100 Hz and low-pass filtered 11 with a cut-off frequency of 1500 Hz. From every five seconds of contraction time, one second was provided for 12 13 onset phase and one second for offset phase to avoid non-14 stationarity. Subsequently, three seconds of the steady-state phase was used for the extraction of features. Seven time-15 16 domain features were extracted from incrementing (by 35 ms) windows of 160 ms duration. These features were Mean 17 18 Absolute Value (MAV), Zero Crossings (ZC), Slope Sign 19 Changes (SSC), Willison Amplitude (WAMP), Waveform Length (WL), Myopulse Rate (MYOP) and Cardinality 20 21 (CARD).

22 Data with high dimensionality tend to be prone to 23 overfitting and loss of information as an overfitted model 24 can lead to classification errors [42]. PCA was used to 25 overcome the curse of dimensionality. The classification 26 error (ratio between misclassification and total classification) was used as a performance index. Within-day 27 28 classification error (WCE) was defined as training and 29 testing data on the same day. Four-fold cross-validation was 30 used to quantify WCE. Each fold comprised of assigning 31 one repetition of testing data and the remaining three 32 repetitions as training data; the mean of the four 33 classification errors was reported. To investigate the long-34 term effects on classification performance, classification 35 between days was computed on the corresponding seven days of data collection. Between-day classification error 36 37 (BCE) was defined as training and testing data from two 38 different days. BCE was quantified using a 7-fold validation 39 procedure where six days were used for training and one 40 day for testing. This was repeated seven times and the 41 results were averaged.

42 The analysis was carried out on each EMG type (surface 43 and intramuscular) and their combination. Feature vector 44 from training data was transformed into lower-dimensional subspace by application of principal component analysis 45 46 which has an effect of linearizing the discrimination tasks of 47 the classifier. Principal components contributing to 99% 48 variance, were used for classification purposes. To assign 49 the number of neurons used in the hidden layer of the 50 Artificial Neural Network, a comparison of the 51 classification error was performed. The classification error 52 was therefore compared to each subject with different 53 numbers of neurons going from 2 to 15. The net architecture 54 with highest classification accuracy was selected. To 55 implement K-NN, several architectures were implemented, varying the number of neighbours from 1 to 15 (only the 56 57 odd numbers). The criterion to select the optimal K-NN 58 configuration was the mean classification error. The net

59 architecture with highest classification accuracy was 60 selected.

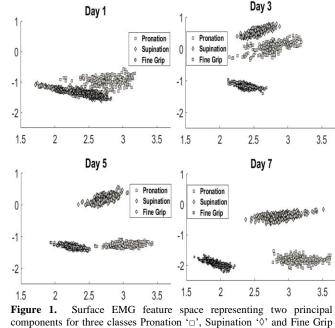
#### 61 E. Statistical Analysis

For overall performance based on classification 62 accuracies, a three-way repeated analysis of variance 63 64 (ANOVA) with factors signal types (surface, intramuscular and combined), Days (1-7) and Classifiers (TREE, NB, 65 KNN, SVM, LDA, and ANN) was used for comparison. A 66 two-way ANOVA was used to compare between within a 67 68 day classification error (WCE) and between days 69 classification error for the best performing classifier that 70 was ANN. P-values less than 0.05 were considered 71 significant.

## 72 III. RESULTS

#### 73 A. Feature Space with principal components

74 Figure 1 showed the geometrical changes in feature space 75 for first two principal components of three classes 76 (Pronation, Supination, and Fine Grip) on day one, three, five and seven in one amputee subject. Three classes were 77 78 used to exhibit changes in the genetic distance between 79 populations in 2-dimensional embedding over time. PCA 80 transformation ensures horizontal axis PC1 has the most 81 variation, vertical axis PC2 the second most. Factor scores 82 for both components improved over time distinctly for all 83 classes till days seven. On the first, a cloud of data 84 (Pronation, Supination and Fine Grip) could be seen. 85 Genetic distances between populations also increased by 86 day seven as three classes could be seen as individual class 87 showing adaptation of subject over time.



## 90 components for three classes Pronation $\Box$ , Supination $\diamond$ and Fine Grip 91 $\star$ in an amputee.

## 92 B. Within-Day Comparison

93 Three-way repeated ANOVA test showed significant
94 difference (P<0.001) between EMG types (surface,</li>
95 intramuscular and combined), Days (1-7), classifiers

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89

[Days\*Type],

5

[Type\*Classifiers] in able-bodied and amputees. 40 Classifiers: In amputees, no significant difference (95% of 41 CI [-1.52 0.23], [-0.75 1.00], [-0.10 1.65], P = 0.27, 0.99, 42 0.11) was found between KNN, SVM and NB. The 43 remaining classifiers were significantly different from each 44 other. ANN was best and TREE was the worst on (95% of 45 CI [20.60 22.35], P < 0.01). In able-bodied, no significant 46 difference (95% of CI [-0.83 0.31], P = 0.75) was found 47 between NB and SVM. The remaining classifiers were 48 significantly different from each other. ANN performed best 49 and TREE performed worst (95% of CI [14.90 16.05], P < 50 0.01). Days: In amputees, all days were significantly 51 different (P < 0.01) from each other except Day 2 and Day 4 52  $(95\% \text{ of CI } [-0.1.32 \ 0.64], P = 0.94).$  Day 7 was 53 significantly better P<0.01 than rest of the days. 54 In able-bodied, day five, six and seven were significantly 55 different from all other days. Day 2 and Day 3 found no 56 significance between each other (95% of CI [-0.69 0.58], P

(TREE, LDA, SVM, NB, KNN, ANN) and their

([Days\*classifier],

21 = 0.94). Day 7 was significantly better than Day 1 (95% of

22 CI [7.22 9.19],  $P \le 0.01$ )

23 Interactions between factor (type\*days), each 24 (type\*classifiers) and (days\*classifiers) found that type 25 (combined ANN), day (seven) and classifier (ANN) was 26 statistically better (P  $\leq 0.01$ ) than any other type, day and

27 classifier in amputees and able-bodied.

28 1) Surface EMG

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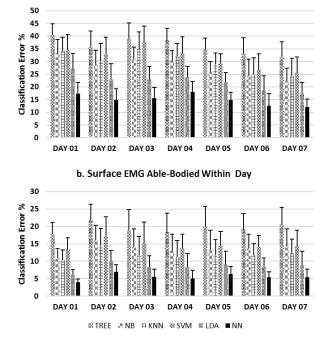
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interactions

29 The results of WCE across amputees and able-bodied 30 with surface EMG are summarized in Figure 2. Each group 31 represents the performance of all classifiers on each day for 32 seven consecutive days. On average, for all classifiers, 33

a. Surface EMG Amputees Within Day

WCE reduced consistently for seven consecutive days.



34 35 Figure 2. Mean classification error averaged across a. Amputees and b. 36 Able-bodied subjects with surface EMG for all classifiers (Decision Tree,

37 Naïve Bayes, K-Nearest Neighbour, Support Vector Machine, Linear 38 Discriminant Analysis, Artificial Neural Network) within a day.

- 39 Multiple comparisons revealed all classifiers were
- significantly (P<0.05) better than Decision trees in both
- amputees and able-bodied (WCE (40.76  $\pm$  4.01%, 17.83  $\pm$
- 3.22%) on the first day,  $(32.03 \pm 5.74 \%, 20.71 \pm 4.78 \%)$
- on the seventh day) respectively.
- In amputees, ANN outperformed (P<0.05) rest of the
- classifiers with error decreasing consistently until day seven to  $12.07 \pm 3.17$  %. No significant difference (P = 0.32) was found between KNN and SVM. A similar effect (P = 0.08) was seen between KNN and NB. Overall LDA and ANN
- showed a change of 9.31 % and 5.32 % respectively till the seventh day.

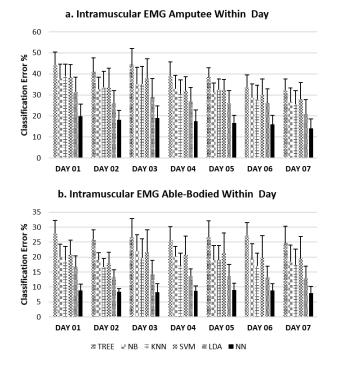
In able-bodied subjects, LDA and ANN outperformed (P<0.05) rest of the classifiers with error decreasing consistently until day seven to 8.81  $\pm$  4.05 % and 5.43  $\pm$ 2.37 %. No significant difference (P = 0.15) was found between KNN and SVM. Classification accuracy improved over time as Day 6 and 7 were significantly better than day 57 one to four.

#### 59 2) Intramuscular EMG

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60 Figure 3 shows the changes in WCE over seven days using intramuscular EMG for all subjects (able-bodied and 61 62 amputees). In amputees, Day 7 was significantly better 63 (P<0.05) than rest of the days implying learning and of implanted electrodes. 64 stabilization the ANN 65 outperformed (P<0.05) all other classifiers with WCE 14.15  $\pm$  4.54 % on the seventh day. Overall LDA and ANN 66 showed a change of 10.45 % and 5.83 % respectively till the 67 68 seventh day.

69 In able-bodied, ANN outperformed (P<0.05) rest of the 70 classifiers with 7.95  $\pm$  2.27 % error till the seventh day. All 71 classifiers were significantly different from each other



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Figure 3. Mean classification error averaged across a. Amputees and b.
 Able-bodied subjects with intramuscular EMG for all classifiers (Decision
 Tree, Naïve Bayes, K-Nearest Neighbour, Support Vector Machine, Linear

Tree, Naïve Bayes, K-Nearest Neighbour, Support Vector Machine, Linear
 Discriminant Analysis, Artificial Neural Network) within a day.

5 (P<0.05) expect SVM and NB (P = 0.86). Day 7 was

6 significantly better (P < 0.05) than Day 1. No significance

7 difference (P = 0.97, 0.62, 0.92) was found between Day 4,

8 5 and 6.

9 3) Combined EMG

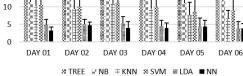
10 In combined EMG, attributes from the surface and 11 intramuscular EMG were combined to analyse the overall 12 change in performance of different classifiers (Figure 4). By 13 combining the attributes, significant improvement in WCE 14 performance was seen in all classifiers with respect to the 15 surface and intramuscular.

16 In amputees, ANN outperformed (P<0.05) rest of the 17 classifiers as error reduced to 7.44  $\pm$  3.17 % until the seventh day from  $11.70 \pm 4.41$  % on the first day. No 18 significant difference (P = 0.98, 0.63, 0.24) in performance 19 was observed between KNN (14.91  $\pm$  6.99%), SVM (14.32 20  $\pm$  6.26 %) and NB (16.77  $\pm$  5.05%). Overall KNN, SVM, 21 22 and NB showed a change of 14.01 %, 14.32 %, and 12.7 % 23 respectively until the seventh day. Day 7 was significantly 24 better (P<0.05) than rest of the days except Day 6 (P = 25 0.20).

In able-bodied, ANN in combined EMG outperformed all the classifiers implemented (P<0.05) with lowest classification error  $3.47 \pm 1.52\%$  until the seventh day. WCE for day five, six and seven were significantly (P<0.05) better than day two and three. Table 1 represents the

31 average WCE for able-bodied and amputees.

a. Combined EMG Amputee Within Day 45 40 Classification Error % 35 30 25 20 15 10 5 0 DAY 01 DAY 02 DAY 03 DAY 04 DAY 05 DAY 06 DAY 07 b. Combined EMG Able-Bodied Within Day 30 Classification Error % 25 20 15



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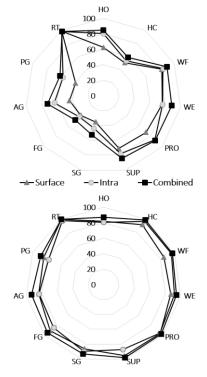
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**Figure 4.** Mean classification error averaged across a. Amputees and b. Able-bodied subjects with combined EMG for all classifiers (Decision Tree, Naïve Bayes, K-Nearest Neighbour, Support Vector Machine, Linear Discriminant Analysis, Artificial Neural Network) within a day.

38 Table 1. Average classification errors for seven days across all subjects.

ABLE-BODIED			
	SURFACE	INTRAMUSCULAR	COMBINED
TREE	$19.55 \pm 4.94$	26.36±6.63	$18.60 \pm 5.56$
NB	13.61±4.22	19.75±6.43	12.24±4.26
KNN	$11.98 \pm 4.29$	17.99±6.32	8.96±3.96
SVM	14.63±4.16	20.23±6.69	9.95±3.74
LDA	$8.468 \pm 3.74$	13.96±5.52	4.59±2.59
ANN	5.55±2.21	8.578±2.29	3.95±1.88
AMPUTEES			
	SURFACE	INTRAMUSCULAR	COMBINED
TREE	36.27±5.28	38.86±7.00	31.44±6.31
NB	27.99±5.16	32.14±7.21	23.41±5.74
KNN	29.94±5.54	32.04±7.58	21.95±6.58
SVM	31.39±5.86	33.18±7.75	21.29±6.10
LDA	22.13±4.86	26.64±6.43	14.49±4.46
ANN	15.08±3.59	17.35±4.85	9.70±2.63

Figure 5 depicts a representative average performance (LDA) for a poor amputee subject (top plot) with three inserted wires and a good amputee subject (bottom plot) with six inserted wires. It can be seen that certain classes (from the poor subject) were affected due to absence of electrodes in the anatomical position related to flexor muscles.



48 Figure 5. Class performance for a poor amputee subject (top) with three 49 inserted wires and a good amputee subject (bottom) with six inserted wires 50 using linear discriminant analysis. Performance is given for surface ( $\Delta$ ), 51 intramuscular ( $\circ$ ) and combined EMG ( $\Box$ ).

#### 52 B. Between Days Comparison

53 For overall performance based on BCE (Figure 6 a, b), two-54 way repeated measures analysis of variance (ANOVA) with 55 factors EMG signal types (surface, Intramuscular and 56 combined) and Classifiers, showed that combined EMG is 57 significantly (P<0.001) better than the surface and 58 intramuscular EMG. ANN was still the best classifier and its 59 performance was (P<0.001) significantly better than the rest

46

47

DAY 0

of the classifiers and TREE was the worst one. LDA was the
 second-best classifier significantly better than KNN, NB,
 and TREE.

4 1) Surface EMG

5 To investigate changes in signal characteristics during the 6 7-day experiment and its effect on pattern recognition based 7 control algorithms, all possible combinations between days 8 were analyzed. Figure 6 represents all possible 9 combinations of BCE for surface and intramuscular EMG 10 for seven functional motions in amputees and able-bodied. 11 BCE for both surface and intramuscular EMG improved 12 along the course of the experiment. For surface EMG, a 13 classifier trained on the data from the first day and tested on 14 the data from the second day showed BCE of 23.8% which reduced to 14.4% when the classifier was trained on the 15 16 data from the sixth day and tested on the data from the seventh day. Results indicated that performance 17 18 continuously improved for the system trained on the 19 previous day and tested on the next day, indicated by the 20 outlined cells. BCE in surface EMG reduced to (33.23  $\pm$ 21 8.27 % in amputees and 10.54  $\pm$  0.69 % in able-bodied) for 22 the classifier trained on the sixth day and tested on the 23 seventh day.

## 24 2) Intramuscular EMG

On average across all classifiers, the performance of
intramuscular EMG was lower than surface EMG.
Performance of ANN was significantly better (P<0.05) than</li>
rest of the classifiers. LDA was the second-best classifier
significantly better (P<0.05) than TREE and NB in both</li>
amputees and able-bodied.

In amputees, no significant difference (95% of CI [-3.09
8.60], P = 0.70) was found between TREE and NB.
Similarly, no significance was revealed in the comparison of
KNN and SVM (95% of CI [-2.98 8.71], P = 0.67). *3) Combined EMG*For the combined features from the surface and

37 intramuscular EMG, improvement in BCE performance was 38 observed in all classifiers except TREE with respect to the 39 surface and intramuscular. Performance of ANN (22.06  $\pm$ 40 2.25% in amputees,  $6.68 \pm 0.82$  % in able-bodied) was 41 significantly better (P<0.05) than rest of the classifiers. 42 Combined EMG showed improved BCE on LDA as it was 43 significantly better (P<0.05) than SVM, KNN, NB, and 44 TREE in amputees and able-bodied. Combined BCE which 45 outperformed both surface and intramuscular BCE and 46 reduced to  $(22.05 \pm 2.25 \%$  in amputees and  $6.68 \pm 0.82 \%$ 47 in able-bodied) for the classifier trained on the sixth day and 48 tested on the seventh day.

49 In amputees, KNN was significantly better (P<0.05) than

- 50 TREE but not different from NB (95% of CI [-5.40 8.34], P
- 51 = 0.98) and SVM ((95% of CI [-4.71 9.04], P = 0.92).

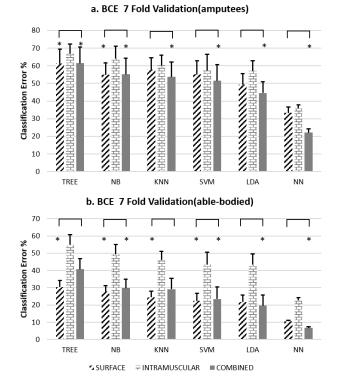


Figure 6. Changes in BCE (a. Amputees, b. Able-bodied) for all classifiers (Decision Tree, Naïve Bayes, K-Nearest Neighbour, Support Vector Machine, Linear Discriminant Analysis and Artificial Neural Network) and all type (surface, intramuscular and combined EMG).
Significant difference in types is represented by '\*'.

### 58 IV. DISCUSSION

59 There is an extensive discussion in the literature about 60 performance of classifiers, with each having variable number of amputees (trans-radial [43] or trans-humeral 61 62 [44], feature selection methods [45,46,47], features (Time 63 Domain [46, 48, 49], Frequency Domain [50, 51, 52] and 64 Time-Frequency Domain [53,27]), feature reduction 65 techniques [54, 20], classification parameters (no. Of 66 neurons, no of neighbours) [8,9,12,20,27] and number of 67 recruited subjects (healthy and amputees)[8,9,12]. But one 68 fundamental missing factor in these studies is their 69 performance over time for long-term usability assessment. 70 In this study, Classification performance of most adopted 71 classifiers for surface and intramuscular EMG signals were 72 evaluated for seven days and showed that within day 73 performances of classifiers may be similar but over time it 74 may lead to a substantially different outcome. Results have 75 indicated that subjects with upper limb amputation and able-76 bodied subjects can learn to produce discriminative 77 contractions which improved on successive days of training 78 and testing. Performance of classifiers varies within-day and 79 between days. For within day classification error (WCE), 80 ANN performed significantly (P<0.05) better than all other tested classifiers and its performance improved over time. 81 82 LDA is the most recommended classifier in the literature and accuracies up to 98% are reported in able-bodied 83 84 subjects for surface recording [20, 27, 49]. Accuracies in LDA method were obtained up to 96.1% per day for surface 85

EMG. TREE was the worst classifier with average
 classification error of 19.55% (Figure 4), previous studies
 reported low performance up to 30% classification error
 [55]. In general, the performance of each classifier was
 similar to previously reported results [53, 56].

Combined EMG was significantly better (P<0.05) than 6 7 the surface and intramuscular EMG as a combined feature 8 set improved the information level from muscles containing 9 both local and global content. By using implantable electrodes, signals from deep muscles can be extracted 10 11 which otherwise are not accessible or attenuated for surface 12 EMG. This is in agreement with [34] where it was shown that intramuscular and surface EMG have complementary 13 14 information.

15 Intramuscular signals provide independent control sites that can enable simultaneous and proportional control of 16 multiple DOF's [56]. The downside of this simultaneous 17 and proportional control is past pointing, isolating 1 DOF 18 19 targets and ballistic nature of movements during positioning 20 [56,57]. Since both acquisition types (surface and intramuscular) and their control schemes (sequential and 21 22 simultaneous) have limitations, a control scheme based on 23 both surface (isolate single DOF) and intramuscular (provide simultaneous and proportional control of multiple 24 25 DOF's) recordings could be devised for providing faster, 26 intuitive and natural control. The main drawback of such 27 implantable system would be the risk of infection and 28 securing stable position for electrodes over a longer period. 29 Wireless implantable systems [34,38] could be one of the 30 solutions to ensure stable and secure electrodes in deep and 31 superficial muscles. In the effort to mitigate the problems related to wireless technology, an gateway using osseo-32 33 integration has been proposed for long-term motor control 34 of artificial limbs [58].

35 As the performance of amputees continuously improved 36 with time, we anticipate that it may have improved further if 37 the duration of the experiment was increased. The trend of improvement for WCE in able-bodied subjects for all EMG 38 types (surface, intramuscular and combined EMG) was 39 similar to amputees; though the error rate was higher in 40 41 amputee subjects (Table1). The consistent improvement in 42 the performance (WCE) also describes the improvement in 43 the learning ability or the adaptation of the subjects. A daily 44 calibration of the system will still be needed for surface or 45 intramuscular EMG recordings because the BCE was higher 46 than WCE.

47 The poor performance between days has been one of the main challenges in the long-term use of pattern recognition 48 49 based myoelectric prostheses [31]. Variations in BCE were 50 analyzed by maximizing the amount of training data without 51 including any data from a testing day in a leave-one-day-out fashion. It was found that ANN performed best in 52 53 comparison to the other classifiers (Figure 6) for all EMG 54 types (surface, intramuscular and combined). The 55 comparison of BCE and WCE for the optimum classifier 56 (ANN) revealed that increasing the amount of training data 57 can significantly reduce BCE and might converge to WCE, 58 however, this may require the use of deep networks as

provided by deep learning architectures. The decrease in the 59 BCE performance implies that EMG characteristics change 60 61 and same motions may become uncorrelated over time 62 leading to the need to recalibrate or retrain the classifier. Nevertheless, we expect that training a network classifier on 63 64 multiple days will enable the possibility to capture the EMG 65 variabilities of each motion and thereby limit the necessity for system recalibration. 66

67 It should be noted that classifiers were compared for only an offline PR based myoelectric control system and it is not 68 69 known how well these algorithms would perform in real-70 time scenarios. Offline performance measures have been 71 challenged in many studies and the consensus is that they do 72 not provide a realistic measure of usability [59,60,61]. 73 Future work would focus on the long-term real-time testing including simultaneous and proportional control. Real-time 74 75 control using invasive EMG is feasible as already demonstrated by others [57,62,63]. One major factor about 76 77 the performance of intramuscular is related to the use of 78 wire electrodes connected at the skin surface to the 79 amplifier. This is a limitation that may signify to generalize 80 with care our results to all implantable systems. First, this 81 configuration caused wires to be pulled out and second, displacements in the implanted depth may have changed due 82 83 to the pulling force of connecting cables. Therefore, we 84 cannot guarantee that the implanted electrodes were 85 measuring from the same area throughout the seven days of the experiments. This is a limitation that is worth 86 87 mentioning because the results of future studies could be different. An efficient way of testing such system would be 88 89 to use wireless implantable sensors, but to date, they are not commercially available. Considering the specificity of the 90 91 intramuscular channels, the reduction in the number of 92 channels can result in poor classification performance for 93 certain classes. As shown in Figure 5, certain classes were 94 affected due to absence of electrodes in that anatomical 95 location. However, it should also be useful to note that the 96 removal of the surface EMG channels that correspond to the 97 failed intramuscular EMG channels causes a correlated 98 decrease in performance on the same classes. The 99 overarching point however, is that while the absence of 100 certain channels may be problematic in classifying specific 101 classes, this does not detract from the focus of this 102 experiment: the observation of the temporal effect upon 103 performance.

#### 104 V. CONCLUSION

105 The study presented a comparison of classification 106 algorithms using surface and intramuscular EMG signals for 107 myoelectric control of upper limb prosthesis. Within-day 108 performances in literature showed the near-perfect 109 performance of these algorithms 95% to 98%. Paper 110 investigated the behavior of the machine learning algorithms for longer periods with different training schemes of data. 111 112 Significant differences were found attributing differences in 113 each adopted classifier. Results showed that a classifier 114 having deep architecture is robust over time.

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