



Aalborg Universitet

AALBORG UNIVERSITY
DENMARK

Total knee replacement and non-surgical treatment of knee osteoarthritis

2-year outcome from two parallel randomized controlled trials

Skou, Søren T; Roos, Ewa M; Laursen, Mogens B; Rathleff, Michael S; Arendt-Nielsen, Lars; Rasmussen, Sten; Simonsen, Ole

Published in:
Osteoarthritis and Cartilage

DOI (link to publication from Publisher):
[10.1016/j.joca.2018.04.014](https://doi.org/10.1016/j.joca.2018.04.014)

Publication date:
2018

Document Version
Accepted author manuscript, peer reviewed version

[Link to publication from Aalborg University](#)

Citation for published version (APA):
Skou, S. T., Roos, E. M., Laursen, M. B., Rathleff, M. S., Arendt-Nielsen, L., Rasmussen, S., & Simonsen, O. (2018). Total knee replacement and non-surgical treatment of knee osteoarthritis: 2-year outcome from two parallel randomized controlled trials. *Osteoarthritis and Cartilage*, 26(9), 1170-1180 .
<https://doi.org/10.1016/j.joca.2018.04.014>

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- ? Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- ? You may not further distribute the material or use it for any profit-making activity or commercial gain
- ? You may freely distribute the URL identifying the publication in the public portal ?

Take down policy

If you believe that this document breaches copyright please contact us at vbn@aub.aau.dk providing details, and we will remove access to the work immediately and investigate your claim.

Accepted Manuscript

Total knee replacement and non-surgical treatment of knee osteoarthritis: 2-year outcome from two parallel randomized controlled trials

Søren T. Skou, PT, PhD, Ewa M. Roos, PT, PhD, Mogens B. Laursen, MD, PhD, Michael S. Rathleff, PT, PhD, Lars Arendt-Nielsen, PhD, DMSc, Sten Rasmussen, MD, PhD, Ole Simonsen, MD, DMSc

PII: S1063-4584(18)31221-4

DOI: [10.1016/j.joca.2018.04.014](https://doi.org/10.1016/j.joca.2018.04.014)

Reference: YJOCA 4224

To appear in: *Osteoarthritis and Cartilage*

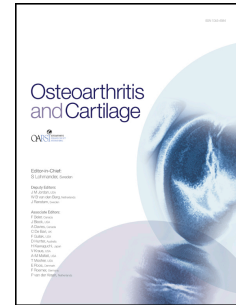
Received Date: 18 October 2017

Revised Date: 25 March 2018

Accepted Date: 20 April 2018

Please cite this article as: Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Rasmussen S, Simonsen O, Total knee replacement and non-surgical treatment of knee osteoarthritis: 2-year outcome from two parallel randomized controlled trials, *Osteoarthritis and Cartilage* (2018), doi: 10.1016/j.joca.2018.04.014.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



1 **Total knee replacement and non-surgical treatment of knee osteoarthritis: 2-year outcome**
2 **from two parallel randomized controlled trials**

3 Søren T. Skou, PT, PhD ^{*, †, ‡, §}; Ewa M. Roos, PT, PhD [†]; Mogens B. Laursen, MD, PhD ^{*, §, ||};
4 Michael S. Rathleff, PT, PhD ^{§, ¶}; Lars Arendt-Nielsen, PhD, DMSc [§]; Sten Rasmussen, MD, PhD
5 ^{*, §, ||}; Ole Simonsen, MD, DMSc ^{*, §, ||}

6 ^{*} Orthopedic Surgery Research Unit, Aalborg University Hospital, 9000 Aalborg, Denmark

7 [†] Research Unit for Musculoskeletal Function and Physiotherapy, Department of Sports Science and
8 Clinical Biomechanics, University of Southern Denmark, 5230 Odense, Denmark

9 [‡] Department of Physiotherapy and Occupational Therapy, Næstved-Slagelse-Ringsted Hospitals,
10 Region Zealand, 4200 Slagelse, Denmark

11 [§] Center for Sensory-Motor Interaction (SMI), Department of Health Science and Technology,
12 Faculty of Medicine, Aalborg University, 9220 Aalborg, Denmark

13 ^{||} Department of Clinical Medicine, Aalborg University, 9220 Aalborg, Denmark

14 [¶] Research Unit for General Practice in Aalborg, Department of Clinical Medicine, Aalborg
15 University, 9220 Aalborg, Denmark

16 **Corresponding Author and Reprint Requests:**

17 Søren Thorgaard Skou, stskou@health.sdu.dk

18 +45 23 70 86 40

19 Research Unit for Musculoskeletal Function and Physiotherapy, Department of Sports Science and
20 Clinical Biomechanics, University of Southern Denmark

21 55 Campusvej

22 DK-5230 Odense M

23 Manuscript: currently 3,994; Abstract: currently 250

24 **Running headline:** Knee replacement and non-surgical treatment of osteoarthritis

25

26 **ABSTRACT**

27 **Objectives:** To compare 2-year outcomes of total knee replacement (TKR) followed by non-
28 surgical treatment to that of non-surgical treatment alone and outcomes of the same non-surgical
29 treatment to that of written advice.

30 **Design:** In two randomized trials, 200 (mean age 66) adults with moderate to severe knee
31 osteoarthritis (OA), 100 eligible for TKR and 100 not eligible for TKR, were randomized to TKR
32 followed by non-surgical treatment, non-surgical treatment alone, or written advice. Non-surgical
33 treatment consisted of 12 weeks of supervised exercise, education, dietary advice, use of insoles,
34 and pain medication. The primary outcome was the mean score of the Knee Injury and
35 Osteoarthritis Outcome Score subscales, covering pain, symptoms, activities of daily living, and
36 quality of life.

37 **Results:** Patients randomized to TKR had greater improvements than patients randomized to non-
38 surgical treatment alone (difference of 18.3 points (95% CI; 11.3 to 25.3)), who in turn improved
39 more than patients randomized to written advice (difference of 7.0 points (95% CI; 0.4 to 13.5)).
40 Among patients eligible for TKR, 16 (32%) from the non-surgical group underwent TKR during 2
41 years and among those initially ineligible, seven patients (14%) from the non-surgical group and ten
42 (20%) from the written advice group underwent TKR.

43 **Conclusions:** TKR followed by non-surgical treatment is more effective on pain and function than
44 non-surgical treatment alone, which in turn is more effective than written advice. Two out of three
45 patients with moderate to severe knee OA eligible for TKR delayed surgery for at least 2 years
46 following non-surgical treatment.

47 **Trial registration:** ClinicalTrials.gov numbers NCT01410409 and NCT01535001.

48 **Keywords:** Osteoarthritis, Knee, Randomized controlled trial, Therapeutics, Knee Replacement

49

50 INTRODUCTION

51 Knee osteoarthritis (OA) is a leading contributor to the global burden of disease ¹. About 14 million
52 people in the US have symptomatic knee OA, more than half are younger than 65 years of age ²,
53 and OA is the second most common non-acute reason for seeking healthcare ³. The prevalence of
54 knee OA has increased substantially during the last 20 years ⁴ and is expected to continue to
55 increase ¹. As the total cost associated with treating OA has been estimated to be 1-2.5% of the
56 gross domestic product in the US and other westernized countries ⁵, an increased prevalence will
57 have extensive societal impact. Healthcare settings across the globe need to prepare for this increase
58 by strengthening the evidence base for different OA treatment strategies.

59 Patient education, exercise therapy, and weight control are recommended core treatments for all
60 patients with knee OA in most international guidelines ⁶. If needed, additional biomechanical and
61 pharmacological interventions can be prescribed, based on the characteristics and preferences of the
62 individual patient ^{7,8}. In patients with end-stage knee OA, total knee replacement (TKR) is an
63 effective treatment ⁹ although approximately 20% still have long-term pain after the surgery ¹⁰.
64 Until recently, no high quality trials had investigated the effectiveness of TKR despite a rapid
65 increase in TKR procedures each year ¹¹.

66 We previously reported the one-year results from a trial comparing the addition of TKR to non-
67 surgical treatment alone and a trial comparing the same non-surgical treatment to written advice
68 ^{12,13}. The two trials were similarly designed, used the same individualized supervised non-surgical
69 treatments and outcomes, and were conducted in parallel with patients recruited by the same
70 surgeons and sites ^{14,15}. Across trials, patients were of similar age and reported similar baseline pain

71 levels ¹⁶. The major differences were the patients' eligibility for TKR ^{14,15} and their radiographic
72 OA severity ¹⁶.

73 The purpose of this study was to report the 2-year outcomes from the two parallel trials. Combined
74 reporting of the two trials allowed more in-depth comparison of available treatment options, thereby
75 supporting evidence-informed shared decision-making. The three different treatment strategies
76 tested in patients with symptomatic knee OA ranged from a minimal intervention, written advice, to
77 a moderate, supervised non-surgical treatment, through to a maximal intervention of TKR followed
78 by supervised non-surgical treatment.

79

80 **METHODS**

81 **Trial design**

82 This paper reports the baseline to 2-year results from two two-arm parallel group assessor-blinded
83 RCTs (1:1 ratio) and conforms to the CONSORT statement for reporting RCTs ¹⁷.

84 Ethics approvals for this extended follow-up were obtained in the original protocol submitted to the
85 local Ethics Committee of The North Denmark Region (N-20110024 and N-20110085) and the
86 studies were registered at ClinicalTrials.gov (NCT01410409 and NCT01535001).

87 Full details about the process for recruitment, criteria for eligibility, the randomization procedure,
88 allocation concealment and detailed description of the interventions have been previously published
89 ^{14,15}.

90 **Randomization procedure and allocation concealment**

91 A priori, the randomization schedule was generated separately for the two trials in permuted blocks
92 of eight, stratified by site, and the allocation numbers were concealed in sealed, opaque envelopes
93 prepared by a staff member independent of the study. One research assistant at each site had access
94 to the envelopes, opening them only when informed consent and baseline outcomes had been
95 obtained.

96 **Participants**

97 Patients were recruited between September 2011 and December 2013 from the Department of
98 Orthopedics in the Northern Denmark Region, Denmark. Two hundred patients with symptomatic
99 knee OA considered eligible (n=100)¹⁴ or not eligible (n=100)¹⁵ for TKR were included in the
100 studies. All patients provided informed written consent before participation.

101 The two RCTs^{14,15} had two major, shared exclusion criteria: 1) mean pain the previous week above
102 60 mm on a 100 mm visual analogue scale, and 2) previous knee replacement on the same side.

103 The RCT randomizing to TKR in addition to non-surgical treatment¹² had two major inclusion
104 criteria: 1) considered eligible for TKR by the orthopedic surgeon - a decision among others factors
105 typically based on pain, function and radiographic severity⁹, and 2) diagnosed with radiographic
106 knee OA (Kellgren-Lawrence (K&L) score ≥ 2 on the original scale)¹⁸ and one additional major
107 exclusion criterion: 1) need for bilateral simultaneous TKR.

108 The RCT randomizing to non-surgical treatment or written advice¹³ had two major inclusion
109 criteria: 1) considered not eligible for TKR by the orthopedic surgeon, 2) diagnosed with
110 radiographic knee OA (K&L score ≥ 1 on the original scale)¹⁸ and one additional major exclusion
111 criterion: 1) a score more than 75 on the 0 (worst) to 100 (best) self-reported Knee Injury and
112 Osteoarthritis Outcome Score (KOOS)₄, defined as the average score for the subscale scores for
113 pain, symptoms, activities of daily living (ADL) and quality of life (QOL)¹⁹.

114 The major differences between patients in the two RCTs were their radiographic OA severity, level
115 of functional limitation and whether they were eligible for TKR or not, while they were of similar
116 age and had similar baseline pain intensity ¹⁶.

117 **Interventions**

118 One RCT randomized patients eligible for TKR to either TKR followed by supervised non-surgical
119 treatment or to supervised non-surgical treatment alone ¹⁴, while the other RCT randomized patients
120 not eligible for surgery to either supervised non-surgical treatment or to written advice (Figure 1) ¹⁵.
121 The content and administration mode of the supervised non-surgical treatment program was
122 identical in the three groups receiving that treatment, while the fourth group received written advice
123 only.

124 *******Figure 1 HERE*******

126 Total knee replacement

127 Surgical patients had a total cemented prosthesis with patellar resurfacing (NexGen, CR-Flex, fixed
128 bearing or LPS-Flex, fixed bearing, Zimmer, Warsaw, Indiana, USA), performed by high-volume
129 orthopedic specialists using surgical methods recommended by the manufacturer ²⁰.

131 Supervised non-surgical treatment

132 The 3-month individualized, non-surgical treatment program included exercise, patient education,
133 and insoles, while weight loss and/or pain medication were prescribed if indicated. The treatments
134 were delivered by physiotherapists and dieticians at Aalborg University Hospital, Denmark.

135 *Exercise*

136 The NEuroMuscular EXercise training program (NEMEX), previously demonstrated to be feasible
137 in patients with moderate to severe knee OA ²¹, was administered in 1-hour physiotherapist-
138 supervised group-based sessions twice weekly. The program focuses on building compensatory
139 functional stability and improving sensorimotor control and has different levels of difficulty for
140 each individual exercise ²¹. After 12 weeks of exercise, the patients underwent a transition period of
141 8 weeks, where the exercise program was increasingly performed at home to improve long-term
142 adherence.

143 *Patient education*

144 Two 60-minute group-based educational sessions were given, actively engaging the patients in their
145 treatment, which focused on disease characteristics, advice on treatment and self-help.

146

147 *Dietary advice*

148 Patients with a body mass index ≥ 25 at baseline consulted a dietician with the overall aim of
149 reducing body weight by at least 5% ²². The weight loss program was based on principles from
150 motivational interviewing ²³ and consisted of four individual 1-hour sessions.

151 *Insoles*

152 The patients received individually fitted full-length Formthotics Original Dual Medium (perforated)
153 insoles with medial arch support (Foot Science International, Christchurch, New Zealand). A 4°
154 lateral wedge was added to the insoles of patients with a knee-lateral-to-foot position (the knee

155 moves over or lateral to the 5th toe in three or more of five trials) as tested with the valid and
156 reliable Single Limb Mini Squat Test ²⁴.

157 *Pain medication*

158 Paracetamol 1 g four times daily, ibuprofen 400 mg three times daily, and pantoprazole 20 mg daily
159 were prescribed if indicated. The prescription was reassessed every 3 weeks and the patients were
160 instructed to contact the physiotherapist if they were uncertain about the need for continued pain
161 medication.

162 *Booster sessions*

163 After the 12-week intervention period and the 8-week transition period and until the 12-month
164 follow-up, a physiotherapist contacted the patients monthly by telephone to support exercise
165 adherence. Patients participating in the dietary intervention were telephoned twice (30-minute calls
166 26 and 39 weeks after initiating the non-surgical treatment) by the dietician to support dietary
167 adherence.

168 Written advice

169 Patients were given two standardized information leaflets: One with information on knee OA
170 etiology, symptoms, common functional limitations, recommended treatments and general advice
171 on how to address the symptoms, and the other, containing information on where to seek advice on
172 treatment and how to achieve a healthy lifestyle. This was considered usual care for patients with
173 knee OA at the time the study was conducted.

174

175 **Outcomes**

176 Baseline, 3, 6, 12 and 24 months follow-up visits took place at the Department of Occupational
177 Therapy and Physiotherapy, Aalborg University Hospital, Denmark. The assessor was specifically
178 trained in all aspects of the assessments, was blinded to treatment allocation and was not affiliated
179 with either treatment site. In the trial of TKR¹², to maintain blinding, all patients were asked to
180 cover the study knee with three layers of white elastic tape before meeting with the assessor,
181 thereby covering a potential surgical scar.

182 Primary outcome

183 The primary outcome was the between-group difference in change from baseline to 2-year follow-
184 up in KOOS₄, with scores ranging from 0 (worst) to 100 (best). KOOS₄ is the mean score of four
185 out of five KOOS subscales covering Pain, Symptoms, ADL and QOL, each consisting of multiple
186 items scored from 0-4 on a Likert scale^{25,26}. KOOS is a valid, reliable and responsive patient-
187 reported outcome measure for both short-term and long-term follow-up of patients with knee OA
188 and TKR¹⁹.

189 Secondary outcomes

190 Secondary outcomes included change from baseline to the 2-year follow-up in 1) the five KOOS
191 subscale scores (the fifth being Function in sport and recreation) to assist clinical interpretation of
192 the primary outcome (0-100; worst to best)²⁷; 2) time from the Timed Up-and-Go Test²⁸ and mean
193 time for two 20-meter walk tests (shorter time is better)²⁹; 3) weight (kg) measured without shoes
194 and outdoor clothing at the same time of day using the same scale (seca 813, Seca GmbH & Co.
195 Kg., Hamburg, Germany); and 4) type, dosage, and quantity of pain medication taken the previous
196 week. Intake was dichotomized into yes/no due to non-uniformity of the distribution of pain
197 medication intake.

198 Total knee replacements and revision surgery during follow-up

199 The number of patients undergoing TKR and revision surgery during follow-up was identified
200 through the hospital records and the Danish National Patient Registry, where all patient contacts
201 with public and private hospitals and clinics in Denmark are registered.

202

203 **Statistical analysis**

204 Sample size

205 For both studies, the sample size was based on the primary outcome KOOS₄^{25,26}. The sample size
206 needed to detect a 10-point difference (SD 14) between groups in KOOS₄ was 41 patients in each
207 group (power of 90% and p=0.05). To account for missing data a total of 100 patients were
208 randomized in both studies.

209 Two-year analyses

210 The analyses of the 2-year results followed the same procedure as the analyses of the two primary
211 reports^{12,13}. This procedure was pre-defined in the two statistical analysis plans, which were made
212 publically available before any analyses of the primary reports commenced^{30,31}. An independent
213 statistician performed all analyses.

214 All primary and secondary outcomes underwent intention-to-treat analyses. The intention-to-treat
215 population included those randomized to the two treatment arms of the respective trials (n=100 in
216 each trial). As the focus of this report was to investigate the effects of different treatment strategies
217 ranging from a minimal to a maximal intervention for patients with knee OA, no per-protocol
218 analyses are reported.

219 The analyses were performed separately for the two RCTs. Between-group comparisons of
220 treatment effect for all primary and secondary outcomes, except for pain medication, were

221 performed using a linear mixed effects model with patient as a random factor and follow-up time
222 (baseline, 3, 6, 12 and 24 months), treatment arm (TKR followed by non-surgical treatment, non-
223 surgical treatment)/(non-surgical treatment, written advice), site (Frederikshavn, Farsoe).

224 Interaction between follow-up and treatment arm were also included in the model. Crude and
225 adjusted (follow-up, site and interaction between follow-up and treatment arm) analyses were
226 performed. To assess superiority, mean between-group differences in changes from baseline and
227 two-sided 95% CI are presented. In the analyses of weight change following treatment, only
228 patients with a body mass index ≥ 25 at baseline were included, as they were the only ones offered
229 consultations with a dietician. A figure including data from all timepoints (baseline, 3, 6, 12 and 24
230 months) is presented to visualize change over time in KOOS₄ and the 20-meter walk test.

231 The relative risk of using pain medication was compared between groups using a modified Poisson
232 regression model with a robust error variance for the confidence intervals and accounting for
233 clustering at patient level ³².

234 Number needed to treat analyses were performed in both trials, estimating the number of people
235 who needed to undergo the evaluated treatment for one person to have a 15% improvement ^{33,34} in
236 KOOS₄ and the KOOS subscale scores, from baseline to the 2-year follow-up ^{35,36}.

237 A CI excluding 0 (1 for proportions) was considered sufficient to reject the null hypothesis and
238 conclude that there was a difference in treatment effect. . All analyses were carried out in Stata 14
239 (StataCorp, College Station, TX, USA).

240

241

242

243 **RESULTS**244 **Patient characteristics**

245 Baseline characteristics of the four groups of patients and patient flow are presented in Figure 2 and
246 Table 1, respectively.

247 *******Figure 2 HERE*******

248

249 *******Table 1 HERE** *****

250 In the trial of patients eligible for TKR where 100 patients were randomized, 2-year follow-up data
251 were available for 47/50 (94%) in the non-surgical treatment group and 43/50 (86%) in the TKR
252 followed by non-surgical treatment group. Administrative data revealed that 16 out of 50 patients
253 (32%) from the non-surgical treatment group had a TKR before the 2-year follow-up (mean
254 duration from initiating the non-surgical treatment (range) 8.7 (2.6 to 21.5) months); three patients
255 between 1 and 2 years). One of 50 patients in the TKR followed by non-surgical treatment group
256 decided not to undergo TKR. One patient in the TKR followed by non-surgical treatment group had
257 three revision surgeries ending up with the prosthesis being removed and the knee fused because of
258 deep infection. Three patients in the TKR followed by non-surgical treatment group and one patient
259 in the non-surgical treatment group, who had severe knee stiffness during the rehabilitation period
260 after TKR, required manipulation of the knee while they were under anesthesia. The mean follow-
261 up time after initiation of the non-surgical treatment was 24.0 and 24.3 months in the TKR followed
262 by non-surgical treatment group and the non-surgical treatment group, respectively.

263 In the trial of patients not eligible for TKR where 100 patients were randomized, 2-year follow-up
264 data were available for 46/50 (92%) in the supervised non-surgical treatment group and 42/50

265 (84%) in the written advice group. Seven patients (14%) from the supervised non-surgical treatment
266 group and ten (20%) from the written advice group had a TKR during the 2 years (mean duration
267 from being included in the trial (range) 12.5 (0.7 to 20.7) and 12.1 (range 3.4 to 19.4) months,
268 respectively). In the written advice group, one patient required manipulation of the knee under
269 anesthesia after TKR and one patient had arthroscopic partial synovectomy due to non-infectious
270 synovitis after TKR. The mean follow-up time after baseline was 24.9 and 24.5 months in the
271 supervised non-surgical treatment group and written advice group, respectively.

272

273 **Outcomes**

274 *Patients eligible for TKR*

275 The TKR followed by non-surgical treatment group had a greater adjusted improvement (95% CI)
276 of 18.3 (11.3 to 25.3) in KOOS₄ compared to the non-surgical treatment group (Figure 3 and Table
277 2). The TKR followed by non-surgical treatment group improved by 34.6 (28.4 to 40.8) in KOOS₄
278 from baseline to the 2-year follow-up, while the non-surgical treatment group improved by 16.1
279 (9.2 to 23.0).

280

281 *******Figure 3 HERE*******

282 *******Table 2 HERE*******

283

284 Furthermore, the TKR followed by non-surgical treatment group had greater improvements in all
285 secondary outcomes, except for weight, where the non-surgical treatment group had greater
286 improvements (Figure 4, Table 2-3).

287 *******Figure 4 HERE*******

288 ***** **Table 3 HERE** *****

289

290 4-5 patients would need to undergo TKR in addition to non-surgical treatment for one patient to
291 have a clinically-relevant improvement, i.e. a 15% improvement in KOOS₄ (Table 4).

292

293 ***** **Table 4 HERE** *****

294

295 *Patients not eligible for TKR*

296 The supervised non-surgical treatment group had a greater adjusted improvement (95% CI) of 7.0
297 (0.4 to 13.5) in KOOS₄ compared to the written advice group (Fig 3, Table 2). The supervised non-
298 surgical treatment group improved by 18.5 (13.0 to 24.0) in KOOS₄ from baseline to the 2-year
299 follow-up, while the written advice group improved by 11.6 (5.9 to 17.2).

300 Furthermore, the supervised non-surgical treatment group had greater improvements in KOOS
301 subscale ADL (Fig 4, Table 2-3). 8 patients would need to undergo the non-surgical treatment for
302 one patient to have a clinically-relevant improvement, i.e. a 15% improvement in KOOS₄ (Table 4).

303

304

305 **DISCUSSION**

306 This report of two parallel RCTs showed that TKR followed by supervised non-surgical treatment
307 (maximal intervention) resulted in twice the improvement in pain and function compared to a
308 strategy of supervised non-surgical treatment with the option of TKR later (moderate intervention),
309 which, in turn, resulted in a 60% greater improvement than a strategy of written advice (minimal
310 intervention) after 2 years. Two out of three patients with moderate to severe knee OA eligible for
311 TKR delayed surgery for at least 2 years following supervised non-surgical treatment.

312 Our finding of similar baseline pain levels between the two RCTs ¹⁶ confirms previous findings of a
313 large overlap in preoperative symptoms among patients found eligible or not eligible for TKR ^{37,38}.
314 On the other hand, we found that patients eligible for TKR had worse function and more severe
315 radiographic OA ¹⁶. These findings underline the complexity associated with deciding on a
316 treatment strategy matching the individual patient and their preferences ^{16,39} and the resulting lack
317 of consensus about the indications for TKR ^{9,40,41}.

318 The minimal important change is difficult to define and varies with methodological approach,
319 patient characteristics and interventions undertaken ^{42,43} with more invasive and costly procedures,
320 such as surgery, potentially requiring a larger improvement to represent a clinically meaningful
321 improvement. In this study, we chose an operational cut-off of 15% to compare the proportions with
322 clinically important improvements ^{33,34}. We found that at 2 years, more than half the patients had
323 improved 15%, regardless of the intervention. This finding suggests that a variety of treatments
324 might be beneficial for patients with knee OA with symptoms severe enough to consult with an
325 orthopedic surgeon. As expected, the proportion of patients who improved was the lowest for
326 written advice (57%), increased for supervised non-surgical management (70% and 64%,

327 respectively) and was the highest for patients receiving TKR in addition to supervised non-surgical
328 management where 86% reported an improvement of at least 15% at 2 years.

329 All treatment groups, including the written advice group, improved gradually from baseline to the
330 1-year follow-up. Although pain and functional limitations were still present in all groups,
331 especially in patients who had not undergone TKR, our results confirmed the expected outcomes
332 after TKR, and we found the short-term non-surgical treatments and written advice were still
333 effective after 2 years. The average improvements from non-surgical treatment and written advice
334 were sustained from 1 to 2 years, with only one out of three found eligible for surgery at baseline
335 opting for TKR during the 2-year follow-up period, compared to 17% of patients found not eligible.
336 Our results are consistent with previous studies demonstrating larger long-term improvements from
337 a combined non-surgical treatment of exercise and education compared to usual care³³, and
338 exercise and weight loss compared to either intervention alone⁴⁴ or usual care⁴⁵.

339 Comorbidities are common in patients with OA^{46,47} and therefore treatments potentially able to
340 modify risk factors for diabetes, cardiovascular disease and other comorbidities, such as body
341 weight and intake of pain medication, may be preferable. Our results were conflicting concerning
342 modification of risk factors. Those randomized to TKR had a weight gain of 2.7 kg but only half the
343 risk of taking pain medication during the previous week compared to those randomized to
344 supervised non-surgical management alone. While the non-surgical treatment group consequently
345 had approximately twice the risk of taking pain medication the previous week, their weight loss was
346 maintained with a 2.2 kg reduction at 2 years.

347 Shared-decision making processes should include both benefits and harms from the potential
348 treatment options. We found that patients undergoing TKR had a higher risk of experiencing knee-
349 related serious adverse events compared to patients having non-surgical management only (8 vs. 0

350 events in the as-treated analysis), including four manipulation under anesthesia due to knee
351 stiffness, three deep venous thromboses requiring anticoagulant treatment and one deep infection¹².
352 Importantly, the rate of serious adverse events in our study should be evaluated with caution due to
353 the small sample size. However, the finding supports current treatment guidelines for knee OA,
354 including patients with symptoms severe enough to consult with an orthopedic surgeon, suggesting
355 a stepwise approach starting with patient education, exercise and weight loss if needed, progressing
356 to additional treatment such as analgesics and finally surgery if sufficient pain relief and functional
357 improvement is not achieved^{7,48} to balance treatment effects and the potential for harms.

358

359 **Strengths and limitations**

360 As both trials had mean pain the previous week above 60 mm on a 100 mm visual analogue scale as
361 an exclusion criteria, our results cannot be generalized to all patients seen by the orthopedic
362 surgeon. However, 42% of patients eligible for TKR in our trial reported pain higher than 60 mm
363 when asked about worst pain during the previous 24 hours at baseline. Furthermore, the mean
364 KOOS Pain subscale score in our trial of patients eligible for TKR of 49 is comparable to a number
365 of previous clinical studies evaluating pain severity prior to TKR^{38,49,50}. Twelve percent of patients
366 eligible for TKR had mild radiographic OA severity (K&L of 2), which is similar to previous
367 clinical cohorts of patients eligible for TKR demonstrating that 9-12% of patients found eligible for
368 TKR have mild OA^{38,51,52}. Altogether, this suggests that our results can be generalized to the
369 majority of the knee OA population referred to a surgeon.

370 The majority of the pain relief in OA treatment studies is attributable to placebo or contextual
371 factors and not the specific effects from the treatments given^{53,54}. Furthermore, invasive
372 procedures, such as TKR, have a stronger placebo effect than less invasive, such as pain medication

373 and exercise⁵⁵. As such, our trials would have benefitted from including groups receiving placebo
374 treatments, including sham surgery. A strength of our study is however that we included objective
375 tests of physical function, which are less prone to placebo effects than patient-reported outcomes,
376 that largely confirmed the primary between-group findings. The analysis of weight change at 2
377 years only included patients with a body-mass index of 25 or higher at baseline, as they were the
378 only ones offered consultations with a dietician. As the randomization was not stratified on body-
379 mass index, this might affect the results on weight change. Finally, since the non-surgical treatment
380 strategy included a multimodal treatment approach, identifying the effect from the individual
381 treatments is not possible. On the other hand, the multi-modal approach resembles current treatment
382 guidelines^{7,8} thereby increasing the applicability of our results to clinical practice, but more
383 controlled trials are recommended to investigate which of the individual interventions combined in
384 the non-surgical regimes provide the most benefit and which do not.

385

386 CONCLUSIONS

387 TKR followed by supervised non-surgical treatment (maximal intervention) resulted in twice the
388 improvement in pain and function after 2 years compared with non-surgical treatment with the
389 option of TKR later (moderate intervention) in patients with knee OA eligible for TKR. Applying
390 the same supervised non-surgical treatment (moderate intervention) in patients with knee OA not
391 eligible for TKR resulted in a 60% greater improvement than written advice (minimal intervention).
392 Two out of three patients with moderate to severe knee OA eligible for TKR delayed surgery for at
393 least 2 years following non-surgical treatment. Physicians, surgeons and patients are encouraged to
394 discuss benefits and harms of both surgical and non-surgical treatment options to optimize timing of
395 available treatment options to meet the preferences and expectations of the individual patient.

396 **ACKNOWLEDGEMENTS**

397 We thank Prof. Jonas Ranstam (Lund University and Skåne University Hospital, Lund, Sweden) for
398 statistical advice; Martin Berg Johansen, M.Sc. (Department of Clinical Medicine, Aalborg
399 University, Denmark) for statistical advice and performing the statistical analyses; the orthopedic
400 surgeons and other health care personnel from the Department of Orthopedic Surgery, Aalborg
401 University Hospital, for their involvement in the recruitment of patients for the two studies; the
402 Department of Occupational Therapy and Physiotherapy, Aalborg University Hospital, Denmark,
403 for allowing us to use their facilities for the treatment and outcome assessments; project workers
404 Anders Bundgaard Lind, Anders Norge Jensen, Anna Emilie Livbjerg, Dorte Rasmussen, Helle
405 Mohr Brøcher, Henriette Duve, Janus Duus Christiansen, Josephine Nielsen, Kate Mcgirr, Lasse
406 Lengsø, Lonneke Hjerimitslev, Malene Daugaard, Maria Helena Odefey, Mette Bøgedal, Mikkel
407 Simonsen, Niels Balslev, Rikke Elholm Jensen, and Svend Lyhne for helping with administrative
408 tasks, data collection, data entry, and treatment; Medical secretary Anette Frstrup for extracting
409 data from hospital records; and Economist Ole Dahl, Aalborg University Hospital for extracting
410 data on knee replacements from the National Patient Registry; Christian Lund Straszek, Research
411 unit for general practice in Aalborg for helping with the submission process. Finally, the study
412 funders and patients participating should be acknowledged, because without their participation, it
413 would not have been possible to conduct the trials.

414 **AUTHOR CONTRIBUTIONS**

415 **Study conception and design.** Skou, Roos, Laursen, Rathleff, Arendt-Nielsen, Rasmussen,
416 Simonsen

417 **Recruitment of patients:** Laursen, Simonsen.

418 **Acquisition of data.** Skou.

419 **Analysis and interpretation of data.** Skou, Roos, Laursen, Rathleff, Arendt-Nielsen, Rasmussen,
420 Simonsen

421 **Drafting the article or revising it critically for important intellectual content.** Skou, Roos,
422 Laursen, Rathleff, Arendt-Nielsen, Rasmussen, Simonsen

423 **Final approval of the article.** Skou, Roos, Laursen, Rathleff, Arendt-Nielsen, Rasmussen,
424 Simonsen

425 All authors had full access to all the data (including statistical reports and tables) in the study and
426 take responsibility for the integrity of the data and the accuracy of the data analysis.

427

428

429 **FUNDING/SUPPORT**

430 The trials were partially funded by The Danish Rheumatism Association, The Health Science
431 Foundation of North Denmark Region, Obel Family Foundation, Foot Science International, Spar
432 Nord Foundation, The Bevica Foundation, The Association of Danish Physiotherapists Research
433 Fund, Medical Specialist Heinrich Kopp's Grant, and The Danish Medical Association Research
434 Fund.

435 Søren Thorgaard Skou is currently supported by the Danish Council for Independent Research
436 (DFR – 6110-00045) and the Lundbeck Foundation.

437

438 **ROLE OF THE FUNDER/SPONSOR**

439 The funders played no role in the design and conduct of the study; collection, management,
440 analysis, and interpretation of the data; and preparation, review, or approval of the manuscript or
441 decision to submit the manuscript for publication.

442

443 **COMPETING INTEREST**

444 Dr. Roos is deputy editor of Osteoarthritis and Cartilage, the developer of Knee injury and
445 Osteoarthritis Outcome Score (KOOS) and several other freely available patient-reported outcome
446 measures and co-founder of Good Life with Osteoarthritis in Denmark (GLA:D), a not-for profit
447 initiative hosted at University of Southern Denmark aimed at implementing clinical guidelines for
448 osteoarthritis in clinical practice.

449 Dr. Skou is associate editor of Journal of Orthopaedic & Sports Physical Therapy, have received
450 grants from The Lundbeck Foundation, personal fees from Munksgaard, all outside the submitted
451 work. He is co-founder of GLA:D. GLA:D is a not-for profit initiative hosted at University of
452 Southern Denmark aimed at implementing clinical guidelines for osteoarthritis in clinical practice.

453 The authors report no other conflict of interest.

454

455

456

457

458

459

460

461

462

463

464

465

466

467 **REFERENCES**

- 468 1. Cross M, Smith E, Hoy D, Nolte S, Ackerman I, Fransen M, et al. The global burden of hip and knee
469 osteoarthritis: estimates from the global burden of disease 2010 study. *Ann Rheum Dis*.
470 2014;73:1323-1330.
- 471 2. Deshpande BR, Katz JN, Solomon DH, Yelin EH, Hunter DJ, Messier SP, et al. Number of Persons
472 With Symptomatic Knee Osteoarthritis in the US: Impact of Race and Ethnicity, Age, Sex, and
473 Obesity. *Arthritis Care Res (Hoboken)*. 2016;68:1743-1750.
- 474 3. St Sauver JL, Warner DO, Yawn BP, Jacobson DJ, McGree ME, Pankratz JJ, et al. Why patients visit
475 their doctors: assessing the most prevalent conditions in a defined American population. *Mayo Clin*
476 *Proc*. 2013;88:56-67.
- 477 4. Nguyen US, Zhang Y, Zhu Y, Niu J, Zhang B, Felson DT. Increasing prevalence of knee pain and
478 symptomatic knee osteoarthritis: survey and cohort data. *Ann Intern Med*. 2011;155:725-32.
- 479 5. March LM, Bachmeier CJ. Economics of osteoarthritis: a global perspective. *Baillieres Clin*
480 *Rheumatol*. 1997;11:817-834.
- 481 6. Nelson AE, Allen KD, Golightly YM, Goode AP, Jordan JM. A systematic review of recommendations
482 and guidelines for the management of osteoarthritis: The chronic osteoarthritis management
483 initiative of the U.S. bone and joint initiative. *Semin Arthritis Rheum*. 2014;43:701-712.
- 484 7. McAlindon TE, Bannuru RR, Sullivan MC, Arden NK, Berenbaum F, Bierma-Zeinstra SM, et al. OARSI
485 guidelines for the non-surgical management of knee osteoarthritis. *Osteoarthritis Cartilage*.
486 2014;22:363-388.
- 487 8. Fernandes L, Hagen KB, Bijlsma JW, Andreassen O, Christensen P, Conaghan PG, et al. EULAR
488 recommendations for the non-pharmacological core management of hip and knee osteoarthritis.
489 *Ann Rheum Dis*. 2013;72(7):1125-1135.
- 490 9. Carr AJ, Robertsson O, Graves S, Price AJ, Arden NK, Judge A, et al. Knee replacement. *Lancet*.
491 2012;379:1331-1340.
- 492 10. Beswick AD, Wylde V, Gooberman-Hill R, Blom A, Dieppe P. What proportion of patients report
493 long-term pain after total hip or knee replacement for osteoarthritis? A systematic review of
494 prospective studies in unselected patients. *BMJ open*. 2012;2:e000435.
- 495 11. Singh JA, Vessely MB, Harmsen WS, Schleck CD, Melton LJ, 3rd, Kurland RL, et al. A population-
496 based study of trends in the use of total hip and total knee arthroplasty, 1969-2008. *Mayo Clin*
497 *Proc*. 2010;85:898-904.
- 498 12. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. A Randomized,
499 Controlled Trial of Total Knee Replacement. *N Engl J Med*. 2015;373:1597-1606.
- 500 13. Skou ST, Rasmussen S, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. The efficacy
501 of 12 weeks non-surgical treatment for patients not eligible for total knee replacement: a
502 randomized controlled trial with 1-year follow-up. *Osteoarthritis Cartilage*. 2015;23:1465-1475.
- 503 14. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen OH, et al. Total knee
504 replacement plus physical and medical therapy or treatment with physical and medical therapy
505 alone: A randomised controlled trial in patients with knee osteoarthritis (the MEDIC-study). *BMC*
506 *Musculoskelet Disord*. 2012;13:67.
- 507 15. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. Efficacy of
508 multimodal, systematic non-surgical treatment of knee osteoarthritis for patients not eligible for a
509 total knee replacement: a study protocol of a randomised controlled trial. *BMJ Open*.
510 2012;2:10.1136/bmjopen-2012-002168.
- 511 16. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. Criteria used
512 when deciding on eligibility for total knee arthroplasty – between thinking and doing. *Knee*.
513 2016;23:6.

- 514 17. Moher D, Hopewell S, Schulz KF, Montori V, Gotzsche PC, Devereaux PJ, et al. CONSORT 2010
515 explanation and elaboration: updated guidelines for reporting parallel group randomised trials.
516 BMJ. 2010;340:c869.
- 517 18. Schiphof D, de Klerk BM, Kerkhof HJ, Hofman A, Koes BW, Boers M, et al. Impact of different
518 descriptions of the Kellgren and Lawrence classification criteria on the diagnosis of knee
519 osteoarthritis. *Ann Rheum Dis*. 2011;70:1422-1427.
- 520 19. Collins NJ, Prinsen CA, Christensen R, Bartels EM, Terwee CB, Roos EM. Knee Injury and
521 Osteoarthritis Outcome Score (KOOS): systematic review and meta-analysis of measurement
522 properties. *Osteoarthritis Cartilage*. 2016;24:1317-1329.
- 523 20. Endres S. High-flexion versus conventional total knee arthroplasty: a 5-year study. *J Orthop Surg*
524 (Hong Kong). 2011;19:226-229.
- 525 21. Ageberg E, Link A, Roos EM. Feasibility of neuromuscular training in patients with severe hip or
526 knee OA: the individualized goal-based NEMEX-TJR training program. *BMC Musculoskelet Disord*.
527 2010;11:126.
- 528 22. Christensen R, Bartels EM, Astrup A, Bliddal H. Effect of weight reduction in obese patients
529 diagnosed with knee osteoarthritis: a systematic review and meta-analysis. *Ann Rheum Dis*.
530 2007;66:433-439.
- 531 23. Miller WR, Rollnick S. Motivational interviewing: preparing people for change. New York: Guilford
532 Press; 2002.
- 533 24. Ageberg E, Bennell KL, Hunt MA, Simic M, Roos EM, Creaby MW. Validity and inter-rater reliability
534 of medio-lateral knee motion observed during a single-limb mini squat. *BMC Musculoskelet Disord*.
535 2010;11:265.
- 536 25. Roos EM, Roos HP, Lohmander LS, Ekdahl C, Beynon BD. Knee Injury and Osteoarthritis Outcome
537 Score (KOOS)--development of a self-administered outcome measure. *J Orthop Sports Phys Ther*.
538 1998;28:88-96.
- 539 26. Roos EM, Toksvig-Larsen S. Knee injury and Osteoarthritis Outcome Score (KOOS) - validation and
540 comparison to the WOMAC in total knee replacement. *Health Qual Life Outcomes*. 2003;1:17.
- 541 27. Roos EM, Engelhart L, Ranstam J, Anderson AF, Irrgang JJ, Marx RG, et al. ICRS Recommendation
542 Document : Patient-Reported Outcome Instruments for Use in Patients with Articular Cartilage
543 Defects. *Cartilage*. 2011;2:122-136.
- 544 28. Podsiadlo D, Richardson S. The timed "Up & Go": a test of basic functional mobility for frail elderly
545 persons. *Journal of the American Geriatrics Society*. 1991;39:142-148.
- 546 29. White DK, Zhang Y, Niu J, Keysor JJ, Nevitt MC, Lewis CE, et al. Do worsening knee radiographs
547 mean greater chances of severe functional limitation? *Arthritis Care Res (Hoboken)*. 2010;62:1433-
548 1439.
- 549 30. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. Statistical analysis
550 plan (SAP) for MEDIC: Total knee replacement plus physical and medical therapy or treatment with
551 physical and medical therapy alone: a randomised controlled trial in patients with knee
552 osteoarthritis (the MEDIC-study). Aalborg, Denmark: Aalborg University Hospital; 2014. Available
553 from: [http://vbn.aau.dk/da/publications/statistical-analysis-plan-sap-for-medic\(120b4fb2-c21a-
554 47f4-9255-ec9851a59f55\).html](http://vbn.aau.dk/da/publications/statistical-analysis-plan-sap-for-medic(120b4fb2-c21a-47f4-9255-ec9851a59f55).html).
- 555 31. Skou ST, Roos EM, Laursen MB, Rathleff MS, Arendt-Nielsen L, Simonsen O, et al. Statistical analysis
556 plan (SAP) for MEDIC2: The combined efficacy of a 12-week treatment program of neuromuscular
557 exercise, patient education, diet, insoles and medicine as treatment of knee osteoarthritis for
558 patients not eligible for a total knee replacement: a randomized controlled trial. Aalborg, Denmark:
559 Aalborg University Hospital; 2014. Available from: [http://vbn.aau.dk/da/publications/statistical-
560 analysis-plan-sap-for-medic2\(3e75f67a-4333-439a-bb0b-b8e21bc19fb1\).html](http://vbn.aau.dk/da/publications/statistical-analysis-plan-sap-for-medic2(3e75f67a-4333-439a-bb0b-b8e21bc19fb1).html).
- 561 32. Zou G. A modified poisson regression approach to prospective studies with binary data. *Am J*
562 *Epidemiol*. 2004;159:702-706.

- 563 33. Hurley MV, Walsh NE, Mitchell H, Nicholas J, Patel A. Long-term outcomes and costs of an
564 integrated rehabilitation program for chronic knee pain: a pragmatic, cluster randomized,
565 controlled trial. *Arthritis Care Res (Hoboken)*. 2012;64:238-247.
- 566 34. Villadsen A, Overgaard S, Holsgaard-Larsen A, Christensen R, Roos EM. Immediate efficacy of
567 neuromuscular exercise in patients with severe osteoarthritis of the hip or knee: a secondary
568 analysis from a randomized controlled trial. *J Rheumatol*. 2014;41:1385-1394.
- 569 35. Osiri M, Suarez-Almazor ME, Wells GA, Robinson V, Tugwell P. Number needed to treat (NNT):
570 implication in rheumatology clinical practice. *Annals of the Rheumatic Diseases* 2003;62:316-21.
- 571 36. Altman DG. Confidence intervals for the number needed to treat. *BMJ* 1998;317:1309-12.
- 572 37. Ackerman IN, Dieppe PA, March LM, Roos EM, Nilsson AK, Brown GC, et al. Variation in age and
573 physical status prior to total knee and hip replacement surgery: a comparison of centers in
574 Australia and Europe. *Arthritis Rheum*. 2009;61:166-173.
- 575 38. Gossec L, Paternotte S, Maillfert JF, Combesure C, Conaghan PG, Davis AM, et al. The role of pain
576 and functional impairment in the decision to recommend total joint replacement in hip and knee
577 osteoarthritis: an international cross-sectional study of 1909 patients. Report of the OARSI-
578 OMERACT Task Force on total joint replacement. *Osteoarthritis Cartilage*. 2011;19(2):147-154.
- 579 39. Mandl LA. Determining who should be referred for total hip and knee replacements. *Nat Rev*
580 *Rheumatol*. 2013;9:351-357.
- 581 40. Wright JG, Hawker GA, Hudak PL, Croxford R, Glazier RH, Mahomed NN, et al. Variability in
582 physician opinions about the indications for knee arthroplasty. *J Arthroplasty*. 2011;26:569-575.e1.
- 583 41. Troelsen A, Schroder H, Husted H. Opinions among Danish knee surgeons about indications to
584 perform total knee replacement showed considerable variation. *Dan Med J*. 2012;59:A4490.
- 585 42. King MT. A point of minimal important difference (MID): a critique of terminology and methods.
586 *Expert Rev Pharmacoecon Outcomes Res*. 2011;11:171-184.
- 587 43. Mills KA, Naylor JM, Eyles JP, Roos EM, Hunter DJ. Examining the Minimal Important Difference of
588 Patient-reported Outcome Measures for Individuals with Knee Osteoarthritis: A Model Using the
589 Knee Injury and Osteoarthritis Outcome Score. *J Rheumatol*. 2016;43:395-404.
- 590 44. Messier SP, Mihalko SL, Legault C, Miller GD, Nicklas BJ, DeVita P, et al. Effects of intensive diet and
591 exercise on knee joint loads, inflammation, and clinical outcomes among overweight and obese
592 adults with knee osteoarthritis: the IDEA randomized clinical trial. *JAMA*. 2013;310:1263-1273.
- 593 45. Messier SP, Loeser RF, Miller GD, Morgan TM, Rejeski WJ, Sevick MA, et al. Exercise and dietary
594 weight loss in overweight and obese older adults with knee osteoarthritis: the Arthritis, Diet, and
595 Activity Promotion Trial. *Arthritis Rheum*. 2004;50:1501-1510.
- 596 46. Kadam UT, Jordan K, Croft PR. Clinical comorbidity in patients with osteoarthritis: a case-control
597 study of general practice consultants in England and Wales. *Ann Rheum Dis*. 2004;63(4):408-414.
- 598 47. Wesseling J, Welsing PM, Bierma-Zeinstra SM, Dekker J, Gorter KJ, Kloppenburg M, et al. Impact of
599 self-reported comorbidity on physical and mental health status in early symptomatic osteoarthritis:
600 the CHECK (Cohort Hip and Cohort Knee) study. *Rheumatology (Oxford)*. 2013;52:180-188.
- 601 48. Roos EM, Juhl CB. Osteoarthritis 2012 year in review: rehabilitation and outcomes. *Osteoarthritis*
602 *Cartilage*. 2012;20:1477-1483.
- 603 49. Keurentjes JC, Fiocco M, So-Osman C, Onstenk R, Koopman-Van Gemert AW, Poll RG, et al. Patients
604 with severe radiographic osteoarthritis have a better prognosis in physical functioning after hip and
605 knee replacement: a cohort-study. *PLoS One*. 2013;8:e59500.
- 606 50. Wise BL, Niu J, Felson DT, Hietpas J, Sadosky A, Torner J, et al. Functional Impairment Is a Risk
607 Factor for Knee Replacement in the Multicenter Osteoarthritis Study. *Clin Orthop Relat Res*.
608 2015;473:2505-2513.
- 609 51. Terwee CB, van der Slikke RM, van Lummel RC, Benink RJ, Meijers WG, de Vet HC. Self-reported
610 physical functioning was more influenced by pain than performance-based physical functioning in
611 knee-osteoarthritis patients. *J Clin Epidemiol*. 2006;59:724-731.

- 612 52. Escobar A, Quintana JM, Bilbao A, Azkarate J, Guenaga JI, Arenaza JC, et al. Effect of patient
613 characteristics on reported outcomes after total knee replacement. *Rheumatology (Oxford)*.
614 2007;46:112-119.
- 615 53. Zou K, Wong J, Abdullah N, Chen X, Smith T, Doherty M, et al. Examination of overall treatment
616 effect and the proportion attributable to contextual effect in osteoarthritis: meta-analysis of
617 randomised controlled trials. *Ann Rheum Dis*. 2016;75:1964-1970
- 618 54. Bannuru RR, McAlindon TE, Sullivan MC, Wong JB, Kent DM, Schmid CH. Effectiveness and
619 Implications of Alternative Placebo Treatments: A Systematic Review and Network Meta-analysis of
620 Osteoarthritis Trials. *Ann Intern Med*. 2015;163:365-372.
- 621 55. Kaptchuk TJ, Goldman P, Stone DA, Stason WB. Do medical devices have enhanced placebo effects?
622 *J Clin Epidemiol*. 2000;53:786-792.

623

624

625

626

627

628

629

630

631

632

633

634

635

636

637

638

639 **FIGURE LEGENDS**

640

641 **Figure 1.** Interventions in the two randomized controlled trials

642 **Figure 2. Flow of patients in the randomized controlled trial of patients eligible (a) and not**
643 **eligible (b) for total knee replacement.** TKR=Total knee replacement; K-L score= Kellgren-
644 Lawrence score; KOOS₄=The average score for the subscale scores for pain, symptoms, activities
645 of daily living and quality of life from the Knee injury and Osteoarthritis Outcome Score,
646 VAS=Visual Analogue Scale.

647 **Figure 3.** Mean score from the primary outcome of the Knee injury and Osteoarthritis Outcome
648 Score (KOOS₄; 0-100; worst to best scale) covering Pain, other Symptoms, Function in daily living
649 (ADL), and knee-related Quality of life (QOL)) at baseline and at 3, 6, 12 and 24 months follow-
650 ups for all four groups from the two randomized controlled trials. TKR: Total knee replacement. *
651 Indicates differences in change from baseline to 24 months between the TKR followed by non-
652 surgical group and the non-surgical only group, and between the non-surgical group and the written
653 advice group, respectively. Data from 3, 6 and 12 months are from the primary reports.^{12,13}

654 **Figure 4.** Mean time (sec) in the 20-meter walk test at baseline and at 3, 6, 12 and 24 months
655 follow-ups for all four groups from the two randomized controlled trials. TKR: Total knee
656 replacement. * Indicates differences in change from baseline to 24 months between the TKR
657 followed by non-surgical group and the non-surgical only group. The difference in change from
658 baseline to 24 months between the non-surgical group and the written advice group did not reach
659 statistical significance ($p = 0.056$). Data from 3, 6 and 12 months are from the primary reports.^{12,13}

660

661

662

663

664

665

666

667

668

669

670 **Table 1. Baseline characteristics for patients eligible (n=100) and not eligible (n=100) for total knee replacement (TKR) ^a**

Baseline characteristics	Patients eligible for TKR		Patients not eligible for TKR	
	TKR followed by non-surgical group	Non-surgical group	Non-surgical group	Written advice group
Women, n (%)	32 (64)	30 (60)	26 (52)	25 (50)
Age (years), mean (SD)	65.8 (8.7)	67.0 (8.7)	64.8 (8.7)	67.1 (9.1)
Body Mass Index, mean (SD)	32.3 (6.2)	32.0 (5.8)	30.6 (5.6)	29.4 (5.2)
Bilateral knee pain, n (%)	18 (36)	17 (34)	18 (36)	21 (42)
Radiographic knee OA severity (Kellgren-Lawrence), n (%)				
Grade 1	0 (0)	0 (0)	7 (14)	11 (22)
Grade 2	7 (14)	5 (10)	13 (26)	15 (30)
Grade 3	21 (42)	21 (42)	13 (26)	10 (20)
Grade 4	22 (44)	24 (48)	17 (34)	14 (28)
KOOS scores				
KOOS ₄	47.4 (13.4)	48.5 (11.4)	48.9 (11.8)	53.2 (12.1)
Pain	48.6 (17.5)	49.5 (13.1)	51.6 (14.3)	53.6 (13.7)
Symptoms	54.0 (15.0)	58.3 (15.2)	54.6 (15.9)	59.5 (18.3)
ADL	55.0 (17.0)	53.5 (14.2)	55.5 (17.1)	60.4 (16.4)
Sport/Rec	18.0 (14.7)	16.7 (15.1)	24.5 (18.2)	23.0 (16.5)
QOL	32.3 (15.3)	32.7 (13.3)	34.0 (12.4)	39.5 (14.5)
Time (s) from the Timed Up and Go test	9.4 (2.4)	8.6 (2.1)	7.8 (2.3)	8.1 (2.5)
Time (s) from the 20-meter walk test	13.4 (3.7)	12.2 (2.6)	10.9 (2.3)	11.0 (2.4)
Used pain medication in the last week, n (%)	33 (67)	29 (58)	32 (64)	30 (60)
^a Radiographic severity: Radiographic knee osteoarthritis severity on the Kellgren-Lawrence scale; KOOS ₄ : The mean score of four out of five of the Knee injury and Osteoarthritis Outcome Score subscales covering Pain, Symptoms, Function in daily living (ADL) and Quality of life (QOL), with scores ranging from 0 to 100 (worst to best scale); Sport/Rec: Function in sport and recreation.				

671

672

673 Table 2. Outcomes at 2 years for patients eligible (n=100) and not eligible (n=100) for total knee replacement (TKR)^a

Outcome	Patients eligible for TKR				Patients not eligible for TKR			
	Mean Improvement (95% CI)		Between-Group Difference in Mean Improvement (95% CI)		Mean Improvement (95% CI)		Between-Group Difference in Mean Improvement (95% CI)	
	TKR followed by non-surgical group	Non-surgical group	Crude	Adjusted	Non-surgical group	Written advice group	Crude	Adjusted
Primary outcome								
KOOS ₄	34.6 (28.4 to 40.8)	16.1 (9.2 to 23.0)	18.3 (11.4 to 25.3)	18.3 (11.3 to 25.3)	18.5 (13.0 to 24.0)	11.6 (5.9 to 17.2)	7.0 (0.4 to 13.5)	7.0 (0.4 to 13.5)
Secondary outcomes								
KOOS subscales								
Pain	36.2 (28.8 to 43.7)	18.9 (11.2 to 26.6)	17.3 (9.1 to 25.5)	17.3 (9.1 to 25.5)	20.0 (14.0 to 26.0)	14.2 (7.8 to 20.5)	5.8 (-1.8 to 13.5)	5.8 (-1.8 to 13.5)
Symptoms	29.0 (23.3 to 34.7)	12.8 (5.6 to 20.0)	16.3 (9.0 to 23.6)	16.3 (9.0 to 23.6)	15.8 (9.1 to 22.4)	11.7 (5.6 to 17.7)	4.1 (-3.1 to 11.3)	4.1 (-3.1 to 11.4)
ADL	30.4 (23.6 to 37.2)	14.9 (7.7 to 22.1)	15.1 (7.6 to 22.6)	15.1 (7.5 to 22.6)	19.6 (13.5 to 25.7)	9.5 (2.1 to 16.8)	10.1 (2.8 to 17.5)	10.1 (2.7 to 17.5)
Sport/Rec	39.2 (31.9 to 46.5)	20.3 (10.4 to 30.2)	18.1 (8.7 to 27.5)	18.1 (8.7 to 27.6)	13.8 (5.4 to 22.2)	18.9 (11.4 to 26.4)	5.1 (-4.0 to 14.3)	5.1 (-4.1 to 14.2)
QOL	42.3 (34.0 to 50.6)	17.8 (9.8 to 25.8)	24.1 (15.7 to 32.6)	24.1 (15.6 to 32.6)	18.8 (12.4 to 25.1)	11.0 (4.2 to 17.8)	7.7 (-0.1 to 15.6)	7.7 (-0.2 to 15.6)
Timed Up-and-Go test (s)	-3.1 (-3.8 to -2.3)	-1.5 (-2.1 to -0.9)	1.5 (0.7 to 2.3)	1.5 (0.7 to 2.3)	-1.3 (-1.8 to -0.7)	-1.2 (-1.6 to -0.7)	0.1 (-0.7 to 0.9)	0.1 (-0.7 to 0.9)
20-meter walk test (s)	-3.2 (-4.1 to -2.3)	-1.0 (-1.7 to -0.2)	2.2 (1.2 to 3.2)	2.2 (1.2 to 3.2)	-1.1 (-1.6 to -0.7)	-0.6 (-1.4 to 0.1)	0.5 (-0.4 to 1.4)	0.5 (-0.4 to 1.4)
Weight (kg)	2.7 (-2.9 to 8.2)	-2.2 (-3.5 to -0.8)	4.8 (2.2 to 7.5)	.8 (2.2 to 7.5)	-1.1 (-2.7 to 0.5)	-1.6 (-3.2 to -0.1)	0.5 (-1.0 to 1.9)	0.5 (-1.0 to 2.0)

^a Total knee replacement (TKR): KOOS₄: The mean score of four out of five of the Knee injury and Osteoarthritis Outcome Score subscales covering Pain, Symptoms, Function in daily living (ADL) and Quality of life (QOL), with scores ranging from 0 to 100 (worst to best scale); Sport/Rec: Function in sport and recreation. The results were adjusted for time of follow-up (baseline, 3, 6, 12 and 24 months), site (Frederikshavn or Farsoe) and the interaction between time of follow-up and treatment arm; Data for weight is presented only for patients with a body-mass index of 25 or higher at baseline (39 patients in the TKR followed by non-surgical group, 43 patients in the non-surgical group eligible for TKR, 42 patients in the non-surgical

group not eligible for TKR and 37 in the written advice group).

674

ACCEPTED MANUSCRIPT

675 **Table 3. Usage of pain medication at 2 years ^a**

Outcome	Patients eligible for TKR		Patients not eligible for TKR	
	TKR followed by non-surgical group	Non-surgical group	Non-surgical group	Usual care group
Proportion of users of pain medication ¹				
Baseline	0.67 (0.53 to 0.79)	0.60 (0.46 to 0.73)	0.64 (0.50 to 0.76)	0.60 (0.46 to 0.73)
24 months	0.26 (0.15 to 0.41)	0.49 (0.35 to 0.63)	0.41 (0.28 to 0.56)	0.52 (0.37 to 0.67)
Risk ratio for taking pain medication at 24 months vs. baseline				
Adjusted estimate	0.38 (0.22 to 0.64)	0.82 (0.57 to 1.17)	0.65 (0.45 to 0.93)	0.88 (0.65 to 1.19)
Risk ratio for taking pain medication at 24 months in non-surgical group vs. TKR followed by non-surgical group and written advice group vs. non-surgical group				
Adjusted estimate	1.91 (1.06 to 3.44)		1.28 (0.82 to 2.00)	
^a User of pain medication was defined as participant taking pain medication of any kind on a regular basis during the previous week; the estimates were adjusted for site; the crude estimate was similar to the adjusted estimate (data not shown).				

676

677

678

679

680

681

682

683

684

685

686

687

688

689

690 **Table 4. Improvements of at least 15% and Number Needed to Treat (NNT) ^a**

Outcome	Patients eligible for TKR			Patients not eligible for TKR		
	Proportion improving at least 15% in TKR followed by non-surgical group (95% CI)	Proportion improving at least 15% in non-surgical group (95% CI)	NNTB (95% CI)	Proportion improving at least 15% in non-surgical group (95% CI)	Proportion improving at least 15% in written advice group (95% CI)	NNTB (95% CI)
KOOS ₄ from baseline to 2 years	0.86 (0.72 to 0.94)	0.64 (0.49 to 0.76)	4.5 (2.5 to 19.9)	0.70 (0.55 to 0.81)	0.57 (0.42 to 0.71)	8.0 (NNTB 3.1 to ∞ to NNTH 13.2)
Mean change in KOOS subscales score						
Pain	0.84 (0.69 to 0.92)	0.70 (0.55 to 0.82)	7.4 (NNTB 3.3 to ∞ to NNTH 27.8)	0.67 (0.52 to 0.80)	0.60 (0.44 to 0.73)	12.7 (NNTB 3.6 to ∞ to NNTH 8.2)
Symptoms	0.79 (0.64 to 0.89)	0.55 (0.41 to 0.69)	4.2 (2.4 to 19.8)	0.65 (0.50 to 0.78)	0.52 (0.37 to 0.67)	7.8 (NNTB 3.0 to ∞ to NNTH 13.2)
ADL	0.81 (0.67 to 0.91)	0.64 (0.49 to 0.76)	5.7 (NNTB 2.8 to ∞ to NNTH 230.4)	0.63 (0.48 to 0.76)	0.50 (0.35 to 0.65)	7.7 (NNTB 3.0 to ∞ to NNTH 13.3)
Sport/Rec	0.93 (0.80 to 0.98)	0.66 (0.51 to 0.78)	3.7 (2.3 to 8.7)	0.63 (0.48 to 0.76)	0.86 (0.71 to 0.94)	-4.4 (-19.4 to -2.5)
QOL	0.88 (0.74 to 0.95)	0.66 (0.51 to 0.78)	4.5 (2.6 to 17.2)	0.76 (0.61 to 0.86)	0.67 (0.51 to 0.79)	10.6 (NNTB 3.5 to ∞ to NNTH 10.6)
^a KOOS ₄ : The mean score of four out of five of the Knee injury and Osteoarthritis Outcome Score subscales covering Pain, Symptoms, Function in daily living (ADL) and Quality of life (QOL), with scores ranging from 0 to 100 (worst to best scale); Sport/Rec: Function in sport and recreation; NNT was estimated using the formula $1/(IER - CER)$, with IER being the event rate (proportion of responders, i.e., patients improving at least 15%) in the TKR followed by non-surgical group/the non-surgical group and CER the event rate in the non-surgical group/written advice group, with 95% CIs derived from the reciprocal transformation of the CIs for the difference in proportions ^{35,36} ; CIs that include both positive and negative values can be difficult to interpret. To address this, NNTB (NNT Benefit) and NNTH (NNT Harms) were used, if the 95% CI included both positive and negative						

values (e.g. a 95% CI going from 4 to -9 would be NNTB 4 to ∞ to NNTH 9).

691

ACCEPTED MANUSCRIPT

