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Article

Bayesian Based Diagnostic Model for Condition Based Maintenance of Offshore Wind Farms

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Abstract: Operation and maintenance costs are a major contributor to the Levelized Cost of Energy for electricity produced by offshore wind and can be significantly reduced if existing corrective actions are performed as efficiently as possible and if future corrective actions are avoided by performing sufficient preventive actions. This paper presents an applied and generic diagnostic model for fault detection and condition based maintenance of offshore wind components. The diagnostic model is based on two probabilistic matrices; first, a confidence matrix, representing the probability of detection using each fault detection method, and second, a diagnosis matrix, representing the individual outcome of each fault detection method. Once the confidence and diagnosis matrices of a component are defined, the individual diagnoses of each fault detection method are combined into a final verdict on the fault state of that component. Furthermore, this paper introduces a Bayesian updating model based on observations collected by inspections to decrease the uncertainty of initial confidence matrix. The framework and implementation of the presented diagnostic model are further explained within a case study for a wind turbine component based on vibration, temperature, and oil particle fault detection methods. The last part of the paper will have a discussion of the case study results and present conclusions.

Keywords: diagnostic; condition based maintenance; offshore wind; O&M; confidence matrix; diagnosis matrix; Bayesian updating; vibration; temperature; oil particle

1. Introduction

By the end of 2016 wind energy with 153.7 GW installed capacity was the second largest power generation capacity in Europe [\[1\]](#page-15-0). The majority (91.6%) of installed wind capacity in Europe is currently in the form of onshore wind; however, recent rapid cost reductions within offshore wind has motivated European governments to shift their focus into more and more offshore wind development tenders [\[1\]](#page-15-0). The offshore wind can be considered as a solid and sustainable business case only if the Levelized Cost of Energy (LCoE) produced by offshore wind is reduced to its minimum. The LCoE of offshore wind farms can be reduced by decreasing their CAPEX and OPEX, and increasing their energy yield.

The purpose of this paper is to provide a contribution to OPEX reduction and energy yield increase of offshore wind farms by means of operation and maintenance (O&M) cost reductions. It is possible to reduce the O&M costs of offshore wind farms if optimal O&M planning and decision models for optimization of O&M resources and work orders are used, and future component failures are avoided. In the past decades, several studies on O&M planning and optimization of offshore wind farms are carried out. In [\[2\]](#page-15-1), an extensive overview on 246 mainly academic studies focused on O&M planning and optimization of wind energy assets published in the period 1997 to 2016 is given. Earlier studies on offshore wind O&M optimization are typically based on long-term averaging of O&M costs. In [\[3\]](#page-15-2), an overview on mean-value and Monte Carlo based O&M cost models for long-term O&M optimization of offshore wind farms is given, see $[4-9]$ $[4-9]$ for illustrative case studies on offshore wind O&M cost models.

In recent years, more advanced models such as Markov, Petri net, Bayesian, and Artificial Intelligence (AI) models are used in O&M optimization of wind energy assets. In [\[10\]](#page-15-5), the application of Markov models for downtime reduction and in [\[11\]](#page-15-6), the application of Markov models for O&M optimization of wind turbines are discussed. In [\[12](#page-15-7)[–14\]](#page-15-8), the O&M modelling and optimization of wind turbines based on Petri nets is investigated. In [\[15–](#page-16-0)[18\]](#page-16-1) and Section [2.4](#page-5-0) of this paper, the application of Bayesian risk-based methods for optimal O&M planning of offshore wind turbines is discussed. Furthermore, in [\[19,](#page-16-2)[20\]](#page-16-3), the application of Artificial Neural Networks (ANNs) for O&M optimization of wind turbines and in [\[21\]](#page-16-4), intelligent maintenance planning of wind energy assets based on a combination of AI models are studied.

Next to optimal O&M planning and decision models, avoiding future component failures will reduce the O&M costs of offshore wind farms. The majority of O&M costs of offshore wind farms is due to unplanned failures of wind farm components, and subsequently their corrective maintenance effort [\[6\]](#page-15-9). The O&M costs can be significantly reduced if the faults of wind farm components can be predicted (before they occur) or be detected (as soon as they occur and before they lead to a complete failure). The focus of this paper is only fault detection of components and not their fault prediction. In [\[22\]](#page-16-5), an overview of applicable prognostic models for offshore wind turbines is give, see [\[23,](#page-16-6)[24\]](#page-16-7), [\[25](#page-16-8)[–28\]](#page-16-9) for illustrative case studies on fault prediction of wind turbine components.

The state-of-the-art and future trends of fault detection and condition monitoring of wind turbine components are extensively reviewed in [\[29](#page-16-10)[–39\]](#page-17-0). In [\[29\]](#page-16-10), a systematic review on applicable fault detection techniques for main wind turbine components is given. In [\[30–](#page-16-11)[32\]](#page-16-12), specific diagnostic techniques for low-speed machinery, gearbox and bearings are discussed respectively. In [\[33\]](#page-16-13), a thorough introduction into vibration and SCADA based fault detection methods is given and based on a cost-benefit analysis a recommended scope of condition monitoring for wind turbines is discussed. In [\[34\]](#page-16-14), applicable fault detection methods for each failure mode of each main system and sub-component of wind turbines are discussed. In [\[35\]](#page-16-15), an overview of condition monitoring sensors and applicable signal processing methods is given and a fault tree for critical failure modes of wind turbine components is briefly discussed. In [\[36\]](#page-16-16), a survey of 23 commercial condition monitoring systems is discussed, and it is concluded that future condition monitoring systems should be applicable to all wind turbine types capable of reliable and low-cost fault detection of all mechanical and electrical sub-components. In [\[37\]](#page-16-17), applicable fault detection methods for main systems of wind turbines are briefly discussed, and it is concluded that future studies should conduct more research on multi-agent fault detection methods. In [\[38\]](#page-17-1), applicable condition monitoring and fault detection methods for both main systems and sub-components of wind turbines within several illustrative examples are discussed. In [\[39\]](#page-17-0), condition monitoring benefit for offshore wind turbines based on a variety probabilistic fault detection methods is quantified.

In [\[40\]](#page-17-2), an overview on failure frequency and maintenance effort of offshore wind turbine components is given. As shown in Figure [1,](#page-3-0) based on failure data over a five-year period from 350 offshore wind turbines, authors in [\[40\]](#page-17-2) have concluded that on average an offshore wind turbine has 8.3 failures per year, of which 6.2 are minor repairs, 1.1 are major repairs and 0.3 are major replacements, while 0.7 failures per turbine per year have no cost data so cannot be categorized.

Figure 1. Failure frequency of offshore wind turbine components categorized by their maintenance **Figure 1.** Failure frequency of offshore wind turbine components categorized by their maintenance effort [40]. effort [\[40\]](#page-17-2). riguie 1. 1

As highlighted in [36,37], existing academic literature pays limited attention to generic and As highlighted in [\[36,](#page-16-16)[37\]](#page-16-17), existing academic literature pays limited attention to generic and applied diagnostic models applicable for real-time fault detection of all mechanical and electrical wind
Contract and Times Times of the contract of th farm components. This paper introduces a generic diagnostic model applicable for all electrical and mechanical wind turbines and the balance of plant components of an offshore wind farm. The following will discuss first the probabilistic confidence and diagnosis matrices required for this diagnostic model, and then present a case study for condition based maintenance of offshore wind components. The last section contains a discussion of the case study results and presents conclusions.

2. Diagnostic Model 2. Diagnostic Model

According to EN 13306:2010 [41], fault is the "*state of an item characterized by inability to perform a* According to EN 13306:2010 [\[41\]](#page-17-3), fault is the "*state of an item characterized by inability to perform a* required function, excluding the inability during preventive maintenance or other planned actions, or due to lack of external resources". Faults in wind farm components are usually pre-existing, meaning that there is an opportunity to de[te](#page-3-1)ct faults before they lead to a failure. Figure 2 illustrates the state of a component c positive with undetected faults leading to failure and detected faults leading to condition based mediatements with undetected faults leading to failure and detected faults leading to condition based maintenance.
. According to EN 13306:2010 [41], fault is the "*state of an item characterized by inability to perform a recording to EIN 15506.2010* [41], fault is the *state of an item characterized by mability to perform a*

Figure 2. State of a component with undetected faults (leading to failure) and detected faults (leading to to condition based maintenance). to condition based maintenance). condition based maintenance).

Diagnostic or fault detection of offshore wind turbines and balance of plant components is mainly done for mechanical and electrical components as their faults can rapidly (days to months) lead to a **EXECUTE FOR INTERNATION AND SECUTED SUPPRESSION OF ALSO SHOWS THE UPPRESSION COMPLETE FOR PERSONAL ASSESS** major repair or replacement is mostly done for mechanical and electrical components of offshore wind turbines. This paper does not explicitly consider the offshore wind structural components such as blades, tower, or foundation since they are designed according to high safety factors, and typically their annual service will detect and maintain their potential faults, before these might lead to a complete failure.

As discussed in the previous section, in the wind industry, the lack of a generic diagnostic model As discussed in the previous section, in the wind industry, the lack of a generic diagnostic suitable for all wind turbine and component types is evident. Since no single fault detection method is able to detect faults of all component types accurately, a hybrid of several individual non-correlated fault detection methods, or diagnostic agents for each component, or component failure mode are required. Figure [3,](#page-4-0) presents a framework of such a generic multi-agent diagnostic model for fault detection of one mechanical or electrical wind farm component.

Figure 3. Framework of a multi-agent diagnostic model for one wind farm component. **Figure 3.** Framework of a multi-agent diagnostic model for one wind farm component.

As shown in Figure 3, it is assumed that faults of this component can be optimally detected by a As shown in Figure [3,](#page-4-0) it is assumed that faults of this component can be optimally detected
As shown in Figure 3, it is assumed that faults of this component can be optimally detected by a hybrid of three diagnostic agents or fault detection methods. The probability of detection of each diagnostic agent is defined within a Confidence Matrix. Once the individual diagnosis of each probability theorem can be ach be a confident with a strength of the strength of the strength of the strength of the streng diagnostic agent is estimated and placed in a Diagnosis Matrix, the total probability theorem can be used to incorporate all probabilistic fault detection results into one final verdict. The following sections
 will further discuss both confidence and diagnoses matrices.

2.1. Confidence Matrix 2.1. Confidence Matrix

A confidence or Probability of Detection (PoD) matrix for diagnostics is a measure for reliability A confidence or Probability of Detection (PoD) matrix for diagnostics is a measure for reliability or confidence level of diagnostic agents for a given component or component failure mode. At the or confidence level of diagnostic agents for a given component or component failure mode. At the beginning of the wind farm lifetime, since no prior operational data is available, the confidence beginning of the wind farm lifetime, since no prior operational data is available, the confidence matrix matrix should be defined based on experts' experience on confidence level of each diagnostic agent should be defined based on experts' experience on confidence level of each diagnostic agent for for each component. Similarly, it is possible to use operational data of the same components in each component. Similarly, it is possible to use operational data of the same components in similar similar operational wind farms to estimate the initial confidence matrix. operational wind farms to estimate the initial confidence matrix.

Once sufficient operational data is available, based on Bayes' rule and correctness of each fault detection agent determined by inspections, the initial or prior confidence matrix can be updated.

2.2. Diagnosis Matrix

The probability diagnosis matrix is a hybrid placeholder for individual probabilistic diagnosis of each diagnostic agent. The diagnosis of each diagnostic agent can be determined using several different lifetime estimation techniques, e.g., a stochastic, physical, data-driven, or Artificial Intelligence (AI) model. The diagnosis of components can be presented using a stochastic model if sufficient information on the physics of failure is available, or if a diagnostic model with minimum implementation effort is desired. The diagnosis of critical components can be presented using a physical or data-driven model if higher level of accuracy is needed. In [\[42\]](#page-17-4), a review on statistical data-driven approaches for lifetime estimation techniques is given. In [\[43\]](#page-17-5), optimal selection of a lifetime estimation technique based on several classification methods and process flow diagrams is discussed. In [\[44\]](#page-17-6), an overview of lifetime estimation techniques with focus on applications to wind turbines is given.

This paper only considers stochastic diagnosis models for fault detection of mechanical and electrical components. In [\[45–](#page-17-7)[48\]](#page-17-8), stochastic reliability models based on Weibull distribution, Gamma distribution and Poisson process are discussed. In the diagnostic model discussed here, an exponential CDF presents the diagnosis of anomaly detection agents:

$$
P(D = Healthy|A) = e^{-\lambda_A \Delta_A}
$$

\n
$$
P(D = Faulty|A) = 1 - e^{-\lambda_A \Delta_A}
$$
\n(1)

The Nomenclature appendix of this paper presents the variables used in equations. The diagnosis exponential rates of anomaly detection agents can be estimated based on experts' opinion or existing operational data of similar offshore operational wind farms. For instance, the exponential rate of a diagnostic agent can be calculated as:

$$
\lambda_A = \frac{-\ln(1 - P)}{Deviation} \tag{2}
$$

The *Deviation* in Equation (2) is a Δ_A , in which an expert is confident that a component fault is certain. Section [3](#page-7-0) of this paper further explains, within a case study, formulation of the diagnostic matrix.

2.3. Total Probability of Fault

Once the probability confidence and diagnosis matrices are both known, based on a hybrid of several non-correlated diagnostic agents and using the total probability theorem, the probability of a component being in the faulty state can be calculated as:

$$
P(D = Faulty) = \sum_{i=1}^{i=n} P(D = Faulty|A_i)P(A_i)
$$
\n(3)

2.4. Decision Model

Once the total probability of a component being in fault condition is estimated, a decision has to be made if an inspection is to be performed to confirm the fault and, subsequently, to repair/maintain the fault. This process can be formulated within a Bayesian decision model where the objective is to assist in decision-making that minimizes the total expected remaining lifetime O&M costs. Figure [4](#page-6-0) shows a framework for a risk based Bayesian decision model to minimize the total expected costs in the remaining lifetime of an offshore wind farm, based on [\[15\]](#page-16-0).

Repeated inspection/service/maintenance

Figure 4. Framework of a risk based Bayesian decision model for optimal O&M planning. Reproduced **Figure 4.** Framework of a risk based Bayesian decision model for optimal O&M planning. Reproduced with permission of John Wiley and Sons [15]. with permission of John Wiley and Sons [\[15\]](#page-16-0).

This paper does not consider the 'initial design (z)' phase shown in Figure 4. In this paper, the This paper does not consider the 'initial design (z)' phase shown in Figure [4.](#page-6-0) In this paper, the 'inspection/monitoring plan (e)' phase of Figure 4 corresponds to the planning of which diagnostic 'inspection/monitoring plan (e)' phase of Figure [4](#page-6-0) corresponds to the planning of which diagnostic agents to use. The 'inspection/monitoring result (S)' corresponds to the output from the diagnostic agents to use. The 'inspection/monitoring result (S)' corresponds to the output from the diagnostic agents. Based on that, the probability of faulty condition is estimated by Equation (3). The decision agents. Based on that, the probability of faulty condition is estimated by Equation (3). The decision rule $d(S)$ is related to the decision of whether or not it is necessary to perform an inspection and a possible repair maintenance action. The decision rule is related to the probability of fault exceeding a possible repair maintenance action. The decision rule is related to the probability of fault exceeding a given probability threshold. The 'state of nature' represents all other uncertainties more or less given probability threshold. The 'state of nature' represents all other uncertainties more or less directly influencing the condition of a given component, e.g., wind speed. The total expected benefit (W) of the model is the total benefit gained minus total costs in the remaining part of lifetime after the time of the decision.

At each time step during the lifetime of an offshore wind farm, an updated decision (e) on which At each time step during the lifetime of an offshore wind farm, an updated decision (e) on which agents to use and which probability threshold to use in the decision rule $d(S)$ for repair/maintenance. These decisions are made so as to optimize the total expected cost-benefit (W) in the remaining lifetime. Additionally, using Bayesian updating the probabilistic model is continuously updated when new new information becomes available, see the next section. information becomes available, see the next section.

2.5. Posterior Confidence 2.5. Posterior Confidence

If an inspection outcome confirms that the state of the component is Faulty, then a condition If an inspection outcome confirms that the state of the component is Faulty, then a condition based maintenance work order should be created to maintain the component before its failure. Additionally, since sufficient operational data is available after this inspection, the prior estimations of the confidence matrix can be updated by Bayesian updating:

$$
P(A|D = Faulty) = P(D = Faulty|A) P'(A) / P(D = Faulty)
$$
\n(4)

The $P(D = Faulty)$ in Equation (3) is the total probability of a component being in a faulty condition, based on diagnosis of all diagnostic agents calculated using Equation (3). condition, based on diagnosis of all diagnostic agents calculated using Equation (3).

A posterior probability is a conditional probability taking into account all available observations A posterior probability is a conditional probability taking into account all available observations on the state of a variable. The updated posterior confidence levels of diagnostic agents should be used for future diagnostics to reduce the model uncertainty and to enhance the fault detection accuracy.

2.6. Diagnostic Model 2.6. Diagnostic Model

Now that confidence and diagnosis matrices and a decision model are introduced, and the total Now that confidence and diagnosis matrices and a decision model are introduced, and the total probability of fault and the posterior confidence levels can be calculated, a diagnostic model can be probability of fault and the posterior confidence levels can be calculated, a diagnostic model can be defined. Figure 5 outlines a framework for a diagnostic model with Bayesian updating for offshore defined. Figure [5](#page-7-1) outlines a framework for a diagnostic model with Bayesian updating for offshore wind components. wind components.

Figure 5. Framework for a diagnostic model with Bayesian updating for offshore wind components. **Figure 5.** Framework for a diagnostic model with Bayesian updating for offshore wind components.

The next section presents a case study for fault detection and condition based maintenance of an The next section presents a case study for fault detection and condition based maintenance of an offshore wind turbine component based on three diagnostic agents.

3. Case Study 3. Case Study

As discussed in the previous section, instead of assessing results of each diagnostic agent As discussed in the previous section, instead of assessing results of each diagnostic agent individually, a hybrid or multi-agent diagnostic approach can be used, which takes into account individually, a hybrid or multi-agent diagnostic approach can be used, which takes into account results of all available diagnostic agents at once and, as a result, the diagnosis (state of the component) the results of all available diagnostic agents at once and, as a result, the diagnosis (state of the component) is determined. This case study discusses the outline and implementation steps of this model for an offshore wind turbine's main bearing, based on three diagnostic agents. In this case diagnostic model for an offshore wind turbine's main bearing, based on three diagnostic agents. In this study, it is assumed that vibration, temperature, and oil particle are independent diagnostic agents case study, it is assumed that vibration, temperature, and oil particle are independent diagnostic agents for this wind turbine main bearing. As a result from each agent, the diagnosis (state of the for this wind turbine main bearing. As a result from each agent, the diagnosis (state of the component) component as a probability. The following sections will briefly associated as μ and μ explain these times μ is a probability. The following sections will briefly explain these discussions will be a probability. can be estimated as a probability. The following sections will briefly explain these diagnostic methods.

3.1. Vibration Based

The vibration analysis is based on data from 6 to 10 accelerometer, velocity, and displacements sensors installed on bearings, shafts, gearbox, coupling, and generator of wind turbines. These sensors are not a part of SCADA system and, therefore, a separate network infrastructure for their data acquisition, transfer, and storage is required. As illustrated in Figure [6,](#page-8-0) the vibration analysis can be done in time domain (such as trend analysis) or in frequency domain (such as envelop analysis). In [\[33\]](#page-16-13), the time and frequency domain analyses for vibration based condition monitoring are further explained. In [\[38\]](#page-17-1), several case studies for vibration based condition monitoring of two research wind are further explained. In the studies for vibration based condition based condition monotoring of two studies $\frac{1}{2}$ research wind turbines are presented. Vibration analysis is the most reliable method for diagnostic of drivetrain mechanical components.

Figure 6. Time and frequency domain analyses for vibration based diagnostic [3]. **Figure 6.** Time and frequency domain analyses for vibration based diagnostic [\[3\]](#page-15-2).

Once the state of a component deviates considerably from its healthy vibration signature, a warning is generated. The vibration based analysis can detect drivetrain mechanical faults a few months prior to their potential failure, which provides sufficient time for preparation and planning of the subsequent condition based work orders.

In the wind industry vibration analysis is incorrectly known as the Condition Monitoring System (CMS) since it is the most used CMS for wind turbine components. However, besides vibration based sensors there are several other wind turbine sensors which can be used for condition monitoring, such as temperature or hydraulic oil sensors.

3.2. Temperature Based 3.2. Temperature Based

 T majority of drivetrain components of offshore wind turbines are equipped wind turbines are equipped with temperature The majority of drivetrain components of offshore wind turbines are equipped with temperature
The majority of drivetrain components of offshore wind turbines are equipped with temperature sensors. The data acquisition of temperature sensors is typically handled by wind turbine SCADA
The data acquisition of temperature sensors is typically handled by wind turbine SCADA system. This makes temperature analysis an interesting diagnostic method as it can be done for almost any turbine platform without demanding any additional sensor or network infrastructure.

There are several methods for temperature analysis of drivetrain components. A typical temperature analysis is based on automated anomaly detection. Once the real-time temperature of a temperature of a component is considerably higher than its temperature profile, a warning is generated. The temperature ϵ of a component is dependent on turbine accumulated active power and weather conditions such as ambient temperature or humidity. It is possible to calculate the temperature profile of a component is experiented to the main temperature profile of a component based on data-driven models or AI models. Figure [7](#page-9-0) shows the temperature sensor data of the main
' $\frac{1}{2}$ see the main bearing failure and the main bearing failure, significant (more than 20 degrees) bearing of the offshore wind turbine used in this case study.

As seen in Figure [7,](#page-9-0) prior to the main bearing failure, significant (more than 20 degrees) temperature deviations are observed. The temperature based diagnostic of this bearing could be
the complete failure. The temperature based diagnostic of this bearing could be used to initiate condition based maintenance to repair the bearing fault prior to its complete failure.

Another possible temperature analysis technique is to compare real-time component temperature is to condition. In \mathcal{L} signals of a wind turbine to its neighbor wind turbines with similar wake condition. In [\[49\]](#page-17-9), a case study for temperature based condition monitoring of wind turbine gearbox is discussed in detail.
The form a few articles in the few articles in the few articles in the few articles in the few articles in the

The temperature analysis can predict faults of mechanical and electrical components from a few ϵ months to a few weeks prior to their potential failure. Once an anomaly in the temperature of a component, a temperature based warning is triggered.

Figure 7. Temperature based diagnostic of the main bearing of the wind turbine used in the case study. **Figure 7.** Temperature based diagnostic of the main bearing of the wind turbine used in the case study.

3.3. Oil Based 3.3. Oil Based

The oil analysis is based on the lubrication system of the drivetrain components. The hydraulic The oil analysis is based on the lubrication system of the drivetrain components. The hydraulic oil analysis can be done using online oil sensors (such as oil pressure, temperature, or particle counter) oil analysis can be done using online oil sensors (such as oil pressure, temperature, or particle counter) or offline oil samples (to check oil cleanliness or oxidation). or offline oil samples (to check oil cleanliness or oxidation).

The offline oil samples and online oil pressure data can be used for fault detection of the lubrication The offline oil samples and online oil pressure data can be used for fault detection of the lubrication system. However, the oil temperature and particle counter data can be used for fault detection of system. However, the oil temperature and particle counter data can be used for fault detection of drivetrain components. Similar to temperate analysis, oil temperature anomaly detection can be drivetrain components. Similar to temperate analysis, oil temperature anomaly detection can be triggered once the oil temperature deviates significantly from the oil temperature profile. In [33], triggered once the oil temperature deviates significantly from the oil temperature profile. In [\[33\]](#page-16-13), several illustrative examples for oil based condition monitoring of wind turbines are given. several illustrative examples for oil based condition monitoring of wind turbines are given.

The oil particle counter data can be used to monitor a sudden increase of particles (such as wear The oil particle counter data can be used to monitor a sudden increase of particles (such as wear debris) created by degradation of drivetrain mechanical components. An automated oil analysis will debris) created by degradation of drivetrain mechanical components. An automated oil analysis will generate an oil based warning once the oil particle rises unexpectedly in a given time. generate an oil based warning once the oil particle rises unexpectedly in a given time.

Limited increase of oil particles (e.g., increase of 10 to 50 oil particles per month) is due to Limited increase of oil particles (e.g., increase of 10 to 50 oil particles per month) is due to expected expected fatigue of mechanical drivetrain components, but sudden increase of oil particles (e.g., fatigue of mechanical drivetrain components, but sudden increase of oil particles (e.g., increase of increase of 200 oil particles in one hour) is most likely caused by sudden degradation of a drivetrain 200 oil particles in one hour) is most likely caused by sudden degradation of a drivetrain component. component. Figure 8 visualizes the oil particle sensor data of the offshore wind turbine used in this Figure [8](#page-10-0) visualizes the oil particle sensor data of the offshore wind turbine used in this case study. case study. As highlighted by orange dashed lines in Figure 8, the number of oil particles in the As highlighted by orange dashed lines in Figure [8,](#page-10-0) the number of oil particles in the hydraulic oil of the drivetrain of this offshore wind turbine increases suddenly by 136, which is typically a clear sign the drivetrain of this offshore wind turbine increases suddenly by 136, which is typically a clear sign typically a clear sign for sudden degradation of one or several drivetrain components. for sudden degradation of one or several drivetrain components.

Similar to temperature signals, oil pressure, oil temperature, and particle counting sensor data Similar to temperature signals, oil pressure, oil temperature, and particle counting sensor data are are collected by the SCADA system. The oil particle counters can detect faults from a few weeks to a collected by the SCADA system. The oil particle counters can detect faults from a few weeks to a few few days prior to their potential failure and are typically very reliable. However, the oil particle days prior to their potential failure and are typically very reliable. However, the oil particle results results cannot identify the exact location of the fault, and typically the short time period between the cannot identify the exact location of the fault, and typically the short time period between the diagnosis diagnosis and potential failure does not allow proper preparation and planning for follow up condition and potential failure does not allow proper preparation and planning for follow up condition based based work orders. work orders.

Besides the discussed diagnostic methods, several other less well-known fault detection methods Besides the discussed diagnostic methods, several other less well-known fault detection methods are available (such as visual, acoustic, or ultrasonic), which are not covered in this case study. Now are available (such as visual, acoustic, or ultrasonic), which are not covered in this case study. Now that diagnostic agents are briefly explained, the confidence and diagnosis matrices associated with that diagnostic agents are briefly explained, the confidence and diagnosis matrices associated with these three diagnostic agents can be formulated. these three diagnostic agents can be formulated.

Figure 8. Oil particle based diagnostic of the drivetrain of the wind turbine used in this case study. **Figure 8.** Oil particle based diagnostic of the drivetrain of the wind turbine used in this case study.

3.4. Initial Confidence Matrix 3.4. Initial Confidence Matrix

In Section 2.1, it was explained that the initial confidence matrix can be defined based on the In Section [2.1,](#page-4-1) it was explained that the initial confidence matrix can be defined based on the available operational data of the same components in similar operational wind farms. Table 1 provides available operational data of the same components in similar operational wind farms. Table [1](#page-10-1) provides the fault detection history of the main bearing used in this case study based on operational data of the fault detection history of the main bearing used in this case study based on operational data of similar offshore wind farms. According to Table 1, in two occasions out of the total 10 available main similar offshore wind farms. According to Table [1,](#page-10-1) in two occasions out of the total 10 available main bearing fault detection records, the temperature based diagnostic method has detected the bearing bearing fault detection records, the temperature based diagnostic method has detected the bearing fault earlier than the vibration or oil particle based diagnostic methods. fault earlier than the vibration or oil particle based diagnostic methods.

Table 1. Fault detection history of the main bearing used in this case study based on operational data **Table 1.** Fault detection history of the main bearing used in this case study based on operational data of similar offshore wind farms. of similar offshore wind farms.

Now, based on the fault detection history given in Table [1,](#page-10-1) the initial confidence level of vibration, temperature, and oil particle based diagnostic agents for detection of faults in a wind turbine main bearing can be estimated:

$$
P(A_V)_{\text{Bearing}} = 3/10 = 0.3, P(A_T)_{\text{Bearing}} = 2/10 = 0.2, P(A_O)_{\text{Bearing}} = 5/10 = 0.5
$$
 (5)

Using inspection based observations in a Bayesian updating model, the uncertainty of the initial confidence matrix can be significantly reduced. Furthermore, as discussed in Section [2.4](#page-5-0) of this paper, *3.5. Diagnosis Matrix* decision model. the update of the confidence matrix can be incorporated into the update of a risk based Bayesian

$\sum_{i=1}^{n} \frac{1}{i} \int_{\mathbb{R}^n} f(x) dx$ exponential rates of temperature and oil particle based diagnostic agents should be estimated. In this sho *3.5. Diagnosis Matrix*

The next step is to define a diagnosis matrix for this diagnostic model. To do so, first the exponential rates of temperature and oil particle based diagnostic agents should be estimated. In this case study, it is assumed that once the temperature deviation of the main bearing is larger than 20 degrees or once increase in oil particles of the drivetrain rises above 200, then with 90% probability the main bearing is in fault condition. Therefore, using Equation (2), the exponential rates of the temperature and oil particle based diagnostic agents for the main bearing can be calculated as:

$$
\lambda_{A_T} = \frac{-\ln(1 - 0.9)}{20} = 0.115 \left(\frac{1}{\text{P}_C}\right)
$$

$$
\lambda_{A_O} = \frac{-\ln(1 - 0.9)}{200} = 0.0115
$$
 (6)

Figure [9](#page-11-0) shows the probability of the main bearing being in fault condition, based on temperature Figure 9 shows the probability of the main bearing being in fault condition, based on temperature and oil particle diagnostic agents, in which 90% probability of fault in both graphs is highlighted. and oil particle diagnostic agents, in which 90% probability of fault in both graphs is highlighted.

Figure 9. Probability of fault for temperature and oil particle diagnostic agents of the main bearing **Figure 9.** Probability of fault for temperature and oil particle diagnostic agents of the main bearing based on exponential rates calculated in Equation (6). based on exponential rates calculated in Equation (6).

Now that the exponential rates are known, based on the condition monitoring data given in a Now that the exponential rates are known, based on the condition monitoring data given in a time interval, the probability of the main bearing of this offshore wind turbine being in faulty state can be calculated. This case study focuses on the one-hour time interval highlighted with orange dashed line in Fig[ur](#page-10-0)e 8. In this one-hour time interval, based on temperature and oil particle graphs given in Figures 7 and 8, there is a maximum temperature deviation of 14.5 degrees and increase of 136 oil particles. Therefore, based on Equation (1), the probability of the main bearing of this wind turbine being in faulty condition can be estimated as:

$$
P(D = Faulty|A_T) = 1 - e^{-\lambda_{A_T}\Delta_{A_T}} = 1 - \exp(-0.115 \times 14.5) = 0.81
$$

\n
$$
P(D = Faulty|A_O) = 1 - e^{-\lambda_{A_O}\Delta_{A_O}} = 1 - \exp(-0.0115 \times 136) = 0.79
$$
\n(7)

is in criticality level four, which can be translated into 80% probability of fault. Table 2 gives an The vibration based diagnosis of the main bearing of this wind turbine in the same time interval is **Table 2.** Diagnosis matrix of the main bearing of the wind turbine discussed in this case study. of the diagnosis matrix of the main bearing of the wind turbine discussed in this case study.in criticality level four, which can be translated into 80% probability of fault. Table [2](#page-12-0) gives an overview

Table 2. Diagnosis matrix of the main bearing of the wind turbine discussed in this case study.

Now that both probability confidence and diagnosis matrices are known, based on the total probability theorem given in Equation (3), the probability of this main bearing being in faulty condition can be estimated as:

$$
P(D = Faulty)_{Bearing} = P(D = Faulty|A_V)P(A_V) + P(D = Faulty|A_T)P(A_T) + P(D = Faulty|A_O)P(A_O) = 0.8 \times 0.3 + 0.81 \times 0.2 + 0.79 \times 0.5 = 0.797
$$
\n(8)

Therefore, based on a hybrid of all diagnostic agents, the probability of the main bearing of this wind turbine is 79.7%. Figure 10 shows an overview of the case study results.

Figure 10. Overview of the case study results of a wind turbine's main bearing.

condition should be used in a decision model to initiate follow up inspection and condition based maintenance actions. In the next section, it is assumed that based on the decision model outcome, an inspection should be initiated to validate the diagnosis. As discussed in Section [2.6](#page-7-2) and visualized in Figure [5,](#page-7-1) the estimated probability of being in fault

3.6. Posterior Confidence Matrix

If an inspection confirms that the state of the component is faulty, then the prior estimations of the confidence matrix can be updated by Bayesian updating. For instance, based on Equation (4), the posterior confidence level of temperature based diagnostic agent for the main bearing given 0.797 as the total probability of the bearing being in fault condition is:

$$
P(A_T|D = Faullty) = P(D = Fault y|A_T) P'(A_T)/P(D = Fault y) = 0.81 \times 0.2/0.797
$$

= 0.203 (9)

It should be noted that *P*(*D = Faulty*) is the total probability of a component being in faulty state and not the probability of fault given the inspection outcome.

Table [3](#page-13-0) shows the prior and posterior confidence levels of all diagnostic agents for the main bearing. It also shows that posterior confidence levels can be easily calculated by dividing the Bayes Numerator of each agent by total Bayes Numerator or *P*(*D = Faulty*) = 0.797 of the main bearing.

Diagnostic Agent	Prior Confidence	Diagnosis	Bayes Numerator	Posterior Confidence
A	P(A)	$P(D = Faulty A)$	$P(A)P(D = Faulty A)$	$P(A D = Faulty)$
Vibration based	0.3	0.80	0.240	0.301
Temperature based	0.2	0.81	0.162	0.203
Oil particle based	0.5	0.79	0.395	0.496
Total	1.0	NΑ	0.797	$1.0\,$

Table 3. Posterior confidence levels of diagnostic agents for the main bearing.

The updated posterior confidence levels of diagnostic agents should be used for future diagnostics to enhance the fault detection accuracy made by this holistic diagnostic model.

4. Discussion

The case study presented in this paper is based on three diagnostic agents for two component states (healthy or faulty), and the probability of each diagnosis is modelled using an exponential distribution. Future studies could explore the application of another diagnostic agent and more accurate probabilistic diagnosis models for multiple component states or deterioration levels (such as healthy, minor damaged, damaged, server damaged).

The confidence matrix of this case study shown in Table [1](#page-10-1) is based on documented early fault detection data of the main bearing in similar operational offshore wind farms. If sufficiently documented fault detection data is not available, equal initial PoD or confidence levels for all diagnostic agents can be assumed. In this respect, it should be noted that early results of this diagnostic model are associated with high uncertainties, but after a few Bayesian updates of the model based on actual fault detection data, the uncertainty of the confidence levels should be reduced to an acceptable level. As instance, Table [4](#page-13-1) shows that assumed equal confidence levels for vibration, temperature, and oil particle based agents after two hypothetical fault detections are respectively updated to 0.15, 0.04, and 0.81. The more this diagnostic model is used in practice, the less the associated uncertainties are.

Table 4. Sensitivity analysis of initial equal confidence levels after two hypothetical diagnoses.

Diagnostic Agent	Assumed Confidence	1st Diagnosis	1st Updated Confidence	2nd Diagnosis	2nd Updated Confidence
Vibration based	0.33	0.2	0.18	0.6	0.15
Temperature based	0.33	0.1	0.09	0.3	0.04
Oil particle based	0.34	0.8	0.73	$_{0.8}$	0.81
Total		NΑ	1.0	NΑ	

Furthermore, the confidence model of such a diagnostic model can be based on each failure mode of each single component to increase the accuracy of the model results.

As discussed in Section [2.4](#page-5-0) and visualized in Figure [4,](#page-6-0) future studies could investigate application of a risk based Bayesian decision model for optimal inspection and monitoring planning of an offshore wind farm.

Last but not least, it should be noted that the purpose of the discussed case study in this paper is to demonstrate the framework and the implementation steps of the diagnostic model and not the validation of the accuracy of the model. The fault detection accuracy of this diagnostic model is depending on the accuracy of the applied diagnostic agents, which is not the focus of this paper.

5. Conclusions

The diagnostic model introduced in this paper is a hybrid multi-agent model, which can incorporate results of multiple diagnostic agents into each other to detect faults of a single wind farm component. This diagnostic model is based on the assumption that no diagnostic agent can single-handedly optimally detect faults in a wind farm component. The probability of fault detection *Energies* **2018**, *11*, x FOR PEER REVIEW 14 of 17 of each diagnostic agent is defined within an initial confidence matrix. When the diagnosis of such a diagnostic model is verified by inspections, based on the Bayes' rule, the initial confidence matrix can diagnostic model is verified by inspections, based on the Bayes' rule, the initial confidence matrix be updated to reduce the uncertainties associated with the initial confidence levels.

As elaborated in Secti[on](#page-13-2) 4 of this paper, in future studies on this topic, application of more accurate reliability models and fault detection techniques within the described diagnostic framework can be reliability models and fault detection techniques within the described diagnostic framework can be
further investigated. Additionally, financial benefit of this diagnostic model within a case study for critical components of all wind turbines of an offshore wind farm can be quantified.

Once based on the hybrid diagnostic model defined in this paper, optimal condition based work Once based on the hybrid diagnostic model defined in this paper, optimal condition based orders are created, a work order scheduling and prioritization model such as the one shown in Figure 11 should be used to determine optimal short-term O&M planning for all outstanding work Figure [11](#page-14-0) should be used to determine optimal short-term O&M planning for all outstanding work orders in a working shift (including corrective, scheduled, predictive, and upgrade work orders). orders in a working shift (including corrective, scheduled, predictive, and upgrade work orders). In [50], scheduling and prioritization model is further discussed. In [\[50\]](#page-17-10), scheduling and prioritization model is further discussed.

Figure 11. Framework for optimal short-term O&M planning of offshore wind farms. **Figure 11.** Framework for optimal short-term O&M planning of offshore wind farms.

The application of the discussed decision and diagnostic models shown in Figures [4](#page-6-0) and [5,](#page-7-1) within within the short-term O&M planning framework shown in Figure 11, can result in a significant the short-term O&M planning framework shown in Figure [11,](#page-14-0) can result in a significant O&M cost reduction for offshore wind farms.

The presented generic diagnostic model can be implemented into any asset management or IT The presented generic diagnostic model can be implemented into any asset management or IT infrastructure to detect faults, prevent failures, and reduce O&M costs and downtime of offshore infrastructure to detect faults, prevent failures, and reduce O&M costs and downtime of offshore wind wind components. The discussed diagnostic model can also be used for onshore wind farms, components. The discussed diagnostic model can also be used for onshore wind farms, but within but within a cost-benefit analysis the optimal scope of the diagnostic model should be determined. a cost-benefit analysis the optimal scope of the diagnostic model should be determined. The fault The fault detection and condition based maintenance of all sub-components of one based maintenance and α detection and condition based maintenance of all sub-components of onshore wind farms can result in more cost than benefit since O&M costs of onshore wind farms are considerably lower than offshore ones.

Author Contributions: Masoud Asgarpour introduced a probabilistic Bayesian method for multi-agent diagnostics and condition based maintenance of offshore wind components and performed a case study to demonstrate the model; Masoud Asgarpour defined, scoped and wrote the paper and John Dalsgaard Sørensen reviewed the paper and contributed to the Section [2.4](#page-5-0) of this paper.

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Nomenclature

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