

Western University
Scholarship@Western

Medical Biophysics Publications

Medical Biophysics Department

10-1-2011

Chronic obstructive pulmonary disease: Quantification of bronchodilator effects by using hyperpolarized ^3He MR imaging

Miranda Kirby

Lindsay Mathew

Mohammadreza Heydarian

Roya Etemad-Rezai

David G McCormack

See next page for additional authors

Follow this and additional works at: <https://ir.lib.uwo.ca/biophysicspub>



Part of the [Medical Biophysics Commons](#)

Citation of this paper:

Kirby, Miranda; Mathew, Lindsay; Heydarian, Mohammadreza; Etemad-Rezai, Roya; McCormack, David G; and Parraga, Grace, "Chronic obstructive pulmonary disease: Quantification of bronchodilator effects by using hyperpolarized ^3He MR imaging" (2011). *Medical Biophysics Publications*. 145.

<https://ir.lib.uwo.ca/biophysicspub/145>

Authors

Miranda Kirby, Lindsay Mathew, Mohammadreza Heydarian, Roya Etemad-Rezai, David G McCormack, and Grace Parraga

Chronic Obstructive Pulmonary Disease: Quantification of Bronchodilator Effects by Using Hyperpolarized ^3He MR Imaging¹

Miranda Kirby, BSc
Lindsay Mathew, PhD
Mohammadreza Heydarian, PhD
Roya Etemad-Rezai, MD, FRCPC
David G. McCormack, MD, FRCPC
Grace Parraga, PhD

Purpose:

To evaluate short-acting bronchodilator effects in chronic obstructive pulmonary disease (COPD) by using hyperpolarized helium 3 (^3He) magnetic resonance (MR) imaging, spirometry, and plethysmography.

Materials and Methods:

Fourteen ex-smokers with COPD provided written informed consent to a local ethics board-approved and Health Insurance and Portability Accountability Act-compliant protocol and underwent hyperpolarized ^3He and hydrogen 1 MR imaging, spirometry, and plethysmography before and a mean of 25 minutes \pm 2 (standard deviation) after administration of 400 μg salbutamol. Distribution of ^3He gas was evaluated by using semiautomated segmentation of ^3He voxel intensities, where cluster 1 represented regions of signal void or ventilation defect volume (VDV), and clusters 2–5 (C2–C5) represented gradations of signal intensity from hypointensity (C2) to hyperintensity (C5). ^3He ventilation defect percentage (VDP) was calculated as VDV normalized to the thoracic cavity volume. Comparisons of pre- and post-salbutamol means were performed by using a two-way mixed-design repeated measures analysis of variance, and comparisons of the magnitude of the treatment effect between pulmonary function and ^3He MR imaging measurements were performed by using effect size (ES) calculations. The relationships between pulmonary function and ^3He MR imaging findings were determined by using Spearman correlation coefficients.

Results:

After salbutamol administration, there were significant changes in forced expiratory volume in 1 second (FEV_1) ($P = .001$), total lung capacity ($P = .04$), and functional residual capacity ($P = .03$), as well as VDP ($P < .0001$) and ^3He gas distribution (C2, $P = .01$; C3, $P = .03$; C4, $P < .0001$; and C5, $P = .02$). Treatment ES was greater for ^3He VDP than for FEV_1 (0.50 vs 0.22). There was a significant correlation between baseline VDP and post-salbutamol FEV_1 change ($r = -0.77$, $P = .001$). Although five patients were classified as bronchodilator responders and nine patients were classified as bronchodilator nonresponders according to American Thoracic Society and European Respiratory Society criteria, there was no significant difference in the magnitude of the ^3He MR imaging changes after salbutamol administration between responder groups.

Conclusion:

^3He MR imaging depicted significant improvements in the distribution of ^3He gas after bronchodilator therapy in ex-smokers with COPD with and those without clinically important changes in FEV_1 .

©RSNA, 2011

Supplemental material: <http://radiology.rsna.org/lookup/suppl/doi:10.1148/radiol.11110403/-/DC1>

¹From Imaging Research Laboratories, Robarts Research Institute, 100 Perth Dr, London, ON, Canada N6A 5K8 (M.K., L.M., M.H., G.P.); Department of Medical Biophysics (M.K., L.M., G.P.), Department of Medical Imaging (R.E., G.P.), Division of Respiriology, Department of Medicine (D.G.M.), and Graduate Program in Biomedical Engineering (G.P.), University of Western Ontario, London, Ont, Canada. Received February 28, 2011; revision requested April 11; revision received April 17; accepted May 17; final version accepted May 20. Supported by Canadian Institutes of Health Operating Grant MOP #97748 and Team Grant FRN #97687. M.K. supported by the Natural Sciences and Engineering Research Council of Canada; Canadian Institutes of Health Research (CIHR) Strategic Training Program; L.M. supported by the CIHR Vanier Scholarship Program and the Schulich Graduate Scholarship of the University of Western Ontario. G.P. supported by a CIHR New Investigator Award. Address correspondence to G.P. (e-mail: gep@imaging.robarts.ca).

Chronic obstructive pulmonary disease (COPD) is characterized by progressive expiratory flow limitation that develops because of the combined effects of large and small airway dysfunction and increased lung compliance related to the permanent destructive changes of emphysema (1). Gas trapping and dynamic lung hyperinflation are major consequences of decreased expiratory airflow and often lead to many of the disabling symptoms associated with COPD, such as dyspnea and limitation of exercise capacity (2,3). After smoking cessation, bronchodilators are the first-line therapy in the symptomatic management of COPD (3), and assessment of acute response to bronchodilators is often based on spirometric measurements of forced expiratory volume in 1 second (FEV_1). Although spirometric measurements provide the most common primary end point for clinical trials evaluating therapeutic response, relatively poor correlations have been reported between FEV_1 and other clinical measurements of COPD (4–6). In other words, symptomatic relief after bronchodilator use often occurs with only modest improvements in FEV_1 (7–9), underscoring the apparent discordance between spirometric measurements and COPD symptoms, quality of life, and functional measurements.

The discordance between spirometric measurements and COPD symptoms,

as well as the limitation of standard measures of expiratory airflow for evaluating the regional nature of bronchodilator response, is motivating the evaluation of new methods (10,11), including those based on noninvasive imaging (12–15). For example, computed tomography (CT) has been used to evaluate changes in airway morphology after administration of salbutamol (12,13) and tiotropium (14,15) and showed greater post-bronchodilator response in patients with COPD with mainly airway disease compared with those with mainly emphysema (16). Additionally, a recent single photon emission computed tomography/CT and multiple breath nitrogen washout study (17) reported no significant change in ventilation heterogeneity after tiotropium administration.

Pulmonary magnetic resonance (MR) imaging with hyperpolarized helium 3 (^3He) has emerged as a functional imaging method that provides high-spatial-resolution and high-temporal-resolution (18–23) images of the lung. Regional ventilation abnormalities are clearly visualized as areas of decreased ^3He signal in the lung that can be scored or quantified with the metric ventilation defect percentage (VDP) (19) or percentage ventilated volume (24). ^3He MR imaging ventilation abnormalities have previously been evaluated in COPD (25–30) with measurements that are sensitive to the pathologic changes that accompany COPD (24), have high same-day and short-term reproducibility (20,21), and reveal significant longitudinal changes over 2 years before changes in FEV_1 are observed (18). Because of previous reproducibility (20,21) and longitudinal (18) findings, we hypothesized that ^3He

MR imaging would provide the necessary and sufficient spatial and temporal sensitivity, as well as precision, to depict any potential regional functional lung changes after bronchodilator therapy. Therefore, our aim was to evaluate short-acting bronchodilator effects in COPD by using hyperpolarized ^3He MR imaging, spirometry, and plethysmography.

Materials and Methods

Patients

All patients provided written informed consent to the study protocol, which was approved by the local research ethics board and Health Canada, and the study was compliant with the Personal Information Protection and Electronic Documents Act of Canada and the Health Insurance Portability and Accountability Act of the United States. The use of an on-site ^3He gas polarizer (HeliSpin; GE Healthcare, Durham, NC)

Advances in Knowledge

- Helium 3 (^3He) MR imaging measurements of gas distribution showed significant improvements ($P < .0001$) after salbutamol administration in ex-smokers with chronic obstructive pulmonary disease (COPD), providing evidence of the regional and physiologic effects of therapy.
- Significant improvements ($P < .0001$) in ^3He gas distribution after bronchodilator administration were detected by using hyperpolarized ^3He MR imaging in COPD, regardless of spirometry-based bronchodilator response classification.

Implication for Patient Care

- Our results indicate that significant gas distribution improvements occur even in patients with COPD with minimal forced expiratory volume in 1 second or forced vital capacity response to salbutamol; these findings are relevant to our understanding of the regional and functional effects of bronchodilators.

Published online before print

10.1148/radiol.11110403 Content code: CH

Radiology 2011; 261:283–292

Abbreviations:

ATS = American Thoracic Society
 COPD = chronic obstructive pulmonary disease
 D_{LCO} = diffusing capacity of lung for carbon monoxide
 ES = effect size
 FEV_1 = forced expiratory volume in 1 second
 FRC = functional residual capacity
 FVC = forced vital capacity
 GOLD = Global Initiative for Chronic Obstructive Lung Disease
 Sao_2 = arterial oxygen saturation
 SDD = smallest detectable difference
 TLC = total lung capacity
 VDP = ventilation defect percentage
 VDV = ventilation defect volume

Author contributions:

Guarantors of integrity of entire study, R.E., D.G.M., G.P.; study concepts/study design or data acquisition or data analysis/interpretation, all authors; manuscript drafting or manuscript revision for important intellectual content, all authors; manuscript final version approval, all authors; literature research, M.K., M.H., G.P.; clinical studies, M.K., L.M., R.E., D.G.M., G.P.; statistical analysis, M.K., L.M., G.P.; and manuscript editing, all authors

Potential conflicts of interest are listed at the end of this article.

was provided to Robarts Research Institute through an agreement with GE Healthcare for which we pay \$100,000 Canadian annually.

Fourteen patients with a clinical diagnosis of COPD who were ex-smokers with a smoking history of at least 10 pack-years and who had Global Initiative for Chronic Obstructive Lung Disease (GOLD) stage II–IV disease were enrolled. Patients were required to refrain from using both short-acting and long-acting bronchodilators on the morning of the study. Prebronchodilator MR imaging was performed immediately after pulmonary function testing; postbronchodilator MR imaging and pulmonary function testing were performed a mean of 25 minutes \pm 2 (standard deviation) after administration of 400 μg salbutamol sulfate USP (Apo-Salvent CFC Free Inhalation Aerosol; Apotex, Toronto, Ontario, Canada), which was inhaled by using a spacer device.

Pulmonary Function Testing

Spirometry was performed using an EasyOne spirometer (ndd Medizintechnik, Zurich, Switzerland) according to the American Thoracic Society (ATS) guidelines. Static lung volumes and diffusing capacity of lung for carbon monoxide (DLCO) were measured by using body plethysmography (MedGraphics, St Paul, Minn).

Image Acquisition

MR imaging was performed with a whole-body 3.0-T MR imaging system (Excite 12.0; GE Healthcare, Milwaukee, Wis) with broadband imaging capability as previously described (21), and ^3He MR imaging was enabled by using a single-channel rigid elliptical transmit-receive chest coil (RAPID Biomedical, Wuerzburg, Germany). It is important to note that we endeavored to minimize the potential for differences in the levels of inspiration between the breath-hold acquisitions for each patient by (a) conducting training and practice sessions for all patients before MR imaging related to the inspiration breath-hold maneuver from functional residual capacity (FRC) and (b) continuous coaching and monitoring at the MR imaging table by a

pulmonary function technologist during all inspiration breath-hold acquisitions. Because of this extensive coaching, no correction for differences in inspiration levels between breath-hold acquisitions for individual patients was required. Pulse oximetry was used to measure arterial oxygen saturation (SaO_2) for all patients during imaging sessions; an adverse event was considered to have occurred when SaO_2 decreased below 88% at any time during the imaging session. Study withdrawal was required when SaO_2 decreased to 80% for 10 seconds or longer.

Conventional hydrogen 1 (^1H) MR imaging was performed before hyperpolarized ^3He MR imaging, with patients imaged during a 1.0-L breath-hold of $^4\text{He}/\text{nitrogen 2}$ (N_2) by using a whole-body radiofrequency coil and a ^1H fast spoiled gradient-recalled echo sequence (total data acquisition time, 16 seconds; repetition time msec/echo time msec, 4.7/1.2; flip angle, 30°; field of view, 40 \times 40 cm; matrix, 256 \times 128; 14 sections; 15-mm section thickness; no gap), as previously described (21).

Prior to ^3He MR imaging, a polarizer system (HeliSpin; GE Healthcare) was used to polarize ^3He gas to 30%–40%, and doses of 5 mL per kilogram of body weight, diluted with ultra-high purity medical-grade nitrogen (Spectra Gases, Alpha, NJ), were administered in 1.0-L Tedlar bags. As previously described (21), hyperpolarized ^3He coronal static ventilation images were acquired during a breath-hold of a 1.0-L $^3\text{He}/\text{N}_2$ mixture (total data acquisition time, 14 seconds; 4.3/1.4; flip angle, 7°; bandwidth, 31.25 kHz; field of view, 40 \times 40 cm; matrix, 128 \times 128; 14 sections; 15-mm section thickness; no gap).

Image Analysis

Semiautomated segmentation of ^3He pulmonary ventilation MR images was performed by using custom software that had been generated by using MATLAB R2007b (Mathworks, Natick, Mass), as previously described (31). Briefly, and as shown in the schematic in Figure 1, ^3He MR images were evaluated by using k-means cluster analysis (32), similar to previously described methods for ^3He

MR image segmentation (33–35), to classify the ^3He MR imaging voxel intensity values into clusters ranging from 1 to 5, representing gradations of signal intensity from no signal (cluster 1) and hypointense signal (cluster 2) to hyperintense signal (cluster 5) and generating a ^3He voxel cluster map (Fig 1b). To obtain the external contour of the thoracic cavity to differentiate ventilation defects (cluster 1) from the edge of the lung, ^1H MR images were segmented by using a seeded region-growing algorithm (36) and were registered to the ^3He MR ventilation images as previously described (37). VDP was generated by using VDV, or cluster 1, normalized to the thoracic cavity volume as previously described (19). For the remaining ventilation clusters, the segmented ^1H thoracic cavity volume was used to generate a cluster percentage representing a normalized ^3He cluster volume for the lung. All measurements were performed by the same observer (M.K., with 3 years of experience performing manual ^3He MR image segmentation and 1 year of experience developing and performing semiautomated ^3He MR image segmentation), who was blinded to patient and time point, with time point randomized to reduce any potential measurement bias. Reproducibility of the semiautomated method was determined on the basis of the pre-salbutamol intraobserver variability of five repeated measurements for five patients with COPD. The smallest detectable difference (SDD), or the minimum change in ^3He measurements that could be measured confidently in individual patients—not due to observer measurement variability, for five of the patients with COPD was 2% for VDP and 0.4%, 1%, 0.6%, and 0.3% for clusters 2–5, respectively.

Statistical Analysis

Multivariate analysis of variance and repeated-measures analysis of variance were performed for comparison of pre- and post-salbutamol pulmonary function and lung volume measurements by using software (SPSS, version 16.0; SPSS, Chicago, Ill). A two-way mixed-design analysis of variance was used (with SPSS) to determine the interactions between

Figure 1

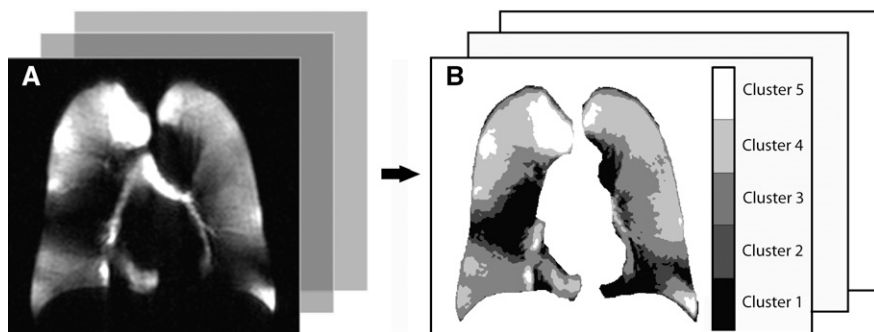


Figure 1: A, Coronal ^3He MR image and B, ^3He cluster map generated with semiautomated cluster analysis and segmentation for all sections. ^3He ventilation defect volume (VDV) = cluster 1, while VDP = cluster 1 normalized to thoracic cavity volume.

patients and treatment, imaging section and treatment, and bronchodilator response group and treatment for all ^3He MR imaging measurements. Effect size (ES) calculations allowed the magnitude of an effect to be compared between disparate types of measurements (38). Therefore, for comparison of the magnitude of the treatment effect between pulmonary function and imaging measurements, treatment ES was calculated as the ratio of the mean difference between pre- and post-salbutamol measurements and the pooled standard deviation for all patients, calculated by using Hedges g as shown in Equation (1):

$$g = \frac{\bar{x}_{\text{post}} - \bar{x}_{\text{pre}}}{\sqrt{\frac{(n_{\text{post}} - 1)SD_{\text{post}}^2 + (n_{\text{pre}} - 1)SD_{\text{pre}}^2}{n_{\text{post}} + n_{\text{pre}} - 2}}}, \quad (1)$$

where \bar{x}_{pre} and \bar{x}_{post} are the mean pre- and post-salbutamol measurement for all patients, SD_{pre} and SD_{post} are the standard deviations of the pre- and post-salbutamol measurements for all patients, and n_{pre} and n_{post} are the numbers of patients evaluated before and after salbutamol administration. The SDD, defined as the smallest difference that can be measured with prospectively determined confidence that is not due to measurement error (variability), was calculated for five repeated pre-salbutamol ^3He VDP measurements according to

the method of Eliasziw et al (39), as shown in Equation (2):

$$\text{SDD} \geq z_{\alpha} \sqrt{2\text{SEM}_{\text{intra}}}, \quad (2)$$

where z_{α} is 1.96, corresponding to a significance level of $\alpha = .05$, and $\text{SEM}_{\text{intra}}$ is the standard error of measurement due to intraobserver variability and is calculated as shown in Equation (3):

$$\text{SEM}_{\text{intra}} = \sqrt{\hat{\sigma}_e^2}, \quad (3)$$

where $\hat{\sigma}_e^2$ is the intraobserver repeated-measures variance. Linear regression (r^2 values) and Spearman correlation coefficients (r values) were calculated to determine the relationships between pulmonary function and ^3He MR imaging measurements by using software (Prism, version 4.0; GraphPad Software, San Diego, Calif). In all statistical analyses, results were considered significant when the probability of making a type I error was less than 5% ($P < .05$).

Results

Patient Demographics

Patient demographic characteristics are provided in Table 1 for 14 ex-smokers with COPD (five with GOLD stage II disease, eight with GOLD stage III disease, and one with GOLD stage IV disease).

Pulmonary Function Measurements

Table 2 shows mean pulmonary function and ^3He MR imaging measurements for all patients, and Table E1 (online), provides a per-patient list of all measurements. Statistically significant post-salbutamol changes were observed for FEV_1 ($P = .001$, $\text{ES} = 0.22$), TLC ($P = .04$, $\text{ES} = -0.34$), and FRC ($P = .03$, $\text{ES} = -0.10$).

Imaging Measurements

Figure 2 shows two central coronal ^3He MR imaging sections, on which the trachea and two main bronchi are clearly visible and ^3He ventilation is displayed in red, registered to the gray-scale ^1H MR images of the thorax for each of the five representative patients (two patients with GOLD stage II disease, two patients with GOLD stage III disease, and one patient with GOLD stage IV disease) before and after salbutamol administration. To ensure that the increased distribution of ^3He gas after salbutamol administration was not due to differences in the volume of polarized gas administered at each time point, we calculated the total pixel intensity for each lung image, including the trachea and major airways, from the image pixel intensity frequency histograms, as well as the total moles of polarized gas delivered for each patient time point (data not shown). These estimations showed that the amount of polarized gas administered and inhaled was not significantly different between time points ($P = .87$). The signal-to-noise ratio for each pre- and post-salbutamol image pair was also not significantly different ($P = .12$). To minimize the potential of introducing intensity variations because of B_1 field inhomogeneity, patients were located in the same position within the coil between imaging time points.

Table 2 shows mean normalized pre- and post-salbutamol ^3He measurements, and Table E2 (online) shows the mean pre- and post-salbutamol ^3He volume and signal intensity measurements. There was a significant decrease in VDP after salbutamol administration ($P < .0001$, $\text{ES} = -0.50$), and there was no relationship between post-salbutamol changes in VDP and image section

Table 1

Demographic Data in 14 Patients

Parameter	Datum
Age (y)	70 ± 6 (61–77)
Men	70 ± 6 (61–77)
Women	71 ± 6 (64–77)
No. of men	8
Body mass index (kg/m ²)	24.9 ± 3.8 (17.6–31.4)
FEV ₁ (L)	1.23 ± 0.50 (0.63–2.18)
FEV ₁ *	45 ± 12 (28–61)
FVC (L)	2.98 ± 0.83 (1.52–4.44)
FVC*	83 ± 12 (65–107)
FEV ₁ /FVC	41 ± 10 (22–60)
TLC (L)	7.12 ± 1.27 (4.82–8.96)
TLC*	122 ± 20 (101–175)
Inspiratory capacity (L)	2.21 ± 0.54 (1.39–3.09)
Inspiratory capacity*	83 ± 16 (58–108)
FRC (L)	4.89 ± 1.22 (3.14–7.27)
FRC*	155 ± 42 (110–243)
Reserve volume (L)	3.83 ± 0.94 (2.63–5.25)
Reserve volume*	170 ± 53 (104–285)
D _{LCO} *	43 ± 19 (20–89)

Note.—Unless otherwise specified, data are means ± standard deviations, with ranges in parentheses. FVC = forced vital capacity, TLC = total lung capacity.

* Data are percentage predicted values.

Table 2

Pre- and Post-Salbutamol Measurements in 14 Patients

Parameter	Pre-Salbutamol	Post-Salbutamol	PValue*	ES
Pulmonary function measurement (L)				
FEV ₁	1.23 ± 0.50	1.35 ± 0.57	.001	0.22
FVC	2.98 ± 0.83	3.06 ± 0.85	.46	0.10
TLC	7.05 ± 1.22	6.62 ± 1.26 [†]	.04	−0.35
Inspiratory capacity	2.15 ± 0.58	2.02 ± 0.66 [†]	.39	−0.21
FRC	4.89 ± 1.22	4.61 ± 1.22 [†]	.03	−0.23
Reserve volume	3.79 ± 0.91	3.59 ± 0.85 [†]	.23	−0.23
Hyperpolarized ^3He MR imaging measurement (%)				
VDP	28 ± 7	24 ± 9	<.0001	−0.50
Cluster 2	15 ± 2	14 ± 2	.01	−0.50
Cluster 3	31 ± 5	32 ± 5	.03	0.20
Cluster 4	19 ± 4	22 ± 5	<.0001	0.66
Cluster 5	8 ± 3	9 ± 3	.02	0.33

Note.—Unless otherwise specified, data are means ± standard deviations.

* Calculated with repeated-measures analysis of variance for the pulmonary function measurements and two-way mixed-design repeated-measures analysis of variance for the MR imaging measurements.

[†] Available for 13 patients.

(as a region of interest) ($P = .30$), indicating no bias for any measurable changes with respect to image section. After salbutamol administration, there

was a significant decrease in ^3He cluster 2 ($P = .01$, $ES = -0.50$) and significant increases in ^3He cluster 3 ($P = .03$, $ES = -0.20$), cluster 4 ($P < .0001$,

$ES = -0.66$), and cluster 5 ($P = .02$, $ES = -0.33$). On the basis of pre-salbutamol intraobserver variance, the SDD, or the minimum change in ^3He measurements that could be measured confidently in individual patients that was not due to observer measurement variability, for five of the patients with COPD (one with stage II disease and four with stage III disease) was 2% for VDP and 0.4%, 1%, 0.6%, and 0.3% for clusters 2–5, respectively.

As shown in Figure 3, there were significant and moderate Spearman correlations between baseline ^3He VDP and post-salbutamol changes in FEV₁ ($r = -0.77$, $P = .001$) and between baseline FEV₁ as a percentage predicted value and post-salbutamol changes in FEV₁ ($r = 0.56$, $P = .04$). There was also a significant correlation between the changes measured in ^3He cluster 2 and changes in FEV₁ ($r = -0.62$, $P = .02$) and FVC ($r = -0.59$, $P = .03$).

Bronchodilator Responders and Nonresponders

Published ATS and European Respiratory Society guidelines (40) define a significant bronchodilator response as an increase in post-salbutamol FEV₁ and/or FVC greater than 200 mL and 12%. Accordingly, five patients were classified as bronchodilator responders and nine patients were classified as bronchodilator nonresponders. As shown in Table 3 and Table E1 (online), mean reductions in VDP and cluster 2 and corresponding increases in clusters 3–5 were demonstrated for both responders and nonresponders. As shown in Figure 4, VDP was significantly lower for responders than for nonresponders at baseline and after bronchodilator administration ($P = .03$). As depicted by the parallel slopes for pre- and post-salbutamol measurements shown in Figure 4, there was no significant difference between responders and nonresponders for post-salbutamol change at ^3He MR imaging (VDP, clusters 2–5, $P > .05$). It is important to point out that there was a single nonresponding patient who showed a 240-mL and 12% improvement in FEV₁ (just missing the > 12% change in FEV₁ requirement for bronchodilator

Figure 2

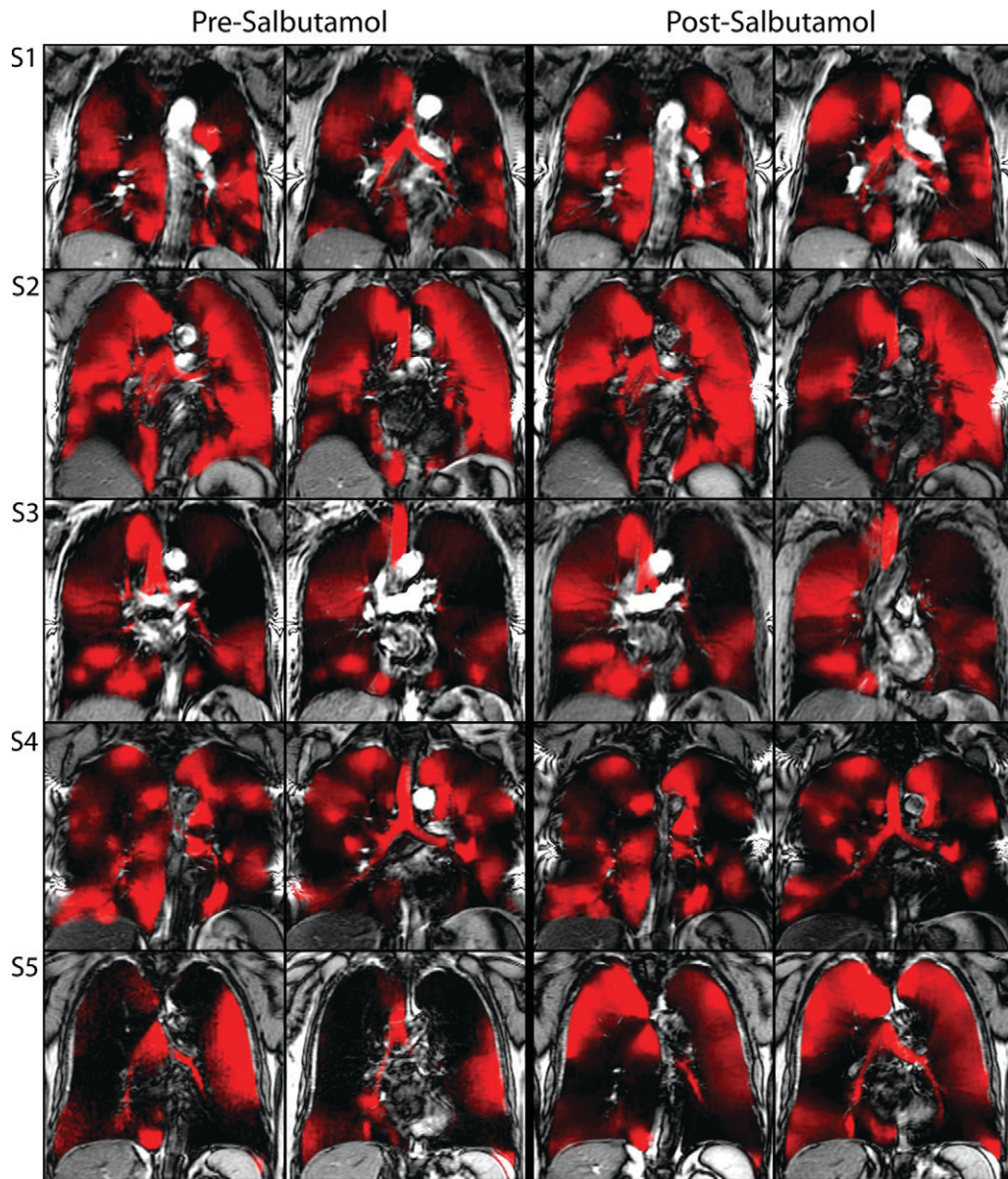


Figure 2: Pre- and post-salbutamol ^3He MR images (in red) registered to two center coronal thoracic ^1H MR images (in gray scale) for five representative patients with COPD. The patients in *S1* and *S2* had GOLD stage II disease, the patients in *S3* and *S4* had GOLD stage III disease, and the patient in *S5* had GOLD stage IV disease. The change in ^3He gas distribution after salbutamol is readily apparent in the right and left apical regions in *S1* and *S5* and in the right mid-apical region in *S3*, whereas there is little change visible in *S2* and *S4* for the two sections shown.

response), and when this patient was recategorized as a responder, the reported results remained the same. It is also worth noting that there were no significant differences between responders and nonresponders in terms of baseline FEV_1 ($P = .44$) or DLCO ($P > .99$).

Discussion

In this pilot functional MR imaging evaluation of bronchodilator effects in COPD, we made a number of observations and report the following: (a) significant postbronchodilator improvements in both spirometric and ^3He MR imaging

measurements of gas distribution and their effect sizes, (b) a significant relationship between ^3He VDP and changes in FEV_1 after salbutamol administration, and (c) changes at ^3He MR imaging after bronchodilator administration that were not significantly different for responder and nonresponder subgroups.

Figure 3

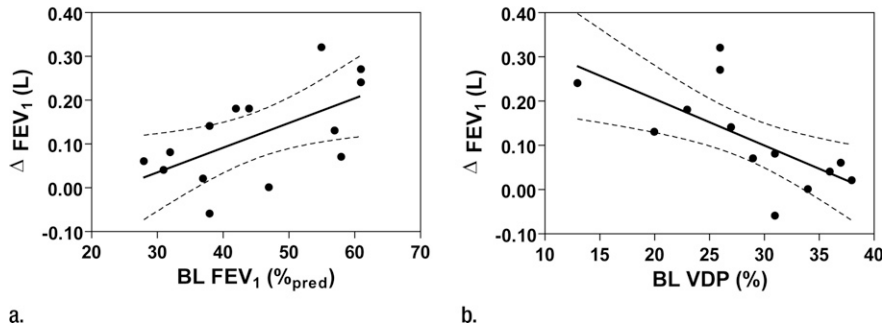


Figure 3: Graphs show correlation between (a) baseline (BL) FEV₁ as percentage predicted value (%pred) and post-salbutamol change in FEV₁ (in liters) and (b) baseline VDP and post-salbutamol change in FEV₁. (a) Baseline FEV₁ is significantly correlated with ΔFEV₁ ($r = 0.60$, $r^2 = 0.36$, $P = .02$, $y = 0.006 \cdot -0.13$) and (b) baseline VDP is significantly correlated with ΔFEV₁ ($r = -0.68$, $r^2 = 0.46$, $P = .008$, $y = -0.01 \cdot +0.42$). Dotted lines = 95% confidence intervals.

First, and as might be expected from previous work that demonstrated modest improvements in lung function in patients with COPD after bronchodilator therapy (7,10,11,41–43), we observed statistically significant changes in mean FEV₁, FRC, and TLC. At the same time, significant decreases in ³He MR

imaging VDP and cluster 2 and significant improvements in ³He MR imaging clusters 3–5 were measured, suggesting regional gas distribution improvements throughout the lung. Additionally, the ³He gas distribution changes occurred in different sections for each patient, with no detected bias for specific regions

Figure 4

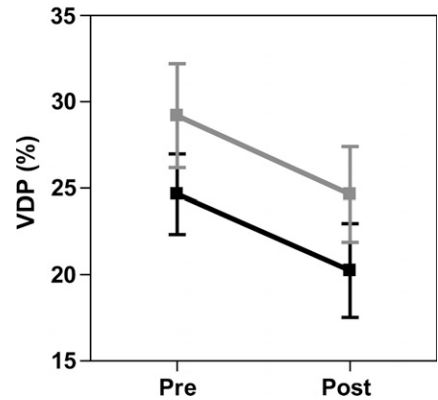


Figure 4: Graph shows mean changes in ³He VDP for bronchodilator responders (black line) and nonresponders (gray line). Values are means calculated for all patient imaging sections, and error bars = 95% confidence intervals.

of gas distribution improvements. This finding is in agreement with those of a recent CT study (15) that demonstrated regionally dispersed airway wall structural alterations after bronchodilator administration. Moreover, when ES was

Table 3

Per-Patient Changes in Spirometric and ³He MR imaging Measurements after Salbutamol Administration

Patient Group and No.	Spirometry		³ He MR Imaging				
	ΔFEV ₁ *	ΔFVC*	ΔVDP (%)	ΔCluster 2 (%)	ΔCluster 3 (%)	ΔCluster 4 (%)	ΔCluster 5 (%)
All Patients	0.12/10	0.07/2	-4	-1	1	3	1
Responders							
Patient 1	0.14/11	0.46/14	2	-1	-1	0	0
Patient 3	0.18/16	0.42/13	2	-1	0	0	-2
Patient 5	0.32/15	0.36/10	-14	-2	2	9	5
Patient 7	0.18/25	0.24/16	0	1	1	0	-1
Patient 10	0.27/22	0.49/21	-11	-4	4	6	4
All	0.22/11	0.36/14	-4	-1	1	3	1
Nonresponders							
Patient 2	0.02/2	-0.01/0	0	0	1	0	-1
Patient 4	0.04/6	-0.25/-11	2	-1	0	-1	-1
Patient 6	0.00/0	-0.32/-12	3	-1	-2	0	-1
Patient 8	-0.06/-7	-0.07/-3	-6	-3	3	5	1
Patient 9	0.24/12	0.35/9	-2	0	-2	2	2
Patient 11	0.08/9	0.11/3	-8	0	-5	9	4
Patient 12	0.06/8	-0.08/-4	-20	-2	10	10	2
Patient 13	0.13/9	0.07/2	-3	-1	-1	2	3
Patient 14	0.07/4	-0.76/-17	-6	1	7	0	-2
All	0.06/5	-0.11/-3	-5	-1	1	3	1

Note.—Significant bronchodilator response was defined as an increase in FEV₁ and/or FVC greater than 200 mL and 12% from pre-salbutamol values according to ATS/ European Respiratory Society guidelines (40).

* Data are liters/percentage change from baseline absolute value in liters.

evaluated, which allows the magnitude of the treatment effect to be compared between disparate types of measurements, ^3He MR imaging measurements provided greater ES than FEV_1 . We also observed an overall mean change in VDP and all ^3He ventilation cluster measurements greater than the SDD, indicating that the measured improvement in ^3He distribution after salbutamol administration was not due to measurement error or lack of reproducibility. Although this study did not include a control arm, it is important to note that 11 of the 14 patients evaluated here previously participated in a reproducibility study (20) approximately 2 years prior to the pre- and post-salbutamol imaging in this study. In that previous study, the mean change in VDV for these patients, approximately 7 minutes after imaging, was 1 mL—not a significant or clinically relevant change. For the same 11 patients reported here, the mean change in ^3He VDV (cluster 1) was 62 mL. Taken together, these results strongly suggest that the changes after treatment observed here were not due to either scan-rescan variability or the intraobserver variability of the measurement technique. We must also point out that although, to our knowledge, this is the first reported study demonstrating improvements in ^3He gas distribution after bronchodilator therapy in COPD, previous ^3He MR imaging studies have demonstrated changes in ^3He gas distribution after bronchial provocation tests with methacholine in asthma and after therapy in patients with cystic fibrosis (25–29). Taken together, results of these imaging-based treatment response studies indicate that functional MR imaging may be considered for evaluating new respiratory therapies in clinical trials.

Second, we also observed a significant negative correlation between baseline VDP and changes in FEV_1 after salbutamol administration, as well as a significant positive correlation between baseline FEV_1 and changes in FEV_1 . These results suggest that patients demonstrating the greatest improvement in FEV_1 after salbutamol administration were those with milder disease. A recent CT study (44) also evaluated a large

number of ex-smokers with COPD as part of the National Emphysema Treatment Trial, and, in accordance with our MR imaging results, demonstrated that significant bronchodilator reversibility was more likely in patients with higher FEV_1 .

Finally, we detected postbronchodilator improvements in ^3He VDP and gas distribution that were not significantly different for the five responders and nine nonresponders. On the basis of this imaging finding, it appears that even patients with COPD without a clinically relevant improvement in FEV_1 show improved regional gas distribution after bronchodilator administration. In fact, both groups showed the same mean improvement in ^3He MR imaging measurements. This important finding suggests that measurements derived from noninvasive imaging reveal functional lung changes that enable a better understanding of the COPD-affected lung and its regional response to therapy, which may be important for drug development and drug treatment trials in COPD. Future functional MR imaging studies with larger sample sizes will help to confirm and extend these important findings.

We acknowledge that this pilot study was limited by the small number of patients examined and by the fact that the analysis was restricted mainly to patients with stage II and III COPD. Therefore, caution should be exercised in extrapolating these results to the general COPD population and more specifically to patients with stage I or IV disease. Another limitation was the lack of a true reference standard for the measurement of treatment effects in COPD, such as dyspnea scores and measurements of exercise tolerance for direct comparison to ^3He MR imaging measurements to evaluate the clinical meaning of the imaging changes. In other words, whether improvements in gas distribution are related to clinical or symptomatic improvement remains to be established in a larger study. It is also important to note that the study patients were provided training and instruction for inspiration breath-hold imaging, and they performed practice breath holds and received coaching during breath-hold MR imaging. It is

clear for all imaging studies that standardization is required for reproducible and comparable breath-hold volumes, and this is critically important for acute and chronic therapy repeated studies. We also acknowledge that although ^3He MR imaging ventilation defect measurements have been previously reported to have high same-day reproducibility (20) in the majority of patients included in this study, the prospective inclusion of a control group would have strengthened the conclusion that the post-salbutamol changes observed here were directly attributable to bronchodilator treatment. Another important consideration is the limited access to ^3He MR imaging and the high cost of ^3He gas that has thus far restricted translation of this functional imaging method to specialized MR physics centers. It is important to note the development of hyperpolarized xenon 129 MR imaging, a less expensive and more readily available approach, and a promising alternative against which these reported findings can be directly tested.

In conclusion, our results suggest that noninvasive pulmonary functional ^3He MR imaging provides a way to measure acute treatment effects by quantifying ^3He gas distribution before and after therapy for COPD.

Acknowledgments: We thank Shayna McKay, BSc, and Sandra Halko, CCRC, RPT, for clinical coordination and clinical database management, Andrew Wheatley, BSc, for production and dispensing of ^3He gas, and Yves Bureau, PhD, for assistance with statistical analysis. G.P. gratefully acknowledges helpful discussions and debate with Aaron Fenster, PhD, FCCPM, and the late Peter T. Macklem, MD, FRCPC, OC.

Disclosures of Potential Conflicts of Interest: **M.K.** No potential conflicts of interest to disclose. **L.M.** No potential conflicts of interest to disclose. **M.H.** No potential conflicts of interest to disclose. **R.E.** No potential conflicts of interest to disclose. **D.G.M.** No potential conflicts of interest to disclose. **G.P.** No potential conflicts of interest to disclose.

References

1. Rabe KF, Hurd S, Anzueto A, et al. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease: GOLD executive summary. *Am J Respir Crit Care Med* 2007;176(6):532–555.

2. Jones PW. Health status measurement in chronic obstructive pulmonary disease. *Thorax* 2001;56(11):880-887.
3. Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS; GOLD Scientific Committee. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO Global Initiative for Chronic Obstructive Lung Disease (GOLD) Workshop summary. *Am J Respir Crit Care Med* 2001;163(5):1256-1276.
4. O'Donnell DE, Webb KA. Exertional breathlessness in patients with chronic airflow limitation: the role of lung hyperinflation. *Am Rev Respir Dis* 1993;148(5):1351-1357.
5. Bauerle O, Chrusch CA, Younes M. Mechanisms by which COPD affects exercise tolerance. *Am J Respir Crit Care Med* 1998;157(1):57-68.
6. Carlson DJ, Ries AL, Kaplan RM. Prediction of maximum exercise tolerance in patients with COPD. *Chest* 1991;100(2):307-311.
7. O'Donnell DE, Forkert L, Webb KA. Evaluation of bronchodilator responses in patients with "irreversible" emphysema. *Eur Respir J* 2001;18(6):914-920.
8. Belman MJ, Botnick WC, Shin JW. Inhaled bronchodilators reduce dynamic hyperinflation during exercise in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 1996;153(3):967-975.
9. O'Donnell DE, Lam M, Webb KA. Measurement of symptoms, lung hyperinflation, and endurance during exercise in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 1998;158(5 Pt 1):1557-1565.
10. Costa GM, Faria AC, Di Mango AM, Lopes AJ, Jansen JM, Melo PL. Bronchodilation in COPD: beyond FEV1—the effect of albuterol on resistive and reactive properties of the respiratory system. *J Bras Pneumol* 2009;35(4):325-333.
11. Borrill ZL, Houghton CM, Tal-Singer R, et al. The use of plethysmography and oscillometry to compare long-acting bronchodilators in patients with COPD. *Br J Clin Pharmacol* 2008;65(2):244-252.
12. De Luca N, Capuzi P, D'Angeli AL, et al. High resolution computed tomography (HRCT) assessment of beta 2-agonist induced bronchodilation in chronic obstructive pulmonary disease patients. *Eur Rev Med Pharmacol Sci* 1999;3(2):83-87.
13. Cerveri I, Pellegrino R, Dore R, et al. Mechanisms for isolated volume response to a bronchodilator in patients with COPD. *J Appl Physiol* 2000;88(6):1989-1995.
14. Gelb AF, Taylor CF, Cassino C, Shinar CM, Schein MJ, Zamel N. Tiotropium induced bronchodilation and protection from dynamic hyperinflation is independent of extent of emphysema in COPD. *Pulm Pharmacol Ther* 2009;22(3):237-242.
15. Hasegawa M, Makita H, Nasuhara Y, et al. Relationship between improved airflow limitation and changes in airway calibre induced by inhaled anticholinergic agents in COPD. *Thorax* 2009;64(4):332-338.
16. Lee JH, Lee YK, Kim EK, et al. Responses to inhaled long-acting beta-agonist and corticosteroid according to COPD subtype. *Respir Med* 2010;104(4):542-549.
17. Diba C, Salome CM, Berend N, Harris B, Bailey D, King GG. Effect of bronchodilator on ventilation heterogeneity in COPD [abstr]. *Am J Respir Crit Care Med* 2009;179:A5577.
18. Kirby M, Mathew L, Wheatley A, Santyr GE, McCormack DG, Parraga G. Chronic obstructive pulmonary disease: longitudinal hyperpolarized (³He) MR imaging. *Radiology* 2010;256(1):280-289.
19. Mathew L, Kirby M, Etemad-Rezai R, Wheatley A, McCormack DG, Parraga G. Hyperpolarized (³He) magnetic resonance imaging: preliminary evaluation of phenotyping potential in chronic obstructive pulmonary disease. *Eur J Radiol* 2011;79(1):140-146.
20. Mathew L, Evans A, Ouriadov A, et al. Hyperpolarized ³He magnetic resonance imaging of chronic obstructive pulmonary disease: reproducibility at 3.0 tesla. *Acad Radiol* 2008;15(10):1298-1311.
21. Parraga G, Ouriadov A, Evans A, et al. Hyperpolarized ³He ventilation defects and apparent diffusion coefficients in chronic obstructive pulmonary disease: preliminary results at 3.0 Tesla. *Invest Radiol* 2007;42(6):384-391.
22. Mathew L, Gaede S, Wheatley A, Etemad-Rezai R, Rodrigues GB, Parraga G. Detection of longitudinal lung structural and functional changes after diagnosis of radiation-induced lung injury using hyperpolarized ³He magnetic resonance imaging. *Med Phys* 2010;37(1):22-31.
23. Evans A, McCormack DG, Santyr G, Parraga G. Mapping and quantifying hyperpolarized ³He magnetic resonance imaging apparent diffusion coefficient gradients. *J Appl Physiol* 2008;105(2):693-699.
24. Woodhouse N, Wild JM, Paley MN, et al. Combined helium-3/proton magnetic resonance imaging measurement of ventilated lung volumes in smokers compared to never-smokers. *J Magn Reson Imaging* 2005;21(4):365-369.
25. de Lange EE, Altes TA, Patrie JT, et al. Changes in regional airflow obstruction over time in the lungs of patients with asthma: evaluation with ³He MR imaging. *Radiology* 2009;250(2):567-575.
26. de Lange EE, Altes TA, Patrie JT, et al. The variability of regional airflow obstruction within the lungs of patients with asthma: assessment with hyperpolarized helium-3 magnetic resonance imaging. *J Allergy Clin Immunol* 2007;119(5):1072-1078.
27. Samee S, Altes T, Powers P, et al. Imaging the lungs in asthmatic patients by using hyperpolarized helium-3 magnetic resonance: assessment of response to methacholine and exercise challenge. *J Allergy Clin Immunol* 2003;111(6):1205-1211.
28. Mentore K, Froh DK, de Lange EE, Brookeman JR, Paget-Brown AO, Altes TA. Hyperpolarized HHe 3 MRI of the lung in cystic fibrosis: assessment at baseline and after bronchodilator and airway clearance treatment. *Acad Radiol* 2005;12(11):1423-1429.
29. Woodhouse N, Wild JM, van Beek EJ, Hoggard N, Barker N, Taylor CJ. Assessment of hyperpolarized ³He lung MRI for regional evaluation of interventional therapy: a pilot study in pediatric cystic fibrosis. *J Magn Reson Imaging* 2009;30(5):981-988.
30. Koumellis P, van Beek EJ, Woodhouse N, et al. Quantitative analysis of regional airways obstruction using dynamic hyperpolarized ³He MRI—preliminary results in children with cystic fibrosis. *J Magn Reson Imaging* 2005;22(3):420-426.
31. Heydarian M, Kirby M, Choy S, et al. Semi-automated segmentation of pulmonary ventilation using hyperpolarized ³He magnetic resonance imaging [abstract]. *Biomedical Engineering Society Annual Meeting 2010: Imaging the Lung — The New Frontier*. Austin, Texas: Biomedical Engineering Society, 2010; 82.
32. MacQueen J. Some methods for classification and analysis of multivariate observations. In: Le Cam LM, Neyman J, eds. *Fifth Berkeley Symposium on Mathematical Statistics and Probability: Statistical Laboratory of the University of California*, Berkeley, Calif: University of California Press, 1967; 281-297.
33. Cooley B, Acton S, Salerno M, et al. Automated scoring of hyperpolarized helium-3 MR lung ventilation images: initial development and validation (abstr). In: *Proceedings of the Tenth Meeting of the International Society for Magnetic Resonance in Medicine*. Berkeley, Calif: International Society for Magnetic Resonance in Medicine.
34. Ray N, Acton ST, Altes T, de Lange EE, Brookeman JR. Merging parametric active contours within homogeneous image regions

- for MRI-based lung segmentation. *IEEE Trans Med Imaging* 2003;22(2):189–199.
35. Guyer RA, Hellman MD, Emami K, et al. A robust method for estimating regional pulmonary parameters in presence of noise. *Acad Radiol* 2008;15(6):740–752.
 36. Adams R, Bischof L. Seeded region growing. *IEEE Trans Pattern Anal Mach Intell* 1994;16(6):641–647.
 37. Kirby M, Wheatley A, McCormack DG, Parraga G. Development and application of methods to quantify spatial and temporal hyperpolarized ³He MRI ventilation dynamics: preliminary results in chronic obstructive pulmonary disease. In: Molthen RC, Weaver JB, eds. *Proceedings of SPIE: medical imaging 2010: Biomedical Applications in Molecular, Structural, and Functional Imaging*. Vol 7626. Bellingham, Wash: International Society for Optical Engineering, 2010; 762601.
 38. Hedges LV. Distribution theory for Glass's estimator of effect size and related estimators. *J Educ Behav Stat* 1981;6(2):107–128.
 39. Eliasziw M, Young SL, Woodbury MG, Fryday-Field K. Statistical methodology for the concurrent assessment of interrater and intrarater reliability: using goniometric measurements as an example. *Phys Ther* 1994;74(8):777–788.
 40. Pellegrino R, Viegi G, Brusasco V, et al. Interpretative strategies for lung function tests. *Eur Respir J* 2005;26(5):948–968.
 41. Rubin AS, Souza FJ, Hetzel JL, Moreira Jda S. Immediate bronchodilator response to formoterol in poorly reversible chronic obstructive pulmonary disease [in Portuguese]. *J Bras Pneumol* 2008;34(6):373–379.
 42. O'Donnell DE, Reville SM, Webb KA. Dynamic hyperinflation and exercise intolerance in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2001;164(5):770–777.
 43. Jensen D, Amjadi K, Harris-McAllister V, Webb KA, O'Donnell DE. Mechanisms of dyspnoea relief and improved exercise endurance after furosemide inhalation in COPD. *Thorax* 2008;63(7):606–613.
 44. Han MK, Wise R, Mumford J, et al. Prevalence and clinical correlates of bronchoreversibility in severe emphysema. *Eur Respir J* 2010;35(5):1048–1056.