

# CHEMISTRY

## A European Journal

A Journal of



### Accepted Article

**Title:** Impact of a Water-Soluble Gallic Acid-Based Dendrimer on the Color-Stabilizing Mechanisms of Anthocyanins

**Authors:** Luis Cruz, Nuno Basílio, Johan Mendoza, Nuno Mateus, Victor de Freitas, Maun H. Tawara, Juan Correa, and Eduardo Fernandez-Megia

This manuscript has been accepted after peer review and appears as an Accepted Article online prior to editing, proofing, and formal publication of the final Version of Record (VoR). This work is currently citable by using the Digital Object Identifier (DOI) given below. The VoR will be published online in Early View as soon as possible and may be different to this Accepted Article as a result of editing. Readers should obtain the VoR from the journal website shown below when it is published to ensure accuracy of information. The authors are responsible for the content of this Accepted Article.

**To be cited as:** *Chem. Eur. J.* 10.1002/chem.201901912

**Link to VoR:** <http://dx.doi.org/10.1002/chem.201901912>

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1 **Impact of a Water-Soluble Gallic Acid-Based Dendrimer on the Color-Stabilizing**  
2 **Mechanisms of Anthocyanins**

3

4 Luís Cruz,<sup>a,\*</sup> Nuno Basílio,<sup>c</sup> Johan Mendoza,<sup>c</sup> Nuno Mateus,<sup>a</sup> Victor de Freitas,<sup>a</sup> Maun  
5 H. Tawara,<sup>b</sup> Juan Correa,<sup>b</sup> Eduardo Fernandez-Megia<sup>b</sup>

6

7 <sup>a</sup>*REQUIMTE/LAQV, Departamento de Química e Bioquímica, Faculdade de Ciências,*  
8 *Universidade do Porto, Rua do Campo Alegre, s/n, 4169-007 Porto, Portugal.*

9 <sup>b</sup>*Centro Singular de Investigación en Química Biolóxica e Materiais Moleculares*  
10 *(CIQUS) and Departamento de Química Orgánica, Universidade de Santiago de*  
11 *Compostela, Jenaro de la Fuente s/n, 15782 Santiago de Compostela, Spain.*

12 <sup>c</sup>*LAQV, REQUIMTE, Departamento de Química, Faculdade de Ciências e Tecnologia,*  
13 *Universidade Nova de Lisboa, 2829-516 Caparica, Portugal.*

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15 \*Corresponding author. Tel.: +351 220402558; fax: +351 220402658.

16 Email address: [luís.cruz@fc.up.pt](mailto:luís.cruz@fc.up.pt) (Luis Cruz)

17

18 **ABSTRACT**

19 The interaction of two anthocyanins with a water-soluble polyanionic dendrimer was  
20 studied through UV-Vis, stopped-flow and NMR spectroscopy. Cy3glc revealed a  
21 stronger interaction than mv3glc at pH 1 according to their apparent association  
22 constants. A higher color increased was also obtained for cy3glc at pH 3.5 as a result of  
23 this stronger interaction. A high-frequency chemical shift of the cy3glc aromatic protons  
24 suggest the formation of ionic pairs. The interaction parameters ( $K \sim 700 \text{ M}^{-1}$ ,  $n \sim 295$ )  
25 indicated the binding of approximately two anthocyanin molecules by each sulfate  
26 group. The equilibrium and rate constants of cy3glc in the presence of dendrimer  
27 showed an increased stability of the flavylium cation and a higher protection of this  
28 species from hydration ( $\text{p}K'_a$  and  $\text{p}K_h$  increased almost one pH unit). The tuning and  
29 color stabilization of anthocyanins using this dendrimer envisage novel applications as  
30 colorimetric sensors for food packaging.

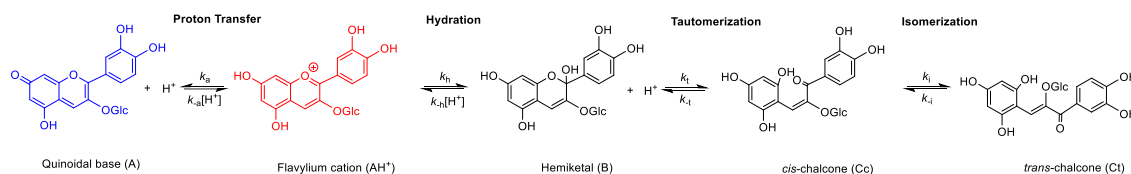
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32 **Keywords:** UV-Vis spectroscopy; NMR; gallic acid-based dendrimer; anthocyanins;  
33 association constant

34

## 35 Introduction

36 Anthocyanins are glycosylated derivatives of 2-phenyl-benzopyrylium cation being  
 37 responsible for a pallet of beautiful colors found in many fruits and flowers. This wide  
 38 range of colors is essentially driven by a pH-dependent multistate involving four different  
 39 chemical reactions and five species (Scheme 1).<sup>[1, 2]</sup>



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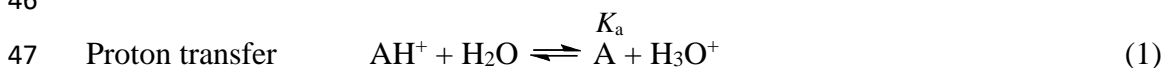
$$K_n = \frac{k_n}{k_{-n}} \quad n = a, h, t, i$$

42 Scheme 1. Multistate of chemical species of cyanidin-3-glucoside (cy3glc). At very acidic pH the system  
 43 converges to the flavylium cation.

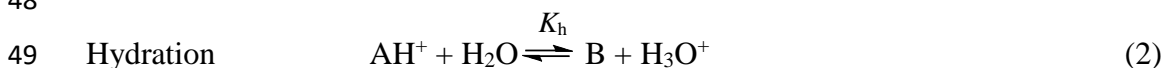
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45 The reactions shown in Scheme 1 can be described in the following equations (1-4):

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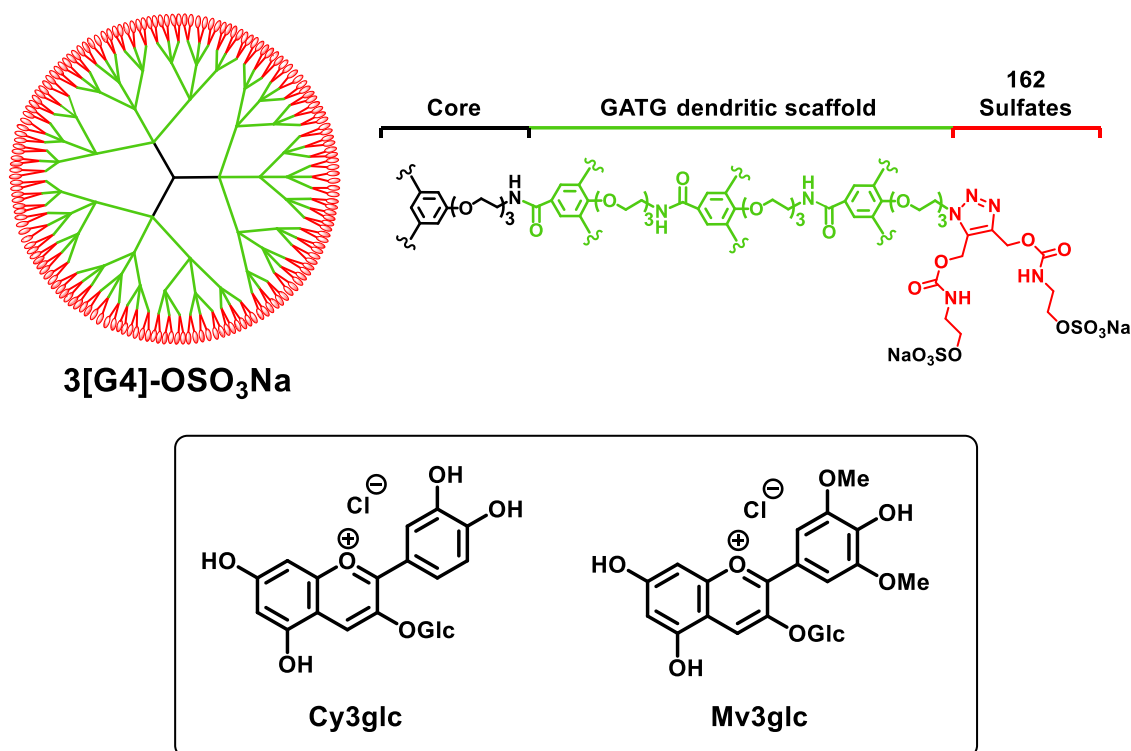
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55 Considering the above chemical equilibria of anthocyanins, a great color fading is  
 56 expectable at moderated acidic to neutral pH essentially due to the hydration reaction of  
 57 the flavylium cation to form an uncolored hemiketal species (equation 2). However, in  
 58 Nature, many plants have found some color-stabilizing mechanisms to maintain their red  
 59 and blue colors<sup>[3-6]</sup> at higher pH, such as intermolecular copigmentation,<sup>[7]</sup> intramolecular  
 60 copigmentation<sup>[8]</sup> and self-association<sup>[9]</sup>. These non-covalent interactions (van der Waals  
 61  $\pi$ - $\pi$  stacking stabilized by intermolecular hydrogen bonds) partially protects the flavylium  
 62 cation from the hydration reaction. For example, in wine (pH ~ 3.5) anthocyanins are  
 63 mainly present in their colorless hemiketal form. However, due to the copigmentation  
 64 phenomena with other flavonoids<sup>[10, 11]</sup> and to self-association mechanism<sup>[3, 12]</sup>, the  
 65 stabilization of the flavylium form of anthocyanins occurs<sup>[13]</sup>. Other strategies for color  
 66 stabilization of anthocyanins found by plants is to make acylated derivatives which are

67 usually used as food colorants because of superior stability over non-acylated  
68 anthocyanins.<sup>[14, 15]</sup> Supramolecular host-guest interactions have been widely applied in  
69 chemical and biochemical fields. Host-guest systems are formed by molecular  
70 recognition of a receptor (host) and a ligand (guest) by means of noncovalent interactions,  
71 such as electrostatic, hydrogen-bonds, hydrophobic interactions, chemical coordination,  
72 van der Waals forces and  $\pi$ - $\pi$  stacking. Guests gain benefits from the host-guest system  
73 by binding to the host to improve their stability, solubility, bioavailability, etc. Several  
74 hosts have been described for inclusion of guest molecules such as crown ethers,  
75 porphyrins, cyclodextrins, cucurbiturils, nanoparticles and nanotubes, liposomes and  
76 dendrimers<sup>[16]</sup>. The stabilization of natural anthocyanins and flavylum analogues by  
77 developing host-guest systems is poorly reported in literature<sup>[17-19]</sup>. In the case of  
78 cyclodextrins, a destabilization of the red flavylum cation generally occurs because the  
79 colorless hemiketal species is preferentially encapsulated by the receptor. To the best of  
80 our knowledge, dendrimers have never been used as hosts for color tuning of  
81 anthocyanins with pH. A recent study demonstrated the use of silica-PAMAM dendrimer  
82 nanoparticles to encapsulate anthocyanins and to further evaluated their antiproliferative  
83 activity against neuroblastoma (Neuro 2A).<sup>[20]</sup> Dendrimers are synthetic tree-like  
84 macromolecules composed of repetitive layers of branching units that emerge from a  
85 central core. They are synthesized in a controlled iterative fashion through generations  
86 with nil dispersity, precise molecular weight, and discrete properties. Their high  
87 functional surface, globular architecture in the nanometer scale, and inherent  
88 multivalency make them ideal candidates for a wide range of applications, from bio- and  
89 nanotechnology to catalysis and materials science<sup>[21, 22]</sup>. Water-soluble dendrimers are  
90 recognized as ideal candidates for bioapplications. Furthermore, polyanionic dendrimers  
91 have been showed higher biocompatible profiles compared to polycationic ones.<sup>[23-25]</sup>  
92 In this work, a water-soluble GATG (gallic acid-triethylene glycol)  
93 dendrimer<sup>[26]</sup> decorated with 162 terminal anionic sulfate groups was used to study its  
94 effect on the color-stabilizing mechanisms of two important anthocyanin monoglucosides  
95 found in Nature (malvidin-3-glucoside and cyanidin-3-glucoside) at molecular level, as  
96 well as their thermodynamic and kinetic properties (Figure 1). Dendrimers field is a hot  
97 topic to explore innovative applications for anthocyanins for example to develop novel  
98 pH-sensor systems for food intelligent packaging.<sup>[27]</sup>

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104 Figure 1. Structures of 3[G4]-OSO<sub>3</sub>Na carrying 162 peripheral sodium sulfate groups and anthocyanins  
 105 (flavylium salts).

106

## 107 Experimental Section

### 108 Materials

109 Theorell and Stenhagen universal buffer <sup>[28]</sup> was prepared by dissolving 2.25 mL of  
 110 phosphoric acid (85 % w/w), 3.54 g of boric acid, 7.00 g of monohydrated citric acid and  
 111 343 mL of NaOH 1M solution in distilled water until 1 L. The other reagents were  
 112 obtained from Sigma-Aldrich (Madrid, Spain). 3[G4]-OSO<sub>3</sub>Na, a GATG dendrimer of  
 113 fourth generation with 162 terminal sodium sulfate groups (MW: 61672 g.mol<sup>-1</sup>) was  
 114 obtained from 3[G3]-N<sub>3</sub> <sup>[29,30]</sup> via azide-alkyne cycloaddition. A solution of 3[G3]-N<sub>3</sub> (47  
 115 mg, 1.97 μmol) and ammonium 4,11-dioxo-5,10-dioxa-3,12-diazatetradec-7-yne-1,14-  
 116 diyl bis(sulfate) (145 mg, 0.319 mmol) in tBuOH/H<sub>2</sub>O 1:1 (160 μL) was stirred at 120 °C  
 117 for 8 h and then was purified by ultrafiltration (4 x 30 mL 0.1 M NaOH and 2 x 30 mL  
 118 H<sub>2</sub>O, Amicon YM3) and lyophilized to afford 3[G4]-OSO<sub>3</sub>Na as a white foam (108 mg,  
 119 91%): <sup>1</sup>H NMR (500 MHz, D<sub>2</sub>O) δ: 7.26-7.12 (m, 78H), 6.26 (br s, 3H), 5.43-5.16 (m,  
 120 324H), 4.71-4.58 (m, 162H), 4.29-4.01 (m, 564H), 4.00-3.51 (m, 1038H), 3.48-3.32 (m,  
 121 324H). <sup>13</sup>C NMR (75 MHz, D<sub>2</sub>O) δ: 168.8, 157.5, 157.0, 151.8, 141.9, 139.6, 132.6,

122 129.3, 106.1, 72.1, 69.9, 69.5, 69.0, 68.8, 68.3, 67.2, 67.1, 57.1, 53.9, 48.6, 40.0. IR  
123 (KBr): 3446, 2935, 1718, 1542, 1255  $\text{cm}^{-1}$ . Malvidin-3-glucoside (mv3glc) and cyanidin-  
124 3-glucoside (cy3glc) were obtained by extraction from a young red wine (*Vitis vinifera*  
125 L. cv. Touriga Nacional) and from blackberries (*Rubus fruticosus* L.), respectively. All  
126 the purification procedures were followed as described elsewhere.<sup>[31, 32]</sup> The purity of the  
127 pigments was assessed by HPLC-DAD and  $^1\text{H}$  NMR.

128

### 129 **pH jumps**

130 The equilibrium and rate constants of cy3glc in the presence and absence of 3[G4]-  
131  $\text{OSO}_3\text{Na}$  dendrimer were studied by UV-visible spectroscopy using the pH jump  
132 technique from  $\text{pH} = 1$  to higher pH values. To a 1 cm path length cell were added 300  
133  $\mu\text{L}$  of 0.1 M NaOH solution, 300  $\mu\text{L}$  of Theorell and Stenhagen universal buffer solution  
134 at the desired pH value and 150  $\mu\text{L}$  of a dendrimer stock solution at  $\text{pH} = 1$  (0.1 M HCl).  
135 At the end, 150  $\mu\text{L}$  of the anthocyanin stock solution at  $\text{pH} 1.0$  (0.1 M HCl) was added to  
136 the cell giving a final concentration of 19.8  $\mu\text{M}$  of cy3glc and 26  $\mu\text{M}$  of dendrimer. The  
137 same experiment was done without the addition of the dendrimer. In this case, the  
138 dendrimer solution was changed by 0.1 M HCl solution. The UV-Vis spectra of the  
139 different solutions were taken from 300 to 800 nm in a Thermo Scientific Evolution Array  
140 UV-visible spectrophotometer and their kinetics were followed until the equilibrium of  
141 the system was reached. The pH values of all solutions were measured in a Radiometer  
142 Copenhagen PHM240 pH/ion meter. The fitting of experimental data was carried out  
143 using nonlinear least-squares method and the Solver function from Microsoft Excel.

144

### 145 **Stopped-Flow**

146 Stopped-flow experiment was conducted in an Applied Photophysics SX20 stopped-flow  
147 spectrophotometer provided with a PDA.1/UV photodiode array detector with a  
148 minimum scan time of 0.65 ms and a wavelength range of 200 nm to 735 nm. The reverse  
149 pH jump was carried out by placing an equilibrated solution of the pigment in the presence  
150 of dendrimer at  $\text{pH} 5.58$  in one syringe and the respective amount of HCl in the second  
151 syringe to obtain the desired final  $\text{pH} \sim 1$ . A small volume of the sample was recovered  
152 after the mixture to confirm the pH of the solution.

153

### 154 **Copigmentation studies**

155 The solutions were prepared in citrate buffer solution (0.2 M) at pH 3.5, and the ionic  
156 strength was set to 0.5 M by addition of sodium chloride. Each pigment:dendrimer  
157 solution was obtained by mixing a volume of the pigment stock solution (fixed final  
158 concentration of 19.8  $\mu\text{M}$  for cy3glc and 10.3  $\mu\text{M}$  for mv3glc) with an aliquot of a  
159 dendrimer stock solution to give increasing concentrations of dendrimer between 5 and  
160 40  $\mu\text{M}$ . Each experiment was performed in triplicate and the solutions were left to  
161 equilibrate for 30 min before the measurement. UV-visible spectra were recorded from  
162 360 to 830 nm (1 nm sampling interval) using a 1 cm path length cell on a BIO-TEK  
163 Power Wave XS spectrophotometer at a temperature of 25  $^{\circ}\text{C}$ .

164

### 165 **Titration experiments**

#### 166 *UV-Vis spectroscopy*

167 The apparent association constants of the anthocyanin–dendrimer complex were  
168 estimated by UV–visible spectroscopy in aqueous solutions at pH 1. A solution of  
169 anthocyanin (cyglc 19.8  $\mu\text{M}$ ; mv3glc 10.3  $\mu\text{M}$ ) was prepared in 0.1 M HCl (solution A).  
170 Similarly, a solution containing a mixture of anthocyanin (cyglc 19.8  $\mu\text{M}$ ; mv3glc 10.3  
171  $\mu\text{M}$ ) and dendrimer at the concentration of 24  $\mu\text{M}$  was prepared (solution B). Then, to the  
172 solution A was subsequently added a known volume of solution B allowing to achieve  
173 increasing concentrations of dendrimer (from 0.59 to 12  $\mu\text{M}$ ). UV–visible absorption  
174 spectra were taken in a Thermo Scientific Evolution Array UV–visible spectrophotometer  
175 from 360 to 830 nm in a 1 cm path length cell. The absorbance values variations (Abs) as  
176 a function of dendrimer concentration  $[L_0]$  can be expressed by equation (5), previously  
177 developed by similar host-guest interactions<sup>[33]</sup>:

178

$$179 \text{ Abs} = \text{Abs}_0 + \Delta\text{Abs} \frac{nK[L_0]}{1+nK[L_0]} \quad (5)$$

180

181 where  $nK$  is the apparent binding constant,  $n$  is the number of nonspecific binding sites  
182 of the dendrimer where anthocyanin can bind, and  $[L_0]$  the total concentration of the  
183 dendrimer added. The data could be fitted by equation (5) using the nonlinear least-  
184 squares method and the Solver function from Microsoft Excel:

185

#### 186 *NMR*

187 For the NMR studies, a 0.124 mM solution of cy3glc was prepared in D<sub>2</sub>O and the pH  
188 was adjusted to 1 (pD 1.4) and transferred into 5 mm NMR tubes. Sodium trimethylsilyl-  
189 [2,2,3,3-d<sub>4</sub>]-propionate (TSP, 5 μL, 0.05 mM in D<sub>2</sub>O) was used as an internal standard  
190 for chemical shift measurements. Successive volumes of a dendrimer stock solution in  
191 D<sub>2</sub>O (16.2 μM) were added to the NMR tube to obtain different anthocyanin:dendrimer  
192 molar ratios during the titration. pH measurements were made in a pH-meter WTW pH  
193 320 fitted with a standard glass Crison® 5209 electrode. The calibration was made with  
194 standard aqueous buffers at pH 4.0 and pH 1.0 from Crison®. All <sup>1</sup>H NMR spectra were  
195 recorded at 298.2K on a Bruker Avance III 400 HD spectrometer, operating at 400.14  
196 MHz, equipped with 5 mm PADUL and pulse gradient units, capable of producing  
197 magnetic field pulsed gradients in the z-direction of 50 G/cm. The measurements were  
198 done with standard Bruker pulse sequences at 298.2 K. <sup>1</sup>H NMR experiments were  
199 performed with water suppression using excitation sculpting with gradients, acquisition  
200 time 2.56 s, relaxation delay 1s and 64 transients of a spectral width of 6410.26 Hz were  
201 collected into 32 K time domain points,

202

### 203 *NMR data analysis*

204 For titration experiments, chemical shift variations ( $\Delta\delta_{obs}$ ) of some cy3glc protons as a  
205 function of cy3glc/dendrimer molar ratio can be expressed through equation (6)<sup>[16]</sup>:

206

$$207 \quad \Delta\delta_{obs} = \frac{\Delta\delta_{max}}{2} \left\{ \left( 1 + \frac{1}{K[Guest]} + \frac{n[Dend]}{[Guest]} \right) - \left[ \left( 1 + \frac{1}{K[Guest]} + \frac{n[Dend]}{[Guest]} \right)^2 - \frac{4n[Dend]}{[Guest]} \right]^{1/2} \right\} \quad (6)$$

208

209  $\Delta\delta_{max}$  is the maximum chemical shift variation of the guest molecule in NMR titration  
210 experiment  $K$  is the binding affinity or association constant The number of binding sites  
211 ( $n$ ) was obtained by fitting the titration data with equation (6) using a nonlinear least-  
212 squares method within the software program Microsoft Excel.

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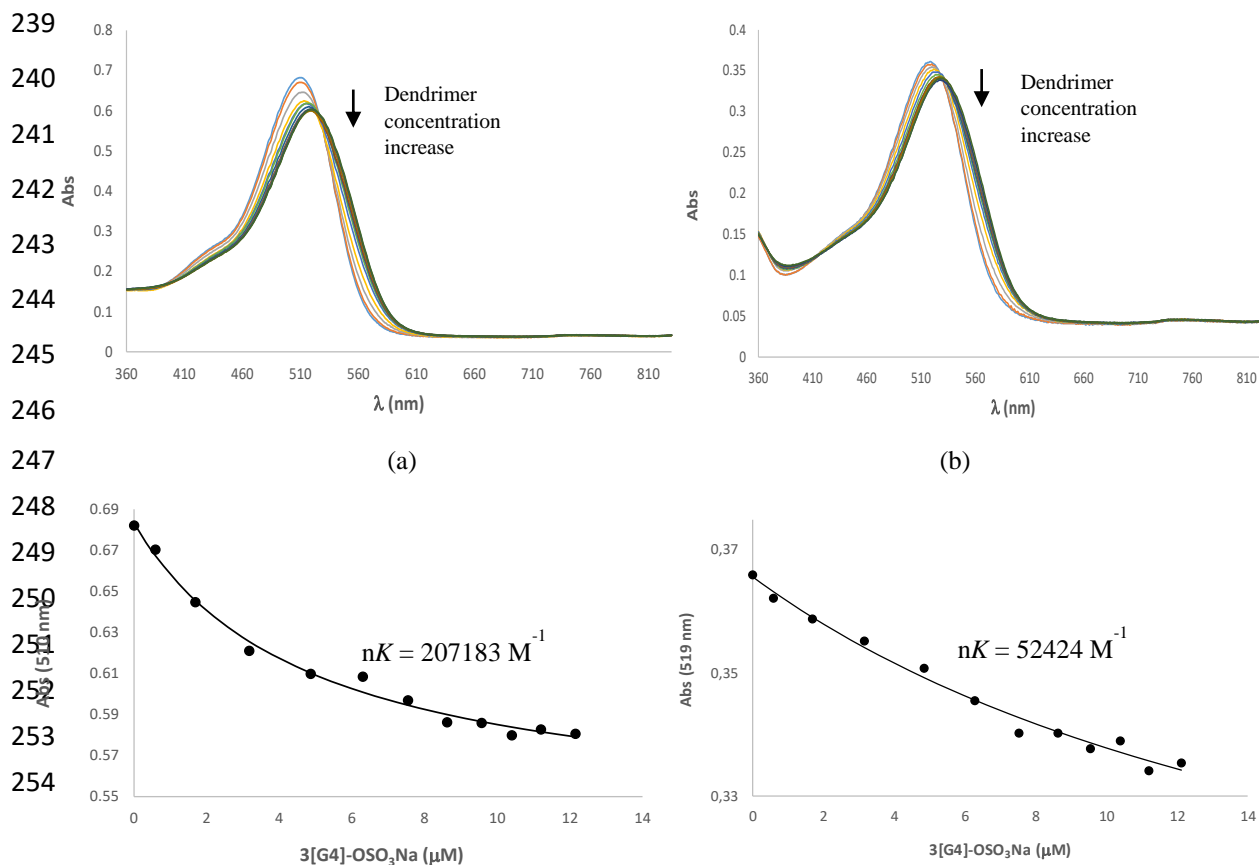
## 214 **Results and discussion**

### 215 *UV-Visible spectroscopy.*

216 Preliminary studies to evaluate possible interactions between cy3glc and three types of  
217 GATG-based dendrimers, namely cationic amine 3[G4]-NH<sub>2</sub>·HCl, anionic sulfated  
218 3[G4]-OSO<sub>3</sub>Na and neutral triethylene glycol 3[G4]-OH (each decorate with 162 terminal  
219 residues) were performed in aqueous solutions at pH 1 and 3.5. The results showed only



220 a significant and interesting stabilization and intensification of the cy3glc color obtained  
221 in the presence of the anionic dendrimer at both pH values rather than with the other two  
222 dendrimers (supporting information). Bearing this, the interaction of mv3glc and cy3glc  
223 with the dendrimer 3[G4]-OSO<sub>3</sub>Na was studied in detail at pH 1 through UV-Vis  
224 spectroscopy by increasing the dendrimer concentration over a solution of anthocyanin at  
225 a fixed concentration. Figure 2 illustrates a bathochromic shift with the successive  
226 addition of small amounts of dendrimer solution. Upon the binding to the dendrimer, the  
227 absorption spectrum of the flavylium cation undergoes a red-shift of ca. 10 nm suggesting  
228 the incorporation of the anthocyanin in a microenvironment with lower polarity than  
229 water. Similar effects were observed upon binding of flavylium cations to anionic  
230 micelles, lignin and cucurbiturils.<sup>[33-35]</sup> The anionic character of the terminal sulfate  
231 groups in 3[G4]-OSO<sub>3</sub>Na are expected to stabilize the flavylium cation of the anthocyanin  
232 by Coulombic interactions. Furthermore, hydrophobic interactions between the 39 gallic  
233 acid and 81 triazol residues of the dendrimer and the aromatic framework of the flavylium  
234 cation might help stabilizing the interaction. From the absorbance taken at the maximum  
235 wavelength of the free pigments as a function of the concentration of the dendrimer and  
236 applying the fitting procedures, it was possible to estimate the apparent binding constants  
237 of the complexes as  $nK = 207183 \text{ M}^{-1}$  and  $nK = 52424 \text{ M}^{-1}$  for cy3glc and mv3glc,  
238 respectively (Figures 2c and 2d).



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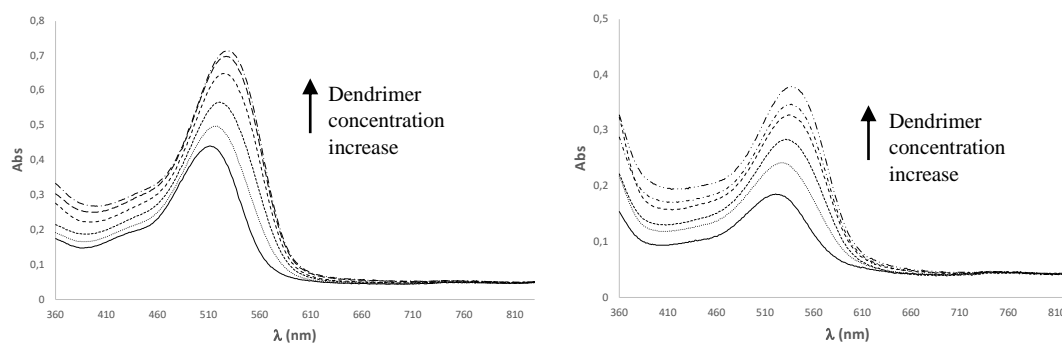
(c)

(d)

258 Figure 2. (a) UV-Visible spectra of cy3glc (19.8  $\mu\text{M}$ ) and cy3glc (19.8  $\mu\text{M}$ ) with increasing concentrations  
 259 of 3[G4]-OSO<sub>3</sub>Na from 0.59 to 12  $\mu\text{M}$  at pH 1 (0.1 M HCl); (b) the same for mv3glc (at 10.3  $\mu\text{M}$ ); (c)  
 260 fitting of the absorbance as a function of the concentration of 3[G4]-OSO<sub>3</sub>Na for cy3glc-dendrimer complex  
 261 using equation (5) with an estimated error  $\approx 10\%$ ; (d) the same for mv3glc-dendrimer complex.

262

263 Comparing the two values obtained it can be concluded that cy3glc displays a higher  
 264 binding affinity to the dendrimer than mv3glc, probably because the presence of the  
 265 catechol group in cy3glc leads to the establishment of an additional H-bond and/or a  
 266 stronger bifurcated H-bond. The ability of the dendrimer to interact with anthocyanins  
 267 was also evaluated at pH 3.5 by means of UV-Vis spectroscopy by adding increasing  
 268 dendrimer concentrations to a fixed concentration of anthocyanin solution. At this pH,  
 269 the flavylium and hemiketal forms are the main present species (e.g.  $pK_h$  oenin = 2.70  
 270  $\pm 0.01$ )<sup>[36, 37]</sup>, and hence water and the copigment are in competition for the flavylium  
 271 cation. Usually, in the copigmentation phenomena an increase of the absorbance intensity  
 272 and a wavelength redshift of the pigment (hyperchromic and bathochromic effects,  
 273 respectively) occur as a result of the stabilization of the flavylium cation and consequent  
 274 increase of its mole fraction at the expenses of the neutral species. From Figure 3, it was  
 275 possible to observe these two effects in the UV-Vis spectra of both anthocyanins with the  
 276 addition of increasing concentrations of dendrimer.



277

278

(a)

(b)

279 Figure 3. (a) UV-Visible spectra of free cy3glc (19.8  $\mu\text{M}$ ) and cy3glc (19.8  $\mu\text{M}$ ) with increasing  
 280 concentrations of 3[G4]-OSO<sub>3</sub>Na (5, 10, 20, 30 and 40  $\mu\text{M}$ ) at pH 3.5 (0.2 M citrate buffer); (b) the same  
 281 for mv3glc (at 10.3  $\mu\text{M}$ ).

282

283 For the highest concentration of dendrimer (40  $\mu\text{M}$ ), an increase of the absorption maxima  
 284 of the anthocyanins was observed compared to that of the free pigments: 29 % for cy3glc

285 and 20 % for mv3glc. This is in good agreement with the higher binding affinity of cy3glc  
 286 towards the dendrimer, contributing to its great color stabilization.

287

288 *NMR spectroscopy.*

289 The variations in proton chemical shifts of hosts and guests in  $^1\text{H}$  NMR can be used to  
 290 investigate host–guest interactions. The decrease of electron density around a nucleus  
 291 causes an increase of chemical shift (downfield shift or deshielding), while the increase  
 292 of electron density leads to a decrease of chemical shift (upfield shift or shielding)<sup>[38]</sup>.

293 When cy3glc was titrated with dendrimer in  $\text{D}_2\text{O}$  at pD 1.4, significant downfield shifts  
 294 of all aromatic protons of cy3glc were observed (Figure 4). This result suggests the  
 295 formation of ionic pairs between the polyanionic dendrimer and flavylum cation of  
 296 cy3glc<sup>[39-41]</sup>. Analysis of the  $^1\text{H}$  NMR titration data with the proposed equation (2)  
 297 could be used to estimate the binding parameters of the complex such as the number of  
 298 binding sites ( $n$ ), the maximum chemical shift ( $\Delta\delta_{\text{max}}$ ) change and the association  
 299 constant ( $K$ ) at pH 1.<sup>[42-46]</sup> To this end, the  $\Delta\delta_{\text{obs}}$  was plotted against the guest/host molar  
 300 ratio and the data was fitted using equation (16). From the fitting it was possible to  
 301 achieve a  $\Delta\delta_{\text{max}}=0.0365$  and to estimate the number of binding sites ( $n$ ) of the guest to  
 302 dendrimer around 295, which means that approximately two molecules of flavylum  
 303 cation of cy3glc could bind to each terminal sulfate group of the dendrimer bearing the  
 304 fact that dendrimer has 162 termini sulfate groups. The flavylum cation species should  
 305 be located at the dendrimer periphery, forming reversibly contact ion pairs with the  
 306 sulfate group in which the anionic charge should be delocalized by the three oxygen  
 307 atoms of the sulfate group as it has been suggested in literature for similar host-guest  
 308 systems (e.g. acetylcholine and benzoate-terminal dendrimers)<sup>[41, 42]</sup> (Figure 5). From  
 309 the number of binding sites, the association constant ( $K$ ) could be estimated to be  
 310 around  $700 \text{ M}^{-1}$ .

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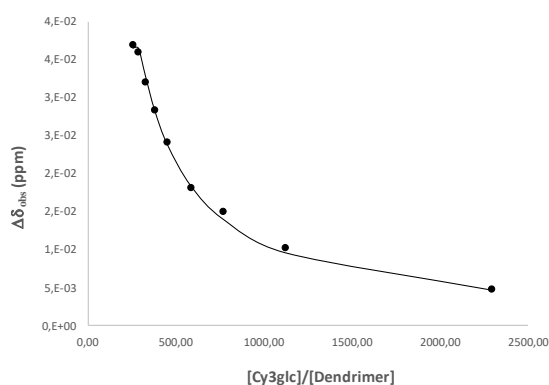
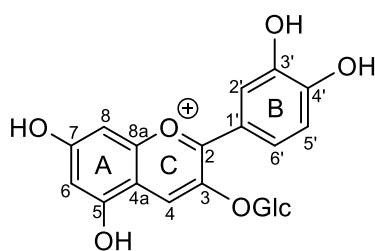
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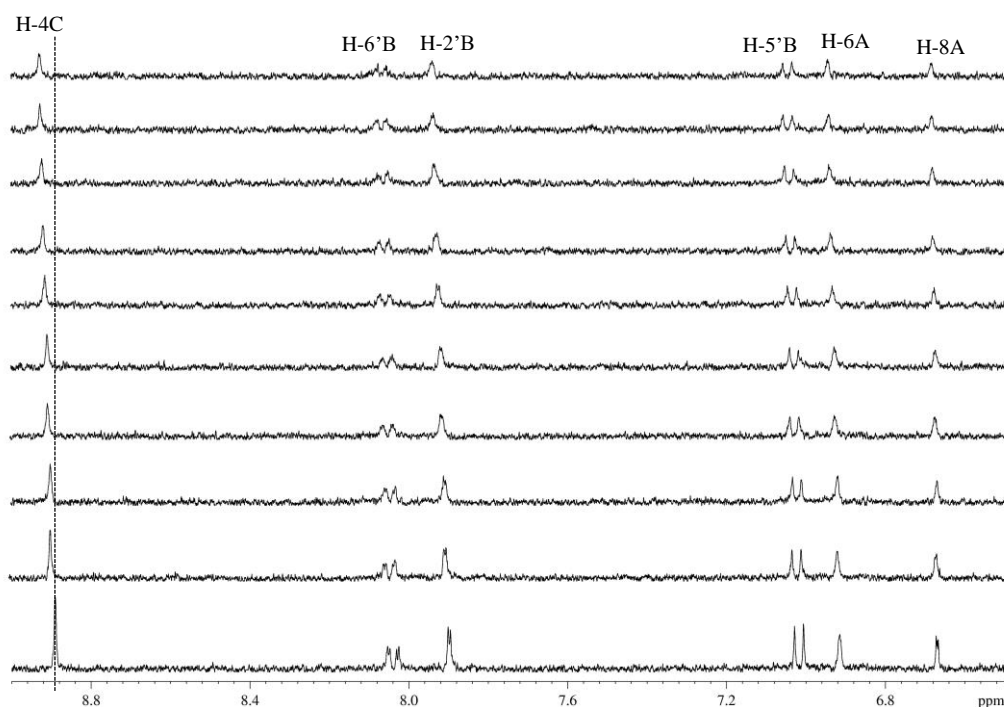
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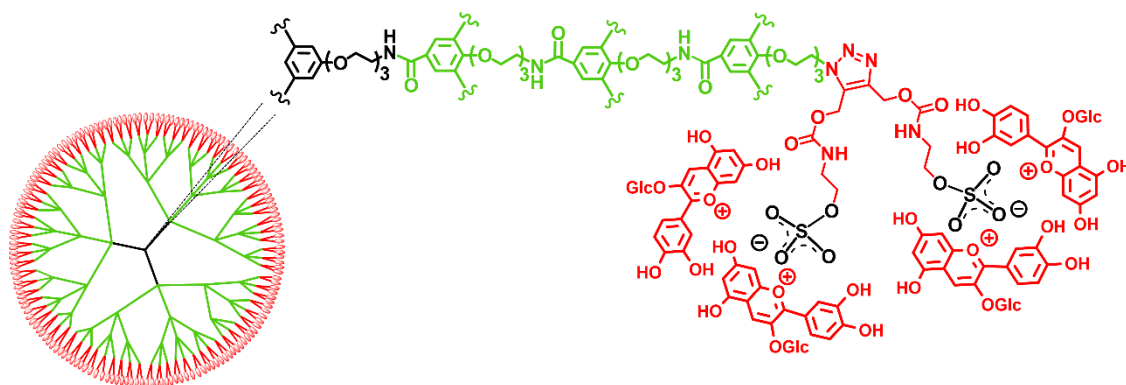




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319 Figure 4.  $^1\text{H}$  spectra region (9.0–6.5 ppm) of the flavylum cation of cy3glc at initial concentration of 124  
 320  $\mu\text{M}$  with increasing dendrimer concentrations from 0  $\mu\text{M}$  (bottom) to 0.47  $\mu\text{M}$  (top) recorded in  $\text{D}_2\text{O}$  at pD  
 321 1.4. Representation of the chemical shift variations of H-4C of cy3glc ( $\Delta\delta_{\text{obs}}$ ) in function of the guest/host  
 322 molar ratio (in upper right). Fitting was achieved with equation (6) with an estimated error  $\approx 10\%$ .

323



### 324 **Cy3glc:3[G4]-OSO<sub>3</sub>Na Complex**

325 Figure 5. Schematic representation of the interaction between two cy3glc molecules (flavylum cation)  
 326 and each sulfate-terminated residue of the 3[G4]-OSO<sub>3</sub>Na dendrimer.

327

### 328 **Equilibrium and rate constants of cy3glc-3[G4]-OSO<sub>3</sub>Na complex**

329 The multistate of chemical reactions showed in Scheme 1, can be conveniently  
 330 investigated through the pH jump methodology.<sup>[47]</sup> The direct pH jumps are defined as  
 331 the addition of base to equilibrated solutions of the flavylum cation while reverse pH

332 jumps result from addition of acid to equilibrated solutions at moderately acidic to neutral  
 333 pHs and the relaxation process is follow towards the new equilibrium using spectroscopic  
 334 techniques. Stopped-flow is a crucial tool to follow the kinetic processes that take place  
 335 in sub-minutes time scale. After a direct pH jump, the flavylum cation ( $\text{AH}^+$ ) transfer a  
 336 proton to water giving rise to quinoidal base A, which is by far the fastest kinetic step of  
 337 the multistate, equation (7).

338

$$339 \quad k_{1d} = k_a + k_a[H^+] \quad (7)$$

340

341

342 The second kinetic step is triggered by hydration of the electrophilic flavylum cation  
 343 followed by the ring opening-closure reaction (tautomerization). The former process is  
 344 much slower and consequently it is the rate determining step, equation (8).

345

$$346 \quad k_{2d} = \frac{[H^+]}{[H^+] + K_a} k_h + \frac{1}{1 + K_t} k_{-h} [H^+] \quad (8)$$

347

348 At this point the system reaches the so-called pseudo-equilibrium ( $K^{\wedge}_a$ ) because the  
 349 formation of  $\text{C}_t$  is much slower, equation (9):

350



352 with  $[\text{CB}] = [\text{A}] + [\text{B}] + [\text{C}_c]$

353

354 After the slowest step of the multistate (isomerization reaction) the system relaxes to the  
 355 equilibrium according to equation (10)

356

$$357 \quad k_{3d} = \frac{K_h K_t}{[H^+] + K^{\wedge}_a} k_i + k_{-i} \quad (10)$$

358

359 The equilibrium of the system is defined by apparent acidic constant ( $K'^{\wedge}_a$ ), equation (11):  
 360 [2, 48-50]

361



363 with  $[\text{CB}] = [\text{A}] + [\text{B}] + [\text{C}_c] + [\text{C}_i]$

364

365 From the equations (1-4, 9 and 11) the mole fraction of each species in function of pH  
366 can be deduced:

$$367 \quad [AH^+] = \frac{[H^+]}{[H^+] + K'_a}; \quad (12)$$

$$368 \quad [A] = \frac{K_a}{[H^+] + K'_a}; \quad (13)$$

$$369 \quad [B] = \frac{K_h}{[H^+] + K'_a}; \quad (14)$$

$$370 \quad [C_c] = \frac{K_h K_t}{[H^+] + K'_a}; \quad (15)$$

$$371 \quad [C_t] = \frac{K_h K_t K_i}{[H^+] + K'_a} \quad (16)$$

372

### 373 *Direct pH jumps*

374 The chemical equilibria network of cy3glc in the presence of the sulfated dendrimer at  
375 fixed concentration was studied by UV-Vis spectroscopy through direct pH jumps (from  
376 equilibrated acidic solutions to less acidic pH). After a pH jump, the first kinetic process  
377 is due to the proton transfer reaction in the time scale of sub-milliseconds (equation 1) in  
378 which no other process occurs and, therefore, the flavylium cation ( $AH^+$ ) and the  
379 quinoidal base (A) are the only species formed. Then, the spectral variations were  
380 monitored with time until the system reached the pseudo-equilibrium ( $AH^+/A$ , B, and  $C_c$   
381 are the species in equilibrium). Fitting the absorbance decay of the pair flavylium  
382 cation/quinoidal base as a function of pH allowed the determination of  $pK^*_a = 3.70$ .  
383 Finally, the system reaches the equilibrium due to the formation of *trans*-chalcone  $C_t$   
384 which is the slowest step of the multistate. The UV-Vis spectra of the solutions were  
385 recorded again and the fitting of experimental data allowed the determination of  $pK'_a =$   
386 3.66 (Figure 6). The small difference between the  $pK^*_a$  and  $pK'_a$  accounts for a low mole  
387 fraction of  $C_t$  in the final equilibrium.

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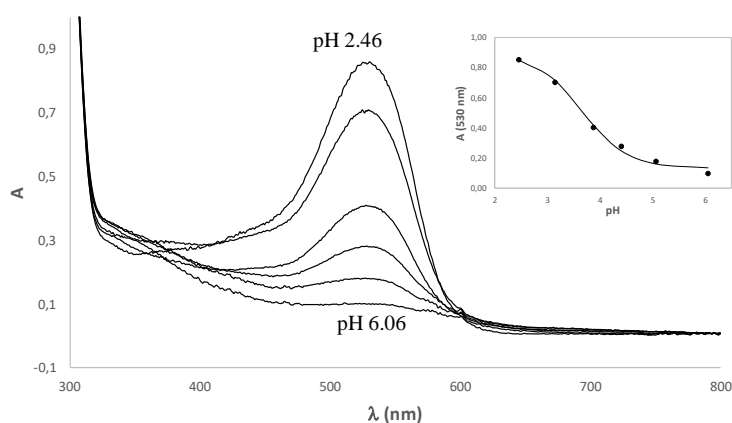
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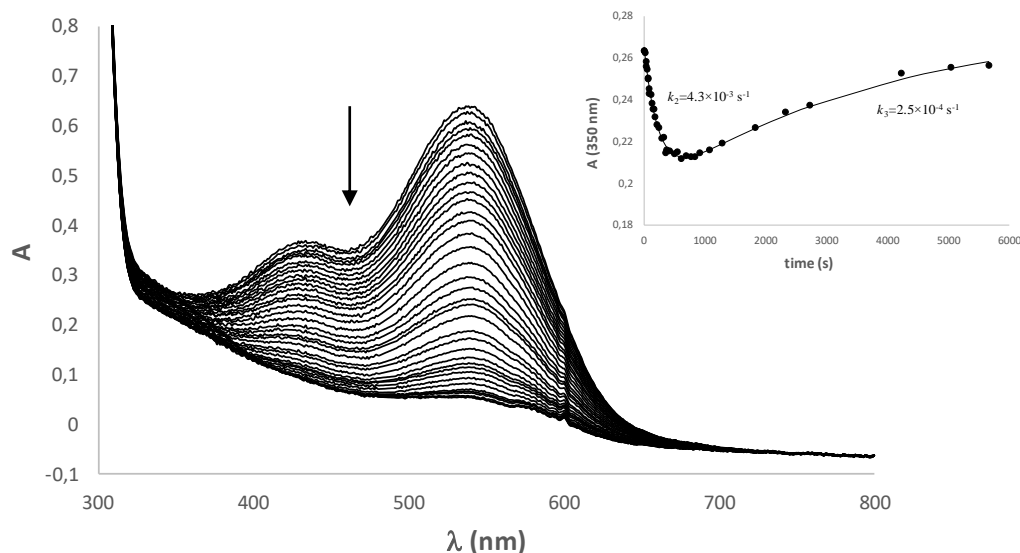
399 Figure 6. Spectral variations of cy3glc (19.8  $\mu\text{M}$ ) in the presence of 3[G4]-OSO<sub>3</sub>Na (26  $\mu\text{M}$ ) after a direct  
400 pH jump at the equilibrium,  $pK'_a=3.66$ . Inset: Fitting of the absorbance values at 530 nm as a function of  
401 pH.

402

403 Normally the  $pK_a$  is determined by stopped-flow technique. Alternatively, the  $pK_a$  can  
404 also be estimated through the pH jump from 1 to 6.06, where the hydration is sufficiently  
405 slow, following the absorbance decay of the quinoidal base (Figure 7). From the ratio  
406 between  $A_f$  and  $A_i$  and through equation (13) the  $pK_a$  was determined to be 4.71.

407 The kinetic process of this direct pH jump is showed in inset of Figure 7, which illustrates  
408 the second and third kinetic processes described in the introduction (Scheme 1 and eqs.  
409 2-4). The initial absorbance is due to the quinoidal base which formation occurs during  
410 the mixing time of the base addition in the direct pH jump. The faster decay is coherent  
411 with the second kinetic process (hydration and tautomerization reaction,  $k_2$ ) where  
412 hydration is the rate-determining step. The third kinetic process is due to the slowest step  
413 of the equilibrium (isomerization reaction,  $k_3$ ) and can be observed by the absorbance  
414 increase at 350 nm due to the formation of *trans*-chalcone. Fitting the absorbance values  
415 as a function of time allowed determining the observed rate constants:  $k_2 = 4.3 \times 10^{-3} \text{ s}^{-1}$ ;  
416  $k_3 = 2.5 \times 10^{-4} \text{ s}^{-1}$ .

417



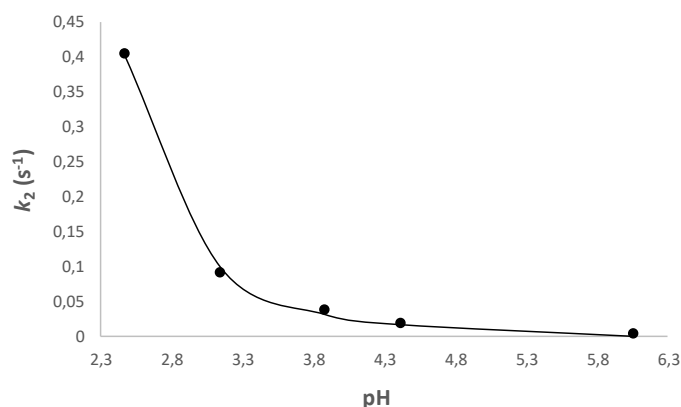
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419 Figure 7. Spectral variations after a direct pH jump from pH=1 to pH=6.06 of cy3glc (19.8  $\mu\text{M}$ ) in the  
 420 presence of 3[G4]-OSO<sub>3</sub>Na (26  $\mu\text{M}$ ). Inset: variation of absorbance at 350 nm as a function of time showing  
 421 the second and third kinetic processes.

422

423 Then, the second observed rate constant obtained for each pH values was fitted by  
 424 equation (6) that accounts for the pH-dependence of the rate-limiting hydration process  
 425 of the flavylium cation to reach the pseudo-equilibrium. By fitting the data presented in  
 426 Figure 8, it was possible to determine the values of  $k_h = 0.020 \text{ s}^{-1}$  and  $k_{-h}/(1+K_t) = 110.6$   
 427  $\text{M}^{-1} \text{ s}^{-1}$ .

428



429

430 Figure 8. Representation of the observed rate constant of the second kinetic process as a function of pH.

431

#### 432 *Reverse pH jump*

433 To determine the dehydration rate constant, the tautomerization equilibrium constant  $K_t$   
 434 and by consequence, the thermodynamic hydration constant ( $K_h$ ), it was necessary to



435 carry out a reverse pH jump experiment monitored by stopped-flow from an equilibrated  
 436 solution at pH=5.58 to pH=0.98 (Figure 9a). Immediately after the addition of acid, all  
 437 quinoidal base initially at pH=5.58 was rapidly converted into the flavylum cation during  
 438 the mixing time of the stopped-flow experiment. The faster kinetic step with  $k_1=10.4 \text{ s}^{-1}$   
 439 corresponds to the conversion of the hemiketal (B) initially at pH=5.58 into the flavylum  
 440 cation ( $\text{AH}^+$ ), benefiting from the fact that at sufficiently low pH the hydration is faster  
 441 than the tautomerization (change of regime).<sup>[51]</sup> The second kinetic process corresponds  
 442 to the slower formation of more  $\text{AH}^+$  from Cc, through B ( $k_2=2.98 \text{ s}^{-1}$ ). From this  
 443 experiment it was also possible to determine  $k_t$  which is equal to the second kinetic rate,  
 444  $k_2$ . These two kinetic constants were calculated by fitting the absorbance values at 522  
 445 nm as a function of time considering a biexponential process (Figure 9b).  $K_t$  was  
 446 determined from the ratio of amplitudes of the second process divided by the first  
 447 process ( $K_t = \frac{C_c}{B} = 0.4$ ) and  $k_t=1.2 \text{ s}^{-1}$  because  $K_t = \frac{k_t}{k_{-t}}$ . With  $K_t$  value in hand, it was  
 448 possible to determine  $k_{-h}=154.8 \text{ s}^{-1}$ . The hydration equilibrium constant,  $K_h=1.29 \times 10^{-4}$   
 449  $\text{M}^{-1}$  was then obtained from the ratio of both kinetics constants ( $K_h = \frac{k_h}{k_{-h}}$ ).

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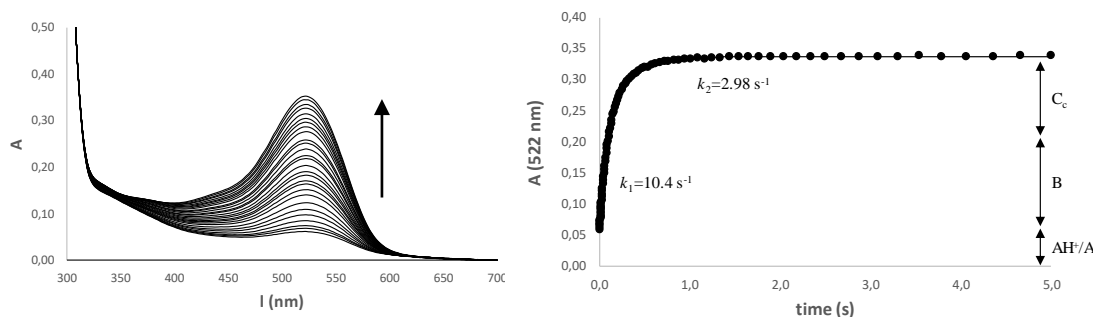
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Figure 9. (a) Spectral variations after a reverse pH jump from an equilibrated solution of cy3glc (19.8  $\mu\text{M}$ ) in the presence of 3[G4]- $\text{OSO}_3\text{Na}$  (26  $\mu\text{M}$ ) from pH=5.58 to 0.98. (b) Fitting of the kinetic processes.

All the kinetic and thermodynamic parameters determined for cy3glc and cy3glc-dendrimer complex are resumed in Table 2. Comparing the values obtained it can be concluded that the presence of the dendrimer has a great effect on the chemical equilibria network of anthocyanins. The  $\text{pK}'_a$  is increased in 0.82 pH units, which indicates a higher stabilization of the colored flavylum species. Moreover, the flavylum cation is more stabilized than the hemiketal form in the presence of the dendrimer due essentially to a

468 faster dehydration and lower hydration rate constants compared to the absence of  
 469 dendrimer as observed for other analogous systems.<sup>[52]</sup> Hence, the hydration constant  
 470 increases in one pH unit in the presence of the dendrimer. Moreover, for the cy3glc-  
 471 dendrimer complex,  $K_t$  is increased which reveals a preferential interaction of the *cis*-  
 472 chalcone for the host than the hemiketal species. Finally, the mole fraction distribution of  
 473 cy3glc 19.8  $\mu\text{M}$  in the absence of the dendrimer (Figure 10a) was compared with the one  
 474 of cy3glc 19.8  $\mu\text{M}$  in the presence of dendrimer 26  $\mu\text{M}$  (Figure 10b). It was possible to  
 475 observe that in the presence of the dendrimer the mole fraction of the hemiketal form  
 476 decreased significantly between pH 4-7.5 (75 % to 58 %), accompanied with an increase  
 477 of the flavylum cation.

478

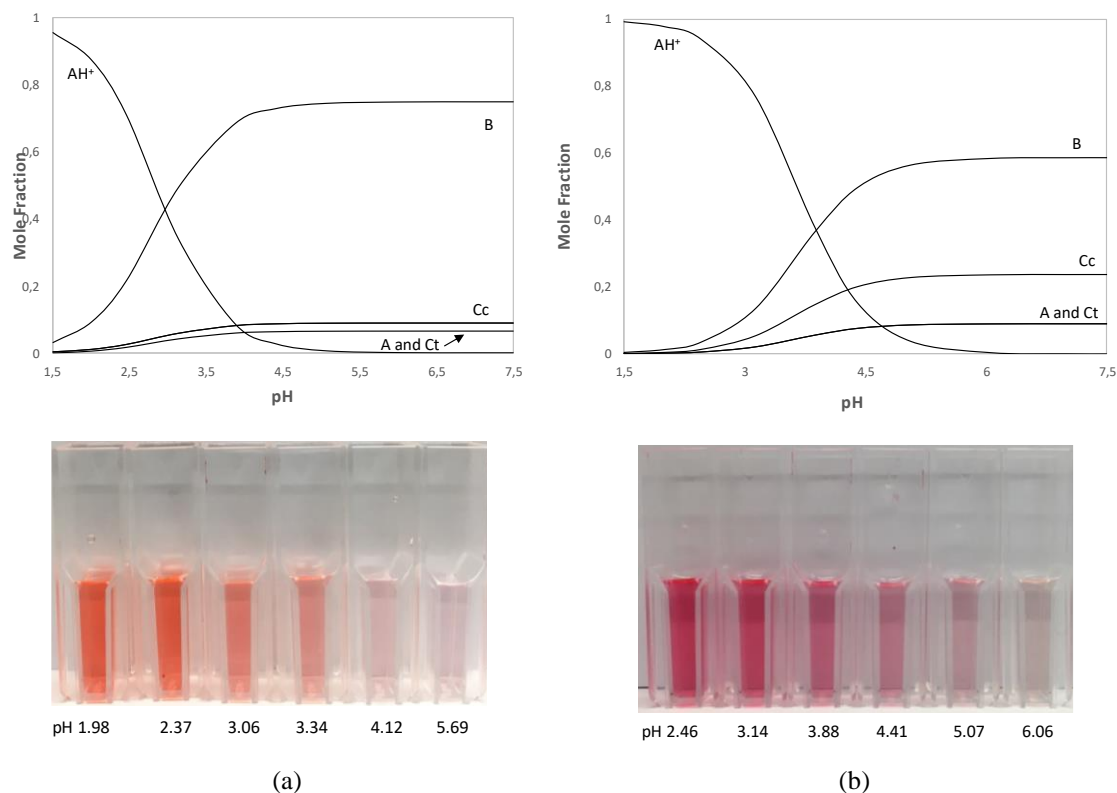
479 **Table 2.** Equilibrium and rate constants obtained by UV-Vis spectroscopy for cy3glc (19.8  $\mu\text{M}$ ) and for  
 480 cy3glc (19.8  $\mu\text{M}$ ) in the presence of 3[G4]-OSO<sub>3</sub>Na (26  $\mu\text{M}$ ). Estimated error  $\approx$  10%.

	cy3glc	cy3glc-3[G4]-OSO <sub>3</sub> Na
$K_a$ ( $\text{M}^{-1}$ )	$1.29 \times 10^{-4}$	$1.95 \times 10^{-5}$
$\text{p}K_a$	3.89	4.71
$K^{\wedge}_a$ ( $\text{M}^{-1}$ )	$1.32 \times 10^{-3}$	$2.00 \times 10^{-4}$
$\text{p}K^{\wedge}_a$	2.88	3.70
$K^{\circ}_a$ ( $\text{M}^{-1}$ )	$1.41 \times 10^{-3}$	$2.19 \times 10^{-4}$
$\text{p}K^{\circ}_a$	2.85	3.66
$K_t$	0.12 <sup>a</sup>	0.40
$K_h$ ( $\text{M}^{-1}$ )	$1.05 \times 10^{-3}$	$1.29 \times 10^{-4}$
$\text{p}K_h$	2.98	3.89
$k_t$ ( $\text{s}^{-1}$ )	0.07 <sup>a</sup>	1.2
$k_{-t}$ ( $\text{s}^{-1}$ )	0.6 <sup>a</sup>	2.98
$k_h$ ( $\text{s}^{-1}$ )	0.059	0.020
$k_{-h}$ ( $\text{M}^{-1} \text{s}^{-1}$ )	55.9	154.8

481  $K_a$ , acidity constant;  $K^{\wedge}_a$ , pseudo-equilibrium constant;  $K^{\circ}_a$ , equilibrium constant;  $K_t$ , tautomerization  
 482 constant;  $K_h$ , hydration constant;  $k_t$ , rate of the tautomerization reaction;  $k_{-t}$ , rate of the reverse  
 483 tautomerization reaction;  $k_h$ , rate of the hydration reaction;  $k_{-h}$ , rate of the dehydration reaction. <sup>a</sup>obtained  
 484 from Leydet *et al.*, 2012.<sup>[53]</sup>

485

486



**Figure 10.** (a) Mole fraction distribution of cy3glc 19.8  $\mu\text{M}$  in function of pH and (b) the same for cy3glc 19.8  $\mu\text{M}$  in the presence of dendrimer 26  $\mu\text{M}$ .

## Conclusions

We have determined the influence of a GATG-based polyanionic dendrimer decorated with 162 sulfate groups on the pH-equilibria network of anthocyanins and studied at a molecular level the non-covalent interactions within the host-guest system by UV-Vis, stopped-flow and NMR techniques. Overall, it can be concluded that the dendrimer exerts a great stabilization effect on the thermodynamic and kinetic parameters of cy3glc, increasing its  $pK_h$  and  $pK_a$  in circa one pH unit. By NMR, it was verified that the red flavylium cation is strongly shielded by the host due to the formation of ionic pairs and the number of binding sites and association constant were determined. The set of results obtained for the color stabilization of anthocyanins and respective tuning in function of pH using this dendrimer open novel applications to be explored such as anthocyanin-based sensors for biomedical devices and smart packaging solutions.

## Acknowledgments

The authors thank Dr. Mariana Andrade for the NMR analysis. This research was supported by a research project grant (PTDC/OCE-ETA/31250/2017) with financial support from FCT/MCTES through national funds and co-financed by FEDER, under the

516 Partnership Agreement PT2020 (UID/QUI/50006/2019 -  
517 POCI/01/0145/FEDER/007265). Financial support was also obtained from the Spanish  
518 Ministry of Science, Innovation and Universities (CTQ2015-69021-R and RTI2018-  
519 102212-B-I00), the Xunta de Galicia (GRC2014/040, ED431C 2018/30, and Centro  
520 Singular de Investigación de Galicia Accreditation 2016-2019, ED431G/09) and the  
521 European Union (European Regional Development Fund-ERDF). Luís Cruz gratefully  
522 acknowledges the research FCT contract.



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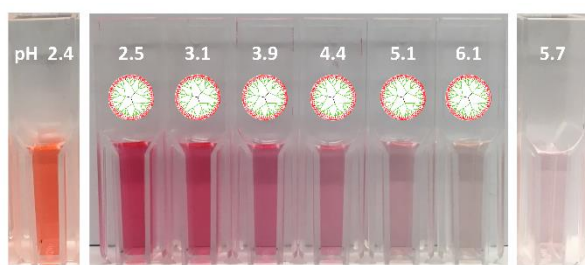
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Accepted Manuscript

612 **Graphical Abstract**

*Dendrimer-based tuning  
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