

# Simulating Dye-Sensitized TiO<sub>2</sub> Heterointerfaces in Explicit Solvent: Absorption Spectra, Energy Levels, and Dye Desorption

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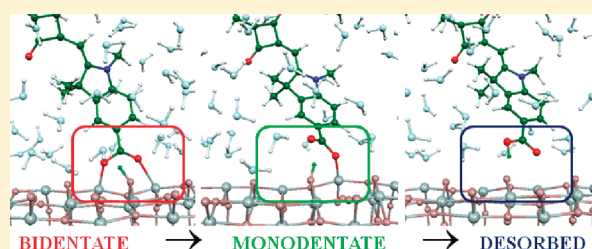
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**S** Supporting Information

**ABSTRACT:** Dye-sensitized solar cells (DSCs) represent a valuable, efficient, and low-cost alternative to conventional semiconductor photovoltaic devices. A deeper understanding of the dye/semiconductor heterointerface and of the dye-sensitized semiconductor/electrolyte interactions are fundamental for further progress in DSC technology. Here we report an *ab initio* molecular dynamics simulation of a dye-sensitized TiO<sub>2</sub> heterointerface “immersed” in an explicit water environment for an efficient organic dye, followed by TDDFT excited state calculations of the coupled dye/semiconductor/solvent system. This new computational protocol and the extended model system allows us to gain unprecedented insight into the excited state changes occurring for the solvated dye-sensitized heterointerface at room temperature, and to provide an atomistic picture of water-mediated dye desorption.

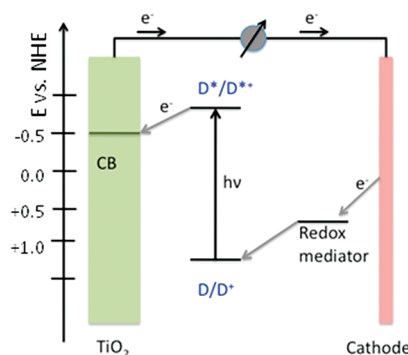
**SECTION:** Energy Conversion and Storage



Considerable attention and extensive research efforts have been devoted over the last years to dye-sensitized solar cells (DSCs)<sup>1–3</sup> as valuable and low-cost alternatives to silicon and other inorganic semiconductor-based photovoltaic devices. In DSCs, a sensitizing dye adsorbed at the surface of a wide band gap semiconductor (usually nanostructured TiO<sub>2</sub>) absorbs light to transfer an electron to the semiconductor conduction band, followed by dye regeneration by a solution redox electrolyte or a solid hole conductor (Scheme 1). Up to now, Ru(II)-polypyridyl sensitizers have shown the highest DSC performances,<sup>4,5</sup> with solar energy-to-electricity conversion efficiencies exceeding 11%.<sup>5</sup> More recently, fully organic dyes have attracted growing interest, due to their easily tunable optical properties, high extinction coefficients and environmental benefits.<sup>6–10</sup> Among the various classes of organic sensitizers, squaraines have been extensively investigated because of their strong absorption bands ( $\epsilon \sim 150,000 \text{ M}^{-1} \text{ cm}^{-1}$ ) in the far red to near-IR spectral regions.<sup>10</sup> Although comparable efficiency to that of Ru(II) dyes has been achieved, the long-term cell stability remains a main issue for organic dyes. A deeper understanding of the dye/semiconductor heterointerface and of the dye-sensitized semiconductor/electrolyte interactions are fundamental for further progress in DSC technology.

In particular, the presence of water in the electrolyte solution is known to be detrimental for the DSC efficiency and temporal stability,<sup>4,11–15</sup> so that DSC sealing techniques have to be

**Scheme 1. Schematic Representation of DSC Functioning and Energy Levels**

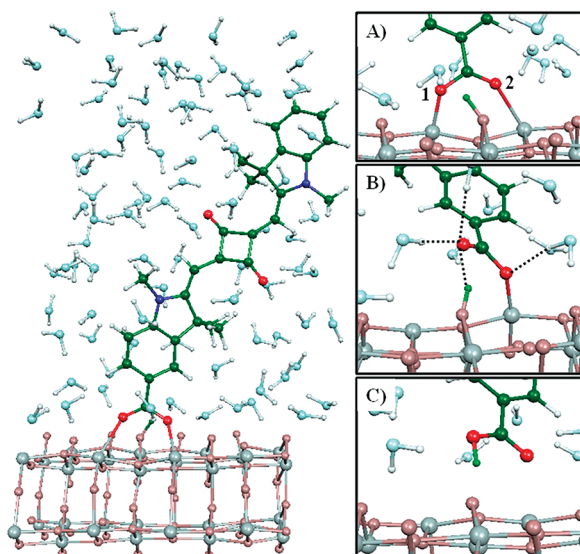


elaborated, increasing fabrication costs. Dye desorption and ligand exchange reactions are among the main issues related to water contamination,<sup>4,11–14</sup> although electrode modifications by contact with water have also been reported,<sup>12–14</sup> influencing both the position of the TiO<sub>2</sub> conduction band and recombination of

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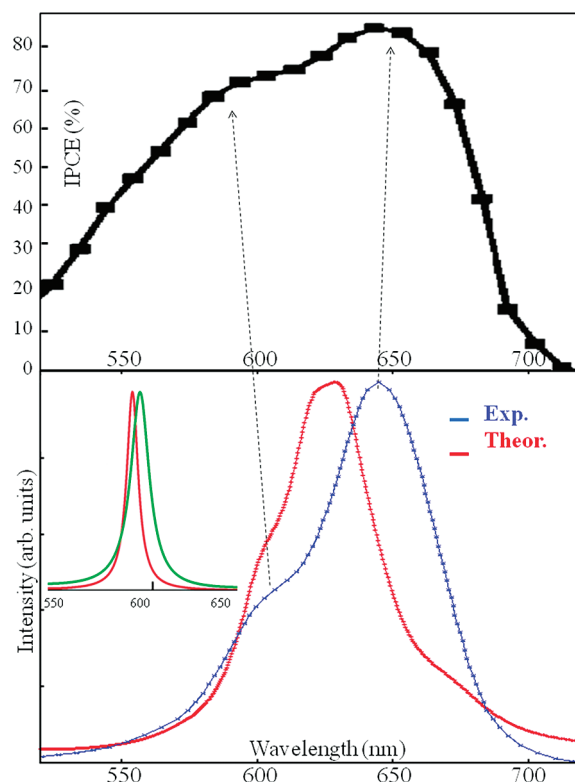
**Figure 1.** Left: the squaraine dye adsorbed onto a  $1 \times 4$  anatase slab, surrounded by 90 water molecules. Right: Relevant configurations sampled during the dynamics: (A) starting bridged bidentate configuration, and oxygen atoms labels; (B) monodentate configuration; (C) dissociated dye. Notice the different proton positions (green) in A, B, and C. Dashed lines in B represent hydrogen bonds to the carboxylic group.

injected electrons with the electrolyte.<sup>15</sup> Very recently, however, Law et al. have reported good performance for DSCs employing water-based electrolytes,<sup>16</sup> further stimulating the interest toward understanding the role of water in DSC.

Here we report an *ab initio* molecular dynamics simulation of a dye-sensitized  $\text{TiO}_2$  surface “immersed” in an explicit water environment, followed by extensive TDDFT excited state calculations. *Ab initio* molecular dynamics simulations of model dye/semiconductor heterointerfaces, including non adiabatic excited state dynamics, have been previously reported by Prezhdov and co-workers,<sup>17–20</sup> but solvation effects were not taken into account, although a recent study by the same group reported on “wet electrons” on  $\text{TiO}_2$  surfaces.<sup>20</sup> By exploiting a recently developed TDDFT algorithm<sup>21–23</sup> in conjunction with the realistic simulated system, we are able to gain insight into the excited state modifications occurring for the solvated dye-sensitized heterointerface, which are responsible for the high observed photon conversion efficiencies, and to provide an atomistic picture of water-mediated dye desorption. The chosen model system consists of a recently reported squaraine dye,<sup>10</sup> anchored to a  $1 \times 4$   $\text{TiO}_2$  anatase slab exposing the majority (101) surface,<sup>24</sup> surrounded by 90 water molecules (Figure 1).

The initial configuration was first optimized for the system in the absence of solvent,<sup>25</sup> with the dye binding to  $\text{TiO}_2$  in a bidentate configuration and a dye proton being transferred to the surface (panel A in Figure 1). Starting from this configuration, the system’s dynamics were followed using Car–Parrinello (CP) molecular dynamics,<sup>26</sup> employing the PBE functional.<sup>27</sup> After 1 ps equilibration at 320 K, the system was allowed to evolve naturally in time for 14.5 ps (for further computational details, see Supporting Information).

The initial stages of the dynamics, up to ca. 6 ps, are characterized essentially by solvent and dye fluctuations, along with water adsorption to the  $\text{TiO}_2$  surface. A maximum of two water molecules bind simultaneously through their oxygen atoms to surface

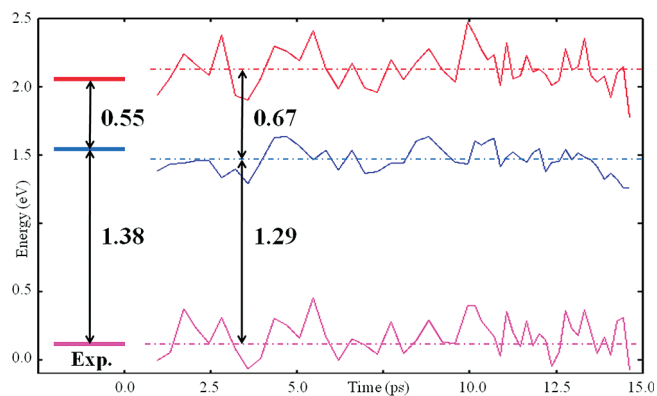


**Figure 2.** Top: Incident photon-to-current efficiency (IPCE) for a DSC employing the investigated squaraine dye. Adapted from ref 10. Bottom: Comparison between the calculated (blue) and experimental (red) absorption spectra (eV) of the squaraine/ $\text{TiO}_2$ /water system. The intensity of the experimental spectrum has been rescaled to match the calculated absorption maximum. The dashed arrows indicate the contribution of the absorption features to the IPCE curve. Inset: Calculated absorption spectra at 0 temperature (green without solvent, red with solvent).

uncoordinated  $\text{Ti(IV)}$  centers, out of the six available sites (two sites are occupied by the dye). This data is in agreement with previous studies on the adsorption of water on anatase (101)  $\text{TiO}_2$  surfaces, showing that the formation of a submonolayer of water on the surface is energetically favored.<sup>24,28</sup> In the present case, the fact that only two water molecules bind to the surface might also be related to solvation of the dye, which stabilizes outer-sphere waters compared to surface adsorbed ones. We also notice that the observed water adsorption to the  $\text{TiO}_2$  surface is consistent with water exerting a blocking function toward recombination of injected electrons with oxidized species in the electrolyte,<sup>11</sup> whereby the adsorbed water molecules and possibly their water overlayers<sup>28</sup> would impede oxidized species in the electrolyte to contact the  $\text{TiO}_2$  surface.

During the first 6 ps we sampled 35 configurations at equal time intervals of 0.18 ps, on which we calculated the full TDDFT absorption spectrum of the entire system, Figure 2. This computational protocol marks a new approach compared to previous dynamical investigations of dye-sensitized heterointerfaces<sup>29,30</sup> and excited states of small molecules in solution,<sup>31</sup> allowing us to perform excited state calculations on exactly the same system (dye/semiconductor/solvent) for which the dynamics is computed.

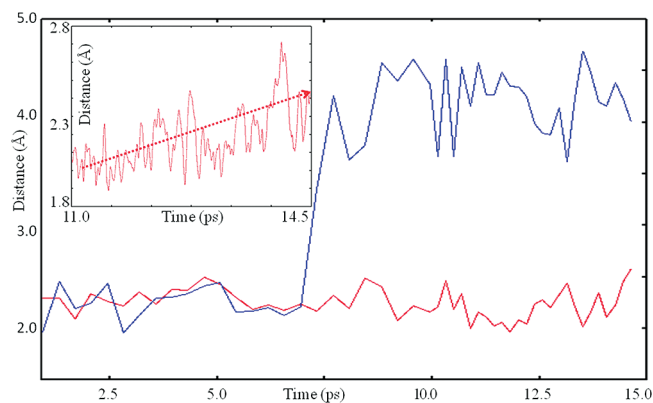
The calculated absorption spectrum for the squaraine-sensitized  $\text{TiO}_2$  is compared to experimental data in Figure 2. As it can



**Figure 3.** Time (ps) evolution of the excited state oxidation potential (red),  $\text{TiO}_2$ -based LUMO (blue), and dye-based HOMO (magenta) energies in eV. The zero of the energy scale is set to the value of the HOMO energy of the starting configuration. Dashed lines represent average values of the three quantities. Also shown on the left are experimental spectro-electrochemical data from refs 10 and 32.

be noticed, our thermally averaged calculated data are in excellent agreement in terms of absorption maximum wavelength (630 vs 642 nm) and band shape with the experimental data on  $\text{TiO}_2$  (Figure 2) and in ethanol solution (Supporting Information).<sup>10</sup> The absorption intensity is mainly originated by dye→dye excitations with small contributions of unoccupied  $\text{TiO}_2$  states,<sup>25</sup> while direct dye→ $\text{TiO}_2$  excitations, with an onset at ca. 950 nm, are correctly calculated to have a negligible intensity. Our calculations nicely reproduce the experimental spectrum's asymmetry, with a high-energy shoulder that is enhanced upon dye adsorption on  $\text{TiO}_2$  (Supporting Information). Such a feature is absent in the zero-temperature calculated spectrum (Figure 2 inset), and is therefore attributed to thermal distortions in the systems' geometry, which are further enhanced on  $\text{TiO}_2$ . We believe that, beyond thermal and solvent fluctuations, the presence of dye/ $\text{TiO}_2$  interactions through the carboxylic group contribute to the observed effect. The dye excited states have a sizable contribution from the carboxylic groups,<sup>10,25</sup> so that upon binding to  $\text{TiO}_2$  some perturbation is to be expected. Thus, thermal and solvent fluctuations, along with specific dye interactions with the  $\text{TiO}_2$  surface are responsible of the observed behavior. Most notably, the high-energy spectral feature significantly contributes to the incident photon to current conversion efficiency (IPCE in Figure 2), providing additional current generation and DSC efficiency.

With reference to Scheme 1, we further investigated the time evolution of the main energy levels during the dynamics simulation by looking at the highest occupied molecular orbital (HOMO; dye-based), lowest unoccupied molecular orbital (LUMO;  $\text{TiO}_2$ -based), and excited state energies of the combined system. The sum of the HOMO and absorption maxima energies is an approximation to the dye excited state oxidation potential,<sup>32</sup> ( $\text{D}^*/\text{D}^{*+}$  in Scheme 1) while the LUMO energy represents the bottom of the  $\text{TiO}_2$  conduction band (CB). Thus the difference between the dye excited state potential and the bottom of the  $\text{TiO}_2$  CB represents the driving force for dye excited state electron injection into the manifold of unoccupied  $\text{TiO}_2$  states. By plotting this data as a function of the simulation time (Figure 3), we observe energy fluctuations on the picosecond time scale of the order of 0.4 eV, which are more pronounced for dye-based quantities than for the  $\text{TiO}_2$  CB edge.



**Figure 4.** Time (ps) evolution of the carboxylic oxygen–surface titanium atom distances (Å) over the entire simulation range (O1–Ti, blue; O2–Ti, red). Inset: evolution of the short O–Ti distance in the last 3.5 ps of simulation. The arrow is a best fit regression to the running average value of the distance in this time slice.

The calculated averages of the HOMO, LUMO, and excited state energies are compared to available experimental data in Figure 3.

The positioning of the  $\text{TiO}_2$  CB edge relative to the dye-based HOMO is accurately reproduced. Furthermore, an average driving force for electron injection of 0.67 eV is calculated, in good agreement with the measured alignment of energy levels in such system, which provided a 0.55 V difference.<sup>10</sup> The slight computational overestimate might be due to the fact that we consider the spectrum absorption maximum and not the  $E_{0-0}$  to estimate the dye excited state energy.<sup>32</sup> What is important to notice is that the dye excited state is always at least 0.5 eV above the  $\text{TiO}_2$  CB edge, despite the thermal fluctuations, ensuring dye excited state coupling to a high density of  $\text{TiO}_2$  unoccupied states, thereby a very efficient electron injection process. This is consistent with the high IPCE of ca. 85% measured for this system<sup>10</sup> (Figure 2). The observed behavior is distinctively different from that found for alizarin-sensitized  $\text{TiO}_2$  where “in and out” motion of the dye excited states at the edge of the  $\text{TiO}_2$  CB triggered the electron injection.<sup>17,18</sup> The important role of fluctuations in the level alignment at the water/ $\text{TiO}_2$  interface has also been stressed in recent ab initio molecular dynamics studies.<sup>33,34</sup>

Most notably, after  $\sim 6$  ps of simulation, we observed a strong solvent reorganization taking place around the  $\text{TiO}_2$ -bound carboxylic group, which subsequently led to a transition from the starting bridged bidentate configuration to a monodentate adsorption mode (panel B in Figure 1), with one proton still bound to  $\text{TiO}_2$ . This adsorption change can be visualized by inspecting the time evolution of the relevant O–Ti distances (Figure 4). The two O–Ti distances oscillate around the same value (2.15 Å) up to about 6 ps, when one of the two distances (O1–Ti in Figure 4) suddenly increases to about 4 Å, signaling the detachment of one carboxylic oxygen from the surface. The ensuing monodentate-bound carboxylate is subject to a strong hydrogen-bonding field, with protons from three water molecules and the surface proton within 1.7 Å (panel B in Figure 1), which leads to stabilization of this configuration with respect to the starting bidentate adsorption mode. This carboxylate monodentate configuration, also found to be energetically favored for formic acid adsorption on (101) anatase  $\text{TiO}_2$  in the presence of water,<sup>35</sup> is stable for about 6 ps. After this time slice, the surface-bound proton is transferred to the anionic carboxylic group. The dye/ $\text{TiO}_2$  interaction, taking place now only via the



monodentate-bound carbonyl oxygen, is substantially weakened, leading to dye desorption toward the end of the simulation (panel C in Figure 1).

This is clear by looking at the inset of Figure 4, which shows the time evolution of the bound O2–Ti distance in the 11–14.5 ps time slice. As it can be noticed, concomitantly to the proton transfer to the dye ( $\sim 12$  ps), an average lengthening of the O2–Ti distance is observed, with fluctuations up to 2.75 Å. As a matter of fact, previous calculations of formic acid adsorption on (101) anatase TiO<sub>2</sub> have shown a sizable reduction of this carboxylic acid adsorption energy to the surface in the presence of water.<sup>35</sup> Separate calculations performed here have shown that adsorption of a single dye molecule in vacuo leads to an energy stabilization of 0.92 eV. A single water molecule binds to TiO<sub>2</sub> with an energy of 0.74–0.71 eV,<sup>24,36</sup> depending on the TiO<sub>2</sub> model. Here we calculate 0.66 eV per molecule for adsorption of a water monolayer to the present TiO<sub>2</sub> model. Thus, dye desorption is the results of the competition between the dye and the solvent for binding to the unsaturated surface Ti(IV) sites and the weakening of dye/TiO<sub>2</sub> interactions, due to dye solvation.

The overall picture extracted from our simulations allows us to assign a precise role to water in the deterioration of DSC devices, stabilizing, prior to dye desorption, a monodentate adsorption mode with respect to a bidentate configuration, the latter ensuring a firmer dye anchoring onto the semiconductor surface. It is also very interesting to note that surface-bound protons assist dye binding to the TiO<sub>2</sub> surface, possibly by increasing the surface dipolar field, since upon protonation of the monodentate carboxylate group we observe dye desorption from the surface. This also highlights that the acidity of the dye anchoring group(s) plays a fundamental role in stabilizing dye adsorption to TiO<sub>2</sub> through surface protonation, thereby casting an additional design strategy for new dyes with improved functioning in DSC devices.

In summary, we have reported an extensive ab initio molecular dynamics simulation of a dye-sensitized heterointerface in the presence of an explicit water environment, including thermally averaged excited state calculations. Our results reproduce the spectral modifications occurring upon dye adsorption onto TiO<sub>2</sub> and allow us to quantify the effect of thermal fluctuations on the dye/semiconductor energy alignment, which are both related to the optimal photocurrent generation for DSC employing the investigated squaraine dye. We also provide an atomistic picture of water-mediated dye desorption from the semiconductor surface, thus providing a deeper understanding of the crucial heterointerface for DSCs functioning and stability.

## ■ ASSOCIATED CONTENT

**S** Supporting Information. Computational details. Absorption spectra. This information is available free of charge via the Internet at <http://pubs.acs.org/>.

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