

1 ***Which exercise prescriptions optimize VO₂max during cancer treatment? – A***
2 ***systematic review and meta-analysis***

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ABSTRACT

7 The aims of the present systematic review and meta-analysis were to investigate the effect of
8 exercise on maximal oxygen uptake ($\dot{V}O_{2\max}$) and to investigate whether exercise frequency,
9 intensity, duration and volume are associated with changes in $\dot{V}O_{2\max}$ among adult patients
10 with cancer undergoing treatment. Medline and Embase through OvidSP were searched to
11 identify randomized controlled trials. Two reviewers extracted data and assessed the risk of
12 bias. The overall effect size and differences in effects for different intensities and frequencies
13 were calculated on change scores and post intervention $\dot{V}O_{2\max}$ data, and the meta-regression
14 of exercise duration and volumes were analyzed using the Comprehensive Meta-Analysis
15 software. Fourteen randomized controlled trials were included in the systematic review,
16 comprising 1332 patients with various cancer types receiving (neo-)adjuvant chemo-, radio-
17 and/or hormone therapy. Exercise induced beneficial changes in $\dot{V}O_{2\max}$ compared to usual
18 care (effect size = 0.46, 95% Confidence Interval = 0.23–0.69). Longer session duration ($p =$

19 0.020), and weekly duration ($p = 0.010$), larger weekly volume ($p < 0.001$), and shorter
20 intervention duration ($p = 0.005$) were significantly associated with more beneficial changes
21 in $\dot{V}O_2\text{max}$. No differences in effects between subgroups with respect to frequency and
22 intensity were found. In conclusion, exercise has beneficial effects on $\dot{V}O_2\text{max}$ in patients
23 with cancer undergoing (neo-)adjuvant treatment. As interventions with larger exercise
24 volumes and longer session durations resulted in larger beneficial changes in $\dot{V}O_2\text{max}$,
25 exercise frequency, intensity and duration should be considered carefully for sufficient
26 exercise volume to induce changes in $\dot{V}O_2\text{max}$ for this patient group.

27
28 Key words: aerobic exercise training, cardiorespiratory fitness, FITT-factors, meta-synthesis,
29 RCT

32 Introduction

33 Increasing numbers of people are living with the short- and long-term adverse effects of
34 cancer and cancer treatment (1). The American College of Sports Medicine and the American
35 Cancer Society recommend physical exercise as an intervention strategy to help patients with
36 cancer to manage symptoms, improve physical capacity, and improve quality of life during
37 and after treatment (2, 3). Prospective observational studies have shown that physically active
38 cancer survivors have a lower risk of cancer recurrence and improved survival than inactive
39 cancer survivors (2).

40
41 Cardiorespiratory fitness, assessed by measurement of the maximal oxygen uptake ($\dot{V}O_2\text{max}$),
42 is the most important predictor of all-cause mortality in both healthy individuals and patients
43 with cardiovascular disease (4, 5). Additionally, a low $\dot{V}O_2\text{max}$ is associated with increased
44 cardiovascular mortality in patients with breast cancer (6, 7). Compared with healthy
45 individuals, substantially lower $\dot{V}O_2\text{max}$ values have been observed in patients with various
46 types of cancer (8) as well as in patients with breast cancer (6, 9-11) and prostate cancer (12)
47 before, during, and after cancer treatment.

48
49 Sufficient $\dot{V}O_2\text{max}$ in patients is related to higher physical activity level (13) and daily
50 functioning and fewer toxic effects of radiotherapy, chemotherapy, and androgen deprivation
51 therapy on the cardiovascular system, respiratory system, and skeletal muscles (14-20).
52 Frequency, intensity, and duration determine the total exercise volume. To improve $\dot{V}O_2\text{max}$,
53 the training principle of overload must be present by increasing frequency, intensity, or
54 exercise duration above the initial physical exercise levels (21). Regular aerobic exercise
55 training (AET) following this principle of overload may improve $\dot{V}O_2\text{max}$ by peripheral
56 adaptations within the muscles and increased cardiac output (22).

57 The number of exercise trials aiming to improve $\dot{V}O_2\text{max}$ in patients with cancer has
58 increased during the last few decades. Two meta-analysis in 2011 and 2018 concluded that
59 AET is associated with significant and clinically relevant beneficial changes in $\dot{V}O_2\text{max}$
60 among patients both when undergoing cancer treatment and when finished (23, 24). However,
61 these meta-analyses did not investigate the role of exercise frequency, intensity, type and time
62 (FITT factors) on the change in $\dot{V}O_2\text{max}$, nor did they exclusively include studies
63 investigating the effect of exercise during cancer treatment.

64 Two recent randomized controlled trials (RCTs) (25, 26) investigated the effects of different
65 exercise programs and weekly exercise volumes on $\dot{V}O_2\text{max}$ among patients with breast
66 cancer undergoing cancer treatment. Van Waart et al. (26) found less decline in

67 cardiorespiratory fitness during chemotherapy in patients randomized to a supervised
 68 moderate- to high-intensity combined resistance and aerobic exercise program compared with
 69 patients participating in a home-based low- to moderate -intensity, aerobic exercise program
 70 and patients randomized to a usual care control group. Courneya et al. (25) compared the
 71 effects of different exercise types and volumes on $\dot{V}O_2\text{max}$ in patients with breast cancer and
 72 found the effect of higher aerobic exercise volume to be superior.

73 In the healthy population, there is evidence that AET involving moderate to high intensity
 74 exercise for at least 40 to 60 minutes per session, three times per week is effective in
 75 improving $\dot{V}O_2\text{max}$ (27). Time efficiency can be enhanced by increasing the exercise
 76 intensity and shortening the duration (28). No consensus has yet been reached regarding the
 77 optimal exercise prescriptions in terms of FITT factors of exercise to improve $\dot{V}O_2\text{max}$ in
 78 patients undergoing treatment for cancer.

79 The present systematic review and meta-analysis of RCTs was performed to determine the
 80 effect of AET on $\dot{V}O_2\text{max}$ and elucidate how the FITT factors may influence training-induced
 81 changes in $\dot{V}O_2\text{max}$ among patients with cancer receiving adjuvant or neoadjuvant treatment.

82

83 **Methods**

84 *Search strategies*

85 An electronic database search of Medline and Embase was performed through OvidSP. To
 86 identify relevant papers, the search was based on predefined terms regarding population,
 87 intervention, comparison, and outcome (PICO terms) using both MeSH terms and free text:
 88 Population (P): patients with cancer who are undergoing (neo-)adjuvant cancer treatment;
 89 Intervention (I): supervised and unsupervised physical exercise interventions involving an
 90 aerobic component; Comparison (C): patients receiving standard of care or who were on a
 91 waiting list or on attention control; and Outcome (O): cardiorespiratory fitness. The literature
 92 search was conducted in April 2016 and updated in January 2019. Reviews and references of
 93 relevant papers were searched for additional studies.

94	<i>Search string:</i>	110	14. fitness/
		111	15. fitness.ti,ab.
95	1. exp neoplasms/	112	16. aerobic capacity/
96	2. (cancer or neoplasm* or	113	17. aerobic capacit*.ti,ab.
97	tumor*).ti,ab.	114	18. physical endurance/
98	3. 1 or 2	115	19. physical fitness/
99	4. exp exercise/ or exercise*.ti,ab.	116	20. fitness.ti,ab,hw
100	5. exertion*.ti,ab.	117	21. exp oxygen consumption/
101	6. training.ti,ab.	118	22. 11 or 12 or 13 or 14 or 15 or 16 or
102	7. running.ti,ab.	119	17 or 18 or 19 or 20 or 21
103	8. (physical adj1 activ*).ti,ab.	120	23. 3 and 10 and 22
104	9. (workout or work out).ti,ab.	121	24. clinical trial/ or controlled study/ or
105	10. 4 or 5 or 6 or 7 or 8 or 9	122	randomized controlled trial/
106	11. exercise test/	123	25. (intervention* or rct or trial or trials
107	12. ((o2 or oxygen) adj (uptake or	124	or randomized).ti,ab,hw.
108	consumption*).ti,ab.	125	26. 24 or 25
109	13. vo2max.ti,ab,hw.	126	27. 23 and 26

127

128 *Inclusion criteria*

129 The present meta-analysis included RCTs of adult (>18-year old) patients with cancer that
130 evaluated the effects of an exercise intervention with an AET component during treatment
131 compared with a usual care control group. Studies in patients with all cancer types during
132 (neo-)adjuvant treatments (radiotherapy, chemotherapy, radio chemotherapy, or hormone
133 therapy) with curative intent were included. Additionally, studies were included when the
134 cardiorespiratory fitness test was conducted at baseline and at the end of the exercise
135 intervention, directly through measurements of maximal oxygen uptake or indirectly by
136 estimating $\dot{V}O_2\text{max}$ from a maximal exercise test. We excluded studies in which patients
137 participated in an exercise intervention before or after surgery and did not receive any
138 concurrent adjuvant cancer treatment, studies evaluating combined lifestyle interventions, for
139 example interventions focusing on exercise and diet or other medical/dietary supplements,
140 studies investigating patients both during and after treatment, and studies that examined
141 cardiorespiratory fitness with a submaximal exercise test.
142 If relevant information regarding FITT factors and $\dot{V}O_2\text{max}$ in both patients randomized to
143 the exercise group and the control group could not be derived from the published paper or via
144 correspondence with the author, the study was included in the systematic review but not in the
145 meta-analysis.

146

147 *Study selection and data extraction*

148 One reviewer (A.C.H.B.) removed duplicates and screened titles and abstracts for eligibility.
149 Full-text assessments were done by two reviewers (A.C.H.B. and M.G.S.).

150 After assessing eligible studies for the meta-analysis, two additional reviewers (L.M.B. and
151 S.B.) also reviewed and accepted the decisions involving inclusion of studies. Details
152 regarding study inclusion are provided in the CONSORT statement (Figure 1).

153 Reviewers A.C.H.B. and M.G.S. independently extracted information regarding the study
154 population: country, cancer site, disease stage, medical treatment, number of patients at
155 baseline and at follow-up, age, and sex. Both reviewers also independently extracted the
156 characteristics of the exercise interventions, methods of $\dot{V}O_2\text{max}$ testing, and post-
157 intervention $\dot{V}O_2\text{max}$ scores or changes from baseline (in L/min, mL/min, mL/min/kg, or
158 metabolic equivalents of task [METs]). If not reported, the outcomes of patients randomized
159 to the exercise and control groups were derived via correspondence with the author.

160 The classification of prescribed exercise intensity was based on the American College of
161 Sports Medicine guidelines (29). The input for classification was information on the
162 *prescribed* intensity. If the prescribed exercise intervention in a study had an intensity range
163 that overlapped two intensity levels (i.e., low and moderate), the study was referred to by
164 these two intensities (i.e., low–moderate intensity). Consequently, five categories were
165 defined: low, low–moderate, moderate, moderate–high, and high intensity. Exercise intensity
166 was indicated by the value of METs; we used a value of 1.5 METs to indicate low intensity,
167 3.0 METs to indicate low–moderate intensity, 4.5 METs to indicate moderate intensity, 6.0
168 METs to indicate moderate–high intensity, and 7.5 METs to indicate high intensity exercise
169 (30). We calculated the weekly exercise volume as follows: exercise intensity (MET value) \times
170 duration \times frequency.

171

172 *Risk-of-bias assessment*

173 Risk-of-bias assessment was performed by two independent reviewers (A.C.H.B. and M.G.S.,
174 L.M.B., or S.B.) using TESTEX, a validated 15-item scale specific for assessing risk of bias
175 in exercise training studies (31). Each study was rated according to 5 items on study quality
176 and 10 items on reporting, with a maximum score of 15 points. The quality assessments of the
177 reviewers were compared, and disagreements were resolved by discussion among all four
178 raters.

179 *Statistical analysis*

180 To adjust for differences in $\dot{V}O_2\text{max}$ at baseline, we used independent group differences to
181 calculate effect sizes. There were three different formats used when calculating effect sizes,
182 depending on the information available in the paper. By one procedure post intervention
183 means, confidence intervals (CI's) and sample sizes of both intervention and control group
184 were used to calculate effect sizes. Second, if differences between groups were reported, the
185 mean difference, sample size of both intervention and control group, independent groups p-
186 value and number of tails were used to calculate effect sizes. Last, if only raw differences
187 were reported, the mean difference with the upper and lower limit, sample size of both
188 intervention and control group and CI were used to calculate effect sizes. Hedges' g was
189 calculated to adjust for small sample sizes (32). A study was considered an outlier and
190 excluded from further analyses if the 95% CI of the calculated effect size did not overlap with
191 the 95% CI of the overall effect size. Cohen's convention was used to interpret the effect
192 sizes: an effect size of 0.2 was considered small, 0.5 was considered moderate, and 0.8 was
193 considered large (33). Because the samples and interventions were expected to be
194 heterogeneous, the effect sizes were pooled with a random-effects model, taking differences
195 in the effects between the studies into consideration. The I^2 statistic was reported as an
196 indicator of heterogeneity, with an I^2 of 25% representing low heterogeneity, 50%
197 representing moderate heterogeneity, and 75% representing high heterogeneity (34).
198 Subgroup analyses were conducted to study the differences in effects between studies with
199 several exercise- and intervention-related characteristics: 1; frequency of training sessions per
200 week categorized into 2-3 times/week, 3 times/week and ≥ 4 times/week, 2; intensity
201 categorized using MET values, 3; delivery mode dichotomized into supervised when a
202 supervised exercise component was included and unsupervised when there were no instructor
203 present. Additionally, we performed a meta-regression analysis to study the association of
204 $\dot{V}O_2\text{max}$ with the 4; session duration, 5; weekly exercise duration, 6; weekly exercise volume,
205 7; intervention duration referring to the duration of the intervention period in weeks, and 8;
206 intervention volume calculated as the total exercise volume \times intervention duration. When
207 reporting and analyzing session durations from combination trials (AET+RET), the total
208 exercise session duration was reported and used in the analyses. Due to the observed variety
209 in exercise prescriptions regarding type of exercise (i.e. cycling, running, walking, football-
210 activities and interval vs continuous exercise etc.), there were too few studies to investigate
211 this particular FITT factor. In the following text, *FITT* will refer to frequency, intensity and
212 time (duration).

213 In the meta-regression, Z-values and p-values were presented to provide information about
214 the regression coefficient and significance of the relationship between the variable and the
215 effect size.

216 To study the possible interference of including resistance exercise, we also conducted
217 sensitivity analyses in which combination trials (RET+AET) (35-38) were excluded. All

218 analyses were conducted using Comprehensive Meta-Analysis software, version 2.2.064
219 (National Institutes of Health, Bethesda, MD, USA).

220

221 Publication bias was investigated by inspecting the funnel plot, and Duval and Tweedie's
222 procedure (39). This procedure imputed missing studies to achieve symmetry around the
223 center of the funnel plot. The effect was then recalculated based on this procedure.

224 Publication bias was suggested by the presence of significant dispersion between the true
225 effect size and the calculated effect size as seen by Egger's test. An alpha level of $p \leq 0.05$
226 was set as the criterion for statistical significance.

227

228 **Results**

229 *Study characteristics*

230 In total, 2038 unique records were identified from the database search, and 124 full texts were
231 assessed for eligibility. In accordance with our preset criteria, 14 RCTs were included in the
232 systematic review (Fig. 1). Five studies did not present sufficient data to calculate effect sizes,
233 but we obtained data from four studies (36-38, 40) through author correspondence. For one
234 study, we were unable to obtain data to calculate effect sizes (41), resulting in a total of 13
235 studies included in the meta-analysis. One study (38) presented results for female and male
236 patients separately and was therefore included separately in the present study, resulting in a
237 sample size of 14 comparisons in the meta-analysis.

238

239 *Study population characteristics*

240 The 14 studies in the systematic review (35-38, 40-49) encompassed 1332 patients (range,
241 14–269 patients per study), with 751 in the intervention group and 581 in the control group
242 (Table 1). Various cancer types and (neo-)adjuvant treatments were represented in the studies:
243 seven studies included patients with breast cancer receiving chemotherapy (37, 41-43, 45),
244 radiotherapy (40), or both (46); three studies included patients with prostate cancer receiving
245 radiotherapy (47, 48) or androgen deprivation therapy (49); three studies included patients
246 receiving chemotherapy for colon cancer (38), acute myeloid leukemia (36), or mixed cancer
247 types (35), respectively; and one study included a mixed cancer population (44) receiving a
248 variety of treatments (radiation and/or chemotherapy). The patients' mean age varied from 45
249 to 69 years, and 70% of the participants were women.

250

251 *Exercise intervention characteristics*

252 Eleven of the included RCTs were two-armed studies comparing aerobic exercise (40, 42, 44-
253 47, 49) or combined aerobic and resistance exercise (35-38) with a control group (Table 2).

254 Three RCTs were three-armed studies comparing aerobic exercise and resistance exercise
255 separately with a control group (41, 43, 48). In two studies exercise sessions were
256 unsupervised (40, 44), and in 12 studies exercise sessions were supervised by an exercise
257 instructor. The median frequency of exercise was 3 days/week (range: 2–5 days/week); seven
258 studies prescribed "high" intensity exercise (35, 41, 43, 45-48), five "moderate-high" (36-38,
259 42, 49), and two "low-moderate" (40, 44) intensity exercise. The median duration of exercise
260 sessions was 35 min (range, 27–90 min). One study did not present the time exercised during
261 each session (41) and the median duration of the interventions was 11.5 weeks (range, 5–24
262 weeks). The median weekly exercise duration was 120 min (range, 80–270 min), and the
263 median weekly exercise volume was 720 MET min/week (range: 390–2025 MET min/week).

264

265 *Methods of cardiorespiratory fitness testing*

266 The $\dot{V}O_2\text{max}$ was measured directly in 11 studies: while running or walking on a treadmill in
267 seven studies (40-44, 46, 48) and while bicycling on a cycle ergometer in four studies (37, 38,
268 45, 49) (Table 2). Two studies included a maximal treadmill test with the modified Bruce
269 protocol to estimate $\dot{V}O_2\text{max}$ (36) or to calculate METs (47). One study estimated $\dot{V}O_2\text{max}$
270 indirectly using a stepwise work capacity test on a stationary exercise cycle (35). Of the
271 studies included in the meta-analysis, the type of exercise modality performed during the
272 exercise sessions matched the modality of the cardiorespiratory fitness test (i.e., cycling and
273 running) (35, 36, 40, 42-48). In one study, the participants conducted their cardiorespiratory
274 fitness test on a cycle ergometer and performed football exercises during the exercise sessions
275 (49). In two other studies, a cycle ergometer was used in the test but the type of AET
276 performed during exercise sessions was not reported (37, 38).

277

278 *Risk-of-bias assessment*

279 The median TESTEX score was 11.5 (range, 3–14) (Table 3). Three studies (37, 38, 45)
280 reported blinding of the outcome assessors. Six studies (36, 40, 43, 44, 46, 48) monitored
281 physical activity in the control group. Seven studies (35, 37, 38, 43-45, 48) used an intention-
282 to-treat analysis. Four studies (42, 43, 45, 48) provided a clear plan for progression of the
283 prescribed exercise by increasing frequency, session duration, and intensity throughout the
284 intervention period, aiming to adjust the relative total exercise volume for the participants. In
285 one study, both frequency and session duration were adjusted during the intervention (49). In
286 one study (36), exercise intensity was adjusted based on self-reported perceived exertion. In
287 two studies (37, 38), a combination of self-reported perceived exertion and heart rate (HR)
288 monitoring was used to identify training progression. In one of these studies, the maximum
289 HR was reassessed by a submaximal cardiopulmonary exercise test every 4 weeks (37), and
290 in the other study, the reassessment method was not reported (38). Two studies reported
291 adjustment of intensity based on HR measurements but lacked information on how these
292 adjustments were made (46, 47). Four studies (35, 40, 41, 44) did not report any form of
293 intensity monitoring or adjustments of frequency, intensity, and/or session duration
294 throughout the exercise intervention period.

295

296 *Adherence*

297 In three studies, intensity and duration were included in the assessment of adherence to the
298 intervention (36, 45, 46). In another three studies, adherence was mentioned but the authors
299 did not include any descriptions on how they assessed adherence and to what part of the
300 intervention they measured adherence (40, 43, 48). Two other studies reported adherence to
301 frequency and duration, but not to intensity (37, 44), while three studies only reported the
302 attendance rate (35, 42, 49). In one study, self-reported adherence to all of the FITT factors
303 was registered at the end of the intervention (38), and in two studies the authors did not report
304 any attendance or adherence to the prescribed exercise intervention (41, 47).

305

306 *Meta-analysis and overall effects*

307 After excluding one outlier (42), a significant moderate positive effect was found on $\dot{V}O_2\text{max}$
308 (effect size = 0.46, 95% CI = 0.23–0.69) (Table 4 and Fig. 2). Heterogeneity was indicated to
309 be high ($I^2 = 64$, $p = 0.001$).

310

311 *Analysis of FITT factors*

312 We found no significant differences between studies with different exercise frequencies ($p =$
313 0.140) and intensities ($p = 0.090$) with respect to improvements in $\dot{V}O_2\text{max}$ (Table 4).

314 Improvements in $\dot{V}O_2\text{max}$ were significantly larger for studies with larger session durations
315 (z-value, 2.30; $p = 0.020$), longer weekly exercise durations (z-value, 2.53; $p = 0.010$), and
316 larger weekly exercise volumes (z-value, 3.57; $p < 0.001$). The intervention volume was also
317 significantly associated with the intervention effects on $\dot{V}O_2\text{max}$ (z-value, 1.96; $p = 0.049$).
318 Studies with shorter intervention durations showed significantly larger improvements in
319 $\dot{V}O_2\text{max}$ than studies with longer intervention durations (z-value, -2.80 ; $p = 0.005$). The
320 results of the sensitivity analysis including studies evaluating AET only were in line with the
321 primary analyses for exercise frequency ($p = 0.740$), intensity ($p = 0.740$) and the intervention
322 volume (z-value, 2.14; $p = 0.030$). In contrast to the main analyses, the sensitivity analyses
323 showed no significant differences in effects on $\dot{V}O_2\text{max}$ across session duration (z-value,
324 0.61; $p = 0.540$), weekly exercise duration (z-value, 1.60; $p = 0.110$) or intervention duration
325 (z-value, -0.44 ; $p = 0.660$).

326

327 *Assessment of publication bias*

328 There was a symmetric distribution when investigating the funnel plot. The trim-and-fill
329 procedure suggested that three studies were missing, resulting in an adjusted effect size of
330 0.38 (0.12–0.60). Egger's test was not statistically significant ($p = 0.197$), suggesting no
331 publication bias.

332

333 **Discussion**

334 This systematic review and meta-analysis of 13 studies showed that exercise interventions
335 with an aerobic component during (neo-)adjuvant cancer treatment resulted in positive
336 changes in $\dot{V}O_2\text{max}$ compared with standard care control. We found a larger beneficial effect
337 of increased session duration, weekly exercise duration, and weekly exercise volume on
338 $\dot{V}O_2\text{max}$.

339 The observed significant moderate beneficial effect on $\dot{V}O_2\text{max}$ among patients with cancer
340 who followed an exercise intervention during (neo-)adjuvant treatment compared with the
341 control group corresponds to results reported in two previous meta-analyses (23, 24).
342 However, in contrast to these previous meta-analyses, we exclusively focused on studies that
343 included patients undergoing (neo-)adjuvant treatment and performed maximal assessments
344 of cardiorespiratory fitness. The choice of only including maximal exercise tests exclusively
345 was based on the knowledge that the use of submaximal exercise tests to predict $\dot{V}O_2\text{max}$
346 often over- or underestimate $\dot{V}O_2\text{max}$ (50). Overestimation of $\dot{V}O_2\text{max}$ among patients with
347 cancer undergoing treatment may result from chemotherapy-induced autonomic dysfunction
348 causing higher heart rate at rest and at submaximal exercise levels (50). The observed
349 moderate beneficial changes in $\dot{V}O_2\text{max}$ are clinically relevant because $\dot{V}O_2\text{max}$ is an
350 important predictor of all-cause mortality (4, 5). Our results, combined with previous findings
351 of impaired $\dot{V}O_2\text{max}$ among patients with cancer (6, 8-12, 51) emphasize the clinical
352 importance of increasing or maintaining $\dot{V}O_2\text{max}$ in this phase of the cancer trajectory.

353 In contrast to healthy populations in which AET aims to *improve* cardiorespiratory fitness,
354 only small improvements, maintenance or a less steep decline of $\dot{V}O_2\text{max}$ is expected in
355 patients undergoing chemotherapy (23). This is confirmed in previous randomized controlled
356 trials (25, 26, 43, 46, 52). Previous studies in patients with prostate cancer treated with ADT,
357 have also presented small improvements or maintenance in $\dot{V}O_2\text{max}$ (48, 49).

358 To our knowledge, the present meta-analysis is the first to study the effect of frequency,
359 intensity, session duration, weekly duration and weekly volume on $\dot{V}O_2\text{max}$ only in a

360 population of patients with cancer undergoing (neo-)adjuvant treatment. Our finding that
361 longer session durations are associated with improvements in $\dot{V}O_2\text{max}$ is supported by a
362 meta-analysis of Huang et al. (53), who found a dose–response relationship between an
363 increasing session duration and $\dot{V}O_2\text{max}$ in healthy older people performing exercise.
364 Prescribing exercise sessions of long enough duration may thus be important to have
365 beneficial effects on $\dot{V}O_2\text{max}$ in patients with cancer. Notably, Huang et al. (53) found a
366 ceiling effect; the $\dot{V}O_2\text{max}$ gain did not increase further after approximately 45 minutes. Due
367 to the relatively small number of studies and the large variation in intervention characteristics,
368 it is difficult to derive whether a ceiling effect exists among patients with cancer. The most
369 optimal session duration needs to be confirmed in future studies.

370 Our observation that longer weekly exercise durations and larger weekly exercise volumes
371 were more beneficial than shorter durations corresponds to previous findings by Courneya et
372 al. (25), who investigated patients exercising during chemotherapy for breast cancer. The
373 authors found that an increased weekly exercise duration of 150 min AET at 70% to 75% of
374 $\dot{V}O_2\text{peak}$ resulted in more beneficial changes in $\dot{V}O_2\text{max}$ than AET with a weekly duration of
375 75 min at the same intensity. This was also observed in a meta-analysis of exercise trials in
376 healthy young adults on the combined effect of session duration and intensity on $\dot{V}O_2\text{max}$
377 (54). Although the exercise duration and volume seem important to increase or maintain
378 $\dot{V}O_2\text{max}$, we cannot determine the specific recommended exercise duration or volume from
379 the present study.

380 The finding of smaller beneficial changes in $\dot{V}O_2\text{max}$ in interventions with longer durations
381 may result from lower adherence in longer exercise interventions (55). We cannot investigate
382 this issue based on the information given in the included studies in the present systematic
383 review. As Nilsen et al (56) advocates, more novel methods for reporting exercise volume and
384 adherence throughout the entire exercise intervention are needed.

385 No differences in $\dot{V}O_2\text{max}$ were found between subgroups with respect to exercise frequency
386 and intensity. This finding was unexpected and in contrast to previous studies of healthy
387 populations in which strong associations between exercise frequency and intensity were
388 reported. Huang et al. (53) found a dose–response relationship of cardiorespiratory fitness
389 when studying the effect of different exercise intensities in older adults (67.45 ± 5.25 years of
390 age). An intensity ceiling was found around 70% to 73% of HR reserve, and higher intensities
391 did not induce further enhancements in $\dot{V}O_2\text{max}$ (53). Huang et al. (53) also found that a
392 frequency of 3 to 4 days/week was the most effective in changing $\dot{V}O_2\text{max}$ among this
393 population.

394 Of note, small sample sizes may have also affected the results in our meta-analysis; 6 of the
395 studies included intervention groups comprising only 7 to 29 patients (38, 40, 42, 45, 47, 49).
396 Consequently, there were large CIs and overlaps in CIs within the different frequency and
397 intensity groups.

398 Results from published exercise interventions investigating the effect of exercise intensity
399 among patients undergoing treatment for cancer have shown that higher intensities tend to be
400 more efficient for improving or maintaining $\dot{V}O_2\text{max}$. Van Waart et al. (26) found that
401 moderate- to high-intensity exercise had larger effects on $\dot{V}O_2\text{max}$ than low- to moderate-
402 intensity exercise. Importantly, whether these findings are caused by the prescribed intensity
403 levels or by other differences related to the exercise programs (e.g. exercise type or
404 supervision) remains unclear. Larger improvements in $\dot{V}O_2\text{max}$ after high intensity compared
405 to low-moderate intensity exercise were also found in the RCT by Kampshoff et al. (57), who

406 studied the effects of exercising after the completion of (neo-)adjuvant treatment. The
407 findings in these particular exercise interventions are supported in the present study by the –
408 although not statistically significant – larger effects on $\dot{V}O_2\text{max}$ in studies with higher
409 intensity. More importantly, the findings of the present meta-analysis points to the direction
410 that total exercise volume seems to be more important than exercise intensity alone, although
411 this must be confirmed in future studies.

412
413 The fact that all FITT factors will interchangeably influence the effect on $\dot{V}O_2\text{max}$ makes it
414 challenging to disentangle whether it is one specific variable or a combination of variables
415 that results in larger improvements in $\dot{V}O_2\text{max}$ within a limited number of studies. Consistent
416 with findings in a previous review of patients with cancer (58), the studies included in the
417 present meta-analysis used a variety of exercise programs, prescribing different frequencies,
418 levels of intensity, session and intervention durations and types of exercise. Given the lack of
419 consensus regarding optimal and specific exercise prescriptions for patients with cancer
420 undergoing treatment (59) and generally in the exercise oncology literature (21), this diversity
421 in the content of exercise interventions is not surprising. This large heterogeneity in
422 combinations of FITT factors makes it challenging to separately compare individual factors
423 and may be a second explanation for why we did not find differences in effects on $\dot{V}O_2\text{max}$
424 between different exercise frequencies and intensities.

425 In a healthy population, both moderate and high intensity exercise are effective to improve
426 $\dot{V}O_2\text{max}$ (27, 54). However, in a meta-analysis of exercise trials among healthy young adults
427 no enhanced effect of high intensity compared to moderate intensity was observed on
428 $\dot{V}O_2\text{max}$, but as in our study there was rather a dose-response relationship between exercise
429 volume and $\dot{V}O_2\text{max}$ (54). However, in a meta-analysis on studies including healthy elderly
430 people (53) and in patients with coronary heart disease (60), results suggested a beneficial
431 effect of an increasing exercise intensity on $\dot{V}O_2\text{max}$ (53).

432 It should, however, be noted that our findings on exercise intensity are based on the
433 prescribed and not the actual performed exercise intensity. Additionally, prescribed intensities
434 were often based on heart rate. Prescribing optimal exercise intensity for patients undergoing
435 cancer treatment is challenging with heart-rate-based intensity protocols (61, 62), because
436 chemotherapy and/or radiation may impact the cardiac, pulmonary and vascular system,
437 hemoglobin concentration, and oxidative capacity (63), which further alters HR_{rest} and
438 reduces HR reserve.

439 *Strengths and limitations*

440 The strengths of the present study are the systematic searches of two large databases, our
441 specific focus on patients during (neo-)adjuvant cancer treatment only, the exclusive inclusion
442 of interventions with aerobic components, and the systematic investigation into the role of
443 FITT factors. In addition, we included only studies with direct and indirect assessments of
444 $\dot{V}O_2\text{max}$, resulting in a high internal validity. Although we accepted different exercise modes
445 when performing the $\dot{V}O_2\text{max}$ tests, most of the RCTs (35, 36, 40, 42-48) conducted the same
446 exercise mode during the test and during the intervention, assuming that this aspect is not a
447 limitation. Another strength of the present study is that we performed a quality assessment of
448 the included RCTs and found that most of them reported their prescribed frequency, intensity,
449 time, and type of exercise (35-38, 40, 42, 43, 45-49). However, some important limitations
450 should be noted. First, the heterogeneity among studies was high, possibly due to the diversity
451 of sample sizes, cancer types and treatments, characteristics of exercise programs, and
452 methods and exercise modes included during the $\dot{V}O_2\text{max}$ test. Second, the number of studies

453 included in the present meta-analysis to investigate differences in intervention characteristics,
454 FITT factors, and associations with changes in $\dot{V}O_2\text{max}$ was rather small. Third, it was not
455 possible to adjust for $\dot{V}O_2\text{max}$ scores at baseline in all studies. Studies without adjustment
456 could have a risk of regression to the mean (42, 45); thus, patients with lower baseline
457 $\dot{V}O_2\text{max}$ values have a greater potential to enhance their $\dot{V}O_2\text{max}$ than patients with higher
458 baseline values (64). Fourth, with respect to the FITT factor time, the time spent in both AET
459 and RET was included when reporting and analyzing the session duration from the four
460 combination trials (35-38) (Table 2). Fifth, the impact of different types of exercise and
461 modalities was not assessed in our study. Finally, 70% of the included participants are
462 women, most of them with breast cancer, which hampers the generalization of the results to
463 patients with other types of cancer. However, this gender distribution reflects the current body
464 of research in the field of exercise oncology (65, 66).

465 *Conclusion and perspectives*

466 The present systematic review and meta-analysis supports earlier findings that exercise
467 interventions with an aerobic component have beneficial effects on $\dot{V}O_2\text{max}$ in patients
468 undergoing (neo-)adjuvant treatment for cancer compared to control (23, 24). This finding
469 highlights the importance of exercise during (neo-)adjuvant treatment to prevent reductions in
470 $\dot{V}O_2\text{max}$ from the time of diagnosis and during (neo-)adjuvant treatment. By also studying the
471 effect of frequency, intensity and duration on $\dot{V}O_2\text{max}$ in a more detailed matter, the present
472 study supplies the field with a more specific understanding of how different exercise
473 prescriptions could have various impact on this important clinical outcome.

474 We observed larger beneficial changes in $\dot{V}O_2\text{max}$ among exercise interventions with longer
475 session durations, weekly exercise durations, and larger weekly exercise volumes. With
476 respect to frequency and intensity, no differences between subgroups were found, but as
477 weekly exercise duration and volume are a function of frequency, intensity and session
478 duration, the *combination* of these variables seems important. Due to the mentioned
479 limitations with prescribed intensities and adherence, cautions need to be taken when
480 interpreting our results regarding how different exercise prescriptions may influence
481 $\dot{V}O_2\text{max}$. We cannot omit intensity being an important exercise factor, and more studies are
482 needed. Though, based on our findings, exercise duration and volume seem most important to
483 maintain or increase $\dot{V}O_2\text{max}$. Exercise frequency, intensity and duration should therefore be
484 considered carefully for sufficient exercise volume to induce beneficial changes in $\dot{V}O_2\text{max}$
485 when prescribing exercise for patients with cancer. To better individualize exercise
486 prescriptions, there is a need for well-designed structured exercise intervention trials
487 investigating how aerobic exercise performed at different frequencies, intensities, and/or
488 durations affect $\dot{V}O_2\text{max}$ in different groups of patients with cancer. Future studies should
489 also report adherence to the different FITT factors as part of the planning of exercise
490 interventions for cancer patients undergoing (neo-)adjuvant treatment.

491 **Acknowledgement**

492 The authors thank Ellen Sejersted at the University of Agder for contributing with the
493 systematic search in the electronic databases.

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