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5

6 **Title**

7 Outlier SNPs enable food traceability of the southern rock lobster, *Jasus edwardsii*

8

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23

24 **Abstract** Recent advances in next generation sequencing have enhanced the  
25 resolution of population genetic studies of non-model organisms through increased

26 marker generation and sample throughput. Using double digest restriction site-  
27 associated DNA sequencing (ddRADseq), we investigated the population structure of  
28 the commercially important southern rock lobster, *Jasus edwardsii*, in Australia and  
29 New Zealand with the aim of identifying a panel of SNP markers that could be used to  
30 trace country of origin. Four ddRADseq libraries comprising a total of 91 individuals  
31 were sequenced on the Illumina MiSeq platform and demultiplexed reads were used  
32 to create a reference catalog of loci. Individual reads were then mapped to the  
33 reference catalog and variant calling was performed. We have characterized two  
34 single nucleotide polymorphism (SNP) panels comprised in total of 656 SNPs. The  
35 first panel contained 535 neutral SNPs, and the second, 121 outlier SNPs that were  
36 characteristic of being putatively under selection. Both neutral and outlier SNP panels  
37 showed significant differentiation between the two countries, with the outlier loci  
38 demonstrating much larger  $F_{ST}$  values ( $F_{ST}$  outlier SNP panel = 0.134,  $P < 0.0001$ ;  $F_{ST}$   
39 neutral SNP panel = 0.022,  $P < 0.0001$ ). Assignment tests performed with the outlier  
40 SNP panel allocated 100% of the individuals to country of origin, demonstrating the  
41 usefulness of these markers for food traceability of *J. edwardsii*.

42

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## 55 **Introduction**

56 Marine benthic invertebrates typically exhibit a pelagic larval phase that  
57 serves as a mechanism of dispersal and maintains connectivity between sub-  
58 populations (Eckman 1996). It is widely accepted that larvae with a short pelagic  
59 larval duration (PLD) are more prone to settling close to their parents, generating  
60 genetic structure at broad spatial scales (Palumbi 1994). In contrast, long PLDs can  
61 potentially lead to an absence of, or low population structure due to dispersal of larvae  
62 over large geographical areas (Shanks et al. 2003). However, most larval transport is  
63 largely determined by hydrodynamic features, which can cause strong genetic  
64 differentiation, even in species with a relatively long PLD (Palumbi 1994). An  
65 increasing number of studies using genetic markers have concluded that larval  
66 duration cannot be directly used as a predictor of genetic structure (Shanks 2009; Wei  
67 et al. 2013; Teske et al. 2015).

68 The southern rock lobster, *Jasus edwardsii*, is distributed from southern  
69 Australia and the Tasman Sea to all coasts of New Zealand. *J. edwardsii* is a  
70 commercially important species in both countries and fisheries management is carried  
71 out independently in Australia and New Zealand. This resource represents a  
72 substantial income for economies of both countries, providing annual revenue of  
73 approximately US\$292 million to Australia (ABARE-BRS 2010) and US\$204 million  
74 to New Zealand (Statistics New Zealand 2016). The main export market for both  
75 countries is Asia, where lobsters are mostly exported live (ABARE-BRS 2010; Jeffs  
76 et al. 2013).

77 Despite the protracted pelagic larval duration of up to 24 months (Booth and  
78 Phillips 1994), modeling simulations of larval trajectories have estimated that only  
79 8% of larvae released from Australia have the potential to reach New Zealand (Bruce

80 et al. 2007). An earlier genetic study that characterized the structure of six rock  
81 lobster populations from around New Zealand and two populations in Australia found  
82 evidence for restricted gene flow across the species range (Thomas and Bell 2013).  
83 The hypothesis of a panmictic *J. edwardsii* population throughout its geographical  
84 range was rejected based on significant  $F_{ST}$  ( $F_{ST} = 0.011$ ) from nine microsatellite  
85 markers (Thomas and Bell 2013). However, the authors suggested the possibility of  
86 larval migration from Tasmania (Australia) to central New Zealand. In support of this  
87 hypothesis, a subsequent study conducted with eight microsatellite markers using  
88 lobsters collected from Tasmania and the southern zone of the South Island of New  
89 Zealand also revealed population structure between countries (Morgan et al. 2013).  
90 The presence of genetic structure between Australia and New Zealand populations  
91 detected using microsatellites (Morgan et al. 2013; Thomas and Bell 2013) highlights  
92 the potential for using genetic markers to assign location of origin to lobsters, which  
93 may be useful for fisheries management purposes.

94         The use of genome-wide SNP markers, in contrast to microsatellite markers,  
95 has the potential to improve resolution in the estimation of population structure,  
96 migration rates, dispersal and population assignment (Morin et al. 2004; Benestan et  
97 al. 2015), as well as the ability to explore genomic regions under selection. Recent  
98 studies have identified high levels of population structure when analyzing small  
99 numbers of outlier markers in marine fish (Corander et al. 2013; Milano et al. 2014;  
100 Candy et al. 2015). For example, 299 neutral SNPs identified large-scale population  
101 subdivision of the widespread European hake, *Merluccius merluccius*, between the  
102 Atlantic and Mediterranean Seas, but significantly finer scale resolution was found  
103 when analyzing just 7 and 19 outlier SNPs within the Atlantic and Mediterranean  
104 basins, respectively (Milano et al. 2014). Similarly, fine scale population structure of

105 eulachon, *Thaleichthys pacificus*, in North America was distinguished through 193  
106 outlier SNPs, in comparison to lower genetic differentiation detected when analyzing  
107 3911 neutral SNPs (Candy et al. 2015). Both studies attributed the high level of  
108 genetic variation in outlier SNPs to local adaptation.

109         The high levels of population differentiation detected with markers under  
110 selection makes them appropriate for traceability of commercial fisheries species  
111 (Araneda et al. 2016). Traceability of fish products is being increasingly used for  
112 consumer protection and for regulatory enforcement, especially in unreported and  
113 unregulated fishing (Ogden 2008). Although the *J. edwardsii* fisheries in Australia  
114 and New Zealand are managed sustainably, both countries export lobster to the Asian  
115 market. In the past, China has restricted Australian imports due to public health  
116 concerns and economic reasons. Therefore, efficient assignment of commercialized *J.*  
117 *edwardsii* to country of origin could prevent any conflict between Australia and New  
118 Zealand if any further bans are imposed in the future.

119         The aim of this study was to identify a panel of SNP markers that would  
120 enable high population assignment success and therefore could be used to trace  
121 country of origin for *J. edwardsii* to either New Zealand or Australia. We used a  
122 double digest restriction site-associated DNA (ddRADseq) approach (Peterson et al.  
123 2012) to explore genetic structure of *J. edwardsii* using both neutral markers and  
124 markers putatively under selection. The high level of genetic differentiation exhibited  
125 by the outlier SNP panel allowed us to successfully assign individuals to population  
126 of origin.

127

## 128 **Materials and methods**

129 Sample collection

130 A total of 40 individuals from five sites (corresponding to three regions) in  
131 Australia and 48 individuals from four sites (corresponding to four regions) in New  
132 Zealand were collected for the present study (Fig. 1) between 2011 and 2014 (Table  
133 1). Adult lobsters on West Tasmania (AUS), East Tasmania (AUS), the Hauraki Gulf  
134 (NZ) and the Chatham Islands (NZ) were caught using commercial baited lobster  
135 pots. For the Australian samples, a pleopod clip was taken from each lobster and  
136 preserved in 90% ethanol. For the New Zealand samples, lobster legs were removed  
137 from live specimens and frozen. Downstream analyses suggest that differences in  
138 sample preservation did not produce a batch effect, since samples from the Hauraki  
139 Gulf and Chatham islands were assigned into the same cluster as the rest of New  
140 Zealand sampling sites (see Results). In the case of Stewart Island (NZ), Tonga Island  
141 (NZ) and Merri Marine Sanctuary (AUS), adult lobsters were collected by divers and  
142 legs (New Zealand specimens) or pleopod clips (Australian specimens) were taken  
143 from each lobster and immediately preserved in 90% ethanol.

144

145 DNA extractions

146 DNA was extracted using the DNeasy Blood and Tissue kit (Qiagen)  
147 following the manufacturer's instructions. The DNA concentration of each sample  
148 was determined using a Qubit® 2.0 Fluorometer (Life Technologies). DNA integrity  
149 was determined through gel electrophoresis and samples with predominantly high  
150 molecular weight DNA (corresponding to a band 1000 base pairs, bp, or higher) were  
151 preferentially selected for ddRADseq library preparation.

152

153 ddRADseq library preparation and sequencing



154 A modified version of the ddRADseq protocol developed by Peterson et al.  
155 (2012) was used to make multiplexed sequencing libraries  
156 (<https://molecularbiodiversity.wordpress.com/home/protocols/>). Briefly, 250 ng of  
157 genomic DNA was digested using the restriction enzyme EcoRI (GAATTC,  
158 infrequent cutter) and AclI (CCGC, frequent cutter) at 37°C for 16 h. Subsequently,  
159 sequencing adapters containing in-line barcodes were ligated to the sheared DNA  
160 fragments, after which low molecular weight DNA fragments as well as non-ligated  
161 adapters were removed using a double size selection protocol employing Agencourt  
162 AMPure XP magnetic beads (Beckman Coulter) (Lennon et al. 2010). Index  
163 sequences (based on TruSeq LT) and flow-cell attachment regions were incorporated  
164 by primer extension PCR. PCR products were cleaned using AMPure XP (Beckman  
165 Coulter), after which the DNA concentration was standardized, pooled and a gel size  
166 selection was performed to obtain a DNA fragments between 400 and 500 bp. DNA  
167 was extracted from the gel using the Wizard SV Gel and PCR Clean-Up System  
168 (Promega) and the concentration of the final ddRADseq library was determined using  
169 Qubit 2.0 fluorometer. All ddRADseq libraries were spiked with 10% PhiX Control  
170 v3 and sequenced on the Illumina MiSeq next generation sequencing platform using  
171 v2 2x250 bp kits.

172 The number of samples to be sequenced in a single run was determined  
173 through two pilot sequencing runs. For the first pilot run, 12 individuals from New  
174 Zealand were sequenced and for the second pilot run 13 specimens from Australia  
175 were sequenced. We determined the number of polymorphic loci and the number of  
176 reads and sequencing depth per individual and concluded that ddRADseq libraries  
177 consisting of 45 individuals would yield sufficient coverage and depth of loci to be

178 sequenced in a single run. Subsequently, two more ddRADseq libraries were  
179 sequenced, for a total of four ddRADseq datasets.

180

181 Technical replicates

182           Technical replicates were included in the second, third and fourth sequencing  
183 libraries prepared. This was particularly important given that our ddRADseq libraries  
184 were not prepared and sequenced at the same time, which may have had introduced  
185 technical- and sequencing-derived differences between libraries (Mastretta-Yanes et  
186 al. 2015). A Principal Component Analysis (PCA) was used to visualize the spatial  
187 distribution of replicates and identify whether there was a batch effect due to library  
188 preparation and sequencing that could bias results. The PCA was performed using the  
189 R package Adegnet v.1.4-1 (Jombart and Ahmed 2011). A summary of the  
190 distribution of technical replicates among libraries is given in Table S1.

191

192 Preliminary analyses of raw sequencing data

193           Quality of the reads was initially examined using the FastQC v.0.10.1 quality  
194 control tool (Babraham Bioinformatics). Subsequently, uniquely indexed and  
195 barcoded samples were demultiplexed using the “process\_radtags” protocol from  
196 Stacks v.1.29 (Catchen et al. 2011). Based on the FastQC report, sequences were  
197 trimmed to 75 bp to assure that the Phred Quality Score (Q) of all reads were above  
198 30. Trimmed reads were assessed for bacterial and viral contamination using Kraken  
199 v.3.5.0 (Wood and Salzberg 2014). This software compares sequence reads against a  
200 database to identify reads that match the taxonomic groups present in the database.  
201 Reads that do not match those of the database are output as “unclassified”, or non-  
202 bacterial or viral reads, which were extracted from the raw trimmed data for further

203 analysis. In the absence of a reference genome, removal of bacterial or viral reads is  
204 important since it is impossible to determine whether a sequence belongs to the study  
205 organism or to a contaminant, providing biased results in downstream analyses (see  
206 Merchant et al. 2014).

207

208 Reference catalog building, alignment and variant calling

209           Since *J. edwardsii* is a non-model species, we identified a catalog of the most  
210 frequently sequenced loci using the “rad-loci” pipeline

211 (<https://github.com/molecularbiodiversity/rad-loci>) developed at La Trobe University.

212 Sequence data from all individuals was first pooled and then clustered using VSearch  
213 v.1.1.3 to identify putative loci. Initially only clusters of reads with a depth of at least  
214 103 (and therefore likely to appear at least once in most of the samples) were retained.

215 This means that the number of raw reads required to form a cluster was 103.

216 Sequences were considered to be sufficiently related if they shared at least 94%  
217 identity (4 bp maximum difference in a 75 bp read, allowing single nucleotide  
218 variations and indels). Therefore, the allowed number of mismatches between two  
219 clusters was set to 5bp. Assuming that each member of the cluster was an allele, only  
220 clusters that were composed by a minimum of two members and a maximum of 16  
221 members were kept. The minimum number was based on the fact that we wanted to  
222 obtain bi-allelic data. A second round of clustering of the remaining reads at 94%  
223 identity was performed, followed by another filtering of clusters that were not  
224 comprised by a minimum of two and a maximum of 16 members. After re-filtering,  
225 individual samples were mapped back to the filtered clusters, each cluster was now  
226 called a “locus” and it consisted of one representative sequence and up to 16  
227 variations. Finally, samples were mapped back to the identified loci allowing for a

228 maximum of 40% missing data across samples in each locus. The total number of  
229 “reference” loci identified by this pipeline was 1,054 (Table S2).

230 Individual reads were subsequently mapped to the reference loci catalog  
231 using the software Bowtie2 v.0.7.12 (Langmead and Salzberg 2012). Finally, variant  
232 calling of mapped loci was performed using the Genome Analysis Toolkit (GATK)  
233 v.3.3\_0 (McKenna et al. 2010), yielding a total of 947 SNPs (Table S2).

234

#### 235 SNP filtering

236 The putative RAD loci were filtered to ensure that known confounding  
237 variables, such as non bi-allelic loci, missing loci, allele dropout (ADO) and linkage  
238 disequilibrium (LD) (Henning et al. 2014), were minimized prior to population level  
239 analyses using VCFtools v.0.1.13 (Danecek et al. 2011). Only bi-allelic loci were  
240 retained, using the options --min-alleles 2 --max-alleles 2. Additionally we selected a  
241 single SNP per locus with the option --thin 75, given that loci were 75 bp long. Since  
242 paired-end sequencing was performed and therefore pairs of loci of 75 bp length  
243 could potentially be in LD, we set a pairwise LD measure threshold of  $r^2 > 0.8$  to  
244 remove potentially linked loci using the option --min-r2. Average coverage was 44,  
245 allowing for a minimum coverage of 5x to minimize ADO. Rare alleles were also  
246 removed by setting a minor allele frequency of 0.1 with the option --maf. Finally,  
247 both loci and individuals with more than 20% missing data were excluded from the  
248 analysis, yielding a final total number of 656 SNPs (Table S2).

249

#### 250 SNP characterization

251 Detection of neutral loci and loci putatively under selection was performed  
252 using Lositan (Beaumont and Nichols 1996; Antao et al. 2008) using 100,000

253 simulations, a confidence interval of 0.99, and a false discovery rate of 0.1 (Jacobsen  
254 et al. 2014). Lositan uses an  $F_{ST}$ -outlier approach that identifies loci as outliers when  
255 their  $F_{ST}$  values are too high or too low compared to neutral expectations (Antao et  
256 al. 2008). Loci found to be under balancing and positive selection will be hereafter  
257 referred as outlier loci. All downstream analyses were performed and are reported for  
258 each SNP panel separately.

259           Finally, to examine whether sequence reads aligned to protein coding  
260 regions, demultiplexed untrimmed reads were screened through tBLASTx v.2.2.29+  
261 (Altschul et al. 1997). This program searches a translated nucleotide database with  
262 putative translated nucleotide queries. Raw reads (150 - bp) were used rather than the  
263 75 bp reference loci to improve the BLAST alignment length and hence specificity.  
264 Subsequently, queries with statistically significant e-values ( $E < 0.01$ , Karlin and  
265 Altschul 1990) were screened against the reference loci using BLASTn v.2.2.29+  
266 (Altschul et al. 1997) to identify any loci that were contained on those reads and  
267 therefore linked to those genes.

268

269 Analyses of genetic diversity

270           The level of observed ( $H_o$ ) and expected heterozygosity ( $H_E$ ) in each  
271 population, as well as F statistics for both SNP panels, were calculated using the R  
272 packages Adegnet v.1.4-1 (Jombart and Ahmed 2011) and Pegas v.0.8-2 (Paradis  
273 2010). A two-sample Wilcoxon test was used to detect whether mean  $H_o$  differed  
274 significantly from mean  $H_E$  for both SNP panels.

275

276 Effective population size estimation

277           Effective population size ( $N_e$ ) was estimated by the software NeEstimator  
278 v.2.01 (Do et al. 2014) using the linkage disequilibrium model based on allele  
279 frequencies of all 656 loci. This model provides the most precise estimation of  $N_e$   
280 among other single-sample methods (Waples and Do 2010; Do et al. 2014).

281

282   Analysis of population structure

283           Population structure was investigated through Discriminant Analysis of  
284 Principal Components (DAPC). DAPC was performed and results were plotted using  
285 the R package Adegenet v.1.4-1 (Jombart and Ahmed 2011). This analysis assigns  
286 individuals to clusters and selects the best number of clusters based on Bayesian  
287 Information Criterion (BIC) (Jombart et al. 2010). The results are comparable to those  
288 obtained by STRUCTURE (Pritchard et al. 2000), with the advantage that DAPC  
289 explores genetic structure without making assumptions about the genetic model of the  
290 study population (Jombart et al. 2010).

291

292   Assignment of individuals to country of origin

293           Assignment tests were performed using the program GeneClass2.0 (Piry et al.  
294 2004) to test the effectiveness of the outlier SNP panel to assign individuals to  
295 country of origin. We simulated  $10 \times 10^3$  multilocus genotypes using the algorithm  
296 described by Paetkau et al. (2004). We used the Bayesian allele frequency estimation  
297 method (Rannala and Mountain (1997) whereby each individual is removed from the  
298 baseline and assigned to the most likely population.

299

300   **Results**

301   Variant calling and SNP filtering

302 ddRADseq sequencing libraries were prepared from seven sub-populations  
303 of the southern red rock lobster from Australia and New Zealand and sequenced using  
304 an Illumina MiSeq. A “reference” catalog of loci was developed from a total of 88  
305 individuals using an average of 580,000 reads per individual. After variant calling and  
306 SNP filtering, our final dataset comprised 75 individuals, including 30 Australian and  
307 45 New Zealand samples. From this data, a total of 954 SNPs were identified, and  
308 after filtering (MAF = 0.1, LD < 0.8 between loci, 1 SNP per loci, < 20% missing  
309 data of loci and individuals), a total of 656 SNPs were obtained. Using the software  
310 Lositan to discriminate between putatively neutral variants and variants characteristic  
311 of being under selection, two panels of SNPs were identified consisting of 535 neutral  
312 and 121 outlier SNPs respectively.

313

314 Consistency in assignment of technical replicates

315 Technical replicates were included in three ddRADseq libraries. The PCA  
316 showed consistency in assigning technical replicates from the same individual close to  
317 each other and within the cluster of each country. This means there was no batch  
318 effect produced by different library preparation and sequencing runs (Fig. S1).

319

320 Genetic diversity and effective population size

321 Mean expected and observed heterozygosity for both countries were higher  
322 for the neutral SNP panel than for the outlier panel and the New Zealand population  
323 exhibited higher heterozygosity than the Australian population (Table 2). The  
324 negative values of the inbreeding coefficient ( $F_{IS}$ ) estimated for both SNP panels and  
325 both countries are indicative of heterozygote excess. The two-sample Wilcoxon test  
326 indicated that  $H_O$  was significantly higher than  $H_E$  in both SNP panels for the New

327 Zealand population ( $P < 2.2e-16$  and  $P = 0.0199$ , respectively for the neutral and  
328 outlier SNP panels). For the Australian population, significant differences between  $H_O$   
329 and  $H_E$  were only found for the neutral SNP panel ( $P < 2.2e-16$  and  $P = 0.07222$ ,  
330 respectively for the neutral and outlier SNP panels).

331 Estimation of effective population size in Australia and New Zealand gave  
332 infinite values, with confidence intervals of  $1334.6 - \infty$  for Australia and infinite for  
333 the New Zealand *J. edwardsii* population. Infinite values of estimated  $N_e$  and  
334 confidence intervals may be due to larger than expected sampling error (Do et al.  
335 2014).

336

337 Population structure

338 While both panels detected population structure between Australia and New  
339 Zealand, the outlier loci detected greater differentiation between countries (Table 2).  
340 DAPC analysis using both SNP panels detected two clusters, each of them  
341 representing each of the two countries (Fig. 2). However, the higher genetic  
342 divergence given by the outlier SNP panel was detectable in the distance between  
343 both clusters, which was one order of magnitude higher than that of the neutral SNP  
344 panel (Fig. 2c, a, respectively). To determine the outlier SNPs causing most of the  
345 differentiation between countries we inspected the associated allele loadings (Jombart  
346 et al. 2010), showing that 30 SNPs contribute to the discrimination between Australia  
347 and New Zealand (Fig. S2).

348

349 Assignment of individuals to country of origin

350 The outlier SNP panel correctly assigned 100% of individuals to population  
351 of origin, with a quality index of 87.72% (Table S3).



352

353 Characterization of catalog loci

354 An analysis of the ddRAD loci to identify coding sequence variants using  
355 tBLASTx did not produce any significant alignment with loci containing neutral or  
356 outlier SNPs.

357

## 358 **Discussion**

359 This study represents the first genome-wide population genetic analysis of a  
360 rock lobster species. We produced a panel of outlier and neutral loci to investigate  
361 population structure between Australia and New Zealand and the potential for  
362 assignment of individuals to population of origin. The southern red rock lobster, *J.*  
363 *edwardsii*, is a commercially important species in Australia and New Zealand, and,  
364 given reported evidence of differing degrees of genetic connectivity (Morgan et al.  
365 2013; Thomas and Bell 2013), further fine scale genetic mapping of populations from  
366 both countries is required to understand the extent of connectivity between countries.  
367 As seen in other marine species, our outlier SNP panel showed greater genetic  
368 differentiation between Australia and New Zealand than the neutral panel. Therefore  
369 we propose that the outlier SNP panel has potential as an effective method for  
370 determining population of origin in *J. edwardsii*.

371

372 Evidence for a population bottleneck

373 Significant differences between mean  $H_O$  and  $H_E$  were detected for the  
374 neutral SNP panel in both countries and the outlier SNP panel in New Zealand, and  
375 the negative values of the fixation index indicates heterozygote excess. Heterozygote  
376 excess can be a result of low depth of coverage, since in the presence of low depth

377 data homozygote genotypes could be erroneously called heterozygous (Nielsen et al.  
378 2012b). However, we do not consider this to be the case in the present study since our  
379 average depth of coverage is 43 and it has been suggested that a minimum of 5x  
380 coverage gives reliable estimates of allele frequencies (Ellegren 2014).

381 Heterozygote excess may also be the result of a small effective population  
382 size caused by a past population bottleneck many generations ago (Luikart and  
383 Cornuet 1998) or as a result of a relatively small number of individuals contributing to  
384 each generation (Hedgecock et al. 2011). However, heterozygote excess caused by a  
385 population bottleneck can be a transient state (Luikart and Cornuet 1998). Thomas  
386 and Bell (2013) estimated an effective population size of 2,717 in Australia and 1,189  
387 in New Zealand. These estimates are low and therefore it is possible that the  
388 populations have undergone a bottleneck. The infinite estimates of  $N_e$  in the present  
389 study do not provide any evidence of genetic drift due to small  $N_e$  (Do et al. 2014).  
390 However, as discussed above, our infinite values of estimated  $N_e$  and confidence  
391 intervals may be due to larger than expected sampling error. When  $N_e$  is large or if  
392 there is limited data available, the estimate of  $N_e$  will be negative and the biological  
393 interpretation is that  $N_e = \infty$  (see Waples and Do 2010).

394

395 Performance of the neutral SNP panel for detecting population structure

396 Studies on population structure of marine organisms based on neutral  
397 markers typically demonstrate significant but weak genetic differentiation (Nielsen et  
398 al. 2009; Milano et al. 2014). Neutral markers are affected by demography and the  
399 evolutionary history of populations; therefore they evolve as a result of genetic drift  
400 and migration (Luikart et al. 2003). For this reason, even low levels of migrant  
401 exchange can maintain genetic homogeneity between populations over long periods of

402 time (Cano et al. 2008; Allendorf et al. 2010). The neutral SNP panel used in the  
403 present study provided evidence for significant but weak genetic differentiation  
404 between countries, in accordance with the findings of microsatellite markers, which  
405 displayed similar levels of genetic differentiation between Tasmania and New  
406 Zealand ( $F_{ST} = 0.029$ ) (Morgan et al. 2013).

407

408 Performance of the outlier SNP panel for detecting population structure and  
409 implications for fisheries management

410 In the present study, the much higher and statistically significant  $F_{ST}$  value  
411 exhibited by the outlier SNP panel ( $F_{ST} = 0.134$ ) demonstrated that loci putatively  
412 under selection have high power for detecting genetic structure between Australia and  
413 New Zealand and therefore could be used for determining country of origin in *J.*  
414 *edwardsii*.

415 Molecular techniques for seafood authentication are increasingly used to  
416 monitor fish stocks that are still commercially viable but are becoming threatened by  
417 overfishing, and to protect the consumer from fraudulent practices (Ogden 2008;  
418 Sorenson et al. 2013; Larraín et al. 2014). DNA barcoding is one of the preferred  
419 techniques due to its applicability to degraded material, low DNA requirement, simple  
420 protocol, time efficiency and reproducibility (Wong and Hanner 2008). However,  
421 DNA barcoding is more effective for inter-specific differentiation, since it targets the  
422 mitochondrial cytochrome *c* oxidase I (COI) gene which can be highly conserved  
423 between subpopulations of the same species (Ogden 2008). In contrast, techniques  
424 that target the nuclear genome provide the potential for intra-species assignment to  
425 population of origin (Nielsen et al. 2012a).

426           Trials using microsatellite markers for food traceability have been carried out  
427 with limited success in other commercial marine species. For example, a panel of nine  
428 microsatellites produced up to 50% of correct assignments to country of origin of the  
429 mussel *Mytilus chilensis* in the presence of a global  $F_{ST}$  lower than 0.042 (Larraín et  
430 al. 2014). These authors suggested that having more informative loci and using SNP  
431 markers could improve assignment success. In contrast, higher success (92%) was  
432 obtained when combining 13 microsatellite markers with data from the mitochondrial  
433 control region to accurately assign individuals to ocean of origin in Atlantic and  
434 Pacific blue marlin stocks with low genetic differentiation ( $F_{ST} < 0.01$ ) (Sorenson et  
435 al. 2013). However, the suitability of loci putatively under selection for more reliable  
436 assignment to population of origin has been recognized (Martinsohn and Ogden 2009;  
437 Nielsen et al. 2012a) and recent studies have successfully demonstrated the power of  
438 outlier SNPs for this purpose (Araneda et al. 2016).

439           The outlier SNP panel obtained in the present study demonstrated strong  
440 genetic differentiation of *J. edwardsii* between Australia and New Zealand ( $F_{ST} =$   
441 0.134). Based on these markers, complimentary high throughput technologies that  
442 rely on prior sequence information, such as target capture or loop-mediated isothermal  
443 amplification (LAMP assay) (Tomita et al. 2008) could be used to differentiate  
444 lobsters caught in New Zealand from those caught in Australian waters in order to  
445 avoid mislabeling of country of origin. In particular, LAMP assay amplifies specific  
446 regions of the DNA with high specificity, efficiency, rapidity and low cost for  
447 preparation and visualization of results (Tomita et al. 2008). This assay is being  
448 increasingly used for clinical diagnosis of infectious diseases in developing countries  
449 since it does not require expensive laboratory equipment (i.e. a thermal cycler) and it  
450 can be performed in 1 hour (Parida et al. 2008). For the particular case of *J.*

451 *edwardsii*, primers could be specifically designed to target regions of the genome  
452 containing the outlier SNPs with highest loadings identified in Fig. S2 that account for  
453 most of the divergence between Australia and New Zealand (Martinson and Ogden  
454 2009).

455 Genetic structure detected using outlier loci could suggest differences at  
456 regions of the genome putatively subject to selection. This divergence could be due to  
457 local adaptation to environmental conditions or to post-settlement mortality of  
458 unsuited genotypes (Holt and Gaines 1992; Caley et al. 1996; Marshall et al. 2010).  
459 Local adaptation to environmental conditions will result in genetic divergence  
460 between populations in the presence of high self-recruitment (Holt and Gaines 1992;  
461 Sanford and Kelly 2011). Herein, we demonstrated very high levels of self-  
462 recruitment within each country using outlier loci. Also, post-settlement mortality of  
463 unsuited genotypes will preserve the local genetic pool by removing migrants that are  
464 not fit to survive under particular environmental conditions (DeWitt et al. 1998;  
465 Marshall et al. 2010). Currently it is impossible to unravel the degree at which self-  
466 recruitment and post-settlement mortality are contributing to genetic differentiation of  
467 *J. edwardsii* between Australia and New Zealand and this is beyond the scope of this  
468 study.

469 Based on the high genetic divergence resulting from the outlier SNP panel,  
470 we hypothesize that local conditions may have helped shape patterns of genetic  
471 diversity within adaptive regions of the genome in these populations, as shown in  
472 other studies (see Corander et al. 2013; Fraser et al. 2014), however in the absence of  
473 any reference genes or transcriptome for *J. edwardsii* or closely related species it is  
474 impossible to ascertain this. We can only speculate that differences in environmental  
475 conditions between sampling sites may be driving differences at the outlier loci.

476 Empirical evidence suggests that *J. edwardsii* are adapted to specific local conditions,  
477 but there is also extensive evidence of very high phenotypic plasticity. For example,  
478 growth rates in this species are highly variable and are mainly determined by  
479 temperature, density and food availability (Annala and Bycroft 1985; Jeffs and James  
480 2001). Site-specific differences in carapace coloration and growth rates of this species  
481 have been reported in Australia (Punt et al. 1997; McGarvey et al. 1999). Individuals  
482 inhabiting deep waters are white-colored due to a diet with low concentration of  
483 carotenoid pigments and lower nutritional value, which can also impact growth  
484 negatively (McGarvey et al. 1999). Translocation experiments of white-colored  
485 lobsters into shallow areas demonstrated a change in coloration, growth rates and  
486 body condition after 12 months of translocation (Chandrapavan et al. 2009;  
487 Chandrapavan et al. 2010; Green et al. 2010; Chandrapavan et al. 2011). Therefore,  
488 even when self-recruitment could help retain locally adapted genotypes, phenotypic  
489 plasticity can also act to promote growth of *J. edwardsii* in certain environments.

490 Greater density of SNPs, together with improved but as yet unavailable  
491 genetic resources for *J. edwardsii* or closely related species (such as reference  
492 genome and transcriptome datasets), would provide further insight into potential  
493 genetic evidence of adaption to local environments. Seascape genetics could also help  
494 coupling local environmental conditions to genetic distance in order to explain  
495 patterns of genetic divergence between populations (Giles et al. 2015; Saenz-Agudelo  
496 et al. 2015).

497

## 498 **Conclusions**

499 In this study, we used ddRADseq and Illumina MiSeq next generation  
500 sequencing to explore the genetic connectivity of southern rock lobster populations

501 from Australia and New Zealand. Data from two SNP panels are presented, from  
502 which a panel of 121 outlier markers have allowed us to identify clear genetic  
503 structure in *J. edwardsii* populations between the two countries as well as high levels  
504 of self-recruitment. In addition, highly significant  $F_{ST}$  values estimated from the  
505 outlier SNP panel could be indicative of local adaptation driving the genetic  
506 differentiation between countries. This is particularly important in a commercial  
507 species managed by different agencies. Therefore, the outlier SNP panel developed in  
508 the present study could be used to differentiate New Zealand from Australian lobsters  
509 and therefore be useful for food traceability. We believe that more extensive  
510 sampling, including sites along the whole distribution of the species, could identify  
511 source and sink regions within each country precisely, which would also help  
512 management decision-making in Australia and New Zealand. Finally, continued  
513 development of genomics resources, such as transcriptome sequencing, gene  
514 characterization and quantitative trait locus discovery is needed in order to explore the  
515 link between genotype, phenotype and the environment.

516

517 Data accessibility: Reference loci sequences available through Dryad,  
518 doi:10.5061/dryad.5c960.

519

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528

529 All Authors A declare that they have no conflict of interest.

530

531 Ethical approval: All applicable international, national, and/or institutional guidelines  
532 for the care and use of animals were followed.

533

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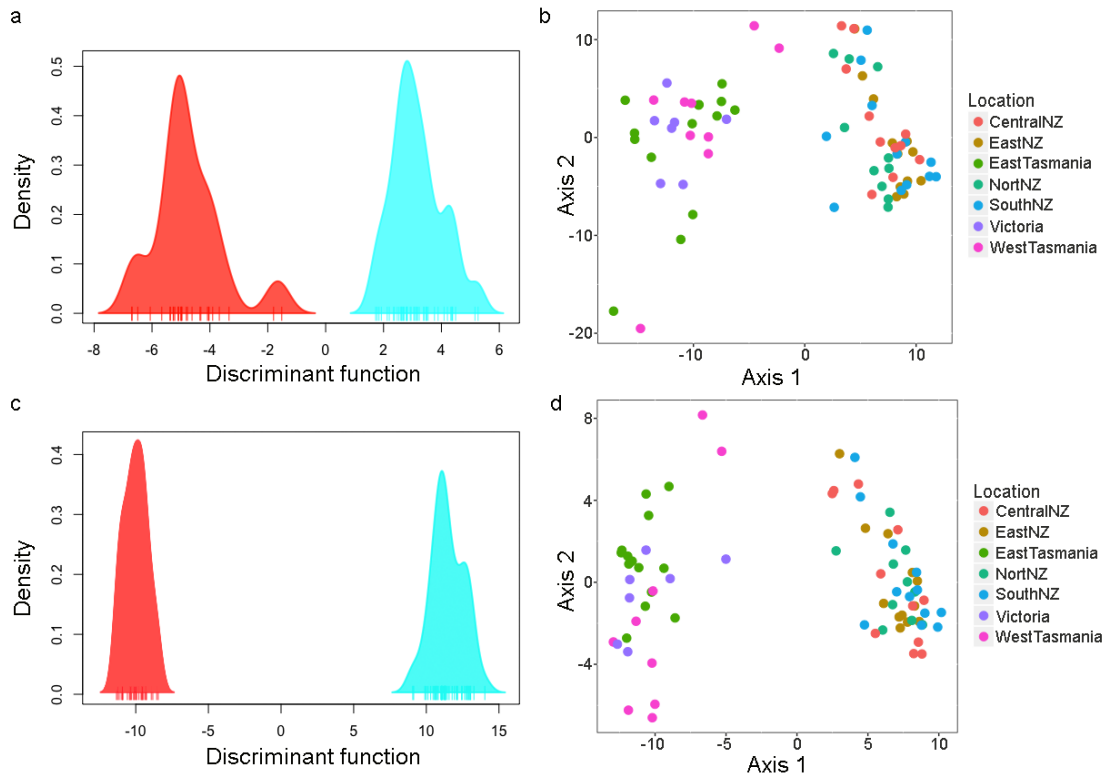
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 826 **Fig. 1** Sampling sites in Australia and New Zealand

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839 **Fig. 2** First principal component resulting from the Discriminant Analysis of Principal  
840 Components (DAPC) using (a) the neutral SNP panel and (c) the outlier SNP panel.  
841 The red group represents 30 Australian individuals while the blue group represents 45  
842 New Zealand individuals. Principal component 1 and 2 resulting from a Principal  
843 Component Analysis (PCA) using (b) the neutral SNP panel and (d) the outlier SNP  
844 panel. Sampling regions are represented by colors

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858 **Table 1** Sampling sites for the *J. edwardsii* collected in Australia and New Zealand. Number of individuals sequenced (n) and  
 859 final number of individuals (n<sub>f</sub>) after filtering for missing data and removal of replicates are reported for each sampling site  
 860

861	Country	Region	Sampling site	Sampling year	Stage	Latitude	Longitude	n	n <sub>f</sub>
862	Australia	Victoria	Merri Marine Sanctuary (MMS)	2013	Adult	38°23'S	142°28'E	8	7
863		West Tasmania	Maatsuyker Island (MAA)	2014	Adult	43°39'S	146°12'E	11	9
864		East Tasmania	The Friars (FSX)	2014	Adult	43°30'S	147°20'E	8	4
865		East Tasmania	Bruny Island (BRU)	2013	Adult	43°08'S	147°27'E	4	3
866		East Tasmania	Tinderbox (TXX)	2013	Adult	43°02'S	147°20'E	9	7
867	New Zealand	North NZ	Hauraki Gulf (HGU)	2011	Adult	36°30'S	174°50'E	13	10
868		Central NZ	Tonga Island (TIS)	2013	Adult	40°53'S	173°04'E	11	11
869		East NZ	Chatham Islands (CHI)	2013	Adult	43°55'S	176°43'E	12	12
870		South NZ	Stewart Island (SIS)	2013	Adult	46°38'S	167°37'E	12	12

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880 **Table 2** Descriptive statistics for Australia and New Zealand given by the neutral and outlier SNP

881 panels

	N	$N_{loci}$	$H_O (\pm SD)$	$H_E (\pm SD)$	$F_{IS}$	$F_{ST}$	$P$
Neutral SNP panel							
New Zealand	45	535	0.633 ( $\pm 0.279$ )	0.399 ( $\pm 0.118$ )	-0.588		
Australia	30	535	0.518 ( $\pm 0.268$ )	0.369 ( $\pm 0.126$ )	-0.401	0.022	<0.0001
Outlier SNP panel							
New Zealand	45	121	0.386 ( $\pm 0.319$ )	0.264 ( $\pm 0.176$ )	-0.463		
Australia	30	121	0.355 ( $\pm 0.271$ )	0.273 ( $\pm 0.169$ )	-0.297	0.134	<0.0001

889  $N$  sample size,  $N_{loci}$  number of SNPs,  $H_O$  mean observed level of heterozygosity,  $H_E$  mean expected level of  
890 heterozygosity,  $F_{IS}$  fixation index (inbreeding coefficient),  $F_{ST}$  pairwise fixation index between Australia  
891 and New Zealand

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**Table S1** Number of inter- and intralibrary technical replicates

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	Intralibrary technical replicates	Interlibrary technical replicates
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Library 1	NA	NA
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Library 2	NA	1
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Library 3	15	5
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Library 4	NA	4
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907 **Supplemental Materials and methods**

908 Migration rates

909 Recent migration rates between Australia and New Zealand were inferred with a subset of 86 neutral SNPs that exhibited the highest  
910  $F_{ST}$  values ( $F_{ST} > 0.05$ ) in the program BayesAss v.3.0.4 (Wilson and Rannala 2003) using a Markov chain Monte Carlo (MCMC) for  $10 \times 10^6$   
911 iterations with a burn-in length of  $10^6$  iterations.

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913 **Supplemental Results**

914  
915 Migration rates

916 The subset of 86 neutral SNPs with  $F_{ST}$  values larger than 0.05 revealed 98% self-recruitment in Australia and 67% in New  
917 Zealand. According to these results, approximately 33% of the New Zealand populations are migrants from Australia as well as  
918 approximately 0.02% of individuals from the Australian population originated in New Zealand (Table S4).

919  
920 Table S4 Migration rates between Australia and New Zealand resulting from 86 neutral SNPs ( $\pm SD$ ) with  $F_{ST} > 0.05$ . Rows are source  
921 populations and columns are sink populations

	Australia	New Zealand
Australia	0.9792( $\pm 0.0140$ )	0.0208( $\pm 0.0140$ )
New Zealand	0.3262( $\pm 0.0070$ )	0.6738( $\pm 0.0070$ )

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927 **Supplemental References**

928 Wilson GA, Rannala B (2003) Bayesian inference of recent migration rates using multilocus genotypes. *Genetics* 163:1177-1191

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