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Airapetian, A.; Blok, H.P.; Chen, T.; Hesselink, W.H.A.; Laziev, A.; Simani, M.C.; van der Nat, P.B.; Vogt, M.; Volmer, J.; Smit, G.P.
published in
Physics Letters B
2004
DOI (link to publisher)
10.1016/j.physletb.2004.01.079
document version
Publisher's PDF, also known as Version of record

Link to publication in VU Research Portal
citation for published version (APA)
Airapetian, A., Blok, H. P., Chen, T., Hesselink, W. H. A., Laziev, A., Simani, M. C., van der Nat, P. B., Vogt, M., Volmer, J., \& Smit, G. P. (2004). Evidence for a narrow vertical bar S vertical bar=1 baryon state at a mass of 1528 MeV in quasi-real photoproduction. Physics Letters B, 585(3-4), 213-222.
https://doi.org/10.1016/j.physletb.2004.01.079

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# Evidence for a narrow $|S|=1$ baryon state at a mass of 1528 MeV in quasi-real photoproduction 

## HERMES Collaboration

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Received 16 December 2003; received in revised form 20 January 2004; accepted 29 January 2004
Editor: L. Rolandi


#### Abstract

Evidence for a narrow baryon state is found in quasi-real photoproduction on a deuterium target through the decay channel $p K_{S}^{0} \rightarrow p \pi^{+} \pi^{-}$. A peak is observed in the $p K_{S}^{0}$ invariant mass spectrum at $1528 \pm 2.6$ (stat) $\pm 2.1$ (syst) MeV . Depending on the background model, the naive statistical significance of the peak is $4-6$ standard deviations and its width may be somewhat larger than the experimental resolution of $\sigma=4.3-6.2 \mathrm{MeV}$. This state may be interpreted as the predicted $S=+1$ exotic $\Theta^{+}(u u d d \bar{s})$ pentaquark baryon. No signal for an hypothetical $\Theta^{++}$baryon was observed in the $p K^{+}$invariant mass distribution. The absence of such a signal indicates that an isotensor $\Theta$ is excluded and an isovector $\Theta$ is unlikely. © 2004 Elsevier B.V. All rights reserved.


Keywords: Glueball and nonstandard multi-quark/gluon states; Photon and charged-lepton interactions with hadrons; Baryon production; Baryons

One of the central mysteries of hadronic physics has been the failure to observe baryon states beyond those whose quantum numbers can be explained in terms of three quark configurations. Exotic hadrons with manifestly more complex quark structures, in particular, exotics consisting of five quarks, were proposed on the basis of quark and bag models [1] in the early days of QCD. The hope has been that the discovery of such objects would provide new insights into the dynamics of quark interactions in the strong coupling regime. Although it was hypothesized [2] that pentaquark systems involving heavy quarks, e.g., $u u d \bar{c} s$, offered the most promising prospects for isolating such exotics, experimental searches carried out at FNAL [3] found no evidence for such states.

From quite a different point of view, it was noted [4,5] that the Skyrme model predicts new exotic states belonging to higher $\mathrm{SU}(3)$ representations. Using this model, Praszalowicz [6] provided the first estimate of the mass of the lightest exotic state, $M \approx 1530 \mathrm{MeV}$. Subsequently, an exotic baryon of spin $1 / 2$, isospin 0 , and strangeness $S=+1$ was discussed as a feature of the Chiral Quark Soliton model [5]. In this approach $[7,8]$ the baryons are rotational states of the soliton nucleon in spin and isospin space, and the lightest exotic baryon lies at the apex of an antidecuplet with spin $1 / 2$, which corresponds to the third rotational excitation in a three flavor system. Treating the known $\mathrm{N}(1710)$ resonance as a member of the antidecuplet, Diakonov, Petrov, and Polyakov [8] derived a mass of 1530 MeV and a width of less than 15 MeV for this exotic baryon, since named the $\Theta^{+}$. It corresponds to a $u u d d \bar{s}$ configuration, and decays through the channels $\Theta^{+} \rightarrow p K^{0}$ or $n K^{+}$. However, measurements of $K^{+}$scattering on proton and deuteron targets showed no evidence [9] for strange baryon resonances, and appear to limit the width to remarkably small values of order an MeV . Presumably, the difficulty of experiments with kaon beams so close to threshold severely limited the sensitivity to such narrow excitations. In a recent review of this subject [10], an ex-

[^0]perimental search by means of electro-production was suggested.

Experimental evidence for an exotic baryon first came recently [11] from the observation of a narrow resonance at $1540 \pm 10$ (syst) MeV in the $K^{-}$missing mass spectrum for the $\gamma n \rightarrow K^{+} K^{-} n$ reaction on ${ }^{12} \mathrm{C}$. The decay mode corresponds to a $S=+1$ resonance and signals an exotic pentaquark state with quark content (uudds$)$. Confirmation came quickly from a series of experiments, with the observation of sharp peaks [12-16] in the $n K^{+}$and $p K_{S}^{0}$ invariant mass spectra near 1540 MeV , in each case with a width limited by the experimental resolution. The failure to observe a corresponding $\Theta^{++}$peak in the $p K^{+}$ invariant mass spectrum in some of these experiments $[13,14]$ was taken to suggest that the state is an isospin singlet.

Alternative theoretical explanations have been proposed recently to explain this new exotic state. In one model, the $\Theta$ is described as an isotensor pentaquark [17], so that the narrow width results from the isospin-violating strong decay. A search for the decay of the isospin partners such as the $\Theta^{++}(u u u d \bar{s})$ can provide a strong test of this idea. In a second interpretation, Karliner and Lipkin have developed a cluster model using a diquark-triquark configuration [18], in which the $\Theta^{+}$is also a positive-parity isosinglet member of an anti-decuplet. Thirdly, using a model based on the strong color-spin correlation force, Jaffe and Wilczek [19] propose that the $\Theta^{+}$consists of two highly correlated $u d$ pairs coupled to an $\bar{s}$. In their picture the positive-parity isosinglet $\Theta^{+}$lies at the apex of an nearly-ideally mixed $\mathrm{SU}(3)_{f} \mathbf{8}_{\mathbf{f}} \oplus \overline{\mathbf{1 0}}_{\mathbf{f}}$ multiplet. The narrowness of the 1530 MeV state is attributed to the relatively weak coupling of the kaon-nucleon continuum to the pentaquark $[u d]^{2} \bar{s}$ configuration.

The baryon states at the bottom two vertices of the anti-decuplet must also be manifestly exotic. Strong evidence in support of the baryon decuplet comes from the reported observation of an exotic $S=-2, Q=-2$ baryon resonance in proton-proton collisions at $\sqrt{s}=$ 17.2 GeV at the CERN SPS [20]. A narrow peak at a mass of about 1862 MeV in the $\Xi^{-} \pi^{-}$invariant mass spectrum is proposed as a candidate for the
predicted exotic $\Xi_{3 / 2}^{--}$baryon with $S=-2, I=3 / 2$ and a quark content of $(d s d s \bar{u})$. At the same mass, a peak is observed that is a candidate for the $\Xi_{3 / 2}^{0}$ member of this isospin quartet. The corresponding anti-baryon spectra show enhancements at the same invariant mass. This observed mass of 1862 MeV falls between the predictions of Refs. [8] and [19], although closer to the latter. Also, the positive parity for the $\Theta^{+}$ predicted by these models contrasts with the negative parity suggested by the first lattice results [21,22]. The general theoretical situation is still quite unsettled.

This Letter presents the results of a search for the $\Theta^{+}$in quasi-real photoproduction on deuterium. In addition to corroborating some features of the state measured previously, the data reported here provide more restrictive information related to its mass and isospin. The data were obtained by the HERMES experiment with the 27.6 GeV positron beam of the HERA storage ring at DESY. Stored beam currents ranged from 9 to 45 mA . An integrated luminosity of $250 \mathrm{pb}^{-1}$ was collected on a longitudinally polarized deuterium gas target. The yields were summed over two spin orientations.

The HERMES spectrometer [23] consists of two identical halves located above and below the positron beam pipe, and has an angular acceptance of $\pm 170$ mrad horizontally, and $\pm(40-140)$ mrad vertically. The trigger was formed by either a coincidence between scintillating hodoscopes, a preshower detector and a lead-glass calorimeter, or a coincidence between three scintillating hodoscopes and two tracking planes, requiring that at least one charged track appears in each of the detector halves of the spectrometer.

The analysis searched for inclusive photoproduction of the $\Theta^{+}$followed by the decay $\Theta^{+} \rightarrow p K_{S}^{0} \rightarrow$ $p \pi^{+} \pi^{-}$. Events selected contained at least three tracks: two oppositely charged pions in coincidence with one proton. Identification of charged pions and protons was accomplished with a Ring-Imaging Čerenkov (RICH) detector [24] which provides separation of pions, kaons and protons over most of the kinematic acceptance of the spectrometer. The RICH identification efficiencies and cross contaminations had been determined in a limited kinematic domain using known particle species from identified resonance decays. However, because the RICH performance is sensitive to event topology, it was essential to determine these efficiencies and contaminations for pions and
protons under conditions as close as possible to those of the present measurement. This was accomplished by means of a Monte Carlo simulation based on the Pythia6 generator discussed below. Events with the relevant topology were used to determine these parameters as a function of particle momentum. The data from the simulation indicated that cross contaminations would be negligible if protons were restricted to a momentum range of $4-9 \mathrm{GeV} / c$ and pions to a range of $1-15 \mathrm{GeV} / c$, the kinematic restrictions subsequently used in the analysis.

The event selection included constraints on the event topology to maximize the yield of the $K_{S}^{0}$ peak in the $M_{\pi^{+} \pi^{-}}$spectrum while minimizing its background. However, no constraints were optimized to increase the significance of the signal visible in the final $M_{p \pi^{+} \pi^{-}}$spectrum, as such optimization would have produced a spectrum to which standard statistical tests do not apply. Based on the intrinsic tracking resolution, the required event topology included a minimum distance of approach between the two pion tracks less than 1 cm (the midpoint of which is defined as the $K_{S}^{0}$ decay vertex), a minimum distance of approach between the proton and reconstructed $K_{S}^{0}$ tracks less than 6 mm (the midpoint of which is defined as the production vertex), a radial distance of the production vertex from the positron beam axis less than 4 mm , a $z$ coordinate of the production vertex within the $\pm 20 \mathrm{~cm}$ long target cell of $-18 \mathrm{~cm}<$ $z<+18 \mathrm{~cm}$ along the beam direction, and a $K_{S}^{0}$ decay length (separation of production and $K_{S}^{0}$ decay vertices) greater than 7 cm . To suppress contamination from the $\Lambda(1116)$ hyperon, events were rejected where the invariant mass $M_{p \pi^{-}}$fell within $2 \sigma$ of the nominal $\Lambda$ mass, where $\sigma=2.6 \mathrm{MeV}$ is the apparent width of the $\Lambda$ peak observed in this experiment.

The resulting invariant $M_{\pi^{+} \pi^{-}}$spectrum is shown in Fig. 1. The position of the $K_{S}^{0}$ peak is within 1 MeV of the expected value of $497.7 \pm 0.03 \mathrm{MeV}$ [25]. To search for the $\Theta^{+}$, events were selected with a $M_{\pi^{+} \pi^{-}}$invariant mass within $\pm 2 \sigma$ about the centroid of the $K_{S}^{0}$ peak. The resulting spectrum of the invariant mass of the $p \pi^{+} \pi^{-}$system is displayed in Fig. 2. A narrow peak is observed. There is no known positively charged strangeness-containing baryon in this mass region (other than the $\Theta^{+}$) that could account for the observed peak. Also, the $M_{p \pi^{+} \pi^{-}}$ spectrum corresponding to the sideband background


Fig. 1. Invariant mass distribution of two oppositely charged pions, subject to the constraints in event topology discussed in the text. A window corresponding to $\pm 2 \sigma$ is shown by the vertical lines.
adjacent to the $K_{S}^{0}$ peak in Fig. 1 was found to be featureless.

The non-resonant contribution to the spectrum was estimated by means of a simulation using a version of the PYTHIA6 code [26] tuned for HERMES kinematics [27]. This event generator contains no resonances in the mass range of Fig. 2(a) that decay in the $p K_{S}^{0}$ channel. The resulting simulated spectrum is shown in Fig. 2(a) as the gray hatched histogram. The statistical precision of the present study is limited by the rare topology of the events selected. Trigger inefficiencies were not included in the simulation, but are believed to be small. The simulated spectrum falls below the data at high invariant mass where $\Sigma^{*+}$ resonances are known to exist [25]. Therefore, if Pythia6 is assumed to be capable of describing the shape of the non-resonant contribution, it can be concluded that there is substantial resonant strength distributed over the high-mass portion of the spectrum. At the position of the observed peak in the data, no corresponding structure appears in the simulated spectrum.

In order to determine the centroid, width and significance of the peak observed in Fig. 2, three different models for the background were explored. For the first model, the Pythia6 simulation is taken to represent the non-resonant background, and the remaining


Fig. 2. Distribution in invariant mass of the $p \pi^{+} \pi^{-}$system subject to various constraints described in the text. The experimental data are represented by the filled circles with statistical error bars, while the fitted smooth curves result in the indicated position and $\sigma$ width of the peak of interest. In panel (a), the Pythia6 Monte Carlo simulation is represented by the gray shaded histogram, the mixed-event model normalised to the Pythia6 simulation is represented by the fine-binned histogram, and the fitted curve is described in the text. In panel (b), a fit to the data of a Gaussian plus a third-order polynomial is shown.
strength in the spectrum is attributed to a combination of known broad resonances and a new structure near 1.53 GeV . For the second model, it is assumed that the non-resonant background involves a large enough typical multiplicity that the 4-momenta of the $K_{S}^{0}$ and

Table 1
Mass values and experimental widths, with their statistical and systematic uncertainties, for the $\Theta^{+}$from the two fits, labelled by a and b, shown in the corresponding panels of Fig. 2. Rows $\mathrm{a}^{\prime}$ and $\mathrm{b}^{\prime}$ are based on the same background models as rows a and b respectively, but a different mass reconstruction expression that is expected to result in better resolution. Also shown are the number of events in the peak $N_{S}$ and the background $N_{b}$, both evaluated from the functions fitted to the mass distribution, and the results for the naive significance $N_{s}^{2 \sigma} / \sqrt{N_{b}^{2 \sigma}}$ and realistic significance $N_{S} / \delta N_{S}$. The systematic uncertainties are common (correlated) between rows of the table
$\left.\begin{array}{ccccccc}\hline \Theta^{+} \text {mass } & \text { FWHM } & N_{s}^{2 \sigma} & N_{b}^{2 \sigma} & \text { Naive } & \text { Total } \\ {[\mathrm{MeV}]} & 1527.0 \pm 2.3 \pm 2.1 & 22 \pm 5 \pm 2 & 74 & 145 & 6.1 \sigma & 4.3 \sigma \\ \text { in } \pm 2 \sigma\end{array}\right)$
proton are largely uncorrelated. In this case, this background can be simulated by combining from different events a kaon and proton that satisfy the same kinematical requirements as the tracks taken from single events in the main analysis. Since resonances are typically visible only as rare correlations between their decay particles, their contributions will be relatively suppressed in this method. Fig. 2(a) shows that this procedure yields a shape that is very similar to that from the PYTHIA6 simulation, within the available statistics. By fitting a polynomial to the mixed-event background normalized to the Pythia6 simulation, and then fitting this polynomial together with the amplitudes of peaks for six known $\Sigma^{*+}$ resonances in the mass range shown in Fig. 2 (dotted curves), plus all parameters of a narrow Gaussian (dashed curve) for the peak of interest, a good description of the entire spectrum is obtained. This procedure is intended to demonstrate that the background is consistent with known information. The included $\Sigma^{*+}$ resonances were assigned fixed values of $M=1480 \mathrm{MeV}$ with $\Gamma=55 \mathrm{MeV}$ (PDG status $=*), M=1560 \mathrm{MeV}$ with $\Gamma=47 \mathrm{MeV}\left({ }^{* *}\right)$, $M=1580 \mathrm{MeV}$ with $\Gamma=13 \mathrm{MeV}(* *), M=1620$ and 1660 MeV with $\Gamma=100 \mathrm{MeV}(* * *)$, and $M=$ 1670 MeV with $\Gamma=60 \mathrm{MeV}$ (****) [25]. Each intrinsic Breit-Wigner width was taken as the midpoint of the range of listed measurements, and was then augmented by an instrumental resolution of FWHM = 14.3 MeV added in quadrature. Since the $\Sigma^{+}(1580)$ has a width smaller than the instrumental resolution, it was taken to be Gaussian with $\sigma=8.9 \mathrm{MeV}$. The numerical results of the fit are given in row a of Table 1 . In addition, row $\mathrm{a}^{\prime}$ shows the result of applying the same method to a mass spectrum based on an expression that is expected to provide an instrumental
resolution improved by about $30 \%$ :

$$
\begin{align*}
M_{p K_{S}^{0}}^{\prime} \equiv & \left(\sqrt{M_{p}^{2}+\mathbf{p}_{p}^{2}}+\sqrt{M_{K_{S}^{0}}^{2}+\mathbf{p}_{K_{S}^{0}}^{2}}\right)^{2} \\
& -\left(\mathbf{p}_{p}+\mathbf{p}_{K_{S}^{0}}\right)^{2} \tag{1}
\end{align*}
$$

where $M_{K_{S}^{0}}$ is taken from the PDG [25]. The third approach is based on the hypothesis that all of the background strength in the observed spectrum (apart from the narrow peak) can be described by a slowly varying function that extends under the feature of interest. Hence, the spectrum was fit with a Gaussian plus a polynomial. The appropriate degree of the polynomial used in the fit was determined by comparing results using orthonormal Chebyshev polynomials of various degrees. The curve shown in Fig. 2(b) results from a fit with a third-order polynomial, and rows $b$ and $b^{\prime}$ of Table 1 gives the numerical values from so fitting the two spectra corresponding to rows a and $\mathrm{a}^{\prime}$.

More specifically, Table 1 compares the results from the two fits for the centroid of the peak of interest, its width and the statistical significance according to two different prescriptions discussed below. The resulting values for the centroid are found to be consistent, while the width and significance depend on the method chosen to describe the remaining strength of the spectrum. Table 1 also lists for both fits the number of events given by the fitted function for the peak of interest ( $N_{s}^{2 \sigma}$ ) as well as for the background ( $N_{b}^{2 \sigma}$ ), in the invariant mass interval corresponding to $\pm 2 \sigma$. The full area $N_{s}$ of the Gaussian fitted to the peak of interest is also given with its uncertainty from the fit. This area itself, together with the width, were chosen to be explicit fit parameters to avoid the effect on the uncertainty in the area of correlations between the am-
plitude and the width or background parameters. All of these results are from unbinned maximum likelihood fits [28] to the original event distributions, as it was found that the results of fitting the histograms shown in Fig. 2 can be sensitive to the choice of bin size or starting offset.

Several alternative expressions for the significance of the peak observed in Fig. 2 were considered. The first expression is the naive estimator $N_{s}^{2 \sigma} / \sqrt{N_{b}^{2 \sigma}}$ used in Refs. [11-16]. The corresponding result is listed in Table 1. Because this statistic neglects the uncertainty in the background fit, it overestimates the significance of the peak [29]. A second estimator that was used in the analysis presented in Ref. [20], $N_{s}^{2 \sigma} / \sqrt{N_{s}^{2 \sigma}+N_{b}^{2 \sigma}}$, gives a somewhat lower value, but may still underestimate the background uncertainty. A third estimate of the significance is given by $N_{s} / \delta N_{s}$, where $N_{s}$ is now the full area of the peak from the fit and $\delta N_{s}$ is its fully correlated uncertainty. This ratio measures how far the peak is away from zero in units of its own standard deviation. All correlated uncertainties from the fit, including those of the background parameters, are accounted for in $\delta N_{s}$. The results obtained with this expression are also given in Table 1.

The systematic uncertainty of the mass of the state observed in Fig. 2 is estimated to be $\pm 2.1 \mathrm{MeV}$ by adding in quadrature a contribution of 1 MeV to account for the effect of using different spectrum analysis methods (cf. Table 1) plus a contribution of 1.9 MeV from the precision with which the spectrometer can reproduce known particle masses (cf. Table 2). The 1.9 MeV contribution to the systematic uncertainty accounts for both the discrepancies from the PDG [25] mass values and the statistical precision of their fits. As an example, the $\Lambda(1520)$ mass peak fitted with a Gaussian with free width convoluted with a Breit-Wigner form with its width fixed at the PDG value [25] is shown in the $M_{p K^{-}}$spectrum of Fig. 3. The event selection for the spectra in this figure is the same as for the $p K_{S}^{0}$ analysis, except the reconstructed $K_{S}^{0}$ track is replaced by that of the observed charged kaon, which is required to have a minimum momentum of 3 GeV .

The mass values reported to date for the $\Theta^{+}$state by other experiments are compared to the present results in Fig. 4, and listed in Table 3. The systematic


Fig. 3. Spectra of invariant mass $M_{p K^{-}}$(top) and $M_{p K^{+}}$(bottom). A clear peak is seen for the $\Lambda(1520)$ in the $M_{p K^{-}}$invariant mass distribution. However, no peak structure is seen for the hypothetical $\Theta^{++}$in the $M_{p K^{+}}$invariant mass distribution near 1.53 GeV .
uncertainties of the DIANA and ITEP measurements were taken to be $\pm 3 \mathrm{MeV}$ in the absence of explicit values quoted in the corresponding papers [12,15]. By fitting the data with a constant, a reduced $\chi^{2}$ value of $12.41 / 6$ is found, corresponding to a confidence level of 0.053 as defined in the PDG [25]. The weighted average [25] of the masses observed in all experiments is $1536.2 \pm 2.6 \mathrm{MeV}$, which is represented by the shaded band in Fig. 4. In evaluating this average mass value, the quadratic sum of the statistical and systematic uncertainties of all measurements are taken into account. The uncertainty of the average was scaled by the usual factor of square root of the reduced $\chi^{2}$.

Since no realistic model for the photoproduction of exotic baryons at this experiment's energy is presently available, a "toy Monte Carlo" was produced to study the constraints imposed on the decay products by only kinematics and acceptance. It generates parent particles with specified mass and width, at vertices distributed according to the HERMES target gas profile. The generated events were then passed to a full simulation of the spectrometer that included the performance of the RICH. The unknown kinematic distribution of the parent in transverse momentum $P_{t}$ was taken to be Gaussian with a width of $\sigma=0.4 \mathrm{GeV}$, which is typical of intrinsic transverse momentum of partons

Table 2
Masses and widths of observed invariant mass peaks for four known particles, compared to the known masses [25] and the widths obtained from a Monte Carlo simulation of the spectrometer. (The widths for the $\Xi^{-}(1321)$ are from mass-difference spectra.) The $\Lambda(1520)$ peak of Fig. 3 was fitted with a Gaussian folded with a Breit-Wigner form whose $\Gamma$ width was fixed at the PDG value ( 15.6 MeV ). The uncertainties in the resulting mass and Gaussian $\sigma$ width were inflated by the factor $\sqrt{\chi^{2} / N_{\mathrm{dof}}}$. In the last row, $P_{\mathrm{cm}}$ is the momentum of each decay product in the rest frame of the decaying particle

|  | $K_{S}^{0} \rightarrow \pi^{+} \pi^{-}$ | $\Lambda(1116) \rightarrow p \pi^{-}$ | $\Lambda(1520) \rightarrow p K^{-}$ | $\Xi^{-}(1321) \rightarrow p \pi^{-} \pi^{-}$ |
| :--- | :---: | :---: | :---: | :---: |
| Observed mass $[\mathrm{MeV}]$ | $496.8 \pm 0.2$ | $1115.70 \pm 0.01$ | $1522.7 \pm 1.9$ | $1321.5 \pm 0.3$ |
| PDG Mass $[\mathrm{MeV}]$ | 497.67 | 1115.68 | $1519.5 \pm 1.0$ | $1321.31 \pm 0.13$ |
| $\sigma$ width $($ data $[\mathrm{MeV}]$ | $6.2 \pm 0.2$ | $2.6 \pm 0.1$ | $4.4 \pm 3.7$ | $3.1 \pm 0.3$ |
| $\sigma$ width $(\mathrm{MC})[\mathrm{MeV}]$ | 5.4 | 2.1 | 3.5 | 2.5 |
| Decay $P_{\mathrm{cm}}[\mathrm{MeV} / c]$ | 206 | 101 | 244 | $139\left(\Lambda \pi^{-}\right)$ |



Fig. 4. Mass values and experimental FWHM widths observed in various experiments for the $\Theta^{+}$state. The inner error bars represent the statistical uncertainties, and the outer error bars represent the quadratic sum of the statistical and systematic uncertainties. (Some uncertainties for the widths are not available from the other experiments.) The hatched area corresponds to the weighted average of all data $\pm 1$ standard deviation.
or transverse momentum induced by the fragmentation process, and also corresponds to the root-meansquare value of the transverse momentum distribution of $\Lambda(1116)$ and $\bar{\Lambda}$ hyperons that are observed at HERMES. The simulated acceptance was found to be fairly insensitive to this parameter. The longitudinal momentum $P_{z}$ was assigned a monotonically falling distribution similar to what has been observed for $\Lambda$ hyperons at HERMES. The acceptance for the $p K^{ \pm}$final state is insensitive to this choice, but this is not the case for the $p \pi^{+} \pi^{-}$final state, where drastically different as-
sumptions can result in a factor of two change in the acceptance. The full width $\Gamma$ of the $\Theta^{+}$was chosen to be 2 MeV , according to the limit recently derived from a review of $K N$ phase shift analyses [9]. The effect of such an intrinsic width is small compared to that of the spectrometer resolution, which was found in this simulation to be $\sigma=6.2 \mathrm{MeV}$ in $M_{p \pi^{+} \pi^{-}}$, or 4.3 MeV in $M_{p K_{S}^{0}}^{\prime}$ of Eq. (1). These values are assigned a systematic uncertainty of $\pm 1 \mathrm{MeV}$, based on the level of agreement between observed and simulated widths of four known particles, as shown in Table 2.

The width of the peak of interest in Fig. 2, given in Table 1, is somewhat larger than the instrumental resolution derived from the simulation. An attempt was made to repeat the fits of Table 1 using for the peak of interest a Breit-Wigner form convoluted with a Gaussian whose width was fixed at the simulated resolution. ${ }^{1}$ The resulting mass values are consistent with those given in Table 1, and the resulting values for the intrinsic width are $\Gamma=12 \pm 9$ (stat) $\pm 3$ (syst) MeV in case a of Table 1, $\Gamma=20 \pm 8$ (stat) $\pm 3$ (syst) MeV in case $\mathrm{a}^{\prime}, \Gamma=8 \pm 8$ (stat) $\pm 3$ (syst) MeV in case b, and $\Gamma=13 \pm 9$ (stat) $\pm 3$ (syst) MeV in case $\mathrm{b}^{\prime}$. The systematic uncertainties here correspond only to the $\delta \sigma= \pm 1 \mathrm{MeV}$ uncertainty in the instrumental resolution, which was discussed above.

In view of the speculation that the observed resonance is isotensor [17], the possibility that the $\Theta^{++}$ partner is present in the $M_{p K^{+}}$spectrum was explored. Although Fig. 3 shows a clear peak for the $\Lambda(1520)$ in the $M_{p K^{-}}$invariant mass spectrum, there is no peak structure observed in the $M_{p K^{+}}$invariant mass distri-

[^1]Table 3
Mass values and experimental widths for the $\Theta^{+}$state as observed in the various experiments. The present result is also listed. In calculating the weighted average of the data, the systematic uncertainties of DIANA and ITEP are taken to be $\pm 3 \mathrm{MeV}$

| Experiment | $\Theta^{+}$mass <br> $(\mathrm{MeV})$ | FWHM <br> $(\mathrm{MeV})$ | Ref. |
| :--- | :--- | :---: | ---: |
| SPring8 | $1540 \pm 10 \pm 5$ | 25 | $[11]$ |
| DIANA | $1539 \pm 2 \pm$ "few" | 9 | $[12]$ |
| CLAS (d) | $1542 \pm 2 \pm 5$ | 21 | $[13]$ |
| SAPHIR | $1540 \pm 4 \pm 2$ | 25 | $[14]$ |
| ITEP $(v$ 's) | $1533 \pm 5$ | 20 | $[15]$ |
| CLAS (p) | $1555 \pm 1 \pm 10$ | $26 \pm 7$ | $[16]$ |
| HERMES | $1528 \pm 2.6 \pm 2.1$ | $19 \pm 5 \pm 2$ |  |
| World average | $1536.2 \pm 2.6$ |  |  |

bution. From a fit (curve in Fig. 3) of the $M_{p K^{+}}$distribution using a free polynomial plus a Gaussian with the fixed location ( $\pm 5 \mathrm{MeV}$ ) from the fit in Fig. 2(b) and a fixed width corresponding to the simulated peak width of $\sigma=4.5 \mathrm{MeV}$ in this decay channel, the Gaussian area for a hypothetical $\Theta^{++}$peak is found to be $-40 \pm 30$ events. This result is robust against varying the order of the polynomial background. It corresponds to an upper limit of zero counts at the $91 \%$ confidence level.

The failure to observe a $\Theta^{++}$suggests that the $\Theta$ is likely to be isoscalar. However, in the situation more probable at lower beam energy that the $\Theta$ is produced only via the exclusive reaction $\gamma+p \rightarrow \Theta+K$ without any other hadrons in the final state, the following limitations would apply to deductions about its isospin. Under the assumption of isospin symmetry, selection rules limit the transition amplitude for forming a tensor $\Theta$ to a single reduced matrix element for an isovector transition. In this case, production of the $\Theta^{++}$and the $\Theta^{+}$are expected to have comparable strength, and the failure to observe the $\Theta^{++}$rules out the $I=2$ assignment. Production of an isovector $\Theta$ would arise from a sum of three reduced amplitudes with unknown magnitudes and phases. With only model-dependent values for these amplitudes, no precise statement can be made about the relative yields of the $\Theta^{++}$and $\Theta^{+}$. However, because a nearly complete cancellation is improbable, the failure to observe the $\Theta^{++}$indicates that an isovector $\Theta$ is unlikely.

Estimates of the spectrometer acceptance times efficiency from the toy Monte Carlo simulation can
be used to estimate some cross sections. Using the assumptions about the initial kinematic distribution described above and assuming that the decay angle distribution is flat, these acceptances were estimated to be $1.5 \%$ for both $\Lambda(1520) \rightarrow p K^{-}$and $\Theta^{++} \rightarrow p K^{+}$, and $0.05 \%$ for $\Theta^{+} \rightarrow p K_{S}^{0}$. Taking the branching fraction of the $\Theta^{+}$to $p K_{S}^{0}$ to be (1/2)(1/2) (to account for competition with both the $n K^{+}$channel and $K_{L}^{0}$ ), the cross section for its photoproduction is found to range from about 100 to 220 nb $\pm 25 \%$ (stat), depending on the model for the background and the functional form fitted to the peak. The cross section for photoproduction of the $\Lambda(1520)$ is found to be $62 \pm 11$ (stat) nb. Hence, the ratio of the $\Theta^{+}$cross section to that for the $\Lambda(1520)$ is found to lie between 1.6 and 3.5 . All of these estimates are subject to an additional factor of two uncertainty, to account for the assumptions about the kinematic distribution of the parents used in the simulation as explained above, and neglected trigger inefficiencies.

In conclusion, evidence has been obtained in quasireal photoproduction on a deuterium target for a narrow baryon state in the $p K_{S}^{0}$ invariant mass spectrum at $1528 \pm 2.6$ (stat) $\pm 2.1$ (syst) MeV . Depending on the background model, the width of the observed peak may be larger than the experimental resolution of $\sigma=4.3-6.2 \mathrm{MeV}$. Fitting the peak with a convolution of a Breit-Wigner shape with a Gaussian representing the simulated instrumental resolution yields an extracted intrinsic width $\Gamma=17 \pm 9$ (stat) $\pm 3$ (syst) MeV (the average of the results from cases $\mathrm{a}^{\prime}$ and $\mathrm{b}^{\prime}$ of Table 1). The significance of the observed state expressed as $N_{s}^{2 \sigma} / \sqrt{N_{b}^{2 \sigma}}$ ranges from $4.2 \sigma$ to $6.3 \sigma$, and expressed as $N_{s} / \delta N_{s}$ ranges from $3.4 \sigma$ to $4.3 \sigma$, again depending on the model for the background. This observation provides further evidence for the existence of a narrow baryon state with $|S|=1$ and a mass in the region where such a feature was observed by earlier experiments. Formally, the difference between the value for the mass derived here and that from the other experiments reduces the confidence level of the combined fit to all mass data from 0.57 to 0.053 , a value still typical for well-established particles [25]. There is no identified $\Sigma^{*+}$ state with $S=-1$ in the invariant mass region between 1500 and 1550 MeV . Therefore, the state observed here may be interpreted as the predicted exotic $\Theta^{+}$pentaquark $S=+1$ baryon. The absence of a corresponding signal in the $p K^{+}$invariant
mass spectrum indicates that the observed $\Theta^{+}$is not isotensor and is probably an isosinglet.

## Acknowledgements

We thank Markus Diehl, T.-S.H. Lee, Kim Maltman, Maxim Polyakov and Craig Roberts for stimulating discussions. We gratefully acknowledge the DESY management for its support and the staff at DESY and the collaborating institutions for their significant effort. This work was supported by the FWOFlanders, Belgium; the Natural Sciences and Engineering Research Council of Canada; the National Natural Science Foundation of China; the INTAS and ESOP network contributions from the European Community; the German Bundesministerium für Bildung und Forschung; the Deutsche Forschungsgemeinschaft (DFG); the Deutscher Akademischer Austauschdienst (DAAD); the Italian Istituto Nazionale di Fisica Nucleare (INFN); Monbusho International Scientific Research Program, JSPS, and Toray Science Foundation of Japan; the Dutch Foundation for Fundamenteel Onderzoek der Materie (FOM); the UK Engineering and Physical Sciences Research Council and the Particle Physics and Astronomy Research Council; and the US Department of Energy and the National Science Foundation.

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[^1]:    ${ }^{1}$ The software tool RooVoigtian was used, for unbinned fitting.

