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1 # Psychosocial factors associated with outcomes of sports injury rehabilitation in
2 # competitive athletes: a mixed studies systematic review

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27

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ABSTRACT

Background The prime focus of research on sports injury has been on physical factors. This is despite our understanding that when an athlete sustains an injury it has psychosocial as well as physical impacts. Psychosocial factors have been suggested as prognostic influences on the outcomes of rehabilitation. The aim of this work was to address the question: *which psychosocial factors are associated with sports injury rehabilitation outcomes in competitive athletes?*

Study Design Mixed Studies Systematic Review (PROSPERO reg.CRD42014008667).

Method Electronic database and bibliographic searching was undertaken from the earliest entry until 1st June 2015. Studies that included injured competitive athletes, psychosocial factors, with a sports injury rehabilitation outcome were reviewed by the authors. A quality appraisal of the studies was undertaken to establish the risk of reporting bias.

Results 25 studies were evaluated, spanning 3 decades, on a total of 942 injured competitive athletes. 20 studies not previously reviewed were appraised and synthesised. The research team adjudged the mean methodological quality of the studies to be 59% (moderate risk of reporting bias). Convergent thematic analysis uncovered three core themes across the studies i) emotion associated with rehabilitation outcomes ii) cognitions associated with rehabilitation outcomes and iii) behaviours associated with rehabilitation outcomes. Injury and performance related fears, anxiety, and confidence were related to rehabilitation outcomes. There is gender, age, and injury related bias in the reviewed literature.

Conclusions

The evidence reviewed indicates that psychosocial factors are associated with a range of sports injury rehabilitation outcomes. Practitioners need to recognise that an injured athlete's thoughts, feelings, and actions are related to the outcome of rehabilitation.

1 **What are the new findings?**

- 2 Psychosocial factors including how an athlete *thinks, feels*, and *acts* are associated with the 3 outcomes of their rehabilitation.
4
- 5 An athlete's *psychological readiness* to return to play appears to be a product of fear, 6 anxiety, confidence in performing well, and remaining uninjured.
7
- 8 Being female, young, having a limited experience of injury, negative emotion, and 9 perceptions of isolation are factors related to less successful outcomes of rehabilitation.
10
- 11 Our current interpretation of a successful rehabilitation is overly simplistic and associated 12 with many biopsychosocial, technical, and tactical factors.
13
- 14 This research topic has age, injury, and gender related bias that future research should 15 address.
16

17 **How might it impact on clinical practice in the near future?**

- 18 Practitioners need to be aware that injured athletes are emotionally vulnerable, and that 19 their emotional integrity may be questionable during rehabilitation process.
20
- 21 Practitioners need to ensure injured athletes are physically, psychologically, socially, 22 tactically, and technically ready to return to sport.
23
- 24 Practitioners shouldn't assume that physical and psychosocial recovery from injury occurs 25 within the same timeframe.

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1 **INTRODUCTION**

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3 The prime focus of research on sports injuries has been on physical factors.[1] This is despite our
4 understanding that when an athlete sustains a sports injury it has psychosocial impacts.[2, 3] A
5 common assumption has been that physical and psychosocial recovery occurs at the same time.
6 Recently, it has been recognised that physical and psychological readiness to return to sport after
7 injury do not always coincide.[4] This means that athletes may return to training and competition
8 when they are physically but not psychologically ready.

9 Many athletes do not return to their pre-injury level of activity, and even less return to competition.
10 [5, 6] Competitive athletes are less likely to return to a pre injury level of performance than recreational
11 athletes.[6] As rehabilitation takes place within social contexts involving many people, a key to
12 effective rehabilitation may lie with psychosocial factors.[7] Psychosocial factors can be described as
13 '*pertaining to the influence of social factors on an individual's mind or behaviour, and to the*
14 *interrelation of behaviour and social factors'*.[8 (p 1091)] These factors have been identified as being
15 important prognostic influences in a range of sports pathologies.[5, 9-11]

16 Psychosocial factors are also present within a number of models that have been applied or
17 developed within this area. [2, 12, 13] These draw on *stage based, cognitive appraisal*, or *biopsychosocial*
18 approaches and give a conceptual framework to work from, although no single approach
19 predominates the evidence.[4]

20 Three major systemic reviews have been published within this area.[14-16] These have addressed the
21 need for transparency, methodological rigour and non-biased perspectives in reporting the empirical
22 evidence.[17] Out of the three reviews two are exclusively focussed on psychosocial factors influencing
23 anterior cruciate ligament (ACL) rehabilitation.[15, 16] Whilst ACL injury has high personal impact [18] this
24 represents a narrow perspective and precludes any generalisation of the findings. To reduce injury
25 related bias there is a need to include other injuries which have the same prevalence, severity and
26 chronicity (e.g. high grade lateral ankle sprain, rotator cuff tendinopathy). All of these reviews agree
27 that psychosocial factors influence rehabilitation outcomes. However, differences in constructs were
28 apparent across the reviews. Prominent factors highlighted in these reviews include motivation, self-
29 efficacy, perceived control[15]; autonomy, relatedness, competence[14]; and affect, cognition,
30 behaviours. [16]

31 These reviews report only quantitative research designs despite the existence of peer reviewed
32 qualitative empirical studies. Previous reviews which have excluded qualitative research have

1 reduced the evidence on which they base their findings. There is recognition of the need for
2 systematic methodologies to rigorously deal with diverse forms of evidence to address the disparity
3 between academic research and practitioner experience.[19] Integrating statistical generalisation with
4 the in-depth description of complex phenomenon gleaned from qualitative research has the
5 potential to provide detailed, rich, and highly practical understanding of sport injury rehabilitation.
6 It is thought assessing the overall contribution of a body of literature with contrasting paradigms and
7 designs can be more relevant to the clinical decision making required by practitioners.[20]

8 The aim of this review was to understand the association between psychosocial factors and sports
9 injury rehabilitation outcomes. This aim was underpinned by the research question: *which*
10 *psychosocial factors are associated with sports injury rehabilitation outcomes in competitive*
11 *athletes?* Practitioner facing implications and future research based directions will be given.

12 **METHOD**

13 The methodology of the review was informed by the PRISMA guidelines[17] and recommendations by
14 Lloyd-Jones.[21] As an indicator of methodological quality the review was registered with PROSPERO
15 in February 2014 (registration number: CRD42014008667). This is the only review in this field to be
16 currently registered. The systematic review was granted ethical approval by the institutional ethics
17 committee (ref: DF/08/09/2014/01).

18 **Search Strategy**

19 Eight databases were searched to effectively review the literature from an interdisciplinary
20 perspective (i.e. *SPORTDiscus*, *CINAHL*, *AMED*, *MEDLINE*, *PsychINFO*, *SocIndex*, *PEDro*, ScienceDirect)
21 using multiple keywords and Boolean phrases (table 1). The search terms were agreed *a priori* and
22 informed by breaking down the research question, relevant MeSH terms, and by the biopsychosocial
23 approaches used in the area.[2, 13] Extracted studies were included or excluded in a three step
24 screening process studying each studies *title*, *abstract* and *full text.*[21] Systematic bibliographic
25 searching was carried on the final full text studies reference lists using the same process.

26 Table 1 Search terms used for the systematic review
27

Electronic database	Search terms (including truncations)
EBSCO Host (including SPORTDiscus, CINAHL, AMED, SocIndex, PsychINFO, MEDLINE)	'Sport* inj*' OR 'athlet* inj*' (ab) AND Psychosocial OR psycholog* OR emotion* (ab) AND Rehabilitat* OR recover* OR outcome* OR return (ab) AND athlet* OR player* OR individual*OR patient*(ab)
ScienceDirect	'Sport* injur*' OR 'athlet* injur*' (title/abstract/key words) AND

	Psychosocial OR psycholog* (title/abstract/key words)
PEDro	‘Sport* inj*’OR ‘athlet* inj’ (title/abstract) AND Psycholog* OR psychosocial (title/abstract)

1

2 **Eligibility Criteria**

3 The eligibility criteria are presented in table 2. The criteria were agreed upon by the research team
4 to avoid an unbiased evaluation of the literature. This resulted in no restriction on date of
5 publication, gender, age, or level of performance. Each study had to conform to best practice
6 definitions of *sports injury*[22, 23] and *competitive athlete*, containing discernible *psychosocial factors*[2,
7 13] influencing *sports injury rehabilitation outcomes.*[24, 25] Studies of non-musculoskeletal (MSK) injury
8 such as concussion were excluded based on specific psychopathology directly effecting
9 neurocognitive function. It is difficult to separate out the psychological consequences associated
10 with the injury pathology from the more interpretive psychosocial responses of athletes.[26]

11

12 Table 2 Eligibility criteria applied to studies

Inclusion criteria	Exclusion criteria
Date unrestricted **Sports injury** – *any MSK pathology requiring the athlete to miss at least one training session or competition* **Competitive athletes** – *competes in sport at least once per week* **Contain a discernible sports injury outcome** **Contain a discernible psychosocial factor** **No gender, age or performance level restriction** **No research design restriction** **Original empirical evidence** **Data gathered from the athlete**	**Non MSK pathology (e.g. traumatic brain injury, cardiac pathology, visceral damage, spinal cord injury)** **Non English language** **Non peer reviewed** **Reviews (all), commentaries, editorials position statements, unpublished abstracts** **Intervention studies** **Inventory development studies** **Studies on prevention or risk** **Data gathered from coach or physiotherapist or athletic trainer**

13 **Quality Appraisal**

14 To assess the methodological quality of the literature the Mixed Methods Appraisal Tool (MMAT)
15 was used.[20] Additional to generic criteria the MMAT has five sets of quality criteria relating to: (1)
16 qualitative; (2) quantitative – randomised controlled studies; (3) quantitative – non-randomised
17 controlled studies; (4) quantitative – observational descriptive studies and (5) mixed-methods
18 studies. The overall quality score for each study was based on the methodological domain specific
19 criteria using a percentage based calculation. Mixed methods studies were quality assessed within
20 its own domain plus the domain/s used by its quantitative and qualitative components. According to
21 the MMAT, for mixed methods studies the overall research quality cannot exceed the quality of its
22 weakest component. The MMAT in this review was used to provide an informative description of
23 overall quality and to assess the potential reporting of bias in the findings. Literature using the

1 MMAT has found that the consistency of the global 'quality score' between reviewers (ICC) was
2 between 0.72 and 0.94.[20]

3 **Data synthesis**

4 When the final studies had been identified each was read in full to enable the researchers to
5 become immersed in the findings and inferences by *indwelling.*[27] The final studies were then placed
6 into three tables for the review (1) demographic characteristics, (2) study summary, (3) study quality
7 appraisal. A convergent thematic analysis followed to synthesise data from different empirical
8 findings and the assessment of methodological quality.[28] A meta-aggregative approach was
9 adopted. Meta-analysis of findings was not conducted due to the heterogeneity within the included
10 studies research designs.

11 **Establishing Rigour**

12 To ensure rigour a peer review team was formed. The team comprised of the lead researcher (DF), a
13 professor from the same institution (AS), and an academic from another University (AG). This team
14 was created to minimise bias and human error. Established methods of peer debrief and use of
15 '*devil's advocate'* were used to inform the reviews search strategy, records screening, and
16 generation of final themes from the included studies.[27] The full text assessment of eligibility and
17 quality appraisal was undertaken collaboratively in working meetings. These were chaired by the
18 lead researcher with borderline cases or contentious issues resolved through group discussion until a
19 consensus was reached. Eligibility of final studies was carried out using a voting system to determine
20 the basis for study inclusion or exclusion. Decisions to include or exclude studies were based on
21 majority voting. Where further clarification was deemed necessary, additional information was
22 sought from study author(s) or referred to an appropriate University committee.

23 **RESULTS**

24 **Literature identification**

25 The electronic database search was undertaken on 1st June 2015 yielding a total of 368 records,
26 with a further 92 later identified through systematic bibliographic searching. This gave a total
27 number of 432 progressing to the screening process following removal of duplicate records (n=28).
28 Following screening at title then abstract level 368 records were excluded leaving 64 full text
29 articles. At this stage of the process 39 full text articles were excluded following research team
30 scrutiny. One study [29] was referred by the team to the Chair of the Faculties Ethics Committee for
31 advice and later included. This left 25 studies in the systematic review (Figure 1). Table three

identifies the rating for each of the final studies as a marker of agreement for inclusion by the research team (e.g. for full agreement three stars were awarded).

[INSERT FIG.1]

Figure 1 Process overview of study identification, screening, eligibility, and inclusion (adapted from Moher[17])

Assessment of risk of bias

The methodological quality of the final studies was assessed using the MMAT and decisions agreed by the team. Fourteen studies were assessed against qualitative criteria, five studies against quantitative (non-randomised) criteria, four studies against quantitative (descriptive) criteria, and two against mixed methods criteria (table 3). The methodological quality of the 25 studies varied between 25-75% (mean 59%). Qualitative studies scored highest for quality (mean 64%, range 25-75%), compared to quantitative studies (mean 55.5%, range 25-75%) and mixed methods (mean 37.5%, range 25-50%). Although the MMAT does not state specific thresholds for quality level it was agreed by the team in line with previous published systematic reviews[14, 16, 30] that there was a moderate-high risk of reporting bias.

Table 3 Study quality appraisal

Study/rating	Screening questions	Qualitative (all)	Quantitative (non-randomised)	Quantitative (descriptive)	Mixed Methods	Quality Score(%)
1 Gordon & Lindgren[29] **	✓✓	✓ X X X				25
2 McDonald & Hardy[42] ***	✓✓			X X ✓ ✓		50
3 Johnson[34] ***	✓✓		✓ X X ✓			50
4 Johnson[32] ***	✓✓		✓ X ✓ ✓			75
5 Mainwaring[51] ***	✓✓	✓ ✓ X X				50
6 Quinn & Fallon[40] ***	✓✓			X ✓ X X		25
7 Ford et al.[37] ***	✓✓			X ✓ ✓ ✓		75
8 Tracey[36] ***	✓✓	✓ ✓ ✓ X				75
9 Kvist et al.[41] **	✓✓		✓ ✓ ✓ X			75
10 Podlog & Eklund[44] ***	✓✓	✓ ✓ ✓ X				75
11 Thing[48] ***	✓✓	X X ✓ X				25
12 Vergeer[49] ***	✓✓	✓ ✓ .✓ X				75
13 Gallagher & Gardner[39] ***	✓✓			X X ✓ X		25
14 Thatcher et	✓✓	✓ ✓ ✓ X				75

al.[70] **																	
15 Carson & Polman[38] ***	✓✓	✓	✓	✓	X					X	X	X	✓	✓	✓	X	25
16 Langford et al.[33] ***	✓✓					✓	✓	X	X								50
17 Mankad et al.[43] ***	✓✓	✓	✓	✓	X												75
18 Podlog & Eklund[35] ***	✓✓	✓	✓	✓	X												75
19 Carson& Polman[54] ***	✓✓	✓	✓	✓	X					X	X	✓	✓	✓	✓	X	50
20 Wadey et al.[53] ***	✓✓	✓	✓	✓	X												75
21 Ardern et al.[31] ***	✓✓					✓	✓	✓	X								75
22 Carson& Polman[47] ***	✓✓	✓	✓	✓	X												75
23 Podlog et al.[45] ***	✓✓	✓	✓	X	X												50
24 Clement et al.[46] ***	✓✓	✓	✓	✓	X												75
25 Podlog et al.[50] ***	✓✓	✓	✓	✓	X												75

✓ = denotes criteria met, X= denotes criteria not met, shaded=not applicable criteria

Demographic characteristics

The final 25 studies reported on 942 injured athletes across an age range between 15-37 years old (mean 23.7 years). From studies where there was clarity in gender ratio the total participant figure included 64% (n=552) male athletes and 36% (n=309) female injured athletes. The athletes included in this review were derived from team and individual sports, ranging from international levels of performance to regularly competing amateurs. The final studies covered the 25 year period from 1990 to 2015. The national affiliation of the study's lead author highlights the global interest in this topic (e.g. Australia 44%, United Kingdom 24%, North America 20%, and Scandinavia 12%).

Study Characteristics

The 25 studies were made up of 14 qualitative, nine quantitative, and two mixed methods (table 4). This highlights a potential limitation in previous reviews which did not recognise the important role of qualitative and mixed methods studies (e.g. [14]). Sports injury rehabilitation outcomes across the final studies focussed on perceived and actual markers of physical and psychological rehabilitation (supplementary table 1). For example, actual return to sport [31-33], perceived success and effectiveness[34-36], time loss from competition.[37] Quantitative studies were entirely correlation based utilising a wide range (n=22) of previously established inventories to measure psychosocial response, often with multiple inventories used simultaneously (e.g. [34, 38-40]). Only 32% (n=7) of the inventory measures used were specific to the sports injury domain.

1 As found in previous literature (e.g. [14, 22]) there was a broad range of operational definitions of sports
2 injury included across the studies. 70% of studies used a time lost based definition ranging from one
3 day[37] to two months.[35] Time loss from ACL injury would clearly extend this range. Where mean
4 actual time loss was explicitly stated this ranged from 18.5 days (moderate) – 9.4 months (major).[23]
5 Return to competitive sport rates ranged from 51-78%. [31, 33] The injury characteristics revealed a bias
6 towards serious knee injuries with eight studies solely focussing on ACL injury (32%) and eight where
7 serious knee sprains dominated the range of pathologies (32%). Ten studies (40%) focussed on
8 injuries requiring surgical intervention, with the remaining 15 studies (60%) including a mixture of
9 injuries or information about whether surgical intervention was required or wasn't stated. It is
10 noteworthy that none of the studies reported incidence of multiple pathologies, athletes being
11 affected by existing co-morbidity, or misdiagnosis.

12

13

Table 4 Demographic information from included studies

Study (date) inclusion rating	Operational definition of injury	Population studied	Injury type (s)	Sample number (*n*=)	Gender (M:F)	Age (mean years, SD, range)
1. Gordon & Lindgren [29]	Not explicitly stated	Elite cricket	Bilateral pars interarticularis defect requiring surgical intervention	1	1 male	Not stated
2.McDonald & Hardy [42]	Severe injury leading to time loss from sport of three weeks or more	NCAA Division 1 athletes from softball, basketball, track and field, tennis	Musculoskeletal injury including thigh strain, thigh contusion, metatarsal fracture, sprained ankle	5	3:2	Not stated
3.Johnson [34]	Injury occurring in training or competition and minimum time loss of 5 weeks	Highly competitive or elite athletes from team (80%) and individual (20%) sports	Musculoskeletal injury with most common knee, foot/ankle, and shoulder	81	64:17	22.9-25.2
4.Johnson[32]	Injury occurring in training or competition and minimum time loss of five weeks	Highly competitive or elite athletes from team (80%) and individual (20%) sports	Musculoskeletal injury with most common knee, foot/ankle, and shoulder	81	5:7	24.4
5.Mainwaring[51]	Sport related sprain or torsion injury to the knee severe enough to require at least diagnostic surgery	Competitive elite or club athletes from a variety of sports	Sport related ACL injuries	10	6:4	20-29 years
6.Quinn & Fallon[40]	Physical damage sustained as a result of sport participation with time loss of four week or more	Elite athletes from 25 different sports (73.5% team sports, 26.5% individual sports)	Musculoskeletal injury – predominantly ligamentous injury knee, injury to shoulder joint, stress fractures	136	118:18	24.6 ± 4.5
7.Ford et al. [37]	Medical problem sustained during practice or competition that prevented participation (training or playing) for at least one day beyond the date of occurrence.	Regularly competitive athletes from Australian football (41), basketball (20), cricket (14), field hockey (9), netball (26) and volleyball (11)	Not explicitly stated	121	65:56	22 ± 3.6
8.Tracey[36]	Injury that was moderate to severe and which kept them out of practice and/or competition for at least 7 consecutive days	NCAA Division 3 athletes competing in a variety of team and individual sports	Musculoskeletal injury including ACL sprain, sprained ankle, metatarsal fracture, meniscal tear, back strain, shoulder separation, foot contusion	10	Mixed	21.1 ± 0.9
9.Kvist et al.[41]	ACL injury, and undergone reconstruction performed at same hospital	Regularly competitive patient-athletes e.g. participating in soccer, handball. Ice hockey, floor ball, American football	ACL requiring surgical reconstruction (various grafts)	62	34:28	18-37
10.Podlog & Eklund[44]	Time loss of one month or more was the criteria used to denote injuries as serious	Competitive amateur and semi-professional athletes from a variety of individual and team sports	Serious musculoskeletal injury affecting knee, ankle, hip , shoulder, spine , hand	12	7:5	18-28
11.Thing[48]	Not explicitly stated	Elite and non-elite competitive female handball athletes	ACL injury	17	17 female	19-33 years
12.Vergeer[49]	Injury sustained during sport leading to time loss	Competitive rugby league athlete	Shoulder dislocation	1	1 male	28
13.Gallagher & Gardner[39]	Medically diagnosed and severity led to time loss of one week or longer	NCAA Division 1 athletes from nine different sports	Not explicitly stated	40	30:10	Not stated
14.Thatcher et al.[70]	Severe injury is classified as an injury that prevents an athlete from participating in practice/competition for more	Competitive university athletes (karate, judo, field hockey)	Severe musculoskeletal injury including shoulder dislocation, knee ligament sprain, fracture of fibula	3	1:2	Not stated

	than 21 days					
15.Carson & Polman[38]	Injury occurred during match play leading to time loss	Professional rugby union athlete	ACL injury required surgical intervention	1	1 male	Not stated
16.Langford et al.[33]	Uncomplicated primary ACL reconstruction	Regularly competitive patient-athletes participating at least weekly prior to injury with intent to return to sport	ACL requiring surgical reconstruction (various grafts)	87	55:32	27.48±5.72
17.Mankad et al.[43]	Injury was absence from sport participation for a minimum of three months	State or national level athletes from variety of sports i.e., basketball, rugby league, gridiron, water polo, and BMX racing	Severe musculoskeletal injuries including knee sprain, shoulder dislocation	8	5:3	22.67 ± 3.74
18.Podlog & Eklund[35]	Athletes needed to have sustained an injury requiring a two months absence from sport-specific training and competition	High level amateur and semi-professional athletes returning to play post injury	Not explicitly stated	12	7:5	18-28
19.Carson& Polman[54]	Not stated	Professional rugby union athletes	ACL injury required surgical intervention	4	4 male	18-27
20.Wadey et al. [53]	Injury sustained during training or competition leading to time loss	Club to national level athletes from rugby union, soccer, basketball	All lower extremity musculoskeletal including: sprain, fracture, dislocation, tendinopathy , strain	10	10 male	21.7 ± 1.8
21.Ardern et al.[31]	ACL injury, and undergone reconstruction performed by the same surgeon	Regular competitive patient-athletes including: Australian football (29%), netball (19%), basketball (15%) and soccer (11%)	ACL requiring surgical reconstruction with hamstring graft	209	121:88	31.7 ± 9.7
22. Carson& Polman[47]	Not stated	Professional rugby union athletes	ACL injury required surgical intervention	5	5 male	Not stated
23.Podlog et al.[45]	Current musculoskeletal injury requiring a minimum one month absence from sport participation	Elite level adolescent athletes from a variety of sport i.e. Basketball, netball, soccer rowing, track and field	Musculoskeletal injury including sprain (ACL), dislocation (knee and shoulder), fractures (fibula, arm, lumbar spine), Achilles tendinopathy, bulging disc, Scheuermann's disease	11	3:8	15.3 ± 1.55
24 Clement et al.[46]	Injury that had restricted their sport participation for a minimum of six weeks over the past year	NCAA Division II University athletes from mix of sports including: acrobatics/ tumbling (n=4), football (n=3), baseball (n=1)	Musculoskeletal injury including: ACL injury (n=3), fractures (n=3), rotator cuff repair (n=1), chondrocyte removal from elbow (n=1)	8	4:4	18-22
25 Podlog et al.[50]	Injury was absence from sport participation for a minimum of two months	Mixed level (club-professional) athletes from rugby union (n=3), football (n=2), gymnastics (n=1), martial arts (n=1)	All lower extremity musculoskeletal injury including: fractures metatarsal/ankle (n=3), posterior cruciate ligament rupture (n=1), bruised bone (n=1), hamstring strain (n=1), Achilles tendon damage (n=1)	7	4:3	21.9 ±3.8

M:F, male:female; ACL, anterior cruciate ligament

1 **Psychosocial Factors**

2 The thematic analysis uncovered three core themes across the studies: i) injury related emotion
3 associated with rehabilitation outcomes ii) injury related cognitions associated with rehabilitation
4 outcomes, and iii) injury related behaviours associated with rehabilitation outcomes (table 5). The
5 rule of inclusion used to place the key findings into these core themes was influenced by the
6 contemporary conceptual models reported in literature.[2, 13] The core themes arising from the
7 included literature were discussed and agreed by the research team for 'best fit' and conceptual
8 congruency. Mean methodological quality of the themes ranged from 56.3 -58.8%.

9 Table 5 thematic evaluation of the included studies (n=25)

Core Theme	Sub-sets	Studies*	MMAT Quality Rating (%)
Injury related emotion	Mood (TMD, TNM) Injury anxieties & fears Emotional integrity	2,3,4, 5, 6, 7, 8,9, 10, 11, 13,15,16, 17, 18, 21,22,23,24,25	58.8
Injury related cognition	Restoring the self Basic needs fulfilment Personal growth and development	1,3,4,5, 6, 7, 8, 10,11, 13, 14, 18, 19, 20, 22, 23,24,25	58.3
Injury related behaviour	Coping Social interaction	3,4, 6, 12,13,15,17,19,22,23,24,25	56.3

10 * where studies have multiple findings spanning a number of constructs these have been replicated across the core themes (e.g.
11 qualitative papers that infer both emotion and cognition factors having an effect on sports rehabilitation outcomes)

12

13 **Injury related emotion associated with sport injury rehabilitation outcomes**

14 This theme was created to reflect the studies focussing on the role of emotion, mood, and affect
15 factors on sports injury rehabilitation outcomes. Twenty of the final included studies were adjudged
16 to have significant emotion related content. Specifically, the role of mood, anxiety and fear (re-injury
17 and performance), and emotional integrity emerged.

18 A number of studies found that as rehabilitation progressed toward an actual return to sport total
19 mood disruption (TMD) and total negative mood (TNM) decreased and more positive mood states
20 developed.[36, 39, 40, 42] McDonald & Hardy[42] in a study of five Division 1 athletes found a significant
21 negative relationship between TMD and the outcome of athlete perceived rehabilitation (r=0.69,
22 p=<0.0001).

23 Despite returning to sport often being seen as a positive rehabilitation outcome, a number of studies
24 reported heightened levels of anxiety and/or fear during the transition (e.g. [38, 43-46]). A frequently
25 reported cause of anxieties and fear is that of re-injury (e.g. [31, 41, 43]). Performance related anxiety

1 and fear seems prominent when returning to sport within the studies (e.g.[36, 44, 46, 47]). Podlog and 2 Eklund[44] in a qualitative study of twelve athletes, all with severe injuries, found that successful 3 rehabilitation was associated with effectively dealing with competition fears. Later work by the same 4 author, on eleven injured elite adolescent athletes[45], highlighted the dual fears of pain and re-injury, 5 together with the fear of falling behind others, missing out, and underperforming. This suggests that 6 fear is experienced by both adult and younger athletes.

7 Three studies highlighted findings related to poor emotional integrity i.e. finding athletes being 8 reluctant to discuss their emotions about being injured with their sporting peers and coaches. [36, 43, 48] 9 Tracey[36] found that when some athletes returned to sport that their feelings of isolation/alienation 10 remained. Mankad et al[43] suggested that the inability to 'emotionally disclose' within the team 11 environment was related to an impeded long term psychological rehabilitation from sports injury.

12 **Injury related cognitions associated with sport injury rehabilitation outcomes**

13 This core theme was derived from findings related to the athlete's interpretations, appraisals, or 14 beliefs about themselves or their rehabilitation.[13] Eighteen studies which reached conclusions 15 related to restoration of the self (*self-confidence, self-esteem, self-identity*), injury related outlook, 16 perceptions of basic psychological needs fulfilment, and perceptions of growth and development 17 were included. Injury related cognitions appear to serve as 'precursors' to the resulting emotional 18 responses (i.e. nervousness, anxiety, excitement) and are associated with personal and situational 19 factors. [46] Personal factors such as gender, age, limited injury experience, lowered confidence, and 20 perceptions of isolation were all significantly related to non-return to sport cognitions. [31-33, 41] 21 Delayed surgical intervention was a noteworthy situational factor which was associated with 22 negative risk appraisal and non-return to sport at 2-7 years post ACL surgery. [31]

23 Ten studies identified restoring the self as being important in the successful return to sport 24 following injury.[29, 33, 37, 38, 40, 43, 44, 49, 50] According to the reviewed studies restoring the self appears to 25 be i) an important motivating factor ii) a common concern when returning to sport following injury, 26 and iii) predict time loss from sport due to injury.[37, 44, 46, 51]

27 Six studies identified that a successful return to sport was associated with feelings of sport related 28 self-confidence.[29, 33, 38, 40, 47, 50] Within this context sport related confidence was relative to both injury 29 and performance. Two studies by Carson and Polman[38, 47] found confidence building was important 30 in the return to sport with this developed from injury specific and performance specific inputs e.g. 31 from fitness testing, performing well during activity, and the injury site feeling '*strong*'. Podlog et 32 al.[50] found confidence was a major attribute of psychological readiness to return to sport. Overall

confidence in returning to sport was associated with the rehabilitation programme, the injured body part, and performance capability beliefs. 'Precursors' to developing confidence in returning to sport were noted as having trust in rehabilitation provider, satisfaction of social support needs, and achievement of physical standards / clinical outcomes. Langford et al[33] used the ACL-RSI on injured athletes finding significant difference between the group of returners to sport and those that had not returned at 6 months (*p*=0.005) and 12 months (*p*=0.001) suggesting that self-confidence may play an important role in the decision to return to sport.

A number of the final studies (n=6, 24%) inferred that fulfilling basic psychological needs was an important predictor of successful return to sport. Of these three studies were grounded in Basic Psychological Needs Theory[52] and were published by the same author.[35, 44, 45] The studies within this subset highlight the importance of addressing relatedness, competence, and autonomy during reintegration into sporting activities in order to reduce TNM and to experience a successful rehabilitation.[35, 39] Notably, fulfilment of competence, relatedness, and autonomy seems important in both elite adult and adolescent populations.[35, 44, 45]

Importantly, seven of the final studies (28%) suggested that perceiving injury as an opportunity for growth, and as a positive developmental experience was related to a successful rehabilitation (e.g.[36, 37, 44, 46, 53]).

Injury related behaviour associated with sport injury rehabilitation outcomes

This core theme was created to capture the impact of physical and psychosocial behaviours on sports injury outcomes. Any study that included content on athlete effort, actions, and activities were included in this theme.[13] Twelve studies (48%) contributed to this core theme relating to the effect of coping strategies, and social interactions on the athlete's rehabilitation outcomes.

Across the final studies there was ambiguity in findings over which type of coping mechanism was related to positive rehabilitation outcomes. Paradoxically, avoidance focussed coping strategies were suggested as being both facilitative[54] and also debilitative.[39, 43] A mixed method study [54] of elite professional rugby players found that behavioural and cognitive avoidance coping strategies enhanced perceptions of recovery. In contrast two studies credited using avoidance coping with less successful rehabilitation outcomes such as a delay in psychological rehabilitation[43], and associated increase in TNM.[39]

There was stronger agreement within the final studies about the positive association problem focussed coping strategies have on rehabilitation outcomes, such as actual reintegration back into training/competition (e.g.[38, 40, 47, 49]). Gallagher & Gardner[39] found that in the last phase of injury

1 before a return to sport a significant negative relationship was found between approach focussed
2 coping and TNM (r = –0.354, p = <0.05). Two studies by Carson and Polman[38, 47] identified problem
3 focussed coping strategies enhanced the experience of returning to sport after an ACL injury

4 Although social interaction is a coping strategy in and of itself, seven studies highlighted its
5 importance in affecting perceived and actual rehabilitation outcomes, and as such warrants its own
6 sub-set. Studies on return to sport stressors and coping using seriously injured elite rugby players[38,
7 47] found perceptions of social support network provided by multiple agents (e.g. team mates,
8 medical staff, coach, family, crowd) were particularly salient on returning to sport. Trust in the
9 rehabilitation provider, feeling wanted by others, and satisfaction of social support needs were
10 associated with psychological readiness to return to sport. [50] Importantly, insufficient social support
11 appears to be associated with unsuccessful rehabilitation [32], and remains a common concern upon
12 returning to sport.[36, 45]

13 **DISCUSSION**

14 The aim of this review was to understand the association between psychosocial factors and sports
15 injury rehabilitation outcomes. This aim was underpinned by the research question: *which*
16 *psychosocial factors are associated with sports injury rehabilitation outcomes in competitive*
17 *athletes?* Twenty studies not previously reviewed were included for appraisal and synthesis. Our
18 findings indicate that psychosocial factors (*emotion, cognition, and behavior related*) are associated
19 with a variety of perceived and actual rehabilitation outcomes. It is thought that this process is
20 cyclical in nature. [46] For example, cognitions impact upon injury related emotions and behaviours,
21 and vice versa. The evidence presented in this review is consistent with previous reviews and
22 theoretical perspectives.[2, 13, 16, 55] Wiese-Bjornstal[13] appears to provide a useful conceptual
23 framework to understand this emerging topic.

24 What is not known is the extent psychosocial factors are related to rehabilitation outcomes;
25 singularly or cumulatively, compared with biological factors. Compared with other domains of
26 psychology the understanding of this topic is in its infancy.[24] The methodological quality of the final
27 studies was agreed as poor-moderate (mean 59%) by the research team. Therefore, the findings of
28 this review must be viewed as having a potential reporting bias.

29 Other domain related systematic reviews[14-16] highlight fear of re-injury as one of the most common
30 emotional factors associated with rehabilitation outcomes after severe injury. Fear is seen as a
31 unitary construct within quantitative research designs that dominate previous reviews. In contrast,
32 the evidence from this review highlights injured athletes experience many anxieties and fears during

rehabilitation. The articles included in this review found that the anxieties and fears athletes experience come in two forms i) re-injury related[31, 41, 43] and ii) performance related.[36, 47] This finding is an important one in helping to inform any intervention used during the rehabilitation of injured athletes.

Evidence from this review and the broader literature suggests an association between anxiety and fear of being re-injured and rehabilitation outcomes.[41, 56, 57] Little is known about which forms of anxiety and fear predominates, the interactional effects between different forms, and ultimately which is the most salient. The evidence in this review suggests that the athlete who can effectively manage anxiety and fear will experience more positive outcomes from rehabilitation.[44] Adern et al[58] highlighted the concept of '*psychological readiness*' as important in determining return to sport decisions post ACL injury. The construct of *'psychological readiness'* in terms of sports injury can be interpreted as being a combination of the athletes experiencing low levels of fear over re-injury and underperforming.[59]

Restoring self-confidence was a key sub set emerging from the studies (e.g. [33, 38, 40, 47]). Self-confidence is derived from two elements i) confidence in the injury site and ii) confidence in performance. Confidence may have a moderating effect on the emotion of fear as both seem determined by injury and performance related inputs. This review indicates that successful return to sport is underpinned by developing self-confidence cognitions, even though the mechanism of effect is not yet fully established.[29, 47] Confidence in returning to sport after injury appears to be a multidimensional factor. [50] Developing confidence in both the injured body part and ability to perform to a satisfactory standard may act as a '*buffer*' from injury related anxiety and fear. The implication of this is athletes would acquire the suitable *'psychological readiness'* to return.

Experiencing adversity has the potential to yield positive outcomes. Nonetheless, it is important to note that stress related growth isn't inevitable.[60] The articles reviewed found that an ability to perceive sport injury rehabilitation as an opportunity for development and growth was associated with more positive rehabilitation outcomes.[37, 53] A perspective from Wadey et al[61 (p 126)] is that growth through adversity may even lead to '*positive changes that propel them to a real or perceived higher level of functioning than that which existed prior to the negative circumstance'*. It seems that perceiving the experience related to injury as positive may facilitate returning to sport[44] , enable a more holistic recovery, and develop resilience in overcoming adversity.[53] Previous studies have shown the different forms of growth that can occur through injury include: personal, psychological, social, and physical.[61] This suggests practitioners should encourage athletes to reflect on the injury experience as an opportunity for growth to facilitate positive rehabilitation outcomes.

1 From the articles reviewed emotional integrity emerged as an important sub set. Emotional integrity
2 relates to the athletes conscious decision to either withhold or disclose false injury related emotions.
3 Studies found this was a common practice compounding perceptions of isolation and impeding
4 psychological rehabilitation outcomes (e.g. [36, 43, 48]). Findings support theoretical propositions of
5 Wiese-Bjornstal[13] whereby emotional integrity (or emotional inhibition as phrased in the model) is
6 identified as an emotion related factor associated with rehabilitation outcomes. The emotional
7 integrity or lack of it in some injured athletes could have a profound effect on the ability to collect
8 accurate data. If there is a high incidence of 'lack of emotional integrity' then this may challenge the
9 validity of some studies already published and challenges researchers to develop methodologies to
10 overcome this problem. Both researchers and practitioners should give injured athletes the
11 opportunity to use nontraditional forms of communication e.g. blogs and diaries.

12 **Current empirical limitations and future directions**

13 The empirical literature relating to adult male athletes with severe knee injury (e.g. ACL) is well
14 established. We conclude that this has created gender, age, and injury related biases in the
15 literature, limiting generalisability of findings. Male and females exhibit sexual dimorphic and
16 phenotypic differences in both the physical and psychological response to injury. This can lead to
17 very different injury experiences and outcomes.[62, 63] It is has been previously been stated that age
18 related differences is a neglected area in sport injury psychology.[64] The fact that only one of the final
19 included studies included adolescent participants highlights this problem. Researchers and
20 practitioners should be aware of dimorphic, phenotypic, and developmental differences across
21 athletic populations to better facilitate positive rehabilitation outcomes.

22 Most studies reviewed adopted the perspective that actual return to sport is the major rehabilitation
23 outcome, and cease their data collection at this point (e.g. [39, 49]). Return to play is often seen as the
24 defining feature of recovery and has been criticised for skewing the evidence base.[65] It is naïve to
25 assume that just because an athlete returns to sport post injury that they are fully recovered both
26 physically and psychologically. It is plausible that the interpretation of a *successful rehabilitation* is
27 associated with many perceived and actual complex biopsychosocial, technical, and tactical factors.
28 Therefore, using return to pre-injury activity levels as the sole indicator is too simplistic.

29 Within the studies reviewed there was a lack of detail on co-morbidity, multiple pathologies,
30 iatrogenic issues, or mis-diagnosis issues, despite these being potentially striking features of the
31 injured athlete's experience.[2, 13] There appears to be little empirical literature on complicated, multi-

1 pathological or unsuccessful rehabilitation. Studies using negative case analytical approaches could
2 profoundly change our understanding of the area.

3 The overreliance of non-experimental, correlational designs within the literature restricts the ability
4 to establish causal relationships between psychosocial factors and injury rehabilitation outcomes.
5 Due to the nature of evidence reviewed a causal link between psychosocial factors and rehabilitation
6 outcomes can't be reliably inferred. Additional to exploring experiences of injured athletes, future
7 research also needs to explore causal patterns.

8 **Strengths and limitations of this review**

9 There are ontological and epistemological challenges in conducting a mixed studies systematic
10 review.[19] The tendency for systematic reviews to exclude non experimental research has received
11 criticism.[19, 66] Ferlie[67 (p 99)] emphasised the dangers of a reductionist approach:

12 *'The world of evidence-based medicine can be characterised by an abstracted form of pure*
13 *rationality, often of a meta-analytical nature…..the world of clinical (sports injury)*
14 *practitioners, by contrast, may be much more local and experiential in nature.'*

15 There is a growing call for mixed study reviews within the healthcare sector in order to address the
16 perceived divergence between research and practice.[19] This review is a positive response to this call
17 and therefore offers an important contribution to the literature. The reviewed quantitative
18 evidence provides associations between psychosocial factors and rehabilitation outcomes.
19 Additionally, the qualitative and mixed methods evidence elucidates mechanisms behind these
20 associations, and how psychosocial factors are modified throughout the rehabilitation process.

21 This review was focussed on competitive athletes. Therefore, this precludes any robust
22 generalisability to other populations such as recreational and intramural athletes or non-athletic
23 patient groups. All levels of competitive athlete were included. It is plausible that athletes with
24 more time investment in sport or gaining financial benefit for participation may exhibit different
25 types and/or intensity of psychosocial factors. [14] By not excluding dated studies and including six
26 studies from the 1990's (e.g. [29, 32, 40]) may have led to timeframe based bias in the findings. That is,
27 there is a danger of equating dated studies with more recent papers grounded in modern sport
28 medicine. This review included all sports injury types to develop an understanding beyond simply
29 ACL injury. It must be noted however, that the findings of this review are based on a sizeable
30 percentage of post-operative ACL participants. Injury severity and type may be a confounding factor
31 when examining sports injury rehabilitation outcomes.[14] An athlete with more severe injuries may
32 exhibit more prolonged and severe negative psychosocial responses proliferating into the return to

sport phase. Including studies with mixed time loss is ecologically valid, however, by aggregating studies together the ability differentiate injury experiences across specific populations is diminished. For example, whether analogous psychosocial factors are associated with injuries requiring surgical vs. non-surgical or conservative intervention could be debated.

To date this is the only systematic review to register with PROSPERO based on psychosocial factors associated with sport injury rehabilitation outcomes. The registration serves to endorse the rationale and rigour of this review. This will hopefully elevate the research area into one meriting value within the healthcare sector, and be a protagonist for further empirical investigation. If injury outcomes are associated with psychosocial factors as this and other reviews suggest, practitioners need to be empowered to recognise and address these factors or appropriately refer on.[68, 69]

CONCLUSION

This review identified, selected, appraised and synthesised all available empirical evidence irrespective of the research design or the theoretical framework adopted. As a result this review includes evidence not previously included in earlier systematic reviews. The evidence reviewed indicates that psychosocial factors are associated with a range of actual and perceived sports injury rehabilitation outcomes. Specifically, these psychosocial factors include an athlete's injury related cognitions, emotions and behaviours.

Contributions DF, AS, and MJ were responsible for the conception and design of this mixed studies systematic review. DF applied the search strategy, extracted data, completed PROSPERO registration, and obtained ethical approval. The peer review team (DF, AS, AG) applied the eligibility criteria at each stage, quality appraisal tool, and agreed on meta-aggregated themes. DF completed the final manuscript with critical revisions made by AS, MJ, AG.

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