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1 **Changes in children's speech discrimination and spatial release from masking between**
2 **two and four years after sequential cochlear implantation**

3

4 Catherine F Killan^a, Edward C Killan^b and Christopher H Raine^a

5

6 ^aYorkshire Auditory Implant Service, Bradford Royal Infirmary, UK

7 ^bFaculty of Medicine and Health, University of Leeds, UK

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10 Correspondence to: Catherine Killan, Yorkshire Auditory Implant Service, Listening for Life
11 Centre, Bradford Royal Infirmary, Duckworth Lane, Bradford, West Yorkshire, BD9 6RJ,
12 UK.

13 Tel: +44 0 1274 364853

14 Email: catherine.killan@bthft.nhs.uk

15

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22

23 ABSTRACT

24

25 Objective: To document changes in speech reception thresholds (SRTs) and spatial release
26 from masking (SRM) for sequentially implanted children at two and four years after they
27 received their second cochlear implant (CI₂).

28 Methods: Participants were 17 children who consistently used two sequentially implanted and
29 optimally programmed cochlear implants. SRTs were measured monaurally in quiet and
30 binaurally in noise using the adaptive McCormick Toy Discrimination Test. Speech signals
31 were presented from 0° azimuth and noise from 0°, +90° or -90° azimuth. SRM was
32 calculated from SRTs in noise. Measurements were made at two and four years post-CI₂.

33 Results: There were significant improvements over time in SRTs in quiet, SRTs in noise and
34 SRM. SRTs in quiet improved more for CI₂ than for the first implant (CI₁). SRTs in noise and
35 SRM improved more when noise was presented closest to CI₁ than when closest to CI₂.
36 Performance became more symmetrical over time.

37 Discussion: Despite prolonged periods of unilateral auditory deprivation sequentially-
38 implanted children exhibited continued improvement in SRT and SRM. These results are
39 valuable in setting expectations for and counselling families of children considering
40 sequential cochlear implants.

41

42 Keywords: Cochlear Implants; Bilateral; Spatial Release from Masking; Speech
43 Discrimination; Sequential; Speech Reception Thresholds; Speech Intelligibility

44

45 INTRODUCTION

46

47 One advantage of binaural hearing is an increased ability to discriminate speech from
48 background noise due to spatial release from masking (SRM). SRM refers to the
49 improvement in speech discrimination obtained when speech and noise signals are spatially
50 separated, and has been attributed to the head-shadow effect and binaural processing (e.g.
51 Hawley *et al.*, 2004; Akeroyd, 2006). One aim of bilateral cochlear implantation in children
52 is to realize this benefit for profoundly deaf children. Bilateral cochlear implantation can be
53 performed simultaneously but is often performed sequentially (i.e. implantation occurs one
54 ear at a time, with the second implant, CI₂, being implanted some time, often years, following
55 the first, CI₁). As a result, sequentially-implanted children may experience prolonged and
56 asymmetrical auditory deprivation compared to normally-hearing children, children who use
57 bilateral hearing aids and children who undergo simultaneous cochlear implantation. As a
58 consequence, the development of binaural listening skills for sequentially-implanted children
59 is more likely to be limited by changes in plasticity in the maturing auditory system (Sharma
60 *et al.*, 2007; Green *et al.*, 2011; Gordon *et al.*, 2013; Sparreboom, 2013).

61

62 Several studies have described changes in speech discrimination for sequentially-implanted
63 children as a function of time up to two years post-CI₂ (Peters *et al.*, 2007; Sparreboom *et al.*,
64 2011; Strom-Roum *et al.*, 2012). In general, these studies show improvements in monaural
65 and binaural speech reception thresholds (SRTs) in quiet and noise. Further, whilst children
66 tend to perform better when listening via CI₁ alone compared to via CI₂ alone, the greatest
67 improvements over time are seen for children listening via CI₂. To date, longitudinal data
68 describing speech discrimination over a time period longer than two years post-CI₂ have not
69 been reported in the literature. Even less is known regarding the development over time of

70 SRM for sequentially implanted children. A number of studies have shown that sequentially
71 implanted children display asymmetrical SRM, i.e. greater SRM is available when the noise
72 signal is closer to CI₂ compared to CI₁ (Litovsky *et al.*, 2006; Van-Deun *et al.*, 2010; Chadha
73 *et al.*, 2011). The durations of bilateral implant use in these studies vary from three months to
74 five years, however no single study has reported changes in SRM over time for the same
75 children.

76

77 Given the potential influence of auditory system plasticity, it is not straight-forward to predict
78 the development trajectory of speech discrimination and SRM of sequentially-implanted
79 children based on data obtained during the first two years post-CI₂. Knowledge of longer
80 term outcomes would inform clinicians' management decisions for children with an existing
81 single cochlear implant, as well as provide realistic expectations for families of such children.
82 Therefore, this paper presents data from a small scale study conducted at our clinical centre
83 that describes monaural SRTs in quiet, binaural SRTs in noise and SRM outcomes for
84 sequentially implanted children at two and four years post-CI₂.

85

86 METHODS

87

88 Data were collected from 17 (eight male, nine female) children who had received sequential
89 cochlear implants at our clinical service. For inclusion in this study we identified children
90 who were over four years of age, developmentally able to participate and consistent users of
91 both CI₁ and CI₂. We included only children with monaural aided thresholds of 35 dB HL or
92 better at 0.25, 0.5, 1, 2, 4 and 6 kHz bilaterally. Data were collected for each child at two and
93 four years post-CI₂ as part of their routine clinical management. Details regarding each
94 participating child are given in Table 1. The age range of children at two years post-CI₂ was
95 62 to 156 months (median = 119 months) and at 4 years post-CI₂ was 85 to 182 months
96 (median = 142 months). The time between CI₁ and CI₂ ranged from 19 to 95 months (median
97 = 49 months). Based on information available in their medical records including audiological
98 test results, correspondence and parental reports children were assumed to have congenital
99 profound sensori-neural hearing loss. A number of children were notably older than others at
100 CI₁ (i.e. ID 16, 17, 18, 19, 22 and 24) due to a range of non-audiological factors (e.g. repeated
101 non-attendance at consultations, professional concern regarding family support). Table 1 also
102 shows the internal implants, external speech processors and processing strategies used by
103 each child in each ear at both test intervals. For the majority of participants these remained
104 constant across the time interval. However, two participants (ID 5 and 8) with devices by
105 Cochlear (Sydney, New South Wales, Australia) had changed from using Freedom™ to
106 CP810™ speech processors between assessments and one other participant (ID19) with
107 devices by MED-EL (Innsbruck, Austria) had changed speech processing strategy from
108 HDCIS™ to FSP™ in one ear. Changes in speech processor hardware and processing
109 strategy can influence speech discrimination (e.g. Kleine Punte *et al.*, 2014, Mosnier *et al.*,
110 2014.). However, the changes for these three children are considered to be relatively minor

111 and as such will account for only small changes in speech discrimination performance. The
112 effects of the other characteristics noted in Table 1 are effectively controlled for by the
113 longitudinal design of this study.

114

115 Measurement of SRT in quiet and noise was achieved using the IHR Automated McCormick
116 Toy Discrimination Test (Summerfield *et al.*, 1994) presented via the York Crescent of
117 Sound (Kitterick *et al.*, 2011). The York Crescent of Sound consists of nine Canton Plus
118 XS.2 loudspeakers (Niederlauken, Germany), each at a height of 1.1 metre, arranged in a
119 horizontal semi-circle of radius 1.45 metres from +90° (90 ° to the right of the child) to -90°
120 azimuth (90° to the left of the child). Presentation of speech and noise signals was controlled
121 via system software and routed to the loudspeakers via a MOTU UltraLite Mk3 (Cambridge,
122 USA) audio interface and Alesis RA-150 dual-channel amplifiers (Cumberland, USA).

123

124 Speech signals were recorded by Summerfield *et al.* (1994) using a female voice. They
125 consisted of the introductory phrase “Point to the” followed by the name of one of 10 to 14
126 toys (phonemically paired e.g. “key” and “tree”) selected at random by system software. The
127 introductory phrase component of the speech signal had duration of 500 ms. The noise signal
128 was a burst of broadband (pink) noise with duration of 1400 ms (linear rise-fall = 200 ms;
129 steady-state = 1000 ms). The noise signal was presented 300 ms following the onset of the
130 speech signal so that it was at steady-state for the duration of the toy name component of the
131 speech signal.

132

133 All testing took place in a sound-attenuated room with the child seated so that their head was
134 an equal distance from all loudspeakers. Children were asked to select which toy name they

135 **Table 1 Participants' characteristics**

| Identification code | First CI side | Aetiology | Age confirmed profoundly deaf * ^s | Age at first CI * | Age at second CI * | First CI model | Second CI model | Processors at 2 year assessment | Processors at 4 year assessment | 1 st CI strategy at 2 year assessment | 1 st CI strategy at 4 year assessment | 2 nd CI strategy at 2 year assessment | 2 nd CI strategy at 4 year assessment |
|---------------------|---------------|------------------|--|-------------------|--------------------|-----------------|-----------------|---------------------------------|---------------------------------|--|--|--|--|
| 5 | R | Unknown | 13 | 22 | 38 | CI24 RE(CA) | CI24 R(CA) | Freedom | CP810 | ACE, ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 6 | L | Unknown | 0 | 29 | 55 | Sonata ti100 | Sonata ti100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 8 | R | Unknown | 11 | 23 | 79 | CI24R(CA) | CI24 RE(CA) | Freedom | CP810 | ACE, ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 10 | L | Unknown | 16 | 33 | 59 | Pulsar ci 100 | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 11 | R | Unknown | 0 | 28 | 78 | CI24RE(CA) | CI24RE(CA) | CP810 | CP810 | ACE | ACE | ACE | ACE |
| 12 | R | Unknown | 0 | 17 | 63 | CI24RE(CA) | CI24RE(CA) | CP810 | CP810 | ACE with ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 16 | R | Unknown | 0 | 38 | 59 | CI24RE(CA) | CI24RE(CA) | CP810 | CP810 | ACE, ADRO & auto-sensitivity | ACE, ADRO & auto-sensitivity | ACE, ADRO & auto-sensitivity | ACE, ADRO & auto-sensitivity |
| 17 | R | CMV | 48 | 62 | 102 | CI24RE(CA) | CI24RE(CA) | CP810 | CP810 | ACE, ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 18 | R | CMV | 51 | 62 | 102 | CI24RE(CA) | CI24RE(CA) | CP810 | CP810 | ACE, ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 25 | R | Unknown | 17 | 22 | 118 | C40+ | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 27 | R | Usher's syndrome | 0 | 34 | 129 | C40+ | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 19 | R | Unknown genetic | 0 | 39 | 105 | Pulsar ci 100 | Sonata ti 100 | Opus2 | Opus2 | HDCIS | FSP | FSP | FSP |
| 26 | L | Usher's syndrome | 0 | 32 | 93 | Pulsar ci 100 | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 22 | L | Unknown | 19 | 48 | 98 | Pulsar ci 100 | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 31 | L | Unknown | 0 | 18 | 37 | CI24RE Straight | CI24RE Straight | CP810 | CP810 | ACE, ADRO | ACE, ADRO | ACE, ADRO | ACE, ADRO |
| 21 | R | Unknown | 0 | 33 | 114 | C40+ | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |
| 24 | R | Unknown genetic | 28 | 58 | 130 | C40+ | Sonata ti 100 | Opus2 | Opus2 | FSP | FSP | FSP | FSP |

136 *Ages given in months. ^sWhere profound loss confirmed on immediate follow-up after failing neonatal hearing screen, age of diagnosis given as
137 0 months. Profound deafness defined as an unaided loss of 90 dB HL or worse at 2 kHz and 4 kHz bilaterally.

138 heard by pointing to a toy on a table in front of them, or selecting an image of the toy on a
139 touch-screen.

140

141 Monaural SRTs in quiet were assessed first. Speech signals were presented from 0° azimuth
142 at an initial level of 45 – 55 dB SPL whilst only one cochlear implant was activated. To
143 encourage compliance with testing, the children were allowed to choose which speech
144 processor to remove first. A one-down, one-up adaptive procedure with step sizes of 6 dB
145 was used for the first two reversals, followed by six reversals using a two-down, one-up
146 adaptive procedure with step sizes of 3 dB. The last six reversals were used to estimate SRT.
147 The task was then repeated to measure SRT with only the other cochlear implant activated.

148

149 Binaural SRTs in noise were assessed next. First the speech signal and noise were presented
150 from 0° azimuth (S_0N_0) to ensure that one standard outcome of listening in noise was
151 obtained for each child should they withdraw co-operation before the end of the test session.
152 Subsequently the speech signal remained at 0° azimuth and the noise was presented from
153 -90° or $+90^\circ$ azimuth. Both -90° and $+90^\circ$ azimuth result in noise being closest to either CI_1
154 or CI_2 . This is indicated within this paper by referring to these noise conditions as S_0N_{CI1} and
155 S_0N_{CI2} respectively. The speech signal was fixed at 60 dB(A) SPL and the noise signal
156 varied from an initial level of 30 to 38 dB SPL using an adaptive procedure. The first two
157 reversals followed a one-down one-up procedure with step sizes of 6 dB. Six further
158 reversals using a two-down one-up procedure with step sizes of 3 dB were used to establish
159 SRT in noise, expressed as a signal to noise ratio (SNR). If the noise reached a maximum
160 level of 60 dB SPL, i.e. a SNR of 0 dB, the speech signal was presented at adaptively quieter
161 levels in order to adjust the SNR.

162

163 SRM was calculated for each participant by subtracting their SRT in noise for S_0N_{CI1} and
164 S_0N_{CI2} from their SRT for S_0N_0 . This resulted in two SRM measurements for each
165 participant, i.e. SRM with noise located at CI_1 (SRM_{CI1}) and noise located at CI_2 (SRM_{CI2}).

166

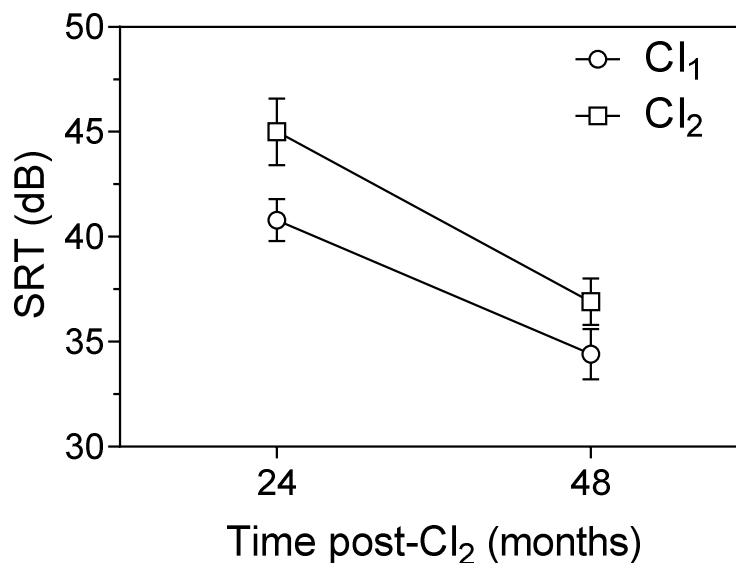
167 Statistical analysis was performed using two-level regression modelling (e.g. Goldstein,
168 2011; Snijders and Bosker, 2011) with the levels of the model being measurement (within-
169 participant) and participant (between-participant). For each dependent variable (SRT in
170 quiet, SRT in noise and SRM) a series of models were used to explore the effect of
171 explanatory variables (i.e. time post- CI_2 , implanted ear and noise location). An advantage of
172 these models is their ability to incorporate the clustering of data inherent in repeated
173 measures experimental designs, and avoid violating the assumption of independence of data
174 that underpins single-level regression methods. Models were estimated by the maximum
175 likelihood method via an iterative generalised least squares procedure (e.g. Goldstein, 1986).
176 This allowed an estimate of model deviance to be made. The difference between the
177 deviance of two models (that differ simply by the addition of explanatory variables) can be
178 used as a test statistic to determine the effect of the explanatory variables on the dependent
179 variable. This deviance statistic has a χ^2 distribution with degrees of freedom equal to the
180 difference in number of variables included in the two models. In addition, regression
181 coefficients can be tested for significance via the Wald test (see Snijders and Bosker, 2011).

182 RESULTS

183

184 Figure 1 shows the mean ($n = 17$) monaural SRTs measured in quiet for CI₁ and CI₂ ears
185 (circles and squares respectively) at two and four years post-CI₂. A number of trends are
186 clearly evident within the figure. CI₁ ears had lower mean SRT (i.e. better performance) than
187 CI₂ ears at two years post-CI₂. In addition, SRT for both ears reduced (i.e. improved) as a
188 function of time post-CI₂. These observations were confirmed by two-level regression
189 modelling. Both the inclusion of ear ($\chi^2 = 5.46$, $df = 1$, $p < 0.05$) and time post-CI₂ ($\chi^2 =$
190 37.84 , $df = 1$, $p < 0.0001$) caused significant reductions in model deviance. Inspection of the
191 figure also suggests that the improvement in SRT over time was dependent on ear, with a
192 greater change seen for CI₂ ears (8.1 dB) compared to the CI₁ ears (6.4 dB). However, after
193 four years post-CI₂, CI₁ ears still had lower mean SRT than CI₂ ears. Statistical modelling
194 including the interaction between ear and time post-second implant showed the difference in
195 SRT improvement over time to be non-significant ($\chi^2 = 0.76$, $df = 1$, $p = 0.39$).¹

¹ For this and all subsequent models reported here, greatest variation was seen at the measurement (within-participant) level, with only minimal variation seen at the participant (between-participant) level. This is in keeping with the longitudinal design of this study. For all models the residuals were confirmed as being normally distributed with mean of zero.



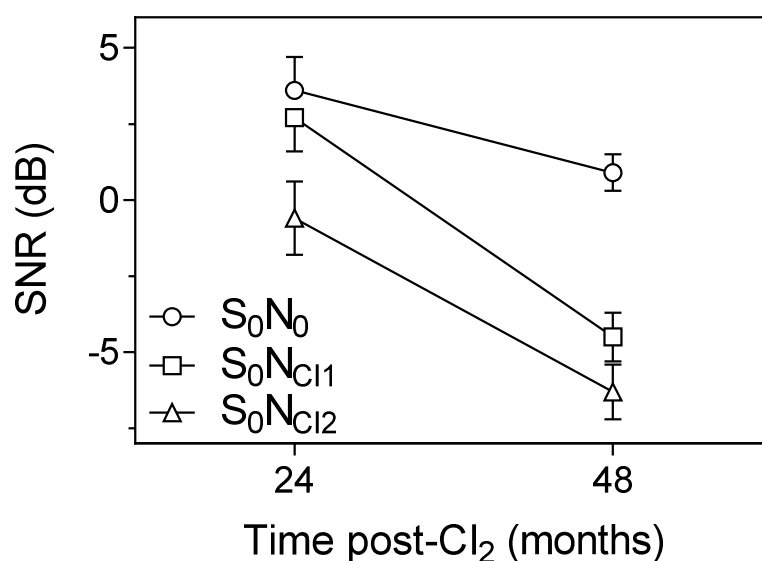
196

197 **Figure 1 Mean monaural SRT in quiet for CI1 (circles) and CI2 (squares) ears as a**
 198 **function of time post-CI2. Error bars represent ± 1 standard error of the mean (SEM).**

199

200 One participant (ID5) had incomplete SRT in noise data and was therefore not included in
 201 subsequent analysis. The mean ($n = 16$) binaural SRTs measured in noise (expressed as SNR
 202 in dB) at two and four years post-CI₂ are shown in Figure 2. The figure shows the SNRs
 203 obtained for the three locations of noise: S_0N_0 (circles), S_0N_{CI1} (squares) and S_0N_{CI2}
 204 (triangles). At two and four years post-CI₂, lowest mean SNRs (i.e. better performance) were
 205 measured at S_0N_{CI2} with highest SNRs measured at S_0N_0 . For all three noise locations SNRs
 206 reduced (i.e. improved) as a function of time post-CI₂. The largest improvement was seen at
 207 S_0N_{CI1} (7.2 dB) followed by S_0N_{CI2} (5.7 dB), with a smaller improvement (2.7 dB) seen at
 208 S_0N_0 . As a result, mean SRT in noise at S_0N_{CI1} was most similar to that obtained at S_0N_0 at
 209 two years but was closest to S_0N_{CI2} at four years. These observations are confirmed by the
 210 results of statistical modelling. Both noise location ($\chi^2 = 25.91$, $df = 2$, $p < 0.0001$) and time
 211 post-CI₂ ($\chi^2 = 51.30$, $df = 1$, $p < 0.0001$) caused highly significant reductions in model
 212 deviance. The interaction between noise location and time post-CI₂ was also shown to be

213 significant ($\chi^2 = 10.05$, $df = 2$, $p < 0.01$) confirming the difference in improvements seen
 214 across the three conditions. The model also confirms the convergence of SRT in noise for
 215 S_0N_{CI1} and S_0N_{CI2} as a result of the greater improvement seen for S_0N_{CI1} . Whilst SRT at
 216 S_0N_{CI1} and S_0N_{CI2} were significantly different at two years post- CI_2 ($t = 3.27$, $p < 0.001$), the
 217 difference was not significant at four years post- CI_2 ($t = 1.81$, $p = 0.04$).²

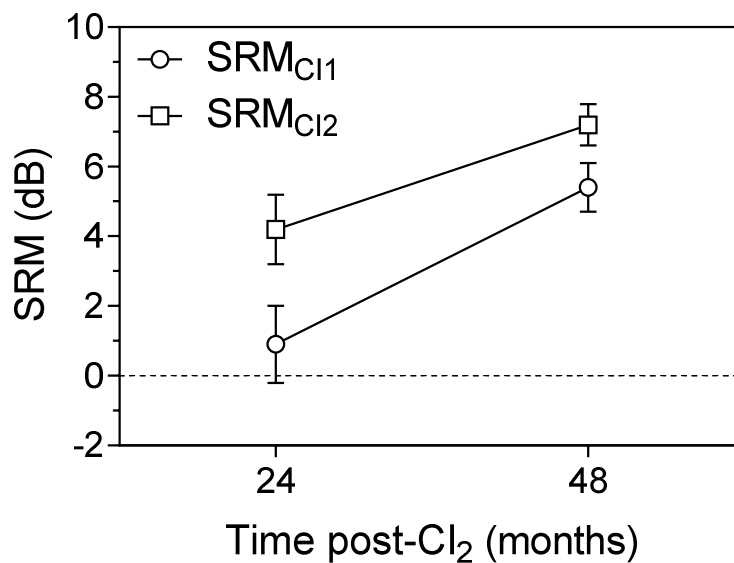


218
 219 **Figure 2 Mean binaural SRT in noise measured for S_0N_0 (circles), S_0N_{CI1} (squares)**
 220 **and S_0N_{CI2} (triangles) as a function of time post- CI_2 . Error bars represent ± 1 SEM.**

221
 222 Finally, Figure 3 shows the mean ($n = 16$) SRM values obtained as a function of time post-
 223 CI_2 . SRM values are shown for both noise locations, i.e. SRM_{CI1} and SRM_{CI2} . A clear trend
 224 for both SRM_{CI1} and SRM_{CI2} to increase (improve) as a function of time post- CI_2 is evident.
 225 In addition, a notable difference exists between SRM_{CI1} and SRM_{CI2} , with SRM_{CI2} having
 226 larger values (i.e. more advantage) than SRM_{CI1} at two and four years. However, this
 227 difference becomes smaller as a function of time post- CI_2 from 3.3 dB at two years to 1.8 dB
 228 at four years. That is, SRM_{CI1} shows a greater improvement than SRM_{CI2} , and as a result,

² For multiple hypotheses testing a Bonferroni-corrected significance level of $p < 0.01$ was used.

229 SRM across ears is observed to become more symmetrical over time. Statistical modelling
230 confirmed both noise location ($\chi^2 = 6.34$, $df = 1$, $p < 0.05$) and time post- CI_2 ($\chi^2 = 17.00$, $df =$
231 1 , $p < 0.0001$) had a significant effect on SRM. The interaction between noise location and
232 time was not significant ($\chi^2 = 0.73$, $df = 1$, $p = 0.39$), indicating that the time-dependent
233 improvements in SRM_{CI1} and SRM_{CI2} were not significantly different.



234

235 **Figure 3 Mean SRM_{CI1} (circles) and SRM_{CI2} (squares) as a function of time post- CI_2 .**
236 **Error bars represent ± 1 SEM.**

237

238 DISCUSSION

239

240 To date, no longitudinal data have been reported that describe changes in SRM over time for
241 sequentially-implanted children. Previous investigators (Peters *et al.*, 2007, Sparreboom *et*
242 *al.*, 2011 and Strom-Roum *et al.*, 2012) have described longitudinal changes in speech
243 discrimination abilities for this group of children, but these are limited to the first two years
244 post-CI₂. The small scale longitudinal study described in this paper is the first to provide a
245 description of changes in speech discrimination in quiet and noise as well as SRM for
246 sequentially-implanted children at four years post-CI₂.

247

248 Our findings demonstrate that the trajectory of improvement in speech discrimination
249 performance previously reported for up to two years post-CI₂ (Peters *et al.*, 2007;
250 Sparreboom *et al.*, 2011; Strom-Roum *et al.*, 2012) continues during the next two years. That
251 is, SRT in both quiet and noise continue to improve for both CI₁ and CI₂. Whilst better
252 performance is seen for CI₁, CI₂ shows the greatest improvement over time. This results in
253 more symmetrical performance across ears.

254

255 Similar findings were also obtained for SRM. Whilst our mean values measured at two years
256 post-CI₂ were similar to those reported at the same time point by Litovsky *et al.* (2006) and
257 Sparreboom *et al.* (2011), substantial improvements in SRM for noise presented 90° towards
258 CI₁ and CI₂ were observed at four years post-CI₂. The present data also shows that the
259 notable asymmetry in SRM evident at two years post-CI₂ (Litovsky *et al.*, 2006; Van-Deun *et*
260 *al.*, 2010; Chadha *et al.*, 2011) becomes less marked by four years post-CI₂. However, this
261 group of sequentially-implanted children did not gain the same symmetrical SRM reported
262 for simultaneously implanted children at two years post-CI₂ (Chadha *et al.*, 2011).

263

264 In summary, the present findings show that sequentially-implanted children who are
265 consistent users of two cochlear implants that provide access to sounds at 35 dB HL or better
266 bilaterally continue to experience substantial improvements in discriminating speech in noise
267 up to four years post-CI₂, despite the extended period of auditory deprivation in their second-
268 implanted ear. These findings, along with other evidence (e.g. Smulders *et al.*, 2011) support
269 the recommendation that children with an existing single implant should be considered for
270 assessment for a second implant. As a tentative indication of the window of opportunity for
271 providing a second implant, children in this study who had used a single cochlear implant for
272 up to 95 months before receiving a second implant still experienced significant improvement
273 in speech discrimination abilities.

274

275 The increased knowledge of the development of speech discrimination provided by this paper
276 is useful when counselling families of children considering sequential implantation. As part
277 of managing expectations families can be made aware of the long time-scale over which
278 benefits may be obtained. Similarly, some children who have already received a second,
279 sequential implant struggle to establish consistent use of both devices (Galvin and Hughes,
280 2012; Fitzgerald *et al.*, 2013). For these families the knowledge that these improvements can
281 continue beyond two years post-CI₂ may serve as motivation to persevere with using the
282 second cochlear implant and the associated rehabilitation.

283

284 Finally, in order to determine the trajectory of any further changes in speech discrimination
285 beyond four years post-CI₂, it is recommended that further studies are undertaken with the
286 aim of measuring speech discrimination performance at longer intervals post-CI₂.

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