

# Oral anticoagulant therapy in atrial fibrillation older patients with previous bleeding

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## Abstract

Oral anticoagulant therapy (OAT) with direct oral anticoagulants (DOACs) is the established treatment to reduce thromboembolic risk in patients with atrial fibrillation (AF). Bleeding risk scores are useful to identify and correct factors associated with bleeding risk in AF patients on OAT. However, the clinical scenario is more complex in patients with a previous bleeding event, and the decision about whether and when starting or re-starting OAT in these patients remains a contentious issue. Major bleeding is associated with a subsequent increase in both short- and long-term mortality, and even minimal bleeding may have a prognostic importance because it frequently leads to disruption of antithrombotic therapy. There is an unmet need for guidance on how to manage antithrombotic therapy after bleeding has occurred. While waiting for observational and randomized data to accrue, this paper offers a perspective on managing antithrombotic therapy after bleeding in older patients with AF.

## Introduction

Bleeding is a frequent complication of the management of patients with atrial fibrillation (AF). Randomized trials have shown a risk of major bleeding around 2-5% per year in patients with AF treated with oral anticoagulant therapy (OAT) [1]. Major bleeding is associated with a subsequent increase in both short- and long-term mortality [2]. Moreover, discontinuation of antithrombotic drugs and prothrombotic responses following a bleeding may lead to an increased rate of thrombotic events due to the progressive recovery of platelet function and coagulation activity. It is well known that patients who are more likely to suffer from bleeding complications of antithrombotic therapy also tend to be at higher risk of thrombotic events [3]. Ageing is associated with a progressive increase of bleeding and thrombotic risk. In a population study on 359,166 people without cardiovascular (CV) disease not receiving antithrombotic therapies, the annual risk of major gastrointestinal bleeding was 0.6% in men and women aged 30-39 years and 2.3% and 1.6% in men and women, respectively, aged 70-79 years [4]. The annual risk of major intracranial bleeding was 0.03% in people aged 30-39 years, and 0.3% and 0.2% in men and women, respectively, aged 70-79 years [4]. There are few data on bleeding risk in the oldest-old patients with AF. A matched cohort study including AF patients treated with vitamin K antagonists (VKAs) at a thrombosis service demonstrated that bleeding risk is only mildly increased in people aged 90 years and over compared with patients aged 70 to 79 years, whereas patients in their 80s had a risk of bleeding comparable with that of patients in their 70s [5]. Interestingly, there was a steeper increase in the risk of thrombotic events in those aged 90 years and over compared with patients in their 70s and 80s [5]. Moreover, the risk of bleeding in these very old patients was not significantly affected by the quality of warfarin therapy [5]. These recent findings are in keeping with a previous study which showed that, among 4093 patients aged 80 years and over receiving VKAs for AF or pulmonary embolism, the annual rate of bleeding was 2.22% in those aged  $\geq 85$  years compared with 1.71% in those aged  $< 85$  years [6]. Moreover, 82.2% of bleeding events occurred in patients with an international normalized ratio (INR) in the range 2.0-3.0 [6]. Altogether, these findings suggest that there is a mild increase in the bleeding risk in advanced age, and that OAT, rather than directly "causing", makes evident underlying silent bleeding. This is one of the main reasons why current scores recommended for estimating bleeding risk have demonstrated similar, albeit modest, performance in predicting OAT-associated bleeding in patients with AF [7].

In daily clinical practice older AF patients with a previous bleeding represent a common clinical scenario. Whether and when to start or re-start OAT in these patients is a complex decision,

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involving a careful evaluation of potential harm vs benefit ratio. Patients with recent bleeding have been excluded from most randomized trials of antithrombotic therapy and rigorous evidence to inform decisions is scarce. Clearly, balancing the risks of further bleeding against potentially fatal thrombotic events is critical for decisions about if and when to start or restart antithrombotic therapy after bleeding. In this paper we will review evidences to inform clinical decisions in this setting of patients.

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## Methods

Scientific literature focused on use of OAT and DOACs in older persons with previous bleeding events published in the last 8 years was retrieved by the authors from the MEDLINE database using the terms “atrial fibrillation” AND “oral anticoagulant therapy”, OR “new oral anticoagulants” OR “direct oral anticoagulants”, AND “aged” OR “elderly” OR “older” as keywords. Reviews, recommendations and expert opinions, as well as clinical trials and large observational studies in English published until March 2019 were systematically analyzed and included according to their relevance to the objective. Additional references were obtained from the reference list of the selected full-text manuscripts.

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## Results

In a retrospective cohort study that enrolled 1329 AF patients (mean age 76 years, 45% women) who developed gastrointestinal bleeding (GIB) while on anticoagulation from 2005 to 2010, warfarin was restarted in 653 (49.1%) patients [8]. Compared with patients who did not receive OAT, those who were restarted with VKAs had better cumulative survival (HR 0.67, 95% CI: 0.56-0.81) and lower one-year cumulative incidence of thromboembolic events (HR 0.71, 95% CI: 0.54-0.93) without a significant increase in the 90-days cumulative incidence of recurrent GIB [8]. Restarting OAT 7 to 15 days after bleeding events was associated with more favorable cumulative survival and clinical benefit including recurrent bleeding and thromboembolism [8]. A recent prospective observational cohort study on 197 consecutive patients (mean age 75 years, 60% AF) hospitalized for GIB while on OAT, investigated 90 days cumulative incidence of thromboembolic events, hospital readmissions related to GIB, and mortality in those who resumed anticoagulation and those who had anticoagulation discontinued [9]. The adjusted hazard ratios for continuing vs cessation of OAT were 0.121 (95% CI: 0.006-0.813,  $p=0.03$ ) for thromboembolism, 2.17 (95% CI: 0.861-6.67,  $p=0.10$ ) for recurrent GIB, and 0.632 (95% CI: 0.216-1.89,  $p=0.40$ ) for all-cause death [9]. In a retrospective Danish cohort study in the period 1996-2012 which enrolled 4602 AF patients (mean age 78 years) discharged after GIB, 27,1% did not resume antithrombotic therapy [10]. In the whole sample, overall 2-year mortality was 39.9%, major bleeding and recurrent GIB occurred in 17.7% and 12.1% of patients, respectively, whereas 12% of patients experienced a thromboembolic event. Compared with patients who did not resume OAT, all-cause mortality and thromboembolism were significantly lower either in patients who restarted OAT (HR 0.39, 95% CI: 0.34-0.46 and HR 0.41, 95% CI: 0.31-0.54, respectively) and in those who restarted OAT plus antiplatelet therapy (HR 0.41, 95% CI: 0.32-0.52 and HR 0.54, 95% CI: 0.36-0.82, respectively). Major bleedings, but not GIB recurrence, were significantly higher in those who restarted OAT

and OAT plus antiplatelet therapy (HR 1.37, 95% CI: 1.06-1.77, and HR 1.49, 95% CI: 1.32-1.91, respectively) [10]. A recent retrospective analysis of medical claims data from the Truven Health Marketscan Commercial Claims and Encounters Database, from January 1, 2010, through December 31, 2014, included 1338 adults (mean age 79 years) treated with DOACs and hospitalized for GIB [11]. DOACs were restarted in 586 patients; older patients requiring blood and intensive care were less likely to restart DOAC therapy. In the whole sample resuming DOAC was not associated with 90-day thromboembolism and recurrence of GIB [11].

A recent study aimed to evaluate current clinical evidence for management of OAT after GIB, with an emphasis on whether to, when to, and how to resume an anticoagulation therapy [12]. A total of 9 studies were identified. Four retrospective cohort studies showed that resuming anticoagulation therapy was associated with significantly lower rate of thromboembolism (TE) in the general population. Meta-analyses and prospective cohort studies also supported this finding. Two retrospective cohort studies indicated an increase in GIB when anticoagulation reinitiation occurred in less than 7 days without a decrease in TE. [12]. Resuming therapy between 7 and 15 days did not demonstrate a significant increase in GIB or TE [12]. A large retrospective study showed that apixaban was associated with the significantly lowest risk of GIB compared with both rivaroxaban and dabigatran.

The Authors concluded that OAT resumption is recommended, with resumption being considered between 7 and 14 days following GIB regardless of the therapy chosen [12]. Data for warfarin management after GIB should be applied with caution to direct oral anticoagulants (DOACs) because of the quicker onset and experimental nature of reversal agents. Apixaban may be a preferred option when restarting a DOAC therapy [12]. A recent review and meta-analysis, aiming to determine the risks of recurrent GIB, thromboembolism and death in patients who resumed OAT compared to those who did not, identified 12 observational studies involving 3098 patients [13]. There was an increased risk of recurrent GIB (RR 1.91, 95% CI 1.47-2.48,  $I^2=0\%$ , 11 studies), and a reduced risk of thromboembolism (RR 0.30, 95% CI 0.13-0.68,  $I^2=59.8\%$ , 9 studies) and death (RR 0.51, 95% CI 0.38-0.70,  $I^2=71.8\%$ , 8 studies) in patients who resumed OAC compared to those who did not. Although eleven studies were judged to be at serious risk of bias due to confounding, these results suggest that resuming OAT after OAT-related GIB appears to be associated with an increase in recurrent GIB, but a reduction in thromboembolism and death [13].

There are few observational cohort studies which investigated the clinical benefit of restarting OAT after intracranial hemorrhage (ICH). A recent review and meta-analysis concluded that reinstatement of OAT after ICH was associated with a lower risk of thromboembolic complications and a similar risk of ICH recurrence [14]. A recent study examined the timing of DOAC resumption and factors that influence decision-making in DOAC resumption in 43 patients with ICH who were treated with DOAC for nonvalvular atrial fibrillation before ICH onset [15]. DOAC were resumed in 19 of 39 (49%) acute ICH survivors and were not resumed in 24 patients, including 4 deceased patients. The National Institutes of Health Stroke Scale score at admission tended to be higher in the no resumption group (median, 17) than in the resumption group (median, 6) ( $p=0.119$ ). The modified Rankin Scale score was slightly poorer in the no resumption group (median, 4) than in the resumption group (median, 3) ( $p=0.070$ ). In the resumption group, DOAC were resumed at a median of 11 days (interquartile range, 5-21 days) after ICH onset. The modified Rankin Scale score at discharge was positively correlated with the days of DOAC

resumption ( $R^2 = 0.31$ ,  $p=0.013$ ). The Authors concluded that early resumption of DOAC for ICH in AF patients is considered to be safe, and that the functional outcome was associated with not only resumption of DOAC but also the timing of resumption [15]. Perreault *et al.* investigated whether starting OAT among 683 older AF patients (mean age 83 years) after an ICH was associated with a lower risk of ischemic stroke/systemic embolism (IS/SE) and mortality but offset by an increase in major bleeding [16]. The rates (per 100 person-years) for IS/SE, death, ICH and major bleeding were 3.3, 40.6, 11.4, and 2.7 for the no OAC group; and 2.6, 16.3, 5.2, and 5.2 for the OAC group, respectively. The absolute hazard ratio for IS/SE and death were 0.10 (95% CI: 0.05 to 0.21), 0.43 (95% CI, 0.19 to 0.97) for recurrent ICH and 1.73 (95% CI, 0.71 to 4.20) for major extracranial bleeding comparing OAT exposure to non-exposed. The Authors concluded that initiating OAT after ICH in older individuals with AF is associated with a reduction of IS/SE and mortality, supporting its use after ICH bleeding [16].

A recent Danish cohort study included 4541 OAT treated AF patients (mean age 81 years) experiencing traumatic injury during the period 2005-2016: 60.7% of patients resumed warfarin-based OAT and 16.7% resumed DOAC [17]. Compared with patients who did not restart OAT, resumption of warfarin or DOACs was associated with significantly lower hazards of all cause mortality (0.48, 95% CI: 0.42-0.53, and 0.55, 95% CI: 0.47-0.66, respectively) and ischemic stroke (0.56, 95% CI: 0.43-0.72, and 0.54, 95% CI: 0.35-0.82, respectively), at the cost of an increased hazard of major bleeding in those receiving VKAs (1.30, 95% CI: 1.03-1.64) [17].

## Discussion

The decision about whether and when to start or resume OAT following an episode of GIB must balance the risks of thromboembolism and recurrent GIB. This decision is even more complex in patients who recovered from an ICH. Most of observational studies suggest that the net clinical benefit favors resuming OAT, with a reduced risk of thromboembolism and death, despite an increase in GIB. Importantly, the clinical impact of GIB and thrombotic events are not equivalent. The case-fatality rate of OAT-related bleeding is 8-13% [18-20]. In comparison, the risk of death, institutionalization due to stroke, or disability at 3 months was 41% for all strokes in a European stroke registry [21]. Interestingly, in a retrospective cohort study in Medicare beneficiaries between January 1, 2011 and September 30, 2015 there were 1,643,123 patients with 1,713,183 new episodes of oral anticoagulant treatment (mean age 76.4 years) [22]. Among patients initiating OAT, incidence of hospitalization for upper GIB was highest in patients prescribed rivaroxaban and the lowest in patients prescribed apixaban. For each anticoagulant the incidence of hospitalization for upper GIB was lower among patients who were receiving proton pump inhibitor co-therapy [22].

However, most of the evidence supporting the initiation or re-initiation of OAT after a major bleeding comes from observational cohort studies which may be heavily flawed by several limitations. These observational studies are highly heterogeneous in the pooled estimates for thromboembolism and mortality. More important, all these studies shared a high potential risk of bias due to baseline confounding. Possible confounders included age, indication for OAT, source of bleeding, risk of thrombosis, risk of recurrent bleeding, and comorbidities. In the absence of randomization, differences in baseline characteristics which are prognostic for

outcomes may have influenced the decision whether or not resuming OAT. Therefore, the mortality benefit observed in most of these studies might be at least in part accounted for by a higher prescription of OAT to patients with better general health status. Moreover, in all of these studies involving older AF patients there is no mention of some comprehensive geriatric assessment, even if there is strong evidence that geriatric syndromes may heavily affect either OAT use and survival in these patients [23-27]. Therefore, in the absence of high-quality data regarding the optimal timing of resumption and type of OAT in this setting, decision-making should be individualized with discussion about the risks and benefits and incorporating patient values and preferences.

The European Society of Cardiology Working Group on Thrombosis has recently released an expert consensus focused on the management of antithrombotic therapy in AF patients after a bleeding event [28]. Patients with AF were categorized according to the estimated thrombotic risk as very high (including those with  $CHA_2DS_2-VASC$  score  $\geq 6$ , or mechanical mitral valves or cardiac assist devices), high (including those with  $CHA_2DS_2-VASC$  score=4-5 or a mechanical aortic bileaflet valve) and moderate ( $CHA_2DS_2-VASC$  score=2-3) [28]. Similarly, an expert consensus panel of the American College of Cardiology identified high thrombotic risk in AF patients as the presence of at least one of  $CHA_2DS_2-VASC$  score  $\geq 6$ , stroke/Transient Ischemic Attack within 3 months, stroke risk  $\geq 10\%$  year, rheumatic valve disease or mitral stenosis [29]. The European expert panel also provided a consensus definition of recurrent bleeding risk categories, including a very high-risk group (ICH where no treatment is possible or effective, and life-threatening extracranial bleeding where the source of bleeding is either not identified or identified but not treated effectively), and a high risk group (major extracranial bleeding where the source is identified but not treated effectively and definitively) [28]. Clinical decisions are extremely complex in patients fulfilling either very high- or high-risk features for thrombotic and bleeding events. On this background, flow-charts for (re)-initiation of OAT after GIB and ICH were provided [28,29], including left atrial appendage occlusion for patients deemed at high or very high thrombotic and bleeding risks. In this context, we believe that older age per se should not be considered the best factor to be considered among variables for the net assessment in favour of withholding OAT in elderly people: rather, a careful geriatric assessment may provide physicians a more reliable evaluation of the net clinical benefit of OAT in these elderly patients [30-32].

In conclusion, the decision about whether or not to start or reinitiate OAT in older AF patients with a previous bleeding should be individualized and based on a careful evaluation of thrombotic and bleeding risks, with discussion about the risks and benefits and incorporating patient values and preferences. In this setting, a thorough comprehensive geriatric assessment of general health status and estimated survival may assist physicians in the decision-making for older complex patients.

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