

Preprint / accepted version of the article to appear in  
Commun. Anal. Geom.

# The Chern-Gauss-Bonnet formula for singular non-compact four-dimensional manifolds

Reto Buzano and Huy The Nguyen

## Abstract

We generalise the classical Chern-Gauss-Bonnet formula to a class of 4-dimensional manifolds with finitely many conformally flat ends and singular points. This extends results of Chang-Qing-Yang in the smooth case. Under the assumptions of finite total  $Q$  curvature and positive scalar curvature at the ends and at the singularities, we obtain a new Chern-Gauss-Bonnet formula with error terms that can be expressed as isoperimetric deficits. This is the first such formula in a dimension higher than two which allows the underlying manifold to have isolated branch points or conical singularities.

## 1 Introduction

Relating the local geometry and global topology of manifolds constitutes one of the main aims of differential geometry. One of the most fundamental results is the Gauss-Bonnet formula

$$\int_M K_g dV_g = 2\pi\chi(M),$$

which gives a link between the topology of a closed surface  $(M, g)$  and its Gauss curvature. In particular, this formula yields topological obstructions to the existence of certain metrics, for example no torus  $T^2 = \mathbb{S}^1 \times \mathbb{S}^1$  (with Euler characteristic zero) carries a metric  $g$  of positive Gauss curvature  $K_g > 0$ . It is well known that for complete non-compact surfaces or surfaces with singularities the Gauss-Bonnet formula requires additional terms known as isoperimetric deficit, which infinitesimally measure the deviation from flat Euclidean space. Such formulas have been extensively studied over the last eighty years, see for example [11, 16, 15, 12, 17, 24, 18, 25, 9, 21] for some of the most important results.

A generalisation of the Gauss-Bonnet theorem to higher-dimensional compact Riemannian manifolds was discovered by Chern [10]. In particular, in the case of a compact 4-dimensional manifold, the Chern-Gauss-Bonnet formula states that

$$32\pi^2\chi(M) = \int_M (|\text{Rm}_g|_g^2 - 4|\text{Rc}_g|_g^2 + R_g^2) dV_g = \int_M (|W_g|_g^2 + 8Q_g) dV_g, \quad (1.1)$$

where  $\text{Rm}_g$ ,  $\text{Rc}_g$ ,  $R_g$  and  $W_g$  denote the Riemannian, Ricci, scalar and Weyl curvature of  $(M^4, g)$ , respectively, and

$$Q_g := -\frac{1}{12}(\Delta_g R_g - R_g^2 + 3|\text{Rc}_g|_g^2) \quad (1.2)$$

is the Paneitz  $Q$  curvature introduced by Branson [3]. Under a conformal change  $g = e^{2w}g_0$ , this scalar quantity transforms by

$$P_{g_0}w + 2Q_{g_0} = 2Q_g e^{4w}, \quad (1.3)$$

where  $P_g$  denotes the Paneitz operator, introduced in [22] and defined by

$$P_g(\varphi) := \Delta_g^2 \varphi + \operatorname{div}_g \left( \frac{2}{3} \operatorname{Rc}_g - 2 \operatorname{Rc}_g \right) d\varphi, \quad \varphi \in C^\infty(M). \quad (1.4)$$

Under the same conformal change as above, the Paneitz operator transforms by

$$P_g = e^{-4w} P_{g_0}. \quad (1.5)$$

These transformation laws are the higher-dimensional equivalents of the classical formulas for surfaces, stating that for  $g = e^{2w} g_0$  we have

$$-\Delta_{g_0} w + K_{g_0} = K_g e^{2w}, \quad \Delta_g = e^{-2w} \Delta_{g_0}.$$

For more details on the properties of the Paneitz operator and the  $Q$  curvature, we refer the reader to [22, 3, 4, 5].

If  $M$  is non-compact or singular, very little is known about how the Chern-Gauss-Bonnet formula should look like. In the smooth case, besides the classical results of Cheeger-Gromov on manifolds with bounded geometry [8] and the results of Greene-Wu on complete four-manifolds with positive sectional curvature [14], the most general results are due to Chang-Qing-Yang [6, 7]. (See also [13, 20] for higher-dimensional versions of similar results.) In the singular case, this problem has only been studied in the special case of so-called edge-cone singularities [19, 1] or  $V$ -manifolds [23], but no results seem to be known that allow the underlying manifold to have isolated singular points.

The goal of this article is to develop a formula for a large class of manifolds  $M$  which are diffeomorphic to a compact manifold with finitely many points removed, allowing in particular both complete ends and finite area interior branch points. For the reader's convenience and simplicity of exposition, we first state the result in the simple situation of a conformal metric  $e^{2w}|dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$  having one complete end at infinity and one finite area singular point at the origin (see Theorem 1.1 for the precise assumptions). This is a model case for the more general situation of manifolds with many conformally flat ends and singular points, see Definition 1.5 and Theorem 1.6.

**Theorem 1.1** (Chern-Gauss-Bonnet formula for singular metrics conformal to  $\mathbb{R}^4$ ). *Let  $g = e^{2w}|dx|^2$  be a metric on  $\mathbb{R}^4 \setminus \{0\}$  which is complete at infinity and has finite area over the origin. If  $g$  has finite total  $Q$  curvature*

$$\int_{\mathbb{R}^4} |Q_g| dV_g = \int_{\mathbb{R}^4} |Q_g| e^{4w} dx < \infty, \quad (1.6)$$

*and non-negative scalar curvature at infinity and at the origin, i.e.*

$$\inf_{\mathbb{R}^4 \setminus B_{r_2}(0)} \operatorname{R}_g(x) \geq 0, \quad \inf_{B_{r_1}(0)} \operatorname{R}_g(x) \geq 0, \quad (1.7)$$

*for some  $0 < r_1 \leq r_2 < \infty$ , then we have*

$$\chi(\mathbb{R}^4) - \frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx = \nu - \mu, \quad (1.8)$$

*where*

$$\nu := \lim_{r \rightarrow \infty} \frac{\operatorname{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \operatorname{vol}_g(B_r(0))}, \quad \mu := \lim_{r \rightarrow 0} \frac{\operatorname{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \operatorname{vol}_g(B_r(0))} - 1. \quad (1.9)$$

The simplest and at the same time fundamental example that illustrates this result and that is not covered by previously known Chern-Gauss-Bonnet type formulas is the following conical metric.

**Example 1.2.** *Let us consider the manifold  $(\mathbb{R}^4 \setminus \{0\}, g^\alpha)$ , where the metric  $g^\alpha$  is defined by  $g_{ij}^\alpha = \delta_{ij} + \alpha \frac{x_i x_j}{r^2}$  for some  $-1 < \alpha < \infty$ . Here,  $r^2 = |x|^2$ , where  $|x|$  denotes the Euclidean norm. If  $\alpha = 0$ , this is simply Euclidean space. More generally, the metric  $g^\alpha$  is a conformal deformation of the Euclidean metric, as can be seen as follows. First note that in spherical co-ordinates, the metric may be written as*

$$g^\alpha = (1 + \alpha)dr^2 + r^2 d\sigma_{\mathbb{S}^3}.$$

Hence, we can reparametrise with  $s = r\sqrt{1+\alpha}$  so that

$$dr^2 = \frac{1}{1+\alpha} s^{2(\frac{1}{\sqrt{1+\alpha}} - 1)} ds^2, \quad r^2 = s \frac{2}{\sqrt{1+\alpha}}$$

and the metric becomes

$$g^\alpha = s^{2(\frac{1}{\sqrt{1+\alpha}} - 1)} \left( ds^2 + s^2 d\sigma_{\mathbb{S}^3} \right) = s^{2(\frac{1}{\sqrt{1+\alpha}} - 1)} g_{\mathbb{R}^4}.$$

Hence  $g^\alpha$  is a complete metric conformal to  $g_{\mathbb{R}^4}$  with conformal factor

$$e^{2w(r)} = r^{2(\frac{1}{\sqrt{1+\alpha}} - 1)}$$

so that  $w(r) = (\frac{1}{\sqrt{1+\alpha}} - 1) \log r$ . Computing, we see that

$$\nu = \lim_{r \rightarrow \infty} \frac{\text{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \text{vol}_g(B_r(0))} = \frac{1}{\sqrt{1+\alpha}}, \quad \mu = \frac{1}{\sqrt{1+\alpha}} - 1.$$

We note that for this cone in dimension four,

$$R_{g^\alpha} = \frac{6\alpha e^{-2w(r)}}{(1+\alpha)r^2}, \quad |\text{Rc}_{g^\alpha}|_{g^\alpha}^2 = \frac{12\alpha^2 e^{-4w(r)}}{(1+\alpha)^2 r^4}, \quad \Delta_{g^\alpha} R_{g^\alpha} = 0.$$

so that  $Q_{g^\alpha} = 0$ . Hence in this case

$$1 = \chi(\mathbb{R}^4) - \frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_{g^\alpha} dV_{g^\alpha} = \nu - \mu.$$

Theorem 1.1 above shows that the deficit in the Chern-Gauss-Bonnet formula (1.8) is given by the limits of some isoperimetric ratios. In contrast to the situation on surfaces, there are several different isoperimetric ratios in higher dimensions. Recall that for  $\Omega$  a convex domain in  $\mathbb{R}^n$  and  $1 \leq m < n$ , the mixed volumes  $V_m(\Omega)$ , as defined by Trudinger [26], are given by

$$V_m(\Omega) = \frac{1}{n \binom{n-1}{m}} \int_{\partial\Omega} H_{n-1-m}[\partial\Omega] d\mathcal{H}^{n-1}, \quad (1.10)$$

where  $H_k[\partial\Omega]$  denotes the  $k$ -th symmetric polynomial in the principal curvatures of  $\partial\Omega$  and  $\mathcal{H}^{n-1}$  is the  $(n-1)$ -dimensional Hausdorff measure. In particular, if  $\Omega = B_r(0)$  is a ball in  $\mathbb{R}^4$  centred at the origin, we obtain the following mixed volumes and isoperimetric ratios.

**Definition 1.3.** We define the volumes  $V_k(r)$  by

$$\begin{aligned} V_4(r) &= \int_{B_r(0)} e^{4w} dx, & V_3(r) &= \frac{1}{4} \int_{\partial B_r(0)} e^{3w} d\sigma(x), \\ V_2(r) &= \frac{1}{12} \int_{\partial B_r(0)} H_1 e^{3w} d\sigma(x), & V_1(r) &= \frac{1}{12} \int_{\partial B_r(0)} H_2 e^{3w} d\sigma(x). \end{aligned} \quad (1.11)$$

The isoperimetric ratios  $C_{k,\ell}(r)$  are then defined by

$$\begin{aligned} C_{3,4}(r) &= \frac{V_3^{4/3}(r)}{(\pi^2/2)^{1/3} V_4(r)}, \\ C_{2,3}(r) &= \frac{V_2(r)}{(\pi^2/2)^{1/3} V_3^{2/3}(r)}, \\ C_{1,2}(r) &= \frac{V_1^{2/3}(r)}{(\pi^2/2)^{1/3} V_2^{1/3}(r)}, \end{aligned} \quad (1.12)$$

and

$$\begin{aligned} C_{2,4}(r) &= C_{3,4}^{1/3}(r) \cdot C_{2,3}^{2/3}(r), \\ C_{1,3}(r) &= C_{2,3}^{1/4}(r) \cdot C_{1,2}^{3/4}(r), \\ C_{1,4}(r) &= C_{3,4}^{1/9}(r) \cdot C_{2,3}^{2/9}(r) \cdot C_{1,2}^{2/3}(r). \end{aligned} \quad (1.13)$$

Our second result says that asymptotically, all these isoperimetric volume ratios agree in our setting.

**Theorem 1.4** (Isoperimetric volume ratios agree asymptotically). *Let  $g$  be a metric as in Theorem 1.1, and let  $\mu$  be defined as in (1.9). If*

$$1 + \mu - \frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx > 0$$

then the Chern-Gauss-Bonnet formula (1.8) holds for  $\nu$  given by

$$\nu := \lim_{r \rightarrow \infty} C_{k,\ell}(r), \quad (1.14)$$

for any choice of  $1 \leq k < \ell \leq 4$ . Alternatively, if  $\nu$  is defined as in (1.9) and satisfies

$$1 - \nu + \frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx > 0$$

then the Chern-Gauss-Bonnet formula (1.8) holds for  $\mu$  given by

$$\mu := \lim_{r \rightarrow 0} C_{k,\ell}(r) - 1. \quad (1.15)$$

for any choice of  $1 \leq k < \ell \leq 4$ .

**Remark.** Of course, picking  $k = 3$  and  $\ell = 4$  in (1.14) or (1.15), we obtain (1.9).

In order to state the main result, generalising Theorem 1.1 to the case of 4-manifolds with finitely many ends and singular points, let us first explain which type of manifolds we are exactly considering here.

**Definition 1.5.** We say  $(M, g)$  is a 4-manifold with finitely many conformally flat complete ends and finite-area singular points, if

$$M = N \cup \left( \bigcup_{i=1}^k E_i \right) \cup \left( \bigcup_{j=1}^{\ell} S_j \right)$$

where  $(N, g)$  is a compact Riemannian manifold with boundary

$$\partial N = \left( \bigcup_{i=1}^k \partial E_i \right) \cup \left( \bigcup_{j=1}^{\ell} \partial S_j \right)$$

and the  $E_i, S_j$  satisfy the following properties. Each  $E_i$  is a conformally flat simple end, meaning that

$$(E_i, g) = (\mathbb{R}^4 \setminus B, e^{2e_i} |dx|^2) \quad (1.16)$$

for some function  $e_i(x)$ , where  $B$  is the unit ball in  $\mathbb{R}^4$  and the metric is complete at infinity. Each  $S_j$  on the other hand is a conformally flat region with finite area and with a point-singularity at some  $p_j$ , meaning that

$$(S_j \setminus \{p_j\}, g) = (B \setminus \{0\}, e^{2s_j} |dx|^2) \quad (1.17)$$

for some function  $s_j(x)$ , where  $B$  again denotes the unit ball in  $\mathbb{R}^4$ .

The main result of this article states the following.

**Theorem 1.6** (Chern-Gauss-Bonnet formula for singular non-compact 4-manifolds). *Let  $(M, g)$  be as in Definition 1.5 and assume that  $g$  has finite total  $Q$  curvature*

$$\int_M |Q_g| dV_g < \infty, \quad (1.18)$$

and non-negative scalar curvature at every singular point and at infinity at each end. Then we have

$$\chi(M) - \frac{1}{32\pi^2} \int_M (|W_g|_g^2 + 8Q_g) dV_g = \sum_{i=1}^k \nu_i - \sum_{j=1}^{\ell} \mu_j, \quad (1.19)$$

where

$$\nu_i := \lim_{r \rightarrow \infty} \frac{\left( \int_{\partial B_r(0)} e^{3e_i(x)} d\sigma(x) \right)^{4/3}}{4(2\pi^2)^{1/3} \int_{B_r(0) \setminus B} e^{4e_i(x)} dx}, \quad i = 1, \dots, k, \quad (1.20)$$

and

$$\mu_j := \lim_{r \rightarrow 0} \frac{\left( \int_{\partial B_r(0)} e^{3s_j(x)} d\sigma(x) \right)^{4/3}}{4(2\pi^2)^{1/3} \int_{B_r(0)} e^{4s_j(x)} dx} - 1, \quad j = 1, \dots, \ell. \quad (1.21)$$

**Remark.** In the case of smooth metrics satisfying (1.18) and the condition of positive scalar curvature at each end, Theorem 1.6 reduces to the results of Chang-Qing-Yang [6, 7]. Moreover, under these assumptions, Wang obtained interesting isoperimetric inequalities, see [27, 28]. It would be interesting to try to adopt her results to our situation of manifolds with singularities.

The paper is organised as follows. In the Sections 2–4, we prove the Theorems 1.1 and 1.4 in three steps as follows. First, note that for a conformal metric  $g = e^{2w}|dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$ , the definition of the Paneitz operator (1.4) together with the Paneitz equation (1.3) imply

$$\Delta^2 w = 2Q_g e^{4w}, \quad (1.22)$$

where  $\Delta$  denotes the Euclidean Laplacian. In the special case where  $w = w(r)$  is a radial function on  $\mathbb{R}^4 \setminus \{0\}$ , this equation reduces to an ODE. In Section 2, by solving explicitly for the non-linearity in this ODE, we prove the two theorems for the special case of rotationally symmetric metrics. Then, we introduce a new notion of generalised normal metrics, namely metrics of the form  $g = e^{2w}|dx|^2$  where

$$w(x) = \frac{1}{4\pi^2} \int_{\mathbb{R}^4} \log\left(\frac{|y|}{|x-y|}\right) Q(y) e^{4w(y)} dy + \alpha \log|x| + C. \quad (1.23)$$

In Section 3, we prove Theorem 1.1 and Theorem 1.4 for this type of metrics by comparing  $w$  satisfying (1.23) with the averaged conformal factor

$$\bar{w}(r) := \frac{1}{|\partial B_r(0)|} \int_{\partial B_r(0)} w(x) d\sigma(x) \quad (1.24)$$

and reducing this case to the already established rotationally symmetric one. Next, in Section 4, we show that every metric  $g = e^{2w}|dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$  which is complete at infinity and has finite area over the origin and satisfies (1.6) and (1.7) is a generalised normal metric. This finishes the proofs of Theorem 1.1 and Theorem 1.4 in the general case. Finally, in Section 5, we localise Theorem 1.1 to metrics as in (1.16) and (1.17) and prove Theorem 1.6 by gluing together all the pieces.

## 2 Rotationally symmetric metrics

In this section, we assume that  $g = e^{2w}|dx|^2$  is a conformal metric on  $\mathbb{R}^4 \setminus \{0\}$  and  $w = w(r)$  is a *radial* function. Using spherical coordinates and writing  $|x| = r = e^t$ , one obtains  $\Delta w = e^{-2t}(\frac{\partial^2}{\partial t^2} + 2\frac{\partial}{\partial t})w$ , and hence, using also the Paneitz equation (1.22),

$$\Delta^2 w = e^{-4t} \left( \frac{\partial^2}{\partial t^2} - 2\frac{\partial}{\partial t} \right) \left( \frac{\partial^2}{\partial t^2} + 2\frac{\partial}{\partial t} \right) w = 2Q_g e^{4w}, \quad -\infty < t < \infty. \quad (2.1)$$

Note that  $t = \log r$  satisfies  $\Delta^2 t = 0$ . Therefore, it makes sense to denote  $w + t$  by  $v$ , which solves

$$v'''' - 4v'' = 2Q_g e^{4v}, \quad -\infty < t < \infty. \quad (2.2)$$

The goal of the first part of this section is to compute the limits of  $v'(t)$  for  $t \rightarrow \pm\infty$ . In order to do so, following [6], we denote  $F := 2Q_g e^{4v}$  and construct an explicit solution  $f(t)$  of the equation

$$f'''' - 4f'' = F. \quad (2.3)$$

It will turn out that we do not need  $f(t)$  itself, but only its derivatives. Before explaining the construction of  $f(t)$ , let us prove a technical lemma.

**Lemma 2.1.** *For  $F = 2Q_g e^{4v}$  as above, we have*

$$K_1 := \lim_{t \rightarrow -\infty} e^{2t} \int_t^\infty F(x) e^{-2x} dx = 0, \quad K_2 := \lim_{t \rightarrow \infty} e^{-2t} \int_{-\infty}^t F(x) e^{2x} dx = 0,$$

*Proof.* First note that

$$\int_{-\infty}^{\infty} |F(x)| dx = \int_{-\infty}^{\infty} 2|Q_g|e^{4v} dx = \frac{2}{|\mathbb{S}^3|} \int_{\mathbb{R}^4} |Q_g|e^{4w} dx = \frac{1}{\pi^2} \int_{\mathbb{R}^4} |Q_g| dV_g < \infty \quad (2.4)$$

by assumption. We then have for  $t < T < \infty$

$$\begin{aligned} \left| e^{2t} \int_t^{\infty} F(x)e^{-2x} dx \right| &\leq e^{2t} \left( \int_t^T |F(x)|e^{-2x} dx + \int_T^{\infty} |F(x)|e^{-2x} dx \right) \\ &\leq \int_{-\infty}^T |F(x)| dx + e^{2(t-T)} \int_{-\infty}^{\infty} |F(x)| dx. \end{aligned}$$

Setting  $T = t/2$  (for negative  $t$ ) and letting  $t$  tend to  $-\infty$ , we obtain  $K_1 = 0$ . Similarly, we have for  $-\infty < T < t$

$$\begin{aligned} \left| e^{-2t} \int_{-\infty}^t F(x)e^{2x} dx \right| &\leq e^{-2t} \left( \int_{-\infty}^T |F(x)|e^{2x} dx + \int_T^t |F(x)|e^{2x} dx \right) \\ &\leq e^{2(T-t)} \int_{-\infty}^{\infty} |F(x)| dx + \int_T^{\infty} |F(x)| dx. \end{aligned}$$

Hence, setting  $T = t/2$  (for positive  $t$ ) and letting  $t$  tend to  $\infty$ , we obtain  $K_2 = 0$ .  $\square$

A consequence of this lemma (which could also be seen more directly) is that

$$K_3 := \lim_{t \rightarrow -\infty} e^{4t} \int_t^{\infty} F(x)e^{-2x} dx = 0,$$

In order to find  $f(t)$ , we make the ansatz  $f''(t) = C(t)e^{-2t}$ . Plugging this into (2.3) yields

$$(C'(t)e^{-4t})' = F(t)e^{-2t},$$

which can be solved for  $C(t)$  as follows:

$$\begin{aligned} C(t) &= - \int_{-\infty}^t e^{4x} \left( \int_x^{\infty} F(y)e^{-2y} dy \right) dx \\ &= -\frac{1}{4} e^{4t} \int_t^{\infty} F(x)e^{-2x} dx - \frac{1}{4} \int_{-\infty}^t F(x)e^{2x} dx, \end{aligned}$$

where the second line is obtained using integration by parts and  $K_3 = 0$ . We thus find

$$f''(t) = -\frac{1}{4} e^{2t} \int_t^{\infty} F(x)e^{-2x} dx - \frac{1}{4} e^{-2t} \int_{-\infty}^t F(x)e^{2x} dx.$$

By Lemma 2.1, we have

$$\lim_{t \rightarrow -\infty} f''(t) = \lim_{t \rightarrow \infty} f''(t) = 0. \quad (2.5)$$

Another integration by parts, using  $K_1 = K_2 = 0$ , yields

$$\begin{aligned} f'(t) &= - \int_{-\infty}^t \frac{1}{4} e^{2x} \left( \int_x^{\infty} F(y)e^{-2y} dy \right) dx + \int_t^{\infty} \frac{1}{4} e^{-2x} \left( \int_{-\infty}^x F(y)e^{2y} dy \right) dx \\ &= -\frac{1}{8} e^{2t} \int_t^{\infty} F(x)e^{-2x} dx - \frac{1}{8} \int_{-\infty}^t F(x) dx \\ &\quad + \frac{1}{8} e^{-2t} \int_{-\infty}^t F(x)e^{2x} dx + \frac{1}{8} \int_t^{\infty} F(x) dx. \end{aligned}$$

Using Lemma 2.1 once more, we obtain

$$\lim_{t \rightarrow -\infty} f'(t) = \frac{1}{8} \int_{-\infty}^{\infty} F(x) dx, \quad \lim_{t \rightarrow \infty} f'(t) = -\frac{1}{8} \int_{-\infty}^{\infty} F(x) dx. \quad (2.6)$$

We could now obtain an explicit  $f(t)$  by a further integration and requiring for instance  $f(0) = 0$ . However, we only need the derivatives of  $f(t)$ , hence we skip this step. Our  $v(t)$  is now of the form

$$v(t) = c_0 + c_1 t + c_2 e^{-2t} + c_3 e^{2t} + f(t) \quad (2.7)$$

for some constants  $c_0, c_1, c_2$ , and  $c_3$ . We first prove that the assumption of asymptotically non-negative scalar curvature implies that  $c_2$  and  $c_3$  vanish.

**Lemma 2.2.** *Assume that  $g = e^{2w}|dx|^2$  has non-negative scalar curvature at infinity and at the origin, i.e.*

$$\inf_{\mathbb{R}^4 \setminus B_{r_2}(0)} R_g(x) \geq 0, \quad \inf_{B_{r_1}(0)} R_g(x) \geq 0,$$

for some  $0 < r_1 \leq r_2 < \infty$ . Then  $c_2 = c_3 = 0$  in (2.7).

*Proof.* The transformation law for scalar curvature under a conformal change  $g = e^{2w}g_0$  on an  $n$ -dimensional manifold is

$$R_g = e^{-2w} \left( R_{g_0} - \frac{4(n-1)}{n-2} e^{-\frac{n-2}{2}w} \Delta_{g_0} e^{\frac{n-2}{2}w} \right).$$

In our case, where  $g_0$  is the Euclidean metric and  $n = 4$ , this becomes

$$\frac{1}{6} R_g e^{2w} = -e^{-w} \Delta e^w = -e^{-2t} e^{-w} \left( 2 \frac{\partial}{\partial t} + \frac{\partial^2}{\partial t^2} \right) e^w,$$

or equivalently

$$\frac{1}{6} R_g e^{2v(t)} = -v''(t) - (v'(t))^2 + 1. \quad (2.8)$$

By our assumption of asymptotically non-negative scalar curvature at infinity and at the origin, the left hand side of (2.8) becomes non-negative if  $t \rightarrow \pm\infty$ . On the other hand, we know by (2.5) and (2.6) that  $f''(t)$  and  $f'(t)$  stay bounded as  $t \rightarrow \pm\infty$ , thus the dominating term on the right hand side of (2.8) is  $-4|c_3|^2 e^{4t}$  as  $t \rightarrow \infty$ , respectively  $-4|c_2|^2 e^{-4t}$  as  $t \rightarrow -\infty$ . Hence the right hand side of (2.8) can only be non-negative if  $c_2 = c_3 = 0$ .  $\square$

**Remark.** *The proof shows that it is sufficient to assume that the term  $R_g e^{2w} = |x| R_g e^{2w}$  is bounded from below, rather than assuming the stronger condition that  $R_g \geq 0$  near infinity and the origin. On the other hand, our assumption (1.7) cannot be replaced by the weaker assumption  $R_g \rightarrow 0$  as  $t = \log|x| \rightarrow \pm\infty$ . This is for example illustrated by the metric  $g = e^{2r^2}|dx|^2$ , which is complete at infinity, satisfies  $Q_g \equiv 0$  and*

$$R_g(r) = -48e^{-2r^2} - 24r^2 e^{-2r^2} \rightarrow 0 \quad (\text{as } r \rightarrow \infty),$$

but has  $c_3 = 1$ .

A direct consequence of Lemma 2.2 is that under the assumptions as above, we have

$$\lim_{t \rightarrow \infty} v'(t) - \lim_{t \rightarrow -\infty} v'(t) = -\frac{1}{4} \int_{-\infty}^{\infty} F(x) dx = -\frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx.$$

This follows from  $c_2 = c_3 = 0$ , which implies  $v(t) = c_0 + c_1 t + f(t)$  and thus  $v'(t) = c_1 + f'(t)$ , and the computations of the limits of  $f'(t)$  in (2.6). We have thus proved the following.



**Corollary 2.3.** *Under the assumptions as above, we have*

$$\chi(\mathbb{R}^4) - \frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx = \nu - \mu,$$

where

$$\nu := \lim_{t \rightarrow \infty} v'(t), \quad \mu := \lim_{t \rightarrow -\infty} v'(t) - 1.$$

To finish the proofs of both Theorem 1.1 and Theorem 1.4 in the rotationally symmetric case, we only need to prove (1.14) and (1.15), i.e. we need to relate  $v'(t)$  to the isoperimetric volume ratios.

**Lemma 2.4.** *We have*

$$\begin{aligned} \lim_{t \rightarrow \infty} v'(t) &= \lim_{t \rightarrow \infty} C_{k,\ell}(e^t), \\ \lim_{t \rightarrow -\infty} v'(t) &= \lim_{t \rightarrow -\infty} C_{k,\ell}(e^t), \end{aligned}$$

for any choice of  $1 \leq k < \ell \leq 4$ .

*Proof.* Letting  $H_1, H_2$  denote the first and second symmetric polynomial in the principal curvatures of  $\partial B_r(0)$  as in (1.11), a short computation yields

$$H_1 = 3e^{-w} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right), \quad H_2 = 3e^{-2w} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right)^2,$$

and hence

$$V_2(r) = \frac{1}{4} \int_{\partial B_r(0)} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right) e^{2w} d\sigma(x), \quad V_1(r) = \frac{1}{4} \int_{\partial B_r(0)} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right)^2 e^w d\sigma(x). \quad (2.9)$$

Substituting  $r = e^t$  (which implies  $dx = e^{4t} dt d\sigma_{\mathbb{S}^3}$  as well as  $d\sigma(x) = e^{3t} d\sigma_{\mathbb{S}^3}$  on  $\partial B_r(0)$ ) and  $v = w + t$ , we obtain from (1.11) and (2.9)

$$\begin{aligned} V_4(r) &= V_4(e^t) = \int_{-\infty}^t \int_{\mathbb{S}^3} e^{4v(s)} d\sigma_{\mathbb{S}^3} ds = |\mathbb{S}^3| \int_{-\infty}^t e^{4v(s)} ds, \\ V_3(r) &= V_3(e^t) = \frac{1}{4} \int_{\mathbb{S}^3} e^{3v(t)} d\sigma_{\mathbb{S}^3} = \frac{1}{4} |\mathbb{S}^3| e^{3v(t)}, \\ V_2(r) &= V_2(e^t) = \frac{1}{4} \int_{\mathbb{S}^3} v'(t) e^{2v(t)} d\sigma_{\mathbb{S}^3} = \frac{1}{4} |\mathbb{S}^3| v'(t) e^{2v(t)}, \\ V_1(r) &= V_1(e^t) = \frac{1}{4} \int_{\mathbb{S}^3} (v'(t))^2 e^{v(t)} d\sigma_{\mathbb{S}^3} = \frac{1}{4} |\mathbb{S}^3| (v'(t))^2 e^{v(t)}. \end{aligned} \quad (2.10)$$

It now follows directly from Definition 1.3 that

$$C_{2,3}(e^t) = C_{1,2}(e^t) = C_{1,3}(e^t) = v'(t). \quad (2.11)$$

This proves the lemma for all cases where  $\ell \neq 4$ . We thus turn to study  $C_{3,4}(e^t)$ . As  $g = e^{2w} |dx|^2$  is complete at infinity by assumption, we conclude that  $\lim_{t \rightarrow \infty} v'(t) \geq 0$ . If this limit is strictly positive, then both  $V_4(e^t)$  and  $V_3(e^t)$  tend to infinity as  $t \rightarrow \infty$  and we obtain from L'Hôpital's rule

$$\lim_{t \rightarrow \infty} C_{3,4}(e^t) = \lim_{t \rightarrow \infty} \frac{\frac{4}{3} \left( \frac{1}{4} |\mathbb{S}^3| e^{3v(t)} \right)^{1/3} \cdot \frac{3}{4} |\mathbb{S}^3| v'(t) e^{3v(t)}}{(\pi^2/2)^{1/3} |\mathbb{S}^3| e^{4v(t)}} = \lim_{t \rightarrow \infty} v'(t).$$

On the other hand, if  $\lim_{t \rightarrow \infty} v'(t) = 0$ , and  $V_4(e^t)$  stays bounded as  $t \rightarrow \infty$ , then  $\lim_{t \rightarrow \infty} e^{4v(t)} = 0$ , which implies  $\lim_{t \rightarrow \infty} e^{3v(t)} = 0$  and thus  $\lim_{t \rightarrow \infty} V_3(e^t) = 0$ . Hence we have again  $\lim_{t \rightarrow \infty} C_{3,4}(e^t) = 0 = \lim_{t \rightarrow \infty} v'(t)$ .

Similarly, if  $t \rightarrow -\infty$ , then  $V_4(e^t)$  and  $V_3(e^t)$  both approach zero, which can be seen as follows. Since  $V_4(r)$  is a finite integral (i.e.  $e^{4w(r)}$  is in  $L^1$  when integrating over the origin),  $V_4(r)$  must tend to zero if  $r \rightarrow 0$ , or equivalently  $V_4(e^t)$  tends to zero as  $t \rightarrow -\infty$ . Then, from formula (2.10), we obtain that  $e^{v(t)}$  converges to 0 as  $t \rightarrow -\infty$  (as otherwise  $V_4(e^t)$  would be infinite). Thus, again by (2.10), we obtain also  $V_3(e^t) \rightarrow 0$  as  $t \rightarrow -\infty$ . The result then follows also in this case from L'Hôpital's rule as above.

We have thus proved Lemma 2.4 for  $C_{3,4}(e^t)$  and thus, using (1.13) and (2.11), for all the remaining cases.  $\square$

### 3 Generalised Normal Metrics

In this section, we will define generalised normal metrics on  $\mathbb{R}^4 \setminus \{0\}$  as a generalisation of 2-dimensional complete normal metrics given by Finn [12]. In [21] a correspondence between finite area singular points and complete ends was discovered. We use this duality to define a finite area singular point.

**Definition 3.1** (Generalised normal metrics). *Suppose that  $e^{2w}|dx|^2$  is a metric on  $\mathbb{R}^4 \setminus \{0\}$  with finite total Paneitz  $Q$  curvature*

$$\int_{\mathbb{R}^4} |Q_g| e^{4w} dx < \infty.$$

We call  $g$  a generalised normal metric, if  $w$  has the expansion

$$w(x) = \frac{1}{4\pi^2} \int_{\mathbb{R}^4} \log\left(\frac{|y|}{|x-y|}\right) Q_g(y) e^{4w(y)} dy + \alpha \log|x| + C \quad (3.1)$$

for some constants  $\alpha, C \in \mathbb{R}$ . For such a generalised normal metric, we then define the averaged metric  $\bar{g} = e^{2\bar{w}}|dx|^2$  by

$$\bar{w}(r) := \int_{\partial B_r(0)} w(x) d\sigma(x) = \frac{1}{|\partial B_r(0)|} \int_{\partial B_r(0)} w(x) d\sigma(x). \quad (3.2)$$

Clearly,  $\bar{g}$  is a rotationally symmetric metric.

**Remark 3.2.** *Note that if we have a finite area metric, that is if  $\int_{B_R(0)} e^{4w(y)} dy < \infty$ , this implies that  $\alpha > -1$ .*

The main theorem of this section is the following.

**Theorem 3.3.** *Let  $g$  be a generalised normal metric on  $\mathbb{R}^4 \setminus \{0\}$  with averaged metric  $\bar{g}$  and define the mixed volumes  $V_k$  (with respect to  $g$ ) and  $\bar{V}_k$  (with respect to  $\bar{g}$ ) as in Definition 1.3. Then*

$$V_3(r) = \bar{V}_3(r)(1 + \varepsilon(r)), \quad (3.3)$$

$$\frac{d}{dr} V_4(r) = \frac{d}{dr} \bar{V}_4(r)(1 + \varepsilon(r)), \quad (3.4)$$

where  $\varepsilon(r) \rightarrow 0$  if either  $r \rightarrow 0$  or  $r \rightarrow \infty$ . Moreover, if the two limits

$$\lim_{r \rightarrow 0} \left(1 + r \frac{\partial \bar{w}}{\partial r}\right) \quad \text{and} \quad \lim_{r \rightarrow \infty} \left(1 + r \frac{\partial \bar{w}}{\partial r}\right)$$

both exist and are positive, then we have in addition that

$$V_1(r) = \bar{V}_1(r)(1 + \varepsilon(r)), \quad (3.5)$$

$$V_2(r) = \bar{V}_2(r)(1 + \varepsilon(r)), \quad (3.6)$$

where again  $\varepsilon(r) \rightarrow 0$  if  $r \rightarrow 0$  or  $r \rightarrow \infty$ .

In order to prove this theorem, we start with the following technical lemma.

**Lemma 3.4.** *Suppose that the metric  $e^{2w}|dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$  is a generalised normal metric. Then for any number  $k > 0$  we have that*

$$\int_{\partial B_r(0)} e^{kw} d\sigma(x) = e^{k\bar{w}(r)} e^{o(1)} \quad (3.7)$$

where  $o(1) \rightarrow 0$  as  $r \rightarrow 0$  and as  $r \rightarrow \infty$ .

*Proof.* The proof for  $r \rightarrow \infty$  was essentially covered in [6, Lemma 3.2]. Note that there the formula (3.7) is proved for *normal metrics* which differ from our definition of *generalised normal metrics* by our additional term  $\alpha \log|x|$  in (3.1). But this additional term, the fundamental solution of the bi-Laplacian, is rotationally symmetric and thus in equation (3.7),  $e^{\alpha \log|x|}$  appears on both sides and hence cancels. For this reason, we only need to prove the lemma for  $r \rightarrow 0$ .

Suppose that  $e^{2w}|dx|^2$  is a generalised normal metric. As in the last section, we denote  $F(y) = 2Q_g(y)e^{4w(y)}$  which by assumption is in  $L^1$ . Then, splitting up  $\mathbb{R}^4$  into three regions, we have

$$\begin{aligned} w(x) &= \frac{1}{8\pi^2} \int_{B_{|x|/2}(0)} \log\left(\frac{|y|}{|x-y|}\right) F(y) dy \\ &\quad + \frac{1}{8\pi^2} \int_{\mathbb{R}^4 \setminus B_{3|x|/2}(0)} \log\left(\frac{|y|}{|x-y|}\right) F(y) dy \\ &\quad + \frac{1}{8\pi^2} \int_{B_{3|x|/2}(0) \setminus B_{|x|/2}(0)} \log\left(\frac{|y|}{|x-y|}\right) F(y) dy + \alpha \log|x| + C \\ &= w_1(x) + w_2(x) + w_3(x) + \alpha \log|x| + C. \end{aligned}$$

As above,  $\alpha \log|x| + C$  is rotationally symmetric and thus in equation (3.7),  $e^{\alpha \log|x| + C}$  appears on both sides and hence cancels. Therefore, we need only concentrate on  $w_1(x)$ ,  $w_2(x)$  and  $w_3(x)$ . We first consider  $w_1(x)$ , which we can rewrite as follows

$$\begin{aligned} w_1(x) &= \frac{1}{8\pi^2} \int_{|y| \leq |x|/2} \log\left(\frac{|y|}{|x|}\right) F(y) dy + \frac{1}{8\pi^2} \int_{|y| \leq |x|/2} \log\left(\frac{|x|}{|x-y|}\right) F(y) dy \\ &= f(|x|) + w_1^0(x). \end{aligned}$$

As  $f(|x|)$  is another rotationally symmetric term, we need to study only  $w_1^0(x)$ . In order to do this, let  $\eta < \frac{1}{2}$ , and estimate

$$|w_1^0(x)| \leq C \left( \int_{|y| \leq \eta|x|} \left| \log \frac{|x|}{|x-y|} \right| |F(y)| dy + \int_{\eta|x| \leq |y| \leq \frac{1}{2}|x|} \left| \log \frac{|x|}{|x-y|} \right| |F(y)| dy \right).$$

For the first integral, note that  $|y| \leq \eta|x|$  implies

$$(1 - \eta)|x| \leq |x| - |y| \leq |x - y| \leq |x| + |y| \leq (1 + \eta)|x|,$$

and hence

$$\frac{1}{1 + \eta} \leq \frac{|x|}{|x - y|} \leq \frac{1}{1 - \eta}.$$

This yields

$$\left| \log \frac{|x|}{|x - y|} \right| \leq \max \left\{ \left| \log \frac{1}{1 + \eta} \right|, \left| \log \frac{1}{1 - \eta} \right| \right\} = \log \frac{1}{1 - \eta}.$$

In order to estimate the second integral, we use the bound  $|y| \leq \frac{1}{2}|x|$ , which by an analogous argument as above yields

$$\left| \log \frac{|x|}{|x - y|} \right| \leq \log \frac{1}{1 - \frac{1}{2}} = \log 2.$$

Combining these estimates and using  $\int_{\mathbb{R}^4} |F(y)| dy < \infty$ , we obtain

$$|w_1^0(x)| \leq C \log \frac{1}{1 - \eta} + \log 2 \int_{\eta|x| \leq |y| \leq \frac{1}{2}|x|} |F(y)| dy.$$

For  $|x| \rightarrow 0$  and  $\eta \rightarrow 0$ , both terms above tend to zero, using again  $\int_{\mathbb{R}^4} |F(y)| dy < \infty$ . This proves that

$$w_1^0(x) = o(1), \quad \text{as } |x| \rightarrow 0. \quad (3.8)$$

As a second step, we estimate  $w_2(x)$  by an argument which is dual to what we have just done. For  $\eta > \frac{3}{2}$ , we write

$$|w_2(x)| \leq C \left( \int_{|y| \geq \eta|x|} \left| \log \frac{|y|}{|x - y|} \right| |F(y)| dy + \int_{\eta|x| \geq |y| \geq \frac{3}{2}|x|} \left| \log \frac{|y|}{|x - y|} \right| |F(y)| dy \right).$$

To bound the first term, we notice that the inequality  $|y| \geq \eta|x|$  implies

$$(1 - \frac{1}{\eta})|y| \leq |y| - |x| \leq |x - y| \leq |y| + |x| \leq (1 + \frac{1}{\eta})|y|,$$

so that

$$\frac{1}{1 + \frac{1}{\eta}} \leq \frac{|y|}{|x - y|} \leq \frac{1}{1 - \frac{1}{\eta}}.$$

This gives

$$\left| \log \frac{|y|}{|x - y|} \right| \leq \max \left\{ \left| \log \frac{\eta}{\eta - 1} \right|, \left| \log \frac{\eta}{\eta + 1} \right| \right\} = \log \frac{\eta}{\eta - 1}.$$

Similarly, we estimate the second term, with  $\eta$  now replaced by  $\frac{3}{2}$ , which gives

$$\left| \log \frac{|y|}{|x - y|} \right| \leq \log \frac{\frac{3}{2}}{\frac{3}{2} - 1} = \log 3.$$

Combining these estimates and using  $\int_{\mathbb{R}^4} |F(y)| dy < \infty$ , we obtain

$$|w_2(x)| \leq C \log \frac{\eta}{\eta - 1} + \log 3 \int_{\eta|x| \geq |y| \geq \frac{3}{2}|x|} |F(y)| dy.$$

For  $|x| \rightarrow 0$ , we then send  $\eta \rightarrow \infty$  slow enough such that  $\eta|x| \rightarrow 0$ , in which case both terms above tend to zero, using again  $\int_{\mathbb{R}^4} |F(y)| dy < \infty$ . This proves that

$$w_2(x) = o(1), \quad \text{as } |x| \rightarrow 0. \quad (3.9)$$

As a third step, we consider the term

$$\begin{aligned} \bar{w}_3(r) &= \int_{\partial B_r(0)} w_3(x) d\sigma(x) \\ &= \frac{1}{8\pi^2} \int_{\frac{r}{2} \leq |y| \leq \frac{3r}{2}} \left( \int_{\partial B_r(0)} \log \frac{|y|}{|x-y|} d\sigma(x) \right) F(y) dy \\ &= \frac{1}{8\pi^2} \int_{\frac{r}{2} \leq |y| \leq \frac{3r}{2}} I(y) F(y) dy \end{aligned}$$

We claim that the inner integral

$$\begin{aligned} |I(y)| &= \left| \int_{\partial B_r(0)} \log \frac{|y|}{|x-y|} d\sigma(x) \right| \\ &\leq \frac{1}{|\partial B_r(0)|} \int_{\partial B_r(0) \setminus \{x: |x-y| < \frac{1}{3}|y|\}} \left| \log \frac{|y|}{|x-y|} \right| d\sigma(x) \\ &\quad + \frac{1}{|\partial B_r(0)|} \int_{\partial B_r(0) \cap \{x: |x-y| < \frac{1}{3}|y|\}} \left| \log \frac{|y|}{|x-y|} \right| d\sigma(x) \\ &= I_1(y) + I_2(y) \end{aligned}$$

is uniformly bounded on the annular region  $\frac{r}{2} \leq |y| \leq \frac{3r}{2}$ . To estimate  $I_1(y)$ , note that on the region over which we integrate we have

$$\frac{1}{3}|y| \leq |x-y| \leq |x| + |y| \leq 3|y|,$$

giving  $\frac{1}{3} \leq \frac{|y|}{|x-y|} \leq 3$  and hence  $I_1(y) \leq \log 3$ . For the second integral, we have

$$I_2(y) \leq \frac{1}{|\partial B_{\frac{r}{|y|}}(0)|} \int_{\partial B_{\frac{r}{|y|}}(0) \cap \{x: |x-\frac{y}{|y|}| < \frac{1}{3}\}} \left| \log \frac{1}{|x-\frac{y}{|y|}|} \right| d\sigma(x).$$

Now, as  $\frac{r}{2} \leq |y| \leq \frac{3r}{2}$ , we have  $\frac{2}{3} \leq \frac{r}{|y|} \leq 2$ . Thus, as  $\log \frac{1}{|x-\frac{y}{|y|}|}$  is integrable, we see that  $I_2(y)$  is uniformly bounded as well, and hence  $|I(y)|$  is uniformly bounded for  $\frac{r}{2} \leq |y| \leq \frac{3r}{2}$  as claimed. The assumption of finite total  $Q$  curvature then implies

$$\int_{\partial B_r(0)} w_3(x) d\sigma(x) = o(1), \quad \text{as } r \rightarrow 0. \quad (3.10)$$

Using (3.8), (3.9) and (3.10) as well as Jensen's inequality, we obtain for  $r \rightarrow 0$

$$\begin{aligned} k \int_{\partial B_r(0)} w(x) d\sigma(x) &= \int_{\partial B_r(0)} k(w(x) - w_3(x)) d\sigma(x) + o(1) \\ &= \log \left( \int_{\partial B_r(0)} e^{k(w(x) - w_3(x))} d\sigma(x) \right) + o(1). \end{aligned} \quad (3.11)$$

Finally as a fourth and last step, we estimate the term

$$\int_{\partial B_r(0)} (e^{w_3(x)} - 1) d\sigma(x) = \int_{\partial B_1(0)} (e^{w_3(rx)} - 1) d\sigma(x).$$

Following [12], this can be done by estimating  $E_M = \{\sigma \in \mathbb{S}^3 : |w_3(r\sigma)| > M\}$ . Similar to the above, we have

$$\begin{aligned} M \cdot |E_M| &\leq \int_{E_M} |w_3| d\sigma \leq \frac{1}{8\pi^2} \int_{B_{3r/2}(0) \setminus B_{r/2}(0)} \left( \int_{E_M} \left| \log \frac{|y|}{|r\sigma - y|} \right| d\sigma \right) |F(y)| dy \\ &= \frac{1}{8\pi^2} \int_{\frac{r}{2} \leq |y| \leq \frac{3r}{2}} J(y) |F(y)| dy. \end{aligned}$$

As above, we have to estimate the inner integral

$$\begin{aligned} J(y) &= \int_{E_M \setminus \{\sigma : |r\sigma - y| \leq \frac{|y|}{3}\}} \left| \log \frac{|y|}{|r\sigma - y|} \right| d\sigma + \int_{E_M \cap \{\sigma : |r\sigma - y| \leq \frac{|y|}{3}\}} \left| \log \frac{|y|}{|r\sigma - y|} \right| d\sigma \\ &= J_1(y) + J_2(y). \end{aligned}$$

Clearly we have the estimate  $J_1(y) \leq \log 3 \cdot |E_M|$ . We estimate the term  $J_2(y)$  as follows. Observe that if we have  $|r\sigma - y| \leq \frac{|y|}{3}$  then

$$\log \left| \frac{|y|}{|r\sigma - y|} \right| \leq \left| \log \frac{|y|}{r} \right| + \left| \log \left| \sigma - \frac{y}{r} \right| \right| \leq \log \frac{3}{2} + \left| \log \left| \sigma - \frac{y}{r} \right| \right|.$$

We can thus bound  $J_2(y)$  by the situation where  $E_M$  is a 3-dimensional disc centred at  $\frac{y}{r}$  orthogonal to  $y$ , in which case we obtain

$$J_2(y) \leq C|E_M| + C|E_M| \log \frac{1}{|E_M|} \leq C \left( 1 + \log \frac{1}{|E_M|} \right) |E_M|.$$

Combining these estimates, we get

$$M \leq o(1) \left( 1 + \log \frac{1}{|E_M|} \right),$$

where  $o(1) \rightarrow 0$  as  $r \rightarrow 0$ . This implies

$$|E_M| \leq C e^{-M/o(1)},$$

and thus

$$\left| \int_{\partial B_r(0)} (e^{kw_3(x)} - 1) d\sigma(x) \right| = \frac{k}{|\partial B_1(0)|} \int_{-\infty}^{+\infty} (e^{kM} - 1) |E_M| dM = o(1), \quad (3.12)$$

as  $r \rightarrow 0$ . Combining the estimates (3.11) and (3.12), we have

$$k\bar{w}(r) = k \int_{\partial B_r(0)} w(x) d\sigma(x) = \log \left( \int_{\partial B_r(0)} e^{kw(x)} d\sigma(x) \right) + o(1),$$

which is equivalent to (3.7), thus finishing the proof of Lemma 3.4  $\square$

We continue with a second technical lemma.

**Lemma 3.5.** *Suppose that  $e^{2w}|dx|^2$  is a generalised normal metric on  $\mathbb{R}^4 \setminus \{0\}$ . Then, we have that*

$$\int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} \right)^k d\sigma(x) = O\left(\frac{1}{r^k}\right), \quad \text{for } k = 1, 2, 3, \quad (3.13)$$

and

$$\int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} \right)^2 d\sigma(x) = \left( \frac{\partial \bar{w}}{\partial r} \right)^2(r) + o\left(\frac{1}{r^2}\right), \quad (3.14)$$

for  $r \rightarrow 0$  as well as for  $r \rightarrow \infty$ .

*Proof.* The case  $r \rightarrow \infty$  was essentially covered by Chang-Qing-Yang [6, Lemma 3.4], where the result is proved for normal metrics whose conformal factor  $w(x)$  differs from ours – as above – by our additional term  $\alpha \log|x|$  in (3.1). However, it is easy to extend their result to our situation, noting that  $\alpha \log|x|$  is rotationally symmetric with derivative  $\partial_r(\alpha \log|x|) = \frac{\alpha}{r}$ . We thus have to prove the lemma only for  $r \rightarrow 0$ .

First, observe that we have

$$\frac{\partial \bar{w}}{\partial r}(r) = \int_{\partial B_r(0)} \frac{\partial w}{\partial r} d\sigma(x).$$

Using our definition of generalised normal metrics, we see that

$$\frac{\partial w}{\partial r}(x) = \int_{\mathbb{R}^4} -K(x, y)F(y)dy + \frac{\alpha}{r}$$

where  $K(x, y) = \frac{1}{8\pi^2} \partial_r \log|x - y|$  and  $F(y) = 2Q_g(y)e^{4w(y)}$  as before. Recall that  $F(y)$  is integrable over  $\mathbb{R}^4$  by our assumption on finite total  $Q$  curvature. Computing  $K(x, y)$ , we get that

$$\partial_r \frac{1}{8\pi^2} \log|x - y| = \frac{1}{8\pi^2} \left\langle \frac{\nabla_x |x - y|}{|x - y|}, \frac{x}{|x|} \right\rangle = \frac{1}{8\pi^2} \left\langle \frac{x - y}{|x - y|^2}, \frac{x}{|x|} \right\rangle = \frac{1}{8\pi^2} \frac{|x|^2 - x \cdot y}{|x||x - y|^2}.$$

Then, we have that

$$\begin{aligned} & \left| \int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} \right)^k d\sigma(x) \right| \\ & \leq C \left( \int_{\mathbb{R}^4} \left( \int_{\partial B_r(0)} |K(x, y)|^k d\sigma(x) \right) |F(y)| dy \right) \left( \int_{\mathbb{R}^4} |F(y)| dy \right)^{k-1} + C \frac{|\alpha|^k}{r^k}. \end{aligned}$$

Hence, it suffices to prove that for  $k = 1, 2, 3$ ,

$$\int_{\partial B_r(0)} |K(x, y)|^k d\sigma(x) = O\left(\frac{1}{r^k}\right), \quad \forall y \in \mathbb{R}^4.$$

In order to verify this estimate, we use the following

$$2(|x|^2 - x \cdot y) = |x - y|^2 + |x|^2 - |y|^2. \quad (3.15)$$

Then we need only verify that for all  $y \in \mathbb{R}^4$

$$\int_{\partial B_r(0)} \frac{||x|^2 - |y|^2|^3}{|x - y|^6} d\sigma(x) \leq C \quad (3.16)$$

for some constant independent of  $y$ . Using the homogeneity of the integrand, we only need to consider this integral for  $r = 1$ . Hence, assuming  $|x| = 1$ , if we suppose for  $\delta > 0$  that  $|y| \leq 1 - \delta$  or  $|y| \geq 1 + \delta$  then  $||x| - |y|| \leq |x - y|$  implies

$$\frac{1}{|x - y|} \leq \frac{1}{|1 - |y||}$$

so that

$$\frac{||x|^2 - |y|^2|^3}{|x - y|^6} \leq \frac{|1 - |y|^2|^3}{|1 - |y||^6} \leq \frac{|1 + |y||^3}{|1 - |y||^3} \leq C(\delta).$$

This yields (3.16) for these  $y$ . Moreover, for  $|y| \in (1 - \delta, 1) \cup (1, 1 + \delta)$ , we have the calculus inequality

$$\int_{\partial B_1(0)} \frac{d\sigma(x)}{|x - y|^6} \leq \frac{C}{|1 - |y||^3},$$

which yields (3.16) also for the remaining cases and hence establishes (3.13).

To prove (3.14), we note that  $\frac{1}{|x - y|^2}$  is the Green's function of the Laplacian on  $\mathbb{R}^4$ , so

$$\Delta \frac{1}{|x - y|^2} = C\delta_y(x).$$

If  $|y| \leq r$ , this implies

$$\int_{\partial B_r(0)} \partial_r \frac{1}{|x - y|^2} d\sigma(x) = \int_{B_r(0)} \Delta \frac{1}{|x - y|^2} dx = \int_{B_r(0)} C\delta_y(x) dx = C.$$

Thus, we see that

$$\partial_r \int_{\partial B_r(0)} \frac{1}{|x - y|^2} d\sigma(x) = \int_{\partial B_r(0)} \partial_r \frac{1}{|x - y|^2} d\sigma(x) = \frac{C}{|\partial B_r(0)|} = \frac{C}{2\pi^2 r^3}.$$

Therefore, we must have

$$\int_{\partial B_r(0)} \frac{1}{|x - y|^2} d\sigma(x) = -\frac{C}{4\pi^2 r^2} + D,$$

for some constants  $C$  and  $D$ . As we have  $|y| \leq r$ , we may let  $y = 0$ , which implies that

$$\frac{1}{r^2} = -\frac{C}{4\pi^2 r^2} + D,$$

hence  $D = 0$  and  $-\frac{C}{4\pi^2} = 1$ . Similarly, if  $|y| \geq r$  we have that

$$\partial_r \int_{\partial B_r(0)} \frac{1}{|x - y|^2} d\sigma(x) = 0$$



and hence

$$\int_{\partial B_r(0)} \frac{1}{|x-y|^2} d\sigma(x) = C.$$

We may then let  $|x| \rightarrow 0$  to conclude  $C = \frac{1}{|y|^2}$ . Altogether, we have proved

$$\int_{\partial B_r(0)} \frac{1}{|x-y|^2} d\sigma(x) = \begin{cases} \frac{1}{r^2}, & \text{if } |y| \leq r, \\ \frac{1}{|y|^2}, & \text{if } |y| > r. \end{cases} \quad (3.17)$$

It follows that we can write

$$\frac{\partial \bar{w}}{\partial r}(r) = \int_{\mathbb{R}^4} -\bar{K}(x, y) F(y) dy$$

where (3.15) yields

$$\bar{K}(x, y) = \int_{\partial B_r(0)} \frac{|x|^2 - x \cdot y}{8\pi^2 |x| |x-y|^2} d\sigma(x) = \frac{1}{16\pi^2 r} \int_{\partial B_r(0)} 1 + \frac{r^2 - |y|^2}{|x-y|^2} d\sigma(x).$$

For  $|y| \leq r$ , we then obtain from (3.17)

$$\bar{K}(x, y) = \frac{1}{16\pi^2 r} \left( 1 + \frac{r^2 - |y|^2}{r^2} \right) = \frac{1}{16\pi^2 r} \left( 2 - \frac{|y|^2}{r^2} \right).$$

Moreover, for  $|y| > r$ , (3.17) yields

$$\bar{K}(x, y) = \frac{1}{16\pi^2 r} \left( 1 + \frac{r^2 - |y|^2}{|y|^2} \right) = \frac{1}{16\pi^2 r} \left( \frac{r^2}{|y|^2} \right).$$

Together, we have

$$\bar{K}(x, y) = \begin{cases} \frac{1}{16\pi^2 r} \left( 2 - \frac{|y|^2}{r^2} \right), & \text{if } |y| \leq r, \\ \frac{r}{16\pi^2 |y|^2}, & \text{if } |y| > r. \end{cases} \quad (3.18)$$

We can then estimate

$$\begin{aligned} & \int_{\partial B_r(0)} \left| \left( \frac{\partial w}{\partial r} \right)^2 - \left( \frac{\partial \bar{w}}{\partial r} \right)^2 \right| d\sigma(x) \\ & \leq \int_{\mathbb{R}^4} |F(y)| dy \cdot \int_{\mathbb{R}^4} \left( \int_{\partial B_r} |K(x, y) - \bar{K}(x, y)|^2 d\sigma(x) \right) |F(y)| dy \end{aligned}$$

using

$$|K(x, y) - \bar{K}(x, y)| = \begin{cases} \frac{1}{16\pi^2 |x|} \left| \frac{(|y|^2 - |x|^2)(|x-y|^2 - |x|^2)}{|x-y|^2 |x|^2} \right|, & \text{if } |y| < |x|, \\ \frac{1}{16\pi^2 |x|} \left| \frac{(|y|^2 - |x|^2)(|x-y|^2 - |y|^2)}{|x-y|^2 |y|^2} \right|, & \text{if } |y| > |x|. \end{cases}$$

Note first that

$$\int_{\partial B_r(0)} |K(x, y) - \bar{K}(x, y)|^2 d\sigma(x) = O\left(\frac{1}{|x|^2}\right), \quad \text{as } |x| \rightarrow 0 \text{ and } |y| \leq |x|^{1/2}.$$

Moreover, if  $|y| \geq |x|^{1/2}$ , which implies that  $|y| > |x|$  if  $|x| \rightarrow 0$ , we get that

$$\begin{aligned} |K(x, y) - \bar{K}(x, y)| &\leq C|x| \left| \frac{1}{|x-y|^2} - \frac{1}{|x|^2} \right| \leq \frac{1}{16\pi^2|x|} |y|^2 \left| \frac{1}{|y|^2} - \frac{1}{|x-y|^2} \right| \\ &\leq \frac{1}{16\pi^2|x|} \left| 1 - \frac{1}{\left| \frac{x}{|y|} - \frac{y}{|y|} \right|^2} \right| \\ &= o\left(\frac{1}{|x|}\right). \end{aligned}$$

The last step follows from the fact that  $|y| \geq |x|^{1/2}$  implies  $|x|^{1/2} \geq \frac{|x|}{|y|} \rightarrow 0$  as  $|x| \rightarrow 0$ . Finally, combining the various estimates, we have that

$$\begin{aligned} &\int_{\partial B_r(0)} \left| \left( \frac{\partial w}{\partial r} \right)^2 - \left( \frac{\partial \bar{w}}{\partial r} \right)^2 \right| d\sigma(x) \\ &= \int_{|y| \leq |x|^{1/2}} O\left(\frac{1}{|x|^2}\right) |F(y)| dy + \int_{|y| \geq |x|^{1/2}} o\left(\frac{1}{|x|^2}\right) |F(y)| dy = o\left(\frac{1}{|x|^2}\right). \quad \square \end{aligned}$$

Using the two technical lemmas, we can now prove Theorem 3.3.

*Proof of Theorem 3.3.* The formulas (3.3) and (3.4) follow immediately from Lemma 3.4. It thus remains to prove (3.5) and (3.6). From (2.9), we know that

$$V_2(r) = \frac{1}{4} \int_{\partial B_r(0)} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right) e^{2w} d\sigma(x),$$

hence

$$\begin{aligned} V_2(r) - \bar{V}_2(r) &= \frac{1}{4} \left( \frac{1}{r} + \frac{\partial \bar{w}}{\partial r} \right) \int_{\partial B_r(0)} (e^{2w} - e^{2\bar{w}}) d\sigma(x) \\ &\quad + \frac{1}{4} \int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} - \frac{\partial \bar{w}}{\partial r} \right) (e^{2w} - e^{2\bar{w}}) d\sigma(x). \end{aligned}$$

Applying the previous Lemmas 3.4 and 3.5 we see that

$$\begin{aligned} V_2(r) - \bar{V}_2(r) &= \bar{V}_2(r) o(1) + \left( \int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} - \frac{\partial \bar{w}}{\partial r} \right)^2 d\sigma(x) \right)^{1/2} \left( \int_{\partial B_r(0)} (e^{2w} - e^{2\bar{w}})^2 d\sigma(x) \right)^{1/2} \\ &= \bar{V}_2(r) o(1) + |\partial B_r(0)| \cdot \frac{1}{r} \cdot e^{2\bar{w}} o(1), \end{aligned}$$

which implies (3.6) under the assumption that  $\lim_{r \rightarrow 0} (1 + r \frac{\partial \bar{w}}{\partial r}) > 0$  or  $\lim_{r \rightarrow \infty} (1 + r \frac{\partial \bar{w}}{\partial r}) > 0$ , respectively. Similarly, using again (2.9), we have that

$$V_1(r) = \frac{1}{4} \int_{\partial B_r(0)} \left( \frac{1}{r} + \frac{\partial w}{\partial r} \right)^2 e^w d\sigma(x),$$

and thus

$$\begin{aligned} V_1(r) - \bar{V}_1(r) &= \frac{1}{4} \left( \frac{1}{r} + \frac{\partial \bar{w}}{\partial r} \right)^2 \int_{\partial B_r(0)} (e^w - e^{\bar{w}}) d\sigma(x) \\ &\quad + \frac{1}{2r} \int_{\partial B_r(0)} \left( \frac{\partial w}{\partial r} - \frac{\partial \bar{w}}{\partial r} \right) (e^w - e^{\bar{w}}) d\sigma(x) \\ &\quad + \frac{1}{4} \int_{\partial B_r(0)} \left( \left( \frac{\partial w}{\partial r} \right)^2 - \left( \frac{\partial \bar{w}}{\partial r} \right)^2 \right) e^w d\sigma(x). \end{aligned}$$

Applying the Hölder inequality and the Lemmas 3.4 and 3.5, we get that

$$V_1(r) - \bar{V}_1(r) = \bar{V}_1(r) o(1) + |\partial B_r(0)| \frac{1}{r^2} e^{\bar{w}} o(1),$$

which implies (3.5) under the assumption that  $\lim_{r \rightarrow 0} (1 + r \frac{\partial \bar{w}}{\partial r}) > 0$  or  $\lim_{r \rightarrow \infty} (1 + r \frac{\partial \bar{w}}{\partial r}) > 0$ , respectively. Hence the Theorem is proved.  $\square$

Another direct consequence of Lemma 3.4 (with  $k = 4$ ) is the following.

**Corollary 3.6.** *Suppose that  $e^{2w}|dx|^2$  is a complete generalised normal metric on  $\mathbb{R}^4 \setminus \{0\}$  with finite area over the origin. Then its averaged metric  $e^{2\bar{w}(r)}|dx|^2$  is also a complete metric with finite area over the origin.*

Before proving our main theorems for generalised normal metrics, we need one more estimate. We note that in Lemma 2.2, the condition of non-negative scalar curvature could be replaced with  $v''(t) = O(1)$  as  $t \rightarrow \pm\infty$ . We prove the corresponding estimate for a generalised normal metric and its symmetrisation.

**Lemma 3.7.** *Let  $e^{2w}|dx|^2$  be a generalised normal metric on  $\mathbb{R}^4 \setminus \{0\}$ . Then we have*

$$\Delta \bar{w}(r) \leq \frac{C}{r^2}$$

for some constant  $C \in \mathbb{R}$ .

*Proof.* We note that

$$\Delta \bar{w}(r) = \Delta \int_{\partial B_r(0)} w(x) d\sigma(x) = \int_{\partial B_r(0)} \Delta w(x) d\sigma(x).$$

Thus as  $g$  is a generalised normal metric, we obtain

$$\Delta \bar{w}(r) = \frac{1}{4\pi^2} \int_{\mathbb{R}^4} \left( 2 \int_{\partial B_r(0)} \frac{1}{|x-y|^2} d\sigma(x) \right) Q_g(y) e^{4w(y)} dy + \frac{2\alpha}{r^2}.$$

By equation (3.17), we see that

$$\Delta \bar{w}(r) \leq \frac{1}{2\pi^2 r^2} \int_{\mathbb{R}^4} |Q_g(y)| e^{4w(y)} dy + \frac{2\alpha}{r^2} \leq \frac{C}{r^2},$$

using the assumption of finite total  $Q$  curvature in the last step. This establishes the lemma.  $\square$

Now, we write  $\bar{w}(r) = \bar{w}(e^t)$  and  $v(t) = \bar{w}(e^t) + t$  as in the previous section. Remember that we verified there that

$$v''''(t) - 4v''(t) = 2 \int_{\partial B_r(0)} Q_g e^{4v} d\sigma = F(t), \quad -\infty < t < \infty,$$

with

$$\int_{-\infty}^{\infty} F(t) dt = \frac{2}{|\mathbb{S}^3|} \int_{\mathbb{R}^4} Q_g e^{4w} dx = \frac{1}{\pi^2} \int_{\mathbb{R}^4} Q_g e^{4w} dx < \infty$$

and

$$\int_{-\infty}^{\infty} |F(t)| dt \leq \frac{1}{\pi^2} \int_{\mathbb{R}^4} |Q_g| e^{4w} dx < \infty.$$

We then get a proof of our main theorems for generalised normal metrics.

*Proof of Theorem 1.1 and 1.4 for generalised normal metrics.* Let  $g$  be a generalised normal metric and write its averaged metric  $\bar{g} = e^{2\bar{w}(r)} |dx|^2$  in spherical co-ordinates. Then, as we have seen in the previous section,

$$\lim_{t \rightarrow \infty} v'(t) - \lim_{t \rightarrow -\infty} v'(t) = -\frac{1}{4\pi^2} \int_{\mathbb{R}^4} Q_{\bar{g}} e^{4\bar{w}} dx. \quad (3.19)$$

Note that  $\bar{g} = e^{2\bar{w}} |dx|^2$  is conformally flat and  $\bar{w}(r) = \int_{\partial B_r(0)} w(x) d\sigma(x)$ , so that

$$2Q_{\bar{g}} e^{4\bar{w}}(r) = \Delta^2 \bar{w}(r) = \int_{\partial B_r(0)} \Delta^2 w(x) d\sigma(x) = \int_{\partial B_r(0)} 2Q_g e^{4w} d\sigma(x).$$

This then implies

$$\int_{\mathbb{R}^4} Q_{\bar{g}} e^{4\bar{w}} dx = \int_{\mathbb{R}^4} Q_g e^{4w} dx. \quad (3.20)$$

Applying the asymptotic estimates of  $V_3$  and  $V_4$ , we get that

$$\lim_{r \rightarrow 0} \frac{\left( \int_{\partial B_r(0)} e^{3w} d\sigma(x) \right)^{4/3}}{\int_{B_r(0)} e^{4w} dx} = \lim_{r \rightarrow 0} \frac{|\mathbb{S}^3|^{4/3} e^{4\bar{w}} r^4}{V_4(r)} \quad (3.21)$$

as well as

$$\lim_{r \rightarrow \infty} \frac{\left( \int_{\partial B_r(0)} e^{3w} d\sigma(x) \right)^{4/3}}{\int_{B_r(0)} e^{4w} dx} = \lim_{r \rightarrow \infty} \frac{|\mathbb{S}^3|^{4/3} e^{4\bar{w}} r^4}{V_4(r)}. \quad (3.22)$$

Plugging (3.20)–(3.22) into (3.19), using also Lemma 2.4, we obtain a proof of Theorem 1.1 in the special case of generalised normal metrics. The same reasoning proves Theorem 1.4 for this type of metrics, using Theorem 3.3 with equations (3.5), (3.6).  $\square$

## 4 Singularity Removal Theorem

We show that every metric  $g = e^{2w} |dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$  which is complete at infinity and has finite area over the origin and satisfies (1.6) and (1.7) is a generalised normal metric as in Definition 3.1. Therefore, by the previous section, the generalised Chern-Gauss-Bonnet formula (Theorem 1.1) as well as Theorem 1.4 holds for any such metric.

**Theorem 4.1.** *Suppose that the metric  $(\mathbb{R}^4 \setminus \{0\}, e^{2w}|dx|^2)$  is a complete finite area metric with finite total  $Q$  curvature,  $\int_{\mathbb{R}^4} |Q_g| e^{4w} dx < \infty$ , and scalar curvature non-negative at infinity and at the origin. Then it is a generalised normal metric.*

*Proof.* First, let us denote

$$v(x) = \frac{1}{4\pi^2} \int_{\mathbb{R}^4} \log \frac{|y|}{|x-y|} Q_g(y) e^{4w(y)} dy,$$

and define  $\psi(x) = w(x) - v(x)$ . We then note that  $\Delta^2 \psi = 0$  on  $\mathbb{R}^4 \setminus \{0\}$ . In particular, we see that  $\Delta \psi$  is harmonic on  $\mathbb{R}^4 \setminus \{0\}$ . The transformation formula for the scalar curvature gives

$$\Delta w + |\nabla w|^2 = -\frac{R_g}{6} e^{2w},$$

where  $R_g$  is the scalar curvature for the metric  $g = e^{2w}|dx|^2$ . As  $\Delta \psi$  is harmonic on  $\mathbb{R}^4 \setminus \{0\}$ , by the mean value equality

$$\begin{aligned} \Delta \psi(x_0) &= \int_{\partial B_r(x_0)} \Delta \psi(x) d\sigma(x) \\ &= - \int_{\partial B_r(x_0)} \left( |\nabla w|^2 + \frac{R_g}{6} \right) d\sigma(x) - \int_{\partial B_r(x_0)} \Delta v(x) d\sigma(x), \end{aligned}$$

if  $\partial B_r(x_0) \subset \mathbb{R}^4 \setminus \{0\}$ . Hence, for sufficiently large and sufficiently small  $|x_0|$ , we see that  $R_g \geq 0$  and therefore the first term is non-positive. For the second term, by an argument similar to the one from Lemma 3.7, we have that

$$\begin{aligned} \left| \int_{\partial B_r(x_0)} \Delta v(x) d\sigma(x) \right| &= \frac{1}{2\pi^2} \int_{\mathbb{R}^4} \left( \int_{\partial B_1(0)} \frac{1}{|r\sigma + x_0 - y|^2} d\sigma \right) Q_g(y) e^{4w(y)} dy \\ &\leq \frac{1}{2\pi^2 r^2} \int_{\mathbb{R}^4} |Q_g(y)| e^{4w(y)} dy. \end{aligned}$$

Hence taking  $r = \frac{|x_0|}{2}$ , we see that

$$\Delta \psi(x_0) \leq \frac{C}{|x_0|^2}$$

for all  $x_0 \in \mathbb{R}^4 \setminus \{0\}$  and hence

$$\Delta \left( \psi(x_0) + \frac{C}{2} \log|x_0| \right) \leq 0.$$

This means that  $(\psi(x) + \frac{C}{2} \log|x|)$  is a sub-harmonic and biharmonic function on  $\mathbb{R}^4 \setminus \{0\}$ , i.e.  $\Delta(\psi(x) + \frac{C}{2} \log|x|)$  is harmonic and non-positive. Then by Bôcher's Theorem, see [2, Thm 3.9], it follows that

$$\Delta \left( \psi(x) + \frac{C}{2} \log|x| \right) = \beta \frac{1}{|x|^2} + b(x)$$

where  $\beta \leq 0$  and  $b(x)$  is a harmonic function on  $\mathbb{R}^4$ . Hence, we find that

$$h(x) = \psi(x) + \frac{C + \beta}{2} \log|x| = w - v - \alpha \log|x|$$

is a biharmonic function on  $\mathbb{R}^4$  and hence smooth. We now show that  $h$  is in fact constant. As  $\Delta h$  is harmonic, we get by the mean value theorem

$$\begin{aligned}\Delta h(x_0) &= \int_{\partial B_r(x_0)} \Delta h(x) d\sigma(x) \\ &= - \int_{\partial B_r(x_0)} \left( |\nabla w|^2 + \frac{R_g}{6} \right) d\sigma - \int_{\partial B_r(x_0)} \Delta v(x) d\sigma(x) - \frac{2\alpha}{r^2}.\end{aligned}\tag{4.1}$$

By an argument as above, we obtain

$$\Delta h(x_0) \leq 0,$$

which shows that  $\Delta h = C_0$  for some non-positive constant by Liouville's theorem for harmonic functions. Therefore any partial derivative of  $h$  is harmonic,  $\Delta h_{x_i} = 0$ . Using once more the mean value equality, we get

$$|h_{x_i}(x_0)|^2 = \left| \int_{\partial B_r(x_0)} h_{x_i} d\sigma \right|^2 \leq \frac{1}{|\partial B_r(x_0)|^2} \int_{\partial B_r(x_0)} |\nabla h|^2 d\sigma.$$

We also have the estimate

$$|\nabla h|^2 \leq 4|\nabla w|^2 + 4|\nabla v|^2 + \frac{4\alpha^2}{|x|^2} = -4C_0 - \frac{2R_g}{3} e^{2w} + 4|\nabla v|^2 + \frac{C}{|x|^2}$$

and

$$|\nabla v|^2 \leq C \left( \int_{\mathbb{R}^4} \frac{1}{|x-y|^2} |Q_g| e^{4w} dy \right) \left( \int_{\mathbb{R}^4} |Q_g| e^{4w} dy \right) \leq C \int_{\mathbb{R}^4} \frac{1}{|x-y|^2} |Q_g| e^{4w} dy.$$

Hence, we can conclude that for each  $x_0 \in \mathbb{R}^4$

$$|h_{x_i}|^2 \leq -4C_0$$

and, again by Liouville's theorem, the derivatives of  $h$  are constant which implies that  $\Delta h = C_0 = 0$ . Finally, this implies that the partial derivatives of  $h$  vanish and  $h$  is a constant.  $\square$

## 5 Localised versions and the manifold case

The goal of this section is to prove our main result, Theorem 1.6. This is achieved by localising the result from Theorem 1.1, see Theorem 5.1 and Theorem 5.2 below.

Recall from Chang-Qing [5] that on a smooth and compact manifold *with boundary*, the 4-dimensional Chern-Gauss-Bonnet formula (1.1) has to be corrected with an additional boundary term. It reads

$$\chi(M) = \frac{1}{4\pi^2} \int_M \left( \frac{1}{8} |W_g|^2 + Q_g \right) dV_g + \frac{1}{4\pi^2} \int_{\partial M} (L_g + T_g) d\sigma_g, \tag{5.1}$$

where analogous to the Weyl term,  $L_g d\sigma_g$  is a point-wise conformal invariant vanishing on Euclidean space and  $T_g$  is the boundary curvature invariant given by

$$T_g := -\frac{1}{12} \partial_N R_g + \frac{1}{6} R_g H - R_{akbk} A_{ab} + \frac{1}{9} H^3 - \frac{1}{3} \text{tr} A^3 + \frac{1}{3} \tilde{\Delta} H,$$

where  $A$  and  $H$  denote the second fundamental form and the mean curvature of the boundary, respectively,  $\partial_N$  denotes the unit inward normal derivative and  $\tilde{\Delta}$  the boundary Laplacian. In [7], Chang-Qing-Yang proved the following Theorem.

**Theorem 5.1** (Local Chern-Gauss-Bonnet formula for an end, Theorem 1 in [7]). *Suppose  $(E, g) = (\mathbb{R}^4 \setminus B, e^{2w}|dx|^2)$  is a complete conformal metric with non-negative scalar curvature at infinity and finite total  $Q$  curvature. Then*

$$\frac{1}{4\pi^2} \int_{\partial B} T_g e^{3w} d\sigma(x) - \frac{1}{4\pi^2} \int_{\mathbb{R}^4 \setminus B} Q_g e^{4w} dx = \lim_{r \rightarrow \infty} \frac{\text{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \text{vol}_g(B_r(0) \setminus B)}. \quad (5.2)$$

Localising our Theorem 1.1, we prove the following dual result for finite area singular points.

**Theorem 5.2** (Local Chern-Gauss-Bonnet formula for a singular region). *Suppose that  $(S \setminus \{p\}, g) = (B \setminus \{0\}, e^{2w}|dx|^2)$  has finite area, non-negative scalar curvature near the origin, and finite total  $Q$  curvature. Then*

$$\frac{1}{4\pi^2} \int_{\partial B} T_g e^{3w} d\sigma(x) + \frac{1}{4\pi^2} \int_B Q_g e^{4w} dx = \lim_{r \rightarrow 0} \frac{\text{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \text{vol}_g(B_r(0))}. \quad (5.3)$$

**Remark.** *Note that in the above two theorems we work with the identical expression for  $T_g$ , i.e. not reversing the orientation of the boundary and the normal  $N$ . For a conformal metric  $g = e^{2w}|dx|^2$  on  $\mathbb{R}^4 \setminus \{0\}$ , we obtain (1.8) by subtracting (5.3) from (5.2).*

To prove Theorem 5.2 we slightly modify the three steps of the proof of Theorem 1.1. First, assume that  $w$  is a radial function on  $\mathbb{R}^4 \setminus B$ , and denote, as in Section 2,  $|x| = r = e^t$  and  $v = w + t$ . Then we have

$$v'''' - 4v'' = 2Q_g e^{4v} =: F, \quad -\infty < t \leq 0. \quad (5.4)$$

Following Section 2 almost verbatim (but changing integration boundaries from  $+\infty$  to 0), we obtain an explicit solution  $f(t)$  of this ODE, satisfying

$$\lim_{t \rightarrow -\infty} f'(t) = \frac{1}{8} \int_{-\infty}^0 F(x) dx$$

and

$$\lim_{t \rightarrow -\infty} f''(t) = \lim_{t \rightarrow -\infty} f'''(t) = 0.$$

As in (2.7),  $v(t)$  is of the form

$$v(t) = c_0 + c_1 t + c_2 e^{-2t} + c_3 e^{2t} + f(t)$$

and (as in Lemma 2.2), under the condition of non-negative scalar curvature near the origin, we obtain  $c_2 = 0$  and thus in particular

$$\lim_{t \rightarrow -\infty} v'''(t) = 0. \quad (5.5)$$

In [5, Remark 3.1], Chang-Qing proved that in this special rotationally symmetric situation the boundary curvature  $T_g$  satisfies

$$T_g e^{3v} = -\frac{1}{2} v''' + 2v'.$$

Plugging this into the Chern-Gauss-Bonnet formula with boundary, we have

$$\begin{aligned} 0 &= \frac{1}{4\pi^2} \int_{s \leq t \leq 0} Q_g e^{4v} dx + \frac{1}{4\pi^2} \int_{t=0} T_g e^{3v} d\sigma - \frac{1}{4\pi^2} \int_{t=s} T_g e^{3v} d\sigma \\ &= \frac{1}{4\pi^2} \int_{s \leq t \leq 0} Q_g e^{4v} dx + \frac{1}{4\pi^2} \int_{t=0} T_g e^{3v} d\sigma - \frac{1}{4\pi^2} |\mathbb{S}^3| \left( -\frac{1}{2} v'''(s) + 2v'(s) \right). \end{aligned}$$

Taking the limit as  $s \rightarrow -\infty$  and using (5.5), we obtain

$$\frac{1}{4\pi^2} \int_{\partial B} T_g e^{3w} d\sigma(x) + \frac{1}{4\pi^2} \int_B Q_g e^{4w} dx = \lim_{t \rightarrow -\infty} v'(t). \quad (5.6)$$

The fact that

$$\lim_{t \rightarrow -\infty} v'(t) = \lim_{t \rightarrow -\infty} C_{3,4}(e^t) = \lim_{r \rightarrow 0} \frac{\text{vol}_g(\partial B_r(0))^{4/3}}{4(2\pi^2)^{1/3} \text{vol}_g(B_r(0))} \quad (5.7)$$

then follows again exactly as in Lemma 2.4, which plugged into (5.6) proves Theorem 5.2 for the case of rotationally symmetric metrics  $g$ .

Next, we localise Definition 3.1 and introduce a notion of generalised normal metrics on the punctured ball.

**Definition 5.3** (Generalised normal metrics on the punctured ball). *Let  $g = e^{2w}|dx|^2$  be a metric on  $B \setminus \{0\}$ , where  $B$  is the unit ball in  $\mathbb{R}^4$ . Assume that  $g$  has finite total  $Q$  curvature,*

$$\int_B |Q_g| e^{4w} dx < \infty.$$

*We say that  $g$  is a generalised normal metric, if  $w$  has the expansion*

$$w(x) = \frac{1}{4\pi^2} \int_B \log \left( \frac{|y|}{|x-y|} \right) Q_g(y) e^{4w(y)} dy + \alpha \log|x| + h(x) \quad (5.8)$$

*for some constants  $\alpha \in \mathbb{R}$  and a biharmonic function  $h$  on  $B$ . (We would like to emphasise here that  $h$  is defined and biharmonic on all of  $B$ , in particular also over the origin!) For such a generalised normal metric, we then define the averaged metric as before by  $\bar{g} = e^{2\bar{w}}|dx|^2$ , where*

$$\bar{w}(r) := \int_{\partial B_r(0)} w(x) d\sigma(x).$$

**Lemma 5.4.** *Suppose that the metric  $e^{2w}|dx|^2$  on  $B \setminus \{0\}$  is a generalised normal metric in the sense of Definition 5.3. Then for any number  $k > 0$  we have that*

$$\int_{\partial B_r(0)} e^{kw} d\sigma(x) = e^{k\bar{w}(r)} e^{o(1)} \quad (5.9)$$

*where  $o(1) \rightarrow 0$  as  $r \rightarrow 0$ .*

*Proof.* This is analogous to Lemma 3.4 and the proof of Lemma 3.4 goes through almost verbatim. We only need to modify the domains of integration (essentially changing  $\mathbb{R}^4$  to  $B$ ) and take care of the additional function  $h(x)$ . However, as  $h(x)$  is biharmonic (and thus smooth) over the origin, we have

$$\lim_{r \rightarrow 0} \int_{\partial B_r(0)} e^{kh(x)} d\sigma(x) = e^{k\bar{h}(0)} = \lim_{r \rightarrow 0} e^{k\bar{h}(r)}.$$

We leave the remaining minor modifications to the reader. □



As a direct consequence, analogous to the first part of Theorem 3.3, we then see that the mixed volumes taken with respect to the two metrics  $g$  and  $\bar{g}$  satisfy

$$V_3(r) = \bar{V}_3(r)(1 + \varepsilon(r)), \quad (5.10)$$

$$\frac{d}{dr}V_4(r) = \frac{d}{dr}\bar{V}_4(r)(1 + \varepsilon(r)), \quad (5.11)$$

where  $\varepsilon(r) \rightarrow 0$  as  $r \rightarrow 0$ . Moreover, as in Corollary 3.6, if  $g$  is a finite area metric on  $B \setminus \{0\}$ , then so is the averaged metric  $\bar{g}$ . Finally,  $\bar{g}$  is clearly rotationally symmetric by definition. Thus,  $v = \bar{w} + t$  satisfies (5.6) and (5.7), that is

$$\frac{1}{4\pi^2} \int_{\partial B} T_{\bar{g}} e^{3\bar{w}} d\sigma(x) + \frac{1}{4\pi^2} \int_B Q_{\bar{g}} e^{4\bar{w}} dx = \lim_{t \rightarrow -\infty} \bar{v}'(t) = \lim_{r \rightarrow 0} \frac{\bar{V}_3^{4/3}(r)}{(\pi^2/2)^{1/3} \bar{V}_4(r)}. \quad (5.12)$$

As

$$Q_{\bar{g}} e^{4\bar{w}}(r) = \int_{\partial B_r(0)} \frac{1}{2} \Delta^2 \bar{w} d\sigma(x) = \int_{\partial B_r(0)} \frac{1}{2} \Delta^2 w d\sigma(x) = \int_{\partial B_r(0)} Q_g e^{4w} d\sigma(x)$$

as well as

$$T_{\bar{g}} e^{3\bar{w}}(r) = P_3 \bar{w} = \overline{P_3 w} = \int_{\partial B_r(0)} T_g e^{3w} d\sigma(x),$$

where  $P_3$  denotes the boundary operator associated to the Paneitz operator (see e.g. [7]), we can drop all the bars on the left hand side of (5.12). Due to (5.10) and (5.11), we can also drop the bars on the right hand side of (5.12). Hence, we have proved Theorem 5.2 for the special case of generalised normal metrics on the punctured ball.

Finally, Theorem 5.2 follows from the above combined with the following lemma.

**Lemma 5.5.** *Suppose that  $(S \setminus \{p\}, g) = (B \setminus \{0\}, e^{2w}|dx|^2)$  has finite area, non-negative scalar curvature near the origin, and finite total  $Q$  curvature. Then  $g$  is a generalised normal metric as in Definition 5.3.*

*Proof.* The proof of this lemma is very similar to the first part of the proof of Theorem 4.1. We first denote

$$v(x) = \frac{1}{4\pi^2} \int_B \log \frac{|y|}{|x-y|} Q_g(y) e^{4w(y)} dy,$$

and define  $\psi(x) = w(x) - v(x)$ . We then note that  $\Delta^2 \psi = 0$  on  $B \setminus \{0\}$ . In particular, we see that  $\Delta \psi$  is harmonic on  $B \setminus \{0\}$  and thus by the mean value equality

$$\begin{aligned} \Delta \psi(x_0) &= \int_{\partial B_r(x_0)} \Delta \psi(x) d\sigma(x) \\ &= - \int_{\partial B_r(x_0)} \left( |\nabla w|^2 + \frac{R_g}{6} \right) d\sigma(x) - \int_{\partial B_r(x_0)} \Delta v(x) d\sigma(x), \end{aligned}$$

if  $\partial B_r(x_0) \subset B \setminus \{0\}$ . Here we used the transformation formula for the scalar curvature

$$\Delta w + |\nabla w|^2 = -\frac{R_g}{6} e^{2w}.$$

For sufficiently small  $|x_0|$ , we know that  $R_g \geq 0$  by assumption. Moreover, by an argument similar to the one from Lemma 3.7, we have that

$$\begin{aligned} \left| \int_{\partial B_r(x_0)} \Delta v(x) d\sigma(x) \right| &= \frac{1}{2\pi^2} \int_B \left( \int_{\partial B_1(0)} \frac{1}{|r\sigma + x_0 - y|^2} d\sigma \right) Q_g(y) e^{4w(y)} dy \\ &\leq \frac{1}{2\pi^2 r^2} \int_B |Q_g(y)| e^{4w(y)} dy. \end{aligned}$$

Hence, taking for example  $r = \frac{|x_0|}{2}$ , we see that

$$\Delta \psi(x_0) \leq \frac{C}{|x_0|^2}$$

for some constant  $C$  and all  $x_0 \in B \setminus \{0\}$  and hence

$$\Delta \left( \psi(x_0) + \frac{C}{2} \log|x_0| \right) \leq 0.$$

This means that  $(\psi(x) + \frac{C}{2} \log|x|)$  is a sub-harmonic and biharmonic function on  $B \setminus \{0\}$ , i.e.  $\Delta(\psi(x) + \frac{C}{2} \log|x|)$  is harmonic and non-positive and thus by Bôcher's Theorem, see [2, Thm 3.9], we conclude

$$\Delta \left( \psi(x) + \frac{C}{2} \log|x| \right) = \beta \frac{1}{|x|^2} + b(x)$$

where  $\beta \leq 0$  and  $b(x)$  is a harmonic function on  $B$  (including over the origin). Hence we find that

$$h(x) = \psi(x) + \frac{C + \beta}{2} \log|x| = w - v - \alpha \log|x|$$

is a biharmonic function on  $B$ . We have thus proved (3.1) for  $w$ , meaning that  $w$  is a generalised normal metric in the sense of Definition 5.3.  $\square$

It is now easy to prove our main theorem.

*Proof of Theorem 1.6.* According to Definition 1.5, a manifold as in Theorem 1.6 can be split up into a compact manifold  $N$  with boundary and a finite number of ends and singular regions,

$$M = N \cup \left( \bigcup_{i=1}^k E_i \right) \cup \left( \bigcup_{j=1}^{\ell} S_j \right).$$

Applying the Chern-Gauss-Bonnet formula for manifolds with boundary, given in (5.1) to  $N$ , as well as using Theorem 5.1 for each of the ends and Theorem 5.2 for each of the singular regions, we immediately obtain the claimed Chern-Gauss-Bonnet type formula (1.19), noticing that all the boundary terms cancel each other out (as they obviously all appear twice with opposite signs). This finishes the proof of the theorem.  $\square$

## Acknowledgements

Parts of this work were carried out during two visits of HN at Queen Mary University of London. He would like to thank the university for its hospitality. These visits have been financially supported by RB's Research in Pairs Grant from the London Mathematical Society as well as HN's AK Head Travelling Scholarship from the Australian Academy of Science. RB would also like to thank the EPSRC for partially funding his research under grant number EP/M011224/1.

## References

- [1] M. Atiyah and C. LeBrun. *Curvature, cones and characteristic numbers*. Math. Proc. Camb. Phil. Soc. **155** (2013), 13–37.
- [2] S. Axler, P. Bourdon, W. Ramey. *Harmonic Function Theory*, Graduate Text in Mathematics **137**, Springer-Verlag, New York 2001.
- [3] T. P. Branson. *Differential operators canonically associated to a conformal structure*. Math. Scand. **57** (1985), 293–345.
- [4] T. Branson, S.-Y. A. Chang, and P. C. Yang. *Estimates and extremals for the zeta functional determinant on four-manifolds*. Comm. Math. Phys. **149** (1992), 241–262.
- [5] S.-Y. A. Chang and J. Qing. *The zeta functional determinants on manifolds with boundary I: The formula*. J. Funct. Anal. **147** (1997), 327–362.
- [6] S.-Y. A. Chang, J. Qing, and P. C. Yang. *On the Chern–Gauss–Bonnet integral for conformal metrics on  $R^4$* . Duke Math. J. **103**:3 (2000), 523–544.
- [7] S.-Y. A. Chang, J. Qing, and P. C. Yang. *Compactification of a class of conformally flat 4-manifold*. Invent. Math. **142** (2000), 65–93.
- [8] J. Cheeger and M. Gromov. *On the characteristic numbers of complete manifolds of bounded curvature and finite volume*. in: Differential Geometry and Complex Analysis, Springer, Berlin (1985), 115–154.
- [9] W. Chen and C. Li. *What kinds of singular surfaces can admit constant curvature?* Duke Math. J. **78** (1995), 437–451.
- [10] S.-S. Chern. *A simple intrinsic proof of the Gauss-Bonnet formula for closed Riemannian manifolds*. Ann. of Math. **45** (1943), 747–752.
- [11] S. Cohn-Vossen. *Kürzeste Wege und Totalkrümmung auf Flächen*. Compositio Math. **2** (1935), 69–133.
- [12] R. Finn. *On a class of conformal metrics, with applications to differential geometry in the large*. Comment. Math. Helv. **40** (1965), 1–30.
- [13] F. Fang. *On a conformal Gauss-Bonnet-Chern inequality for LCF manifolds and related topics*. Calc. Var. **23** (2005), 469–496.
- [14] R. Greene and H. Wu.  *$C^\infty$  convex functions and manifolds of positive curvature*. Acta Math. **137** (1976), 209–245.
- [15] P. Hartmann. *Geodesic parallel coordinates in the large*. Amer. J. Math. **86** (1964), 705–727.
- [16] A. Huber. *On subharmonic functions and differential geometry in the large*. Comment. Math. Helv. **32** (1957), 13–72.
- [17] L. P. Jorge and W. H. Meeks III. *The topology of complete minimal surfaces of finite total Gaussian curvature*. Topology **22** (1983), 203–221.
- [18] P. Li and L.-F. Tam. *Complete surfaces with finite total curvature*. J. Diff. Geom. **33** (1991), 139–168.
- [19] Z.-D. Liu and Z. Shen. *Riemannian geometry of conical singular sets*. Ann. Global Anal. Geom. **16** (1998), no. 1, 29–62.

- [20] C. B. Ndiaye and J. Xiao. *An upper bound of the total  $Q$ -curvature and its isoperimetric deficit for higher-dimensional conformal Euclidean metrics*. Calc. Var. **38** (2010), no. 3, 1–27.
- [21] H. T. Nguyen. *Geometric rigidity for analytic estimates of Müller-Šverák*. Math. Z. **272** (2012), no. 3, 1059–1074.
- [22] S. Paneitz. *A quartic conformally covariant differential operator for arbitrary pseudo-Riemannian manifolds*. Preprint, 1983.
- [23] I. Satake. *The Gauss-Bonnet theorem for  $V$ -manifolds* J. Math. Soc. Japan **9** (1957), 464–492.
- [24] K. Shiohama. *Total curvatures and minimal area of complete open surfaces*. Proc. Amer. Math. Soc. **94** (1985), 310–316.
- [25] M. Troyanov. *Prescribing curvature on compact surfaces with conical singularities*. Trans. Amer. Math. Soc. **324** (1991), 793–821.
- [26] N. S. Trudinger. *On new isoperimetric inequalities and symmetrization*. J. Reine Angew. Math. **488** (1997), 203–220.
- [27] Y. Wang. *The isoperimetric inequality and quasiconformal maps on manifolds with finite total  $Q$ -curvature*. Int. Math. Res. Not. IMRN **2012**, no. 2, 394–422.
- [28] Y. Wang. *Isoperimetric inequality,  $Q$ -curvature and  $A_p$  weights*. Adv. Math. **281** (2015), 823–844.

Reto Buzano (Müller)  
 SCHOOL OF MATHEMATICAL SCIENCES, QUEEN MARY UNIVERSITY OF LONDON, LONDON  
 E1 4NS, UNITED KINGDOM

Huy The Nguyen  
 SCHOOL OF MATHEMATICAL SCIENCES, QUEEN MARY UNIVERSITY OF LONDON, LONDON  
 E1 4NS, UNITED KINGDOM