

# Exploring the benefits beyond the prereduction in methane of the Cr/SiO<sub>2</sub> Phillips catalyst: The molecular structure of the Cr sites and their role in the catalytic performance

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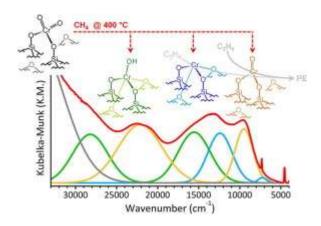
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### **Abstract**

A pre-reduction of the Cr<sup>vt</sup>/SiO<sub>2</sub> Phillips catalyst in methane at 400 °C eliminates the induction time in ethylene polymerization and enhances the catalyst activity of ca. 30%, despite maintaining the polymer properties almost unaltered. By coupling together several spectroscopic techniques (FT-IR, DR UV-Vis-NIR and XANES spectroscopies, coupled with mass spectrometry) we have been able to identify and quantify the reduced Cr sites present in the catalyst after the pre-reduction treatment and to detect some of the reaction by-products. In particular, formic acid and hydrogen are released during the pre-reduction. The presence of hydrogen in the reaction mixture justifies the formation of ca. 33% of 6-fold coordinated Cr<sup>III</sup> sites, which however do not take part to the catalysis being fully coordinated. In addition, ca. 23% of the Cr<sup>VI</sup> sites are converted into highly uncoordinated Cr<sup>II</sup> sites, while the remaining 44% are reduced to Cr<sup>IV</sup>(=O) sites. Although both Cr<sup>II</sup> and Cr<sup>IV</sup>(=O) are accessible by incoming molecules, most of the credits for the improved catalytic activity go to Cr<sup>IV</sup>(=O), which have been already demonstrated to be one order of magnitude more active than Cr<sup>II</sup>.

# **Graphical abstract**



#### 1. Introduction

In the <u>polyolefin</u> market, <u>polyethylene</u> (PE) accounts for more than 160 billions of dollars per year, rising approximately four percent every year [1]. The majority of PE, whose economic success relies in the low cost, versatility and recyclability [2], is produced in a catalytic way. Therefore, in the years to come any improvement of ethylene <u>polymerization</u> catalysts in terms of performances, customization and yield will become crucial to keep on riding the polyolefin market [3], [4], [5]. To this aim, identifying which are the reasons behind serendipitous discoveries of high-performing systems is the basis for the *ad hoc* design of new catalysts or modification of the existing ones [6], [7], [8], [9], [10].

Among ethylene polymerization catalysts, the Cr-based Phillips catalysts contribute to more than 50% of the High Density Polyethylene (HDPE) market [11], [12], [13]. It is generally constituted by monochromates [14], [15], [16], [17], [18], [19] highly dispersed on amorphous silica [20], which are slowly converted into the active sites in the presence of ethylene at 80–110 °C during the so called "induction period" [21], [22], [23], [24]. Hence, ethylene serves as activating agent (with the double function of reducing and alkylating the Cr sites) and also as the monomer in the polymerization reaction. What happens into the reactor during the induction time has been debated for long time, and still constitutes one of the major arguments of discussion. Recently, some of us provided compelling evidence for the reduction of monochromates to Cr<sup>II</sup> species and oxygenated by-products (mainly methylformate), that remain in strong interaction with the Cr<sup>II</sup> sites also during the initial steps of ethylene polymerization [23]. This is in very good agreement with previous findings by McDaniel and co-workers, who demonstrated that under commercial ethylene polymerization conditions oxygenates may remain attached to the chromium sites [25]. At the same time, a small fraction of Cr<sup>III</sup> sites are also formed, mainly as a consequence of the reduction of the Cr<sup>v</sup> species unavoidably present in the oxidized catalyst [26], [27]. We

also demonstrated that the hindered  $Cr^{II}$  sites are key intermediates involved in ethylene polymerization, while it is not clear whether or not the  $Cr^{III}$  species have a role in the same reaction [26], [27]. According to these results, ethylene works as a two-electron reducing agent during the induction time.

In the industrial practice other reducing agents are often used before (or even during) the introduction of ethylene into the polymerization reactor. Their use is aimed at diminishing or completely eliminating the induction period and to conduct the ethylene polymerization at lower temperature (e.g. as demanded to produce UHMWPE) [10], [15], [28], [29], [30], [31], [32], [33], [34], [35], [36], [37]. The most efficient and cleanest reducing agent is carbon monoxide, which stoichiometrically reduces the monochromates at 350 °C to give highly uncoordinated Cr<sup>II</sup> species and volatile CO<sub>2</sub> through a two-step two-electron pathway [38], [39], [40], [41]. These divalent chromium species were deeply characterized [18], [27], [42] and they are nowadays uncontrovertibly considered as the intermediates involved in ethylene polymerization on the CO-reduced Cr/SiO<sub>2</sub> Phillips catalyst [26], [41], [43]. The highly uncoordinated Cr<sup>II</sup> sites obtained through CO reduction readily react with O<sub>2</sub> to give a brilliant light flash of luminescence [11], [44]. Despite the cleanness of the CO reduction procedure, CO is at present scarcely used by polyolefin companies, mainly because of its toxicity. Other pre-reducing agents commonly adopted in the industrial practice are H<sub>2</sub>, alkanes (e.g. hexane), alkenes (e.g. cyclohexene) and metal alkyls (such as AlR<sub>3</sub>, BR<sub>3</sub>, MgR<sub>2</sub>, ZnR<sub>2</sub>) [11], [13]. With the exception of metal alkyls, which are effective already at room temperature, most of the other reducing agents operate at higher temperature (being the preferred temperature range around 350–400 °C) and give a pre-reduced catalyst that reacts with air giving the luminescent flash characteristic of highly uncoordinated Cr<sup>II</sup> sites [11], [13].

Besides affecting the induction time, the pre-reduction procedure influences the polymer properties and in particular the amount of *in situ* branching, that means the polymer density. Generally all the mentioned agents have an influence. For example, according to Max McDaniel, pre-reduction in CO at 350 °C produces a polymer with a density of ca. 0.928 g mL<sup>-1</sup>, compared to that of ca. 0.959 g mL<sup>-1</sup> obtained starting from the traditional Cr<sup>vi</sup>/SiO<sub>2</sub> catalyst (in both cases at 95 °C in the presence of 5 ppm of BEt<sub>3</sub> co-catalyst) [11], [13]. This means that the pre-reduction procedure affords reduced Cr sites at least partially different from those obtained upon direct reduction in ethylene. Such a difference might be traced to the absence of the oxygenated by-products nearby the Cr<sup>II</sup> sites in the catalysts pre-reduced at a temperature higher than the polymerization temperature [27]. However, this is not sufficient to explain why different reducing

agents operating at a similar temperature give different polymers. In this context, we turned our attention on the effects of methane. According to McDaniel, a  $Cr^{v_1}/SiO_2$  catalyst pre-reduced in methane at 400 °C produces under the same reaction conditions described above a PE with a density of ca. 0.947 g mL<sup>-1</sup> (i.e. a slightly greater tendency to *in situ* branching) and, more interestingly, exhibits an activity ca. 30% higher than the unmodified  $Cr^{v_1}/SiO_2$  [11]. These observations implicate the presence of reduced Cr sites with a peculiar local structure. This work is devoted to their investigation, carried out using complementary spectroscopic methods, namely FT-IR, diffuse reflectance (DR) UV-Vis-NIR and X-ray absorption near edge structure (XANES) spectroscopies, applied *in situ* in the presence of gas molecules.

# 2. Experimental

#### 2.1. Catalyst synthesis and activation procedures

The Cr/SiO<sub>2</sub> catalysts employed for the DR UV-Vis-NIR and FT-IR experiments were prepared by wet-impregnation at room temperature of SiO<sub>2</sub> (Aerosil®380, surface area ca. 380 m<sup>2</sup> g<sup>-1</sup>) with an aqueous solution of CrO<sub>3</sub>, as described elsewhere [18], [42], [45]. Two batches of Cr/SiO<sub>2</sub> were synthesized, with a chromium loading of 0.5 wt% (for DR UV-Vis-NIR measurements) and 1.0 wt% (for FT-IR measurements). The choice was done to optimize the spectral quality. The XANES measurements were performed on a 2 wt% Cr/SiO<sub>2</sub> sample prepared by direct hydrothermal synthesis, as described elsewhere [46], [47], [48]. Briefly, a gel of  $SiO_2$  and chromium nitrate (pH = 11) was heated in autoclave at 100 °C in the presence of cetyltrimethylammonium bromide as the structure-directing agent, then the so obtained powder was filtered, washed, heated into a gas-flow furnace at 600 °C under N<sub>2</sub> flux and finally calcined in O<sub>2</sub> at the same temperature. This sample was selected to allow collecting fast XANES spectra (12 min per spectrum) of reasonable quality (need to increase the Cr content) at the same time avoiding Cr aggregation during the thermal treatments (an unavoidable phenomenon for Cr/SiO<sub>2</sub> catalysts obtained by wet-impregnation at Cr loadings above 1 wt%). Crosschecking experiments demonstrated that the spectroscopic properties of the three samples are the same irrespective of the Cr loading [18]. For this reason, in the following we will not distinguish the three samples in terms of synthesis procedure and Cr content, but only in terms of activation treatment and oxidation state. Throughout the text we will use always the nomenclature Cr<sup>n</sup>/SiO<sub>2</sub>, where the n indicates the oxidation state (when known).

All the samples were subjected to the same thermal treatments, either in static (for FT-IR and DR UV–Vis-NIR measurements) or in flow (for XANES measurements) conditions. The catalyst activation was performed in the presence of O<sub>2</sub> at 650 °C, resulting in the oxidized catalyst deeply investigated in the past [18], [27], [42] and hereafter labeled as Cr<sup>vi</sup>/SiO<sub>2</sub>. The pre-reduction treatment was performed in methane at 400 °C, to give CH<sub>4</sub>-Cr/SiO<sub>2</sub>. For sake of reference, the pre-reduction of Cr<sup>vi</sup>/SiO<sub>2</sub> was performed also in the presence of CO at 350 °C, to give the well-known Cr<sup>ni</sup>/SiO<sub>2</sub> [18], [27], [42]. A second reference sample was prepared by treating Cr<sup>ni</sup>/SiO<sub>2</sub> in the presence of N<sub>2</sub>O at 100 °C, followed by degassing at the same temperature. According to our previous work [34], this treatment selectively converts most of the Cr<sup>ni</sup> sites into chromyl species, Cr<sup>nv</sup>(=O). This reference sample will be referred to as Cr<sup>nv</sup>(=O)/SiO<sub>2</sub>.

When working in static conditions (FT-IR and DR UV-Vis-NIR measurements), the reactive gases (equilibrium pressure 200 mbar) were dosed twice on the sample at the reaction temperature, followed by outgassing under dynamic vacuum at the same temperature to remove all the volatile by-products. FT-IR and DR UV-Vis-NIR spectra were collected at room temperature at the end of each step. When working in flow conditions, the reactive gases were fluxed at 40 mL/min through the measurement cell at atmospheric pressure. The investigation of the pre-reduction step was accomplished by continuously monitoring the XANES spectra at constant time interval in two different conditions: (1) flowing CH<sub>4</sub> directly at 400 °C; or (2) flowing CH<sub>4</sub> at increasing temperature from 50 to 400 °C. The final result was the same in the two cases. Only the sequence of XANES spectra collected at constant temperature will be shown for simplicity. However, the experiment performed at increasing temperature allowed determining the temperature of onset for reduction and also a better appreciation of some by-products by means of on-line MS.

Finally, ethylene <u>polymerization</u> was run on both CH<sub>4</sub>-Cr/SiO<sub>2</sub> and Cr<sup>II</sup>/SiO<sub>2</sub>. The reaction was performed in static conditions in the gas phase, admitting 100 mbar of C<sub>2</sub>H<sub>4</sub> on the catalyst at room temperature (25 °C), and continuously monitoring the FT-IR spectra of the catalyst during the reaction. The relative polymerization rate was determined by analyzing the intensity of the δ(CH<sub>2</sub>) IR absorption band at 1472 cm<sup>-1</sup>, once that the spectra were normalized to the optical thickness of the pellet (evaluated from the intensity of the overtones of SiO<sub>2</sub> framework in the 2000–1500 cm<sup>-1</sup> range) [34].

#### 2.2. Techniques

*FT-IR spectroscopy*. FT-IR spectra were collected with a Bruker Vertex70 instrument equipped with a MCT detector, at a resolution of 2 cm<sup>-1</sup>. The samples were measured in the form of thin self-supporting pellets (surface density ca. 20 mg cm<sup>-2</sup>) within a quartz cell with two KBr windows, suitable for thermal treatments and measurements in the presence of gases. For CO adsorption at room temperature, an equilibrium pressure P<sub>co</sub> of 50 mbar was sent on the sample, and a FT-IR spectrum was collected. Successively, the P<sub>co</sub> was gradually decreased followed by step-by-step collection of the FT-IR spectra. For the spectra in the presence of C<sub>2</sub>H<sub>4</sub>, see above.

Diffuse Reflectance UV–Vis-NIR spectroscopy. DR UV–Vis-NIR spectra were collected in diffuse reflectance mode with a Varian Cary5000 spectrophotometer, equipped for reflectance measurements with an integrating sphere with the inner surface coated by Spectralon®. The samples were measured in the form of very thick pellets (ca. 200 mg/cm² of surface density) within a cell with a window in Suprasil optical quartz. All the spectra were collected in reflectance and successively converted into Kubelka-Munk function.

XAS spectroscopy. X-ray absorption near edge structure (XANES) spectra at the Cr Kedge (5989 eV) were collected at the BM23 beamline [49] at the European Synchrotron Radiation Facility (ESRF, Grenoble, F). The white beam was monochromatized by a Si(1 1 1) double crystal. Harmonic rejection was performed by using silicon mirrors (4 mrad). The intensity of the incident beam was measured with an ionization chamber. The spectrum of the reference Cr<sub>2</sub>O<sub>3</sub> (Sigma-Aldrich) was measured in transmission mode, whereas all the other XAS spectra were collected in fluorescence mode by means of a silicon drift detector. The catalyst was measured in the form of powder inside a quartz capillary (1.5 mm outer diameter and 10 µm of wall thickness), placed in between two small regions of quartz wool. The capillary was connected to a gas-dosing system with mass-flow controllers, and inserted inside an oven equipped with a temperature controller. The reaction products were qualitatively detected with a mass spectrometer placed at the end of the capillary. XANES spectra were acquired with an energy step of 0.4 eV and an integration time of 2 s per point, up to  $k = 5 \text{ Å}^{-1}$  in order to allow easy and reliable normalization. Each XANES spectrum required an acquisition time of about 12 min. The XANES spectra were normalized using the Athena program (from the Ifeffit program suite) [50]. The adopted experimental set-up (low Cr loading, fluorescence acquisition, capillary, quite small scattering volume) did not allow collection of EXAFS spectra with a satisfactory signal-to-noise ratio.

#### 3. Results and discussion

#### 3.1. Ethylene polymerization performances

At first, the ethylene <u>polymerization</u> rate of  $CH_4$ - $Cr/SiO_2$  was investigated in comparison to that of  $Cr^{II}/SiO_2$ , in order to assess if the macroscopic differences pointed out in industrial conditions [11], [13] can be detected also by a spectroscopic analysis at lab scale. <u>Fig. 1</u> shows the *in situ* FT-IR spectra collected within the first two minutes of ethylene polymerization on  $Cr^{II}/SiO_2$  (part a) and on  $CH_4$ - $Cr/SiO_2$  (part b), in both  $v(CH_2)$  (left) and  $\delta(CH_2)$  (right) regions. All the spectra are shown after subtraction of the spectrum of the catalyst before ethylene addition and normalization to the optical thickness of the pellet, to allow a quantitative comparison.

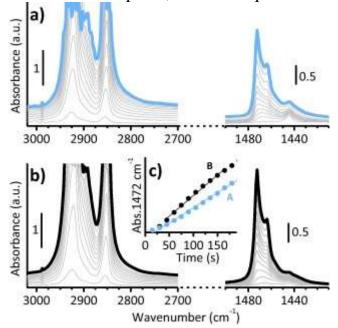


Fig. 1. Evolution of the FT-IR spectra collected during ethylene <u>polymerization</u> (PC<sub>2</sub>H<sub>4</sub> = 100 mbar, T = 25 °C) on  $Cr^{II}/SiO_2$  (part a) and on  $CH_4$ - $Cr/SiO_2$  (part b). The spectra are shown in the  $v(CH_x)$  and  $\delta(CH_x)$  spectral regions (from left to right) as a function of the polymerization time up to 3 min, after subtracting the spectra of the catalysts prior ethylene addition and normalizing to the optical thickness of the pellet (for sake of quantitative comparison). (Part c) evolution of the intensity of the 1472 cm<sup>-1</sup> IR absorption band as a function of time for the ethylene polymerization on  $Cr^{II}/SiO_2$  (A) and on  $CH_4$ - $Cr/SiO_2$  (B).

In both cases the FT-IR spectra show the immediate appearance and very fast increase in intensity of some absorption bands at 2920, 2855 and 1472 cm<sup>-1</sup>, which are assigned to the asymmetric and symmetric stretching and bending vibrational modes of the CH<sub>2</sub> moieties in polyethylene [51], [52], [53]. This means that the CH<sub>4</sub>-Cr/SiO<sub>2</sub> catalyst rapidly polymerizes ethylene without any induction time, at room temperature and low pressures, as also Cr<sup>II</sup>/SiO<sub>2</sub> does. The reaction rate, evaluated from the time evolution of the intensity of the δ(CH<sub>2</sub>) band at 1472 cm<sup>-1</sup> (Fig. 1c), is ca. 27% higher for CH<sub>4</sub>-

Cr/SiO<sub>2</sub>, in very good agreement with the literature [11], [13]. This indicates either that the fraction of Cr active sites is higher, or that they are characterized by a different molecular structure, or both. To explore the reasons of such reactivity, we moved to the characterization of the CH<sub>4</sub>-Cr/SiO<sub>2</sub> catalyst, by focusing the attention on the structural and electronic properties of the Cr sites and their environment with several spectroscopic techniques.

#### 3.2. Evidence for CrvI reduction and possible by-products

<u>Fig. 2</u>a displays the FT-IR spectra of  $Cr^{v_1}/SiO_2$  and  $CH_4$ - $Cr/SiO_2$ , in comparison to that of pure  $SiO_2$  treated in  $O_2$  at 650 °C. The spectrum of  $SiO_2$  is characterized by a sharp absorption band at 3748 cm<sup>-1</sup> due to the v(OH) of surface isolated silanols, and by the off-scale signals due to the vibrational modes of the framework below 1280 cm<sup>-1</sup>, also responsible for the characteristic pattern in the 2000–1500 cm<sup>-1</sup> range, due to combination (1970 and 1865 cm<sup>-1</sup>) and overtone (1640 cm<sup>-1</sup>) modes [54]. The spectrum of  $Cr^{v_1}/SiO_2$  is that typical of a highly dehydroxylated silica, except for the characteristic band at 910 cm<sup>-1</sup> (in the so called "transparency window" of silica), which is due to a perturbation of the  $SiO_2$  vibrational modes induced by the presence of chromates, and for the weak band at 1980 cm<sup>-1</sup> (magnified in the right inset), assigned to the first overtone of  $v(Cr = O_2)$  [181, [55], [56]. Both bands are drastically affected by the pre-reduction treatment in  $CH_4$ , testifying an almost complete reduction of the chromates.

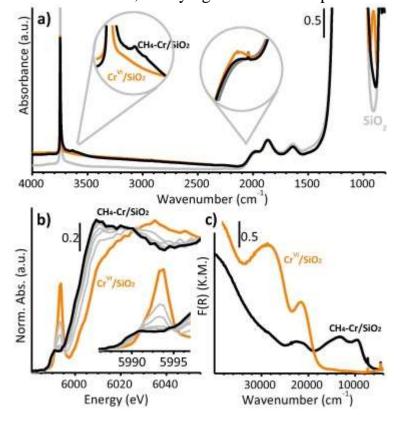


Fig. 2. FT-IR (part a), Cr K-edge XANES (part b) and DR UV–Vis-NIR (part c) spectra of Cr<sup>vi</sup>/SiO<sub>2</sub> and CH<sub>4</sub>-Cr/SiO<sub>2</sub>. For XANES, also the intermediate spectra collected every 12 min in *operando* conditions are shown (grey spectra). In part a, the spectrum of pure silica (grey) is added for sake of comparison, and two relevant portion of the spectra are magnified in the insets.

magnified in the insets. No new bands are observed in the  $\nu(CH_x)$  region, indicating that all the organic byproducts deriving from CH<sub>4</sub> oxidation and hydrolysis leave the catalyst surface under the reaction conditions. The only exception is a broad IR absorption band in the  $\nu(OH)$ region, detailed with two weak and narrow bands at 3637 and 3587 cm<sup>-1</sup> (left inset in Fig. 1a). These bands do not seem correlated to a modification of the isolated silanols, neither are formed when treating pure silica in the same conditions (Figure S1). Therefore, they are tentatively ascribed to new hydroxy groups formed upon the reaction between CH<sub>4</sub> (or a by-product) with some Cr oxo-species (i.e., the chromates or their derivatives). Similar  $\nu(OH)$  bands were observed in the past on  $\alpha$ -chromia dehydroxylated under dynamic vacuum at 400 °C [57]. The reduction of almost all the chromates of Crvi/SiO<sub>2</sub> during the thermal treatment in CH<sub>4</sub> at 400 °C is corroborated by operando Cr K-edge XANES and in situ DR UV-Vis-NIR data (Fig. 2b and c, respectively). The XANES and DR UV-Vis-NIR spectra of Cr<sup>v<sub>1</sub></sup>/SiO<sub>2</sub> have been already discussed in the past [18], [27], [42], [48], [58], and are characteristic of highly diluted mono-chromate species. In particular, the XANES spectrum has the edge at 6007.6 eV and is dominated by an intense pre-edge peak at 5993.5 eV, which is indicative of a tetrahedral coordination [47], [59], [60], [61]. The DR UV-Vis-NIR spectrum is characterized by three absorption bands at ca. 39500, 29000 and 21500 cm<sup>-1</sup>, which are due to  $O \rightarrow Cr$  charge transfer transitions [14], [15], [17], [42]. As soon as Cr<sup>vi</sup>/SiO<sub>2</sub> is contacted by CH<sub>4</sub> at 400 °C, the XANES and DR UV-Vis-NIR spectra rapidly change (spectra 1 in Fig. 2b and c). In the XANES the following changes are observed: (i) the edge (evaluated at the maximum of the derivative signal) shifts from 6006.7 to 6003.3 eV; (ii) the sharp pre-edge peak decreases in intensity and broadens, accounting at the end for a total intensity of about 0.16 (in normalized absorption units); and (iii) the white-line changes its shape, with a prominent feature appearing at 6009.9 eV. In the DR UV-Vis-NIR spectrum, a group of bands appear below 20000 cm<sup>-1</sup> (maxima at 13300 and 9500 cm<sup>-1</sup>), in the typical region of the d-d bands, at the expenses of the bands associated to the chromates. A sequence of XANES spectra similar to those reported in Fig. 2b were collected by treating Cr<sup>vi</sup>/SiO<sub>2</sub> in the presence of CH<sub>4</sub> at increasing temperature from 50 to 400 °C. This experiment (not shown) allowed determining the temperature onset for reducing

Crvi in methane (ca. 330 °C), and also monitoring by on-line mass-spectroscopy some

by-products of the reaction, which are <u>formic acid</u> and hydrogen. Interestingly, these by-products are compatible with the stoichiometric reaction:  $Cr^{v_I}/SiO_2 + CH_4 \rightarrow Cr^{II}/SiO_2 + HCOOH + H_2$ where the mono-chromates present in  $Cr^{v_I}/SiO_2$  are reduced to highly uncoordinated  $Cr^{II}$  sites, similar to those obtained by reducing  $Cr^{v_I}/SiO_2$  in CO at 350 °C. This is compatible with the observation of the chemiluminescent flash upon exposure of  $CH_4$ - $Cr/SiO_2$  to air, as reported by McDaniel [11], [13] and observed by ourselves. However, the situation is not so simple as it might appear. Indeed, neither the XANES nor the DR UV–Vis-NIR spectra of  $CH_4$ - $Cr/SiO_2$  are the same as  $Cr^{II}/SiO_2$  (vide infra <u>Fig. 3a</u> and b), indicating that additional reduced Cr sites must be present besides the highly uncoordinated  $Cr^{II}$ .

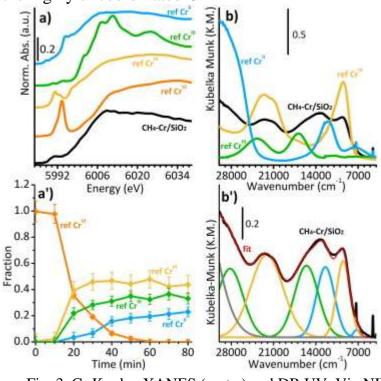


Fig. 3. Cr K-edge XANES (part a) and DR UV–Vis-NIR (part b) spectra of CH<sub>4</sub>-Cr/SiO<sub>2</sub> compared to the spectra of some reference compounds with a well-defined Cr oxidation state; namely, Cr<sup>vi</sup>/SiO<sub>2</sub> Cr<sup>iv</sup>(=O)/SiO<sub>2</sub>, Cr<sub>2</sub>O<sub>3</sub>, and highly uncoordinated Cr<sup>ii</sup>/SiO<sub>2</sub>. (Part a') Linear combination fit of the XANES spectra shown in <u>Fig. 2</u>b. (Part b') Band fitting of the DR UV–Vis-NIR spectrum of CH<sub>4</sub>-Cr/SiO<sub>2</sub>: the experimental spectrum (black) is compared to the best fitting curve (red).

## 3.3. Evidence for the presence of multiple reduced Cr sites

The interpretation of both the XANES and DR UV-Vis-NIR spectra of CH<sub>4</sub>-Cr/SiO<sub>2</sub> is complicated by the fact that the electronic features of the reduced Cr sites depend on both the oxidation state and the ligands sphere [27], [35], [62], and the two effects are difficult to be disentangled. A possible strategy to interpret the unknown spectra is to

compare them with those of selected reference compounds. This approach works incredibly well when the XANES and DR UV-Vis-NIR spectra are analyzed together. Fig. 3 shows the XANES (part a) and DR UV–Vis-NIR (part b) spectra of CH<sub>4</sub>-Cr/SiO<sub>2</sub> compared to the following references: Cr<sup>II</sup>/SiO<sub>2</sub>, a Cr<sup>III</sup> reference (either Cr<sub>2</sub>O<sub>3</sub> or hexa-aquo CrCl<sub>3</sub>, in parts a and b, respectively) and Cr<sup>IV</sup>(=O)/SiO<sub>2</sub> [34], [63]. The XANES spectrum of Cr<sup>II</sup>/SiO<sub>2</sub>, whose edge is located at ca. 6000 eV, shows an intense and well resolved pre-edge peak at 5994 eV (assigned to a Cr1s  $\rightarrow$  Cr4p transition) and two very weak pre-edge peaks at 5988 and 5990 eV (assigned to Cr1s  $\rightarrow$  (Cr3d + O2p) dipole-forbidden transitions) [27], [42], [59], [61], [64]. The corresponding DR UV-Vis-NIR spectrum shows two narrow bands at ca. 12000 and 7500 cm<sup>-1</sup>, having a relative intensity ratio of 5:1 [18], [27], [42]. As far as the Cr<sup>III</sup> reference is concerned, the XANES spectrum of Cr<sub>2</sub>O<sub>3</sub> exhibits the edge at 6002 eV, a very intense white-line, and two weak pre-edge peaks at 5990.5 and 5993.6 eV (assigned to the dipole-forbidden transitions of Cr<sup>III</sup> species in an octahedral-like geometry) [48], [58]. The UV–Vis-NIR spectrum of a 6-fold coordinated Cr<sup>III</sup> complex is generally characterized by a couple of bands at 24000 and 17000 cm<sup>-1</sup>, having almost the same intensity [65], [66], [67]. Finally, the XANES spectrum of Cr<sup>IV</sup>(=O)/SiO<sub>2</sub>, whose edge is localized at 6004 eV, shows two distinct pre-edge peaks of medium intensity at 5991.2 and 5992.6 eV, with a shoulder on the edge around 5997.3 eV. The corresponding DR UV-Vis-NIR spectrum is characterized by two very intense bands at 22000 and 9500 cm<sup>-1</sup>, the former quite broad, that are the typical d-d transition bands of 4-fold coordinated transition metals with a  $d^2$  electronic configuration [34], [65], [68]. Both the XANES and the DR UV-Vis-NIR spectra of CH<sub>4</sub>-Cr/SiO<sub>2</sub> contain the characteristic features of all the three references, suggesting that several types of reduced Cr sites are co-present. In order to quantify the relative fraction of each species, we fit

Cr sites are co-present. In order to quantify the relative fraction of each species, we fit the whole sequence of XANES spectra collected during the pre-reduction treatment (<u>Fig. 2</u>b) with a linear combination of the reference spectra [69]. The result of the LCF analysis are reported in <u>Fig. 3</u>a'. Almost 75% of the Cr<sup>v1</sup> sites are reduced during the first 20 min of reaction, mainly in favor of Cr<sup>v2</sup>(=O) and 6-fold coordinated Cr<sup>v1</sup>. Uncoordinated Cr<sup>v1</sup> sites start to contribute to the XANES spectrum only at a later time and their fraction gradually increases likely at the expenses of the other reduced species (which indeed do not increase anymore, despite the Cr<sup>v1</sup> reduction proceeds to completion). At the end of the reaction, Cr<sup>v1</sup> does not contribute anymore to the XANES spectrum, while Cr<sup>v2</sup>(=O), 6-fold coordinated Cr<sup>v3</sup>, and uncoordinated Cr<sup>v3</sup> sites account for 44, 33 and 23%, respectively. The reliability of the LCF analysis is proved by the fact that the sum of all the contributions at each step is very close to 100% even without

imposing any mathematical constraints. The LCF analysis of the XANES spectra is validated by the qualitative analysis of the DR UV–Vis-NIR spectrum of CH<sub>4</sub>-Cr/SiO<sub>2</sub> in the d-d spectral region. Indeed, the spectrum can be easily deconvolved into a number of components having positions and relative intensities in well agreement with those characteristic of the references, as reported in Fig. 3b' (more details in Table S1a).

#### 3.4. The reduced Cr sites: Accessibility and role in ethylene polymerization

In a successive step, we concentrated our attention on the accessibility of the diverse Cr sites in CH<sub>4</sub>-Cr/SiO<sub>2</sub>. To this aim, we employed FT-IR spectroscopy of adsorbed CO as a probe molecule [70], [71], [72]. Fig. 4a shows the FT-IR spectra of CO adsorbed on  $CH_4$ - $Cr/SiO_2$  at decreasing CO coverage ( $\theta_{CO}$ ) in the  $\nu(C \equiv O)$  region.

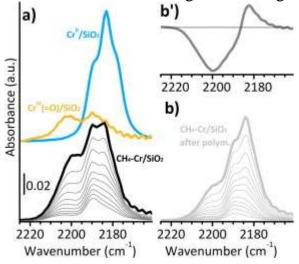


Fig. 4. (Part a) FT-IR spectra of CO adsorbed on CH<sub>4</sub>-Cr/SiO<sub>2</sub> at decreasing  $\theta_{CO}$  in the  $\nu$ (C $\equiv$ O) region. The spectra are reported after subtraction of that collected prior CO dosage. For comparison, also the spectra of CO adsorbed on the Cr<sup>II</sup>/SiO<sub>2</sub> (blue) [18] and Cr<sup>IV</sup>(=O)/SiO<sub>2</sub> (orange) [63], [73] references are reported, after normalization to the optical thickness of the pellets. (Part b) The same as part a) for CH<sub>4</sub>-Cr/SiO<sub>2</sub> after 20 s of ethylene polymerization ( $P_{C2H4} = 50 \text{ mbar}$ ). (Part b') Difference between the spectra of CO adsorbed on CH<sub>4</sub>-Cr/SiO<sub>2</sub> before and after ethylene polymerization.

The spectrum at the maximum  $\theta_{co}$  is characterized by three main absorption bands at 2200, 2190 and 2184 cm<sup>-1</sup>, with a pronounced shoulder at 2179 cm<sup>-1</sup>. The latter three bands are the same as those observed for CO adsorbed on Cr<sup>II</sup>/SiO<sub>2</sub> (spectrum also reported in Fig. 4a), and assigned to CO in interaction with two different families of Cr<sup>II</sup> species characterized by a different number of coordination vacancies: on Cr<sup>II</sup><sub>A</sub> two CO molecules are adsorbed at the maximum  $\theta_{co}$  (bands at 2184 and 2179 cm<sup>-1</sup>) while on CrIIB only monocarbonyl adducts are formed (band at 2190 cm<sup>-1</sup>) [18], [27], [39], [42], [74]. The band at 2200 cm<sup>-1</sup> is assigned to CO in

interaction with Cr<sup>IV</sup>(=O) sites. Indeed, a similar band was assigned to the same species

by Kohler and Ekerdt for  $Cr^{v_I}/SiO_2$  photo-reduced in CO [75], and successively by some of us for  $Cr^{II}/SiO_2$  selectively oxidized by  $N_2O$  [63] or  $CO_2$  [73]. For comparison, the spectrum of CO adsorbed on the  $Cr^{IV}(=O)/SiO_2$  reference is also reported [63]. The evolution of the FT-IR spectra upon reducing  $\theta_{CO}$  is in well agreement with this interpretation: indeed, the band at 2200 cm<sup>-1</sup> decreases faster in intensity, indicating that CO is less strongly adsorbed on the  $Cr^{IV}(=O)$  than it is on  $Cr^{II}$  sites. Hence, FT-IR spectroscopy of adsorbed CO indicates that both the highly uncoordinated  $Cr^{II}$  and the  $Cr^{IV}(=O)$  sites are accessible to incoming molecules (including the monomer), while the 6-fold coordinated  $Cr^{III}$  sites are not.

In order to evaluate which are the sites involved in ethylene polymerization, the same experiment was repeated for CH<sub>4</sub>-Cr/SiO<sub>2</sub> after 20 s of exposure to ethylene (<u>Fig. 4</u>b). The band at 2200 cm<sup>-1</sup> is drastically decreased in comparison with the previous case at equal CO coverage (about 45% less), meaning that most of the Cr<sup>IV</sup>(=O) sites are no more available because they are occupied by the incipient polymer chains. In contrast, the three bands assigned to CO in interaction with Cr<sup>II</sup> sites remain almost unaltered. This observation indicates that the Cr sites fastest to start ethylene polymerization are the Cr<sup>IV</sup>(=O) sites. However, this method cannot be applied for longer polymerization times [76], because the catalyst pellet is rapidly covered by a polymer layer that prevents CO diffusion.

For this reason, ethylene polymerization onto CH<sub>4</sub>-Cr/SiO<sub>2</sub> was also monitored by DR UV-Vis-NIR spectroscopy, as showed in <u>Fig. 5</u>a. The formation of the polymer is indicated by the appearance of the typical fingerprints of PE in the NIR region, namely the overtones and combinations of the vibrational modes of CH<sub>2</sub> moieties (inset in Fig. 5a'). The overall absorbance is decreased because of the white polymer coating around the catalyst particles [77], [78], which changes their scattering properties [23]. However, a more careful glance clearly reveals that some bands are more affected than others, denoting which are the sites where the formation of the polymer takes place [79]. Fig. 5b reports the band fitting of the final spectrum (collected after 10 min of ethylene polymerization), showing an analogous composition as the one in Fig. 3b', but with a different relative proportion of the contributions (the numerical data of the analysis are reported in <u>Table S1</u>). Although an absolute quantification of all the species cannot be achieved because it should require the knowledge of the extinction coefficients of all the absorption bands, we can estimate the relative variation of each species upon ethylene polymerization by comparing the relative intensities of the corresponding bands. For instance, if we assume the Crm sites as a benchmarks (since they were demonstrated to be almost inaccessible to incoming molecules), the ratio between the Cr<sup>IV</sup> and

 $Cr^{\text{III}}$  integrated areas decreases by 63% (from 1.064 to 0.395), and that between the  $Cr^{\text{II}}$  and  $Cr^{\text{III}}$  by 38.5% (from 0.377 to 0.232). This means that at longer polymerization times most of the  $Cr^{\text{IV}}(=O)$  sites are involved (much more than those responsible for the first stages of reaction), followed by a considerable fraction of  $Cr^{\text{II}}$  sites. Such analysis cannot exclude that some  $Cr^{\text{III}}$  sites are active, but their amount is negligible in proportion and thus they cannot account for the overall great activity of the  $CH_4$ -

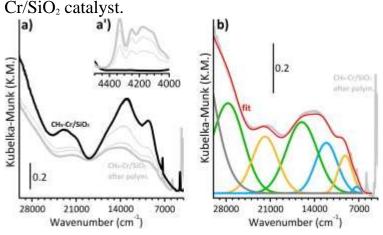


Fig. 5. (Part a) Evolution of the DR UV–Vis-NIR spectra of CH<sub>4</sub>-Cr/SiO<sub>2</sub> during ethylene <u>polymerization</u> (for a total reaction time of 10 min). The inset in part a' shows a magnification of the NIR region. (Part b) Band fitting of the DR UV–Vis-NIR spectrum of CH<sub>4</sub>-Cr/SiO<sub>2</sub> after 10 min of ethylene polymerization: the experimental spectrum (light grey) is compared to the best fitting curve (red).

Therefore, FT-IR and DR UV–Vis-NIR spectroscopies converge in identifying the  $Cr^{\text{IV}}(=O)$  species as the main actors in the catalytic polymerization of ethylene on  $CH_4$ – $Cr/SiO_2$ . This fully explains the great performances reported in the literature for a  $Cr^{\text{VI}}/SiO_2$  catalyst pre-reduced in methane [11]. In fact, according to our previous work [34], the  $Cr^{\text{IV}}(=O)$  species are one order of magnitude more active than the highly uncoordinated  $Cr^{\text{II}}$  sites, and probably also of the more shielded  $Cr^{\text{II}}$  sites derived from ethylene reduction.

# 4. Conclusions

In this work, several spectroscopic techniques have been applied in a concerted way to unravel the effects of pre-reducing the Cr<sup>vI</sup>/SiO<sub>2</sub> Phillips catalyst in CH<sub>4</sub> at 400 °C. According to industrial reports [11], [13], this procedure removes the induction time and enhances the catalyst activity of ca. 30%, at the same time maintaining the polymer properties almost unaltered. The economic advantages of this pre-reduction procedure are evident. Hence, it is of crucial importance understanding the effects that a pre-reduction in CH<sub>4</sub> has on the molecular structure of the chromium sites.

The results here shown clearly demonstrate that, in contrast with the most famous (though infrequently used in commercial processes) pre-reduction treatment in CO that cleanly converts all the Crvi sites to highly uncoordinated Cri, upon reduction by methane at least three types of reduced Cr species are formed, differing in the oxidation state (Cr<sup>II</sup>, Cr<sup>III</sup> and Cr<sup>IV</sup>) and coordination geometry (as roughly depicted in Fig. 6). Formic acid and hydrogen have been detected as by-products of methane oxidation, and they are completely removed from the catalyst surface under the pre-reduction conditions. The relative amount of the three types of sites has been quantitatively determined by analyzing the XANES data. At the end of the pre-reduction treatment, about 33% of the Cr<sup>VI</sup> sites are converted into 6-fold coordinated Cr<sup>III</sup> sites (Fig. 6b), which are evidently not accessible to incoming molecules. Hence, their role in ethylene polymerization should be negligible. In addition, ca. 23% of the CrvI sites are reduced to highly uncoordinated Cr<sup>II</sup> sites very much similar to those obtained upon prereduction in CO (Fig. 6c), in good agreement with the observation of a brilliant flash of light when the sample is exposed to air. These sites are accessible by CO, and take part to ethylene polymerization. However, the majority of the CrvI sites (ca. 44%) are reduced to Cr<sup>IV</sup>(=O) species (Fig. 6a), i.e. chromyl mono-oxo sites, which are accessible to incoming molecules and by far more reactive than the Cr<sup>II</sup> sites in ethylene polymerization, as previously demonstrated by some of us [34], [73]. Hence, the higher activity of a Cr/SiO<sub>2</sub> Phillips catalyst pre-reduced in CH<sub>4</sub> can be explained in terms of formation of a substantial amount of "super-active" Cr<sup>IV</sup>(=O) species.

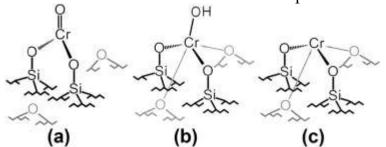


Fig. 6. Pictorial representation of the local structures for the reduced Cr-species on CH<sub>4</sub>-Cr/SiO<sub>2</sub> catalyst, as suggested by spectroscopic methods.

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