

1 **Stacking as a key property for creating nanoparticles with**  
2 **tunable shape: the case of squalenoyl-doxorubicin**

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21 **ABSTRACT**

22           The development of elongated nanoparticles for drug delivery is of growing interest in recent  
23 years, due to longer blood circulation and improved efficacy compared to spherical counterparts.  
24 Squalenoyl-doxorubicin (SQ-Dox) conjugate was previously shown to form elongated nanoparticles  
25 with improved therapeutic efficacy and decreased toxicity compared to free doxorubicin. By using  
26 experimental and computational techniques we demonstrate here that the unique physical properties of  
27 SQ-Dox, including stacking and electrostatic interactions of doxorubicin, as well as hydrophobic  
28 interactions of squalene, are involved in the formation of nanoassemblies with diverse elongated  
29 structures. We show that SQ-Dox concentration, ionic strength and anion nature can be used to  
30 modulate the shape and stiffness of SQ-Dox nanoparticles. As those parameters are involved into  
31 nanoparticles behavior in biological media, these findings could bring new opportunities for drug  
32 delivery and serve as an example for the design of new nanodrugs with stacking properties tuned for  
33 particular clinical purposes.

34 Doxorubicin (Dox) is a widely used anticancer drug presenting a broad spectrum of activity  
35 but limited by dose-dependent and irreversible cardiotoxicity.<sup>1</sup> To overcome this major drawback, we  
36 have previously conjugated the anticancer drug to squalene, a natural and biocompatible lipid, and it  
37 was found that the resulting bioconjugate was able to self-assemble into nanoparticles in water. This  
38 new nanomedicine displayed increased anticancer efficiency and decreased cardiotoxicity compared to  
39 free doxorubicin, which was attributed mainly to the elongated shape of the SQ-Dox nanoparticles.<sup>2</sup>  
40 Long flexible cylindrical micelles, generally referred to as wormlike micelles or filomicelles, have  
41 been first used for therapeutic purposes by Discher *and al.* in the middle of 2000's.<sup>3,4</sup> Interestingly,  
42 cylindrical paclitaxel-loaded polyethyleneglycol-polycaprolactone nanoparticles were observed to  
43 exhibit an extended circulation time in mouse bloodstream compared to analogous spherical particles,  
44 and a higher accumulation in xenograft tumors. Since then, increasing attention has been paid to the  
45 role of nanocarrier's shape for drug delivery. It has been revealed that beyond sustaining a long  
46 circulation time, elongated shape may favor margination (preferential migration of some nanoparticles  
47 close to the vessel wall in the bloodstream), allowing an improved delivery to target tissues via the  
48 Enhanced Permeation and Retention effect.<sup>5</sup> In addition, the nanoparticle rigidity may also impact the  
49 tumor accumulation: soft nanocarriers accumulate more in tumor tissues than the stiffer ones.<sup>6,7</sup> All  
50 these findings help considering wormlike nanoparticles as promising nanomedicines with a high  
51 degree of adjustability. As the majority of the efforts has been put on polymer wormlike nanoparticles  
52 in recent years<sup>8-10</sup>, SQ-Dox is currently a unique simple non-polymeric nanomedicine forming  
53 elongated nanostructures with confirmed therapeutic efficacy.<sup>11</sup>

54 In the present study, it is discovered by which mechanism these elongated SQ-Dox  
55 nanoparticles form. The role of the added anion concentration and valency is also discussed, as a  
56 unique way to modulate the nanoparticle shape and rigidity. This could bring new opportunities in the  
57 drug delivery field by the design of nanomedicines with controlled shape and structure.

58

### 59 **Structure of SQ-Dox nanoparticles**

60 SQ-Dox nanoparticles were prepared by the nanoprecipitation of a THF solution of SQ-Dox  
61 hydrochloride in water, as previously described<sup>2</sup>. Clear red suspension of nanoparticles formed with a

62  $\zeta$ -potential of  $\sim +56$  mV, arising from the positively charged ammonium group on the daunosamine  
63 sugar moiety of Dox. Cryo-TEM pictures of the 2 mM SQ-Dox nanoparticles suspension revealed the  
64 presence of small quasi-spherical nanoparticles with  $\sim 5$ -6 nm diameter, and only few long cylinders  
65 (**Fig. 1a**). When increasing the concentration of SQ-Dox from 2 mM to 4 mM, cryo-TEM observations  
66 revealed the coexistence of short nanoparticles and wormlike nanoparticles with length up to microns  
67 (**Fig. 1b, Supplementary Fig. 1**). A wormlike nanoparticle can be considered as a rigid rod on a small  
68 length scale defined as the persistence length  $l_p$ , characterizing its flexibility.<sup>12,13</sup> In addition, some  
69 flexible nanoparticles of diameter  $\sim 5$  nm seemed to assemble to form thicker ones of diameter  $\sim 11.7$   
70 nm. In general, it was observed that the number of long wormlike nanoparticles increased when  
71 raising SQ-Dox concentration.

72 **Fig. 1c** displays the small-angle X-ray scattering (SAXS) patterns of nanoparticle suspensions  
73 as a function of SQ-Dox concentration. The SAXS pattern of SQ-Dox suspensions at 2 mM  
74 concentration was modelled by core-shell prolate ellipsoids. The obtained lengths for short and long  
75 semi-axes were 2.3 nm and 3.4 nm, respectively, and the shell thickness was 1.1 nm, in good  
76 agreement with the size observed in cryo-TEM (**Fig. 1a**). The SAXS patterns of more concentrated  
77 suspensions (4-15mM) were characteristic of cylindrical nanoparticles, as shown by the  $q^{-1}$   
78 dependence of the scattered intensity  $I(q)$  at intermediate scattering vectors  $q$  ( $q = 4\pi \sin\theta / \lambda$  where  $2\theta$   
79 is the scattering angle and  $\lambda$  the X-ray wavelength).<sup>14</sup> In the high  $q$  region, short length scales were  
80 probed and the curves reflected the structure of the nanoparticle cross-section. The intermediate and  
81 high  $q$  regions of the curves could be well described with a model of core-shell cylindrical aggregate,  
82 the core and the shell corresponding to the hydrophobic SQ chains and the hydrophilic Dox polar  
83 heads, respectively (**Fig. 1d,e**). Fitting of the SAXS curve resulted in a core radius of 2.2 nm,  
84 matching the length of stretched SQ chains, and a shell thickness of 1.1 nm. The fitting method is  
85 described in **Supplementary Information**.

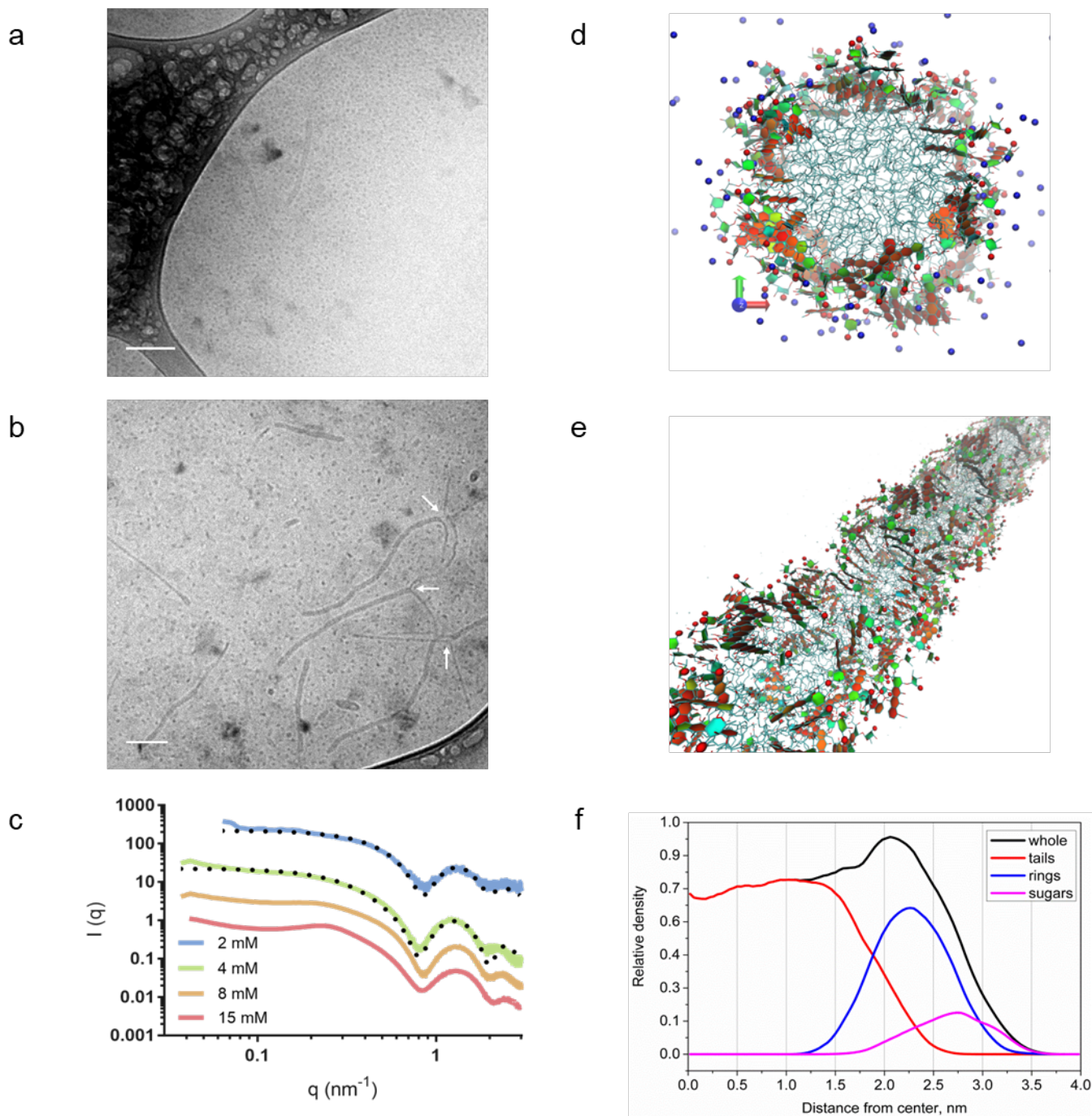
86 The low  $q$  region contained information on the length and flexibility of the nanoparticles.  
87 Those features are expected to depend on the bioconjugate concentration. When the concentration  
88 increased, elongated nanoparticles tended to grow in order to minimize the excess of the free energy in

89 the system by reducing the number of end caps. Simultaneously, the rate of growth may be decreased  
90 by the electrostatic repulsions between charges along the nanoparticle body, favoring shorter  
91 nanoparticles.<sup>12,13</sup> The SAXS pattern of the 4 mM suspension could be fitted by ~ 18 nm-long  
92 cylinders. The increase in  $I(q)$  at lowest  $q$  values suggested the coexistence of these majority  
93 nanoparticles with long nanoassemblies. Upon ageing, short cylinders evolved toward long wormlike  
94 nanoparticles with  $2lp \sim 40$  nm, as shown by the SAXS curve recorded after 18 days (**Supplementary**  
95 **Fig. 2**). For SQ-Dox concentration above 4 mM, the flattening in X-ray scattering intensity at low  $q$   
96 and the broad maximum at about  $0.24 \text{ nm}^{-1}$ , indicative of a structure factor, emphasized the existence  
97 of repulsive interactions between nanoparticles, with an average distance between cylinders  $d \sim 26$   
98 nm. The interactions between nanoparticles depend on the effective volume fraction and dimensions of  
99 the nanoparticles. Due to the presence of charges, the diameter  $2R$  of the nanoparticles was increased  
100 to the effective value  $2R + 2\lambda_d$ , where  $\lambda_d$  is the Debye screening length accounting for the extent of the  
101 electrostatic repulsion. The volume of a nanoparticle of length  $L$  was increased to the effective volume  
102  $\pi L(R + \lambda_d)^2$  (**Supplementary Table 2**). Of note, the existence of interactions between nanoparticles is  
103 a major obstacle to determine their actual persistence length because the scattering in the low  $q$  region  
104 is affected by both the length of the nanoparticles and their interactions. Scattering in the intermediate  
105  $q$  region provided the lower limit of the actual persistent (or nanoparticle) lengths ( $\sim 13\text{-}20$  nm).

106 The coexistence of rather short and long wormlike nanoparticles was further supported by the  
107 ultracentrifugation of the 8mM SQ-Dox suspension. The supernatant SAXS pattern could be modelled  
108 by rod-like particles with length  $\sim 20$  nm, while the pellet pattern showed longer aggregated  
109 nanoparticles (**Supplementary Fig. 3**).

110 The radial density distribution obtained from molecular dynamics (MD) calculations was in  
111 excellent agreement with these findings. (**Fig. 1f**) During the simulations (**Fig. 1d,e**), spontaneous  
112 formation of cylindrical nanoparticles could be observed from initial unstructured SQ-Dox aggregates  
113 on the time scale of hundreds of nanoseconds (**Supplementary Fig. 4**).

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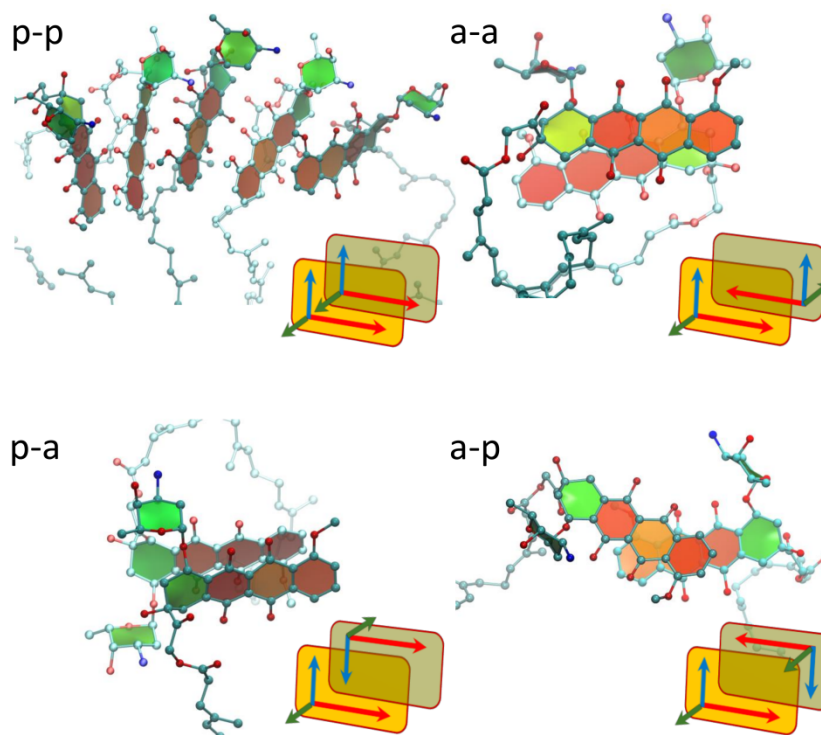
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116 **Figure 1: Structural characterization of SQ-Dox nanoparticles** *a.b.* Cryo-TEM micrographs of SQ-Dox  
 117 nanoparticles suspensions prepared at **a.** 2 mM (scale bar, 50 nm) and **b.** 4 mM of SQ-Dox in water. The arrows  
 118 indicate the interaction sites between SQ-Dox thin cylinders (scale bar, 100 nm). **c.** Small angle X-ray scattering  
 119 pattern of SQ-Dox nanoparticles in water. The plain line represents experimental data and the dotted lines are  
 120 the corresponding fits. Curves are shifted along the y-axis for clarity. **d.e.** Snapshots of a cylindrical NP. SQ tails  
 121 are shown as sticks. Aromatic rings are filled with red and orange while non-aromatic rings are green and cyan.  
 122 Positively charged  $\text{NH}_3^+$  groups are shown as red spheres.  $\text{Na}^+$  ions are blue spheres. Water is not shown for  
 123 clarity. **d.** Front view. **e.** Perspective view. **f.** Radial density distribution (in dimensionless units) of the SQ chains  
 124 and Dox heads in the cylindrical nanoparticle with  $\text{Cl}^-$  counter ions.  
 125

126 Of note, the formation of cylindrical aggregates is specific to SQ-Dox and contrasts with the  
 127 shape of other squalene-based nanoparticles.<sup>15-18</sup> We assume that it originates from Dox stacking.

128 Indeed, Dox is known to self-assemble in aqueous solution to form dimers, in parallel or antiparallel  
129 orientation, oligomers or fibers, depending on the concentration, the pH of the solution and the  
130 presence of added salts.<sup>19-23</sup> The absorbance and fluorescence spectra of SQ-Dox in water confirmed  
131 the stacking of Dox moieties in nanoparticles for concentration above 5  $\mu\text{M}$  (**Supplementary Fig. 5**).

132 In simulated SQ-Dox aggregates, the stacks started forming within hundreds of picoseconds  
133 and persisted on the time scales of hundreds of nanoseconds up to the end of the simulations. Four  
134 distinct types of stacks were observed, differing in the relative orientation of the Dox rings (**Fig. 2**).  
135 The p-p stacks were the most abundant and tended to form extended fan-like structures, including up  
136 to six molecules with long axes slightly inclined to each other. Three subpopulations of p-p pairs,  
137 which differed in the inclination angle  $\alpha$  and the distance  $d$ : p-p1 ( $d \sim 0.55 \text{ nm}$ ,  $\alpha \sim 20^\circ$ ); p-p2 ( $d \sim$   
138  $0.45 \text{ nm}$ ,  $\alpha \sim 40^\circ$ ); p-p3 ( $d \sim 0.45 \text{ nm}$ ,  $\alpha \sim 60^\circ$ ), coexisted in cylindrical nanoparticles  
139 (**Supplementary Fig. 6a**). The free energy barrier of disrupting the p-p1 stacking interaction in  
140 cylindrical micelles was estimated in MD simulations as  $\sim 30 \text{ kJ/mol}$  (**Supplementary Fig. 7**). This  
141 indicated strong interaction with characteristic life time of minutes. a-a and p-a stacks were also  
142 observed while a-p stacks were very rare (**Supplementary Fig. 6b**). Despite numerous subpopulations  
143 of stacked pairs, the orientation of Dox heads relatively to the radius of cylinders was rather  
144 homogeneous and formed a single dominant population (**Supplementary Fig. 6c**). However, the  
145 relative abundances of the different types of stacks could not be quantified since the molecule stacking  
146 was correlated with the nanoparticle density, given in arbitrary units.



147

148 **Figure 2: Different types of stacked aggregates observed in MD simulations.** Each panel shows a simulation  
 149 snapshot with stacked SQ-Dox molecules in corresponding configuration and a scheme of the aggregate. On the  
 150 scheme red, blue and green arrows correspond to long, short and normal axes of the Dox rings (see SI for  
 151 details). Schemes are not aligned with the molecules on snapshots and correspond to them up to 3D rotation. On  
 152 the first panel the fan-like aggregate of five stacked molecules in p-p orientation is shown. Other panels show  
 153 aggregates of two molecules.  
 154

155 To confirm the preferential assembling of SQ-Dox into cylindrical aggregates, the packing  
 156 parameter  $p$  has been evaluated through Langmuir-Blodgett experiments. And a molecular area of 53  
 157  $\text{\AA}^2$  was deduced from the SQ-Dox monolayer compression isotherm (**Supplementary Fig. 8a**),  
 158 leading to a  $p$  value of 0.51, clearly consistent with the formation of cylindrical nanoparticles.<sup>24</sup> The  
 159 compressibility modulus  $K$  for the SQ-Dox monolayer exhibited a maximum value of  $92 \text{ mN}\cdot\text{m}^{-1}$ ,  
 160 higher than the compressibility modulus maxima of squalenic acid and squalene-based cytidine  
 161 derivatives, comprised in the  $43\text{-}62 \text{ mN}\cdot\text{m}^{-1}$  range (**Supplementary Fig. 8b**).<sup>25</sup> This is likely a  
 162 consequence of Dox stacking. Interestingly, this maximum was obtained for a large range of surface  
 163 pressure, suggesting possible molecular reorganization in the monolayer.

164

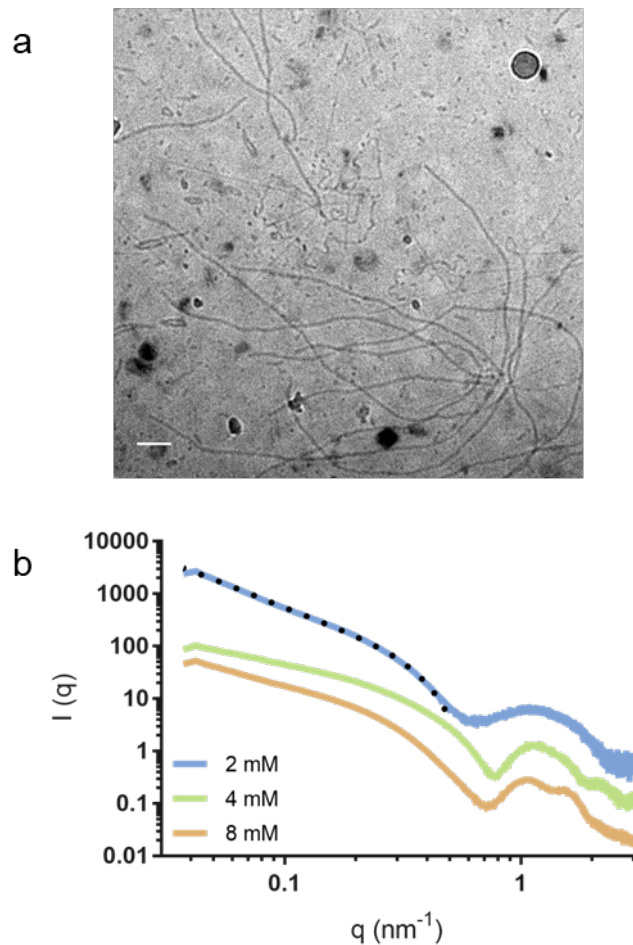
165 **Influence of salt on SQ-Dox nanoparticles structure**



166 The influence of adding NaCl to an already prepared SQ-Dox nanoparticle suspension in water  
167 was investigated, using a SQ-Dox:NaCl ratio of 1:1 mol:mol. Added salts are expected to screen the  
168 intramolecular repulsive interactions between charges, entailing the growth of aggregates.<sup>12</sup> Cryo-TEM  
169 pictures revealed that comparatively to SQ-Dox in pure water, the number and the length of long  
170 wormlike nanoparticles increased after NaCl addition and the two previously described populations  
171 with diameters  $\sim 5$  nm and  $\sim 11.7$  nm were detected (**Fig. 3a**).

172 The SAXS pattern of a 2 mM SQ-Dox nano-suspension in the presence of 2 mM NaCl  
173 revealed the formation of long wormlike nanoparticles (**Fig. 3b**). The scattered intensity  $I(q)$  showed a  
174 clear upturn in the low  $q$  region ( $q \leq 0.25$  nm<sup>-1</sup>) relative to the  $q^{-1}$  behavior typical of straight rods  
175 observed at intermediate  $q$  values. In the 0.05-0.5 nm<sup>-1</sup>  $q$ -range, the curve could be fitted with the two  
176 models of long semi-flexible chains without interactions developed by Kholodenko and Pedersen and  
177 Schurtenberger.<sup>26,27</sup> They yielded similar Kuhn lengths  $2l_p \sim 30$  nm. For nanoparticles prepared at 4  
178 mM and 8 mM, the repulsive interactions between the nanoparticles were screened upon addition of  
179 NaCl, as shown by the disappearance of the correlation peak at  $q \sim 0.24$  nm<sup>-1</sup> compared to  
180 nanoparticles in water (**Fig. 1d**) and by the  $q^{-1}$  dependence of the scattered intensity extending at low  $q$   
181 values (**Fig. 3b**). The decrease of the Debye lengths  $\lambda_D$  also confirmed this screening process  
182 (**Supplementary Table 2**). The SAXS pattern of the 4 mM suspension could be fitted with a model of  
183 100 nm-long stiff cylinders. Taken together, the above results suggested an increase of nanoparticle  
184 flexibility with the addition of NaCl.

185 The screening of repulsive interactions between cylindrical aggregates may favor their side-  
186 by-side association, as suggested by the scattering curve in the high  $q$  region of the SQ-Dox  
187 nanoparticles at a concentration of 8 mM with NaCl at molar ratio 1:1 (**Fig. 3b**). A factor structure,  
188 resulting from the close packing of some cylinders, was superimposed on the oscillation at high  $q$   
189 while the low- $q$  part of the curve could be fitted with 100 nm-long rods displaying an ellipsoidal cross-  
190 section. The length of the short semi-axis and the ellipticity ratio were 3.8 nm and 2.2 respectively,  
191 consistent with the size of the thicker nanoparticles. The peaks at 0.94 nm<sup>-1</sup> and 1.7 nm<sup>-1</sup> corresponded  
192 to the first and second order of reflection arising from the stacking of cylinders with a mean distance  
193 between their axes of  $\sim 6.7$  nm, consistent with their diameter.



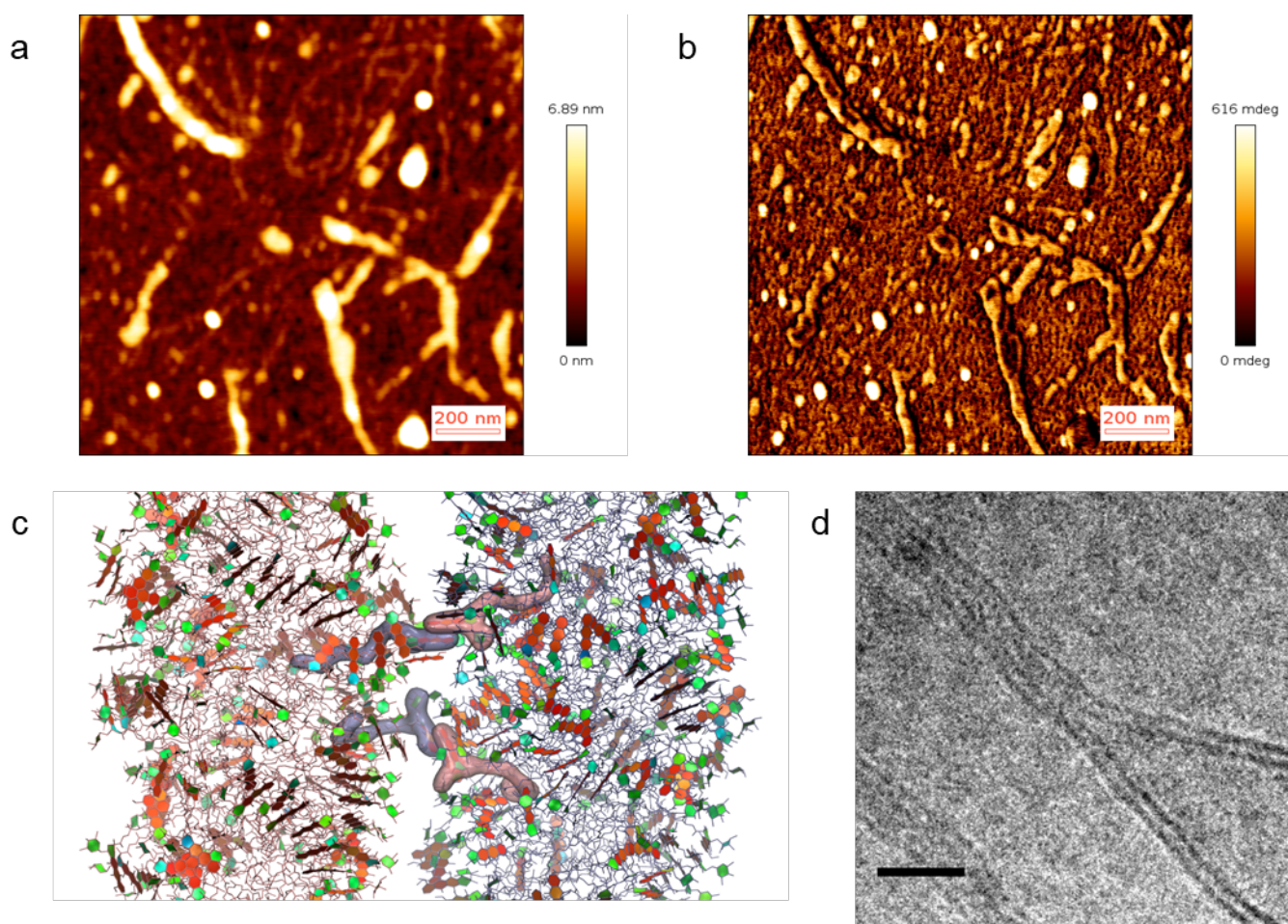
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196 **Figure 3: Morphological evolution of SQ-Dox nanoparticles.** *a.* Cryo-TEM micrograph of a suspension of  
 197 nanoparticles prepared at a concentration of 2 mM in H<sub>2</sub>O with addition of 2 mM NaCl (scale bar, 100 nm). *b.*  
 198 Influence of salt addition on nanoparticles SAXS patterns. The SQ-Dox nanoparticles are prepared at  
 199 concentrations ranging from 2 mM to 8 mM and NaCl is added using a molar ratio SQ-Dox:NaCl 1:1. The  
 200 dotted line corresponds to the fit of the 2 mM curve. The curves have been shifted along the y-axis for clarity.  
 201

202 Additional insights into the structure of the SQ-Dox nanoparticles were provided by AFM  
 203 imaging. It has been observed that the AFM height image of the SQ-Dox samples displayed a mixture  
 204 of spheres and long cylinders affected in two ways: (i) by the attractive interactions between the  
 205 positively charged nanoparticles and the silicon surface that tended to flatten the nanoparticles and (ii)  
 206 by the convolution between the AFM tip and nanoparticles lateral dimensions (**Fig. 4a**). The AFM  
 207 phase image suggested that thin cylinders formed helical bundles during their aggregation into thick  
 208 cylinders (**see arrow in Fig. 4b**). The MD simulations of interacting wormlike nanoparticles also  
 209 highlighted the formation of twisted aggregates, which could also form helical bundles on larger scales  
 210 (**Supplementary Fig. 9**).

211 MD simulations also revealed the existence of inter-cylinder stacking interactions involving a-  
 212 a and a-p stacking pairs. Although the formation of such “bridges” was rare (only two pairs were  
 213 formed during the simulation time of 300 ns), they were able to keep the cylinders together once  
 214 formed. This allowed us to hypothesize a “zipper-like” mechanism of interaction between the  
 215 cylinders (**Fig. 4c**). Once several inter-cylinder stacks formed, they would keep the cylinders at close  
 216 distance for sufficiently long time to facilitate the formation of even more stacks. The formation of  
 217 “zipped” cylinders was also clearly observed by cryo-TEM (**Fig. 4d**).

218



219  
 220 **Figure 4: Mechanism of interaction between cylindrical nanoparticles with monovalent anions.** *a. b. AFM*  
 221 *pictures obtained in liquid medium of SQ-Dox nanoparticles (2 mM with 2 mM NaCl, scale bar, 200 nm).* *a.*  
 222 *Height image. b. Phase image. c. MD simulations showing inter-cylinders stacking interactions. Stacking pairs*  
 223 *from different cylinders are highlighted by semi-transparent surfaces. The colors are the same than in Fig. 1. d.*  
 224 *Cryo-TEM pictures showing the stacking of SQ-Dox nanoparticles (2 mM with 2 mM NaCl) to form multilayer*  
 225 *structures (Scale bar, 20 nm).*  
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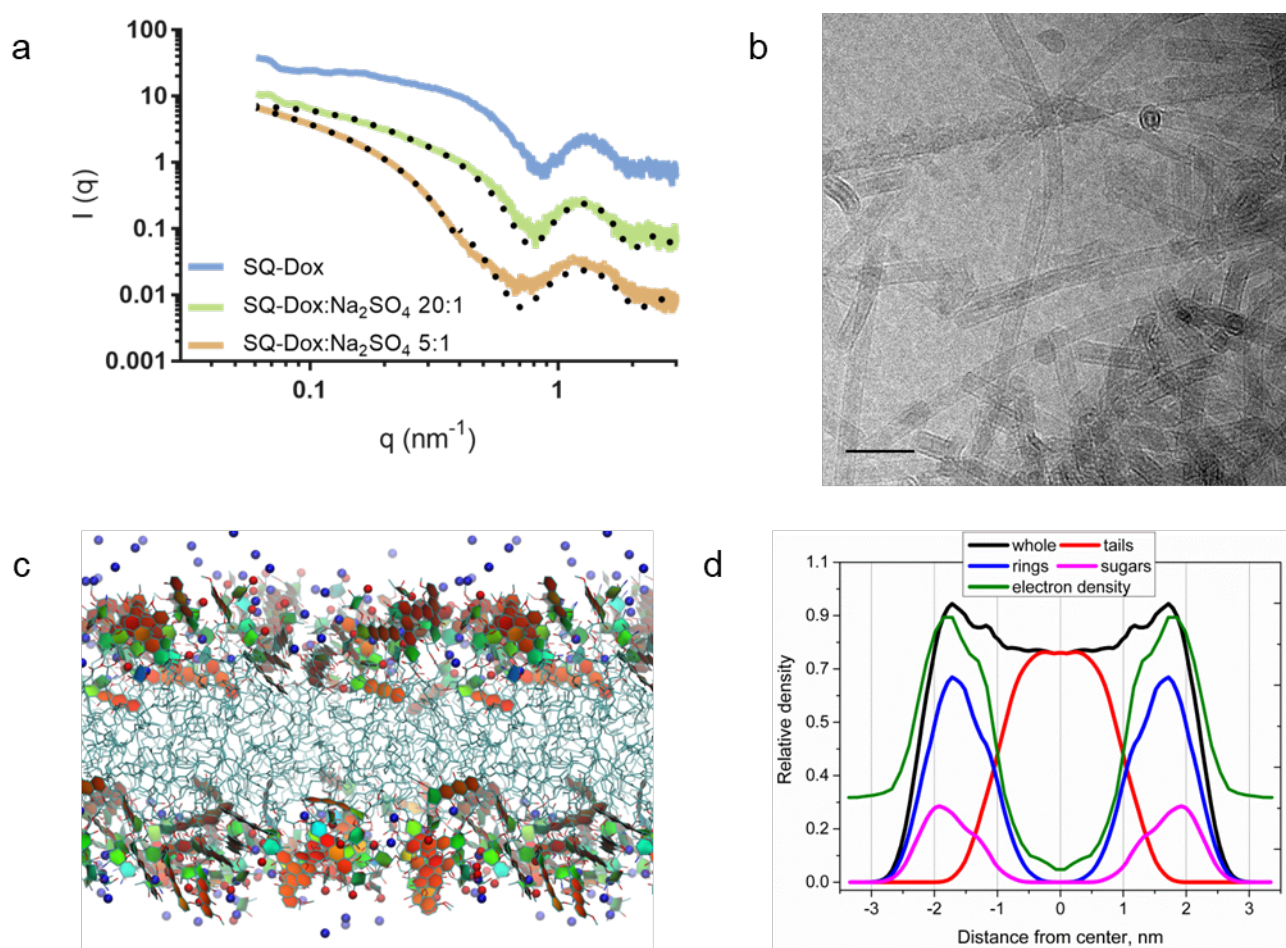
227 **Influence of the nature of the salt on the structures of the SQ-Dox nanoparticles**

228 In order to investigate the impact of the nature of the salt on the supramolecular assembly of  
229 SQ-Dox, Na<sub>2</sub>SO<sub>4</sub> was added to a 2 mM water suspension of nanoparticles, with addition of 0.01 to 1  
230 mM Na<sub>2</sub>SO<sub>4</sub> (SQ-Dox:Na<sub>2</sub>SO<sub>4</sub> ratios 20:1 to 2:1 mol:mol, respectively). Using 0.01 mM Na<sub>2</sub>SO<sub>4</sub>, long  
231 core-shell cylinders were observed as the main population (**Fig 5a**) while with higher Na<sub>2</sub>SO<sub>4</sub>  
232 concentrations, the divalent anions allowed the formation of wider (diameter ~ 14.3 nm, **Fig. 5b**,  
233 **Supplementary Fig. 10a**), shorter and more rigid cylindrical nanoparticles comparatively to  
234 monovalent anion (ie. NaCl). The SAXS curve at low q could be modeled by cylinders with a  
235 Lognormal distribution of radii around 7 nm, in agreement with the diameters measured in cryo-TEM  
236 experiment (**Fig 5a**). Both Cryo-TEM images and SAXS pattern suggested the formation of nanotubes  
237 consisting of an aqueous core surrounded by a SQ-Dox bilayer. Some images also suggested the  
238 existence of nanoassemblies comprising a SQ-Dox cylindrical core surrounded by a bilayer shell  
239 (**Supplementary Fig. 10b**).

240 The possible formation of stable bilayers with an average thickness of ~ 4 nm was supported  
241 by MD simulation (**Fig 5c,d, Supplementary Fig. 11a,b**). Experimentally, the rod-to-bilayer  
242 transition was expected to arise from both the screening of charges and the ability of divalent anions to  
243 form long-lived bridges between charged Dox moieties. The distributions of the distances between N  
244 atoms of the sugar moieties in stacked Dox pairs were computed for Cl<sup>-</sup> and SO<sub>4</sub><sup>2-</sup> ions, demonstrating  
245 that these distances were significantly shorter in the presence of SO<sub>4</sub><sup>2-</sup> ions (**Supplementary Fig 11c**).  
246 The decrease in electrostatic repulsion and salt bridges between nearest-neighbor amine groups led to  
247 a denser packing of SQ-Dox molecules, along with an increase in the packing parameter and a lower  
248 curvature of the nanoparticles. The bilayers thus formed were flexible enough to bend into cylinders.

249 Interestingly, some cryo-TEM images suggested the wrapping of a bilayer around a SQ-Dox  
250 cylinder (**Supplementary Fig. 10b**). The formation mechanism could rely on the adsorption of SQ-  
251 Dox monomers at the surface of cylindrical nanoparticles through the formation of SO<sub>4</sub><sup>2-</sup> bridges. The  
252 bilayer could then be generated by the addition of another layer of monomers to avoid unfavorable  
253 interaction of SQ chains with water. Tubes of 16-17 nm diameter, consisting in a SQ-Dox bilayer  
254 separated from the cylindrical core by a thin layer of water, were constructed in MD simulations

255 **(Supplementary Fig. 10c,d)**. The density map of Dox headgroups in the cross-section, symmetrized  
 256 radially around the axis of the tube, exhibited three distinct rings corresponding to the layers of Dox  
 257 moieties located at distances of  $\sim 2.2$  nm,  $\sim 4.1$  nm and  $\sim 7.5$  nm from the center. Those values are in  
 258 good agreement with cryo-TEM observations **(Supplementary Fig. 10b,e)**.  
 259



260  
 261 **Figure 5: Morphology of SQ-Dox nanoparticles in the presence of divalent anions.** *a.* SAXS patterns of SQ-  
 262 Dox nanoparticles in the presence of Na<sub>2</sub>SO<sub>4</sub>. The SQ-Dox nanoparticles are prepared at 2 mM and Na<sub>2</sub>SO<sub>4</sub> is  
 263 added at 0.01 mM (molar ratio 20:1) or 0.04 mM (molar ratio 5:1). The dotted lines correspond to the fits of the  
 264 experimental curves. The curves have been shifted along the y-axis for clarity. *b.* Cryo-TEM micrograph of a  
 265 suspension of SQ-Dox nanoparticles at a concentration of 2 mM with addition of 0.04 mM Na<sub>2</sub>SO<sub>4</sub> (scale bar, 50  
 266 nm). *c.* Snapshot of equilibrated SQ-Dox bilayer. Squalene tails are shown as sticks. Aromatic rings are filled  
 267 with red and orange while non-aromatic rings with green and cyan. Positively charged NH<sub>3</sub><sup>+</sup> group are shown  
 268 as red sphere. Na<sup>+</sup> ions are blue. Water is not shown for clarity. *d.* Density profiles for equilibrated SQ-Dox  
 269 bilayer including electron density profile.  
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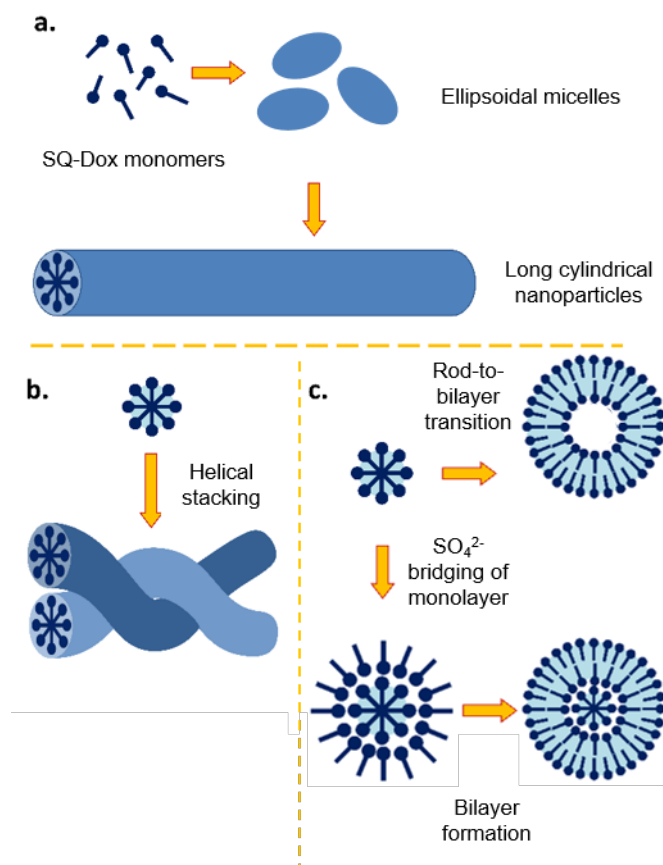
## 271 Discussion

272 SQ-Dox is a unique lipid-like molecule which combines stacking properties, ability to self-  
 273 assemble as elongated nanoparticles in water and a demonstrated pharmacological activity, which

274 makes it appealing not only from the clinical perspective but also as a representative of a new class of  
275 self-assembling amphiphilic molecules with rich phase behavior. Stacking interactions of Dox heads  
276 not only lead to the formation of cylindrical nanoparticles instead of spherical, but such interactions  
277 also result in an impressive heterogeneity of nanoparticles surfaces, which contain four distinct  
278 topologies of stacks subdivided further into a number of sub-populations. The minor population of  
279 antiparallel stacks is especially important because of its ability to “zip” cylindrical nanoparticles  
280 together, leading to the formation of bundles and other complex aggregates, especially in the presence  
281 of salts. The charge of the Dox headgroups adds, indeed, another dimension for adjusting and  
282 controlling the nanoparticles structure. Higher concentrations of monovalent salts lead to the  
283 elongation of cylindrical nanoparticles, which could be beneficial for prolonging the blood circulation  
284 time and avoiding the capture by the macrophages of the reticule-endothelial system. But divalent  
285 anions provide another mechanism of cross-linking nanoparticles together by means of salt bridges,  
286 triggering a dramatic transition from individual cylindrical micelles to two-layer tubes in solutions on  
287 the late stages of their evolution. Suggested sequence of events during the evolution of SQ-Dox  
288 nanoparticles in different salt solutions is shown in **Fig. 6**.

289 Remarkably, the shape, size and surface properties of SQ-Dox nanoparticles could be  
290 modulated in broad ranges by varying the bioconjugate concentration, the ionic strength and the nature  
291 of the anion. But the present study also suggests that the nanoparticles in the test tube and in the body  
292 may not be the same since their structure may dramatically vary according to the local in vivo  
293 environment, and this may have some clinical implications. Nevertheless, SQ-Dox may serve as a  
294 model for creating new lipid-like self-assembling molecules with stacking and cross-linking behavior  
295 tuned for particular medical applications.

296



297

298 **Figure 6: Schematic representation of the mechanisms of SQ-Dox assembly into elongated nanoparticles.**  
 299 *a.* Early stages of assembly – spontaneous formation of short cylindrical micelles and their elongation. *b.* Late  
 300 stages of assembly with monovalent anion. *c.* Late stages of assembly with divalent anions. Dox headgroups are  
 301 shown as filled circles and squalene tails as rods. In panels *b.* and *c.* the hydrophobic areas are cyan while white  
 302 areas are assumed to be filled with water.  
 303

## 304 **Methods**

### 305 **Formulation and characterization of SQ-Dox nanoparticles**

306 SQ-Dox was synthesized as described in **Supplementary Information**. SQ-Dox nanoparticles were prepared  
307 according to the nanoprecipitation process, adapted from Maksimenko *and al.*<sup>2</sup> Practically, SQ-Dox (2 mg) was  
308 solubilized into 500  $\mu\text{L}$  THF and added dropwise in 1 mL  $\text{H}_2\text{O}$  under stirring (500 rpm) using a syringe pump  
309 with a flow rate of 130  $\mu\text{L min}$ . THF was then removed by evaporation at 20  $^\circ\text{C}$  under vacuum to obtain a  
310 suspension of SQ-Dox nanoparticles in water.

311 To study the influence of added salts, concentrated solutions were added to already prepared SQ-Dox  
312 nanoparticle suspensions. NaCl solutions were prepared at concentrations ranging from 200 to 800 mM. 2  $\mu\text{L}$  of  
313 salt solution of appropriate concentration were added to 200  $\mu\text{L}$  of SQ-Dox nanoparticles in water prepared at  
314 concentrations ranging from 2 mM to 8 mM, to reach a final SQ-Dox:NaCl molar ratio of 1:1.  $\text{Na}_2\text{SO}_4$  solutions  
315 were prepared at concentrations ranging from 10 to 100 mM. 2  $\mu\text{L}$  of salt solution of appropriate concentration  
316 were added to 200  $\mu\text{L}$  of 2 mM SQ-Dox nanoparticles in water to reach a final SQ-Dox: $\text{Na}_2\text{SO}_4$  molar ratio  
317 ranging from 20:1 to 2:1.

318 The  $\zeta$ -potential was measured at 25  $^\circ\text{C}$  after 1:10 dilution of SQ-Dox nanoparticles in 1mM NaCl solution using  
319 a Zetasizer Nano ZS (Malvern Panalyticals).

320

### 321 **Cryo Transmission Electron Microscopy (Cryo-TEM)**

322 5  $\mu\text{L}$  of SQ-Dox nanoparticles at different concentrations (2 mM, 4 mM or 8 mM) in pure water or in the  
323 presence of salts (NaCl or  $\text{Na}_2\text{SO}_4$  at molar ratios SQ-Dox:NaCl 1:1 or SQ-Dox: $\text{Na}_2\text{SO}_4$  20:1 to 2:1) were  
324 deposited onto a Lacey Formvar/carbon 300 Mesh Copper Grid (Ted Pella). The excess was manually blotted  
325 with a filter paper and the residual thin film was immediately frozen by plunging into liquid ethane cooled down  
326 at liquid nitrogen temperature using a Leica EM-CPC cryo-plunger. Observation was performed using a JEOL  
327 2100HC microscope (JEOL Europe) or a JEOL 2200FS field emission microscope (JEOL USA) operating under  
328 an acceleration voltage of 200 kV in zero-loss mode (slit was 20 eV). High magnification images (2k by 2k  
329 pixels) were recorded by a CCD camera (Gatan Inc.) using Digital Micrograph software.

330

### 331 **Atomic Force Microscopy (AFM)**

332 SQ-Dox nanoparticles samples (2 mM) with or without NaCl (2 mM) were diluted 1:100 in water. 1 mL of this  
333 nanoparticles suspension was then deposited during 2 h onto a hydrophilic silicon surface previously treated with



334 acidic piranha solution. AFM experiments were performed using the Nanowizard 3 Ultra Speed (JPK  
335 Instruments), installed on an air-buffered table coupled to a dynamic anti-vibration device, and enclosed in an  
336 acoustic box. Imaging of the surface morphology was performed in air in AC mode with gold-coated silicon  
337 cantilever MLCT of  $0.6 \pm 0.1 \text{ N m}^{-1}$  spring constant,  $170 \pm 5 \text{ kHz}$  resonance frequency and 10 nm nominal  
338 radius of curvature (Bruker). The pyramid-shaped tips had a radius of curvature less than 20 nm. A free  
339 amplitude oscillation of 15 nm was chosen allowing the best resolution of the imaged surface. Setpoints ranging  
340 between 75% and 85% of the free amplitude were used. Images were taken at scan rate of 1 Hz. Image  
341 processing (flatten, plane fit, edge and hole detection) was performed with the JPK Data Processing software  
342 (JPK Instruments). At least three different areas of each sample were scanned and typical images were presented.

343

#### 344 **Small Angle X-Ray Scattering (SAXS)**

345 SAXS experiments were performed on the SWING beamline at SOLEIL and on the BM29 beamline at ESRF.  
346 For measurements on the SWING beamline, samples were loaded into quartz capillaries (1.5 mm diameter). The  
347 scattering intensity  $I(q)$  was reported as a function of the scattering vector  $q = 4\pi \sin\theta / \lambda$  where  $2\theta$  is the  
348 scattering angle and  $\lambda$  the X-ray wavelength. Data were recorded at 12 keV in the scattering vector  $q$ -range  $0.04$   
349  $< q < 4 \text{ nm}^{-1}$ , using a bi-dimensional Avix detector. For each sample, 10 frames of 0.150 s were recorded at 20  
350 °C and averaged. Water scattering was subtracted from the sample scattering. The beamline software Foxtrot  
351 was used for data collection and processing. On the BM29 beamline, samples were injected via an automated  
352 sample changer into a quartz capillary (1.8 mm internal diameter) and streamed at a constant flow rate through  
353 the capillary during beam exposure to avoid possible degradation under X-ray irradiation.<sup>28</sup> Data were recorded  
354 at 12.5 keV in the scattering vector  $q$ -range  $0.04 < q < 5 \text{ nm}^{-1}$ , using a Pilatus 1M detector. For each sample 12  
355 frames of 0.3 s were averaged and water scattering was measured before and after each sample.<sup>29</sup> The dedicated  
356 beamline software BsxCuBe was used for data collection and data processing was carried out using EDNA  
357 software.<sup>30</sup> For all of the samples, the scattering intensity was normalized with respect to the incident beam  
358 intensity, acquisition time and sample transmission. Structural information was retrieved from the SAXS patterns  
359 using the SASfit program.<sup>31</sup>

360

#### 361 **Molecular Dynamics force field**

362 The topology of SQ-Dox was used from our previous study.<sup>32</sup> Initial topology of SQ-Dox was generated by  
363 Acyppe topology generator.<sup>33</sup> The structure was optimized in Gaussian09<sup>34</sup> at the B3LYP/6-31++G(d) level of

364 theory. The ESP partial charges were computed and added to initial topology. The charges of topologically  
365 equivalent atoms were averaged. The charges of squalene moiety were set to zero except the linker between Dox  
366 and SQ. The atom types of squalene tails were adjusted to match lipids force field.

367

### 368 **Construction of pre-arranged cylindrical aggregates**

369 Cylindrical aggregates were also constructed from the pre-arrangement of SQ-Dox molecules in preferred  
370 orientation. This allowed much simpler simulation setup, which could be easily used to generate several initial  
371 structures for independent simulations. 100 SQ-Dox molecules were arranged into 10 disks with the molecules in  
372 each disk oriented radially around Z axis with Dox moiety facing outside. The system was solvated with ~13000  
373 water molecules and either 100 Cl<sup>-</sup> or 100 SO<sub>4</sub><sup>2-</sup> and 50 Na<sup>+</sup> counter ions in such a way that the solvent did not  
374 penetrate into the region of the SQ tails. The system was equilibrated for 300 ns without any restraints.

375

### 376 **Simulations of SQ-Dox bilayers**

377 In order to simulate the bilayer phase of SQ-Dox, the molecules were arranged into the monolayer at 7x7 grid in  
378 XY plan with Dox moieties facing upwards. The second inverted monolayer was added and the system was  
379 solvated with ~ 5000 water molecules and the corresponding number of Cl<sup>-</sup> counterions. No water molecules  
380 were placed into the region of SQ tails. The system was equilibrated for 300 ns without restraints. The area per  
381 molecule was used to monitor equilibration.

382

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467

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476

#### 477 **Author contributions**

478 P. C. designed the research. B. S. and F. D. performed the chemical synthesis of SQ-Dox. J. M. performed the  
479 preparation of nanoparticles, the cryo-TEM observations, the Langmuir-Blodgett and spectroscopic experiments.

480 C. B. performed the SAXS experiments, analyzed the data and helped calculate the packing parameter. D. C.

481 helped to perform and to interpret the Langmuir-Blodgett experiments. J.-P. M. performed the AFM studies. S.

482 Y. and C. R. performed and analyzed the molecular dynamics simulations. J. M., S. Y. and C. R. wrote the

483 paper. All authors discussed the results and commented on the manuscript.

484

#### 485 **Additional information**

486