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# Incidence of appropriate anti-tachycardia therapies after elective generator replacement in patient with heart failure initially implanted with a defibrillator for primary prevention: Results of a meta-analysis



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### ARTICLE INFO

Article history: Received 16 November 2018 Received in revised form 16 December 2018 Accepted 24 December 2018 Available online 2 January 2019

Keywords: ICD CRT-D Heart failure Generator replacement Appropriate therapies Meta-analysis

## ABSTRACT

*Background:* Implantable cardioverter-defibrillator (ICD) is an effective therapy to reduce mortality in heart failure. When the ICD generator approaches the end of life, most of the patients undergo an elective generator replacement (GR) even if they no longer meet implantation criteria. Whether arrhythmic risk should be reassessed at the time of GR is still an open question. The aim of our study was to assess, via a meta-analysis, the occurrence of appropriate ICD therapies after GR in patients stratified based on the presence/absence of ICD indication at the time of GR.

*Methods*: Via a systematic literature search for primary prevention studies (January 2000-Sectember 2018), 2976 studies were analyzed. 6 studies were lastly included. Patients were categorized into two groups: "with ICD indication" in case of LVEF≤35% at the time of GR and/or appropriate therapies during the first ICD life; "without ICD indication" in case of a LVEF>35% and no previous ICD therapies. Incidences of appropriate ICD therapies were computed as number of events per 100 person-year.

*Result:* We included 478 pts. (65%) with and 255 patients (35%) without persistent ICD indication. The incidence of appropriate therapies was 12.3/100-person-year in patients with vs. 3.4 in patients without persistent ICD indication (2.98 fold higher risk of ICD therapies).

*Conclusion:* Patients who no longer meet ICD implantation criteria at the time of GR present a significantly lower risk of appropriate ICD therapies after GR. The results of this study underline the importance of an arrhythmic risk re-stratification at the time of GR.

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# 1. Introduction

One of the leading causes of mortality in patients with heart failure and reduced left ventricular ejection fraction (LVEF) is sudden cardiac death. Implantable cardioverter defibrillator (ICD) therapy is an effective and established treatment for patients with heart failure for both primary and secondary prevention of sudden cardiac death. Current guidelines of the European Society of Cardiology (ESC) [1] and the American College of Cardiology Foundation/American Heart Association (ACCF/AHA) [2] recommend ICD therapy for primary prevention in symptomatic patients with LVEF  $\leq$ 35% despite optimal medical therapy for  $\geq$ 3 months, regardless of heart failure etiology.

Almost 80% of ICD implanted nowadays are for primary prevention [3]; in this subset the rate of appropriate device therapy ranges between 1.9% and 12%/year [4–7]. Accordingly, most of the patients reach the moment when the ICD generator approaches the end of its life without having received an appropriate device therapy during the ICD's first life. Nevertheless, they usually undergo an elective generator replacement (GR) even if they no longer meet implantation criteria. Moreover, at the time of GR patients are usually older and potentially with more comorbidities making the risk-benefit ratio of ICD therapy more debatable. Recent 2016 ESC HF guidelines outline the importance of a careful clinical evaluation of patients before GR in order to assess whether the clinical needs of the patients have changed as compared

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to the time of first implantation. This is of the utmost importance taking into consideration the fact that the average complications rate of GR is not trivial, ranging from 4% to 7.1% across different studies [8–10].

The aim of our study was to assess, via a meta-analysis of current literature, the occurrence of appropriate ICD therapies after generator replacement in patients subdivided into two groups based on the presence/absence of ICD indication at the time of GR.

# 2. Methods

The metanalysis was performed following the PRISMA statement indications [11].

### 2.1. Data search criteria

We performed an advanced search in the PubMed, Embase, Embase abstracts, WoS, WoS abstracts and Cochrane databases for studies evaluating the rate or appropriate ICD therapies in patient with and without ICD indication at the time of generator replacement, published from January 2000 to December 2017. Bibliographic search strategy is reported in Table e1 (supplemental material).

## 2.2. Study selection

First, all non-pertinent, duplicate, review, commentary or meta-analysis were excluded. 117 studies were evaluated for eligibility. Then 2 investigators (SC and RR) independently reviewed all full text to identify potentially relevant studies, who met the inclusion criteria. Great attention was paid to retrieve the information on the modality of follow up collection. Our inclusion criteria were: studies on ICD GR that included patients formerly implanted with a primary prevention indication, that required LVEF as sessment at the time of GR and that reported the rate of appropriate ICD therapies after GR. When those data were not available, or not exactly specified, the study was excluded. We included all studies, both observational or clinical trials, comparing patients with or without indication for generator replacement, assessing the rate of appropriate ICD interversies were solved by discussion. Study flow chart is shown in Fig. e1 (supplemental material).

#### 2.3. Data extraction

One independent investigator (SC), who was not personally involved in any of the included studies, performed the primary data abstraction from each report into prespecified forms. SC and RR, separately, reviewed data extrapolated from eligible articles and in case of discrepancies, they were solved by consensus. The extracted data included: the rate of appropriate ICD therapies after GR, the number of patients with and without ICD indication, age, sex, percentage of patients with CRT, months of follow-up, New York Heart Association functional class and the cause of chronic heart failure (simplified in ischemic/non-ischemic). Those information were collected at the time of GR and first implant, when available.

#### 2.4. Data analysis

Our total population was stratified into two groups: 1) patients without persistent ICD indication at the time of GR, based on the absence of appropriate ICD therapies during the first ICD's life and with LVEF>35% at the time of GR; 2) patients with persistent ICD indication at the time of GR, based on the history of appropriate ICD therapies during the first ICD's life and/or with LVEF×35% at the time of GR. The primary goal of our meta-analysis

was to investigate the rate of appropriate antitachycardia ICD therapies after GR in patients with or without an ICD indication at the time of GR. A secondary analysis of the primary endpoint was performed including first those studies enrolling 100% CRT patients, and, secondly, the remaining studies. Incidences were computed from each article as the number of events per hundred person-year; Poisson 95% confidence intervals (95%CI) were also computed. A random effect meta-analysis was performed. Meta-analytic estimates were shown in Forrest plots. The presence of influential studies was excluded graphically by omitting each study in turn from the metanalysis. Patients and study characteristics were summarized over studies as median and 25th–75th percentiles. Stata 15.1 (SataCorp, College Station, TX, USA) was used for computation.

# 3. Results

# 3.1. Study identification

The process of studies screening and selection is showed in Fig. e1. Among the 2976 initially collected abstracts, 121 publications were further evaluated for eligibility. However, 115 of them were excluded for at least one of the following reasons: they did not present a follow-up, they did not report separated data for each group, enrolled secondary prevention patients, the study protocol was unclear or showed a lack of information, it was not clearly declared the appropriateness of ICD therapy. Finally, six relevant studies met the inclusion criteria and were included in the current meta-analysis.

# 3.2. Study population

The 6 studies [12–17] finally included were retrieved in just the last 4 years of literature review, 3 were published in 2016, 2 in 2014, 1 in 2017. Study design was observational retrospective in all cases. One study only reported adjustment for confounding [16]. A total of 733 patients were enrolled in our analysis, 255 in patients without persistent ICD indication and 478 in patients with persistent ICD indication. Baseline characteristics of the population and associations between persistent ICD indication and demographic and clinical variables are shown in Table 1. Among patients with persistent ICD indication 64% had an ischemic etiology, 87% were male and a CRT-D device was implanted in 58% of the patients. Among patients without persistent ICD indication 55% of patients were implanted with an ischemic etiology, 61% were male and a CRT-D device was implanted in 67% of the cases. The median follow-up after GR in the included studies was 26 months (25th–75th percentile: 25–39 months, see Table 1).

## 3.3. Incidence of events and outcomes

Table 2 shows the incidence of appropriate ICD therapies in patients with vs. without persistent ICD indication, expressed as incidence rates

#### Table 1

Differences in variables between patient with (1) and without (0) an ICD indication in each study and in the whole population.

Variables	Dell'era		Kini		Madhavan		Rordorf		Sebag		Madeira		Total	
ICD indication	0	1	0	1	0	1	0	1	0	1	0	1	0	1
Number of patients	52	114	59	93	25	66	41	66	39	68	39	82	255	478
Number of appropriate therapy	12	30	5	40	7	17	2	15	2	19	2	35	30	156
Publication year	2016		2014		2016		2016		2014		2017			
Follow-up (month)	25		42		26		25		26		39		30.5	
Age at replacement $(mean \pm SD)$	$66 \pm 11$	$67\pm9$	$69\pm9$	$67\pm9$	68 ± 10	$71 \pm 11$	$64\pm11$	$64\pm10$	$65\pm10$	$65\pm11$	$66 \pm 10$	$65\pm11$	66 [64–68]	66 [64–67]
Coronary artery disease (%)	37%	62%	35%	54%	68%	79%	54%	45%	85%	78%	33%	53%	55%	64%
Male sex (%)	37%	98%	*	*	96%	100%	68%	86%	62%	85%	62%	83%	62%[61-68]	86%[85-98]
LVEF at implant (mean $\pm$ SD)	$27\pm5$	$25\pm 6$	*	*	$31\pm 8$	$37\pm13$	$27\pm 6$	$22\pm5$	$27\pm7$	$26\pm 6$	$25\pm 6$	$24\pm 6$	27 [27–27]	25 [24–26]
LVEF at replacement (mean $\pm$ SD)	$45\pm7$	$28\pm7$	$49\pm9$	$28\pm7$	$49\pm8$	$25\pm11$	$47\pm7$	$29\pm9$	*	*	$48\pm7$	$26\pm7$	48 [47-49]	28 [26–29]
CRT (%)	73%	50%	43%	34%	0%	0%	100%	100%	100%	100%	92%	67%	67%	58%

LVEF = left ventricular ejection fraction; CRT = Cardiac Resynchronization Therapy. \*data not available.

Table 2
Incident of events in the 2 groups.

First author	Publication year	Without persistent ICD indication			With persistent ICD indication			
		Event/year	LCI	UCI	Event/year	LCI	UCI	
Sebag	2014	2.33	0.28	8.42	12.70	7.65	19.83	
Dell'era	2016	11.08	5.72	19.35	12.63	8.52	18.03	
Kini	2014	2.42	0.79	5.65	12.29	8.78	16.73	
Madhavan	2016	12.92	5.20	26.63	11.89	6.93	19.03	
Rordorf	2016	2.34	0.28	8.46	10.91	6.11	17.99	
Madeira	2017	1.58	0.19	5.70	13.13	9.15	18.27	
Total		3.43	1.06	5.80	12.36	10.31	14.41	

LCI = 5% lower confident interval; UCI = 95% upper confident interval.

per 100 person-years, together with their 95% confident interval. The rate of events was 3.43/100 person/year (95% CI were respectively 1.06–5.80) in patients without vs. 12.36/100 person/year (95% CI were respectively 10.31–14.41), in patients with persistent ICD indication. Appropriate ICD therapies occurred with an almost 3-fold increased risk among patients with persistent ICD indication than in patients without a clear indication at the time of GR (Fig. 1). Fig. 2 shows the relative risk of appropriate ICD therapies when the analysis was limited to studies including only CRT patients; in this subgroup, patients with persistent ICD indication at the time of GR respectively indication at the time of GR. When the analysis was repeated excluding studies with 100% CRT patients there was still is a trend toward a statistically significance, with a 2-fold higher risk of appropriate ICD therapies in patients with persistent ICD indication.

## 4. Discussion

This systematic review and meta-analysis shows that patient with a persistent ICD indication at time of generator replacement present a significantly higher likelihood of appropriate ICD therapies compared with the subset of patient without a persistent ICD indication. The difference in arrhythmic risk is even more pronounced in patients implanted with a device for cardiac resynchronization therapy.

Indication for first-time ICD implantation is clearly established in current international guidelines, based on the evidence resulting from randomized clinical trials. On the contrary, neither clinical trials nor randomized studies have yet been performed to drive clinical decision when the ICD battery is exhausting and the benefit of ICD therapy after GR replacement is still unsettled. In the absence of clinical evidences, ICD is usually replaced in the majority of the patients in clinical practice without further investigations. More data are required to better understand the potential benefit of replacing an ICD especially in two categories of patients, those with a really poor prognosis and those who no longer meet ICD indication criteria at the time of generator replacement. Two previous studies concluded that ICD pulse generators should be replaced even in the presence of a significant improvement in ventricular function after initial ICD implantation [18,19]. However, both are limited by retrospective designs, [20] and drive their conclusion without any comparison with a control group.

The present meta-analysis was addressed to try and fill the gap in the current evidence-based knowledge. Firstly, we confirmed the absence of data from randomized clinical studies on this topic and therefore only retrospective studies, usually based on single center experience, were included. It is well recognized that retrospective observational studies, usually, better represent real life clinical scenarios, nevertheless they are limited by the absence of control for potential confounders. A randomized clinical trial on ICD generator replacement is definitely needed; in the absence of a randomized clinical trial, to the best of our knowledge, the present meta-analysis includes the largest number of patients in this field.

As was shown in previous studies [21], in this meta-analysis about one third of the patient who received an ICD for primary prevention at the time of the end of generator life, present a LVEF >35% and received no appropriate ICD therapies within first ICD's life. Patients in this subgroup were more likely to be women, with ischemic heart failure and were implanted with a CRT-D device, as reported previously [22,23].

It is well known that ICD therapy is an effective treatment for patients with a history of ventricular arrhythmias (secondary prevention); when a generator of a patients with previous appropriate ICD therapies during the first life of the device start to exhaust, the replacement is highly suggested. Less straightforward is the decision-making in patients without previous arrhythmias. It is acknowledged that the main variable affecting arrhythmic risk is left ventricular function [5], although using LVEF alone as a predictor of arrhythmic death may be limiting. The present study confirms the key role of LVEF also in the



Fig. 1. Forrest plots for the analysis of the primary end-point in the whole population.



Fig. 2. Forrest plots for the analysis of the primary end-point in the whole population, in studies including 100% CRT patients and in remaining studies.

setting of ICD replacement. Indeed, patients stratified based on the assessment of LVEF at the time of generator replacement, and on the presence of ventricular arrhythmias during first ICD's life, showed significantly different arrhythmic risk during follow-up. An improvement in LVEF is associated with a reduced risk of ventricular arrhythmias as our studies demonstrated; nevertheless, the question is whether this risk reduction is sufficiently high to justify withholding ICD therapy [24]. The rate of appropriate ICD therapies in patients without a persistent ICD indication was 3.4/100-person-year, a risk above the general population with LVEF >35%, suggesting the need for further risk stratification not based solely on LVEF and history of previous arrhythmias. The degree of LVEF improvement is expected to be more important in CRT-D devices recipients [23,25], explaining the greater reduction of arrhythmic risk showed by the present analysis in this subpopulation. The concept that response to CRT is associated with a significant reduction in arrhythmic risk has been previously demonstrated [23]. Furthermore, the present study points to the importance of re-assessing the degree of response to CRT at the time of generator replacement, which is usually around 5 years later after initial implantation. A long-lasting response to CRT, defined as a positive left ventricular reverse remodeling persisting at the time of generator replacement, together with the absence of arrhythmias during first ICD's life, identify a subgroup of patients at very low subsequent arrhythmic risk (incidence of events <2.5/100 person-years). In this subgroup of patients, the need for a ICD generator replacement rather than downgrading to a CRT pacemaker should be considered [10,26].

When an ICD is implanted, usually, is viewed as a lifelong commitment, and when the ICD generator approaches to the end of his life the vast majority of the patients undergo an elective GR without any further investigation. The present study strongly suggest that this approach should be reviewed and that arrhythmic risk should be reassessed at the time of generator replacement. Accordingly, the latest guidelines on the management of patients with HF suggest reevaluating the clinical need for an ICD before performing a generator replacement, based on several important considerations. First, generator replacement should not be considered a procedure without potential drawbacks: the rate of major complication rate is approximately 4% [9], and the occurrence of complications is associated with an increase in the risk of mortality [5,27]. In the REPLACE registry the infection rate after generator replacement was 1.4% for ICDs and 2.3% for CRT-D devices [28], and this risk was significantly higher in replacement procedures as compared to first implants [29]. Second, patients undergoing replacement procedures are foreseeable older than patients first receiving ICD therapy and potentially with more comorbidities, limiting life expectancy [3,30]. In this population the risk of a non-arrhythmic death is increased, and the potential benefit of ICD therapy present on the same patient at the time of the first implant may be significantly diminished. Lastly, the cost issue should also be taken into consideration: due to the aforementioned points, on major complications and potential limited efficacy of ICD therapy after replacement, the cost-efficacy of this therapy in this setting is very likely to be significantly diminished, although data on this issue are missing.

However, there is a paucity of data on this topic that significantly limits the ability of providers and patients to have an informed discussion. In clinical practice, the withdrawal of an ICD therapy, inactivating or not replacing the device, is generally considered only when the patient reaches a really critical state in disease progression, associated with a short-term poor prognosis.

According to our data we suggest to perform an accurate arrhythmic risk stratification, and to engage the patient and his relatives in the decision-making process, illustrating PROS and CONS, to reach a shared decision also at the time of elective generator replacement.

The results of our study support the algorithm recently proposed by Al-Khatib et al. [31] to help the decision making at the time of battery depletion for both single/dual-chamber ICD and for CRT-D devices. The proposed algorithm emphasizes the need to consider LVEF, previous arrhythmias and patients engagement in order to drive the decision.

# 5. Limitations

First and most important this meta-analysis does not include randomized studies comparing the occurrence of arrhythmic events in patients without persistent ICD indication randomized to continued vs. discontinued ICD therapy at the time of generator replacement. Such trial would definitely address the issue whether those patients might significantly benefit from ongoing ICD therapy. Moreover, only one of the observational studies included in the meta-analysis reported estimates adjusted for confounding. Nevertheless, the results of the presented meta-analysis, coming from homogenous studies on the topic, add important information that could significantly help clinical decision making in this setting. Secondly, arrhythmic risk was defined based on the occurrence of appropriate ICD therapies only. Based on available data from the included studies it was not possible to distinguish between ventricular arrhythmias treated with anti-tachycardia pacing or shock. It is also well recognized that appropriate ICD therapies are not a surrogate of sudden death and limiting the analysis to appropriate ICD therapies could overestimate the efficacy of ICDs. It was impossible to extrapolate by the included studies if patients did not present an ICD indication at the time of GR because of a persistent LVEF <35% or for previous appropriate therapies before GR; therefore any specific analysis addressed to investigate the role of LVEF alone in predicting appropriate ICD therapies could not be performed. Thirdly, information on the rate of complications and of inappropriate therapies were not reported in analyzed studies, thus limiting the discussion on the potential drawbacks of continuing ICD therapy in those patients without persistent indication. Finally, only one of the included studies totally excluded CRT patients. When we performed our analysis excluding studies that enrolled only CRT patients there was still a 2-fold higher risk of subse-

quent arrhythmias in patients with persistent ICD indication. Nevertheless this effect could be partially driven by the presence of around 50% of patients with CRT in the remaining studies. More data in populations of non-CRT patients are needed to address the risk of arrhythmias after generator replacement in this setting.

# 6. Conclusion

Patients who no longer meet ICD implantation criteria at the time of generator replacement present a significantly lower risk of appropriate ICD therapies during the ICD's "second life", and this is true especially for CRT responder patients. The results of this meta-analysis underlines the importance of an accurate arrhythmic risk re-stratification at the time of generator replacement, based on the history of previous ventricular arrhythmias and LVEF assessment. Arrhythmic risk re-stratification, together with the clinical assessment of the patient status, should be taken into account in order to balance the risk-benefit ratio of replacing an ICD.

Supplementary data to this article can be found online at https://doi. org/10.1016/j.ijcard.2018.12.068.

# **Conflict of interest**

The authors report no relationships that could be considered as a conflict of interest.

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