

1 **BACK TO THE PAST. DECYPHERING CULTURAL HERITAGE**
2 **SECRETS BY PROTEIN IDENTIFICATION**

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21 **ABSTRACT**

22 The present review article reports the most innovative methods to detect proteins in historical and
23 archeological samples as well as to characterize proteins used as binders in artworks. Difficulties to
24 ascribe proteins to a certain animal species are often due to post-translational modifications originated
25 by chemical or microbial deterioration during aging. Combining different techniques such as peptide
26 mass fingerprinting and tandem mass spectrometry can solve some of these problems and also allow
27 discrimination between taxonomically related species like sheep and goat. The most studied proteins
28 in bones and textile samples are osteocalcin, collagen and keratin, whereas egg yolk and white
29 proteins, casein and collagen are the most relevant for binders used in old paintings. With the suitable
30 approaches (immune-based methods, DOT-blot, etc...) it is also possible to obtain *in situ*
31 characterization or analyze the samples directly in the museum laboratories, with the advantage of
32 avoiding artwork damage and expensive external commitments. Recent cutting-edge strategies
33 allowed detection of proteinaceous infection markers that, for instance, were used to establish the
34 cause of death of old Inca mummies and also proved the presence of *Yersinia pestis* in old documents
35 dating from the period in 17th century in which the plague ravaged Europe.

36

37 **Key-words:** mass spectrometry, textiles, wall-paintings, archeology, keratin, infections, egg yolk.

38 **INTRODUCTION**

39 The analysis of archeological samples by chemical and/or biological techniques is of valuable
40 interest for adding knowledge to the historical context and for obtaining information about daily life,
41 human-environment interactions, historical transition periods, dietary habits and so on. On the other
42 hand, characterizing ancient artworks is of interest, not only for shedding light on the manufacturing
43 techniques used, but also to detect previous restoration interventions and in view of conservation
44 strategies.

45 Technical aspects of protein identification from paleontological, archeological and art-work
46 samples have been discussed in details in the extensive review article by Dallongeville et al. (2016)
47 where almost all available methods for detecting ancient proteins are described. Cutting-edge
48 technologies such as proteomics and mass spectrometry have emerged in the last two decades and,
49 although mainly applied in the human health sector, they may be very useful to obtain insights into
50 cultural heritage items and for complementing more traditional biochemical approaches such as
51 enzymology. The present mini-review will explore the most suitable analytical methods reported in
52 the literature for protein detection and characterization.

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54 **IDENTIFICATION OF PROTEINS IN ARCHEOLOGICAL AND HISTORICAL SAMPLES**

55 Characterizing “everyday life” objects and/or ascribing bone remains to a certain species can
56 supply useful information about human evolution and habits. The scientific approach to history such
57 as molecular paleontology exploits the combination of different techniques, as a winning strategy to
58 obtain in-depth characterization of archeological items such as bones, textiles, shells, potsherds and
59 parchment-made objects.

60 Among the different methods used, the identification of ancient proteins by mass spectrometry
61 (MS) proved to be the best fitting strategy (Tab 1). Like DNA, proteins contain an enormous reservoir
62 of information that allows phylogenetic reconstruction (Ostrom et al. 2000). A novel term, *i.e.*
63 paleoproteomics, has been introduced to open the way to a very new sector of proteomic
64 investigations. However, as compared to the best known field of human health, paleoproteomics has
65 to face at least two challenges: i) the small amount of protein samples and their frequent dispersion
66 in a heterogeneous environment ii) the modifications that occurred during aging and the deterioration
67 due to physical and microbial agents (Vinciguerra et al. 2016).

68 Other protein-based approaches to characterize archeological samples include ELISA (to
69 detect hemoglobin and albumin) (Smith and Wilson 1990; Tuross et al. 1989) and individual amino

70 acid racemization (for dating archeological bones) (Demarchi et al. 2011). However, before starting
71 discussing the protein-based methods it is worth reminding that also DNA recovery after PCR
72 amplification (Brown et al. 2001) and lipid analyses (Evershed et al. 1995) have been used to obtain
73 information on archeological bones.

74 Unlike the DNA-based analyses whose limit is the extreme fragility of nucleic acids that
75 frequently undergo degradation or contamination (Corthals et al. 2012), lipid-based investigations
76 proved to be successful since hydrophobic molecules, in the absence of surfactants, resist to
77 hydrolase-mediated microbial degradation. In a paper by Evershed et al. (1995), steroidal compounds
78 such as cholesterol, bile acids and diagenetic-cholesterol products were chosen as markers to assess
79 paleo-diet. Dietary habits of prehistorical humans have been often established by measuring ^{15}N and
80 ^{13}C in collagen. However, also the carbon skeleton of steroids is unaffected by diagenesis, therefore
81 it can constitute a valuable source of ^{13}C to evaluate the ratio of C_3 (temperate zone) versus C_4 (tropical
82 zone) plants in the diet. Gas chromatography (GC)/isotope ratio-MS can be used for paleo-diet
83 investigations. The advantage of analyzing steroids lies on the fact that bacteria, possibly
84 contaminating the bones, do not synthesize cholesterol but only hopanoids therefore any microbial
85 contamination is easily detectable by the chromatographic profiles. A further possible source of
86 chemical contamination is the burial ground. In this case, the authors demonstrated that the total lipid
87 composition of soils immediately surrounding the burial ground (mainly consisting of alkanes, wax
88 esters, fatty acids and long-chain alcohols) is very different from the bone samples, whose major
89 components are cholesterol and its diagenetic products. This investigation constitutes a valuable
90 example of tracing human diet by analytical approach.

91 **Bones**

92 The possibility to identify archaeological or ancient bones at the species level through
93 methods based on protein characterization is of great interest because it offers precious phylogenetic
94 and diagenetic information. Until twenty years ago, it was not possible to exploit the potential
95 information contained in ancient proteins due to the sensitivity limits of the techniques used for
96 protein identification at that time, as Edman degradation or amino acid analysis. More recently, the
97 application of MS to peptide and protein analysis has allowed a rapid and easier protein identification
98 and characterization in this peculiar field. The most frequently used techniques are Matrix Assisted
99 Laser Desorption Ionization-Time Of Flight Mass Spectrometry (MALDI-TOF MS) and Liquid
100 Chromatography-Tandem Mass Spectrometry (LC-MS/MS), both useful for protein characterization
101 (Ostrom et al. 2000).

102 One of the first applications of MS in fossil organic material was on *osteocalcin*, a protein
103 presents only in vertebrate mineralized tissue and not in common fossil contaminants such as
104 microbes, invertebrates and plants. This protein has a highly variable N-terminal sequence, hence is
105 very useful for taxonomic studies. Ostrom and colleagues (2000) developed a method based on SDS-
106 PAGE, radioimmunoassay and MALDI-TOF analysis on both intact osteocalcin (obtained by matrix
107 demineralization followed by reverse phase HPLC purification) and its tryptic peptides to establish
108 taxonomy affinities and diagenetic changes. Information on intact protein was not so useful because
109 of the variability of the molecular weight of the protein in different species. More informative were
110 the data collected using trypsin-digested proteins. More in detail, chemical derivatization with tris-
111 trimethoxyphenyl phosphonium acetyl N-hydroxy succinamide ester was used to form N-terminal
112 tris-trimethoxyphenyl phosphonium acetates at the N-terminus of the tryptic peptides. This novel
113 derivatization approach of peptides permits a complete sequence fragment series of predominantly
114 “a-type ions” to be obtained when a single tryptic peptide is analyzed by MALDI-Post Source Decay
115 (PSD). It is so possible to collect direct information on peptide sequence of ancient species opening
116 new possibilities for molecular phylogeny, comparative biochemistry, and an understanding of the
117 diagenetic changes of ancient macromolecules.

118 A deep characterization of osteocalcin from a Neanderthal was achieved by the combination
119 of MALDI-TOF MS, sequencing after high-energy Collision Induced Dissociation (CID) for
120 fragmentation of peptides and N-terminal amino acid sequencing (Nielsen-Marsh et al. 2005). This
121 investigation revealed that the amino acid sequence of the Neanderthal’s osteocalcin is identical to
122 that of modern humans. Moreover, the osteocalcin sequences of Neanderthal, modern human (*Homo*
123 *sapiens*), chimpanzee (*Pan troglodytes*), and orangutan (*Pongo pygmaeus*) are unusual among
124 mammals in that the ninth amino acid is proline (Pro-9), whereas most species have hydroxyproline
125 (Hyp-9). The hydroxylation of Pro-9 in osteocalcin requires adequate concentrations of C vitamin
126 and it depends on enzyme recognition of the target proline substrate consensus sequence Leu-Gly-
127 Ala-Pro-9-Ala-Pro-Tyr occurring in most mammals. The researchers suggested that the absence of
128 hydroxylation of Pro-9 in the four investigated species may reflect a response to a selective pressure
129 related to a decline in vitamin C in the diet during adaptation to omnivorous habit.

130 Despite promising features of osteocalcin for species identification from archeological bones,
131 many studies have been focused on the detection of peptides from bone *collagen*. The advantage is
132 that collagen (particularly type I, the dominant protein in mineralized tissues) is stable over longer
133 time-periods than ancient DNA and it can also be sampled directly from bone. One of the first
134 publication in this field was based on conventional trypsin digestion of collagen and peptide analysis
135 by using solid-phase extraction followed by Peptide Mass Fingerprinting (PMF). This approach,

136 called Zooarchaeology by mass spectrometry (ZooMS), uses the persistence and slow evolution rate
137 of collagen (Fig. 1) as a molecular barcode to read the identity of bones (Buckley et al. 2009). Bones
138 are identified by differences in peptide mass, resulting from sequence differences between species.
139 The method was set up analyzing collagen from 32 different mammalian species and selecting 92
140 peptide markers normally used in species identification in processed food and feed. The combination
141 of the selected markers could determine 26 of the 32 mammal species and was successfully applied
142 to archaeological bones (actually, discrimination between sheep and goat bones was not possible).
143 The difference between sheep and goat was later solved identifying a single collagen peptide with
144 two different amino acid positions in bones from the Neolithic site of Domuztepe (Turkey) giving a
145 correct species identification (Buckley et al. 2010). The ZooMS method was also able to identify
146 different animal species dating from the lower-Pleistocene present in Weybourne Crag (1.5 million
147 years) and in Happisburgh (900,000 years) old sites in the UK, where the signs of the earliest humans
148 in Britain have been found (Buckley 2011). The study of archaeological marine mammals using
149 ZooMS is interesting to understand the prehistoric and early historic human interaction with these
150 animals (Buckley et al. 2014). A new collection of collagen peptides from modern marine mammals
151 was generated and used for distinguishing a wide range of marine mammal species. This method
152 could separate cetaceans and pinnipeds at least to the subfamily level. The characterization of bone
153 collagen of extinct animals such as a 160,000- to 600,000-year-old mastodon (*Mammuth*
154 *americanum*) and a 68 million years dinosaur (*Tyrannosaurus rex*) was obtained by a two-step
155 proteomic approach from ion-trap MS fragmentation patterns (Asara et al. 2007). The authors claimed
156 that this approach is a valuable tool to study the evolution and adaptation of ancient taxa when
157 genomes are unlikely to be obtained. Ten years later the Buckley's group argued that the predicted
158 sequences could be derived from a laboratory contamination, underlining the difficulty to predict
159 peptide sequences without genome knowledge (Buckley et al. 2017).

160 The advent of more advanced proteomic techniques also allowed to identify *non-collagenous*
161 *proteins* (NCPs) in archaeological sites. NCPs present in the bone extracellular matrix were
162 investigated using conventional shotgun proteomics methodology following digestion with trypsin
163 after elimination of collagen by bacterial collagenase (Wadsorth and Buckley 2014). The method was
164 applied to a set of 19 bovine sub-fossil specimens (ranging in age from approximately four thousand
165 to one and a half million years old) to determine NCPs degradation rate (in comparison to collagen)
166 occurring over time in a temperate climate. Of the 44 total NCPs identified, 16 could be classified as
167 blood or serum proteins, 12 were non-collagenous extracellular matrix (ECM) proteins, 10 were
168 intracellular and just two (osteonectin and osteomodulin) were only found in bone. The protein
169 displaying the longest life was identified as type 1 collagen. Among the NCPs, alpha-2-HS-

170 glycoprotein (A2HSG), serum albumin and the glycoprotein biglycan appeared to be the longest lived
171 proteins frequently identified in ancient bones. The authors concluded that although type 1 collagen
172 is the longest surviving protein in paleoarcheological remains, the potential for greater taxonomic
173 resolution in NCPs sequence render them a better source of information than current collagen-based
174 methods for establishing human-animal interactions occurring in the past (Wadsorth and Buckley
175 2014).

176 Of extreme interest are the recent investigations at the site of Denisova Cave, Russia, a
177 Paleolithic site that contains a large number of bones most of which lack the diagnostic features
178 necessary for traditional morphological identification (Brown et al. 2016). In order to facilitate the
179 discovery of human remains, the “*collagen fingerprinting*” combined with *mitochondrial DNA* and
180 radiocarbon analysis was applied to 2000 fragmented bones. Only one bone fragment, probably a
181 distal phalanx excavated from a Pleistocene level, led to the discovery of a previously unknown
182 hominid population more than 50,000 years old, genetically distinct from both anatomically modern
183 humans (AMH) and Neanderthals, named Denisovans. The genome studies revealed that
184 Neanderthals had contributed DNA to Denisovans, just as Neanderthals and Denisovans had
185 contributed DNA to AMHs. This suggests that Denisovans and Neanderthals may have inhabited the
186 Altai region of Russian Siberia in close chronological proximity to one another, and even perhaps co-
187 existed here periodically.

188 The proteomic approach was also used to predict the possibility to find ancient DNA (aDNA)
189 in skeletal remains (Wadsorth et al. 2017). The techniques to extract aDNA are time-consuming
190 and expensive and predicting its presence by alternative methods (i.e. proteomics) is extremely
191 intriguing. The proteome of 69 archaeological cattle tooth and bone samples from multiple European
192 sites were obtained by nanoflow liquid chromatography/electrospray ionization tandem mass
193 spectrometry (nano-LC/ESI-MS/MS) using a LTQ-Orbitrap as detector. The comparison of these data
194 with mitochondrial aDNA and amino acid racemization (AAR) data, including estimations of the
195 relative abundances for seven selected non-collagenous proteins, indicated that the survival of aDNA
196 in bone or dentine may correlate with the survival of some proteins, and that proteome complexity is
197 a more useful predictor of aDNA survival than protein abundance or AAR. The lack of a strong
198 correlation between the recovery of aDNA and the proteome abundance may indicate that the survival
199 of aDNA is more closely linked to its ability to associate with bone hydroxyapatite crystals rather
200 than to associate with proteins. This study did not provide potential biomarkers for aDNA, but
201 suggested that the proteome complexity could be used to predict the presence of aDNA. Of course,
202 more studies are needed to develop a validated method.

203

204 **Other archeological specimens**

205 MS was used to characterize protein remains in biological samples other than bones as well.
206 By analyzing few milligrams in potsherds fragments through nano-LC/ESI-Fourier Transformed (FT)
207 MS/MS, Solazzo and co-workers (2008) proved that Alaskan diet of the period 1200-1400 AD was
208 based on seal meat (muscle tissue) because they found specific peptide markers of seal (*Phoca*
209 *vitulina*) *myoglobin*, thus confirming the importance of seal (and not only whale) hunting in this area.

210 Five years later, the same group focused attention on species identification through selected
211 peptides from *keratinous material* (Solazzo et al. 2013). In ancient and/or damaged artefacts, the
212 species origin of the materials can be difficult to identify through visual examination. The possibility
213 of distinguishing α -keratin-made materials (wool, hair, horn, hoof, nail, baleen, claws and quills) at
214 the genus level was limited by the lack of keratin sequences in the public database. The authors
215 overcame this problem by searching theoretical peptide sequences created by substitution of variable
216 residues, thus producing a large range of possible new sequences. Combining PMF method and
217 nanoLC/ESI/ Q-TOF MS/MS they were able to discriminate in all horn and hoof materials between
218 important species used in the past. The best matches were manually confirmed and important species
219 markers were characterized; one in particular exists in many variations in every genus
220 (YSCQLSQVQSLIVNVEQLAEIR in *Ovis*). New sequences of this peptide were characterized for
221 unknown species, for instance in a baleen sample of unknown origin. For example, it should be
222 possible to distinguish the bowhead whale (*Balaena* genus, split time ~5.4 million years) from the
223 grey whale (*Eschrichtius* genus, split time ~9 million years) based on this single peptide.

224 Corthals and co-workers (2012) combined proteomic strategies and DNA-based methods to
225 establish the cause of death of three 500 years old Inca mummies by sampling lip tissue by a cotton
226 swab. They found the presence of *Mycobacterium tuberculosis* (Fig. 2) by DNA analyses but they
227 were not fully satisfied since infection not always results in disease. Therefore, they tried to detect
228 the immune response of the host by shotgun proteomics thus having positive evidence of the
229 pathological event probably causing death of the three young humans. Actually, the MS-identified
230 proteins (*Cathepsin G*, *Serine-protease inhibitor*, *apolipoprotein A1 and A2*, *transthyretin*, *vitamin*
231 *D-binding protein*) are all related to acute/chronic lung inflammation or even to mycobacterial
232 pulmonary disease. This work had the merit to extend and enrich previous DNA-based researches for
233 assessing the microbial agent causing death for infectious disease such as: i) the evidence of
234 *Plasmodium falciparum* malaria infection as the most likely cause of death of Tuthankhamon
235 (Hawass et al. 2010) and; ii) the presence of *Yersinia pestis* in the victims of the Black Death (Bos et
236 al. 2011).

237 Very recently, a multidisciplinary international team identified proteins from *Y. pestis* in the
238 register of death dating summer 1630, in the archives of Milano by the EVA film technology (ethyl
239 vinyl acetate film studded with crushed strong anion and cation exchangers as well as C₈ resins)
240 followed by LC–MS/MS analysis (D’Amato et al. 2018). Humidified EVA plastic films allow sample
241 harvesting from whatever surface through simple contact for few (15-30) minutes. Proteins are eluted
242 from the film, reduced, alkylated and finally digested with trypsin overnight prior to LC-
243 ESI/TripleTOF analysis. This innovative methodology for biological sample harvesting, is
244 particularly suitable in studying cultural heritage items since is based on non-destructive extraction
245 of proteins and can be easily performed directly *in situ*, thus avoiding any damage to precious
246 historical items (Manfredi et al. 2017). Additionally, this new method, that is fully validated for the
247 quantification of proteins (e.g. BSA and ovalbumin from egg tempera as markers), can also be applied
248 to small molecules such as dyes (e.g. carminic acid, alizarin, and indigotin) on several types of
249 supports.

250

251 **Cloths and Ornaments**

252 The study of cloths and ornaments can reveal important features concerning the human
253 history. A very interesting study on the Oetzi’s clothing has been performed by MALDI-TOF MS by
254 a German research group (Hollemeier et al. 2008). Oetzi is a mummy belonging to the Neolithic
255 period found in 1991 in the ice of the Tyrolean Alps. Because of the very low temperature, the body
256 and the clothing were found to be exceptionally well-conserved since more than 5300 years.

257 Knowing how Neolithic-age humans created their cloths can reveal unsuspected abilities and
258 in particular give information on animals used for textile/skin supplying. As an example, these data
259 can be of interest in understanding whether wild animals (like deer, otter, wolf and bears) were used
260 (thus indicating hunting-gathering habits), or alternatively if clothing was prepared from
261 domesticated animals such as goat, sheep and cows (consistently with an agro-pastoral economy).
262 Traditional methods to identify animal hair are based on hair morphology. However, physical,
263 chemical and biological degradation can alter structural fiber rendering both macroscopic and
264 microscopic evaluation questionable. Also a more recent approach, (*i.e.* the use of DNA
265 amplification) has shown some limits because prehistoric humans used to chew leather, fur and other
266 skin-derived items to soften their texture, hence creating the risk of human DNA contamination.

267 In the study by Hollemeier et al. (2008), *keratin* was chosen as a biomarker, since it is the
268 most abundant protein in hair (Fig. 3). Amino acid sequences of keratins, although similar, vary
269 among different animal species, thus allowing a good degree of identification at the species level.

270 Analyses were performed on coat, leggings and moccasins belonging to Oetzi. The PMF from ancient
271 samples and from reference species, mostly occurring in the Alpine surroundings, were collected and
272 compared to each other. Multidimensional scaling (useful to discriminate the zoological order level),
273 binary hierarchical cluster tree analysis (reaching the family or subfamily level) and screening of the
274 spectra against protein database allowed the identification of mammals down to single species level.
275 For example, canid species were discovered in Oetzi's leggings, but could not be differentiated to
276 species level. On the contrary, red deer was found in his shoe vamp, goat in the leggings, cattle in his
277 shoe sole and at his quiver's closing flap as well as sheep and chamois in his coat.

278 Skin clothing belonging to Danish museum collections were analyzed by a research team of
279 the Copenhagen University together with the Center for Textile Research of Copenhagen (Schmidt
280 et al. 2011). To maximize the probability for obtaining a correct species attribution three methods
281 were used: microscopic observation, DNA analyses and MS-based protein sequencing. Even in this
282 case microscopy gave poor results: actually, while hair from wild animals conserved its structure
283 unchanged over times rendering comparison with present species easy, hair from domesticated
284 species are very different from original prehistorical samples because of the breeding activities and
285 this makes comparison with reference species very problematic. Similarly, DNA-based analyses after
286 PRC amplification had some constraints since these textile materials were conserved in a very acidic
287 environment that altered DNA stability. The innovative approach was therefore to analyze *collagen*
288 as the reference protein for species determination. Collagen type 1 alpha 1 and alpha 2 (present in all
289 animal tissues) as well as collagen type 3 alpha 1 (very abundant especially in skin) were found after
290 MS analysis. Sequence analyses allowed species attribution, although differentiation between sheep
291 and goat was questionable. However, the overall results brought important information such as that
292 some clothing were from cattle while some others from sheep/goat but no wild animal skin was used,
293 thus confirming the attribution of these textiles to the agro-pastoral period of human history.

294 Collagen PMF was also employed to characterize ancient combs found in the Northern
295 Scotland and presumably belonging to the 8th-9th centuries AD (Von Holstein et al. 2014). These
296 objects were used for grooming but also as ornaments or gifts. Two types of combs were considered,
297 that is native-type and Norse-type. MS was applied to 20 combs and revealed that 11 were from red
298 deer antler, 4 from reindeer antler and one from whale bones. It is important to underline that Norse-
299 type combs were all from reindeer, whereas native-type combs were from red deer. The results,
300 obtained in a non-destructive manner on a small sample and without previous demineralization of
301 bone or antler samples, were in agreement with analyses on DNA whose amplification had been
302 possible only for ten samples. The value of this study is consistent with the importance of establishing
303 whether peaceful contacts between Atlantic Scotland inhabitants and Scandinavians occurred before

304 the Norse political domination of the Viking Age and the late medieval period. Clearly, these results
305 demonstrate that Norse-type and native type combs were made using different local materials
306 (animals), supporting the idea that no cultural contamination occurred before the 10th century.

307 PMF was applied together with bulk amino acid composition/racemization and Raman
308 spectroscopy to assess the species of mollusk shells used for necklace fabrication during the Bronze
309 Age (De Marchi et al. 2014). The mineral skeleton preserved the proteins responsible for the
310 biomineralization process during time and the authors were able to complete species attribution with
311 minimal destruction and minimal sample requirement (less than 2 mg of shell powder).

312 **Parchments**

313 Parchments have been used as a writing medium for over two millennia. They are a writing material
314 made from specially prepared untanned skins of animals, primarily sheep, calves, and goats.
315 Traditionally, the species identification of these materials relies on visual (macroscopic and
316 microscopic) and tactile examination. The application of the proteomic techniques proved to be very
317 useful to clarify the nature of the parchment of a pocket Bible (Fig. 4) delivered by a Franciscan friar
318 to the Mogul Emperor at the end of XIII century (Toniolo et al. 2012). Because of the thinness of the
319 parchment, the experts opined the pages were produced from foetal lambskins. The nLC/ESI/LTQ
320 MS/MS analysis on the tryptic peptide mixture obtained from a tiny fragment of the margins of a foil
321 yielded the identity of 8 unique proteins from *Bos taurus* which indicated the origin of the parchment
322 from calfskins rather than from foetal lambskins at least for that fragment.

323 More recently, identification of the taxonomical origin of parchment specimens named
324 “uterine vellum” (used for XIII A.D. century Bibles) was obtained by a particularly sensitive and
325 non-invasive strategy called “eZooMS” (electrostatic ZooMS). The method consists of a tribo-electric
326 extraction of proteins, that is by using an electrostatic charge generated by gentle rubbing of a PVC
327 eraser on sample surfaces, and the subsequent MS analysis by conventional MALDI-TOF based PMF
328 (Fiddymet et al. 2015). Advantages of this approach include that no special equipment is required,
329 therefore protein sampling can be obtained without the necessity to transport the artefact. Proteins
330 harvested by this method, eluted by ammonium bicarbonate and tryptic digested, were analyzed by
331 MALDI-TOF MS. Protein identification confirmed that ultrafine uterine vellum was not necessarily
332 produced from abortive/new-born or thin-skinned animals (e.g. rabbits and squirrels) as previously
333 supposed. They could be obtained from the skins of maturing animals of several species (more than
334 one mammal species in a single manuscript, consistent with the local availability) through processes
335 able to generate thin and soft high-quality parchment. Following studies have demonstrated that
336 eZooMS can be extended to harvest other molecular species (e.g. DNA) relevant for studying ancient

337 items, such as York Gospels dating from the XI century A.D. (Teasdale et al., 2017). In the near
338 future, tribo-electric extraction of biological samples may become one of the election methods in
339 exploring ancient artefacts.

340

341 ***IDENTIFICATION OF PROTEINS IN ARTWORKS***

342 Artworks can contain proteins telling the story of their birth. This has a huge importance both
343 for their authentication and for getting insights into the painting technique as well as into the attitudes
344 of the author who created the artwork.

345 As an example, a recent study by Zilbestein and co-workers (2016) demonstrated the presence
346 of a morphine-derivative (6-O-acetyl morphine) in the last draft of Michail Bulgakov's "Master and
347 Margarita" that revealed the opioid addiction of the writer. The scientific approach to discovering
348 these interesting aspects was based on a cation exchange separation followed by GC-MS. In a
349 following study, three *proteinaceous biomarkers of a nephrotic syndrome* were also found among the
350 detected proteins, suggesting that probably the kidney intense pain related to this syndrome rendered
351 necessary this drug treatment in the last years of Bulgakov's life (Zilberstein et al. 2017). However,
352 the majority of literature articles concern the detection of *binders* used both in wall and paper
353 paintings.

354 **Binders in art samples**

355 Knowing the binder composition of wall and easel paintings is a precious requisite for both
356 revealing possible previous restoration procedures on the original painting and approaching the
357 correct conservation method when necessary. Several proteins/proteinaceous materials were of
358 common use as binders in ancient times: *caseins*, *whey globulins* and *albumins* from milk, *gelatin*,
359 *collagen* from different animal species, as well as *egg yolk* and *white*. Traditional strategies for protein
360 identification include the use of acid hydrolysis, amino acid derivatization and quantitative
361 determination by chromatographic methods (Colombini and Modugno 2004).

362 A procedure for extracting proteins from small samples without protein hydrolysis was set up
363 by testing different protocols on egg-based Renaissance paintings (15th and 16th centuries). As shown
364 in Fig 5, *egg white* and *yolk* proteins were identified by both MALDI-TOF and tandem MS (nano-
365 LC/nanoESI/Q-q-TOF MS/MS) analyses (Tokarski et al. 2006). More recently, Gambino and co-
366 workers (2013) compared the MS-based proteomic strategy with a DOT-blot immunoassay. The latter
367 approach showed very good discrimination ability between egg yolk and egg white proteins because
368 of the high antibody specificity. They applied the method also to more complex matrices that included

369 pigments and artificially aged samples. The results were satisfactory, hence the technique was applied
370 to gilding samples dating from the 13th century, which allowed identification of egg white and egg
371 yolk proteins. The authors highlighted the importance of this fast, non-destructive method to find
372 proteins in complex (mixed layers) and very old samples. Furthermore, they underlined the fact that
373 this procedure is low-cost and easily adoptable by laboratories belonging to museums and
374 conservation centers. Tripkovic and co-workers (2015) obtained good results in the characterization
375 of ancient proteins by complementing MALDI TOF and nano-LC/ESI-MS/MS using a LTQ-Orbitrap
376 as detector. They studied both model samples and 19th century icons from the orthodox churches of
377 Holy Virgin in Baric (Serbia) and of Annunciation of the Holy Mother of God in Idvor (Serbia). They
378 found that the combination of the two techniques allowed detection of peptides belonging to the same
379 protein but having different physico-chemical properties. In particular, egg yolk proteins
380 Vitellogenin-2 and Apovitellenin -1 were better identified by LC-MS/MS whereas bovine collagen
381 (alpha 1 and alpha 2 chains) was detected by both methods. The authors also compared the interference
382 of two different pigments (French ochre and Zinc white) on the protein “detectability” and they found
383 that the former has a negative effect on the egg yolk and egg white proteins.

384 Leo and co-workers (2009) investigated binders from samples of a Giotto’s painting
385 decorating St. Francis Church in Assisi (Italy) by CHIP/Ion Trap MS/MS and/or nLC/ESI-Q Trap
386 MS/MS, by using direct tryptic cleavage on the artwork instead of complicated procedures of protein
387 extraction. They identified the sequence of few peptides from *milk proteins*. This strategy is suitable
388 to characterize cultural heritage items since very small samples are needed, the protein integrity is
389 not required and especially the fact that is “non-destructive” (since the trypsin solution necessary for
390 protein digestion can easily be removed, without damaging the artwork specimen).

391 Kuckova and collaborators (2007) were among the first authors who succeeded in developing
392 a method for binding identification on the painting “Sitting nude and grotesque masque” by Edvard
393 Munch through MALDI-TOF MS. They collected a peptide signal database from proteinaceous
394 binder model samples and then they applied the method to the famous painting discovering that the
395 binder used was the whole egg. These authors found some constraints mainly concerning the animal
396 species attribution of the *collagen glues*. These and other hurdles due to aging processes (that
397 generated post-translational modifications, PTM, giving rise to unknown peaks in the MS spectrum)
398 and the difficulty to ascribe some proteins to fish glue (since no fish proteins were present in the
399 genomic and proteomic databanks at that time) have been overcome more recently (Leo et al. 2011;
400 Dallongeville et al. 2011 and 2013). As far as PTM following aging are involved, Leo and co-workers
401 (2011) analyzed Pisa cemetery frescoes by CHIP/Q-TOF MS and found that the major modification
402 is consistent with deamidation of asparagine and glutamine. Other changes include an overall

403 decrease of lysine, methionine and tyrosine as well as the oxidation of serine, cysteine and
404 phenylalanine resulting in the presence of amino malonic acid in the samples. Since asparagine
405 deamidation occurs 10 time faster than glutamine deamidation, these authors have suggested
406 measurement of glutamine deamidation as the most reliable strategy to detect aging in ancient
407 historical items. The research group coordinated by Caroline Tokarski set up a method for typing the
408 animal glues at the species level (Dallongeville et al. 2011). In a first experiment, commercial
409 reference glues from different animal origin (cow, rabbit and fish) were analyzed by Fourier
410 Transform Ion Cyclotron Resonance Mass Spectrometry (FTICRMS). This strategy allows
411 identification of peptides from animal species whose sequences are not available in proteomic
412 databases. Fifteen bovine-, three rabbit- and three fish- specific peptides were identified. Then, the
413 same experiment was repeated on a complex mixture in order to detect possible interfering effects
414 due to linseed oil and lead white, i.e. the most common matrix in which the glue can be present.
415 Overcoming these difficulties has allowed identification of peptides in a more than one-hundred-year-
416 old binder sample of “Colle à Doreurs” (patented in 1868) and a gilt sample of the 18th century (Fig.
417 6) located in the St Maximin Church of Thionville (France). In these specimens, two rabbit collagen
418 specific peptides and 13 specific peptides from bovine collagen were found, respectively. It is
419 interesting to underline that gilding can be obtained by three ways: oil gilding (based on resins),
420 ground gilding (based on egg white or animal glue) and water gilding (based on gypsum, refined clay
421 and bovine or rabbit glue). In this paper, it was then possible to establish that the technique used was
422 water gilding.

423 The same research group studied a 17th century colored glaze belonging to the Holy Ghost
424 Altarpiece of the St Michael Church in Mondsee (Austria) (Dallongeville et al. 2013). It is known
425 that, prior to application of colored glazes on the silver leaf, ancient polychrome objects were treated
426 with a protective glue coating to improve optical properties. On the hypothesis of animal glue, the
427 authors first tried to detect proteins into the thin layers between the silver leaf and the glaze by SYPRO
428 Ruby staining. Once the proteins were actually detected, they characterized them by LC-MS/MS
429 achieving identification of seven peptides specific to fish collagen proteins. Fish glues were originally
430 prepared using the membrane of the swim bladder of sturgeons. In this work, peptide identification
431 was based upon similarities with peptides from other fish species. The advantage of using tandem
432 MS instead of PMF rely on the fact that even proteins modified by amino acid substitution, oxidation,
433 deamidation or proteins present in complex mixtures (as frequently occurs in ancient samples) can be
434 identified. Furthermore, without an ascertained genome sequence present in the database this remain
435 the only mean for identifying proteins of unknown animal origin.

437 **CONCLUSIONS**

438 Compared to DNA-based methods, proteomic studies take advantage from the higher stability
439 of proteins and lesser sample contamination by operators. These are very important features when
440 studying archaeological and historical specimens. Some constraints/hurdles concern the difficulties
441 of finding all protein sequences in databases, especially for species (like fish species) whose genome
442 is unavailable. Successful results have been obtained also in complex matrices such as “colle à
443 doreurs” and sometimes the combination with immunological methods helps in clarifying the role of
444 some proteins allowing deciphering the cause of death or existing pathologies in ancient samples.

445 Very promising strategies, such as the EVA film technology and the tribo-electric
446 methodology, will constitute in the future the most suitable approaches to study cultural heritage
447 samples avoiding the risk to damage such delicate and precious items. The two strategies can be
448 employed for detecting a large variety of molecules (e.g. proteins, dyes, DNA) and can potentially
449 become officially accepted methods employed by Museums and public libraries to inspect Cultural
450 Heritage. The overall results underline the importance of such a biotechnological approach for
451 acquiring information on ancient civilizations, dietary habits and human evolution.

452

453 **COMPLIANCE WITH ETHICAL STANDARDS**

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455 Conflict of interest: all the Authors declare that they do not have any conflict of interest.

456 Ethical approval: this article does not contain any studies with human participants or animals
457 performed by any of the authors.

458

459

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FIGURE LEGENDS

Fig. 1. MALDI-TOF spectrum of tryptic peptides from pig collagen (unpublished data)

Fig. 2. *Mycobacterium tuberculosis*

Fig. 3. Structural features of beta (left side) and alpha (right side) keratin.

Fig. 4. Page of the “Bible of Marco Polo”. This pocket Bible was made in the North of France in the 1230s and delivered the Mogul Emperor between the mid 13th and the mid 14th century. The Bible remained in China until the travel of the Jesuit father Philipp Couplet in 1685 who brought it to Florence as a gift for the Grand Duke of Tuscany, Cosimo III (modified from Toniolo et al. 2012).

Fig. 5. Proteins commonly identified in hydrolyzed protein extracts from egg (both white and yolk)-based painting by peptide mass fingerprint (Kuckova et al. 2007).

Fig. 6. Application of “colle à doreur”.

Table 1 Approaches used to identify proteins in ancient samples.

Method	Protein	Sample	Reference
SDS-PAGE MALDI-TOF MS MALDI-PSD	Osteocalcin	Fossil bison bones	Ostrom et al. 2000
Edman degradation MALDI-TOF MS MALDI-PSD	Osteocalcin	Neanderthal bones	Nielsen-Marsh et al. 2005
MALDI-TOF	Collagen	Bones from 32 mammalian species	Buckley et al. 2009
MALDI-TOF MS	Collagen	Sheep and goat bones	Buckley et al. 2010
MALDI-TOF MS	Collagen	Bone fragments	Buckley and Collins 2011
MALDI-TOF MS	Collagen	Bones from marine mammals	Buckley et al. 2014
LC/ESI/Ion-trap MS/MS	Collagen	Mastodon and Dinosaur bones	Asara et al. 2007
LC/ESI/Orbitrap MS/MS	Non-collagenous proteins (NCPs)	Bovine bones	Wadsworth and Buckley 2014
MALDI-TOF MS	Collagen	Hominid fossils	Brown et al. 2016
nLC/ESI/LTQ-Orbitrap MS/MS Amino acid racemisation	Fetuin-A, Prothrombin and other bone-related proteins	Cattle tooth and bones	Wadsworth et al. 2017
MALDI-TOF MS LC/ESI-FT MS/MS	Myoglobin and hemoglobin	Harbor seal muscle and blubber	Solazzo et al. 2008
MALDI-TOF nLC/ESI/Q-TOF MS/MS	Keratin	Marine mammals	Solazzo et al. 2013
μ -LC/ESI- LTQ-Orbitrap MS/MS	Human serum proteins Mycobacterium proteins Immune response to infectious disease proteins	Inca mummies	Corthals et al. 2012
MALDI-TOF MS	keratin	Oetzi mummy clots	Hollemeier et al. 2008
MALDI-TOF MS	Collagen	Reindeer and red deer combs	Von Holstein et al. 2014
Amino acid composition Raman spectroscopy	-	Mollusk shells	Demarchi et al. 2014
nLC/ESI/LTQ MS/MS	Collagen	Parchment	Toniolo et al. 2012
GC HPLC Pyrolysis interfaced-GC	Egg proteins caseins	Paintings	Colombini and Modugno 2004
MALDI-TOF MS nLC/ESI/Q-q-TOF MS/MS	Proteins from egg white and yolk	Renaissance paintings	Tokarski et al. 2006

DOT-blot immunoassay CHIP/Q-TOF MS	Proteins from egg white and yolk	13 th century gilding samples	Gambino et al. 2013
MALDI TOF nano-LC/ESI- LTQ-Orbitrap MS/MS	Egg yolk proteins Bovine collagen	19 th century icons	Tripkovic et al. 2015
Direct tryptic cleavage CHIP/Q-TOF MS nLC/ESI- Q Trap MS/MS	Milk proteins	Giotto's painting	Leo et al. 2009
MALDI TOF MS	Whole egg proteins	The painting "Sitting nude and grotesque masque" of Edvard Munch	Kuckova et al. 2007
CHIP/Q-TOF MS	Collagen	Pisa cemetery frescoes	Leo et al. 2011
nLC/ESI-FTICR MS	Rabbit and bovine collagen	18 th century gilt samples	Dallongeville et al. 2011
SYPRO Ruby staining nLC/ESI-FTICR MS	Fish collagen	17 th century colored glaze	Dallongeville et al. 2013
Triboelectric protein extraction MALDI TOF MS	Calfskin uterine proteins	13 th century medieval manuscripts	Fiddymment et al, 2015
Triboelectric protein extraction MALDI TOF MS	Calfskin and sheepskin proteins	York Gospels (medieval manuscript)	Teasdale et al, 2017
EVA film nLC-ESI/LTQ Orbitrap MS	Nephrotic syndrome protein markers	Last draft of Michail Bulgakov's "Master and Margarita"	Zilberstein et al, 2017
EVA film LC-ESI/TripleTOF system	Milk and egg proteins	16 th century frescos	Manfredi et al, 2017
EVA film nUHPL-ESI/Orbitrap Fusion trihybrid MS	Yersinia pestis proteins	Register of death at Milan archives (1630)	D'Amato et al, 2018

Fig. 1

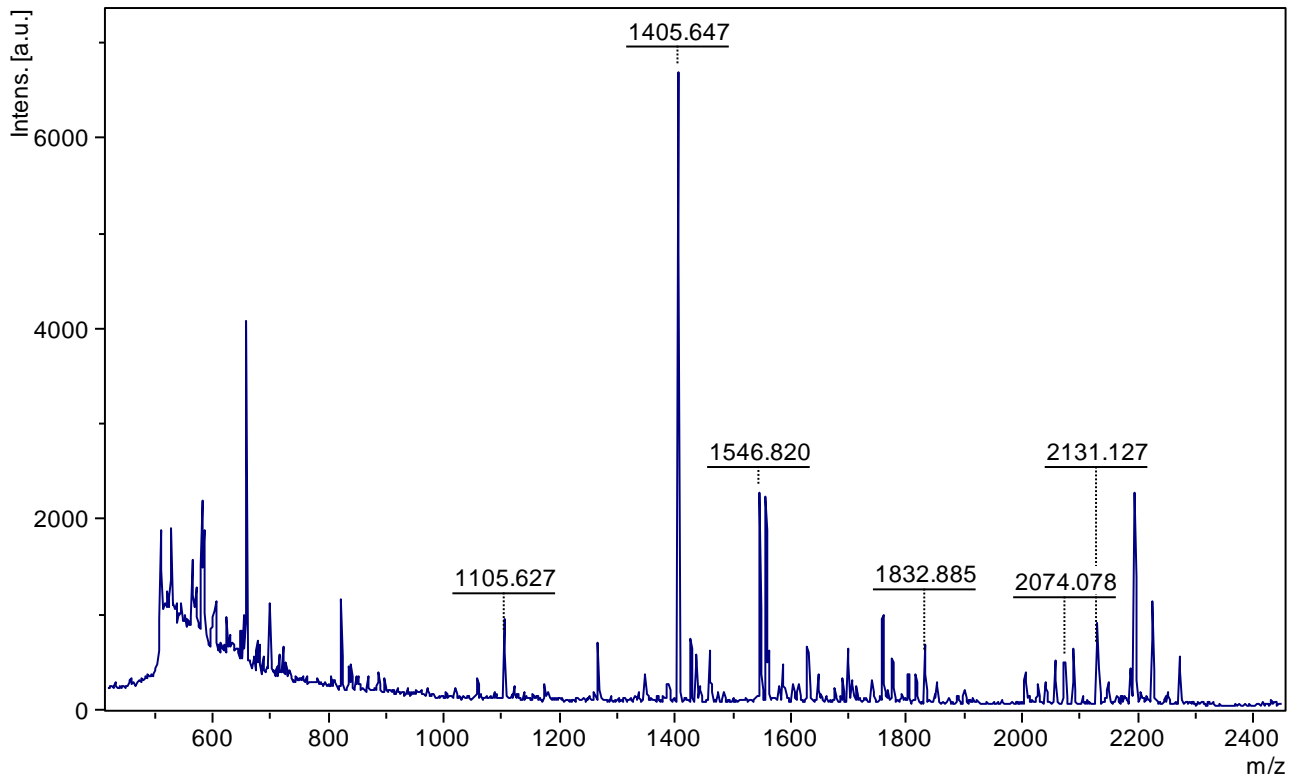
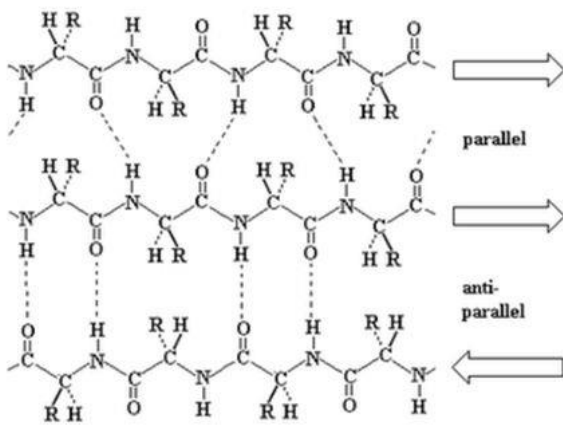


Fig. 2

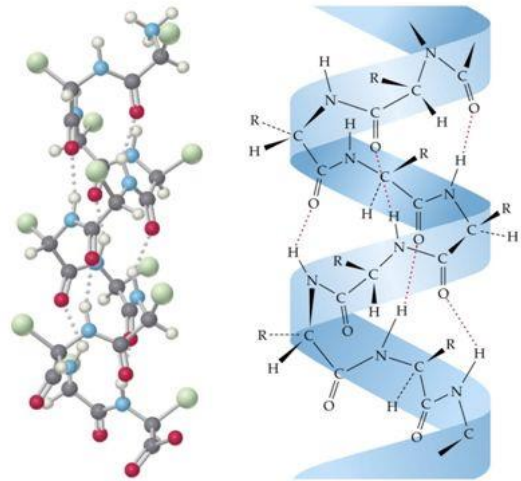


Fig. 3

Keratin



Beta-Keratin



Alpha-Keratin

Fig. 4



Fig. 5

Protein	Sequence	Position
Low-density lipoprotein receptor-related protein I	KPEHELFLVYGK	523-534
	DSKRGKIER	675-683
	SDEKQSYCSSRKCK	2548-2561
Vitellogenin I	QFSSRPAYRR	355-364
	LTELLNSNVRLR	831-842
	LVTFEDPER	1058-1066
Vitellogenin II	LSSKLEISGLPENAYLLK	54-71
	ILGIDSSMFKVANK	523-534

Protein	Sequence	Position
Ovalbumin-related protein X	VKVYLPQMK	123-131
Ovomucin α -subunit	CMYDTCNAEK	620-629
	HCKSAAPVPVR	2030-2040
Ovomucoid	VEQGASVDKR	137-146



Fig. 6

