

1 **MATERNAL ANXIETY, DEPRESSION AND SLEEP DISORDERS**  
2 **BEFORE AND DURING PREGNANCY, AND PRESCHOOL**  
3 **ADHD SYMPTOMS IN THE NINFEA BIRTH COHORT STUDY.**

4  
5 **Short title: PRENATAL MATERNAL MENTAL HEALTH AND ADHD IN**  
6 **CHILDREN.**

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1 **ABSTRACT**

2 **Aims:** Maternal mental disorders have been associated with the risk of attention  
3 deficit/hyperactivity disorder (ADHD) in children. Within the context of a mother-  
4 child cohort, we examined whether maternal anxiety, depression and sleep disorders  
5 are associated with pre-school ADHD symptoms.

6 **Methods:** The study included 3634 singletons from the Italian NINFEA cohort.  
7 Maternal doctor-diagnosed anxiety, depression and sleep disorders before and during  
8 pregnancy were assessed from the questionnaires completed during pregnancy and 6  
9 months after delivery. Mothers rated child ADHD symptoms at 4 years of age,  
10 according to the Diagnostic and Statistical Manual of Mental Disorders (DSM IV).  
11 Hyperactive–impulsive (ADHD-H), Inattentive (ADHD-I) and total ADHD scores  
12 were analyzed in the models adjusted for child’s gender, first-born status, maternal  
13 age, education, alcohol consumption and smoking during pregnancy.

14 **Results:** The total ADHD score at age 4 was associated with maternal lifetime  
15 anxiety (17.1% percentage difference in score compared to never; 95% CI: 7.3% to  
16 27.9%), sleep disorders (35.7%; 95% CI: 10.7% to 66.5%), and depression (17.5%;  
17 95% CI: 3.2% to 33.8%). Similar positive associations were observed also for  
18 ADHD-H and ADHD-I traits, with slightly attenuated associations between maternal  
19 sleep disorders and child ADHD-I score, and maternal depression and both ADHD  
20 scores. All the estimates were enhanced when the disorders were active during  
21 pregnancy, and attenuated for disorders active only during pre-pregnancy period.

22 **Conclusions:** Maternal anxiety, depression and sleep disorders are associated with a  
23 relative increase in the number of ADHD-H, ADHD-I and total ADHD symptoms in  
24 preschoolers.

1 **KEYWORDS:**

2 Attention-Deficit/Hyperactivity Disorder, Prospective Study, Risk Factors, Mental  
3 Health.

4

5 **ABBREVIATIONS:**

6 ADHD= Attention-Deficit/Hyperactivity Disorder

7 ADHD-H= ADHD hyperactive-impulsive trait

8 ADHD-I= ADHD inattentive trait

9 DSM IV= Diagnostic and Statistical Manual of Mental Disorders 4<sup>th</sup> edition

10 DSM V= Diagnostic and Statistical Manual of Mental Disorders 5<sup>th</sup> edition

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## 1 INTRODUCTION

2 Pregnancy represents a particularly vulnerable period for the onset, recurrence and  
3 exacerbation of major mental health conditions, including depression, anxiety and  
4 mood disorders (Howard *et al.*, 2014). It has been reported that approximately 7-15%  
5 of women during pregnancy are affected by mental disorders (Gelaye *et al.*, 2016,  
6 Van den Bergh *et al.*, 2017), whose common symptoms, such as disordered appetite,  
7 sleep disturbances and mood swings are often difficult to distinguish from  
8 physiological changes occurring during pregnancy, and thus, the reported prevalence  
9 is likely underestimated. Sleep disturbances, for example, are among the major  
10 symptoms associated with depression, and during pregnancy are considered as both a  
11 result of stress, and as a stressor per se that may contribute to adverse pregnancy  
12 outcomes (Palagini *et al.*, 2014). Moreover, mental disorders often coexist (Fried *et*  
13 *al.*, 2017) increasing the burden of adverse effects on the mother and her child.  
14 A number of studies reported associations of prenatal maternal depression and anxiety  
15 with offspring health outcomes, including low birth weight, preterm birth (Grote *et*  
16 *al.*, 2010) and respiratory morbidity (van de Loo *et al.*, 2016). Also sleep disorders,  
17 such as obstructive sleep apnea and insomnia have been shown to be associated with  
18 pregnancy complications and adverse perinatal outcomes (Bin *et al.*, 2016, Felder *et*  
19 *al.*, 2017). Furthermore, maternal mental disorders during pregnancy influence the  
20 child cognitive, emotional, social, and behavioral development increasing the risk of  
21 child's emotional (internalizing) and behavioral (externalizing) difficulties, such as  
22 Attention-deficit/hyperactivity disorder (ADHD) (Glover, 2011, Stein *et al.*, 2014).  
23 ADHD is a childhood-onset neurodevelopmental disorder characterized by symptoms  
24 of inattention, hyperactivity and impulsivity. Its etiology is multifactorial (Thapar and

1 Cooper, 2016), including an important heritability component (heritability estimates  
2 ranging from 75% to 90%) (Goodman and Stevenson, 1989, Thapar *et al.*, 1999,  
3 Thapar *et al.*, 2000, Faraone *et al.*, 2005), several environmental risk factors (Thapar  
4 and Cooper, 2016), and gene-environment interactions (Nigg *et al.*, 2010, Harold *et*  
5 *al.*, 2013). Parental expectations of the child's behavior play an important role in the  
6 definition of ADHD and are known to differ among populations (Zwirs *et al.*, 2006).  
7 Several studies reported an association of maternal anxiety and depression during  
8 pregnancy with an increased risk of ADHD in preschool children, but only few of  
9 them had prospectively collected exposure data (Clavarino *et al.*, 2010, Van  
10 Batenburg-Eddes *et al.*, 2013, Bendiksen *et al.*, 2015, Wolford *et al.*, 2017). Much of  
11 the research was focused on maternal depression and anxiety during pregnancy and  
12 less attention has been paid to depression and anxiety occurring before pregnancy.  
13 To take into account the parents' cultural context and different exposure time  
14 windows, we aimed at examining maternal diagnoses of anxiety and depression  
15 before and during pregnancy in association with inattentive and hyperactivity-  
16 impulsivity ADHD traits in 4-year old offspring in a large mother-child cohort carried  
17 out in South Europe (Italy). We also analyzed doctor-diagnosed maternal sleep  
18 disorders before and during pregnancy, which can both contribute to mental health  
19 conditions and be a symptom of other mental disorders (Fried *et al.*, 2017). Maternal  
20 sleep disorders, to our knowledge, have not been studied before in association with  
21 child ADHD.

## 1 **METHODS**

### 2 **Study population**

3 Data were collected from the study "Nascita e INFanzia: gli Effetti dell'Ambiente"  
4 (NINFEA), whose protocol was approved by the Ethical Committee of the San  
5 Giovanni Battista Hospital and CTO/CRF/Maria Adelaide Hospital of Turin. The  
6 NINFEA cohort study is an internet-based birth cohort with the aim of investigating  
7 prenatal and early life exposures in relation to childhood health and development from  
8 a life-course perspective ([www.progettoninfea.it](http://www.progettoninfea.it)) (Richiardi *et al.*, 2007).

9 Approximately 7500 pregnant women who had access to the Internet and enough  
10 knowledge of the Italian language to complete online questionnaires were recruited  
11 from 2005 until 2016. The women completed the first baseline questionnaire at any  
12 time during pregnancy, and the children have been followed-up with additional five  
13 questionnaires completed by their mothers 6 months after delivery and when the  
14 children turn 1½, 4, 7 and 10 years of age.

15 For this study, we used the NINFEA database version 11.2017. The outcome was  
16 assessed at the age of 4 years where the response rate of the questionnaire is 77%  
17 (Pizzi, 2016). A total of 3634 singletons, who at the time of the data download had  
18 completed the assessment at age 4 years, were included in the study.

### 19 **Explanatory variables**

20 Maternal mental disorder data were collected with a questionnaire completed during  
21 pregnancy (mean gestational age at completion 26.3 weeks, standard deviation [SD]  
22 9.5) in which women were asked to answer a checklist of chronic conditions ever  
23 diagnosed by a doctor. The full checklist consisting of 30 different maternal chronic  
24 conditions is available online at the study website (Progetto Ninfea, 2005). We

1 selected from the checklist the following maternal mental disorders: (i) diagnosis of  
2 depression, (ii) diagnosis of anxiety, and iii) diagnosis of sleep disorders. For each  
3 reported condition, participants were further asked to report whether the condition  
4 was present only before pregnancy, only during pregnancy, or in both periods.

5 Information on the third trimester exposures was retrieved from the questionnaire  
6 completed 6 months after delivery.

7 We defined three exposure time windows: (i) lifetime diagnosis – a disorder ever  
8 diagnosed by a doctor, (ii) pre-pregnancy exposure – a previous diagnosis of a  
9 disorder that was not active during the index pregnancy, and (iii) during pregnancy  
10 exposure – a disorder active during the index pregnancy.

11 The definitions of sleep disorders were based on any doctor diagnosed sleep disorder,  
12 as information on specific Diagnostic and Statistical Manual of Mental Disorders  
13 (DSM V) (American Psychiatric Association, 2013) subcategories was not available  
14 in the NINFEA cohort. In addition, for sleep disorders during pregnancy, we did not  
15 consider the third trimester of pregnancy in order to avoid exposure misclassification  
16 due to deterioration in sleep quality across pregnancy (Polo-Kantola *et al.*, 2017).

17 Potential confounders were chosen a priori and included maternal age at delivery  
18 (<30; 30–34; 35+ years), maternal educational level (university degree vs. lower  
19 level), maternal smoking during pregnancy (ever vs. never smoking), maternal  
20 alcohol consumption during the first trimester of pregnancy (at least 1 drink/day vs.  
21 <=6 drinks/week), gender of the child and first-born status.

## 22 **Outcome variables**

23 When the child turned 4 years, mothers were asked to respond to a list of questions  
24 regarding the child's behavior (mean age at questionnaire completion 4.1 years; SD,

1 0.2 years). This list is based on the criteria for ADHD diagnosis of the Diagnostic and  
2 Statistical Manual of Mental Disorders (DSM IV) (American Psychiatric Association,  
3 1994) that provides a standard assessment of inattentive and hyperactive–impulsive  
4 symptoms prior to 7 years of age (Tandon *et al.*, 2009). The DSM IV questionnaire  
5 consists of 18 dichotomous (yes/no) items that are used to define two behavioral  
6 subscales: (i) inattentive score (ADHD-I) and (ii) hyperactive–impulsive score  
7 (ADHD-H).

8 For a clinical diagnosis, the two traits would have to be confirmed in two settings, e.g.  
9 at home and at school, showing evidence of interference on social and academic  
10 functioning, but for research purposes we based our outcome definition only on the  
11 mothers' report.

12 As from a population perspective ADHD can be seen as a continuously distributed  
13 risk dimension (Larsson *et al.*, 2012, Thapar and Cooper, 2016), we analyzed ADHD  
14 symptoms as continuous scores. One of the nine items of the inattentive sub-scale  
15 (“Often has trouble keeping attention on tasks or play activities”) was not included in  
16 the NINFEA questionnaire until a later update of the follow-up questionnaires, and,  
17 therefore, we considered only eight items for the ADHD-I score.

18 Given the association of ADHD with Intelligence Quotient (IQ), intellectual disability  
19 (Dykens, 2000) and low long-term academic outcomes (Polderman *et al.*, 2010,  
20 Washbrook *et al.*, 2013), we used data from the NINFEA assessment at age 7 years  
21 (mean age 7.1 years; SD 0.2 years) in which mothers were asked to indicate their  
22 children's final grades in mathematics and reading/writing at the first year of the  
23 primary school. We considered that a positive association between ADHD scores  
24 reported at age 4-years and lower academic performance at school age would indicate

1 that maternally reported ADHD scores are reliable and valid measures of children's  
2 cognitive impairments related to ADHD. Information from the assessment at age 7  
3 years was available for 1392 children who were born before November 2010, and thus  
4 met the age criterion for the assessment at age 7 years. The primary school in Italy  
5 uses a grading system that ranges from 1 (impossible to assess) to 10 (excellent). We  
6 coded the child's academic achievement in mathematics and reading/writing as low  
7 (equal or less of 7) and high (8-10).

### 8 **Statistical methods**

9 The total ADHD, ADHD-H and ADHD-I scores were treated as continuous variables  
10 and analyzed using linear regression models. The number of symptoms was log-  
11 transformed [ $\log(y+1)$ ] to satisfy the assumption of normality. After the  
12 transformation, visual inspection and tests based on kurtosis and skewness indicated a  
13 normal distribution. Model estimates are reported as percentage differences in the  
14 number of symptoms (Törnqvist *et al.*, 1985). We specified two adjustment models:  
15 (i) adjustment for child's gender, first-born status, mother's age and educational level,  
16 and (ii) additional adjustment for maternal smoking and alcohol use during  
17 pregnancy. Maternal anxiety, depression and sleep disorders were analyzed separately  
18 and in the following time windows: (i) lifetime diagnosis, (ii) pre-pregnancy only, and  
19 (iii) during pregnancy.

20 To take into account comorbidities between the three disorders, we additionally  
21 analysed the total number of disorders experienced during pregnancy. We categorized  
22 the exposed subjects in the following groups: (i) mothers who never had a diagnosis  
23 of any of the three disorders (reference), (ii) mothers with a history of at least one of  
24 the disorders before pregnancy, but not during pregnancy, (iii) mothers with only one

1 of the disorders during pregnancy, (iv) mothers with the two disorders during  
2 pregnancy, and (v) mothers with all the three disorders during pregnancy. Finally, to  
3 explore the relative importance and contribution of each of the disorders to ADHD  
4 symptoms we specified a model where all the three disorders were mutually adjusted  
5 (i.e. all variables included in the same model).

6 Associations of the number of symptoms on the two ADHD subscales with the  
7 academic outcomes in mathematics and reading/writing were estimated using logistic  
8 regression models adjusted for maternal depression, anxiety and sleep disorders,  
9 maternal age and education, child's gender and first-born status. As information on  
10 academic outcomes was missing for 9.2% of our sample, we performed multivariate  
11 multiple imputation using chained equations (20 imputed data sets) to replace missing  
12 values of both outcomes and all confounding factors (Buuren and Groothuis-  
13 Oudshoorn, 2011). Statistical analyses were performed using R software version 3.3.1  
14 (R Core Team, 2016).

## 15 **RESULTS**

16 The study included 3634 children with the completed assessment at 4 years of age.  
17 Children lost to follow-up at age 4 were not significantly different from those  
18 included in the study in all the baseline characteristics, including being first-born,  
19 maternal age, maternal education and smoking during pregnancy (all p-values>0.05).  
20 The percentage of missing data for maternal and child characteristics was less than  
21 2.6%.

22 Maternal characteristics are reported in **Table 1**, while **Table 2** summarizes the main  
23 child characteristics. Mothers were mostly Italian born (96.5%), highly educated

1 (63.5%) and were aged on average 33.6 (SD 4.2) years at delivery. In our sample,  
2 3.8% of mothers reported a diagnosis of depression, 8.9% anxiety and 1.7% sleep  
3 disorders. In total, 402 (11.1%) mothers had at least one of the analyzed mental  
4 disorders. At 4 years of age, children had a mean total ADHD score of 3.6 (SD 3.0), a  
5 mean ADHD-H score of 2.4 (SD 2.1), and a mean ADHD-I score of 1.2 (SD 1.5). The  
6 associations of the confounding variables with ADHD-H and ADHD-I are reported in  
7 **Table S1**.

8 The total ADHD score was associated with maternal lifetime diagnosis of anxiety  
9 (ever vs. never: 17.1%; 95% CI: 7.3% to 27.9%), sleep disorders (35.7%; 95% CI:  
10 10.7% to 66.5%), and depression (17.5%; 95% CI: 3.2% to 33.8%).

11 The associations between maternal mental disorders and child ADHD-H and ADHD-I  
12 scores at 4 years of age are reported in **Table 3**. Both maternal anxiety and sleep  
13 disorders were associated with an increase in ADHD-H score. A positive association,  
14 though weaker in magnitude, was observed also between maternal depression and  
15 ADHD-H score. The direction of the effects was similar also for ADHD-I, although  
16 the association of maternal sleep disorders with ADHD-I was somewhat weaker. All  
17 the estimates were higher when the disorders were active during pregnancy, for both  
18 ADHD traits, and were diminished or annulled for disorders active only during the  
19 pre-pregnancy period.

20 Of the 135 (3.7%) mothers with history of at least one disorder before but not during  
21 pregnancy, 84 (62.2%) had anxiety, 12 (8.9%) sleep disorders and 39 (28.9%)  
22 depression. Of the 212 (5.8%) mothers with only one disorder active during  
23 pregnancy, 172 (81.1%) had anxiety, 19 (9.0%) sleep disorders and 21 (9.9%)  
24 depression. Among the 42 (1.2%) mothers with two disorders active during

1 pregnancy, 33 (76.7%) had depression and anxiety without sleep disorders, 9 (20.9%)  
2 had anxiety and sleep disorders without depression, and only 1 (2.3%) mother had  
3 sleep disorders and depression without anxiety. Twelve mothers (0.3%) had all three  
4 disorders during pregnancy. Depression more likely co-occur with anxiety and sleep  
5 disorders and there is also a large overlap between anxiety and sleep disorders (all  
6 chi-square test p-values<0.05).

7 The associations between number of maternal mental disorders during pregnancy and  
8 child ADHD-H and ADHD-I scores at 4 years of age are presented in **Table 4**. Both  
9 ADHD-H score and, to a lesser extent, ADHD-I score showed a relative increase with  
10 increasing the number of disorders active during pregnancy. When all the three  
11 conditions were included in the same model (i.e. mutually adjusted) lifetime anxiety  
12 (11.2%; 95% CI: 2.1% to 21.2%) and sleep disorders (22.4%; 95% CI: 1.3% to  
13 48.1%), but not depression (2.5%; 95% CI: -9.7% to 16.4%), remained associated  
14 with ADHD-H, while only maternal anxiety was associated with offspring ADHD-I  
15 (anxiety: 8.6%; 95% CI: 0.7% to 17.1%; depression: 3.4%; 95% CI: -7.6% to 15.6%;  
16 sleep disorders 9.5%; 95% CI: -7.1% to 29.1%).

17 Associations between child's ADHD at age of 4 years and their academic  
18 achievement at the end of the first year of primary school are reported in **Table 5**.  
19 ADHD-I score was negatively associated with academic performance at age 7 years,  
20 while no association was found with ADHD-H score.

## 21 **DISCUSSION**

22 Our study found positive associations of maternal lifetime anxiety, depression and  
23 sleep disorders with offspring ADHD symptoms at 4 years of age. Although the  
24 magnitude of the effects and the width of the confidence intervals varied, the

1 associations were quite consistent for both inattentive and hyperactive-impulsive  
2 ADHD subscales. Notably, all the associations were stronger when the disorders were  
3 actively symptomatic during pregnancy, and there was an evident increase in the  
4 number of ADHD symptoms with increasing the number of disorders active during  
5 pregnancy. All the associations were largely attenuated if the disorders were present  
6 only during the pre-pregnancy period. Anxiety and sleep disorders uniquely  
7 contributed to the ADHD-H symptoms in the mutually adjusted model, while only  
8 maternal anxiety contributed to the ADHD-I symptoms. Finally, the ADHD-I score,  
9 but not the ADHD-H score, at 4 years of age was associated with lower scores in  
10 reading/writing and mathematics.

11 Our findings are generally consistent with those reported by previous longitudinal  
12 birth cohort studies, but with slightly stronger effects of maternal mental disorders  
13 during pregnancy on offspring ADHD. In the Norwegian MoBa cohort, an increase in  
14 maternal prenatal distress score was associated with an increase in the number of  
15 ADHD-H, but not with ADHD-I symptoms (Bendiksen *et al.*, 2015). The authors  
16 explained that the lack of the association with ADHD-I may be due to lack of power,  
17 as only few children had a clinically significant ADHD-I. Consistently, the PREDO  
18 cohort study found an increase in ADHD symptoms in 3-6-year old children born to  
19 mothers with depressive symptoms during pregnancy (Wolford *et al.*, 2017).  
20 Furthermore, a positive association between maternal anxiety during pregnancy and  
21 persistent attention problems in children was found in the Australian MUSP cohort  
22 (Clavarino *et al.*, 2010), and antenatal maternal anxiety and depression were  
23 associated with an increased risk of child inattention at 3 years of age in the UK  
24 ALSPAC and Dutch Generation R cohorts (Van Batenburg-Eddes *et al.*, 2013).

1 To our knowledge, this is the first study reporting an association between maternal  
2 sleep disorders and offspring ADHD. We observed that doctor-diagnosed maternal  
3 sleep disorders, especially if active during pregnancy, are strongly associated with  
4 offspring ADHD. These associations were evident particularly for the ADHD-H trait,  
5 where the observed difference was independent of maternal comorbid depression and  
6 anxiety. Maternal insomnia and sleep apnea have been associated with preterm birth  
7 (Felder *et al.*, 2017) and pregnancy complications, including gestational diabetes and  
8 hypertension (Bazalakova, 2017, Bourjeily *et al.*, 2017). Chronic sleep deprivation is  
9 also known to be related to stress system activation that may influence adverse  
10 pregnancy outcomes (Palagini *et al.*, 2014). It should be noted that we assessed only  
11 doctor-diagnosed disorders and, therefore, the effect of less severe sleep disturbances,  
12 which have much higher prevalence in general population and among pregnant  
13 women, requires future research. However, our findings suggest the importance of the  
14 sleep disorders assessment in women of reproductive age.

15 In our analyses we took into account several important confounding factors, and the  
16 associations we found between these confounders and ADHD-H and ADHD-I were  
17 consistent with previous research (Sayal *et al.*, 2014, Arnett *et al.*, 2015, Obel *et al.*,  
18 2016), providing indirect support to the validity of our research setting. Preterm birth  
19 is a potential mediator of the association between maternal mental health and  
20 neurodevelopmental problems (McCoy *et al.*, 2014), and was thus not considered as a  
21 potential confounder in our study. However, further controlling for gestational age as  
22 a continuous variable or restricting analysis to children born at term did not change  
23 the results more than marginally (data not shown).

1 Although the specific mechanism involved in the associations between maternal  
2 mental disorders and offspring attention and/or hyperactivity/impulsivity problems are  
3 still unclear, several possible explanations have been suggested. First, maternal  
4 mental disorders could act by activating the hypothalamic-pituitary-adrenal (HPA)  
5 axis, which, through an excessive increase in cortisol levels, might compromise fetal  
6 brain development (Van den Bergh *et al.*, 2005, Beijers *et al.*, 2014, Glover, 2015). In  
7 addition, the observed relationship could also be due to confounding by shared  
8 familial characteristics, such as genetics (Thapar and Cooper, 2016), as well as  
9 residual confounding by socioeconomic status (Foulon *et al.*, 2015) and/or lifestyle  
10 (Sayal *et al.*, 2014, Rijlaarsdam *et al.*, 2017). Finally, mental disorders are generally  
11 persistent and could affect parenting style and mother-child attachment during  
12 postnatal period (Harold *et al.*, 2013, Webb and Ayers, 2015, Thapar and Cooper,  
13 2016) - factors that are known to be associated with later ADHD symptoms (Storebo  
14 *et al.*, 2016).

15 The main strength of the NINFEA study is that the exposure information was  
16 collected prospectively during pregnancy. To the best of our knowledge, this is the  
17 first study on prenatal risk factors for ADHD in the Italian population, and thus,  
18 serves as a replication of findings from other populations (Zwirs *et al.*, 2006). Our  
19 findings provide further evidence that maternal anxiety and depression contribute to  
20 the onset of offspring ADHD symptoms and extend the existing evidence also to  
21 maternal sleep disorders. We were able to evaluate two distinct ADHD subscales and  
22 most of the observed associations were evident both for inattentive and for  
23 hyperactive-impulsive trait. Finally, the follow-up at 7 years of age on the academic  
24 performance supports the clinical significance of the ADHD-I phenotype.

1 Our study has some limitations that should be considered when interpreting the  
2 results. First, the assessment of child's behavioral problems was entirely based on  
3 maternal report, and mothers with mental disorders at the time of the completion of  
4 the questionnaire might have over-reported child ADHD symptoms (Najman *et al.*,  
5 2000). However, the observed associations were qualitatively similar for depression,  
6 anxiety and sleep disorders, and it is unlikely that the misreporting of child symptoms  
7 would have been driven in the same direction by these three disorders. Moreover,  
8 empirical evidence suggests a weak association between maternal mental health and  
9 differential reporting of offspring ADHD symptoms. In particular, a study on ADHD  
10 children showed that parental ADHD status does not affect maternal reporting of  
11 ADHD symptoms in their children (Faraone *et al.*, 2003).

12 Considering that in the NINFEA cohort ADHD score and the academic achievement  
13 were assessed prospectively 3 years apart, and that the reported grades at school are  
14 not likely to be affected by maternal perception of her own child, our finding of a  
15 lower academic achievement among children with ADHD-I further supports the  
16 validity of the ADHD assessment in our cohort. Similarly, a previous study reported a  
17 lower academic achievement among children with an inattentive trait, but not among  
18 those with hyperactive behavior (Polderman *et al.*, 2011). These associations have  
19 been consistently replicated in large sample size studies with information on several  
20 potential confounding factors, including intelligence, family income and  
21 comorbidities (Polderman *et al.*, 2010).

22 As different functions and structures of the brain develop in different periods of  
23 gestation, it has been hypothesized that the effects of prenatal stress on specific  
24 offspring neurodevelopmental outcomes may differ according to the pregnancy

1 trimester (Van den Bergh *et al.*, 2017). We did not analyze single trimester exposures  
2 as the prevalence of these disorders during pregnancy is rather low (e.g. depression  
3 prevalence is 2%), and the stratified analyses would have limited power. However, in  
4 this study we used doctor-diagnosed mental disorders capturing, therefore, more  
5 serious and chronic conditions that generally do not pass in short time periods, such as  
6 pregnancy trimester.

7 Another limitation of our study is the lack of information on maternal ADHD  
8 diagnosis that potentially could act as a confounding factor. It should be noted that  
9 ADHD was unrecognized and rarely diagnosed in Italy before the 90s (Gallucci *et al.*,  
10 1993), and therefore, difficult to be assessed in most of the mothers participating in  
11 the NINFEA cohort. However, given the relatively low ADHD prevalence in general  
12 population (Simon *et al.*, 2009) compared with anxiety, depression and sleep  
13 disorders, and the relatively strong associations that we found, it is unlikely that  
14 confounding by maternal ADHD could entirely explain the findings of our study.

15 Finally, participants of the NINFEA cohort, like those of many other cohort studies,  
16 are a selected population with relatively high education and socioeconomic status.  
17 However, it has been extensively shown that, although this selective participation  
18 might affect prevalence estimates, it does not imply distorted estimates of association  
19 in cohort studies (Pizzi *et al.*, 2012, Rothman *et al.*, 2013).

## 20 **CONCLUSIONS**

21 Our findings indicate that antenatal maternal mental disorders, in particular  
22 depression, anxiety and sleep disorders, are associated with higher scores of  
23 inattentive and hyperactive-impulsive symptoms in their children at age 4 years, and

1 that these associations are stronger if the disorders are active during pregnancy.  
2 Antenatal preventive strategies focused on identification and reduction of mental  
3 disorders may be important for improving child psychological development.

4

5 **Acknowledgement** The authors are grateful to all the participants of the NINFEA  
6 study.

7 **Funding** The NINFEA cohort has been partially funded by the Compagnia SanPaolo  
8 Foundation and the Piedmont Region.

9 **Conflict of interest** The authors declare no competing interests.

10 **Data sharing** Anonymized data are available upon request to qualified researchers  
11 who meet the criteria for access to confidential data for the purpose of academic, non-  
12 commercial research, as required by the authors' IRB. Data on exposure and outcome  
13 variables are available upon request by contacting [lorenzo.richiardi@unito.it](mailto:lorenzo.richiardi@unito.it).

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46

# 1 TABLES

## 2 TABLE 1. MATERNAL CHARACTERISTICS (N=3634)

Variable	N	(%)
Country of birth		
Italy	3505	(96.5)
Other country	129	(3.5)
Age at childbirth (years)		
<30	681	(18.7)
30–34	1575	(43.3)
35+	1378	(37.9)
Maternal education <sup>a</sup>		
Low	1322	(36.5)
High	2299	(63.5)
Missing	13	
Smoking during pregnancy		
No	3352	(92.4)
Yes	277	(7.6)
Missing	5	
Alcohol consumption during the first trimester of pregnancy		
<=6 drinks/week	3315	(93.6)
at least 1 drink/day	225	(6.4)
Missing	94	
Anxiety		
Never	3311	(91.1)
Lifetime diagnosis	323	(8.9)
Pre-pregnancy	91	(2.5)
During pregnancy	232	(6.4)
Depression		

Never	3490 (96.2)
Lifetime diagnosis	139 (3.8)
Pre-pregnancy	70 (1.9)
During pregnancy	69 (1.9)
Missing	5
Sleep Disorders	
Never	3567 (98.3)
Lifetime diagnosis	61 (1.7)
Pre-pregnancy	20 (0.6)
During pregnancy <sup>b</sup>	41 (1.1)
Missing	6
Anxiety and/or depression and/or sleep disorders before or during pregnancy	
Never	3225 (88.9)
At least one condition	402 (11.1)
Missing	7

1

2 <sup>a</sup> High – University degree, Low – other

3 <sup>b</sup> Sleep disorders during pregnancy do not include the third trimester exposures.

4

1 **TABLE 2. CHILD CHARACTERISTICS**

<b>Variable</b>	<b>N</b>	<b>(%)</b>
<i>Child characteristics at birth and 4 years (n=3634)</i>		
Gender		
Boys	1854	(51.0)
Girl	1780	(49.0)
First born		
No	944	(26.1)
Yes	2677	(73.9)
Missing	13	
Gestational age (weeks)		
37+	3493	(96.2)
<37	139	(3.8)
Missing	2	
ADHD-H number of symptoms		
0	830	(23.4)
1	612	(17.2)
2	650	(18.3)
3	513	(14.4)
4	378	(10.6)
5	257	(7.2)
6	158	(4.4)
7	84	(2.4)
8	48	(1.4)
9	21	(0.6)
Missing	83	
ADHD-I number of symptoms		
0	1448	(40.9)

1	897	(25.3)
2	596	(16.8)
3	316	(8.9)
4	138	(3.9)
5	77	(2.2)
6	47	(1.3)
7	17	(0.5)
8	5	(0.1)
Missing	93	

Child characteristics at 7 years (n=1392)

Academic score in reading/writing

>7	1011	(80.0)
<=7	253	(20.0)
Missing	128	

Academic score in mathematics

>7	1035	(81.7)
<=7	232	(18.3)
Missing	125	

1

2 ADHD= Attention-Deficit/Hyperactivity Disorder, ADHD-H= ADHD hyperactive-impulsive score,

3 ADHD-I= ADHD inattentive score, >7 means good academic performance.

1 **TABLE 3. ASSOCIATIONS BETWEEN MATERNAL MENTAL DISORDERS AND CHILDREN'S ADHD-**  
2 **H AND ADHD-I SCORES AT 4 YEARS OF AGE (N=3634)**

	Unadjusted		Model 1		Model 2	
	% difference in number of symptoms (95% CI) <sup>a</sup>		% difference in number of symptoms (95% CI) <sup>a</sup>		% difference in number of symptoms (95% CI) <sup>a</sup>	
<u>ADHD-H</u>						
Anxiety						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	16.8	(8.0;26.2)	14.6	(6.1;23.8)	13.5	(4.8;22.8)
Pre-pregnancy	2.3	(-11.1;17.7)	0.7	(-12.4;15.6)	1.2	(-12.0;16.4)
During pregnancy	23.2	(12.5;34.9)	20.9	(10.4;33.3)	19.2	(8.6;31.0)
Sleep disorder						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	30.3	(9.3;55.4)	32.1	(10.7;57.6)	29.8	(8.1;55.9)
Pre-pregnancy	11.0	(-18.1;50.4)	11.9	(-17.0;50.8)	12.6	(-17.2;53.0)
During pregnancy	41.2	(13.9;75.1)	44.2	(16.0;79.2)	40.3	(11.8;76.1)
Depression						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	15.1	(2.6;29.1)	12.8	(0.6;26.5)	11.9	(-0.5;25.8)
Pre-pregnancy	15.3	(-1.7;35.3)	11.3	(-5.2;30.7)	10.5	(-6.0;30.0)
During pregnancy	14.8	(-2.3;35.0)	14.3	(-2.7;34.1)	13.4	(-4.0;33.9)
<u>ADHD-I</u>						

Anxiety						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	12.9	(5.4;20.9)	11.8	(4.5;19.6)	11.3	(3.8;19.3)
Pre-pregnancy	8.3	(-4.3;22.6)	8.0	(-4.4;22.0)	8.7	(-3.9;23.0)
During pregnancy	14.8	(5.9;24.3)	13.4	(4.7;22.7)	12.4	(3.5;22.1)
Sleep disorder						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	15.9	(-0.4;34.9)	18.5	(1.9;37.9)	15.4	(-1.5;35.1)
Pre-pregnancy	6.5	(-18.6;39.3)	5.5	(-18.9;37.2)	3.6	(-20.9;35.6)
During pregnancy	20.6	(0.4;44.8)	25.5	(4.4;50.9)	22.0	(0.5;48.1)
Depression						
Never	0	(Ref)	0	(Ref)	0	(Ref)
Lifetime diagnosis	11.8	(1.0;23.8)	11.9	(1.2;23.8)	10.0	(-0.8;22.0)
Pre-pregnancy	11.7	(-3.1;28.7)	10.5	(-4.1;27.4)	8.7	(-5.8;25.5)
During pregnancy	11.9	(-3.0;29.1)	13.3	(-1.6;30.5)	11.4	(-3.8;29.0)

1

2 <sup>a</sup>Negative values indicate a relative decrease in the number of ADHD sub-scale symptoms, CI=  
3 confidence interval, Model 1: Adjusted for maternal age and education, child gender and first-born  
4 status, Model 2: Adjusted as Model 1 and additionally adjusted for maternal smoking and alcohol use  
5 during pregnancy, ADHD-H= ADHD hyperactive-impulsive score, ADHD-I= ADHD inattentive  
6 score.

7

1 **TABLE 4. ASSOCIATIONS OF THE NUMBER OF COMORBID MATERNAL MENTAL DISORDERS**  
 2 **WITH CHILDREN’S ADHD-H AND ADHD-I SCORES AT 4 YEARS OF AGE (N=3634)**

	<b>Unadjusted</b>		<b>Model 1</b>		<b>Model 2</b>	
	<b>% difference in</b>		<b>% difference in</b>		<b>% difference in</b>	
	<b>number of</b>		<b>number of</b>		<b>number of</b>	
	<b>symptoms</b>		<b>symptoms</b>		<b>symptoms</b>	
	<b>(95% CI) <sup>a</sup></b>		<b>(95% CI) <sup>a</sup></b>		<b>(95% CI) <sup>a</sup></b>	
<b><u>ADHD-H</u></b>						
Diagnosis of anxiety, sleep disorders or depression						
Never	0	(Ref)	0	(Ref)	0	(Ref)
History of at least one disorder before but not during pregnancy						
One disorder in pregnancy	19.2	(8.4;31.1)	17.9	(7.3;29.5)	14.3	(3.7;26.1)
Two disorders in pregnancy	35.1	(10.3;65.4)	29.3	(5.7;58.2)	31.9	(6.4;63.6)
Three disorders in pregnancy	29.3	(-13.1;92.6)	34.2	(-9.2;98.6)	34.7	(-8.9;99.3)
<b><u>ADHD-I</u></b>						
Diagnosis of anxiety, sleep disorders or depression						
Never	0	(Ref)	0	(Ref)	0	(Ref)
History of at least one disorder before pregnancy, but not in pregnancy						
One disorder in pregnancy	12.3	(3.3;22.1)	12.4	(3.5;22.1)	10.7	(1.6;20.6)
Two disorders in pregnancy	20.2	(0.5;43.8)	16.8	(-2.2;39.6)	14.7	(-5.0;38.6)
Three disorders in pregnancy	12.1	(-20.0;57.0)	17.0	(-15.9;62.8)	17.5	(-15.5;63.4)

3

1 <sup>a</sup>Negative values indicate a relative decrease in the number of ADHD sub-scale symptoms, CI=  
2 confidence interval, Model 1: Adjusted for maternal age and education, child gender and first-born  
3 status, Model 2: Adjusted as Model 1 and additionally adjusted for maternal smoking and alcohol use  
4 during pregnancy, ADHD-H= ADHD hyperactive-impulsive score, ADHD-I= ADHD inattentive  
5 score.  
6

1 **TABLE 5 ASSOCIATIONS BETWEEN ADHD SCORES AT AGE 4 AND POOR ACADEMIC**  
 2 **PERFORMANCE IN READING/WRITING AND MATHEMATICS AT AGE 7 (N = 1392)**

	<b>Mathematics</b>		<b>Reading/writing</b>	
	<b>OR (95% CI) <sup>a</sup></b>		<b>OR (95% CI) <sup>a</sup></b>	
<b>ADHD-H</b>				
unit of increase	1.04	(0.97;1.11)	1.03	(0.96;1.10)
<b>ADHD-I</b>				
unit of increase	1.17	(1.06;1.29)	1.20	(1.09;1.31)

3

4 <sup>a</sup> Results from logistic regression analyses adjusted for maternal anxiety, depression or sleep disorders  
 5 before and during pregnancy, maternal age and education, child gender and first born status, OR= odds  
 6 ratio, CI= confidence interval, ADHD-H= ADHD hyperactive-impulsive score, ADHD-I= ADHD  
 7 inattentive score.

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