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Falls From Agricultural Machinery: Risk Factors Related to Work Experience, Worked Hours, and Operators' Behavior

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4 Falls from Agricultural Machinery: Risk Factors Related to Work Experience, Worked Hours,
5 and Operators' Behavior
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26 *Précis:* Falls while dismounting the tractor represent a major source of injuries in
27 agriculture. The study investigated the risk factors for fall accidents when egressing from
28 agricultural tractors, pointing out the critical levels on which to intervene, with the re-design
29 of the working strategies and the adoption of behavioral training methods.

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Abstract

Objective: We investigated the risk factors for falls when egressing from agricultural tractors, analyzing the role played by worked hours, work experience, operators' behavior, and near misses.

Background: Many accidents occur within the agricultural sector each year. Among them, falls while dismounting the tractor represent a major source of injuries. Previous studies pointed out frequent hazardous movements and incorrect behaviors adopted by operators to exit the tractor cab. However, less is known about the determinants of such behaviors. In addition, near misses are known to be important predictors of accidents but they have been under investigated in the agricultural sector in general, and as concerns falls in particular.

Method: A questionnaire assessing dismounting behaviors, previous accidents and near misses, and participants' relation with work was administered to a sample of Italian tractor operators (n=286).

Results: A mediated model showed that worked hours increase unsafe behaviors, whereas work experience decreases them. Unsafe behaviors in turn show a positive association with accidents, via the mediation of near misses.

Conclusions: We gave a novel contribution to the knowledge of the chain of events leading to fall accidents in the agricultural sector, which is one of the most hazardous industries.

Applications: Besides tractor design improvements, preventive training interventions may focus on the re-design of the actual working strategies and on the adoption of engaging training methods in the use of machinery, to optimize the learning of safety practices and safe behaviors.

Keywords: Accident analysis; Agricultural systems; Motor behavior; Slips and falls; Structural equation modeling

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Introduction

63 More than 2.3 million deaths and 317 million accidents occur on the job annually, with

64 an estimated cost equal to the 4 per cent of annual global Gross Domestic Product (GDP)

65 (ILO, 2017). One of the most hazardous sectors in both developing and industrialized

66 countries is agriculture, which employs an estimated 1.3 billion workers worldwide (half of

67 the world's labour force, ILO, 2014).

68 Falls are one of the leading causes of fatal workplace injuries worldwide, with deaths

69 exceeded only by highway injury, and are the leading cause of nonfatal injuries (Nordstrom et

70 al., 1996). Falls are the first cause of death among farmers, mainly during roofing or roof

71 repair work on farm buildings, while the most frequent non-fatal falls occur when climbing or

72 descending a vehicle. In the United States, in 2015 falls to lower level accounted for 648

73 deaths in the private industry and 28 deaths in agriculture, while the non-fatal injury rates

74 from these falls in private industry and in agriculture were 5.3 cases and 19.3 cases,

75 respectively, per 10000 full-time workers (BLS, 2017). Similar rates are reported for other

76 developed countries (Bancej & Arbuckle, 2000; Feyer et al., 2001; Kumar, Varghese, &

77 Mohan, 2000).

78 A large proportion of fall-related injuries is associated with tractors, when mounting

79 and especially dismounting the vehicle (Bancej & Arbuckle, 2000). Tractors are the most

80 important machine for farms, playing a vital role in most of the farm operations, and also for

81 manufacturing industry, with millions of units in use all over the world, and hundreds of

82 thousands manufactured every year (Cavallo, Ferrari, Bollani, & Coccia, 2014). Since the

83 1980s many efforts have been made to increase the safety of the tractor drivers and the

84 ergonomics of the driving station: the adoption of safety structures (closed cabs, frames, roll-

85 bars), to protect the driver from injuries caused by vehicle overturns or rollovers, the use of

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86 suspended seats for ride improvement, and the enhancement of the means of access to the
87 driving station (Cavallo, Ferrari, & Coccia, 2015). With regards to this, the dimensions and
88 placement of doors, windows, and steps and handholds to entry and exit the tractor cab have
89 been progressively improved, based on different standards and regulations (ANSI/ASABE
90 AD26322-1:2008; Council of the European Union, 1980; ISO 4252:1983). Consistent with
91 these standards, steps on agricultural machines are also typically designed with some type of
92 non-slip surface and often have holes to prevent the accumulation of dirt and mud. Moreover,
93 following the safety hierarchy protocol (Purschwitz, 2006), standardized safety signs (ISO
94 11684:1995) are affixed to the machine to warn the users against the residual risk of slips and
95 falls.

96 Despite these interventions, falls from the vehicle are still a widespread phenomenon
97 among tractor drivers, since the operators typically have to leave the tractor's driving position
98 many times during daily work for different reasons, ranging from rest pauses, adjustment of
99 implements, scheduled service of machinery, and other disturbances in the workflow
100 (Leskinen et al., 2002). Merryweather, Pate, and Vemparala (2011) pointed out that 58.3% of
101 the tractor operators they interviewed have slipped and fallen from the tractor when
102 dismounting. These falls mainly occurred in the evening and during the summer months,
103 when operators spend long hours on the tractor. Furthermore Nordstrom et al. (1996) reported
104 a 3% increase in injury rate for each additional worked hour. Working alone may worsen the
105 scenario, since this condition increases the time pressure, with many things to be done, and
106 the need to undertake jobs that would ordinarily require more people to be done safely
107 (McLaughlin & Mayhorn, 2011).

108 Despite the progressive improvement of the design characteristics of the machine, it is
109 apparent that the behavioral factors during dismounting should not be overlooked to have a
110 complete and informative picture on this kind of agricultural accidents (Hammer, 1991). The

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111 correct tractor egress described in international safety guidelines prescribes to descend
112 backwards maintaining three-point contact (i.e. both feet and one hand or one foot and both
113 hands) at all times and to avoid jumping (HSE, 2013; NIOSH, 2010). This reduces the
114 possibilities of falling, which might result in severe acute traumatic injuries, and avoids
115 cumulative stress on the knees and back, which can negatively affect mobility (Fathallah,
116 Gronqvist, & Cotnam, 2000). Nevertheless, the literature shows frequent hazardous patterns
117 of movements and incorrect behaviors (Grogran et al., 2014; Kleban, Mann, & Morrison,
118 2013), which are even more critical since they are typically executed in an automatic way,
119 without conscious attention (Leskinen et al., 2002).

120 This form of habit raises some debate about the role played by work experience and
121 familiarity with tasks, machinery, and equipment in affecting safe behaviors in farm
122 operators. According to Elkind (2008), familiarity may lead to an overconfidence in the use of
123 the devices, reducing the attention rate. This may cause operators to disregard safety
124 procedures and rules, since they could ‘do it with their eyes shut’. On the other hand, Rogers,
125 Lamson, and Rousseau (2000) pointed out the opposite result: individuals in familiar
126 situations might be more likely to behave safely because they are more frequently exposed to
127 the situation that enhances their awareness of the risks. This may increase compliance with
128 safety practices.

129 When considering factors involved in the occurrence of an accident, another powerful
130 predictor is represented by the *near misses*, i.e., unplanned events that did not result in any
131 injury, illness, or damage only because of a fortunate break in the chain of events (National
132 Safety Council, 2014). Usually each major accident is preceded by a number of near misses
133 (Phimister, Oktem, Kleindorfer, & Kunreuther, 2000). Wright and Schaaf (2004) showed that
134 near misses and accidents substantially share the same determinants. In this light, near misses
135 are a proxy of being exposed to the risk of suffering a more serious accident. Near misses

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136 have been investigated in different industries (Markkula, Benderius, Wolff, & Wahde, 2012;
137 Wu, Gibb, & Li, 2010; Zhang & Chan, 2016) but less considered in the agricultural sector (for
138 exceptions see Kogler, Quendler, & Boxberger, 2015; Lilley, Feyer, Kirk, & Gander, 2002;
139 Lundqvist & Gustafsson, 1992; Merryweather et al., 2011), especially as concerns falls from
140 machinery. About this issue, Merryweather et al. (2011) showed that 83.3% of interviewed
141 operators experienced a near miss when dismounting the tractor.

142 The size and power of tractors, especially for those performing drawbar works in large
143 farms of the US Corn Belt, in Australia or in Argentina, have significantly increased.
144 Therefore, also the tractors height above the ground has increased. Thus, the consequences of
145 a possible fall during dismounting are likely to become more severe. The risk of fall exists
146 also for small tractors, such as those for vineyard and orchard applications, particularly
147 popular in the Mediterranean countries. They have tiny dimensions of access openings and the
148 driver, for some operation such as pesticide application, has to wear cumbersome coverall,
149 gloves, and other protective devices that may increase the possibility of falling while exiting
150 the cab.

151 Based on these considerations, the aim of the present study was to investigate the risk
152 factors for falls from agricultural tractors when egressing from the vehicle, analyzing
153 operators' behavior, its determinants, and the role played by near misses. In particular, based
154 on Merryweather et al. (2011) we expected worked hours to show a positive association with
155 unsafe behaviors while egressing from the tractor cab (H1). With regards to work experience,
156 because of the inconsistent results available in the literature, we made two alternative
157 hypotheses compete. If, as in Elkind (2008), work experience mainly leads to overconfidence
158 in the use of devices, it should show a positive association with unsafe behavior (H2a); on the
159 contrary, if work experience, as in Rogers et al. (2000), mainly leads to an increased situation
160 awareness, it should show a negative association with unsafe behavior (H2b). Furthermore,

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161 based on Wright and Schaaf (2004), we expected unsafe behaviors to have a positive
162 association with near misses (H3). Finally, based on Phimister et al. (2000), we expected near
163 misses to show a positive association with being involved in a fall accident (H4).

164 **Materials and Methods**

165 **Participants.** The study involved a sample of 286 regular users of agricultural
166 machinery (268 men, $M_{\text{age}} = 45.17$ years, $SD = 17.13$), recruited among the visitors of the 35th
167 National Exhibition of Agricultural Mechanization in Savigliano (March 18-20, 2016), the
168 largest agricultural machinery exhibition in the Piedmont region (North-western Italy). Italy
169 has the third largest tractor fleet after USA and Japan, with about 2 million tractors (Cavallo
170 et al., 2014), and the Piedmont region is a good representation of the Italian farming system
171 and rural population, since it includes approximately 10% of the total Italian Utilized
172 Agricultural Area and over 61,000 out of the 1,620,884 Italian agricultural holdings operate in
173 this region (INEA, 2014). The study was approved by the Research Advisory Group (RAG)
174 of the Institute for Agricultural and Earthmoving Machines of the National Research Council
175 of Italy (IMAMOTER-CNR).

176 **Instrument.** Participants were administered a 19-item paper-and-pencil questionnaire,
177 designed based on previous instruments (Glasscock, Rasmussen, Carstensen, & Hansen,
178 2006), on the analysis of the egressing behaviors reported in the literature (Leskinen et al.,
179 2002) and of the evidence from a preliminary qualitative study (Caffaro et al., in press). The
180 questionnaire was pilot-tested before being used in the present investigation and was
181 composed of 4 sections.

182 In the first section, participants were administered a list of 4 adverse work
183 environment factors: sufficient manpower (con-trait), interruptions by machinery,
184 interruptions by on-farm visits, and work delay due to the adoption of safety measures.
185 Participants were asked to rate on a 4-point scale (1 = never, 4 = always) how often these 4

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186 working situations occurred in their farm. The 3 items about manpower and interruptions
187 came from Glasscock et al. (2006) and the work delay due to the adoption of safety measures
188 emerged as a relevant issue in enhancing workload in agricultural tasks in a preliminary
189 qualitative study (Caffaro et al., in press).

190 In the second section participants had to report on a 4-point scale (ranging from 1 =
191 not risky at all to 4 = very risky) how risky they considered the following tasks in machinery
192 operations: moving equipment near power lines, manually-feeding a woodchipper, using a
193 wood splitting machine/circular saw, using the tractor on field without seat belts, handling
194 round bales with a front-end loader, working with machinery near ponds or ditches, cleaning
195 the manure spreader while it is in motion, getting off the tractor without turning the engine
196 off. Items about power lines and working near ponds were taken from Whitman and Field
197 (1995), whereas the other items were operations or tasks which are more likely to lead to an
198 accident according to Italian national safety statistics (INAIL, 2015).

199 The third section investigated the behaviors adopted when egressing from the tractor
200 driving station. Participants were asked to indicate the behavior they usually adopted when
201 exiting the cab by choosing between two pictures representing two different ways of
202 dismounting (0 = forward facing, 1 = backward facing). Furthermore, they were asked how
203 often they jumped from the last step of the access path while egressing from the tractor
204 (1=never; 4=always). The items were designed considering the two more frequent behaviors
205 performed by the tractor drivers when egressing from the vehicle (Leskinen et al., 2002) and
206 these behaviors were investigated by means of pictures based on previous studies in which
207 these materials proved to be useful to gather information about safety practices and behaviors
208 (Bush et al., 2014). After reversing the first item, the point-biserial correlation between the
209 two items was positive and significant ($r_{pb} = .26, p < .001$). Since the two items had two
210 different ranges, we averaged them after recoding the second into a 0-1 range) using the

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211 following formula: $\text{recoded item}_i = [x_i - \min(x)] / [\max(x) - \min(x)]$, where recoded item_i is the
212 value of the recoded item for the i^{th} individual, x_i is the value of the original item for the i^{th}
213 individual, and $\min(x)$ and $\max(x)$ are respectively the minimum (i.e., 1) and the maximum
214 (i.e., 4) value of the original item. We used this average score as our quantification of
215 participants' unsafe behaviors.

216 In the fourth section, participants had to indicate how often in the 12 months preceding
217 the survey they were involved in 5 different types of events involving agricultural machinery,
218 using a 3-category format (0 = never; 1 = once; 2 = twice or more): fall from the vehicle; run
219 over/crush by the vehicle; being struck by flying objects, broken parts, or hydraulic fluid;
220 side/rear rollover; road accident with tractor/equipment. Participants were asked to answer the
221 items twice, reporting how often they have been involved with (i.e. accident) and without (i.e.
222 near miss) suffering an injury. The list of events was created based on the most common types
223 of accidents involving agricultural machinery, according to the statistics from the Italian
224 Workers' Compensation Authority (INAIL, 2015). After dichotomizing participants' answers
225 (contrasting the 0 and the other responses), we computed two scores as the sums of the
226 responses to the first and to the second version of the batteries, respectively used as the
227 operationalization of the number of accidents and of near misses occurred in the 12 months
228 preceding the survey.

229 A standard socio demographic form, assessing also participants' relation with work
230 (average worked hours per week on farm and years of farm work) closed the questionnaire.
231 Trained research assistants handed out the questionnaire to people walking through the
232 exhibition. The questionnaire was in Italian and its completion took approximately 5-6
233 minutes. No incentive was offered to induce visitors to participate in the survey. The response
234 rate was approximately 85%.

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235 For the aims of the present investigation, we analyzed only the variables regarding the
236 dismounting behaviors (third section of the questionnaire), reported accidents dealing with
237 falls from the vehicle (fourth section), and the socio demographic information.

238 **Statistical analyses.** We tested our four hypotheses using a mediated model, in which,
239 consistent with our expectations, worked hours per week and years of experience were
240 independent variables, fall accidents was the dependent variable, and unsafe behaviors and
241 near misses were mediators, i.e., at the same time causes of fall accidents, and effects of
242 worked hours per week and years of experience. The paths displayed in the model represent
243 the regression coefficients (β coefficients) of each dependent variable on its predictors. We
244 tested the model using a structural equations model (Maximum Likelihood extraction),
245 resorting to Amos 20 (Arbuckle, 2014). We chose 0.05 as a-priori α level to evaluate the
246 significance of the relations we have analyzed. We evaluated the fit of the model via the
247 combination of different indexes: the Tucker-Lewis coefficient (*TLI*: Tucker & Lewis, 1973),
248 the comparative fit index (*CFI*: Bentler, 1990), and the Root Mean Square Error of
249 Approximation (*RMSEA*: Steiger, 1980). Based on Bentler (1990) we considered the *CFI* and
250 the *TLI* as satisfactory if higher than .90 and the *RMSEA* if lower than .05.

251 **Results**

252 Table 1 reports the descriptive statistics for the variables we used and the correlations
253 among them.

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260 Table 1. *Descriptive statistics for the variables we used and correlations among them*

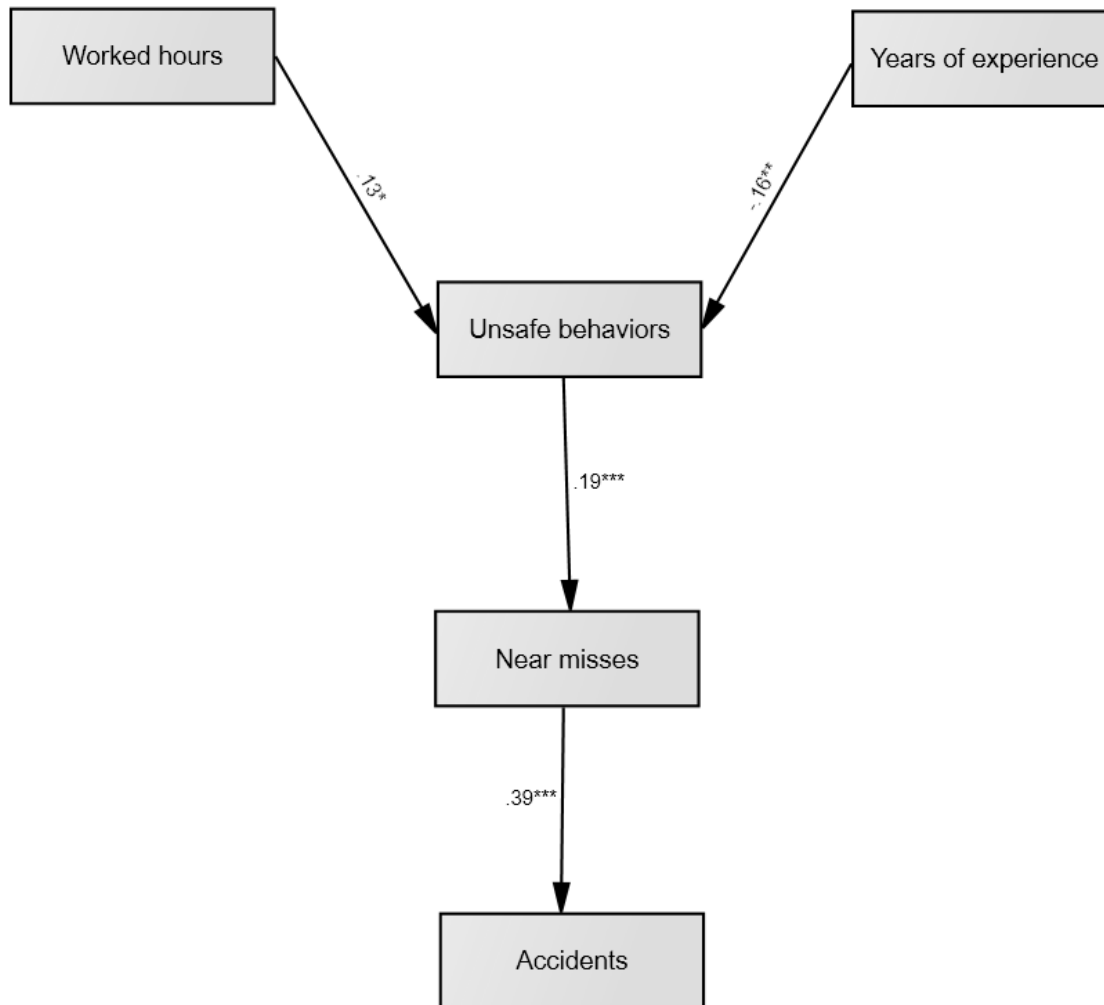
261

	Mean	SD	1	2	3	4	5
1. Worked hours per week	39.97	23.72	-	.42*	.15*	-.03	-.02
2. Years of work experience	1.58	.57		-	.05	.10	-.05
3. Unsafe behaviors	.41	.35			-	.19*	.03
4. Near misses	.11	.37				-	.39*
5. Accidents	.04	.23					-

262 *Note.* * $p < .05$.

263 Figure 1 displays the mediated model we have tested. Consistent with H1 and H2b,
 264 and contrary to H2a, unsafe behaviors showed a positive association with worked hours and a
 265 negative association with work experience ($R^2 = .04$). Moreover, respectively consistent with
 266 H3 and H4, unsafe behaviors showed a positive association with near misses ($R^2 = .04$) that,
 267 in their turn, showed a positive association with involvement in a fall accident ($R^2 = .15$).

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269 *Figure 1.* Years of experience in agriculture and worked hours per week predict accidents via
270 the mediation of unsafe behaviors and near misses. Errors are omitted; standardized
271 parameters (i.e., regression β coefficients) are displayed.

272 Table 2 shows that, even if small, all of the indirect effects we detected were
273 significant. The fit of the model was very good, $TLI = 1.00$, $CFI = 1.00$, $RMSEA = .00$ (90%
274 $CI .00, .07$). Supplementary analyses tested the structural invariance of the model across
275 farmers working ($n=102$) and not working ($n=184$) alone. Based on Reise, Widaman, and
276 Pugh (1993), we compared the fit of a baseline model, in which we tested our model
277 simultaneously on both groups of participants, with that of an invariant model, in which we
278 constrained the parameters to be equal across participants working vs. not working alone. The

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279 hypothesis of invariance can be accepted if the difference in the χ^2 value of the invariant
 280 model compared to that of the baseline model is not significant for a number of degrees of
 281 freedom equal to the difference in degrees of freedom of the two models, i.e., if constraining
 282 the parameters to invariance does not determine a significant worsening in the model fit. For
 283 our model, the hypothesis of invariance could be accepted. Indeed, the fit of the baseline
 284 model, $\chi^2(12) = 15.596$, $p = .210$, $CFI = .911$, $TLI = .946$, $RMSEA = .032$ (90% CI = .000,
 285 .073) was statistically equal to that of the invariant model $\chi^2(16) = 19.186$, $p = .259$, $CFI =$
 286 .941, $TLI = .952$, $RMSEA = .026$ (90% CI = .000, .064), $\Delta\chi^2(4) = 3.590$, $p = .464$. Thus, the
 287 parameters we estimated were statistically equal among farmers working vs. not working
 288 alone.

289 Table 2. *Indirect associations of years of experience and worked hours per week in*
 290 *agriculture with near misses and accidents and of unsafe behaviors with accidents*

	Years of experience in agriculture	Worked hours per week	Unsafe behaviors	Near misses
Unsafe behaviors				
Near misses	-.00*	.00*		
Accidents	.00*	.00*	.01*	

291 *Note.* * $p < .05$.

Discussion

293 The present study investigated the risk factors for falls from agricultural machinery,
 294 considering the role played by the working situation and operators' behavior. By using a
 295 mediation model, the present results add a novel contribution to the knowledge of the chain of
 296 events leading to occupational accidents among farmers, focusing on one type of accident (i.e.
 297 falls while egressing from the tractor) which is one of the main causes of injury among the
 298 agricultural operators. In particular, we showed that work experience and worked hours are,

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299 respectively, negatively and positively associated with unsafe egressing behaviors, i.e. facing
300 forward and jumping from the last step. These behaviors, in turn, are positively associated
301 with the probability of being involved in fall accidents, with the mediation of the involvement
302 in near misses.

303 The results of the present study are consistent with those reporting a positive
304 association between worked hours and involvement in accidents both in agriculture/forestry
305 sector (Lilley et al., 2002) and in other industries (Blasche, Pasalic, Bauböck, Haluza, &
306 Schoberberger, 2016; Lombardi, Folkard, Willetts, & Smith, 2010). With regards to tractors
307 in particular, previous studies reported a positive association between worked hours and
308 musculoskeletal symptoms/ accidents (Torèn, Öberg, Lembke, Enlund, & Rask-Andersen,
309 2002). However, our research, being based on a mediated model, helped understand the
310 reasons of this association, showing that it is mediated by being involved in unsafe behaviors.
311 Actually, the longer hours the operator works, the more frequent the occasions to leave the
312 driving station to accomplish different tasks. This is likely to increase fatigue and reduce
313 alertness, causing errors and thus enhancing the possibility of being injured in an accident
314 (Greubel & Nachreiner, 2013). Interventions addressing this issue may focus on a redesign of
315 the working strategies (Baron, Estill, Steege, & Lalich, 2001), for instance by training the
316 workers to have some systematic rest breaks during the working hours or assisting farmers in
317 managing external pressures (Kirkhorn, Earle-Richardson, & Banks, 2010). The relationships
318 between the variables we pointed out were equal across farmers who work vs. do not work
319 alone, showing that potential training interventions should address the whole farming
320 population, whether they are lonely farmers or not.

321 Worker's experience reduces unsafe behaviors. The outcome of the study contributes
322 to the debate on the consequences of familiarity with tasks and machinery (Elkind, 2008;
323 Rogers et al., 2000), strengthening the assumption of the protective role of this variable. This

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324 result may be interpreted by considering that by developing familiarity with the machine
325 through routine upkeep and inspection, the operator can make more intelligent decisions to
326 reduce the safety hazards related to the machine. In this light, preventive interventions could
327 be designed to enhance this expertise, in particular for novice operators, and not supported by
328 the protective role played by work experience. Engaging training methods as behavioral
329 modeling techniques, as hands-on demonstrations and behavioral simulations (House et al.,
330 2016), may be adopted, to promote a correct and safe use of machinery and therefore reduce
331 accidents in the use of machinery (Burke et al., 2006). In addition, as pointed out by Scott,
332 Miller, and Hallas (2006) training should be administered by people who have experienced
333 the job and are able to make the potential risks and dangers real by using anecdotes of
334 personal experience and the experiences of colleagues. All the training activities to reduce and
335 prevent tractor-related falls could be promoted through a wide range of networks including
336 rural media, farmer organizations, local offices of relevant organizations and government
337 departments, and farm machinery dealers.

338 In the present study, near misses showed a strong positive association with fall
339 accidents. This result confirms the importance of investigating near misses in order to prevent
340 more serious accidents (OSHA, 2015), also in the agricultural sector. As noticed by Wright
341 and Schaaf (2004), the collection of data about near misses is not very widespread and it
342 needs to be made more common. By means of targeted programs it would be possible to early
343 identify critical factors leading to accidents and to intervene to eliminate or reduce them
344 (Kogler et al., 2015). For instance, farmers could be trained to recognize and annotate near
345 misses and to discuss them with their peers. This could be the basis for the development of a
346 farm safety plan considering corrective modifications to the work environment and practices,
347 whose application may be checked during on-farm visits (Caffaro et al., in press).

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348 Some limitations of the present study should be acknowledged. The survey was
349 carried out in the Piedmont region, North-western Italy, and the participants were selected
350 among the visitors of an exhibition. In agricultural research, exhibitions are often considered
351 suitable places for collecting data on wide-ranging groups of agricultural workers (Caffaro &
352 Cavallo, 2015; Caffaro, Mirisola, & Cavallo, 2017; Görücü, Cavallo, & Murphy, 2014).
353 Despite this, our participants cannot be considered representative of the entire Piedmont
354 agricultural population, also because not all the people who were addressed agreed to
355 participate. Possible future research will benefit from larger samples of farmers and
356 agricultural workers, randomly selected among those involved in the official census, to obtain
357 more generalizable results. The investigation could be also extended to other agricultural
358 equipment, for which falls represent one of the major causes of injury and death, such as
359 harvesting machines, combines, handling machinery, and motorized picking platforms
360 (Fathallah, 2010; Kaustell, Mattila, & Rautiainen, 2011; Mattila et al., 2008). Another
361 limitation is that the data on near misses and accidents were solely based on self-reports and
362 the recall covered a quite long, although standard, period (12 months). Thus, it is possible that
363 the participants' responses have been affected by memory bias, resulting in a gap between
364 self-reported and actual involvement in the different events (Burton & Blair, 1991). A
365 longitudinal analysis based on a systematic recording (as in McGwin, Enochs, & Roseman,
366 2000) of accidents would be advisable in a future development of the study, to obtain more
367 accurate results. This systematic report would also allow to ask about the physical
368 environment conditions (i.e., snow, mud, rain, light) present at the time of the accident, to
369 investigate also the role played by these variables in the occurrence of an accident
370 (Merryweather et al., 2011).

371 Furthermore, the data about egressing behaviors were self-reported. As in Grogran et al.
372 (2014), and in Mann et al. (2016), it would be interesting to increase our understanding of the

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373 factors contributing to a fall from agricultural machinery via an observation and motion
374 analysis of the egress behavior performed by the operator, to also quantitatively assess the
375 biomechanical load associated with different egressing behaviors.

376 Finally, despite the present study being focused on the behavioral components of the
377 risk of falls and related interventions at individual level, it should be considered that in the
378 hierarchy of safety controls, the first level of intervention to reduce risks is represented by the
379 design features of the vehicle (Purschwitz, 2006). A future development of the study
380 considering both a kinematic analysis, the participants' anthropometric characteristics, and the
381 design features of the participants' tractor access path (as in Mann et al., 2016) would help to
382 understand the role played by all these variables in the rising of a fall accident. Possible
383 noncompliance with standards and regulations in force in machinery design (for example,
384 nearly 40% of the 1.75 million tractors in Italian farms are outdated, with safety concerns,
385 being more than 30 years old, Cavallo et al., 2014) may be detected through engineering-
386 based inspections, and features needing improvement could be identified by discussing with
387 the operators about the benefits/disadvantages of the actual design strategies (Day &
388 Rechnitzer, 2004). This would allow to intervene on both the components of the human-
389 machine interaction (i.e. not only the subject-related, but also the machinery-related
390 characteristics), promoting both technical interventions on the machinery and a continuing
391 education of the operators, in a multidimensional occupational health and safety program
392 (Smith, 2001). Promotion of these initiative should include opportunities for farmers to
393 actually try out some new design solutions by having displays at field days and shows,
394 engineer workshops, farm machinery dealers, and other relevant locations. Training events
395 may be organized at the same locations.

396 Despite these limitations, the study has some important strengths, with regards to the
397 variables investigated and the statistical analyses adopted. Concerning the variables, the study

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398 considered the relationship between near misses and accidents. As reported in the literature,
399 near misses occur more frequently and are smaller in scale than serious accidents, and usually
400 each major accident is preceded by a number of near misses (Phimister et al., 2000). This is
401 the case also of the present study, in which near misses had an average occurrence nearly
402 three times larger than the accidents (Table 1). This evidence may suggest that investigating
403 near misses on even small groups of participants could offer a sufficient variability to
404 represent the risk of accidents also in wider populations. Regarding the statistical analysis, the
405 adoption of the mediated model made it possible to clarify the processes behind some
406 previous evidence reported in the literature with regards to the relationship between worked
407 hours and accidents.

Conclusions

409 The chain of events leading to an occupational injury deserves particular attention in
410 agriculture, due to the high hazardousness of this sector. One of the main causes of injuries is
411 represented by falls, especially while dismounting the tractor. With regards to this issue the
412 critical role played by unsafe behavior as exiting facing forward or jumping from the steps is
413 well documented in the literature (Grogran et al., 2014). Nevertheless, little information is
414 available about which variables affect these unsafe behaviors. This study showed the role
415 played by worked hours and work experience in, respectively, enhancing and decreasing
416 unsafe behaviors. Therefore, preventive training interventions could focus on the re-design of
417 the actual working strategies and on the adoption of engaging training methods as behavioral
418 modeling in the use of machinery, to optimize the learning of safety practices and safe
419 behaviors. Interventions should also focus on near misses, making the report and the analysis
420 of these events a widespread and systematic practice among farmers and farm workers
421 (OSHA, 2015).

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423 **Competing interests:** None to declare.

424

425 **Key points:**

426 • The study showed that different variables intervene at different steps in the occurrence
427 of a fall accident when dismounting agricultural tractors.

428 • The results suggest the need for multilevel training interventions focused on both
429 working strategies and individual behaviors.

430 • The results highlight the importance of investigating near misses in order to prevent
431 injuries in the agricultural sector.

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