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Late persistence and deterministic extinction of "humid thermophilous plant taxa of East Asian affinity" (HUTEA) in southern Europe

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- 18 Key words
- 19 carpological remains, phytogeographic-climatic characterization, exotic elements, climate change,
- 20 late Cenozoic, Italy
- 21
- 22 Abstract

Several terrestrial plant fossils found in the late Cenozoic of Europe belong to thermophilous genera 23 or infrageneric taxa which do not grow in this continent today, and are usually called "exotic 24 25 elements". Within this large group we singled out three more precisely defined categories based on the hypothesis that the change of geographic distribution between the late Cenozoic and the present 26 is the result of deterministic extinctions caused by climate change. Among the taxa shared by the 27 modern East Asian and the Plio-Pleistocene European flora, the "humid thermophilous taxa of East 28 29 Asian affinity" (HUTEA) represent the central category in our study. These were traditionally considered "Pliocene" elements in Europe. In our analysis of 13 reliably dated Italian assemblages 30 the percentage of species belonging to the HUTEA category was found to be higher in Pliocene 31 sites, and very low to null in Pleistocene ones. Also early Pleistocene assemblages across all of 32 Europe did not contain any HUTEA, apart from Eucommia, and Glyptostrobus and Symplocos sect. 33

34 *Lodhra* in the refugial area of the Colchis.

Our analysis of fruit and seed assemblages in the San Lazzaro section (Umbria, central Italy), 35 recently assigned to the early Pleistocene, provided contrasting evidence, which required a 36 reconsideration of the stratigraphic and palaeontological context of two well known sites in central 37 Italy, Cava Toppetti II and Dunarobba. Using vertebrate and continental mollusc biochronology the 38 age of these sections was established and they were compared with other assemblages in central 39 Italy and Europe. We show that in central Italy at least three HUTEA species (Sinomenium 40 cantalense, Symplocos casparyi, Toddalia rhenana) persisted after the Pliocene/Pleistocene 41 boundary. We conclude that central-southern Italy offered a refugial niche that was warm and wet 42 enough to assure the longer survival of some HUTEA, in contrast to central Europe. 43

- 44
- 45 1. Introduction
- 46 In the course of the stratigraphical and palaeontological study of the San Lazzaro section in central
- 47 Italy (Fig. 1), recently assigned to the early Pleistocene (Baldanza et al., 2014), one of us (A.B.)
- found an endocarp of *Sinomenium cantalense*. The finding of this species, readily assignable to the
- 49 humid thermophilous taxa of East Asian affinity in an early Pleistocene section was the starting
- 50 point for further collecting efforts to find evidence for the role of central Italy as a centre of refuge 51 for such thermophilous taxe in the Plic Plaitecene (Martinette 2001a). In this paper we adopt the
- for such thermophilous taxa in the Plio-Pleistocene (Martinetto, 2001a). In this paper we adopt the

definition of the Pliocene and Pleistocene of Gibbard et al. (2010), with the boundary fixed at 2.6
Ma, and we accept their indication for the chronologic boundaries of the four stages Zanclean,
Piacenzian, Gelasian and Calabrian. Therefore, the terms middle Pliocene, late Pliocene and early
Pleistocene used in previous works (among others, Ambrosetti et al., 1995a, 1995b; Abbazzi et al.,
1997; Martinetto, 2001a) have a chronologic connotation which differs from that adopted here.

57 It is well known that many plant fossils found in the late Cenozoic of Europe belong to thermophilous genera or infrageneric taxa which do not grow in this continent today (Mai, 1989; 58 Qian et al., 2006; Rodriguez Sanchez and Arroyo, 2008). Such fossils are usually called "exotic 59 elements" (Reid, 1920) and this term corresponds more or less with "extinct plants" for the Plio-60 Pleistocene interval (Svenning, 2003). The climatic requirements are not considered in the 61 definition of both exotic and extinct; however, several attempts have been made to assign the exotic 62 (or extinct) elements to a few distinct plant groups that involve a climatic characterization and/or a 63 phytogeographic aspect (Mai, 1989, 1991, 1995a; Grichuk, 1997; Grimsson et al., 2015). Examples 64 of names which have been used include: "Palaeotropical flora/element", "Arcto-Tertiary" or 65 "Arctotertiary flora/element" (Engler, 1879-1882; Mai, 1989, 1991; Grimsson et al., 2015), 66 "subtropical elements" (Mai, 1970; Zagwijn, 1990), "Mastixioideen" (Kirchheimer, 1957; Mai, 67 1964), "Boreotropical flora" (Wolfe, 1975), "Taxodiaceae group" (Bertoldi et al., 1994), "Tethyan 68 plants (or Tethys flora)" (Szafer, 1961; Mai, 1989; Rodriguez Sanchez and Arroyo, 2008), "Mega-69 mesothermic elements" (e.g. Popescu et al., 2010), "humid subtropical elements" (Bertini and 70 Martinetto, 2011). All these names leave some uncertainty as to what is included and what is 71 excluded from the definition, firstly because the phytogeographic information, both past and 72 present, is superimposed to, and variously interfingers with, the climatic one, and secondly because 73 of the very difficult, not unambiguous, climatic characterisation of the fossil-taxa (Kvaček, 2007; 74 Grimm and Denk, 2012; Utescher et al., 2014). Also the modern reference models may be 75 ambiguous, for example the qualitative term "subtropical" is used with very different temperature 76 boundaries by Chinese (e.g. Hou, 1983) and Japanese authors (e.g. Kira, 1991). 77

The different extant distribution of plant taxa that grew together in the Cenozoic of Europe have 78 79 often been given considerable relevance in the analysis of palaeofloras (see Reid and Reid, 1915; 80 Szafer, 1961; Mai, 1964, 1989, 1995a). However, in our opinion most previous analyses and descriptions of the floral change in the Plio-Pleistocene of Europe suffered from the lack of 81 precisely defined categories whose chronological analysis would adequately point out timing and 82 entity of the large Plio-Pleistocene mass extinction (Tallis, 1991; Svenning, 2003). Additionally, the 83 descriptions of Plio-Pleistocene floral changes mostly relied on pollen data (e.g. Tzedakis et al., 84 2006; Postigo-Mijarra et al., 2009; Magri, 2010; Orain et al., 2013), particularly in Italy (Bertini, 85 2010; Combourieu-Nebout et al., 2015). However, by combining pollen and carpological records 86 (Bertini and Martinetto, 2011) it was noticed that pollen assemblages mainly reflect anemophilous 87 plants, while they do not accurately represent the assemblages of "subtropical humid forest" type 88 (sensu Hou, 1983, and Bertini and Martinetto, 2011), which are very rich in entomophilous plants 89 and were present in southern Europe right at the time when major extinction events are 90 hypothesized (Bertini and Martinetto, 2011). As recently confirmed by Goring et al. (2013), taxa 91 that are pollinated by insect or animal vectors (entomophilous or zoophilous, respectively), and 92 species with limited dispersal ability are rarely recorded in fossil pollen records. Some works on 93 modern fruit and seed assemblages (e.g. Thomasson, 1991; Vassio and Martinetto, 2012 and 94 95 references therein) indicate a less biased representation of plant diversity, in particular for several enthomophilous (e.g. Actinidia, Frangula, Paulownia, Rubus, Sambucus) and herbaceous plants 96 (e.g. Ajuga, Cyperaceae, Hypericum, Potamogeton, etc.). For these taxa, the plant elements that 97 enter the fossil record and allow species-level identification are fruits and/or seeds. Thus, the works 98 which exclude carpological data definitely underestimate past plant species diversity and the extent 99 of Plio-Pleistocene plant extinctions, and the focus of this paper will be on fossil fruits and seeds. 100

101 The analysis of the San Lazzaro material led us to reconsider the bulk of information accumulated 102 for the Italian late Cenozoic fruit and seed assemblages in the last 30 years (in particular: Gregor,

1990; Martinetto, 1994, 1995, 1999, 2001a, 2001b, 2009, in press; Bertoldi and Martinetto, 1995; 103 Mai, 1995b; Basilici et al., 1997; Ghiotto, 2010; Martinetto et al., 2007, 2015). Consequently, we 104 felt the need to introduce precisely defined categories, which would permit us to better appreciate 105 the chronological steps of the dramatic southern European floral change in the Plio-Pleistocene. 106 One of the necessary operations was to combine in a clear manner the modern phytogeography and 107 the climatic requirements of several taxa. Therefore, we focused on geographical and ecological 108 characteristics of modern relatives of fossil taxa: partly shared geographic range, minimum thermic 109 tolerance and moisture requirement. Since the geographic area where most of the "exotic" taxa of 110 the European late Cenozoic are still living today is definitely East Asia (Tralau, 1963; Martinetto, 111 1998; Qian et al., 2006; Manchester et al., 2009), we considered it to be important for the definition 112 of the new categories. 113

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115 1.1. Definition of "humid thermophilous extinct European taxa of East Asian affinity" (HUTEA)

The taxonomic similarity between Neogene European floras and modern East Asian ones arerooted 116 at least into the Miocene (Mai, 1989). As known from various studies at a global and regional scale, 117 Cenozoic climates were generally warmer and more humid than at present, and were characterized 118 by shallow latitudinal gradients (Utescher et al., 2011 and references therein). Several authors 119 (Bruch et al., 2011, Liu et al., 2011, Xing et al., 2012; Jacques et al., 2013) pointed out that the 120 climate was wetter and warmer than the present one during the Miocene in both central Europe and 121 China. Even central and northern Eurasian areas, such as Kazakhstan (Bruch and Zhilin, 2006) and 122 Siberia (Popova et al., 2012), were wetter and warmer during the Miocene, despite the relatively 123 124 higher seasonality and continentality.

This climatic situation was probably suitable for the formation of latitudinal vegetation belts with a 125 similar floristic composition in both western and eastern Eurasia (Mai, 1989, 1991; Kovar-Eder et 126 al., 2008), and strong floristic affinities with East Asia have also been encountered for North 127 American floras (Liu and Jacques, 2010). Several authors explained that the modern East Asian 128 woody flora is richer than the European (and North American) one (e.g., Kubitzki and Krutzsch, 129 1996; Manchester, 1999; Tiffney and Manchester, 2001; Wen, 1999; Wen et al., 2010) mainly due 130 to a minor impact of extinctions, even if several woody species got extinct also in East Asia during 131 the Plio-Pleistocene (Momohara, 2015). 132

Some close relatives of most European extinct species were already present in the warm temperate 133 belt of East Asia before the Pliocene (e.g., Cathaya, Cephalotaxus, Craigia, Cryptomeria, 134 Cyclocarya, Eucommia, Ginkgo, Glyptostrobus, Pseudolarix, Taiwania: Manchester et al., 2009) or 135 possibly migrated there during the Pliocene (e.g., Hemiptelea, Rehderodendron: Manchester et al., 136 2009), and could survive the Pleistocene climatic crisis because of the presence of niches that were 137 wet (atmospheric humidity) and warm enough, even in sites not related to rivers and swamps. Based 138 on the concept of "physiological uniformitarianism" (Tiffney and Manchester, 2001) we can 139 assume that the climatic tolerances of the living relatives of Neogene European taxa that survived in 140 the humid and warm temperate to tropical areas of East Asia roughly correspond (maybe only in 141 part) to those of the extinct European forms of the same genus, subgenus or section. Svenning 142 (2003) pointed out a deterministic effect in late Cenozoic plant extinctions and recognized three 143 important groups of taxa for the analysis of the ancient European floras: 1) widespread taxa; 2) 144 relictual taxa; 3) extinct taxa. In referring to extinct taxa, Svenning (2003) restricted his analysis to 145 146 cool-temperate tree genera, but recently Eiserhardt et al. (2015) carried out an analysis on more thermophilous plants. Actually, several Plio-Pleistocene taxa occurring in Europe are more 147 thermophilous than "cool-temperate" (Martinetto et al., 2015) so that we now consider it important 148 to single out a new group of thermophilous taxa with a partly shared (as for eastern Asia) current 149 distribution outside Europe and a common, definite climatic boundary. The thermophilous 150 characterization of several taxa documented by fossils is provided by the minimum Mean Annual 151 Temperature (MAT) requirement of their modern relatives (Table 1). 152

153 Consequently, we define as "**HU**mid Thermophilous extinct European taxa of East Asian affinity", 154 in short HUTEA, those plant taxa which have well-documented fossil records in the late Cenozoic 155 of Europe, which do not grow spontaneously in this continent and West Asia at present (unless as 156 aliens), which do not tolerate a Mean Annual Temperature below 8°C and a Mean Annual 157 Precipitation (MAP) below ca. 800-1000 mm/year, and which belong to genera or infrageneric taxa 158 that presently grow in East Asia (Wang, 1961; Qian et al., 2006; Manchester et al., 2009; Fang et 159 al., 2009, 2011; Grimm and Denk, 2012; Eiserhardt et al., 2015; Utescher and Mosbrugger, 2015).

We single out the 8°C value of MAT because this is the boundary of the distribution of boreal (subarctic) and thermophilous (temperate) taxa in East Asia. The lower limit of fir and spruce forest and the upper limit of deciduous forest is 7.8 °C MAT in China (Fang and Yoda, 1989). Although the lower MAT limit of the thermophilous evergreen arboreal Fagaceae and Lauraceae (dominant tall trees of temperate broadleaved evergreen forests in East Asia) is between 9 and 12°C (Hattori and Nakanishi, 1985; Fang and Yoda, 1989; Fang et al., 2011), we decided that adding 1°C of tolerance would admit sporadic occurrences of thermophilous plants above the 9°C MAT isotherm.

The focus on MAT for the definition of the HUTEA is justified by the large availability of data 167 (Grimm and Denk, 2012; Utescher and Mosbrugger, 2015) for most of the plant genera documented 168 by fossils in Europe, and by the determinant role of this parameter for plant extinction or survival in 169 the late Cenozoic of Europe (Svenning, 2003; Eiserhart et al., 2015). Conversely, we did not 170 manage to gather precise values of minimum precipitation requirements for all the exotic Neogene 171 plant taxa of Europe; nevertheless we consider important to include in the HUTEA definition a rule 172 that excludes those plants which tolerate a low precipitation (below ca. 800-1000 mm/year). In fact 173 it has been pointed out that the extinction of several Neogene taxa in Europe dependended from a 174 scarce tolerance not only of low temperature, but also of low precipitation (Svenning, 2003; 175 Eiserhart et al., 2015). The thermophilous genera that survived in southern Europe until present 176 177 time (e.g. Laurus, Olea) are mainly adapted to dry (Mediterranean) climate, whereas several thermophilous genera extinct in Europe are now growing in areas affected by the East Asian 178 Monsoon that supplies higher precipitation to plants during the growing season. In East Asia the 179 main evergreen forest formation, dominated by Fagaceae and Lauraceae, is called "lucidophyllous 180 forest" and differs from the south European (Mediterranean) sclerophyllous forest formation by its 181 less xeromorphic characteristics, such as larger shiny leaves, larger tree size and higher species 182 diversity with many epiphytes and woody lianas (Kira, 1991). 183

We are aware that other parameters (e.g. Warmth Index, Coldness Index; Kira, 1991) could be more 184 appropriate to define a category such as HUTEA. Nevertheless, the minimum MAT requirement is 185 an important factor determining the possibility for a plant taxon to overcome a climatic bottleneck. 186 The climatic characteristics of the refugia might have been been decisive for the possibility of a 187 plant species to survive (Magri, 2010; Gavin et al., 2014) and obviously it would have gone extinct 188 if its minimal thermal or humidity requirements would no longer have been present in the last 189 refugium. In this respect, groups of taxa with similar requirements may be expected to go extinct 190 together (Tallis, 1991; Grichuk, 1997; Eiserhardt et al., 2015). However, some extinctions are 191 certainly controlled by complex and multiple factors. For example it has been suggested that Cedrus 192 (Su et al., 2013) and Sequoia (Zhang et al., 2015) disappeared from China because of seed 193 ecological aspects, triggered by climate change. 194

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Three examples, concerning genera which do not tolerate a MAT below 8°C (Table 1), may be useful to support the above definition of HUTEA: *Toddalia* is assigned to the HUTEA because it is distributed in the tropical-warm temperate zone of East Asia and in Africa, but not in Europe and West Asia (Gregor, 1979). *Symplocos* sect. *Lodhra* is assigned to the HUTEA because it is distributed in the tropical-warm temperate zone of East Asia, but not in Europe and West Asia (Fritsch et al., 2015). *Rehderodendron* is assigned to the HUTEA because it is distributed only in the "subtropical" zone (*sensu* Hou, 1983) of East Asia. The genera *Cathaya* and *Pseudolarix* meet all the requirements to be classified as HUTEA, but they are excluded for their present highly relictual distribution, which may provide an inaccurate representation of their past climatic requirements, similarly as for *Tetraclinis* (Kvaček, 2007). *Azolla* is not considered a HUTEA because it is a water plant rather independent from atmospheric humidity.

(TABLE 1 approximately here)

According to the above definition and to the data (e.g., minimum thermic requirements: MATmin) 209 reported in Table 1, the following HUTEA have so far been documented for the late Cenozoic of 210 Italy (Martinetto, 1995, 1998, 1999, 2001a; 2001b; Follieri, 2010; Martinetto et al., 2015): 211 Amentotaxus, Cephalanthus, Cinnamomum, Craigia, Cyclea, Cyclocarya, Ehretia, Engelhardia, 212 Eucommia. *Glyptostrobus*, Mallotus, Meliosma subgen. Kingsboroughia, Paulownia. 213 Rehderodendron, Sabia, Sargentodoxa, Saurauia, Sinomenium, Stemona, Symplocos sect. Lodhra, 214 Taiwania, Ternstroemia, Tetrastigma, Toddalia, Trichosanthes, Turpinia and Wikstroemia. 215

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219 1.2. Other categories (CTEA, TEWA)

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221 Other taxa documented in the late Cenozoic of southern Europe have the correct geographic distribution nowadays to be considered HUTEA (i.e. embracing East Asia and excluding Europe 222 and West Asia), but they are not considered, because the modern representatives do tolerate a Mean 223 Annual Temperature below 8°C (e.g. Actinidia, Alangium, Ampelopsis, etc.: Table 1). These taxa 224 will be named CTEA ("Cool-Tolerant extinct European taxa of East Asian affinity") in this paper 225 and belong to the somehow ambiguous [changing on the basis of the extent of territory considered] 226 category of the "exotic" taxa (Reid, 1920; see the more precisely defined "category E" in 227 Martinetto, in press). 228

The HUTEA category already has a satisfactory climatic connotation, which we deem to be useful 229 for an analysis of the climatic determinism in their extinction. Conversely, the CTEA category 230 certainly contains a very heterogeneous mix of species with different climatic tolerances. In fact, 231 Magnolia provides a good example of a cool-tolerant CTEA genus that contains several modern 232 species (Grimm and Denk, 2012; Mosbrugger and Utescher, 2015), which are absolutely 233 thermophilous and not cool-tolerant (tropical-subtropical). Similarly, a diversified climate tolerance 234 has been also hypothesized for different European fossil-species (Mai, 1975). Given this situation, it 235 is not surprising that several CTEA would show a HUTEA-like extinction pattern. However, in this 236 paper our attention has been focused on the species that show a delayed disappearance time in 237 comparison to the HUTEA. 238

Finally, a few taxa which do not tolerate a MAT below 8°C are not assigned to the HUTEA because
of the modern geographic range: *Coriaria, Datisca, Ficus, Laurus, Liquidambar, Morella, Ocotea, Olea, Platanus, Sideroxylon, Styrax, Tetraclinis* and *Visnea* grow in southern Europe, North Africa

- 242 (incl. Macaronesia) and/or West Asia. These taxa will be indicated as TEWA, Thermophilous
- European, West Asian and/or African elements, in this paper. *Pterocarya, Parrotia* and *Zelkova* are
 not assigned to the HUTEA nor to the CTEA or TEWA, because they grow in relict niches of
 south-eastern Europe and/or West Asia (southern shores of the Black Sea and Caspian Sea),
 commonly including sites with a Mean Annual Temperature below 8°C.
- 247 Finally, late Cenozoic south European taxa that today only survive in America are not many
- (Decodon, Dulichium, Leitneria, Proserpinaca, Sequoia, Taxodium) and will not be specifically
 dealt with in this paper.
- 250 It is apparent that the HUTEA and CTEA concepts have much to do with a change of geographic
- distribution between the Plio-Pleistocene and the present. The main aim of this work is to present
- new fossil data from Italy and an updated state-of-the-art regarding the timing of disappearance of

HUTEA and CTEA species from Europe. Furthermore, we newly consider the possibility of
 deterministic extinctions (Svenning, 2003; Eiserhardt et al., 2015).

255256 2. Geological setting

The post-Miocene, NW-SE oriented South Valdichiana Basin (Fig. 1), enclosed between the Meso-257 Cenozoic Rapolano-Mt. Cetona and Narnese-Amerina Apennine anticlines and bounded by 258 extensional faults, occupies a wide area between south-eastern Tuscany and western Umbria, in 259 central Italy. In the Pliocene-Pleistocene interval, the Narnese-Amerina ridge separated the mainly 260 marine domain of South Valdichiana from the continental deposits of the Southern Tiberino Basin 261 (Figs. 1, 2), while, during the early Pleistocene, a wide delta in what is now the Città della Pieve 262 area divided the continental (northwards) and the marine Valdichiana (southwards) (Bizzarri and 263 Baldanza, 2009; Bizzarri et al., 2015). 264

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(FIGURE 1 approximately here)

South Valdichiana marine deposits in the study area, including the San Lazzaro section (Fig. 1), 266 clearly mark a regressive trend, from offshore transition silty sands to lower shoreface sands, and 267 finally to a gravel beachface with evidence of a river mouth in the uppermost part (Baldanza et al., 268 2011). The same main trend is recognized in other sections nearby, such as the Monteleone section 269 and the Città della Pieve section (Bizzarri and Baldanza, 2009). All these sections are referred to 270 alternatively river- and wave-dominated shallow coastal marine environments (Bizzarri et al., 271 2015), and attributed to the "Deltaic coast" inside the "Chiani-Tevere" Unit (Fig. 2, Baldanza et al., 272 2014; Martinetto et al., 2014). On the other hand, through late Pliocene and early Pleistocene, the 273 Southern Tiberino Basin evolved from a wide lacustrine environment to a river-drained valley 274 system (Ambrosetti et al., 1995a; Basilici, 1997, 2000a, 2000b; Martinetto et al., 2014). The 275 sections Cava Toppetti II (Abbazzi et al., 1997) and Dunarobba (Ambrosetti et al., 1995b) are 276 277 representative of this second context.

279 3. Materials and methods

(FIGURE 2 approximately here)

This work integrates the analysis of freshly collected material from the San Lazzaro section with the reinterpretation of the stratigraphic and palaeontological data from the sites Cava Toppetti II (Abbazzi et al., 1997; Argenti, 1999, 2004; Martinetto, 2001a; Petronio et al., 2003; Sardella et al., 2003) and Dunarobba (Ciangherotti et al., 1998; Manganelli and Giusti, 2000; Manganelli et al., 2008; Martinetto et al., 2014).

In the San Lazzaro section, as well as in the neighbouring sections (Fig. 3), a close, about 1 m 285 spaced, sampling for marine microfossils ("biostratigraphic samples") was carried out in the 286 lowermost deposits, in order to provide an integrated biostratigraphical datum. Similarly, more 287 widely spaced biostratigraphic samples were collected in the upper part of the section, in 288 correspondence of fine-grained deposits. For each sample, 100 g of sediment were processed with 289 H_2O_2 solution, washed through a 63 μ size mesh, and the residue observed using a 290 stereomicroscope. Smear slides for nannoplankton analysis were prepared using the standard 291 technique proposed by Bown and Young (1998), and observed under a polarizing-light microscope 292 at 1000x magnification. 293

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(FIGURE 3 approximately here)

- The above-mentioned finding, in a biostratigraphic bulk sample (FU6, ca. 100 g) collected at 14 m, of a fruit part (endocarp) of *Sinomenium cantalense* was the starting point for a more extensive sampling effort in the San Lazzaro section. A few concentrations of compressed plant fragments were noticed in the portion from 5.5 m to 8.5 m of the section (Fig. 4), and three bulk sediment samples FU3 and FU4, ca. 400 g, and FU5, ca. 2000 g; these are named "carpological samples") have been collected there and processed with the palaeocarpological method illustrated in Basilici et al. (1997).
- The methods for the identification of plant materials are the same as those recently described in Martinetto et al. (2015). However, the climatic characterisation of each plant fossil-species was

carried out differently. We did not use only the data available in the Paleoflora Database (PFDB: 304 Utescher and Mosbrugger, 2015) and in the GrimClim Database (CG: Grimm and Denk, 2012), 305 because these data have several biases depending from their literature sources: the PFDB does not 306 indicate references for the thermal boundary values referred to each taxon, and the boundary 307 temperatures of Fang (2011), largely used by the CG, were defined from very rough distribution 308 data at county level (several Chinese provinces are larger than Japan). Therefore, whenever 309 possible, we used in Table 1 the more precise data from different literature sources (Alternative 310 MATmin in Appendix 1). Those include local floras and vegetation survey reports in China and 311 Japan, in which distribution of taxa are described and/or mapped on the altitudinal scale of at least 312 100 m based on herbarium specimens and/or field observations (Appendix 1). 313

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(FIGURE 4 approximately here)

Deposits in the area of Fabro Scalo (Fig. 1) have been investigated with the aim to: 1) reconstruct a 316 lithostratigraphic section for the area, 2) better document the palaeofloral assemblages inside the 317 phytodebris-rich horizons of the San Lazzaro outcrop, 3) establish the age of these deposits, and 4) 318 compare the plant assemblages with other possibly coeval assemblages in central Italy, first of all 319 those of the dated Cava Toppetti II section (Abbazzi et al., 1997; Martinetto, 2001a), and secondly 320 those of poorly dated Dunarobba succession (Martinetto et al., 2014). To reevaluate the age of these 321 three sections, data on vertebrate and continental mollusc biochronology were reconsidered 322 (Abbazzi et al., 1997; Gliozzi et al., 1997; Ciangherotti et al., 1998; Petronio et al., 2003; Sardella et 323 al., 2003; Argenti, 2004; Manganelli et al., 2008). 324

In addition, continental mollusc and vertebrate records were investigated as complementary palaeoclimatic evidence to terrestrial plants. In particular we analysed the history of the HUTEA plants in parallel with that of the terrestrial ectothermic vertebrates, whose distribution is clearly related to historical variations of temperature and humidity more directly than that of other vertebrates (Delfino, 2002; Kotsakis et al., 2004; Venczel and Sanchiz, 2005; Delfino et al., 2006; Delfino and Sala, 2007; Piras et al., 2007; Delfino et al., 2008).

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Even if we base our analysis on the climatic determinism of plant extinctions, the correlation of the disapperance events with the standard climatic curves (e.g. Zachos et al., 2001; Lisecki and Raymo, 2005; De Shepper et al., 2013; Prescott et al., 2014) requires more information and is beyond the scope of this work.

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337 3.2. Carpological and floristic analyses

The San Lazzaro sediment samples FU3, FU4 and FU5 were prepared according to a standard 339 palaeocarpological method (Martinetto, 1994; Basilici et al., 1997). The definition of the HUTEA 340 category allowed us to carry out a new floristic analysis, both for the San Lazzaro assemblage and 341 for several other Pliocene and early Pleistocene floras of Italy: we selected 13 reliably dated 342 assemblages (2 of the Zanclean, 5 of the Piacenzian, 2 of the Gelasian, 3 Calabrian, 1 early Middle 343 Pleistocene) and we analysed the percentage of species belonging to the HUTEA category, referred 344 to the total number of species (Table 2). Furthermore, since our focus was on the early Pleistocene, 345 we also analyzed the floral lists of all the main carpofloras of the rest of Europe (Baas, 1932; 346 347 Chochieva, 1965; Buzek et al., 1985; Mai and Walther, 1988; Günther and Gregor, 1990; Velichkievich and Zastawniak, 2003; Mai and Velitzelos, 2007) whose age was proved or supposed 348 to fall within that time interval (Table 3). 349

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- 351 352

(TABLE 2 approximately here) (TABLE 3 approximately here)

In order to get an overview of the disappearance times of themophilous plants at the south European scale, the occurrence and suggested extiction time of HUTEA were also checked in synthetic palynological papers dealing with the following areas: whole area (Popescu et al., 2010), Iberian
peninsula (Postigo-Mijarra et al., 2009), Italy (Bertini, 2010; Magri, 2010; Combourieu-Nebout et
al., 2015), Balkan Peninsula (Tsedakis et al., 2006; Biltekin et al., in press), Colchis area (Shatilova
et al., 2014) and Turkey (Biltekin et al., in press).

- 360 4. Results
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362 4.1. The San Lazzaro section and its age constraints

The composite sedimentological and stratigraphic reconstruction proposed for the Fabro Scalo area integrates old observations (Baldanza et al., 2011, 2014; Bizzarri et al., 2015) and newly collected data. The general geological and sedimentological pattern, from the base to the top, is organized as follows (Fig. 3):

- about 10 m (cropping out) of structureless, mollusc-rich clayey and silty sand (offshore transition
 deposits); the lowermost layers are covered by recent alluvial deposits;
- up to 30-40 m of highly fossiliferous (mainly molluscs) fine sand (lower shoreface deposits);
- up to 25 m of intermingled coarse sand and gravel (gravel beachface deposits);
- a minimum of 20 m of channelled gravel with minor sand (river mouth deposits).

The about 27 m-thick San Lazzaro section represents approximately the lower/intermediate part of this composite section (Fig. 3); deposits grade up from poorly sorted silty sand, referred to the offshore transition, to moderately sorted fine sand of lower shoreface. Throughout the section, a rich and diversified fossil record (Baldanza et al., 2014; Bizzarri et al., 2015), dominated by molluscs, echinids, lunulite bryozoans, benthic foraminifera and decapod crustaceans was documented; both macro- and micropaleontological assemblages are dominated by benthic taxa, mostly referred to warm shallow water conditions.

- As for the Monteleone and the Città della Pieve sections, deposits in the Fabro Scalo area are 380 mainly referred to the MNN 18 - MNN 19a zones (sensu Rio et al., 1990) on the basis of 381 nannofossil assemblages: rare small-sized Gephyrocapsa spp. (sensu Raffi, 2002), Helicosphaera 382 sellii, Calcidiscus macintyrei, very rare and broken Discoaster brouweri and Coccolithus pelagicus. 383 Trough the composite lithostratigraphic section, two calcareous nannofossil biostratigraphic events 384 are recognized (Fig. 3): the LO of Discoaster brouweri (top of MNN 18 zone, tDb sensu Raffi, 385 2002) in the lowermost part of the Fabro-Carnaiola section, and the FO of medium-sized 386 Gephyrocapsa spp. (base of MNN19b subzone, bmG sensu Raffi, 2002) at about the top (22 m) of 387 the San Lazzaro section. Therefore, the biostratigraphic data permit to assign deposits to the 388 Gelasian pro parte - Calabrian p.p. interval. This transition is also marked by the occurrence, in the 389 lowermost deposits, of marine ostracods such as Aurila cruciata, Loxoconcha glabra, Argilloecia 390 sp., and *Ruggieria longecarenata*, usually documented from the end of the Gelasian and the base of 391 the Calabrian (Faranda and Gliozzi, 2008). The occurrence, throughout Gelasian-Calabrian sections, 392 of taxa of previously supposed "Pliocene affinity", such as Amphistegina spp. and Persististrombus 393 cf. coronatus, is not surprising, nor sufficient to suggest an older (Piacenzian) age: in fact, the 394 persistence of these taxa into Gelasian to Calabrian deposits has been documented in other sites of 395 this area (Bizzarri and Baldanza, 2006; Monaco et al., 2011). The two horizons with carpological 396 remains, inside the San Lazzaro section (Fig. 3), are attributed to the MNN 19a subzone, well inside 397 398 the early Pleistocene, and are still referable to the Gelasian stage.
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- 400 4.1.1. The San Lazzaro carpological assemblage

The preparation of the San Lazzaro carpological samples yielded plant assemblages mainly made up of woody fragments, particularly abundant in sample FU5. However, a careful observation allowed us to separate also a few, mostly fragmentary, fruits and seeds. Samples FU3 and FU4 had a very poor carpological content, reported in Table 4, whereas FU5 yielded more abundant carpological material that allowed us to identify five definite fossil-species (ICN, McNeill et al.,

2012) well-known in the Pliocene of Italy (see Table 4 for the number of specimens): Magnolia 406 allasoniae, Sinomenium cantalense, Symplocos casparyi, Toddalia rhenana and Zanthoxylum 407 ailanthiforme. The occurrence of 3 HUTEA out of 6 species forming the San Lazzaro plant 408 assemblage (Table 4) represents a very high percentage (50%). So, the precise identification at 409 species level allowed by fruit morphology give to these few remains a relevant importance, due to 410 the stratigraphic context in which they have been found. These taxa have been described and 411 figured in Martinetto (2001a, 2001b), Mai and Martinetto (2006) and Martinetto et al. (2014, 2015). 412 A single species has been left in open nomenclature for the reason discussed below: 413

- Vitis cf. vinifera L. subsp. sylvestris Gmelin - seeds of Vitis with distinct similarities to the modern 414 Mediterranean wild grape V. vinifera subsp. sylvestris are abundant in several tens of Italian fossil 415 sites spanning from the Zanclean to the Holocene (Martinetto, 1994; Basilici et al., 1997; Cavallo 416 and Martinetto, 2001). However, their assignment to the modern species (and subspecies) is not 417 straightforward because a similar fossil-species has also been described (Vitis parasylvestris 418 Kirchheimer 1957) and often reported in the Neogene of Europe (Geissert et al., 1990; Martinetto, 419 1994; Mai, 2001). The diagnostic features of this last species are said to be the length up to 7 mm 420 and the small seed body associated to a narrow cylindrical basis, somehow similar to that of the 421 Holocene cultivated forms of V. vinifera subsp. vinifera. The consistent variability of morphological 422 characters observed in rich fossil populations makes a precise assignment at species level 423 problematic, in the absence of a detailed statistical and morphometric study. However, the 424 dimensions and the shape of the base in the Plio-Pleistocene Italian seeds is mostly the same as in 425 the modern Mediterranean species, so that a major affinity to Vitis vinifera subsp. sylvestris than to 426 V. parasylvestris is suggested also for the specimen of San Lazzaro. 427

(TABLE 4 approximately here)

431 4.1.2. Taphonomical considerations

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Almost the whole carpological record of San Lazzaro comes from a thin lens of mixed shells and 432 compressed phytodebris (FU5 in Table 4). Several lenses of this type are usually well exposed and 433 434 recognizable after periods of intense rain (Fig. 5). Each lens is concave upwards, up to 40 cm wide, less than 10 cm thick, and filled by structureless clastic-bioclastic very fine sand, just a little coarser 435 than the enclosing silty sand deposits. Mollusc shells and fragments are usually found at the bottom 436 or in the lateral parts, while thin wood fragments are more randomly dispersed. In the 4-9.5 m 437 interval (Fig. 3), such lenses are frequent, interposed to both shell lags and spotted mollusc 438 specimens. Millimetric, isolated wood fragments (compressions) are locally spread through the rest 439 of the section. A speditive anatomical analysis (stereomicroscope) proves that most of the 440 phytodebris consists of heteroxylous and homoxylous xylem fragments, thus proving their origin 441 from terrestrial or at least coastal woody plants. The abundance of such plant remains in a shallow 442 marine nearshore environment probably reflects river flood events from neighboring areas. Distally, 443 from the prodelta to the offshore marine environment, major events may trigger remobilization of 444 sediments (sediment-gravity flows sensu Lowe, 1982 and Nemec and Steel, 1984), including 445 density currents (turbidity currents). Several plant fragments horizons inside massive to laminated 446 sandy sediments are interpreted as part of turbidites s.l. and even diagnostic of hyperpychal flows 447 (hyperpycnites: Zavala et al., 2012). On the other hand, the San Lazzaro deposits were referred to 448 449 lower shoreface/offshore transition by means of sedimentological features (Baldanza et al., 2014; Bizzarri et al., 2015), and no evidences of deposits related to turbidity currents and/or hyperpycnal 450 flows was found. Thus, the depositional models proposed by Zavala et al. (2012) to account for the 451 richness of plant remains, leaves, charcoal or other very light land-derived materials in distal to 452 deep marine deposits cannot be applied here. As well as the shell lags, these lenses can be 453 interpreted as storm layers: plant remains transported to the sea during intense floods (in mass 454 455 transport) by small rivers, depending on their size and density, may more or less longer float or quickly settle to the seafloor. As for other bioclasts, woody fragments and even larger branches and 456

trunks (Monaco et al., 2011) are remobilized during storm events, and redistributed inside storm layers. The small dimensions of fragments (up to few centimetres, usually <1 cm), the lack of whole leaves, the partially abraded aspect of carpological remains, their concentration inside lenses of very fine sands, surrounded by silty sand-dominated deposits, all indicate discontinuous, wave-induced sedimentation events (tempestites) in a relatively low energy environment. The possibility of reworking of plant material in marine environments was already discussed in Martinetto et al. (2015).

(FIGURE 5 approximately here)

465 4.2. The Dunarobba section

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An updated overview of the stratigraphic information on several sections of the Dunarobba site can 466 be found in Martinetto et al. (2014), and here we concentrate on two types of rich and well-467 diversified molluscan assemblages from the Dunarobba Forest Section (DF). The first, coming from 468 the silty clays encrusting the trunks, is dominated by extinct hygrophilous land prosobranchs and 469 pulmonates, such as Hydrocena dubrueilliana, Carychium (Saraphia) pachychilum, C. (S.) 470 pseudotetrodon, Negulus villafranchianus, Leiostyla gottschicki, Gastrocopta (Albinula) acuminata 471 fossanensis (= G. (A.) acuminata), Gastrocopta (Vertigopsis) dehmi (= G. (V.) moravica), 472 Eostrobilops aloisii, Staurodon cianfanellianus, Laminifera (Laminiplicata) villafranchiana (= 473 Laminiplica villafranchiana) (Manganelli et al., 1989, 1990; Esu and Girotti, 1991, Esu et al., 1993; 474 Ciangherotti et al., 1998; Manganelli and Giusti, 2000; Manganelli et al., 2008). These species are 475 of high palaeobiogeographical and biochronological interest. Most of them, such as H. 476 dubrueilliana, C. pseudotetrodon, N. villafranchianus, G. (V.) dehmi (= G. (V.) moravica), G. (A.) 477 acuminata fossanensis (= G. (A.) acuminata), L. gottschicki, E. aloisii, L. villafranchiana), are in 478 common with the NW Italian assemblages of the late Pliocene (Piacenzian of the marine 479 chronostratigraphy) deposits of Villafranca d'Asti (RDB section) or Ceresole d'Alba and other 480 481 Pliocene sites (Ciangherotti, 1997; Esu and Ciangherotti, 2004, Ciangherotti et al., 2007), and mostly got extinct during the late Pliocene (Piacenzian)/early Pleistocene (Gelasian) transition. 482

The second assemblage, coming from the sediments around the trunks of the Forest Section (Martinetto et al., 2014), is characterized by aquatic prosobranchs, such as *Theodoxus groyanus*, *Emmericia umbra, Prososthenia paulae, Tournouerina belnensis, Melanopsis affinis, Micromelania* (*Goniochilus*) *zitteli*, mainly endemic to central Italy and getting extinct in the late early Pleistocene (Ambrosetti et al., 1995b; Ciangherotti et al., 1998; Esu and Girotti, 2001).

Thanks to a high percentage of extinct molluscs of Pliocene character and to the findings of land species common to the late Pliocene molluscan fauna of NW Italy and other European Pliocene sites, it is possible to suggest a late Pliocene age (Piacenzian) for the Dunarobba molluscan fauna, even if a late Pliocene-earliest Pleistocene age (Piacenzian-Gelasian) cannot be excluded. The climatic indications of these molluscs, based on the modern relatives, indicate a warm temperate climate with a high atmospheric humidity, e.g. the genus *Eostrobilops* lives in East Asia, South of 40° N, and *Negulus* in East Africa (Ethiopia) (Esu and Ciangherotti, 2004).

The occurrence of several fruit and seed assemblages in different sections of the Dunarobba site has been recently reported by Martinetto et al. (2014). The most diverse plant assemblage, originating from a sandy bed indicated as DC1b, included 41 species, 8 of which are recognized as HUTEA with a resulting percentage of 20%.

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500 4.3. The Cava Toppetti II section

The Cava Toppetti site, a few kilometers south-west of Todi (Fig. 1), was comprehensively investigated during the two last decades, and several sedimentological, stratigraphic, palaeontological and palaeoenvironmental data were collected (Ambrosetti et al., 1995a; Basilici, 1995; Abbazzi et al., 1997; Gliozzi et al., 1997; Pontini, 1997; Leone et al., 2000; Pontini et al., 2002; Martinetto et al., 2014). Due to the presence of two completely different successions, cut through by a main extensional fault, the various records were referred to either Cava Toppetti I, the older succession made up by the Fosso Bianco Unit (FBU), or Cava Toppetti II, the younger 508 succession made up by the Ponte Naja Unit (PNU). Both the FBU and PNU (Fig. 2: Ambrosetti et al., 1995a; Basilici, 1995) were well exposed through the quarry fronts in the 1980s-1990s, but later the outcrop was deteriorated by the lack of quarrying activities, the successive backfilling and the slope restoration.

(FIGURE 6 approximately here)

The about 150 m thick Cava Toppetti II section pertained to the uppermost PNU; it was composed 513 (Fig. 6) of prevailing clayey-sandy silt deposits (fine-grained sheet flow deposits), with interposed 514 silty clay (palaeosol), planar-concave sandy gravel (stream ribbon channels) and tabular sandy 515 gravel deposits (coarse-grained sheet-flow deposits). A complete sedimentological description and 516 interpretation of PNU deposits can be found in Basilici (1995) and Abbazzi et al. (1997). The 517 palaeoenvironment was reconstructed on a sedimentological basis as the medial and distal portion 518 of an alluvial fan. Several types of sediments locally bear molluscs, whereas fragments of large 519 vertebrates and micromammals were mainly collected inside palaeosols. According to Abbazzi et 520 al. (1997), deposits of Cava Toppetti II section originally overlie the about 300 m thick lacustrine 521 laminated silty clay deposits of the FBU, attributed to late Pliocene-early Pleistocene by means of 522 magnetostratigraphy (Late Gauss to Early Matuyama Chrons). 523

At Cava Toppetti II an important biochronological datum is given by the occurrence of the 524 micromammal Apodemus dominans (Argenti, 1999; Sala and Masini, 2007). In the southwestern 525 branch of the Tiberino Basin such occurrence has been recorded also at the Pantalla site, together 526 with a diversified vertebrate assemblage (Gentili et al., 1997; Cherin et al., 2013a, 2013b, 2014a, 527 2014b). All the vertebrate data support a biochronological framework with Cava Toppetti II and 528 Pantalla referable to the middle-late Villafranchian transition (Coste San Giacomo or Olivola 529 Faunal Units), i.e. to the late Gelasian (Cohen et al., 2013). Recently, Bellucci et al. (2014) provided 530 new data on the Coste San Giacomo faunal assemblage, including also small vertebrates. The age of 531 532 this deposit, reference locality of the Coste San Giacomo Faunal Unit, has been approximately assessed at 2.1 Ma. The ongoing researches will allow a more detailed comparison of the Cava 533 Toppetti II mammal assemblage to those of Coste San Giacomo and of the other Umbrian localities 534 mentioned above. 535

The molluscs collected in the alluvial fan deposits of the Cava Toppetti II section (Abbazzi et al., 536 1997) are characterized by a few extinct freshwater prosobranchs, such as T. groyanus, E. umbra, 537 Choerina tudertis, M. affinis, Prososthenia augusti, P. tassoi, which are endemic to central Italy, 538 and by a few extinct species of land snails, such as Carychium (Carychiella) puisseguri, Carychium 539 (Saraphia) pachychilum, Carychium (S.) pseudotetrodon and Negulus villafranchianus. Among 540 these, only three species are shared with the Dunarobba assemblage, whilst all the species of 541 freshwater prosobranchs were recovered also at Dunarobba. The PNU land molluscan fauna results 542 impoverished in extinct molluscs compared with the Dunarobba one indicating a slightly younger 543 age, that is early early Pleistocene (Gelasian). The lack of most of the thermophilous taxa occurring 544 at Dunarobba, such as the representatives of the genera Hydrocena, Eostrobilops, Staurodon, 545 Laminiplica, suggests a cooler climate during the deposition of the PNU unit in comparison to the 546 Dunarobba Fossil Forest one (Ciangherotti et al., 1998). Furthermore, these taxa are also less 547 exigent in terms of atmospheric humidity. 548

As for the macroscopic plant remains, the record of the Cava Toppetti II section is rather poor, but an important fruit and seed-bearing deposit (Fig. 7) has been located in the middle part of the section (Fig. 6) and the occurrence of several species had been reported by Martinetto (2001a). As a whole 40 species have been identified, 8 of which are recognized as HUTEA with a resulting percentage of 7%.

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(FIGURE 7 approximately here)

All the data presented above call for a rconfirmation of the chronological position of the Cava Toppetti II section. We know that some recent papers challanged the reliability of several old

paleomagnetic data, due to some bias on magnetic minerals (e.g. the issue related to the Jaramillo 559 subchron discussed in Muttoni et al., 2014). However, the bulk of data collected in the Tiberino 560 Basin, their correlation with part of the Valdarno Basin sequence, which is also time-calibrated by 561 means of radiometric data (Bertini, 2013), and the magnetic susceptivity-based high-resolution 562 cyclostratigraphy (Pontini et al., 2002; Napoleone et al., 2003, 2004), make the chronologic 563 interpretation of the Cava Toppetti II succession reliable in the light of current knowledge. Thus, on 564 the basis of its palaeontological content and stratigraphic position, the section is thought to be early 565 Pleistocene (Gelasian) in age, younger than the Reunion Event and older than the Olivola Faunal 566 Unit, which is placed at the end of the normal polarity subchron Olduvai (Torre et al., 1996; 567 Napoleone et al., 2003; Bellucci et al., 2014). The sedimentological features suggest a high 568 sedimentation rate (>1.3 mm/y: Basilici, 1995; Abbazzi et al., 1997), and a time span of about 100 569 ky to 300 ky is presumed for the whole Cava Toppetti II section, inside the 2.1-1.9 Ma range; 570 (Abbazzi et al., 1997; Leone et al., 2000; Martinetto et al., 2014). 571

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574 4.4. Frequency of the HUTEA since 5 Ma and last occurrence of CTEA

575 The percentages of species belonging to the HUTEA category in the well-dated reference floras of 576 Italy (Fig. 8, Table 2) show that the Zanclean-Piacenzian assemblages have a higher value (7-31%), 577 and the HUTEA are constantly present in all the floras that are well dated within this time interval, 578 and also in those tentatively assigned to it (Martinetto et al., 2015; Martinetto, in press). A single 579 HUTEA (Eucommia) occurs in one (Arda-AD3) of the two Gelasian reference assemblages (2% 580 HUTEA), whereas the other Gelasian assemblage (Casnigo) and all of the Calabrian-Middle 581 Pleistocene ones are devoid of HUTEA. Also the main European carpofloras definitely or possibly 582 583 dated to the Gelasian-Calabrian would be devoid of HUTEA (Table 2), if not for the occurrence of Eucommia at Tegelen and Schwanheim. 584

Another late occurrence of two HUTEA species has been reported for a fossil flora of the Colchis 585 area (Kvarbeti, Georgia): Glyptostrobus europaeus and Symplocos casparyi (Symplocos sect. 586 Lodhra). This flora was referred by Chochieva (1975) to the Gurian local stage, which is now 587 correlated to the Calabrian (Shatilova et al., 2014). Biltekin et al. (in press) even suggest the 588 persistence of G. europaeus in the Holocene of the southern Black Sea. 589 590

(FIGURE 8 approximately here)

Our newly recovered Gelasian assemblage of San Lazzaro, with 50% HUTEA, is an exception at 591 the European scale, but it must be considered that the low number of species may have biased this 592 value. The other Gelasian assemblage available in central Italy, Cava Toppetti II, has 7% HUTEA 593 and the Piacenzian or Gelasian Dunarobba assemblage has 20% HUTEA. These floras, similar to 594 other Gelasian-Calabrian ones of Italy (Girotti et al., 2003, Martinetto, 2001a; Martinetto et al., 595 2015) and Europe (Table 2) are still very rich in CTEA, commonly associated with Parrotia, 596 Pterocarya and Zelkova. By merging the occurrence data of HUTEA (Fig. 9), CTEA (compare 597 Table 1 with data in Martinetto, 2001a, and Martinetto et al., 2015) and TEWA (Martinetto, 2001a; 598 Martinetto et al., 2015) it is apparent that at least 70 plant species disappeared from southern Europe 599 in the time interval between latest Zanclean (3.7 Ma) and early Middle Pleistocene (0.5 Ma). 600

601 602

(FIGURE 9 approximately here)

4.5. Ectothermic vertebrate record (amphibians and reptiles) 603

The Italian peninsula hosted in the past a few reptile genera that went locally extinct (with a timing 604 different from that of the HUTEA) and now survive in a stripe that extends eastward from the 605 Balkan Peninsula to Indonesia, but still preserves in an exclusive way some amphibians that once 606 had a much larger range. Examples are Agama s.l., Pseudopus, Tomistoma, Vipera (oriental group), 607 among thermophilous reptiles (Delfino, 2002; Kotsakis et al., 2004; Piras et al., 2007; Delfino et al., 608 2008), and Salamandrina and plethodontid salamanders among the amphibians that are tied to 609

humid terrestrial, non lentic or lotic, niches (Delfino, 2002; Venczel and Sanchiz, 2005; Delfino et 610 al., 2006; Delfino and Sala, 2007). Particularly interesting is the case of the fully terrestrial 611 plethodontid salamanders of the genus Hydromantes that have in the Italian biogeographic region 612 the only Palaearctic representatives with their sister taxon living in Korea (Buckley et al., 2010). 613 These lungless salamanders are tied to environments with high environmental humidity that allow 614 gas exchanges through their skin, but the fact that they have interstitial habits could imply the 615 influence of factors that go beyond those that controlled the survival of plants. It should also be 616 mentioned that the last European occurrence of the frog genus Latonia, known since the Oligocene, 617 is the one of Pietrafitta (Delfino, 2002; Rage and Rocek, 2003), a Calabrian site in Central Italy 618 (Martinetto et al., 2014) and that this genus, described on the basis of fossil material and long 619 considered extinct, was recently found alive in Israel (Biton et al., 2013). 620 621

622 5. Discussion

Depending on the concepts of "physiological uniformitarianism" (Tiffney and Manchester, 2001) 623 and deterministic late Cenozoic plant extinctions in Europe (Svenning, 2003) we singled out three 624 groups of plant fossil-species occurring in southern Europe: CTEA, HUTEA, TEWA. The CTEA 625 and HUTEA include several species extinct in Europe, belonging to supraspecific plant taxa with a 626 partly shared modern distribution in East Asia. The HUTEA are the descendants of the "exuberant 627 laurophyllous flora" (Kubitzki and Krutzsch, 1996) growing in the warm temperate belt of southern 628 Laurasia during the Eocene. At this time many taxa now distributed in eastern Asia were present in 629 North America and Europe, but later survived only in the first and, partly, in the second continent. 630 631 For this reason it would be more appropriate to speak about taxa "conserved in East Asia" rather then "of East Asian affinity". 632

Several taxa that toletared cooler conditions (including many CTEA) tended to occupy a higher 633 634 latitudinal belt during the Eocene and Early-Middle Miocene, and were able to survive both in eastern Asia and eastern North America, but not in Europe (Tiffney and Manchester, 2001; Wen et 635 al., 2010). In fact, the present Asian-American biogeographic disjunction of several plant taxa 636 (Hamamelis, Liquidambar, Liriodendron, Magnolia, ecc.) "mostly represents relict distributions of 637 a wider distribution in the Tertiary. It is a product of complex processes such as migration/dispersal, 638 extinction, speciation, vicariance, and perhaps also evolutionary convergence and stasis" (Wen et 639 al., 2010). 640

Phylogenetic studies based on disjuct eastern Asian-eastern North American living plants (e.g.
Magnoliaceae: Nie et al, 2008) pointed out that the divergence times mostly date back to EoceneMiocene (Wen et al., 2010). The divergence times of extinct European taxa and their extant eastern
Asian sister taxa is poorly understood, even if a few recent studies incorporated fossils in the
phylogenies (e.g. Xiang et al., 2006; Denk and Grimm, 2009; Zhang et al., 2013; Fritsch et al.,
2015).

Despite this lack of information, the available literature is sufficient to explain why it is convenient 647 to the separate the groups CTEA, HUTEA and TEWA: during the Eocene and/or Early-Middle 648 Miocene, under equable climatic conditions (Wing and Greenwood, 1993; Zachos et al., 2001; 649 Tiffney and Manchester, 2001; Grímsson et al., 2015), a north-south differentiation of the flora (and 650 vegetation) was already present, so that the boreal regions were covered by cool-tolerant taxa (e.g. 651 Fagus, Juglans: Aradhya et al., 2007; Denk and Grimm, 2009), some of which can be classified as 652 653 CTEA (e.g. Chamaecyparis: Liu et al., 2009), and the middle latitudes were covered by more thermophilous taxa, including many HUTEA and TEWA, not adapted to regular and severe frost 654 (e.g. Cinnamomum, Engelhardia, Mastixia, Ocotea, Sideroxylon, Symplocos sect. Lodhra, 655 Tetraclinis, Toddalia, etc.). However, also several CTEA grew in these warm mid-latitude forests: 656 in fact, even if we indicated the CTEA as a group of cool-tolerant plants, this applies only to the 657 supraspecific taxa, and several CTEA species were probably as thermophilous as the HUTEA (see 658 659 above the example of *Magnolia*). This hypothesis is corroborated by the observation that several 660 CTEA species display a HUTEA-like pattern of disappearance in Italy (see CTEA2 and CTEA3 in 661 Fig. 9), which could suggest a similar thermophilous attitude.

The analysis of the percentage of HUTEA species in some well-dated early Pliocene to early 662 Pleistocene carpofloras of Italy (excluded those newly analysed here) provided an interesting 663 picture: values above 15% in the Zanclean, above 7% in the Piacenzian, 2-0% percent in the 664 Gelasian and invariably 0% in the Calabrian (Figs 8, 9). Furthermore, an analysis of the early 665 Pleistocene carpofloras of Europe Table 2) showed that most of them do not contain HUTEA, apart 666 Eucommia and possibly Glyptostrobus and Symplocos sect. Lodhra in the Colchis refugial area 667 (Chochieva, 1975; Shatilova et al., 2014). Thus, our new record of the San Lazzaro section is of 668 particular relevance, because for the first time an assemblage containing a very high percentage 669 (50%) of HUTEA is documented in European sediments independently dated to the early 670 Pleistocene. This is in agreement with a previous record (Martinetto, 2001a) of the same species 671 occurring at San Lazzaro (Sinomenium cantalense, Symplocos casparyi, Toddalia rhenana) in the 672 continental sediments of another early Pleistocene site of central Italy, Cava Toppetti II, even if in 673 this second site the percentage of HUTEA is definitely lower (7%). The reconsideration of the 674 stratigraphic data, in particular the biochronologic indications of continental molluses and 675 vertebrates, allows us to confirm a Gelasian age for the Cava Toppetti II section, the flora-bearing 676 layer being most likely deposited between 2.1 and 1.9 Ma. The independent age assignments of the 677 Cava Toppetti II and San Lazzaro sections point to a time-correlation of the warm and humid 678 HUTEA-bearing phase detected in both of them. The combined record of the two sections is 679 fundamental to prove that the three above-mentioned HUTEA species actually survived in central 680 Italy after the Pliocene/Pleistocene boundary, and to rule out the suspect that the occurrence of their 681 carpological remains in the marine San Lazzaro section could be due to the reworking from older 682 (Pliocene) sediments (Martinetto et al., 2015). 683

Even if most of the HUTEA documented in the Pliocene (Fig. 9) are not yet reported in the early 684 Pleistocene, it may be argued that this is a bias due to the scarcity of records. Indeed, the proved 685 occurrence in the early Pleistocene of such thermophilous and humidity-requiring plants as 686 Symplocos sect. Lodhra and Toddalia suggests the possibility that also other HUTEA would have 687 crossed the Pliocene/Pleistocene boundary. Chiarini et al. (2009) reported fruits of Engelhardia 688 from a poorly dated section that could be of early Pleistocene age. Pollen data suggest the 689 persistence of Engelhardia in central Italy until the Middle Pleistocene (Biltekin et al., in press) and 690 in Spain till to the early Pleistocene (Postigo-Mijarra et al., 2009). These late occurrences are in 691 agreement with the suggestion by Kvaček (2007) that the European Engelhardia is an extinct 692 phylogenetic clade that was more cool-tolerant than the extant Asian species, and possibly it should 693 be excluded from the HUTEA in future analyses. 694

The possible late occurrence of a few potential HUTEA is also implicit in other South European 695 palvnological assemblages (Tsedakis et al., 2006; Postigo-Mijarra et al., 2009; Bertini, 2010; Magri, 696 2010; Popescu et al., 2010; Orain et al., 2013; Shatilova et al., 2014; Biltekin et al., in press; 697 Combourieu-Nebout et al., 2015), reporting Eucommia, Symplocos and "Taxodiaceae" pollen up to 698 the Calabrian, and in some areas to the Middle Pleistocene (Shatilova et al., 2014; Biltekin et al., in 699 press) or Holocene ("Taxodiaceae": Biltekin et al., in press). However, the identification at genus 700 (for the "Taxodiaceae") or section level (for Symplocos), which is necessary for the assignment to 701 the CTEA or HUTEA, is mostly not provided in such works (except Biltekin et al., in press). 702

703 To our knowledge the single macrofossil of a HUTEA in the Middle Pleistocene of Europe is a wood of Amentotaxus sp. (Follieri, 2010) from central Italy, associated to several taxa that later 704 went extinct in Europe (Taxodium, the CTEA Cephalotaxus and Torreya, and the TEWA Ocotea). 705 We cannot exclude that central-southern Italy may have offered a refugial niche that was warm and 706 wet enough to assure the longer survival of a few HUTEA, in contrast to central Europe. In fact 707 pollen data document the persistence of potential HUTEA ("Taxodiaceae") and humidity-requiring 708 CTEA (Carya) till to the Middle Pleistocene in central and southern Italy (Manzi et al., 2011; Magri 709 and Palombo, 2013; Orain et al., 2013; Biltekin et al., in press). However, most of the CTEA have 710

the last macrofossil record (Martinetto, 1999, 2001a; Martinetto et al., 2015) in the time span from
1.4 to 0.8 Ma (Fig. 9).

713 Also a simple comparison of the fossil record and the current distribution of the terrestrial ectothermic vertebrates suggests that the Italian biogeographic region acted as a humid refugium. It 714 is actually tempting to associate the history of plants with that of these animals, whose distribution 715 is clearly related to historical variations of temperature and humidity more directly than that of 716 other vertebrates. However, it seems that despite the possibility of identifying a general common 717 trend there are significant differences that are only partly related to the incompleteness of the fossil 718 records of both groups. Conversely, a particularly intriguing argument is the concomitant 719 persistence in the San Lazzaro section of thermophilous terrestrial plants and unexpected (for the 720 early Pleistocene) thermophilous marine benthic taxa, such as Amphistegina spp. and 721 Persististrombus cf. coronatus (Bizzarri and Baldanza, 2006; Harzhauser and Kronenberg, 2008; 722 Monaco et al., 2011). 723

It is well known that the Pliocene is characterized by intense climatic oscillations of increasing 724 amplitude, also at the middle latitudes (De Shepper et al., 2013), with cold pulses at 3.7-3.6 Ma, 3.3 725 Ma (Zanclean/Piacenzian transition) and intense glaciations during late Piacenzian-early Gelasian, 726 at 2.7-2.4 Ma (Zachos et al., 2001; Lisecki and Raymo, 2005; Prescott et al., 2014). The early 727 Pleistocene is characterized by ~40 kyr lower-amplitude glacio-eustatic oscillations (Combourieu-728 Nebout et al., 2015), terminating in the mid Pleistocene 'climate revolution' with an abrupt shift to 729 ~100 kyr higher-amplitude oscillations in the late Calabrian, between 0.9 and 0.8 Ma (MIS 23 and 730 MIS 22: Muttoni et al., 2011). This event brought a cooler climate during glacial maxima at the 731 middle latitudes (Ravazzi et al., 2005; Bertini, 2010; Muttoni et al., 2011). 732

The above-mentioned climatic crises were probably responsible for terrestrial plant extinctions 733 (Svenning, 2003; Eiserhardt et al., 2015). In the Italian record (Martinetto, 1999, 2001a; Martinetto 734 735 et al., 2015) the HUTEA still show a very high percentage in correspondence of the late Piacenzian "warm blip" (CO1 assemblage: 31%), but a marked decrease in the percentage and number of 736 species (Fig. 8) occurs soon after, in the transition from the Piacenzian to the Gelasian. The north 737 Italian plant assemblages formed during the late Piacenzian cooling (Irace et al., 2012) are totally 738 739 devoid of HUTEA, but this is not the time of their definitive disappearance from Europe. The new record from the independently dated marine section of San Lazzaro and the related continental 740 record from Cava Toppetti II show that at least three species of HUTEA persisted in central Italy in 741 the Gelasian (Sinomenium cantalense, Symplocos casparyi, Toddalia rhenana). Further macrofossil 742 studies in the Calabrian and Middle Pleistocene are needed for a better assessment of the 743 disappearance time of these and other HUTEA species. In parallel, a more precise dating of 744 important fruit and seed bearing sections should be obtained. If the Dunarobba section would be 745 proven to have an early Pleistocene age (Bizzarri et al., 2011; Martinetto et al., 2014), this would 746 imply that at least 8 HUTEA species (20% of the species in Dunarobba's assemblage DC1b) 747 overcome the Plio/Pleistocene boundary in central Italy (Fig. 9), and the warmest Gelasian peaks 748 still had a flora of definite "Pliocene" character. Actually, the evidences provided by continental 749 molluscs and the fruit and seed assemblages (Fig. 9) suggest a Piacenzian (or transitional 750 Piacenzian-Gelasian) age of the Dunarobba deposits, but also in this case we cannot rule out the 751 persistence of Pliocene taxa in a climatic refugium. In fact, uncertainties in the disappearance time 752 from central Italy of several thermophilous and humid requiring organisms still exist, and further 753 754 data should be collected. As for plants, particular attention should be addressed to southern Italy, where pollen assemblages (Biltekin et al., in press; Combourieu-Nebout et al., 2015) suggest a 755 longer persistence of thermophilous exotic taxa. However, the lack of palaeocarpological 756 investigations in such an area did not permit a verification of the eventual presence of several 757 HUTEA that do not have a recognizable pollen record (Table 1). 758

The new data collected and the use of the new categories HUTEA, CTEA and TEWA allow us to provide a description of the massive late Cenozoic plant extinction in southern Europe, which is more detailed and effective than the previous ones. For example, Rodriguez Sanchez and Arroyo (2008) recently provided this version of the story: "in the Piacenzian relict genera of the Tethyan flora still coexisted in southern Europe with ancestors of extant Mediterranean taxa. The onset of the Mediterranean climate in the Pliocene, with hot and dry seasons, and the glacial-interglacial cycles of the Quaternary, with recurrent periods of cold and arid conditions, ultimately caused the extinction of many members of the Tethyan Palaeogene flora in Europe and North Africa (Mai, 1989; Svenning, 2003)".

We suggest here a more articulated scenario: during the Miocene the humidity-requiring 768 descendants of both the Palaeotropical ("Tethyan") and Arctotertiary floras coexisted in Europe 769 (Mai, 1989, 1991), and most of them (TEWA excluded) did not develop an adaptation to aridity. A 770 consistent group of plants, namely our HUTEA, also did not adapt to cool climate, whereas in other 771 groups (our CTEA) cool-tolerant forms had been selected in the course of various Cenozoic cool 772 phases (Zachos et al., 2001). The climatic tolerances of the HUTEA, TEWA (e.g. Ficus, Laurus, 773 Liquidambar, Ocotea) and likely thermophilous CTEA species (i.e. CTEA2 in Fig. 9) were 774 probably fixed by a selection under globally equable climates in the Eocene-Miocene time span 775 (Mai, 1989; Wing and Greenwood, 1993; Svenning, 2003; Rodriguez Sanchez and Arroyo, 2008; 776 Manchester et al., 2009; Grímsson et al., 2015), when some HUTEA (Sargentodoxa, Sinomenium) 777 also grew in North America (Manchester et al., 2009), persisting into the Mio/Pliocene transition 778

779 (Liu and Jacques, 2010).

In southern Europe the HUTEA, TEWA and thermophilous CTEA species still grew together in the 780 same plant communities (see Martinetto and Vassio, 2010; Martinetto et al., 2015) during the 781 Zanclean, Piacenzian and the Gelasian warm peaks, when the climate was favourable for all of 782 them. Later, thanks to their major drought tolerance (Svenning, 2003; Grimm and Denk, 2012; 783 Utescher and Mosbrugger, 2015), the TEWA found some relatively warm refuges in the 784 Mediterranean (Coriaria, Laurus, Liquidambar, Olea, Styrax, Tetraclinis), North Africa (Laurus, 785 786 Olea, Tetraclinis and, possibly, Morella, Ocotea, Sideroxylon, Visnea) and West Asia (Olea, Sideroxylon). Some TEWA are today conserved in Macaronesia (Morella, Ocotea, Sideroxylon, 787 Visnea) but their arrival there could be as recent as Pleistocene (Kondrasov et al., 2015). 788

Conversely, the HUTEA did not manage to adapt either to the dry and cool European conditions
(Manzi et al., 2011) or to the too dry North African-East Asian ones and survived only in East Asia;
just a few of them also survived in wet parts of tropical Africa (e.g. *Toddalia*) and/or America (e.g. *Meliosma* subgen. *Kingsbouroghia*, *Sassafras*, *Saurauia*).

As for the chronology of the disappearance, the Italian record would suggest that the HUTEA were 793 constantly present in the Zanclean-Piacenzian flora of southern Europe, had a small retreat at the 794 795 Zanclean-Piacenzian transition, possibly affecting four species of HUTEA (Fig. 9), and a larger one at the Piacenzian-Gelasian transition (18 species of HUTEA: Fig. 9); three species of HUTEA 796 (Toddalia latisiliquata, T. rhenana, Sinomenium cantalense) seem to disappear at the 797 Gelasian/Calabrian transition, and there is poor evidence in Italy for the few HUTEA which 798 survived in the Calabrian, even if at least two HUTEA species (Glyptostrobus europaeus and 799 Symplocos casparyi) were still present during the Calabrian in the Colchidian refugium (Chochieva, 800 1975). Engelhardia and Eucommia still occurred in the Calabrian of Italy and Spain (Postigo-801 Mijarra et al., 2009; Bertini, 2010; Biltekin et al., in press; Martinetto in press PalB); the last 802 southern European macrofossil record of an HUTEA which is presently known is that of 803 Amentotaxus sp. in the Middle Pleistocene of central Italy (Follieri, 2010). 804

Similarly to the HUTEA, also the CTEA did not tolerate dry conditions (Svenning, 2003), but a few ones (*Carya, Tsuga*) still survived in the Middle Pleistocene of central-southern Italy (Magri, 2010), Turkey (Biltekin et al., in press) and the Balkan (Tsedakis et al., 2006; Biltekin et al., in press) and Iberian (Postigo-Mijarra et al., 2009) peninsulas, due to the presence of refugia (Combourieu-Nebout et al., 2015) which were humid enough, as demonstrated by the continuous presence of the draught-intolerant *Fagus* until today (Magri, 2008). *Parrotia, Pterocarya* and *Zelkova*, which had climatic requirements similar to the CTEA, survived until the present around the Black and Caspian seas (*Zelkova* also in the Mediterranean). Another plant extinct in Europe, *Cedrus*, has particular
requirements and histories (Magri, 2012; Su et al., 2013; Biltekin et al., in press).

As a whole the carpological data, in connection with the abundant pollen data available, testify that 814 in the late Piacenzian-Middle Pleistocene interval at least 70 plant species (Table 1), including 815 HUTEA (Fig. 9), CTEA (Fig. 9, Table 1) and TEWA (Martinetto et al., 2015), disappeared from the 816 fossil record. However, such a disappearance is not readily interpretable as extinction. By 817 comparing our results with those of Bertoldi et al. (1994), Martinetto (1999, 2001a), Martinetto et 818 al. (2007) and Bertini (2010) it is apparent that the rapidly increasing fossil evidence provided by 819 820 the Italian carpological and pollen records determined a progressive shift of the last occurrence data of several taxa (e.g.: Liriodendron, Magnolia, Sinomenium, Symplocos, Toddalia), and there is still 821 space for further changes based on new fossil evidence. We propose that, based on the present 822 evidence, only the concomitant disappearance events of several species, such as the HUTEA2 + 823 CTEA2 (Fig. 9), should be considered as reliably assessed. These concern only two main phases: 824 The Piacenzian/Gelasian transition (main crisis of the HUTEA2 and CTEA2) and the late 825 Calabrian/Middle Pleistocene (main crisis of the CTEA4, last occurrence of the HUTEA 826 Amentotaxus). The disappearance events of plant macrofossils at the Zanclean/Piacenzian transition 827 (a few HUTEA1) and Gelasian/Calabrian transition (a few HUTEA3 and CTEA3) appear of minor 828 scale, as also confirmed by the relatively continuous pollen records (Tzedakis et al., 2006; Postigo-829 Mijarra et al., 2009; Bertini, 2010; Magri, 2010; Orain et al., 2013; Shatilova et al., 2014; Biltekin 830 et al., in press; Combourieu-Nebout et al., 2015). These last events are not univocally interpretable 831 as extinctions, since the taxa which seem to disappear could have become silent for the macrofossil 832 record, though perhaps still present in small relict niches, as suggested by the late occurrence of 833 Eurya cf. stigmosa (CTEA), Glyptostrobys europaeus (HUTEA) and Symplocos casparyi (HUTEA) 834 in the Calabrian of the Colchis refugium (Chochieva, 1975; Mai and Martinetto, 2006) and also of 835 836 Amentotaxus (HUTEA), Cephalotaxus (CTEA) and Torreya (CTEA) in the Middle Pleistocene of central Italy (Follieri, 2010). 837

839 6. Conclusions

838

New data on early Pleistocene fossil fruit and seed assemblages from Italy allowed us to detect 840 several extinct taxa that commonly went unnoticed in pollen analyses. The combined analysis of 841 Pliocene and early Pleistocene occurrence data provided a detailed picture of the reduction of plant 842 diversity in southern Europe. The possible explanation of the causes of plant extinction requires an 843 excursion into deeper times: Several Neogene plants were mainly adapted to grow in thermophilous 844 mesic forests, whose consistent water supply was guaranteed by atmospheric humidity more than 845 The climate deterioration of the Plio-Pleistocene cancelled the ecological space of 846 groundwater. growth of most thermophilous and humidity-requiring taxa. Provided that habitat shift occurred 847 very rarely, most taxa did not manage to adapt to the harsh conditions either from 2.7 to 2.4 Ma or 848 from 1.0 to 0.3 Ma, and went extinct. The chance to avoid extinction was not only a question of 849 temperature, but a combination of this with humidity requirements. Southern Europe became 850 extremely dry during the Pleistocene glacials and those plants requiring both higher temperature 851 and consistent water supply had no chance to find a niche to survive, if not adapted to live along 852 rivers (Liquidambar, Parrotia, Platanus, Pterocarya). A few humidity-requiring plants that had 853 already developed an adaptation to cold climate in the Oligocene or Miocene, like Carya, could 854 855 temporarily survive into the Middle Pleistocene also in the inhospitable Europe, possibly in small, not too dry refugia. A similar niche may have saved *Parrotia*, but in the south Caspian area. 856

Our carpological data, in connection to the abundant pollen data available from the literature, testify that the late Zanclean-Middle Pleistocene interval has really been the theatre of a stepwise mass extinction in southern Europe: at least 70 plant species disappeared in two main phases: Piacenzian-Gelasian transition (main crisis of the HUTEA and CTEA2) and late Calabrian-Middle Pleistocene (main crisis of the CTEA4, last occurrence of the HUTEA *Amentotaxus*). The disappearance events at the Zanclean-Piacenzian transition (mostly HUTEA1) and Gelasian/Calabrian transition (a few HUTEA3 and CTEA3) appear of minor entity and are not univocally interpretable as extinctions.
The chronological positions of the two main collective disappearance events coincide with two
well-known intervals of major climatic deterioration and support a climatic determinism in the
south European extinctions of the investigated plant taxa.

867

868 Acknowledgements

We thank G. Basilici for useful information and discussions about the stratigraphy of the Tiberino 869 Basin and for help provided in the field for the positioning of the carpological sample within his 870 Cava Toppetti II section. Thanks to A. Bertini for very useful information on pollen assemblages 871 and for suggestions that improved the whole manuscript, which also profited from the valuable 872 revision carried out by two anonymous referees that we wish to thank. We also thank A. Bruch, T. 873 Denk, G. Grimm, T. Utescher for the useful suggestions on climatic data and for the help in 874 consulting the PALAEOFLORA and ClimGrim databases. I. Gabrielyan and E. Kvavadze provided 875 useful information on the floras of Georgia. Finally we thank M. Dolezych for the confirmation of 876 the unusual Amentotaxus wood record published by the late M. Follieri, who provided us with 877 crucial information on Central Italian floras and whose memory we like to recall to the colleagues 878 reading this paper. Project supported by "Fondi di Ateneo (2012-2013) dell'Università di Torino". 879

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- 1340
- 1341 Figure captions
- Figure 1 Simplified geological scheme for the area of the San Lazzaro, Cava Toppetti andDunarobba sections.
- Figure 2 Reference lithostratigraphic schemes for the South Valdichiana and Tiberino Basins
 (modified after Baldanza et al., 2014). 1=San Lazzaro; 2=Cava Toppetti II; 3=Dunarobba.
- Figure 3 Sedimentological/stratigraphic sections in the San Lazzaro area. Location of the biostratigraphic (dots) and carpological (FU3-6) samples is also reported. $tDb=Discoaster\ broweri$ LO; bmG=medium-sized *Gephyrocapsa* sp. FO (sensu Raffi, 2002). CS = clay, silt; G = gravel.
- Figure 4 Panoramic view of the San Lazzaro quarry. The richest fruit and seed bearing deposit
- (FU5) was located at the transition from the grey to the yellowish sediments (1/3 above the bottom of the image).
- Figure 5 Detail of a lens of mixed shells and compressed phytodebris at ca. 8 m from the base ofthe San Lazzaro section (see FU4 in Fig. 3).
- Figure 6 Resumed geological setting, sedimentological interpretation, magnetostratigraphic and biochronological calibration, and fossil record for the Cava Toppetti II site (redrawn after Abbazzi et al., 1997). Original vertebrates taxonomy reported by Abbazzi et al. (1997) is not revised nor
- 1357 further discussed herein.
- Figure 7 Aspect of the deposits with compressed macroscopic plant remains in the Cava Toppetti
 II section as they appeared in the year 1998. The sampled fruit and seed bearing sediment
 (consolidated sandy mud, see NJ1 in Fig. 7) is shown in the detail below.
- Figure 8 Histograms of the percentage of HUTEA species in some well-dated early Pliocene to
 Early Pleistocene floras of Italy (dark grey bars). Records from San Lazzaro, Dunarobba and Cava
 Toppetti II are also reported (pale grey bars). Numbers indicate the total of HUTEA species in each
- assemblage. See label explainations and locality data in Table 2.
- Figure 9 Scheme summarizing the chronological distribution of carpological records of selected species in the Pliocene and early Pleistocene of northern-central Italy. The records from all the localities appaged into the CENOEITA database (Martinette and Vassie 2010; Martinette et al
- 1367 localities accessed into the CENOFITA database (Martinetto and Vassio, 2010; Martinetto et al.,

2015) are reported, according to data published by Basilici et al. (1997), Bertoldi and Martinetto 1368 (1995), Ghiotto (2010), Gregor (1990), Martinetto (1994, 1995, 1999, 2001a, 2001b, 2003, 2009, in 1369 press), Martinetto et al. (2015). Both the HUTEA and CTEA have been subsivided in groups 1370 according to the chronostratigraphic distribution, in such a way that the numbers 1 to 4 correponds 1371 to the Groups 1 to 4 in Martinetto et al. (2015). All of the species referred to the HUTEA and 1372 CTEA4 have been reported, whereas for the CTEA2 and CTEA3 only selected examples have been 1373 shown. The age range for each species is indicated by black bars for the records originating from 1374 reliably dated localities (Martinetto, 1999, 2001a, 2003; Martinetto et al., 2007, 2015): Lugagnano 1375 (5.1-4.5 Ma), Canale (4.5-3.8 Ma), Cherasco (4.5-3.8 Ma), Pocapaglia (4.5-3.8 Ma), Breolungi (5.1-1376 3.8 Ma), Morozzo (5.1-3.8 Ma), Sento I (3.8-3.6 Ma), Candelo (4.5-3.6 Ma), Baldichieri-Fornace 1377 (3.8-3.3), Roatto (3.3-3.2 Ma), RDB Quarry of Villafranca d'Asti (3.3 to 3.1 Ma), Stura di Lanzo 1378 Fossil Forest (3.1-3.0 Ma), Marecchia (3.0-2.9 Ma), San Miniato (3.0-2.8 Ma), Castell'Arquato-1379 Monte Falcone (3.0-2.8 Ma), Meleto (2.9-2.8 Ma), Santa Barbara (2.9-2.7 Ma), Castell'Arquato-1380 Arda-AD3 (2.5-2.4 Ma), Casnigo (2.1-1.9 Ma), Poggio Rosso (ca. 1.9 Ma), Santerno-Codrignano 1381 (1.8-1.7 Ma), Leffe 1st browncoal (1.6-1.4 Ma), Stirone-Laurano-LA1 (1.1-1.0 Ma), Enza-EZ38 1382 (1.1-1.0 Ma), Ranica (1.1-1.0 Ma), Oriolo (1.0-0.8 Ma), Stirone-Laurano-LA3 (0.9-0.8 Ma), 1383 Pianico-BVC lithostratigraphic unit (0.8-0.7 Ma). Hatched bars indicate records from the following 1384 poorly dated localities (see Martinetto, 1999; Martinetto et al., 2015): Ca' Viettone (late Zanclean, 1385 possibly 3.8 to 3.6 Ma); Fossano (late Zanclean, possibly 4.0 to 3.6 Ma); Sento II (possibly late 1386 Zanclean, 3.8 to 3.6 Ma); Cossato (possibly late Zanclean-early Piacenzian, 3.8 to 3.2 Ma); Front 1387 (possibly Piacenzian, 3.3 to 3.0 Ma); Momello-Lanzo (possibly Piacenzian, 3.6 to 3.0 Ma); 1388 1389 Castelletto Cervo I (possibly late Piacenzian, 2.8 to 2.6 Ma); Castelletto Cervo II (possibly Gelasian, 2.6 to 2.0 Ma); San Pietro di Ragogna (Gelasian, 2.6 to 1.8 Ma); Buronzo (possibly 1390 Gelasian, 2.2 to 1.8 Ma); Steggio (Calabrian, 1.8 to 0.8 Ma). Abbreviations: c = climber; h = herb; 1391 1392 MAT = Mean Annual Temperature; Mes = Messinian; MP = Middle Pleistocene; NLR = Nearest Living Relative: s = shrub; t = tree. 1393

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Table 1 - Mean Annual Temperature lower threshold values for modern plant genera or subgeneric taxa extinct in Europe ("exotic"), according to the Paleoflora database (PFDB, Utescher and Mosbrugger, 2015), the GrimClim database (CG, Grimm and Denk, 2012), and to different literature sources listed in Appendix 1 (Alternative MATmin). The list is limited to taxa of the HUTEA and CTEA categories with a proved occurrence in the late Cenozoic of Italy, selected as a representative territory for the evaluation of the southern European floral change.

Table 2 - List of the reference localities selected for the calculation of HUTEA species percentagereported in Fig. 8.

Table 3 - Occurrence of HUTEA and CTEA genera in the main Early Pleistocene carpofloras ofEurope.

1405 Table 4 - List of the carpological taxa identified in four sediment bulk samples from the San

1406 Lazzaro section, see Fig. 3. In brackets the number of specimens for each species.