

1 **Prolongation of survival of dogs with oral malignant melanoma treated by *en bloc* surgical**
2 **resection and adjuvant CSPG4-antigen electrovaccination**

3

4 L. A. Piras^{1,†}, F. Riccardo^{2,†}, S. Iussich¹, L. Maniscalco¹, F. Gattino¹, M. Martano¹, E. Morello¹, S. Lorda
5 Mayayo¹, V. Rolih², F. Garavaglia², R. De Maria¹, E. Lardone¹, F. Collivignarelli³, D. Mignacca³, D.
6 Giacobino¹, S. Ferrone⁴, F. Cavallo^{2,†}, P. Buracco^{1,†}.

7

8 ¹Department of Veterinary Sciences, University of Torino, Grugliasco, 10095, Italy

9 ²Department of Molecular Biotechnology and Health Sciences, Molecular Biotechnology Center,
10 University of Torino, Torino, 10126, Italy

11 ³Clinica Veterinaria Roma Sud, Roma, 00173, Italy

12 ⁴Department of Surgery, Massachusetts General Hospital, Harvard Medical School, Boston, MA 02114,
13 USA

14

15 [†]These authors contributed equally to the work

16

17 **Correspondence addresses:**

18 P. Buracco

19 Department of Veterinary Sciences

20 University of Torino

21 Largo Paolo Braccini 2

22 10095

23 Grugliasco, Italy

24 e-mail: paolo.buracco@unito.it

25

26 F. Cavallo

27 Department of Molecular Biotechnology and Health Sciences

28 Molecular Biotechnology Center

1 University of Torino

2 Via Nizza 52

3 10126

4 Torino, Italy

5 e-mail: federica.cavallo@unito.it

6

7 **Running title:** Adjuvant CSPG4 vaccination for malignant melanoma

8

9 **Keywords:** canine oral malignant melanoma, adjuvant immunotherapy, CSPG4, DNA electroporation,

10 comparative oncology

1 **Abstract** (150 words)

2 Reported post-surgery 1-year survival rate for oral canine malignant melanoma (cMM) is around 30%;
3 novel treatments are needed as the role of adjuvant chemotherapy is unclear. This prospective study
4 regards adjuvant electrovaccination with human-CSPG4-encoded plasmid in 23 dogs with resected II/III-
5 staged CSPG4-positive oral cMM compared with 19 dogs with resected only II/III-staged CSPG4-
6 positive oral cMM. Vaccination resulted in 6/12/18/24-month survival rate of respectively
7 95.6/73.9/47.8/30.4% (MST 684 days, range 78-1694, 8/23 dogs alive) and 6/12/18/24-month DFI rate of
8 respectively 82.6/47.8/26.1/17.4% (DFI 477 days, range 50-1694). Non-vaccinated dogs showed
9 6/12/18/24-month survival rate of respectively 63.2/26.3/15.8/5.3% (MST 200 days, range 75-1507, 1/19
10 dogs alive) and 6/12/18/24-month DFI rate of respectively 52.6/26.3/10.5/5.3% (DFI 180 days, range 38-
11 1250). Overall survival and DFI of vaccinated dogs was longer in those <20 Kg. In vaccinated and non-
12 vaccinated dogs local recurrence rate was respectively 34.8% and 42% while lung metastatic rate was
13 respectively 39% and 79%.

14
15
16

Introduction

Oral cancers account for 6-7% of all canine neoplasms whilst canine malignant melanoma (cMM) for 30-40% of all oral malignancies.¹⁻³ Over 10 year-old male dogs appear to be predisposed and small dogs seem to be more affected than large dogs.¹ CMM may be melanotic, partially melanotic and amelanotic; the latter may be misdiagnosed as undifferentiated sarcoma or as an epithelial cancer but it may be then recognized by immunohistochemistry (Melan A, PNL2).⁴⁻⁷ Oral cMM may develop everywhere in the mouth but mainly at the level of the gingiva, lips and cheek³ tongue and tonsils may also be affected.⁵ At presentation oral cMM is often ulcerated, necrotic, odorous, and easily bleeding. Oral cMM are almost all malignant, with early local invasion (loss of teeth) and metastasis in up to 80% of cases.¹⁻³ Metastasis to ipsilateral and contralateral regional lymph nodes (LNs) is frequent at presentation (up to 74% of cases); diagnosis should not rely on palpation only, as size may be not predictive, and cytology and histology are necessary.⁸ Metastasis at distant sites (mainly lungs) is also frequent. Reported clinical prognosticators are age, tumor size and clinical stage, bone lysis and localization (that may also influence surgical resection).⁹⁻¹² Other prognosticators include mitotic index, percentage of atypical nuclei, Ki-67 value and PDGFR- α / β co-expression.^{11, 13, 14} It has been reported that also the degree of pigmentation may have some prognostic value.¹⁵ Treatment of oral cMM is delivered either with a curative or palliative intent. Local control of cMM relies mainly on surgery and/or radiotherapy; then, adjuvant chemotherapy has been used in an attempt to control the systemic spread.^{12, 16-29} Due to the often disappointing results obtained with standard chemotherapy and also to the high immunogenicity of malignant melanoma, immunotherapy is progressively becoming one of the most attractive adjunctive therapeutic tool,³⁰⁻⁴³ also in form of combined protocols.⁴⁴ Other treatments reported in the literature include the use of lupeol.⁴⁵⁻⁴⁷

The goal of this paper is to report both disease-free and overall survival times following adjuvant intramuscular electrovaccination with a plasmid encoding for human (h) chondroitin sulfate proteoglycan-4 (CSPG4) in prospectively enrolled client-owned dogs with *en bloc* surgically resected stage II and III CSPG4-positive oral cMM. Results of vaccination were compared with those obtained in a second group of dogs with stage II and III CSPG4-positive oral cMM treated with surgery alone. In previous papers, the

1 authors have shown the expression of CSPG4 in about 57% of oral cMM⁴⁸ and both safety and efficacy of
2 the adjuvant anti-CSPG4 DNA vaccination in prolonging survival of treated dogs.⁴⁰ CSPG4 is an early
3 cell surface progression marker involved in tumor cell proliferation, migration, and invasion⁴⁹ and has
4 been inserted by the National Cancer Institute among the prioritized cancer antigens being worthy to be
5 investigated in clinical trials.⁵⁰ Since CSPG4 is a self-antigen with poor or none immunogenicity in
6 autologous hosts, in this study we used a plasmid coding for the hCSPG4, that is characterized by 82%
7 homology and 88% similarity in its amino-acid sequence when compared with its canine counterpart
8 (cCSPG4), in order to break immune tolerance. The addition of electroporation to DNA vaccine delivery
9 (electrovaccination) further increases the vaccine immunogenicity and its therapeutic efficacy, and
10 prolongs the duration of the immune response.⁵¹⁻⁵⁵

11 **Material and Methods**

12 **Patient enrollment**

13
14
15 The study was conducted as a prospective bi-centric trial involving the Veterinary Teaching Hospital
16 of the University of Turin and the Clinica Veterinaria Roma Sud of Rome. Dogs were treated according
17 to both the Good Clinical Practice guidelines for animal clinical studies and the approval of the Ethical
18 Committee of the University of Torino (Italy).

19 Pretreatment work-up included physical examination, blood count, serum biochemistry, and
20 urinalysis. Fine needle aspiration and/or biopsy were used for the preoperative tumor diagnosis. Cytology
21 was the initial preoperative procedure adopted to clinically stage the palpable regional LNs, even in case
22 of not apparent pathologic enlargement. A more complete staging was achieved via the surgical removal
23 of the regional LNs at the time of the primary tumor resection and their histologic evaluation. Completion
24 of tumor staging also included a pre-operative total body CT-scan evaluation (including skull and neck);
25 alternatively (owners' decision), skull and three-view chest radiographs and abdominal ultrasound
26 examination were obtained.

27 Dogs without concurrent life-threatening diseases and with histologically confirmed oral stage II (2–
28 4-cm diameter, negative LN) and III (>4-cm diameter and negative LN or any tumor size with positive

1 LN) surgically resected cMM, and with a minimum of 6 months follow-up on December 31, 2015 were
2 included. Primary tumor *en bloc* resection (maxillectomy, mandibulectomy, lip/cheek excision followed
3 by reconstruction, etc.) with the inclusion, when feasible, of at least 2 cm of macroscopically normal
4 tissues around the tumor, and regional lymphadenectomy, were performed. Regional lymphadenectomy
5 involved ipsilateral or bilateral mandibular nodes (single or multiple nodes) resection. For the excision
6 margin evaluation, the cut surface was stained with a specific dye (TMD Tissue Marking Dye, Triangle
7 Biomedical Sciences) just after surgery; the sample was then fixed in 10% formalin. The same
8 pathologists (SI and LM) evaluated histologically all the samples and also checked for tumor or lack of
9 tumor infiltration at the level of excision margins. Samples were also immunohistochemically tested for
10 PNL2 (Santa Cruz Biotech, to confirm cMM diagnosis) and Ki67 expression (polyclonal Ki67 antibody
11 A-047; DAKO; cut-off of 19.5%), mitotic index ($< 4/10$ hpf or $\geq 4/hpf$), and nuclear atypia (% atypical
12 nuclei in 200 cells counted, $<$ or $\geq 30\%$).^{11, 13} Immunohistochemical analysis of CSPG4 expression on
13 cMM samples was performed as previously described.⁴⁸ A total score ranging from 0 to 8 was assigned to
14 each MM sample by adding the value that represented the proportion of CSPG4 positively stained tumor
15 cells (score from 0 to 5) and the average staining intensity of CSPG4-positive tumor cells (score from 0 to
16 3). Only dogs bearing an oral cMM with a CSPG4 score $\geq 3/8$ were considered as suitable for vaccination
17 and included in the study. Dogs were entered in the vaccination arm based on the owners' decision; a
18 written consent was obtained from owners before starting the vaccination. Two groups of dogs were
19 formed: Group A involving dogs with CSPG4-positive oral cMM treated with surgery plus adjuvant anti-
20 CSPG4 DNA vaccination, and Group B involving dogs with CSPG4-positive oral cMM treated with
21 surgery alone.

22 23 **Electrovaccination**

24 Vaccination started at the 3rd-4th postoperative week and was repeated after 2 weeks and then
25 monthly; dogs surviving over two years were then vaccinated every 6 months. Dogs were
26 electrovaccinated with a pcDNA3.1 plasmid coding for hCSPG4 generated as previously described.^{40, 56}
27 Briefly, under a short inhalation anesthesia, the hCSPG4 plasmid (500 μ g in 200 μ L of 0.03% NaCl
28 solution) was injected in dogs into the muscles of the caudal thigh. Two minutes after plasmid injection,

1 nine electric pulses (1 high voltage, amplitude 450 V, length 50 ms, frequency 3 HZ; 1 second pause;
2 eight low-voltage amplitude 110 V, length 20 ms, pause 300 ms) were applied to the injection site using
3 the CLINIPORATOR (Igea, Carpi, Italy). Dogs recovered quickly from anesthesia and were standing up
4 within 10-15 minutes from electrovaccination. They were monitored for acute, late local or systemic side
5 effects. At each vaccination, clinical examinations, blood-work and three-view chest radiographs were
6 performed. Sera were also collected, aliquoted, and cryopreserved at -80° C until used.

8 **CSPG4-specific antibody detection in vaccinated dog sera**

9 Sera collected before the first and after the fourth electrovaccination were analyzed for the presence
10 of specific antibodies against hCSPG4. Sera collected at this time of treatment were used for the analysis
11 as our previous results showed a detectable specific antibody response in all patients after the fourth
12 electrovaccination.⁴⁰ To specifically quantify in the serum of vaccinated dogs the antibody titer against
13 the hCSPG4 protein an enzyme-linked immunosorbent (ELISA) assay was performed. 96-well plates
14 (Costar®, Sigma-Aldrich) were coated in triplicate with 50 ng/well of hCSPG4 recombinant protein
15 (R&D Systems) overnight at 4°C. Coated plates were then blocked with 10% Fetal Bovine Serum (FBS;
16 Sigma-Aldrich) in phosphate-buffered saline (PBS; Invitrogen)-Tween (Sigma-Aldrich) 0.05% buffer for
17 2 h at 37°C. Plates were incubated with samples diluted 1:100 in 1% blocking buffer for 1 h at 37°C.
18 Plates were washed 3 times with a PBS-Tween buffer. The horseradish peroxidase (HRP)-conjugated
19 anti-dog IgG antibody (AbD Serotec; 1:10.000 dilution in blocking buffer) was incubated for 1 hour at
20 37°C. Plates were washed 6 times and chromogenic 3,3',5,5'-tetramethylbenzidine substrate was added
21 (TMB; Sigma-Aldrich). The reaction was stopped by the addition of 2N hydrochloric acid and optical
22 density was measured at 450 nm using a microplate reader (680XR, BioRad).

24 **Immunoblot**

25 hCSPG4-positive SK-MEL-28 melanoma cells were purchased from the American Type Culture
26 Collection and cultured in DMEM (Life Technologies) supplemented with 10% FBS. Cells were seeded
27 at the concentration of 1.0×10^4 per well in a 96-well plate in DMEM medium without serum and
28 incubated at 37°C for 24 hours. Cells were then incubated with control CSPG4-specific mAb (0.1 mg/mL,

1 of 149.53, 225.28, TP61.5, VF1-TP34, VF4-TP108, VF20-T87.41, VF20-VT20 mAb), with canine sera
2 (dilution 1:20) before and after the fourth DNA vaccination or medium alone at 37°C for an additional 48
3 hours. Cells were lysed in lysis buffer (50 mmol/L Tris-HCl, 150 mmol/L NaCl, pH 7.4) containing 1%
4 Triton X-100, and an EDTA-free protease inhibitor mix (Roche). Suspensions were extensively vortexed
5 and incubated on ice for 15 minutes and centrifuged for 5 minutes at 13,000 rpm at 4°C. Supernatants
6 were collected and stored at -80°C until used. Protein concentration was determined using an acid protein
7 assay (Pierce Biotechnology). Total cell lysates were separated by sodium dodecyl sulfate–
8 polyacrylamide (SDS-PAGE) gel electrophoresis and transferred to polyvinylidene fluoride membrane of
9 0.45- μ m pore size (Millipore, Bedford, MA). After blocking the membranes with 5% nonfat dry milk
10 with 5% BSA at 4°C ON, membranes were incubated ON at 4°C with CSPG4-specific mAb diluted 1:250
11 in Trisbuffered saline and Tween 20 (TTBS) 3% nonfat dry milk. After washing with TTBS, HRP goat
12 anti-mouse (1:8000 dilution) was used as secondary antibody and bound antibodies were detected using
13 ECL Plus Western Blotting Detection System (GE Healthcare, Buckinghamshire, UK).

14

15 **Statistical analysis**

16 Non-normally distributed data are reported as median and range. Other variables are expressed as
17 percentages. All quantitative evaluations were carried out using the Student t test. The Kaplan–Meier
18 method was used to estimate disease-free and survival times. A Wilcoxon rank-sum test was used to
19 compare values of the cCSPG4 expression between groups in disease outcome. Differences in survival
20 distribution were analyzed using the log-rank test. Statistical significance was set at $P < 0.05$. All analyses
21 were conducted in R.⁵⁷

22

23 **Results**

24

25 **Patient characteristics**

26 Forty-two dogs bearing an oral cMM with a CSPG4 score $> 3/8$ and without any evidence of
27 metastasis beyond the first lymphatic station (Stage II and III), were prospectively included in the study.
28 The decision to proceed with the adjuvant vaccination was based on the owner's consent. Among the

1 included dogs, 29 were males (69%, 18 intact and 11 castrated) and 13 females (31%, 12 spayed and 1
2 intact). There were 18 mixed breed dogs, 3 Golden retriever, 3 Doberman pinscher, 3 Dachshund, 3
3 Cocker spaniel, 2 Beagle, 2 German shepherd, and 1 of each German bloodhound, dog de Bordeaux,
4 West Highland white terrier, pinscher, dwarf schnauzer, Yorkshire, schi-tzu, and Pekingese. Mean and
5 median age of the entire population was 11.1 and 12 years, respectively (range 4-16). Weight ranged
6 between 2.3 and 43 kg; there were 2 dogs < 5 kg (4.8%), 9 dogs between 5-10 kg (21.4%), 12 dogs
7 between 10-15 kg (28.6%), 8 dogs between 20-30 kg (19%), and 11 > 30 kg (26.2%).

8 Twenty-three dogs were included in the surgery plus vaccination group (Group A), while 19 dogs
9 received surgery alone (Group B). In Group A there were 16 males (9 castrated and 7 intact) and 7
10 females (5 spayed and 2 intact) while in Group B there were 13 males (11 intact and 2 castrated) and 6
11 spayed females. Mean and median age of the 23 vaccinated dogs was 11.3 and 12 years, respectively
12 (range 6-14); mean and median age of the 19 non-vaccinated dogs was 11 and 12 years, respectively
13 (range 4-16). Mean and median weight of the 23 vaccinated dogs was 18 and 13 Kg, respectively (range
14 2.3-35); mean and median age of the 19 non-vaccinated dogs was 23.1 and 29 Kg, respectively (range 7-
15 43). No statistical differences regarding age or weight distribution in the two groups were observed.

16 Diagnostic imaging for clinical staging was provided by radiographic evaluation in 4 dogs of Group
17 A and 7 of Group B, and total body TC in 19 of Group A and 12 of Group B.

18 In both Groups A and B either an ipsilateral or bilateral regional (mandibular) lymphadenectomy
19 was performed. In Group A surgery consisted of: 10 segmental/horizontal and 1 bilateral rostral
20 mandibulectomies, 3 maxillectomies, 7 *en bloc* excisions of lip and/or cheek followed by plastic
21 reconstruction, 1 bilateral tonsillectomy, and 1 *en bloc* cMM tongue excision. In Group B surgery
22 consisted of: 7 segmental/horizontal and 5 (4 bilateral, 1 unilateral) rostral mandibulectomies, 2
23 maxillectomies and 5 *en bloc* excisions of lip and/or cheek followed by plastic reconstruction. A
24 summary of the surgical resections performed is listed in Table 1. Histological evaluation of removed
25 regional LNs allowed defining the final clinical staging of each cMM included in the study, reported in
26 Table 2. Local bone invasion (determined by radiographs and/or CT) was evident preoperatively in 9
27 cases of Group A and 7 of Group B (Table 2). Histology of the excision margin identified 4 incomplete
28 removals in Group A and 4 in Group B (Table 2). All of the incomplete resections were at the level of the

1 soft tissue and not bone. Clean margins exceeded 2mm in all the samples.

2 The CSPG4 immunohistochemistry score for cMM of Group A or Group B is summarized in Table
3 3. No significant difference in distribution was found between the two groups.

4 Ki67 immunohistochemistry results are summarized in Table 4. It was not available in 3 cases of
5 Group A and in two of Group B. In Group A it was <19.5% in 1 case and >19.5% in 19 cases (mean 34%,
6 median 30%, range 21%-74%). In Group B it was <19.5% in 3 cases and >19.5% in 14 cases (mean
7 25.4%, median 24.6%, range 20%-50%).

8 Results regarding mitotic index are summarized in Table 4. It was not available in 3 cases of
9 Group A and in 1 of Group B. In 20 cMM of Group A it was >4/10hpf (range 9-40, mean 25.7, median
10 24). In cMM of Group B, it was <4/10hpf (=2) in 1 case while in the remaining 17 cases it was >4/10hpf
11 (range 7-92, mean 29.8, median 25).

12 Results regarding nuclear atypia are summarized in Table 4. It was not available in 3 cases of Group
13 A and in 1 of Group B. In Group A it was <30% in 5 cases and >30 in 15 cases; in Group B, it was <30%
14 in 7 cMM and >30% in 11 cases.

16 **Specific humoral response induced by hCSPG4 electrovaccination in cMM vaccinated dogs**

17 **The specific** immune response induced by the xenogeneic hCSPG4 DNA vaccine was measured in
18 sera by using a recombinant hCSPG4 ELISA assay. As in the previous study we have shown the presence
19 of specific anti-hCSPG4 antibodies in all cMM dogs after the fourth hCSPG4-DNA vaccination,⁴⁰ the
20 specific humoral response was measured from sera before and after 4 immunizations. The level of
21 antibody response, assessed spectrophotometrically to reflect the specific antibody binding to the
22 hCSPG4 recombinant protein, was significantly higher in the post-vaccination sera than the pre-immune
23 sera (Figure 1A), confirming the ability of xenogeneic hCSPG4 vaccination to induce specific antibodies
24 in CSPG4-positive cMM dogs. The vaccine-induced antibody titer was not correlated to the cMM CSPG4
25 positivity in vaccinated dogs (not shown); however, even if not statistically significant, a trend was
26 evident in relation to body weight (BW) of dogs, being higher in dogs with BW < 20 Kg when compared
27 with those with BW > 20 Kg (Figure 1B).

28 Authors then investigated the effect of vaccination-induced specific antibodies on antigen expression

1 in a hCSPG4-positive melanoma cell line (SK-MEL28). In vitro incubation of SK-MEL28 cells with
2 canine sera after the fourth vaccination showed a differential decrease in the level of CSPG4 expression
3 as compared to sera before immunization (Figure 1C). Incubation of SK-MEL28 cells with the medium
4 alone or with CSPG4-specific mAb were used as controls for CSPG4 expression and modulation (Figure
5 1C). Interpreting these results as a whole, they indicate the direct effect *in vitro* of specific antibodies
6 detected in sera of vaccinated dogs in the modulation of CSPG4 expression in a CSPG4-positive human
7 melanoma cell line. As CSPG4 is involved in the regulation of several pathways concerning growth,
8 adhesion, and migration of tumor cells,⁴⁹ these results suggest one of the non immunological mechanisms
9 by which specific antibodies can affect CSPG4 function in the biology of melanoma cells, hampering
10 tumor progression. As we previously showed the ability of vaccine-induced antibodies to bind the
11 syngenic CSPG4 canine protein expressed on OLGA cells, a canine CSPG4-positive melanoma cell
12 line,⁴⁰ it can be speculated that the anti-cCSPG4 antibodies may carry out a similar action also *in vivo*.

14 **Clinical response to hCSPG4 electrovaccination**

15 The median survival time (MST) and disease-free interval (DFI) results of Group A and Group B
16 dogs are summarized in Table 5.

17 In the CSPG4-positive vaccinated dogs (Group A) the 6-, 12-, 18- and 24-month survival rates are
18 95.6%, 73.9%, 47.8% and 30.4%, respectively. The 6-, 12-, 18- and 24-month DFI rates are 82.6%,
19 47.8%, 26.1% and 17.4%, respectively. At the end of the observation period (December 31, 2015), 8 dogs
20 of the Group A (35%) were still alive (mean 1064 days, median 1028 days, range 493-1694 days) and 15
21 (65%) were dead, 11 because of the cMM (mean 416 days, median 385 days, range 78-684 days) and 4
22 for unrelated causes (1 dog was submitted to euthanasia for very serious orthopedic/neurological
23 problems at day 278 even though disease-free; 2 castrated male dogs developed a prostatic carcinoma and
24 were submitted to euthanasia at day 447 and 1299, respectively; a fourth dog was euthanized at day 277
25 for a perianal adenocarcinoma with metastatic sublumbar lymphadenopathy).

26 In the CSPG4-positive non-vaccinated dogs (Group B), the 6-, 12-, 18- and 24-month survival
27 rates were 63.2%, 26.3%, 15.8% and 5.3%, respectively. The 6-, 12-, 18- and 24-month DFI rates were
28 52.6%, 26.3%, 10.5% and 5.3% (1 dog, still disease-free, was lost to follow-up after 694 days),

1 respectively. At the end of the observation period, 18 dogs of the Group B (94.7%) were dead. Sixteen
2 died because of cMM (mean 295.1 days, median 184 days, range 75-1507 days), 2 for tumor-unrelated
3 causes (1 for an idiopathic larynx paralysis at day 370, surgery refused by the owner, the second one for
4 an idiopathic megaesophagus and *ab ingestis* pneumonia at day 367; both dogs were disease-free); the
5 remaining dog of Group B was lost to follow after 694 days.

6 A summary of local recurrence (LR) and lung metastasis (LM) in the two groups is presented in
7 Table 6. Local recurrence developed in 8 dogs of Group A (34.8%; mean 299.6 days, median 203.5 days,
8 range 128-639 days). A second marginal or *en bloc* surgery was performed in 6 dogs; 5 of these
9 ultimately died for their cMM at day 333, 374, 458, 574 and 684, respectively (4 of these with systemic
10 metastasis); the sixth dog was operated at day 179 and it was alive at day 1400. A further dog had two
11 excisions (one *en bloc* and one marginal) and it was alive at day 875; finally, the last dog had 5 marginal
12 excisions, 2 cycles of palliative radiotherapy (October 2014: 2 fractions/week x 5 fractions, 6Gy each
13 fraction; May 2015: 2 fractions/week x 5 fractions, 4Gy each fraction). In the latter dog metronomic
14 chemotherapy was also started since June 2015 (cyclophosphamide, 15mg/m² bid and thalidomide, 6
15 mg/kg bid) and it was still alive at day 1040, without any evidence of systemic metastasis. In Group B, 8
16 dogs developed a local recurrence (42%; mean 318.3 days, median 180 days, range 38-1250 days), for 7
17 of which it was associated with distant metastatic disease (euthanasia or death at day 75, 174, 183, 220,
18 224, 232 and 621 days) while a further dog had a recurrence at day 1250, it was operated and then
19 irradiated but experienced a second local recurrence after about 200 days and was euthanized at day 1507.
20 After the initial preoperative staging (8 metastatic LNs in Group A and 10 in Group B), distant metastasis,
21 which was the cause of the death, developed in 9 dogs of Group A (39%; mean 267.2 days, median 196
22 days, range 50-639 days) and 15 of Group B (79%; mean 164 days, median 137 days, range 38-445 days).

23 Kaplan–Meier curves for survival times and DFI were analyzed (Figure 2A and B). The MST in
24 Group A is 684 days (range, 78-1694), in Group B 220 days (range, 75-1507) (ratio A/B=3.109). Group
25 A exhibited a significantly longer MST than group B (P = 0.0005; Figure 2A). The median DFI in Group
26 A is 477 days (range, 50-1694), in Group B 180 days (range, 38-1250) (ratio A/B=2.650). The Group A
27 DFI was significantly longer than group B (P = 0.0174; Figure 2B).

28 No statistically significant correlation was found between the clinical outcome of vaccinated patients

1 and the excision margin status, percentages of Ki67 positivity, mitotic index, and nuclear atypia scores.
2 Nevertheless, taking into account the BW of dogs and selecting 20 kg as a threshold, a differential trend
3 in Group A was clearly evident, being the survival of dogs with BW <20 kg longer than that of those with
4 BW >20 kg (Figure 3A). Albeit this is not completely surprising as smaller dogs tend to live longer than
5 larger ones, however, survival of Group A dogs with BW <20 kg was significantly longer than both the
6 entire population of Group B dogs (Figure 3B) and dogs of Group B with BW <20 kg (Figure 3C). On the
7 contrary, the survival of Group A dogs with BW >20 Kg was not significantly different from Group B
8 population, neither considered as a whole (Figure 3D) nor considering only dogs >20 kg (Figure 3E).
9 The same scenario is evident considering the DFI (Figure 4A-E), being the DFI of Group A dogs with
10 BW <20 kg significantly longer than both the entire population of Group B dogs (Figure 4B) and dogs of
11 Group B with BW <20 kg (Figure 4C).

12 While the CSPG4 positivity of cMM in dogs of Group B is not correlated with the overall survival
13 (not shown), it has a significant impact on survival of dogs of Group A. Indeed, even if not statistically
14 significant, vaccinated dogs affected by a cMM with CSPG4 score ≥ 5 displayed a longer survival as
15 compared to vaccinated dogs with CSPG4-positive cMM with score <5 (Figure 5A). Moreover, Group A
16 dogs with a cMM CSPG4 score ≥ 5 exhibited a significantly longer MST when compared with both the
17 entire Group B population (Figure 5B) or only with the non-vaccinated dogs affected by a cMM with
18 CSPG4 score ≥ 5 (Figure 5C). This is not the case of Group A vaccinated dogs with a cMM with CSPG4
19 score <5, as survival is not significantly longer when compared with the entire population of non-
20 vaccinated (Group B) dogs (Figure 5D) or when considering only Group B dogs with a cMM with
21 CSPG4 score <5 (Figure 5E). The same situation is evident considering the DFI and CSPG4 expression
22 (Figure 6A-E), being the DFI of Group A dogs with CSPG4 score ≥ 5 significantly longer when compared
23 with both the entire Group B population (Figure 6B) or only with non-vaccinated dogs affected with a
24 cMM of CSPG4 score ≥ 5 (Figure 6C).

25

1 Discussion

2 Dogs with untreated oral cMM usually survive a few months.⁹ Surgery, when feasible, is important for
3 the local control of oral cMM, especially for stage II and III tumors.^{1, 12, 27, 28} After surgery alone, a median
4 survival time up to 352 days and a 1-year survival rate around 30% have been reported.^{5, 12, 35} An alternative
5 to surgery is radiotherapy, alone (also for palliation) or adjuvantly, especially in case of incomplete margins;
6 hypofractionated radiation protocols are also used.^{3, 17, 18, 20, 21, 23, 29, 32} Local control after radiation may be as
7 high as 83-100% in up to 70% of oral cMM within some weeks and it seems better when cMM are rostral,
8 small sized and without bone invasion, and when radiation is used in an adjuvant setting.^{17, 18, 20, 21, 32}
9 However, local recurrence may occur, even after a complete response has been reached;^{17, 18, 20, 32} in the not
10 responding cMM, progression of the disease usually occurs.¹⁷ The reported 1- and 2-year survival rate after
11 radiotherapy is 36-48% and 21%, respectively, with a median survival time ranging from 211 to 363 days.^{17,}
12 ^{18, 20, 21, 32}

13 Despite the local control of oral cMM provided by surgery and/or radiotherapy, distant metastasis
14 represents the cause of death in up to 65-80% of dogs.^{1, 2, 20} No statistical increase of survival times was
15 shown when dogs received also an adjuvant treatment.^{12, 27} Chemotherapy for oral cMM is often used as
16 an adjunctive treatment to control distant metastasis. Drugs more frequently utilized are carboplatin,
17 cisplatin (also in conjunction with piroxicam), and melphalan.^{19, 22-24, 26, 27} Platinum salts have also been
18 used as radiosensitizers;^{20, 21} besides, cisplatin has been used intralesionally.⁵⁸ In a more recent paper,
19 metronomic chemotherapy has also been utilized.²⁷

20 As the role of adjuvant chemotherapy in prolonging survival of dogs bearing an oral cMM and
21 controlling/delaying of the distant metastatic spread is uncertain, many studies have been addressed to
22 alternative adjuvant treatments, such as new drugs⁵⁹⁻⁶¹ and immunotherapy,^{3, 30, 31, 33-39, 41-43, 62} also in form
23 of combined protocols.⁴⁴

24 Several immunotherapeutic approaches have been attempted, finally leading, in USA, to the approval
25 of a xenogeneic DNA vaccine against tyrosinase (ONCEPT, Merial), whose efficacy has been shown in
26 cMM patients when compared with historical controls.^{38, 42} As ONCEPT efficacy has not been confirmed
27 in two retrospective studies,^{37, 63} further studies should be warranted. In our previous paper, we showed
28 both the safety and anti-tumor efficacy of CSPG4-immunotargeting in a group of dogs with surgically

1 resected stage II–III CSPG4-positive oral MM.⁶² As the Authors of the present study never used
2 ONCEPT as it has not been approved by the European Medicines Agency, no prospective comparison
3 between the two vaccines was attempted.

4 In the present study the Authors report the data of a larger cohort of oral CSPG4-positive cMM
5 patients treated by surgery plus adjuvant electrovaccination with human-CSPG4-encoded plasmid
6 (Group A) or treated by surgery alone (Group B), including both new enrolled dogs (coming also from a
7 second center of vaccination) and those already considered in the previous study but updated in their
8 follow-up. The distribution of age, sex, score of CSPG4 expression, percentage of Ki67 positivity, mitotic
9 index, nuclear atypia, and clinical stage within the two groups was uniform. A third group of dogs
10 previously considered in Riccardo et al.,⁶² i.e. those bearing a CSPG4-negative oral cMM, was not
11 considered here due to the fact that it displayed an intermediate behavior and could not benefit anyway
12 from the anti CSPG4-immunotargeting. In Riccardo et al.⁶² we showed that MST of vaccinated dogs was
13 significantly longer when compared with the overall non-vaccinated canine population (both CSPG4-
14 positive and negative); DFI of vaccinated dogs was significantly longer than CSPG4-positive non-
15 vaccinated dogs but not CSPG4-negative non-vaccinated dogs or the entire non-vaccinated canine
16 population; finally, both MST and DFI of CSPG4-positive non-vaccinated dogs showed no significant
17 statistical difference in comparison with CSPG4-negative non-vaccinated dogs.

18 The results obtained here confirm both the safety and immunogenicity of the electrovaccination with
19 the hCSPG4 plasmid in dogs with CSPG4-positive oral cMM. The significant increase of the anti-
20 hCSPG4 antibody titer in the post-vaccination sera as compared to pre-vaccination sera of Group A dogs
21 relates favorably with the significant prolongation of both survival times and DFI as compared to dogs of
22 Group B, receiving surgery alone, but no direct correlation between the antibody titer and the survival
23 was found. Regarding this, it should be emphasized that endpoints for a clinical trial involving an
24 immunotherapeutic approach (targeted treatment) are more challenging and different from those
25 involving the use of a specific cytotoxic drug; in the latter case, in fact, procedures to evaluate its efficacy
26 are usually more direct and easier to be applied.⁶⁴ Nevertheless, it is Authors' hypothesis that the humoral
27 anti-CSPG4 immunity has a direct beneficial effect on the clinical course of canine oral cMM. The
28 vaccine-induced antibody titer in dogs with BW <20 Kg is higher than that observed in dogs with BW

1 >20 Kg and, interestingly, vaccinated dogs with BW <20 Kg are those with survival and DFI significantly
2 longer than the population of non-vaccinated dogs (Group B). This is not the case when we compare both
3 the survival and DFI of vaccinated dogs with BW >20 Kg and the population of non-vaccinated dogs
4 (Group B). These data suggest the importance of the level of the antibody titer induced by the vaccine and
5 the potential fundamental role of the humoral response in prolonging both the survival time and DFI of
6 vaccinated dogs; on the other hand, these results raise the question of scaling up doses in dogs with a high
7 BW. Besides, also the CSPG4-positivity score of the cMM may have had an impact on the outcome. We
8 have shown that anti-CSPG4 antibodies induced by the vaccine may act directly on the CSPG4
9 expression on MM cells, down regulating the protein and, consequently, likely affecting the several
10 cancer-related pathways regulated by CSPG4. Despite the fact that the degree of CSPG4 expression did
11 not correlate with the survival of non-vaccinated dogs,⁶² vaccinated dogs with a cMM with a CSPG4-
12 positivity score higher than 5 survived longer than vaccinated dogs affected by an oral cMM with a
13 CSPG4-positivity score lower than 5. It is likely that in the latter dogs a greater prevalence of CSPG4-
14 negative tumor clones are present, being able to escape the anti-CSPG4 immunity induced by the vaccine
15 and, ultimately allowing the progression of the disease. Collectively, these results provide not only a
16 mechanistic explanation for the therapeutic effect of anti-CSPG4 antibodies in the treatment of cMM, but
17 also corroborate the role of CSPG4 in the biology of cMM cells.

18 Clinical stage is a reported prognosticator and, apart from systemic metastasis which makes
19 prognosis worse, the impact of regional lymphatic metastasis at presentation on survival is uncertain.
20 One limitation here may have been that only the mandibular nodes were removed at surgery and
21 histologically examined, with no attempt to identify other potential and/or alternative regional lymphatic
22 stations (for example the retropharyngeal lymph nodes);^{12, 65, 66} for this important issue, further studies are
23 warranted. Also the decision that the surgeons involved in this study adopted, i.e. not to remove
24 systematically the mandibular nodes bilaterally, may have influenced the final clinical stage (N parameter
25 of the TNM system). In all the cases in which a regional lymphatic spread is demonstrated, the addition of
26 an adjuvant treatment should be advantageous.²⁷

27 Another important issue here is that in many published series of cases dealing with oral cMM, and
28 also in this series, there are dogs that experience a long survival but it is not clear whether this reflects the

1 efficacy of the treatment (despite the fact that a similar treatment was usually utilized also in dogs
2 surviving for a shorter period of time) or a less aggressive tumoral behavior.¹¹ It is the Authors' opinion
3 that these less malignant oral cMM may not be recognized clinically, being possible to identify them only
4 by the evaluation of some already known prognostic factors such as Ki67, mitotic index and nuclear
5 atypia.^{3, 11} Therefore, the evaluation of these prognosticators should always be included in all the studies
6 dealing with the results of the different therapeutic approaches applied for oral cMM, in order to better
7 interpret the final outcome. Therefore, according to a recent paper published by this group, also the
8 PDGFR α/β co-expression in oral cMM should be evaluated and correlated with survival;¹⁴ so far, this
9 parameter has not been evaluated yet in the cMM of the vaccinated dogs included in this paper and
10 further study are warranted.

11 Finally, it has been reported that the risk of local recurrence after surgery seems correlated to the size
12 of the primary tumor.²⁷ Local recurrence seems more likely after *en bloc* excision performed at the level
13 of the upper jaw in comparison with the lower jaw (22% vs 48%).^{67, 68} Also the development of a local
14 recurrence should represent a negative prognostic factor for survival but its real impact is not clear. In this
15 series of cases a local recurrence developed mainly in the vaccinated dogs but it did not influence the
16 continuation of vaccination when the recurrent tumors were properly treated (surgery +/- radiotherapy)
17 provided that systemic metastasis were still under control, likely thanks to the vaccine; this was not the
18 case of the non-vaccinated dogs in which the cause of the death was mainly due to systemic metastasis
19 regardless the development of a local recurrence. Moreover, in this series of cases, in the group of
20 vaccinated dogs (Group A), 3 patients died because of a second tumor (2 prostatic adenocarcinomas and ,
21 1 perianal adenocarcinoma with submandibular metastases), while in Group B, all dead dogs succumbed
22 because of the cMM. It can be speculated that the prolongation of the survival in Group A dogs induced
23 by the vaccine may have resulted in an increased risk of developing a second malignant tumor of a
24 different histotype.

25 In conclusion, the results presented here are encouraging and confirm the usefulness of the anti-
26 CSPG4 adjuvant vaccination in in dogs with oral cMM. However, they should be still considered
27 cautiously as the number of dogs included is still low.

1 **Acknowledgements**

2 This work was supported by grants from Fondazione Ricerca Molinette Onlus and from Fondazione CRT,
3 Torino, Italy, within the ‘Richieste Ordinarie 2015’ call. F.R. has been supported with a fellowship from
4 Fondazione Italiana per la Ricerca sul Cancro (FIRC).

5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

1 **References**

- 2 1. Liptak JM and Lascelles BDX. Oral tumors. In: *Veterinary surgical Oncology*, edn., ST Kidnig
3 and B Séguin, eds., Wiley-Blackwell, 2012.
- 4 2. Liptak JM and Withrow SJ. Oral tumors. In: *Small animal clinical oncology*, edn., SJ Withrow,
5 DM Vail and RL Page, eds., Elsevier, 2013.
- 6 3. Bergman PJ, Kent MS and Farese J. Melanoma. In: *Withrow & MacEwen's Small Animal*
7 *Clinical Oncology*, 5th edn., SJ Withrow, DM Vail and RL Page, eds., St. Louis (MO), Saunders, 2013.
- 8 4. Smedley RC, Lamoureux J, Sledge DG and Kiupel M. Immunohistochemical diagnosis of
9 canine oral amelanotic melanocytic neoplasms. *Vet Pathol.* 2011; 48(1): 32-40.
- 10 5. Ramos-Vara JA, Beissenherz ME, Miller MA, Johnson GC, Pace LW, Fard A, et al.
11 Retrospective study of 338 canine oral melanomas with clinical, histologic, and
12 immunohistochemical review of 129 cases. *Vet Pathol.* 2000; 37(6): 597-608.
- 13 6. Giudice C, Cecilian F, Rondena M, Stefanello D and Grieco V. Immunohistochemical
14 investigation of PNL2 reactivity of canine melanocytic neoplasms and comparison with Melan A. *J*
15 *Vet Diagn Invest.* 2010; 22(3): 389-94.
- 16 7. Ramos-Vara JA and Miller MA. Immunohistochemical identification of canine melanocytic
17 neoplasms with antibodies to melanocytic antigen PNL2 and tyrosinase: comparison with Melan A.
18 *Vet Pathol.* 2011; 48(2): 443-50.
- 19 8. Williams LE and Packer RA. Association between lymph node size and metastasis in dogs
20 with oral malignant melanoma: 100 cases (1987-2001). *J Am Vet Med Assoc.* 2003; 222(9): 1234-6.
- 21 9. Harvey HJ, MacEwen EG, Braun D, Patnaik AK, Withrow SJ and Jongeward S. Prognostic
22 criteria for dogs with oral melanoma. *J Am Vet Med Assoc.* 1981; 178(6): 580-2.
- 23 10. MacEwen EG, Patnaik AK, Harvey HJ, Hayes AA and Matus R. Canine oral melanoma:
24 comparison of surgery versus surgery plus *Corynebacterium parvum*. *Cancer Invest.* 1986; 4(5):
25 397-402.
- 26 11. Smedley RC, Spangler WL, Esplin DG, Kitchell BE, Bergman PJ, Ho HY, et al. Prognostic
27 markers for canine melanocytic neoplasms: a comparative review of the literature and goals for
28 future investigation. *Vet Pathol.* 2011; 48(1): 54-72.
- 29 12. Boston SE, Lu X, Culp WT, Montinaro V, Romanelli G, Dudley RM, et al. Efficacy of systemic
30 adjuvant therapies administered to dogs after excision of oral malignant melanomas: 151 cases
31 (2001-2012). *J Am Vet Med Assoc.* 2014; 245(4): 401-7.
- 32 13. Bergin IL, Smedley RC, Esplin DG, Spangler WL and Kiupel M. Prognostic evaluation of Ki67
33 threshold value in canine oral melanoma. *Vet Pathol.* 2011; 48(1): 41-53.
- 34 14. Iussich S, Maniscalco L, Di Sciuva A, Iotti B, Morello E, Martano M, et al. PDGFRs expression
35 in dogs affected by malignant oral melanomas: correlation with prognosis. *Vet Comp Oncol.* 2016.
- 36 15. Esplin DG. Survival of dogs following surgical excision of histologically well-differentiated
37 melanocytic neoplasms of the mucous membranes of the lips and oral cavity. *Vet Pathol.* 2008;
38 45(6): 889-96.
- 39 16. Dewhirst MW, Sim DA, Forsyth K, Grochowski KJ, Wilson S and Bicknell E. Local control and
40 distant metastases in primary canine malignant melanomas treated with hyperthermia and/or
41 radiotherapy. *Int J Hyperthermia.* 1985; 1(3): 219-34.
- 42 17. Bateman KE, Catton PA, Pennock PW and Kruth SA. 0-7-21 radiation therapy for the
43 treatment of canine oral melanoma. *J Vet Intern Med.* 1994; 8(4): 267-72.
- 44 18. Blackwood L and Dobson JM. Radiotherapy of oral malignant melanomas in dogs. *J Am Vet*
45 *Med Assoc.* 1996; 209(1): 98-102.
- 46 19. Rassnick KM, Ruslander DM, Cotter SM, Al-Sarraf R, Bruyette DS, Gambelin RM, et al. Use of
47 carboplatin for treatment of dogs with malignant melanoma: 27 cases (1989-2000). *J Am Vet Med*
48 *Assoc.* 2001; 218(9): 1444-8.

- 1 20. Freeman KP, Hahn KA, Harris FD and King GK. Treatment of dogs with oral melanoma by
2 hypofractionated radiation therapy and platinum-based chemotherapy (1987-1997). *J Vet Intern
3 Med.* 2003; 17(1): 96-101.
- 4 21. Proulx DR, Ruslander DM, Dodge RK, Hauck ML, Williams LE, Horn B, et al. A retrospective
5 analysis of 140 dogs with oral melanoma treated with external beam radiation. *Vet Radiol
6 Ultrasound.* 2003; 44(3): 352-9.
- 7 22. Boria PA, Murry DJ, Bennett PF, Glickman NW, Snyder PW, Merkel BL, et al. Evaluation of
8 cisplatin combined with piroxicam for the treatment of oral malignant melanoma and oral
9 squamous cell carcinoma in dogs. *J Am Vet Med Assoc.* 2004; 224(3): 388-94.
- 10 23. Murphy S, Hayes AM, Blackwood L, Maglennon G, Pattinson H and Sparkes AH. Oral
11 malignant melanoma - the effect of coarse fractionation radiotherapy alone or with adjuvant
12 carboplatin therapy. *Vet Comp Oncol.* 2005; 3(4): 222-9.
- 13 24. Brockley LK, Cooper MA and Bennett PF. Malignant melanoma in 63 dogs (2001-2011): the
14 effect of carboplatin chemotherapy on survival. *N Z Vet J.* 2013; 61(1): 25-31.
- 15 25. Cancedda S, Rohrer Bley C, Aresu L, Dacasto M, Leone VF, Pizzoni S, et al. Efficacy and side
16 effects of radiation therapy in comparison with radiation therapy and temozolomide in the
17 treatment of measurable canine malignant melanoma. *Vet Comp Oncol.* 2014.
- 18 26. Dank G, Rassnick KM, Sokolovsky Y, Garrett LD, Post GS, Kitchell BE, et al. Use of adjuvant
19 carboplatin for treatment of dogs with oral malignant melanoma following surgical excision. *Vet
20 Comp Oncol.* 2014; 12(1): 78-84.
- 21 27. Tuohy JL, Selmic LE, Worley DR, Ehrhart NP and Withrow SJ. Outcome following curative-
22 intent surgery for oral melanoma in dogs: 70 cases (1998-2011). *J Am Vet Med Assoc.* 2014;
23 245(11): 1266-73.
- 24 28. Culp WT, Ehrhart N, Withrow SJ, Rebhun RB, Boston S, Buracco P, et al. Results of surgical
25 excision and evaluation of factors associated with survival time in dogs with lingual neoplasia: 97
26 cases (1995-2008). *J Am Vet Med Assoc.* 2013; 242(10): 1392-7.
- 27 29. Kawabe M, Mori T, Ito Y, Murakami M, Sakai H, Yanai T, et al. Outcomes of dogs
28 undergoing radiotherapy for treatment of oral malignant melanoma: 111 cases (2006-2012). *J Am
29 Vet Med Assoc.* 2015; 247(10): 1146-53.
- 30 30. Moore AS, Theilen GH, Newell AD, Madewell BR and Rudolf AR. Preclinical study of
31 sequential tumor necrosis factor and interleukin 2 in the treatment of spontaneous canine
32 neoplasms. *Cancer Res.* 1991; 51(1): 233-8.
- 33 31. Quintin-Colonna F, Devauchelle P, Fradelizi D, Mourot B, Faure T, Kourilsky P, et al. Gene
34 therapy of spontaneous canine melanoma and feline fibrosarcoma by intratumoral administration
35 of histoincompatible cells expressing human interleukin-2. *Gene Ther.* 1996; 3(12): 1104-12.
- 36 32. Theon AP, Rodriguez C and Madewell BR. Analysis of prognostic factors and patterns of
37 failure in dogs with malignant oral tumors treated with megavoltage irradiation. *J Am Vet Med
38 Assoc.* 1997; 210(6): 778-84.
- 39 33. Dow SW, Elmslie RE, Willson AP, Roche L, Gorman C and Potter TA. In vivo tumor
40 transfection with superantigen plus cytokine genes induces tumor regression and prolongs survival
41 in dogs with malignant melanoma. *J Clin Invest.* 1998; 101(11): 2406-14.
- 42 34. Hogge GS, Burkholder JK, Culp J, Albertini MR, Dubielzig RR, Keller ET, et al. Development of
43 human granulocyte-macrophage colony-stimulating factor-transfected tumor cell vaccines for the
44 treatment of spontaneous canine cancer. *Hum Gene Ther.* 1998; 9(13): 1851-61.
- 45 35. MacEwen EG, Kurzman ID, Vail DM, Dubielzig RR, Everlith K, Madewell BR, et al. Adjuvant
46 therapy for melanoma in dogs: results of randomized clinical trials using surgery, liposome-
47 encapsulated muramyl tripeptide, and granulocyte macrophage colony-stimulating factor. *Clin
48 Cancer Res.* 1999; 5(12): 4249-58.

- 1 36. Bergman PJ, McKnight J, Novosad A, Charney S, Farrelly J, Craft D, et al. Long-term survival
2 of dogs with advanced malignant melanoma after DNA vaccination with xenogeneic human
3 tyrosinase: a phase I trial. *Clin Cancer Res.* 2003; 9(4): 1284-90.
- 4 37. Ottnod JM, Smedley RC, Walshaw R, Hauptman JG, Kiupel M and Obradovich JE. A
5 retrospective analysis of the efficacy of Oncept vaccine for the adjunct treatment of canine oral
6 malignant melanoma. *Vet Comp Oncol.* 2013; 11(3): 219-29.
- 7 38. Grosenbaugh DA, Leard AT, Bergman PJ, Klein MK, Meleo K, Susaneck S, et al. Safety and
8 efficacy of a xenogeneic DNA vaccine encoding for human tyrosinase as adjunctive treatment for
9 oral malignant melanoma in dogs following surgical excision of the primary tumor. *Am J Vet Res.*
10 2011; 72(12): 1631-8.
- 11 39. Glikin GC and Finocchiaro LM. Clinical trials of immunogene therapy for spontaneous
12 tumors in companion animals. *ScientificWorldJournal.* 2014; 2014: 718520.
- 13 40. Riccardo F, Iussich S, Maniscalco L, Lorda-Mayayo S, La Rosa G, Arigoni M, et al. CSPG4-
14 specific immunity and survival prolongation in dogs with oral malignant melanoma immunized
15 with human CSPG4 DNA. *Clin Cancer Res.* 2014.
- 16 41. Finocchiaro LM, Fondello C, Gil-Cardeza ML, Rossi UA, Villaverde MS, Riveros MD, et al.
17 Cytokine-Enhanced Vaccine and Interferon-beta plus Suicide Gene Therapy as Surgery Adjuvant
18 Treatments for Spontaneous Canine Melanoma. *Hum Gene Ther.* 2015; 26(6): 367-76.
- 19 42. McLean JL and Lobetti RG. Use of the melanoma vaccine in 38 dogs: The South African
20 experience. *J S Afr Vet Assoc.* 2015; 86(1): 1246.
- 21 43. Paoloni M, Mazcko C, Selting K, Lana S, Barber L, Phillips J, et al. Defining the
22 Pharmacodynamic Profile and Therapeutic Index of NHS-IL12 Immunocytokine in Dogs with
23 Malignant Melanoma. *PLoS One.* 2015; 10(6): e0129954.
- 24 44. Herzog A, Buchholz J, Ruess-Melzer K, Lang J and Kaser-Hotz B. [Combined use of
25 irradiation and DNA tumor vaccine to treat canine oral malignant melanoma: a pilot study].
26 *Schweiz Arch Tierheilkd.* 2013; 155(2): 135-42.
- 27 45. Itoh H, Mukaiyama T, Goto T, Hata K, Azuma K, Tsuka T, et al. Non-surgical treatment of
28 canine oral malignant melanoma: A case study of the application of complementary alternative
29 medicine. *Oncol Lett.* 2014; 7(6): 1829-30.
- 30 46. Ogihara K, Naya Y, Okamoto Y and Hata K. Differentiation-inducing and anti-proliferative
31 activities of lupeol on canine melanoma cells. *Springerplus.* 2014; 3: 632.
- 32 47. Yokoe I, Azuma K, Hata K, Mukaiyama T, Goto T, Tsuka T, et al. Clinical systemic lupeol
33 administration for canine oral malignant melanoma. *Mol Clin Oncol.* 2015; 3(1): 89-92.
- 34 48. Mayayo SL, Prestigio S, Maniscalco L, La Rosa G, Arico A, De Maria R, et al. Chondroitin
35 sulfate proteoglycan-4: a biomarker and a potential immunotherapeutic target for canine
36 malignant melanoma. *Vet J.* 2011; 190(2): e26-30.
- 37 49. Price MA, Colvin Wanshura LE, Yang J, Carlson J, Xiang B, Li G, et al. CSPG4, a potential
38 therapeutic target, facilitates malignant progression of melanoma. *Pigment Cell Melanoma Res.*
39 2011; 24(6): 1148-57.
- 40 50. Cheever MA, Allison JP, Ferris AS, Finn OJ, Hastings BM, Hecht TT, et al. The prioritization of
41 cancer antigens: a national cancer institute pilot project for the acceleration of translational
42 research. *Clin Cancer Res.* 2009; 15(17): 5323-37.
- 43 51. Bodles-Brakhop AM, Heller R and Draghia-Akli R. Electroporation for the delivery of DNA-
44 based vaccines and immunotherapeutics: current clinical developments. *Mol Ther.* 2009; 17(4):
45 585-92.
- 46 52. Heller LC and Heller R. Electroporation gene therapy preclinical and clinical trials for
47 melanoma. *Curr Gene Ther.* 2010; 10(4): 312-7.

- 1 53. Sardesai NY and Weiner DB. Electroporation delivery of DNA vaccines: prospects for
2 success. *Curr Opin Immunol*. 2011; 23(3): 421-9.
- 3 54. Cavallo F, Aurisicchio L, Mancini R and Ciliberto G. Xenogene vaccination in the therapy of
4 cancer. *Expert Opin Biol Ther*. 2014; 14(10): 1427-42.
- 5 55. Impellizeri JA, Ciliberto G and Aurisicchio L. Electro-gene-transfer as a new tool for cancer
6 immunotherapy in animals. *Vet Comp Oncol*. 2014; 12(4): 310-8.
- 7 56. Yang J, Price MA, Neudauer CL, Wilson C, Ferrone S, Xia H, et al. Melanoma chondroitin
8 sulfate proteoglycan enhances FAK and ERK activation by distinct mechanisms. *J Cell Biol*. 2004;
9 165(6): 881-91.
- 10 57. R Development Core Team. R: A language and environment for statistical computing. edn.,
11 Vienna, Austria, R Foundation for Statistical Computing, 2010.
- 12 58. Kitchell BE, Brown DM, Luck EE, Woods LL, Orenberg EK and Bloch DA. Intralesional implant
13 for treatment of primary oral malignant melanoma in dogs. *J Am Vet Med Assoc*. 1994; 204(2):
14 229-36.
- 15 59. Breit MN, Kisseberth WC, Bear MD, Landesman Y, Kashyap T, McCauley D, et al. Biologic
16 activity of the novel orally bioavailable selective inhibitor of nuclear export (SINE) KPT-335 against
17 canine melanoma cell lines. *BMC Vet Res*. 2014; 10: 160.
- 18 60. London CA, Bernabe LF, Barnard S, Kisseberth WC, Borgatti A, Henson M, et al. Preclinical
19 evaluation of the novel, orally bioavailable Selective Inhibitor of Nuclear Export (SINE) KPT-335 in
20 spontaneous canine cancer: results of a phase I study. *PLoS One*. 2014; 9(2): e87585.
- 21 61. Seo KW, Coh YR, Rebhun RB, Ahn JO, Han SM, Lee HW, et al. Antitumor effects of celecoxib
22 in COX-2 expressing and non-expressing canine melanoma cell lines. *Res Vet Sci*. 2014; 96(3): 482-
23 6.
- 24 62. Riccardo F, Iussich S, Maniscalco L, Lorda Mayayo S, La Rosa G, Arigoni M, et al. CSPG4-
25 specific immunity and survival prolongation in dogs with oral malignant melanoma immunized
26 with human CSPG4 DNA. *Clin Cancer Res*. 2014; 20(14): 3753-62.
- 27 63. Treggiari E, Grant JP and North SM. A retrospective review of outcome and survival
28 following surgery and adjuvant xenogeneic DNA vaccination in 32 dogs with oral malignant
29 melanoma. *J Vet Med Sci*. 2016.
- 30 64. Marconato L, Buracco P and Aresu L. Perspectives on the design of clinical trials for
31 targeted therapies and immunotherapy in veterinary oncology. *Vet J*. 2015; 205(2): 238-43.
- 32 65. Herring ES, Smith MM and Robertson JL. Lymph node staging of oral and maxillofacial
33 neoplasms in 31 dogs and cats. *J Vet Dent*. 2002; 19(3): 122-6.
- 34 66. Tuohy JL, Milgram J, Worley DR and Dernell WS. A review of sentinel lymph node
35 evaluation and the need for its incorporation into veterinary oncology. *Vet Comp Oncol*. 2009;
36 7(2): 81-91.
- 37 67. Kosovsky JK, Matthiesen DT, Marretta SM and Patnaik AK. Results of partial
38 mandibulectomy for the treatment of oral tumors in 142 dogs. *Vet Surg*. 1991; 20(6): 397-401.
- 39 68. Wallace J, Matthiesen DT and Patnaik AK. Hemimaxillectomy for the treatment of oral
40 tumors in 69 dogs. *Vet Surg*. 1992; 21(5): 337-41.
- 41
42
43
44
45

1 **Table 1. Surgical MM resection in canine patients enrolled in the trial**

Type of surgery	Overall population (n=42)	Group A (n=23)	Group B (n=19)
Mandibulectomy	23/42 (54.77) ^a	11/23 (47.83) ^a	12/19 (63.16) ^a
<i>Segmental/horizontal</i>	17	10	7
<i>Rostral</i>	6	1	5
Maxillectomy	5/42 (11.90)	3/23 (13.04)	2/19 (10.53)
Tonsillectomy	1/42 (2.38)	1/23 (4.35)	0/19 (0.00)
En bloc excision	13/42 (30.95)	8/23 (34.78)	5/19 (26.31)
<i>Lip/cheek</i>	12	7	5
<i>Tongue</i>	1	1	0

^a % in brackets

Table 2. Clinical stage and excision margin status of oral cMMs enrolled in the trial

	Stage II	Stage III	Metastatic LNs	Local bone Invasion	Excision Margins	
					Complete	Incomplete
Overall population (n=42)	15 (35.71) ^a	27 (64.29) ^a	18 (42.86) ^a	16 (38.09) ^a	34 (80.95) ^a	8 (19.05) ^a
Group A (n=23)	9 (39.13)	14 (60.87)	8 (34.78)	9 (39.13)	19 (82.61)	4 (17.39)
Group B (n=19)	6 (31.58)	13 (68.42)	10 (52.63)	7 (36.84)	15 (78.95)	4 (21.05)

^a% in brackets

Table 3. Immunohistochemical CSPG4 score of MM from dogs included in the study

CSPG4 score	Overall population (n=42)	Group A (n=23)	Group B (n=19)
3/8	6 (14.29) ^a	3 (13.04) ^a	3 (15.79) ^a
4/8	8 (19.05)	5 (21.74)	3 (15.79)
5/8	8 (19.05)	5 (21.74)	3 (15.79)
6/8	3 (7.14)	2 (8.70)	1 (5.26)
7/8	14 (33.33)	6 (26.09)	8 (42.11)
8/8	3 (7.14)	2 (8.69)	1 (5.26)

^a % in brackets

Table 4. Histological and immunohistochemical characterization of the cMMs included in the study

	Treshold	Overall population	Group A	Group B
Ki67	< 19.5	4/37 ^a (10.81) ^b	1/20 ^a (5.00) ^b	3/17 ^a (17.65) ^b
	≥ 19.5	33/37 (89.19)	19/20 (95.00)	14/17 (82.35)
Mitotic Index (MI)	< 4/10 hpf	1/38 ^a (2.63) ^b	0/20 ^a (0.00) ^b	1/18 ^a (5.56) ^b
	≥ 4/10 hpf	37/38 (97.37)	20/20 (100.00)	17/18 (94.44)
Nuclear Atypia (PLEOM)	< 30%	12/38 (31.58)	5/20 (25.00)	7/18 (38.89)
	≥ 30%	26/38 (68.42)	15/20 (75.00)	11/18 (61.11)

^a number of patients for which the data were available

^b % in brackets

Table 5. Median survival time (MST) and disease-free interval (DFI) calculated at December 31, 2015

Groups	MST (days)	DFI (days)	MST (months)				DFI (months)			
			6	12	18	24	6	12	18	24
Group A	684 (458-∞) ^a	477 (207-∞)	95.6%	73.9%	47.8%	30.4%	82.6%	47.8%	26.1%	17.4%
Group B	220 (174-∞)	180 (131-∞)	63.2%	26.3%	15.8%	5.3%	52.6%	26.3%	10.5%	5.3%

^a(LCL95%-UCL95%) Lower-Upper Control Limits

Table 6. Percentage of local recurrence (LR) and lung metastasis (LM) for each group, calculated up to December 31, 2015

Groups	LR	LM
Group A (n=23)	34.80%	39.00%
Group B (n=19)	42.00%	79.00%

Captions to figures (separate page)

Figure 1. Vaccination-induced anti-hCSPG4 humoral response in sera of cMM dogs. **(A)** Detection of hCSPG4 antibodies in sera collected before (white bar) and after the fourth DNA vaccination (black bar) by ELISA. Results are expressed as the mean OD at 450 nm \pm SD values of all vaccinated dogs. ***, $p < 0.0001$, Student's t-test. **(B)** Evaluation of hCSPG4 antibody response in sera collected from vaccinated dogs in relation to BW. Results are shown as fold change values expressing the ratio between post-vaccination OD/pre-vaccination OD values measured by ELISA. **(C)** Assessment of the effect of vaccine-induced anti-hCSPG4 antibodies on hCSPG4 expression. hCSPG4-positive SK-MEL28 cells were incubated with medium alone, CSPG4-specific mAb or canine sera before and after the fourth immunization at 37°C for 48 hours. Representative immunoblot analysis of CSPG4 modulation induced by the sera of 2 vaccinated dogs (#5 and #3) and the corresponding percentage of CSPG4 reduction compared to the medium is shown. Actin was used as loading control.

Figure 2. Kaplan–Meier curves comparing survival and DFI in the two groups. **(A)** Survival (in days) of CSPG4-positive MM, vaccinated dogs (Group A, black line) and of CSPG4-positive MM, non-vaccinated dogs (Group B, gray dotted line; *** log-rank test $p = 0.0005$). **(B)** DFI (in days) of CSPG4-positive MM, vaccinated dogs (Group A, black line) and of CSPG4-positive MM, non-vaccinated dogs (Group B, gray dotted line; * log-rank test $p = 0.0174$).

Figure 3. Kaplan–Meier curves comparing survival (in days) in relation to BW of dogs. **(A)** Survival of CSPG4-positive cMM, vaccinated dogs (Group A) with $BW < 20$ Kg (black line) and with $BW > 20$ Kg (black dotted line). **(B,C)** Survival of CSPG4-positive cMM, vaccinated dogs (Group A) with $BW < 20$ Kg (black line) in comparison with survival of **(B)** the entire population of non-vaccinated dogs (Group B, grey dotted line; ** log-rank test $p = 0.0015$) or of **(C)** non-vaccinated dogs with $BW < 20$ Kg (Group B, grey line; *** log-rank test $p = 0.0002$). **(D,E)** Survival of CSPG4-positive cMM vaccinated dogs (Group A) with $BW > 20$ Kg (black dotted line) in comparison with survival of **(D)** the entire population of non-vaccinated dogs (Group B, grey dotted line) or of **(E)** non-vaccinated dogs with $BW > 20$ Kg (Group B, grey line).

Figure 4. Kaplan–Meier curves comparing DFI (in days) in relation to BW of dogs. **(A)** DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW<20 Kg (black line) and with BW>20 Kg (black dotted line). **(B,C)** DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW<20 Kg (black line) in comparison with DFI of **(B)** the entire population of non-vaccinated dogs (Group B, grey dotted line; * log-rank test p= 0.0252) or of **(C)** non-vaccinated dogs with BW<20 Kg (Group B, grey line; ** log-rank test p=0.0070). **(D,E)** DFI of CSPG4-positive cMM vaccinated dogs (Group A) with BW>20 Kg (black dotted line) in comparison with DFI of **(D)** the entire population of non-vaccinated dogs (Group B, grey dotted line) or of **(E)** non-vaccinated dogs with BW>20 Kg (Group B, grey line).

Figure 5. Kaplan–Meier curves comparing survival (in days) of dogs in relation to CSPG4-positivity score of cMM. **(A)** Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥ 5 (black line) or < 5 (black dotted line). **(B,C)** Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥ 5 (black line) in comparison with the survival of **(B)** the entire population of non-vaccinated dogs (Group B, grey dotted line; *** log-rank test p= 0.0004) or of **(C)** non-vaccinated dogs bearing a cMM with CSPG4-positivity score ≥ 5 (Group B, grey line; *** log-rank test p= 0.0006). **(D,E)** Survival of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score < 5 (black dotted line) in comparison with the survival of **(D)** the entire population of non-vaccinated dogs (Group B, grey dotted line) or of **(E)** non-vaccinated dogs bearing a cMM with CSPG4-positivity score < 5 (Group B, grey line).

Figure 6. Kaplan–Meier curves comparing DFI (in days) of dogs in relation to cMM CSPG4-positivity score. **(A)** DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥ 5 (black line) or < 5 (black dotted line). **(B,C)** DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score ≥ 5 (black line) in comparison with DFI of **(B)** the entire population of non-vaccinated dogs (Group B, grey dotted line; * log-rank test p= 0.0167) or of **(C)** non-vaccinated dogs bearing a cMM with CSPG4-positivity score ≥ 5 (Group B, grey line; * log-rank test p= 0.0249). **(D,E)** DFI of vaccinated dogs (Group A) bearing a cMM with CSPG4-positivity score < 5 (black dotted line) in comparison with DFI of **(D)** the entire population of non-vaccinated dogs (Group B, grey dotted line) or of **(E)** non-vaccinated dogs bearing a cMM with CSPG4-positivity score < 5 (Group B, grey line).