



# UNIVERSITÀ DEGLI STUDI DI TORINO

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4 *The final publication is available at Springer via <http://dx.doi.org/10.1007/s10646-015-1593-7>*

5 **Sex- and age-related variation in metal content of penguin feathers**

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18 **Abstract**

19 The presence of xenobiotics, such as metals, in ecosystems is concerning due to their durability and  
20 they pose a threat to the health and life of organisms. Moreover, mercury can biomagnify in many  
21 marine food chains and, therefore, organisms at higher trophic levels can be adversely impacted.  
22 Although feathers have been used extensively as a bio-monitoring tool, only a few studies have  
23 addressed the effect of both age and sex on metal accumulation. In this study, the concentrations of  
24 trace elements were determined in the feathers of all members of a captive colony of African  
25 Penguins (*Spheniscus demersus*) housed in a zoological facility in Italy. Tests were performed by  
26 Inductively Coupled Plasma - Mass Spectrometry (ICP-MS) to detect aluminum, arsenic, cadmium,  
27 cobalt, chromium, copper, iron, manganese, nickel, lead, selenium, tin, vanadium, and zinc.  
28 Mercury was detected by a Direct Mercury Analyzer. Sexing was performed by a molecular  
29 approach based on analyzing the chromo-helicase-DNA-binding1 (CHD1) gene, located on the sex  
30 chromosomes. Sex- and age-related differences were studied in order to investigate the different  
31 patterns of metal bioaccumulation between male and female individuals and between adults and  
32 juveniles. Juvenile females had significantly higher arsenic levels than males, while selenium levels  
33 increased significantly with age in both sexes. Penguins kept in controlled environments- given that  
34 diet and habitat are under strict control- represent a unique opportunity to determine if and how  
35 metal bioaccumulation is related to sex and age.

36

37 **Keywords:** African penguin, seabirds, *Spheniscus*, trace elements.

38 **1. Introduction**

39 Over the last decade, there has been an increasing interest in the use of sentinel organisms for  
40 pollution monitoring studies (Burger et al. 2008). Of these, birds have received particular attention,  
41 because they are exposed to heavy metals, both through environmental exposure and diet (Roux and  
42 Marra 2007). While many host factors, such as size and age, have received considerable attention in  
43 metal bioaccumulation studies in seabirds, gender has received relatively little attention (Burger et  
44 al., 2003). Adults of larger species, which have longer lifespans and are at higher trophic position  
45 were found to accumulate higher levels of mercury (Hg) in their feathers, due to biomagnification  
46 phenomena through the food chain (Burger and Gochfeld, 2000; Carravieri et al., 2013). Moreover,  
47 in long-living seabirds, adults have higher metal content in their feathers than chicks, as the time  
48 interval available for accumulation is longer in adults (Burger and Gochfeld, 2000; Bond and  
49 Diamond, 2009b). However, there are sex-based differences in the excretion of contaminants and  
50 sex-related differences in the fate and effects of chemicals in seabirds; sex can affect exposure and  
51 susceptibility to contaminants and influences the ability to rid the body of these pollutants (Burger  
52 et al., 2007). Most excretion methods are similar for both males and females, but females can also  
53 excrete contaminants in their eggs and embryos (Burger et al. 2003).

54 Bird's feathers are useful non-invasive indicators of metal contamination, as a relatively high  
55 proportion of the body burden of certain metals, such as mercury, is stored in the feathers, because  
56 of their affinity to -SH groups of keratin. Moreover, several studies have shown that a high  
57 correlation exists between levels of certain contaminants in the diet of birds and relative levels  
58 detected in their feathers (e.g. mercury, Becker et al. 2002; Brasso et al. 2014). Another advantage  
59 of using feathers in such analyses is that they can be easily collected and, if necessary, repeatedly  
60 sampled without affecting the health and condition of the individuals being studied (Adout et al.  
61 2007). However, in many studies using bird feathers as an indication of internal body burdens, the  
62 sex of the different individuals is not determined (Burger and Gochfeld, 2000; Dauwe et al. 2002;  
63 Markowski et al. 2013; Ansara-Ross et al. 2013). Accordingly, many bird species (including

64 penguins) are not obviously sexually dimorphic and sex determination is therefore impossible in the  
65 field. In the very few studies considering sexual differences, a limited number of elements were  
66 investigated. Dauwe et al. (2002) examined cadmium (Cd), lead (Pb), copper (Cu) and zinc (Zn)  
67 levels in great and blue tits, considering both age and gender, and they found that only the Zn  
68 concentration was significantly higher in males than in females. Lucia et al. (2010) investigated the  
69 presence of aluminum (Al), arsenic (As), Cd, Cu, Pb, Hg, nickel (Ni), selenium (Se) and Zn in  
70 feathers of aquatic birds. They found that Cd accumulation increased with age, while As was  
71 influenced by sex, as female birds displayed higher concentrations in the liver and feathers  
72 compared to male birds. Mansouri and Hoshyari (2012) investigated the levels of Ni in the feathers  
73 of the Western Reef Heron (*Egretta gularis*) and Siberian Gull (*Larus heuglini*), but no significant  
74 differences were found between gender and age groups in either species. Finally, very few studies  
75 have been designed to determine age- or gender-differences in metal content of penguin tissues.  
76 These studies have mostly focused on Hg (e.g. Frias et al. 2012; Carravieri et al. 2013) and did not  
77 consider other trace elements. Feather metal concentrations were generally found to be species-  
78 specific, and a high variance in metal levels between individuals for some species, but not for  
79 others, were registered (Burger and Gochfeld, 2000).

80 The African or Jackass Penguin (*Spheniscus demersus*) is a colonial seabird endemic to South  
81 Africa and Namibia. The current conservation status of this species is “endangered”, according to  
82 the Red List of Threatened Species of the IUCN (International Union for Conservation of Nature),  
83 and the wild African Penguin population has dramatically decreased in recent years to less than  
84 75,000-80,000 mature individuals (Birdlife International, 2013). The African Penguin therefore  
85 faces a high risk of extinction, and as a result, *in-situ* conservation programs are becoming  
86 increasingly crucial. The body size of an African penguin is 45–68 cm in height and 3 kg in weight.  
87 Sexual dimorphism is not evident, but males are generally larger than females, although  
88 measurements tend to overlap. In the wild, individuals of the species tend to live from 10 to 27  
89 years (Whittington et al. 2000) but captive birds live significantly longer. Sexual maturity is reached

90 at 3-4 years in wild specimens, but captive penguins are observed to breed at 2-3 years. After  
91 obtaining the adult plumage, penguins molt annually, and the feather-shedding phase lasts for 12.7  
92  $\pm$  1.4 days (Randall et al. 1986). As breeding and molting are energetically demanding activities in  
93 the annual cycle of the adult penguin, the timing of these events should coincide with periods of  
94 favorable environmental conditions, particularly with the availability of food.

95 Several African penguin colonies are housed in zoos and aquaria worldwide for *ex-situ* conservation  
96 purposes. According to the International Species Information System ([www.isis.org](http://www.isis.org)), 2394  
97 *Spheniscus demersus* individuals live under human care (species holding data for *Spheniscus* as of  
98 September 27, 2014). The use of captive birds to monitor pollutants offers several advantages over  
99 the analysis of biotic and abiotic matrices (Falkowska et al. 2013). Of these, seabirds are considered  
100 to be among the most reliable indicators of environmental changes; with specific reference to  
101 penguins, they are particularly relevant as they occupy a high position in many marine food chains  
102 and accumulate metals and other toxic elements in their tissues at concentrations of several orders  
103 of magnitude above environmental levels (Barbieri et al. 2010). Moreover, penguins living in *ex-*  
104 *situ* colonies are confined to controlled areas and have a very special and homogeneous diet, usually  
105 limited to one or two species of commercially-available fish. Therefore, they constitute a unique  
106 opportunity to investigate sex- and age-related differences in trace elements using the feathers as a  
107 noninvasive bio-monitoring tool. Our aim was to assess whether sex and/or age can affect the  
108 accumulation of essential (arsenic, chromium, copper, iron, manganese, nickel, selenium and zinc)  
109 and non-essential (aluminum, cadmium, cobalt, lead, mercury, tin, vanadium) elements in a large  
110 captive colony of African penguins.

111

## 112 **2. Methods and Materials**

### 113 *2.1 Ethical statement*

114 This research conformed to the Ethical Guidelines for the Conduct of Research on Animals by Zoos  
115 and Aquariums (WAZA 2005), and was conducted with the approval of the Ethical Committee of

116 the Istituto Zooprofilattico Sperimentale del Piemonte Liguria e Valle d'Aosta (11168; 14 July  
117 2014). During collection of feathers, we made every effort to minimize distress to the penguins.

### 118 *2.2 Penguins and collection of samples*

119 Feathers were collected in March 2014 from a captive colony of 46 penguins housed at the biopark  
120 Zoom Torino (Cumiana, Torino; 44°56' N, 7°25' E; www.zoomtorino.it). The colony was  
121 composed of 25 adult breeders and 21 sexually immature juveniles (< 2 years old). Penguins were  
122 maintained in an outdoor communal exhibit of 1500 m<sup>2</sup>, which included a pond of 120 m<sup>2</sup>  
123 (maximum depth: 3 m). All penguins were fed with herrings (*Clupea harengus*), purchased from an  
124 animal food retailer, which had been caught in the northeast Atlantic Ocean (FAO fishing area 27).  
125 Samples of feathers up to a total weight of 0.3 - 0.5 g were cut from the back of each penguin. The  
126 cut was made with scissors between the *calamus* and the vane of the feather, in order not to cause  
127 any pain to the bird. Finally, a blood sample (1 mL) was extracted from a vein of the foot of each  
128 bird with a needle and a vacuum plastic tube (4.9 mL) with lithium heparin. After collection, all  
129 samples were stored for subsequent laboratory analyses.

### 130 *2.3 Molecular sexing*

131 Blood samples were extracted using the Pure Link<sup>TM</sup> Genomic DNA Mini Kit (Invitrogen, Grand  
132 Island, NY USA). PCR was carried out using the primers P8 and P2, previously described by  
133 Griffiths (1998). Primer P2 was labelled with Hex fluorescent dye at the 5' end, to be used with  
134 capillary gel electrophoresis. PCR was carried out in a total volume of 25 µL containing 50-60 ng  
135 of genomic DNA using HotStarTaq Qiagen (1.5 U), Buffer containing Mg<sup>2+</sup> (1.5 mM), dNTPs (0.2  
136 mM each) and the primers reported above (300 nM each). PCR was performed in a GeneAmp PCR  
137 System 9700 thermal cycler (Applied Biosystems, Life Technologies Monza, Italy). An initial  
138 activation step at 95 °C for 15 min was followed by 40 cycles of 95 °C for 30 sec, 48 °C for 30 sec,  
139 and 65 °C for 1 min. A final run of 65 °C for 5 min completed the program.

140 Each amplification product, diluted at 1:100, was added to a mix containing Rox Size Standard  
141 (Life Technologies, Grand Island, NY USA) and formamide, and then subjected to capillary

142 electrophoresis on an ABI 3130 Genetic Analyzer (Life Technologies, Grand Island, NY USA). The  
143 size of the amplification products was determined by GeneMapper software analysis. Males were  
144 characterized by just one peak at 364 bp, while females had two peaks at 364 and 380 bp.

#### 145 *2.4 Analytical methods*

146 Surface lipids and contaminants were removed from the feathers in four cleaning steps: a Triton-x-  
147 100 (0.01%) bath (for at least 4 hours), followed by one rinse with deionized water, two successive  
148 vigorous washes with methanol, and a final rinse with deionized water. Feathers were dried at 50°C  
149 until a constant weight was obtained, and were then minced. Prior to analysis, samples were divided  
150 into two sub-samples, one for mercury quantification with a Direct Mercury Analyzer (DMA-80  
151 Analyzer from Milestone, Shelton, CT, USA) and the other for detecting all the other metals by  
152 Inductively Coupled Plasma - Mass Spectrometry (ICP-MS Xseries II from Thermo Scientific,  
153 Bremen, Germany). The DMA-80 analyzer performed thermal decomposition, catalytic reduction,  
154 amalgamation, desorption and atomic absorption spectroscopy without having to pre-treat the  
155 samples, and with no waste generation. Between 0.05 g and 0.1 g of feathers, according to  
156 availability, were directly weighed on graphite shuttles and processed with an output result, for  
157 mercury content, in about 5 min (per sample).

158 Determining levels of Al, As, Cd, Co, Cr, Cu, Fe, Mn, Ni, Pb, Se, Sn, V and Zn was performed  
159 after wet digestion with acids and oxidants (HNO<sub>3</sub> and H<sub>2</sub>O<sub>2</sub>) of the highest quality grade  
160 (Suprapure). In this case, between 0.05 and 0.27 g of feathers, according to availability, and 0.10 g  
161 of pooled fish were subjected to microwave digestion (microwave oven ETHOS 1 from Milestone,  
162 Shelton, CT, USA) with 7 mL of HNO<sub>3</sub> (70% v/v) and 1.5 mL of H<sub>2</sub>O<sub>2</sub> (30% v/v). Samples were  
163 then brought to a final weight of 50 g with ultrapure water (Arium611VF system from Sartorius  
164 Stedim Italy S.p.A., Antella - Bagno a Ripoli, FI, Italy). Multi-elemental determination was  
165 performed with ICP-MS after daily optimization of instrumental parameters and using an external  
166 standard calibration curve; Radium and Germanium were used as internal standards. Analytical  
167 performances were verified by processing Certified Reference Materials (Dogfish liver -DOLT-4



168 from the National Research Council of Canada, and Oyster Tissue-SRM 1566b from the National  
169 Institute of Standard and Technology), along with blank reagents in each analytical session. The  
170 recoveries for reference materials ranged from 85 to 120% for DOLT-4 and from 82 to 117% for  
171 SRM 1566b. The limit of quantitation (LOQ) was 0.010 mg Kg<sup>-1</sup> for all elements, except for Hg  
172 that was 0.034 mg Kg<sup>-1</sup>. The selenium:mercury molar ratio was calculated as follows: for each bird  
173 class (adult males, adult females, juvenile males, juvenile females) the mercury and selenium  
174 concentrations in ng g<sup>-1</sup> (wet weight) were divided by their respective atomic weights (200.59 and  
175 789.00) to obtain the molar concentrations (nmol g<sup>-1</sup>), which allowed us to calculate the mean  
176 molar ratios. Note that this is a ratio of the mean values.

### 177 *2.5 Statistical analysis*

178 One half of the values of the respective limit of quantitation (LOQ) were substituted for those  
179 values below the limit of quantitation and used in statistical analysis. Data were tested for normality  
180 by using the Kolmogorov-Smirnov test. Since data distribution was normal, comparisons of the  
181 mean metal concentrations between age classes were carried out using a Student's *t-test*. All  
182 analyses were performed using the SPSS version 20.0 for Macintosh.

## 183 **3. Results and Discussion**

### 184 *3.1 Sex-related differences*

185 Metal concentrations in birds are highly species-specific (Burger and Gochfeld, 2000). Moreover, a  
186 few gender-related differences have been found by Burger et al. (2007) in species with sexual  
187 dimorphism in body size. In particular, females usually show higher levels of metals than males,  
188 and this suggests that the mechanism of excretion into eggs and eggshells is not very effective, or  
189 that uptake is greater (Burger et al., 2007). In our study, there were no significant sex-related  
190 differences in concentrations of essential elements, with the exception of As (Figure 1a, Table 1).  
191 Arsenic is a well-known environmental contaminant, potentially toxic even at trace levels but  
192 essential for life at very low concentrations as ultra-trace element (Cox, 1995). The concentration  
193 of arsenic was significantly higher in feathers of juvenile females than in those of juvenile males (t

194 = -2.97,  $df = 19$ ,  $p < 0.005$ ; Figure 1a), while in adults there were no significant sex-related  
195 differences. Generally, arsenic uptake is via the diet in marine animals (Kubota et al. 2001) and  
196 arsenic does not biomagnified through food chains. Accordingly, as observed for other metals that  
197 are not biomagnified, lower trophic marine animals show higher arsenic concentrations than higher  
198 trophic marine animals (Rahaman et al. 2012). Studies on As levels in high-trophic-level marine  
199 organisms are scarce, and researches are now focusing on the mechanism of As accumulation,  
200 considering its possible role as an endocrine disruptor (Georgescu et al. 2011). Lucia et al. (2010)  
201 found that female birds displayed higher As concentrations in the liver and feathers than male birds.  
202 Similarly, Taggart et al. (2006) examined As, Zn, Se, Pb and Cu levels in the livers and bones of  
203 five waterfowl species from SW Spain and found higher concentrations of As in female bones and –  
204 as in our case - higher concentrations in juveniles than in adults. As arsenic is an essential element,  
205 this difference could reflect a different physiological requirement at a particular growth stage. Egg  
206 transfer is metal specific and although it is well known that mercury is effectively transferred from  
207 females to eggs in seabirds (Robinson et al., 2012), limited information is available on the maternal  
208 transfer of arsenicals to seabird eggs. Kubota and coauthors (2002) studied the maternal transfer of  
209 arsenicals to eggs of the black-tailed gull. As composition in the eggs was similar to that in tissues  
210 of the mother bird, and the percentage of As in eggs was about 11% of that of the mother.

211 Examining sex-related differences in response to chemicals is complicated in wild birds because of  
212 the differences in niches and forage (Burger et al. 2007), as well as the difficulties in determining  
213 the sex of non-dimorphic species. Sex and age of vertebrate top predators are known to be involved  
214 in the variation of tissue trace element concentrations (Kojadinovic et al. 2007a). Metal  
215 accumulation in birds can be largely affected by contamination of the surrounding environment  
216 (Markowski et al. 2013). Top-level piscivores, such as penguins accumulate much higher levels of  
217 contaminants than birds that are lower on the food chain (Lodenius and Solonen 2013). Very few  
218 studies consider sex differences in penguins and tend to only focus on Hg (e.g. Becker et al. 2002;  
219 Frias et al. 2012). Despite being naturally occurring, Hg is a pervasive environmental contaminant

220 that negatively affects humans and wildlife. The diet and foraging ecology of penguins may play an  
221 important role in explaining feather Hg levels in some penguin species, because ingestion of food is  
222 the main route of Hg exposure in birds (Lodenius and Solonen 2013; Burger et al., 2014). In  
223 particular, males of the Gentoo penguin (*Pygoscelis papua*) in South Georgia were shown to have  
224 higher levels of Hg in their feathers than females (Becker et al. 2002). By contrast, Frias et al.  
225 (2012) found that males and females of the Magellanic penguin (*Spheniscus magellanicus*) from the  
226 Atlantic coast of Patagonia had similar median Hg levels in all age classes, although in adult males  
227 the range was greater than in adult females. The Hg levels that we found in the feathers of African  
228 penguins in this study did not differ significantly ( $p > 0.05$ ) between males and females (Table 1)  
229 and were in the range 1.30 to 2.80 mg Kg<sup>-1</sup> d.w. Our results support the hypothesis that sex-related  
230 variation in metal content does not occur in closely related penguins of the genus *Spheniscus*.  
231 Differences in diet of wild penguins may explain the variation in Hg concentrations between similar  
232 aged seabirds from different environments, while differing Hg concentrations between the sexes are  
233 thought to be a result of metabolic differences between the sexes (Frias et al. 2012). Some authors  
234 have suggested that male penguins could have more Hg than females because egg-laying may allow  
235 excretion of Hg (Becker et al. 2002; Falkowska 2013). Penguins have a unique molting pattern  
236 among birds; they renew all their feathers simultaneously, just before or just after the breeding  
237 period, and Hg concentrations in feathers represent Hg exposure in the period of time elapsed since  
238 the last molt. In fact, Hg excretion through the quill is a detoxification mechanism during  
239 premolting (Becker et al. 2002), and once the boom ends its growth, the blood transport channel  
240 atrophies leaving a permanent record until the next molt (Burger and Gochfeld 2002). There were  
241 no significant differences in Hg content between males and female in our study, although gender  
242 only seems to be of interpretive concern for species exhibiting different dietary habits between  
243 sexes (Robinson et al. 2012), and diet is very homogeneous in captive penguins.

244

### 245 3.2 Age-related differences

246 The concentrations of metals in feathers reflect the body burden at the time that the feathers are  
247 grown (Furness et al. 1986). Unlike most other avian orders, penguins have a unique molt in the  
248 year, with fasting periods of 2-3 weeks on average (Adams and Brow 1990).

249 Age is an important factor to be considered in exposure to chemicals in wildlife. Young animals  
250 could have higher exposure because they are often restricted to nesting sites or brooding sites, while  
251 adults tend to roam far from the source of contamination (Lodenius and Solonen 2013).

252 Conversely, in our study, juveniles and adults had the same patterns of exposure and we did not find  
253 age-related differences in the feathers of African penguins, except for selenium ( $t = 2.42$ ,  $df = 44$ ,  $p$   
254  $< 0.05$ ; Figure 1b). Se is a metalloid trace element that birds and other wildlife need in small  
255 amounts to maintain good health (Ohlendorf and Heinz 2009). Se levels of 3.8 to 26 mg kg<sup>-1</sup> d.w.  
256 (depending upon the species) in feathers results in mortality (Burger 1993), and 1.8 mg kg<sup>-1</sup> d.w.  
257 results in sub-lethal adverse effects (Ohlendorf and Heinz 2009). Se levels in our samples (Table 1)  
258 ranged from 2.142 (juvenile females) to 2.656 mg kg<sup>-1</sup> (adults males), and were similar or higher  
259 than those detected by Metcheva et al. (2006) in the feathers of the Gentoo Penguin, but lower than  
260 those reported by Jerez et al. (2011) in the Adélie penguin (*Pygoscelis adeliae*) and in the Chinstrap  
261 penguin (*Pygoscelis Antarctica*). The increase in Se levels with age could be due to a chronic  
262 exposure to trace elements such as Cd and Hg, since Se is also known to have a detoxifying effect  
263 on these metals. Moreover, Ralston and Raymond (2010) suggested that the selenium:mercury  
264 molar ratio is an important consideration for understanding the toxic effects of mercury. While there  
265 is consensus that an excess of mercury compared to selenium is potentially hazardous, there is no  
266 consensus about the levels of selenium deemed necessary to reduce mercury toxicity. Different  
267 authors have suggested that Se:Hg molar ratios above 1 are probably protective (Ralston et al 2008;  
268 Peterson et al. 2009). While this molar ratio has been examined in fish because of the potential  
269 protective effects to humans consuming fish, recently Burger et al. (2014) suggested that it should  
270 be protective of brain function in birds and other animals as well. In fact, the Se:Hg molar ratio has  
271 been shown to vary significantly between tissues, with the highest ratios in brain and liver and the

272 lowest in feathers (Burger et al. 2014). In the present study, the Se:Hg molar ratio was above 1,  
273 ranging from 2.51 (adult females) to 2.77 (adult males) and presumably protective for both genders  
274 (Table 2).

275

#### 276 **4. Conclusions**

277 Sex-related effects should only be examined when males and females have been directly compared  
278 under similar conditions. This unique type of experimental approach can be successfully carried out  
279 with animals living in controlled environments, where the entire colony is fed with the same  
280 identical food supply. Moreover, feathers are ideal for monitoring exposure and inferring effects  
281 because they can be sampled non-invasively with minimal stress to the birds, especially relevant to  
282 endangered species. In particular, birds with a synchronous molt are good candidates for  
283 bioindicators of metal contamination. Investigating sex- and age-related variations in environmental  
284 contaminant concentrations in seabirds has produced contrasting results. In most studies on feathers,  
285 no age-related variations were detected for several trace elements, while gender-related variations  
286 were detected in species that exhibit different dietary habits between the sexes.

287 In the captive African penguins examined, Hg content in feathers seemed to reflect the bio-  
288 magnification phenomena through the penguin's fish diet but did not show any significant  
289 relationship with sex and age. However, arsenic seems to be gender-related in juveniles, probably  
290 due to a different metabolic rate at that phase of growth. Finally, selenium concentration was shown  
291 to increase with increasing age, according to its function of contrasting the toxicity of certain  
292 metals. The concentrations of the other 13 trace elements considered appeared to be unaffected by  
293 sex or age, but the relationships between concentrations of many trace elements in internal tissues  
294 and feathers concentrations remains to be determined.

295

#### 296 **5. Acknowledgements**

297 The authors would like to thank Zoom Torino ([www.zoomtorino.it](http://www.zoomtorino.it)) and in particular Dr. Daniel

298 Sanchez, who made the work possible. We would also like to thank Dr. Sara Piga, Dr. Laura Ozella  
299 and Dr. Valentina Isaja for their help during collection of penguin feathers. The molecular sexing of  
300 African penguins was funded through the Italian Health Ministry Research Project IZS PLV 02/10  
301 RC “Surveillance on exotic animal species in zoos: implementation of guidelines for welfare  
302 evaluation in captivity and creation of an animal gene bank”.

303 We also thank the editor and the two anonymous reviewers for useful suggestions and comments on  
304 an earlier version of this manuscript.

305

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