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## **MAJOR PROGNOSTIC ROLE OF KI67 IN LOCALIZED ADRENOCORTICAL CARCINOMA AFTER COMPLETE RESECTION.**

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## Major prognostic role of Ki67 in localized adrenocortical carcinoma after complete resection

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4

## 1 **Abstract**

2 **Background:** Recurrence of adrenocortical carcinoma (ACC) even after complete (R0) resection  
3 occurs frequently.

4 **Objective:** The aim of this study was to identify markers with prognostic value for patients in this  
5 clinical setting.

6 **Design, Setting, and Participants:** From the German ACC registry 319 patients with ENSAT stage I-III  
7 were identified. As an independent validation cohort 250 patients from three European countries  
8 were included.

9 **Outcome Measurements and Statistical Analysis:** Clinical, histological and immunohistochemical  
10 markers were correlated with recurrence-free (RFS) and overall survival (OS).

11 **Results and Limitation:** While univariable analysis within the German cohort suggested several  
12 factors with potential prognostic power, upon multivariable adjustment only a few including age,  
13 tumor size, venous tumor thrombus (VTT), and the proliferation marker Ki67 retained significance.  
14 Among these Ki67 provided the single best prognostic value for RFS (HR for recurrence 1.042 per 1%  
15 increase;  $p < 0.0001$ ) and OS (HR for death 1.051;  $p < 0.0001$ ) which was confirmed in the validation  
16 cohort. Accordingly, clinical outcome differed significantly between patients with Ki67 < 10%, 10-19%,  
17 and  $\geq 20\%$  (for the German cohort: median RFS: 53.2 vs. 31.6 vs. 9.4 months; median OS: 180.5 vs.  
18 113.5 vs. 42.0 months). Using the combined cohort prognostic scores including tumor size, VTT, and  
19 Ki67 were established. Although these scores discriminated slightly better between subgroups, there  
20 was no clinically meaningful advantage in comparison to Ki67 alone.

21 **Conclusion:** This largest study on prognostic markers in localized ACC identified Ki67 as the single  
22 most important factor predicting recurrence in patients following R0 resection. Thus, evaluation of  
23 Ki67 indices should be introduced as standard grading in all pathology reports of ACC patients.

## 24 **Introduction**

1 Adrenocortical carcinoma (ACC) is a rare but aggressive tumor entity with overall poor prognosis(1-  
2 4). Response to medical treatment is limited and only recently the first randomized trial in patients  
3 with advanced disease established doxorubicin, etoposide, cisplatin plus mitotane as first-line  
4 cytotoxic therapy(5). However, this trial also demonstrated the limitations of systemic treatment  
5 with a median overall survival of only 15 months highlighting the importance of early diagnosis and  
6 appropriate initial treatment. Although strategies of surgical resection such as open or laparoscopic  
7 approaches are controversial(6-8), surgery is the mainstay of initial ACC therapy and currently  
8 provides the only realistic chance for cure of the disease. However, even after complete resection  
9 patients with ACC remain at high risk for recurrence.

10 As a response to this clinical challenge adjuvant treatment with mitotane is frequently  
11 recommended(2, 9). Although mitotane has shown significant efficacy in preventing recurrence in  
12 this setting(10), it has a wide range of side effects and impacts hormone (11-13) and drug(14)  
13 metabolism. An additional adjuvant measure is irradiation of the tumor bed, for which some(15, 16)  
14 but not all(17) studies have demonstrated efficacy in preventing local recurrence but not to prolong  
15 recurrence-free survival (RFS) or overall survival (OS). Thus, all current treatment concepts have the  
16 disadvantages of uncertain efficacy and significant toxicity. Therefore, it would be of major  
17 importance to limit these treatments to patients with high risk of recurrence which is highly variable  
18 in ACC patients(1, 18-21).

19 While histopathological scores are in use to differentiate between benign and malignant adrenal  
20 neoplasms they have not been investigated for their prognostic value. Recently, a number of  
21 molecular markers have been identified that were correlated with clinical outcome(22) and even had  
22 predictive value for treatment response(23, 24). However, the majority of applied techniques require  
23 fresh frozen tumor material and none of these markers has been evaluated in a large patient cohort.  
24 Therefore, we set out to identify prognostic factors from routine diagnostic work-up to provide  
25 guidance for adjuvant therapy after radical resection. For this purpose we took advantage of large

- 1 cohorts of ACC patients with detailed clinical and histopathological annotations within the European
- 2 network for the study of adrenal tumors (ENSAT).

3

## 1 **Patients and Methods**

### 2 **Patient selection**

3 Patients diagnosed with ACC between 1979 and 2011 were identified from the German ACC  
4 registry that fulfilled the following inclusion criteria: histologically proven ACC, and  
5 localized disease (ENSAT stage I-III(18)) after R0 resection. Resection status was judged on  
6 the basis of surgical and pathology reports. Furthermore, a minimum follow-up of 12 months  
7 was mandatory unless death occurred earlier. Two third of tumor samples of the German  
8 cohort were reviewed by the national reference pathologist (W.S.). All items required to  
9 calculate the scores suggested by Weiss, van Slooten, and Hough as well as  
10 immunohistochemical staining for Mib1 (Ki67) were evaluated. Further clinical information  
11 included in the analysis are provided in Table 1+2. Mitotane therapy was defined as adjuvant  
12 therapy with mitotane within 3 months following surgery; hormone production was recorded  
13 as any biochemically proven adrenocortical hormone excess.

14 As an independent validation cohort patients from three European countries were identified  
15 from the ENSAT ACC registry based on the same inclusion criteria as stated above. Within  
16 this cohort a subset of pre-defined clinical parameters such as age, sex, ENSAT stage (tumor  
17 size, lymph node status and VTT), endocrine activity of the tumor and adjuvant mitotane  
18 therapy as well as Ki67 were analyzed.

19 Both registries had been approved by the local ethics committees of all partaking centers and all  
20 included patients had provided written informed consent.

21

### 22 **Outcome definitions and statistical analysis**

23 The pre-specified primary endpoint of the study was RFS, which was defined as the time interval  
24 between initial surgery and the date of radiologic evidence of disease relapse, death resulting from



1 any cause or the date of last follow-up. As a secondary endpoint OS was calculated from the date of  
2 first surgery to death from any cause or the last follow-up visit. RFS and OS rates over time from  
3 initial surgery were estimated using the Kaplan-Meier method. The significance of the demographic  
4 parameters and clinical characteristics for prognosis of RFS and OS was determined by univariable  
5 and multivariable Cox regression models. As the lowest category for Ki67 <5% and for tumor size  
6 <5cm was set.

7 As for the diagnostic scores different strategies were applied to take into account limitations of  
8 individual residual analyses. Indeed, following an exploratory analysis model construction was based  
9 on stepwise, forward and backward selection using p-value criteria in the range between 0.05 and  
10 0.25. Tumor size was explored as a continuous factor and according to cut-off values. Potential cut-  
11 off values for tumor size were pre-specified at 5cm, 8cm, 11cm, 15cm, and 20cm. Furthermore, the  
12 number of factors included, the score statistic, the contribution to the change of the hazard in the  
13 Cox-model as well as differentiation of survival curve estimation by use of the predictor were  
14 considered. Martingale residual analysis was performed indicating well fitting with continuous Ki67  
15 and exploring grouping by equidistant increases of 5% or of 10%. Extensive sensitivity analyses with  
16 multivariable models including, excluding and exchanging potential factors from the first explored  
17 models were performed. Comparisons between groups were conducted applying the log rank test  
18 and presenting two-sided p-values. Estimates of median times to event and hazard ratios (HR) are  
19 provided with 95% confidence intervals (CI).

20

21

## 1 **Results**

### 2 **Patient cohorts and characteristics**

3 A total of 319 patients from the German registry and additional 250 patients from 7 European  
4 centers fulfilled the pre-specified inclusion criteria. Patient characteristics at initial diagnosis  
5 are summarized in Table 1. While tumor stage and most of other clinical items were not  
6 different between the groups, the percentage of patients on adjuvant mitotane treatment was  
7 significantly lower in the German cohort (26.3 vs. 64.8%).

8

### 9 **German cohort**

10 Univariable analyses for all presumably relevant demographic, clinical, and histopathological  
11 parameters were performed (Table 2). None of the clinical parameters showed significant correlation  
12 with both RFS and OS. Tumor stage was of prognostic value but the discrimination was not found to  
13 be sufficient for clinical guidance on adjuvant therapeutic strategies (Supplementary Figure 1).  
14 Within the large set of histological parameters only few were associated with poor clinical outcome  
15 (Table 2) and several multi-item scores were not able to predict outcome better than individual  
16 parameters. In contrast, the proliferation marker Ki67 was found to be the single most relevant  
17 predictor of disease recurrence and survival with an hazard ratio of 1.042 per 1% increase of Ki67  
18 index for recurrence ( $p < 0.0001$ ) and 1.051 for death ( $p < 0.0001$ ), respectively (Table 2). Along the  
19 same line, Ki67 indices of <10%, 10-19%, and  $\geq 20\%$  provided highly significant differences for both  
20 RFS ( $p < 0.0001$ ) and OS ( $p < 0.0001$ ) translating into a median RFS and a median OS of 53.2 [95% CI  
21 37.7; 74.7] and 180.5 [152.9; no upper limit] months for Ki67 <10%, 31.6 [21.5; 48.0] and 113.5 [64.4;  
22 153.7] months for Ki67 10-19%, and 9.4 [7.3; 13.1] and 42.0 [33.7; 56.8] months for Ki67  $\geq 20\%$ ,  
23 respectively (Figure 1A+C).

24 Upon a stepwise and a backward multivariable analysis involving all parameters with a p-value of  
25  $< 0.15$  in the univariable analysis plus adjuvant mitotane therapy and taking into account sensitivity

1 analyses and regression diagnostics, Ki67 remained the factor with the best prognostic power with a  
2 hazard ratio of 1.046 per 1% for RFS and 1.061 per 1% for OS (Table 4). When Ki67 was used as a  
3 categorical variable the corresponding HR for RFS was 1.94 [1.25-3.03; p=0.0034] for Ki67 $\geq$ 10% and  
4 2.58 [1.71-3.92; p<0.0001] for Ki67 $\geq$ 20% and for OS 3.69 [1.75-7.77; p=0.0006] for Ki67 $\geq$ 10% and  
5 3.59 [1.99-6.48; p<0.0001] for Ki67 $\geq$ 20%. Notably, no other histological parameter retained its  
6 relevance when the analysis was adjusted for Ki67. While age, tumor size, and VTT had some  
7 association with clinical outcome none of these factors showed a similar prognostic power for both  
8 RFS and OS as Ki67.

9 Of note, adjuvant treatment with mitotane was not significantly associated with clinical outcome in  
10 univariable analysis, but after multivariable adjustment a trend for longer RFS was detectable (HR  
11 0.71; p=0.087) and it became a significant factor for OS (HR 0.41; p=0.009, Table 4).

12

### 13 **European validation cohort**

14 Following these observations we investigated a defined sub-set of clinical and histopathological  
15 parameters within the European validation cohort. Comparable to the German cohort the  
16 proliferation marker Ki67 provided the best prognostic value for prediction of RFS and OS,  
17 respectively (Table 3 and Figure 1B+D). Following multivariable analysis Ki67 retained highly  
18 significant association with RFS and OS with a hazard ratio for recurrence of 1.020 [1.010-1.029] and  
19 for overall survival of 1.026 [1.013-1.039] per 1% increase, respectively (Table 4). Similar to the  
20 German cohort, age tumor size and the presence of VTT harbored none or only minor prognostic  
21 value (Table 4).

22

### 23 **Establishment of a prognostic score**

1 In a next step, we aimed at the development of a prognostic score for further clinical guidance in the  
2 management of patients with ACC after complete resection. For this purpose, we applied two  
3 different models using the pooled data of both cohorts (n=569). Both algorithms included the  
4 following 3 risk factors (RF): Ki67, tumor size between 15 and 20 cm and presence of VTT.

5 In the first, basic model Ki67 was regarded as one RF for  $Ki67 \geq 10\%$  and as a second RF for  $Ki67 \geq 20\%$ .  
6 Either tumor size of 15-20cm or presence of VTT was accounted as one combined RF. Each of these 3  
7 RF was counted as 1 point in the prognostic score, which resulted in 4 groups (0-3 RF) with different  
8 outcome. However, applying this score, estimated RFS and OS of the different risk groups by Kaplan-  
9 Meier analysis provided no clinically meaningful separation between the two groups with the highest  
10 scores which were therefore combined (Figure 2B, Supplementary Figure 2B). In the next model the  
11 same RF were weighted individually according to their prognostic power and specifically for RFS. This  
12 second modeling resulted in a slightly improved risk prediction and allowed for differentiation in  
13 more subgroups (Figure 2C, Supplementary Figure 2C).

14

## 1 Discussion

2 This largest study on prognostic factors in localized ACC provides strong evidence that Ki67 index is  
3 the most powerful tool of all parameters analyzed in this study to predict recurrence in two  
4 independent cohorts of patients after complete surgical resection. Likewise, OS was also strongly  
5 associated with the Ki67 index. Following multivariable analysis including age, tumor stage, adjuvant  
6 mitotane treatment and all standard histological parameter used in ACC, the Ki67 index retained its  
7 outstanding prognostic power. Importantly, these results initially obtained from a German cohort  
8 could be validated in an independent European sample. In a next step, we aimed at the  
9 establishment of clinical risk scores. Although both applied models including the parameters Ki67,  
10 tumor size, and presence of VTT were able to discriminate patient cohorts with different clinical  
11 outcome, the added value of these scores in comparison to the use of Ki67 alone was modest. Thus,  
12 Ki67 is obviously the best factor to establish a grading system in ACC with Ki67 <10% defining grade 1  
13 tumors, Ki67 10-19% grade 2 and Ki67 ≥20% grade 3 tumors.

14

15 ENSAT stage III has been defined by the presence of positive lymph nodes, tumor infiltration in  
16 surrounding tissue or the presence of VTT, while stage IV is restricted to patients with distant  
17 metastasis(18). This system which had been independently validated(25) performs well over the  
18 whole spectrum of ACC patients to predict overall prognosis. However, as we demonstrate herein  
19 within the pre-selected patient group with localized disease following complete surgical resection the  
20 ENSAT staging system seems to be of limited relevance. The reason for this lack of prognostic power  
21 is most likely due to the fact that stage III usually comes with a relatively high risk of incomplete  
22 resection. In fact, according to a German(26) and an U.S. series(20) this accounts for about 10% of  
23 patients with localized ACC. However, these cases as well as those with uncertain resection status  
24 were excluded from our analysis, because the high risk for recurrence in these patients is obvious.

1 Another initially surprising result is the fact that the outcome of patients with a tumor size >20 cm  
2 was better than of those with smaller tumors. However, as described above, this series is a highly  
3 selected cohort and very large tumors that are still resectable and not metastasized at the time of  
4 surgery might indeed represent a subgroup of tumors with specific biological behavior.

5 To appreciate the quite variable outcome even in the well defined subgroup of completely resected  
6 patients, additional parameters with prognostic value need to be taken into consideration. Recently,  
7 inclusion of tumor grading on the basis of mitotic counts has been proposed to improve the  
8 prediction of prognosis(27). Accordingly, in our two independent cohorts, quantification of Ki67 as a  
9 well defined marker of cellular proliferation provided additional prognostic information with relevant  
10 clinical impact. This is in good agreement with earlier studies which had suggested Ki67 as a marker  
11 with prognostic value in ACC patients(28-31). While these series were small and the overall results  
12 therefore not consistent, based on the current results, we strongly suggest tumor grading based on  
13 three categories of a Ki67 index <10%, 10-19% and above 20%.

14

15 Ki67 index in our analysis proved to be superior to different histological scores such as those  
16 proposed by Weiss, van Slooten or Hough that are currently in clinical use for the differential  
17 diagnosis of adrenal tumors. The reason for this finding probably relates to the fact that a number of  
18 sub-items required for these scores such as atypical mitoses, abnormal nucleoli and nuclear atypia  
19 had no prognostic potential or were even associated with a trend towards better outcome. This does  
20 not question the overall applicability of the scores to discern between benign adrenal adenomas and  
21 ACC for which purpose they had been originally proposed. However, the findings highlight the  
22 limitation of the scores as prognostic tools for this particular group of patients and fuels speculation  
23 whether these sub-items are of particular importance for the scores. Along the same line, some  
24 pathologists have argued for a simplified Weiss score that bases on the more reliable criteria only(32,  
25 33).

1

2 The effect of mitotane on clinical outcome was surprisingly different between the German cohort  
3 and the validation cohort. Due to the retrospective nature of our study we can only speculate about  
4 the underlying reasons for this difference. However, the fact that in the German series only 84 out of  
5 319 patients (26%) were treated with mitotane in comparison to 65% in the validation series already  
6 point towards a general difference in therapeutic policies. One explanation for this discrepancy is  
7 probably related to the time interval of patient inclusion. Two third of the German patients were  
8 diagnosed before 2007, when the land-mark study on the adjuvant usage of mitotane had been  
9 published(10), whereas the non-German cohorts were recruited mostly after that time period.  
10 However, this observation could also be interpreted as another hint that not all patients will benefit  
11 from mitotane treatment and prospective trials are required to provide reliable answers.

12

13 In variance to recent studies in the current cohort no relevant adverse effect of steroid excess on RFS  
14 and OS was found. One of the differences that are present in the current publications refer to the  
15 cohorts. While in a recent manuscript by Berruti and colleagues (34) some overlap with our study is  
16 present adding patients from North America might have contributed to the observed differences. In  
17 fact, in two studies from single US centers (35, 36) both find Cushing's syndrome as a marker of poor  
18 prognosis. In addition – and probably more importantly - while in our study hormone excess was  
19 defined by biochemical means, in the published studies this was judged on a clinical basis.

20

21 Our study has obvious limitations as a result of its non-randomized design, in which multiple factors  
22 may have led to different treatment decisions in individual patients. These limitations are shared by  
23 other studies that have investigated prognostic factors in ACC patients (for details see Suppl. Table  
24 1). Furthermore, variability of Ki67 index evaluation at different clinical centers is to be expected.

1 This refers to pre-analytic variations, usage of different antibodies and staining reagents as well as  
2 quantification underestimating tumor heterogeneity.

3 Despite these limitations the high number of patients as well as the inclusion of an independent  
4 validation cohort underscores the overall robustness of the reported findings. The results could have  
5 immediate impact on the clinical decision for or against adjuvant treatment options: even after  
6 complete resection patients with high Ki67 index have a high likelihood to suffer from recurrent  
7 disease, thereby calling for a more aggressive therapeutic course. In contrast, patients with a low  
8 Ki67 index are likely to have a less favorable risk/benefit ratio of adjuvant treatment considering its  
9 substantial toxicity. Whether or not mitotane is the appropriate treatment particularly for tumors  
10 with high proliferation rate remains open. At least part of this question will be answered by the  
11 ongoing ADIUVO trial (mitotane vs. observation in low grade tumors after R0 resection; [www.adiuvo-](http://www.adiuvo-trial.org)  
12 [trial.org](http://www.adiuvo-trial.org))

13

#### 14 **Conclusions**

15 In conclusion, in this study analyzing multiple potential prognostic markers in two independent  
16 cohorts of 568 patients with completely resected ACC Ki67 emerged as the single most important  
17 factor predicting recurrence and should be part of any pathology report of ACC to provide tumor  
18 grade. This finding will further guide the management of patients with this rare disease.

19

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4

1 **Table 1:** Baseline characteristics of the two patient cohorts

|   | n   | German cohort<br>(n=319)                           | n   | Validation cohort<br>(n=250)                       |
|---|-----|--|-----|--|
| Age   | 319 | 46.3 (0.4-83.6)                                    | 250 | 46.7 (9.1-83.0)                                    |
| Sex   | 319 | 207 females, 112 males                             | 250 | 162 females, 88 males                              |
| Median tumor size (cm)                      | 318 | 10.0 (2.3-40.0)                                    | 248 | 11.0 (2.0-30.0)                                    |
| ENSAT stage (18)                            | 319 | I: 27 (8.5%)<br>II: 202 (63.3%)<br>III: 90 (28.2%) | 245 | I: 22 (9.0%)<br>II: 156 (63.7%)<br>III: 67 (27.3%) |
| Median Ki67 index (%)                       | 223 | 10 (1-60)  | 239 | 10 (0-82)  |
| Adjuvant mitotane therapy                   | 319 | 84 (26.3%)   | 219 | 142 (64.8%)  |
| Adjuvant radiation                          | 313 | 30 (9.6%)  | 250 | 7 (2.8%)   |
| History of recurrence                       | 319 | 206 (64.6%)  | 250 | 135 (78.4%)  |
| Median follow-up of alive patients (months) | 205 | 43.7   | 162 | 69.8   |
| Death from any cause                        | 319 | 114 (35.7%)  | 250 | 88 (35.2%)   |
| Death from ACC                              | 319 | 100 (31.3%)  | 250 | 65 (26.0%)   |

2

3

1 **Table 2:** Univariable analysis (Cox regression) of the German cohort (n=319)

| Factor [unit]                      | N of 319 | N+  | RFS    |                |         | OS     |                |         |
|------------------------------------|----------|-----|--------|----------------|---------|--------|----------------|---------|
|                                    |          |     | events | hazard ratio   | p-value | events | hazard ratio   | p-value |
| Age [years]                        | 319      |     | 218    | 1.010 per year | 0.0132  | 114    | 1.008 per year | 0.1330  |
| Tumor size [cm]                    | 318      |     | 218    |                |         | 114    |                |         |
| ≥5                                 |          | 293 |        | 1.276          | 0.3366  |        | 1.550          | 0.2332  |
| ≥8                                 |          | 234 |        | 1.590          | 0.0047  |        | 1.270          | 0.2853  |
| ≥11                                |          | 151 |        | 1.377          | 0.0189  |        | 1.153          | 0.4493  |
| ≥15                                |          | 68  |        | 1.477          | 0.0150  |        | 1.491          | 0.0652  |
| ≥20                                |          | 18  |        | 0.819          | 0.5217  |        | 0.595          | 0.3087  |
| Tumor size 8-20 cm                 | 318      | 216 | 218    | 1.589          | 0.0025  | 114    | 1.381          | 0.1296  |
| Tumor size 11-20 cm                | 318      | 133 | 218    | 1.450          | 0.0066  | 114    | 1.268          | 0.2081  |
| Tumor size 15-20cm                 | 318      | 50  | 218    | 1.777          | 0.0009  | 114    | 1.900          | 0.0048  |
| Infiltration in surrounding tissue | 266      | 58  | 179    | 1.236          | 0.2239  | 101    | 1.468          | 0.0823  |
| Invasion in adjacent organ         | 271      | 11  | 183    | 1.601          | 0.0080  | 105    | 1.289          | 0.5838  |
| Lymph node positivity              | 250      | 20  | 171    | 2.173          | 0.0020  | 97     | 1.846          | 0.0569  |
| Presence of venous tumor           | 306      | 25  | 210    | 1.441          | 0.1215  | 112    | 1.742          | 0.0409  |

|                                     |     |     |     |              |         |     |              |         |
|-------------------------------------|-----|-----|-----|--------------|---------|-----|--------------|---------|
| thrombus in renal vein or vena cava |     |     |     |              |         |     |              |         |
| ENSAT stage                         | 319 |     | 218 |              |         | 114 |              |         |
| II                                  |     |     |     | 1.257        | 0.3885  |     | 1.290        | 0.5002  |
| II or III                           |     |     |     | 1.780        | 0.0373  |     | 2.155        | 0.0449  |
| Glucocorticoid secretion            | 184 | 101 | 125 | 1.115        | 0.5512  | 65  | 0.715        | 0.1889  |
| Adjuvant mitotane                   | 319 | 84  | 218 | 0.855        | 0.3402  | 114 | 0.650        | 0.1038  |
| Ki67 [%]                            | 223 |     | 122 |              |         | 69  |              |         |
| ≥5                                  |     | 184 |     | 2.616        | 0.0002  |     | 4.417        | 0.0015  |
| ≥10                                 |     | 139 |     | 2.743        | <0.0001 |     | 5.322        | <0.0001 |
| ≥15                                 |     | 82  |     | 2.810        | <0.0001 |     | 4.955        | <0.0001 |
| ≥20                                 |     | 69  |     | 3.526        | <0.0001 |     | 5.595        | <0.0001 |
| ≥25                                 |     | 37  |     | 3.050        | <0.0001 |     | 4.320        | <0.0001 |
| Ki67 [%]                            | 223 |     | 143 | 1.042 per 1% | <0.0001 | 69  | 1.051 per 1% | <0.0001 |
| Weiss Score ≥5 <sup>#</sup>         | 199 | 143 | 138 | 1.435        | 0.0638  | 70  | 1.155        | 0.5810  |
| Mitotic count >5/50HPF              | 220 | 154 | 149 | 1.647        | 0.0088  | 77  | 1.357        | 0.2410  |
| Nuclear atypia                      | 238 | 193 | 162 | 0.675        | 0.0461  | 86  | 0.703        | 0.1877  |
| Atypical mitoses                    | 227 | 60  | 154 | 0.927        | 0.6819  | 80  | 0.897        | 0.6760  |
| Clear cells <25 %                   | 201 | 183 | 139 | 2.825        | 0.0049  | 70  | 1.650        | 0.2822  |
| Diffuse architecture                | 209 | 169 | 141 | 1.648        | 0.0297  | 73  | 0.834        | 0.5157  |

|                                      |     |     |     |       |        |    |       |        |
|--------------------------------------|-----|-----|-----|-------|--------|----|-------|--------|
| Venous invasion                      | 213 | 91  | 147 | 1.758 | 0.0007 | 75 | 1.669 | 0.0286 |
| Sinusoidal invasion                  | 210 | 123 | 146 | 1.271 | 0.1594 | 74 | 0.988 | 0.9574 |
| Capsular invasion                    | 271 | 142 | 182 | 1.343 | 0.0489 | 99 | 1.252 | 0.2694 |
| Necrosis                             | 234 | 189 | 159 | 1.633 | 0.0226 | 83 | 1.830 | 0.0626 |
| Hough score $\geq$ 3.23 <sup>#</sup> | 189 | 96  | 134 | 1.390 | 0.0001 | 68 | 1.065 | 0.5869 |
| Vascular invasion                    | 273 | 176 | 187 | 1.462 | 0.0152 | 98 | 1.297 | 0.2289 |
| Fibrous bands                        | 204 | 121 | 140 | 1.270 | 0.1696 | 69 | 1.275 | 0.372  |
| van Slooten score <sup>#</sup>       | 189 | 96  | 134 | 1.027 | 0.0576 | 68 | 1.002 | 0.9181 |
| Mitotic count >2/10HPF               | 220 | 110 | 149 | 1.828 | 0.0003 | 77 | 1.383 | 0.1603 |
| Nuclear hyperchromasia               | 231 | 157 | 159 | 0.876 | 0.4347 | 83 | 0.820 | 0.3891 |
| Abnormal nucleoli                    | 223 | 92  | 155 | 0.804 | 0.1803 | 81 | 0.980 | 0.9275 |

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2 <sup>#</sup> the cutoff for these scores were set as the median.

3 N+, number of patients that fulfilled the given criterion.

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1 **Table 3:** Univariable analysis (Cox regression) of the validation cohort (n=250)

| Factor [unit]                                      | RFS      |     |        |                |         | OS       |     |        |                |         |
|--|----------|-----|--------|----------------|---------|----------|-----|--------|----------------|---------|
|  | N of 250 | N + | events | hazard ratio   | p-value | N of 250 | N + | events | hazard ratio   | p-value |
| Age [years]  | 247      |     | 145    | 1.007 per year | 0.2917  | 249      |     | 87     | 0.996 per year | 0.6151  |
| Tumor size [cm]                                    | 245      |     | 144    |                |         | 247      |     | 86     |                |         |
| ≥5   |          | 230 |        | 1.344          | 0.4803  |          | 232 |        | 0.576          | 0.2348  |
| ≥8   |          | 195 |        | 2.034          | 0.0051  |          | 197 |        | 1.305          | 0.3790  |
| ≥11  |          | 131 |        | 1.772          | 0.0012  |          | 133 |        | 1.408          | 0.1287  |
| ≥15  |          | 64  |        | 1.245          | 0.2268  |          | 66  |        | 1.344          | 0.1907  |
| ≥20  |          | 21  |        | 0.984          | 0.9540  |          | 23  |        | 0.849          | 0.6426  |
| Tumor size 8-20 cm                                 | 245      | 174 | 144    | 1.658          | 0.0111  | 247      | 174 | 86     | 1.302          | 0.2863  |
| Tumor size 11-20 cm                                | 245      | 110 | 144    | 1.760          | 0.0008  | 247      | 110 | 86     | 1.483          | 0.0690  |
| Tumor size 15-20cm                                 | 245      | 43  | 144    | 1.361          | 0.1356  | 247      | 43  | 86     | 1.621          | 0.0512  |
| Infiltration in surrounding tissue                 | 195      | 41  | 124    | 1.100          | 0.6534  | 195      | 41  | 69     | 0.982          | 0.9500  |
| Lymph node positivity                              | 164      | 9   | 100    | 1.060          | 0.8923  | 164      | 9   | 54     | 2.027          | 0.1058  |
| Presence of venous tumor thrombus in renal vein or | 195      | 23  | 124    | 2.207          | 0.0012  | 195      | 23  | 69     | 2.024          | 0.0237  |

|                   |     |     |     |              |         |     |     |    |              |         |
|-------------------|-----|-----|-----|--------------|---------|-----|-----|----|--------------|---------|
| vena cava         |     |     |     |              |         |     |     |    |              |         |
| ENSAT stage       | 242 |     | 141 |              |         | 244 |     | 84 |              |         |
| II                |     |     |     | 1.342        | 0.4291  |     |     |    | 0.540        | 0.1356  |
| II or III         |     |     |     | 2.675        | 0.0099  |     |     |    | 1.389        | 0.4268  |
| Ki67 [%]          | 236 |     | 137 |              |         | 238 |     | 84 |              |         |
| ≥5                |     | 151 |     | 2.715        | <0.0001 |     | 151 |    | 1.925        | 0.0070  |
| ≥10               |     | 126 |     | 2.734        | <0.0001 |     | 126 |    | 2.164        | 0.0009  |
| ≥15               |     | 88  |     | 3.015        | <0.0001 |     | 88  |    | 2.835        | <0.0001 |
| ≥20               |     | 74  |     | 2.751        | <0.0001 |     | 74  |    | 2.866        | <0.0001 |
| ≥25               |     | 47  |     | 2.667        | <0.0001 |     | 47  |    | 2.355        | 0.0005  |
| Ki67 [%]          | 236 |     | 137 | 1.024 per 1% | <0.0001 | 238 |     | 84 | 1.023 per 1% | <0.0001 |
| adjuvant mitotane | 218 | 142 | 133 | 1.095        | 0.6209  | 218 | 142 | 77 | 1.054        | 0.8226  |

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2 N+, number of patients that fulfilled the given criterion.

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1 **Table 4:** Multivariable analysis (Cox regression) of the most relevant factors for recurrence-free and overall survival\*

|  | Recurrence-free survival |              |              |                   |                   |              |             |                   |
|--|--------------------------|--------------|--------------|-------------------|-------------------|--------------|-------------|-------------------|
|  | German cohort            |              |              |                   | Validation cohort |              |             |                   |
| Factor [unit]  | N+                       | hazard ratio | 95% CI       | p-value           | N+                | hazard ratio | 95% CI      | p-value           |
| Age [years]  |                          | 1.013        | 1.004-1.022  | <b>0.0054</b>     |                   | 1.001        | 0.986-1.016 | 0.8961            |
| Tumor size 15 – 20 cm  | 34                       | 1.601        | 1.033-2.480  | <b>0.0354</b>     | 38                | 1.369        | 0.876-2.138 | 0.1678            |
| Presence of venous tumor thrombus in renal vein or vena cava | 15                       | 1.327        | 0.724-2.432  | 0.3599            | 23                | 1.828        | 1.111-3.008 | <b>0.0176</b>     |
| Ki67 [%]   |                          | 1.046        | 1.033-1.059  | <b>&lt;0.0001</b> |                   | 1.020        | 1.010-1.029 | <b>&lt;0.0001</b> |
| Adjuvant mitotane  | 63                       | 0.705        | 0.473-1.052  | 0.0867            | 117               | 0.966        | 0.654-1.426 | 0.817             |
|  | Overall survival         |              |              |                   |                   |              |             |                   |
|  | German cohort            |              |              |                   | Validation cohort |              |             |                   |
| Factor [unit]  | N+                       | hazard ratio | 95% CI       | p-value           | N+                | hazard ratio | 95% CI      | p-value           |
| Age [years]  |                          | 1.014        | 1.001-1.028  | <b>0.0314</b>     |                   | 0.990        | 0.970-1.010 | 0.3325            |
| Tumor size 15 – 20 cm  | 34                       | 1.192        | 0.632-2.,251 | 0.5872            | 38                | 1.830        | 1.070-3.128 | <b>0.0273</b>     |



|  |    |       |             |                   |  |     |       |             |                   |
|--|----|-------|-------------|-------------------|--|-----|-------|-------------|-------------------|
| Presence of venous tumor thrombus in renal vein or vena cava | 15 | 2.141 | 1.075-4.265 | <b>0.0303</b>     |  | 23  | 1.438 | 0.762-2.712 | 0.2622            |
| Ki67 [%]   |    | 1.061 | 1.044-1.079 | <b>&lt;0.0001</b> |  |     | 1.026 | 1.013-1.039 | <b>&lt;0.0001</b> |
| Adjuvant mitotane  | 63 | 0.410 | 0.211-0.797 | <b>0.0086</b>     |  | 117 | 0.804 | 0.482-1.343 | 0.4053            |

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2 \* the analyses include only patients for whom all parameters were available (n=214 for the German cohort, of whom 139 experienced recurrence and 114 died  
 3 and n= 181 with 114 recurrences and 65 deaths for the validation cohort)

4 N+, number of patients that fulfilled the given criterion.

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1 **Supplemental Table 1: Overview on recently published cohorts of patients with adrenal cancer**

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| <b>Study</b>            | <b>Cohort size</b> | <b>Description</b>  | <b>Remarks</b>  |
|-------------------------|--------------------|---|---|
| Terzolo 2007 (10)       | 177                | Patients after radical surgery with or without adjuvant mitotane therapy  | Partial overlap of patient cohorts. Study includes patients also with uncertain restriction status              |
| Berruti 2014 (34)       | 524                | Patients after R0 resection investigated for the prognostic value of clinically overt Cushing's syndrome for OS and RFS           | Partial overlap of patient cohorts. Study with the primary aim to assess cortisol excess as a prognostic factor |
| Else 2014 (36)          | 391                | Prognostic value present for cortisol excess, tumor stage, tumor grade (on the basis of mitotic count lower/higher 20 per 50 HPF) | No overlap of patient cohorts. Cohort including patients of all stages irrespective of resection status         |
| Ayala-Ramirez 2014 (35) | 330                | Prognostic value for RFS present for surgical margins, and disease stage  | No overlap of patient cohorts. Cohort including patients of all stages irrespective of resection status         |

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1 **Figure 1:** Kaplan-Meier analysis of Ki67 index on recurrence free survival (A+B) and overall survival  
2 (C+D) of the German cohort (A+C) and the validation cohort (B+D), respectively.

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1 **Figure 2:** Kaplan-Meier analyses for recurrence free survival on the complete cohort with Ki67 only ( $\geq 10\%$  and  $\geq 20\%$ , A), and based on a basic risk score (Ki67  
2 10-20%, 1 point; Ki67  $>20\%$ , 2 points; tumor size 15-20 cm or presence of venous tumor thrombus, 1 point, B), and weighted risk score (Ki67 per 1%, presence  
3 of venous tumor thrombus or tumor size 15-20cm, C), respectively.

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1 **Supplemental Figure 1:** Kaplan-Meier analysis of ENSAT stage on recurrence free survival (A+B) and  
2 overall survival (C+D) for the German (A+C) and the validation cohort (B+D), respectively.

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1 **Supplemental Figure 2:** Kaplan-Meier analyses for overall survival on the complete cohort with Ki67 only ( $\geq 10\%$  and  $\geq 20\%$ , A), and based on a basic risk score  
2 (Ki67 10-20%, 1 point; Ki67  $> 20\%$ , 2 points; tumor size 15-20 cm or presence of venous tumor thrombus, 1 point, B) and weighted risk score (Ki67 $\geq 10\%$ ,  
3 Ki67 $\geq 20\%$ , presence of venous tumor thrombus or tumor size 15-20cm, C), respectively.

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