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1 **The importance of comparative oncology in translational medicine**

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3 Federica Riccardo¹, Luigi Aurisicchio², Joseph Impellizeri³, Federica Cavallo¹

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5 ¹Department of Molecular Biotechnologies and Health Sciences, Molecular Biotechnology
6 Center, University of Turin, Turin, Italy

7

8 ²Takis s.r.l., Rome, Italy

9

10 ³DVM, DACVIM, MRCVS, Veterinary Oncology Services, New York, USA

11

12 Corresponding author:

13 Federica Cavallo

14 University of Torino

15 Molecular Biotechnology Center

16 Via Nizza, 52

17 10126, Torino

18 Italy

19 e-mail: federica.cavallo@unito.it

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1 **Abstract**

2 Human cancer is complex to such an extent that *in vivo* pre-clinical models are needed if
3 effective therapies are to be developed. Naturally occurring cancers in companion animals are
4 therefore a great resource, as shown by the remarkable growth that comparative oncology
5 has seen over the last 30 years. Cancer is a leading cause of death in companion animals now
6 that more pets are living long enough to develop the disease, while more owners are seeking
7 advanced and novel therapies for them as they are very much considered family members.
8 Living in the same environments, pets and humans are often afflicted by the same types of
9 cancer which show similar behavior and, in some species, express the same antigen
10 molecules. The treatment of pet tumors using novel therapies is of compelling translational
11 significance.

12
13 **Précis**

14 Cancer therapeutics has been limited to translational murine models for decades. Naturally
15 occurring pet cancer models may provide more accurate treatment assessment and expedite
16 approval thus furnishing potential benefit to all species battling cancer.

17
18 **Keywords**

19 Cancer models; canine tumors; tumor associated antigens; immunotherapy

1 **The complexity of human cancer requires the use of animal models**

2 *Cancer models: from the in vitro study of tumor cell lines to in vivo murine models*

3 Cancer is a complex biological process through which a normal cell acquires, step by step, new
4 capabilities that cause its transformation into a tumorigenic and eventually malignant cell. An
5 understanding of this biological complexity has spurred the development of increasingly
6 comprehensive experimental models (Figure 1).

7 For many years, the study of cancer cell lines has been the elective experimental model
8 (Figure 1a) and a valuable tool for investigating many aspects of cancer biology, such as
9 genetic, epigenetic and cellular pathway alteration, deregulation of proliferation and
10 apoptosis, and for the testing therapeutic drugs (1, 2). Nevertheless, heterotypic interactions
11 between the tumor and the multiple distinct cell types of the microenvironment, including
12 immune cells, are missing in these *in vitro* studies. The microenvironment evolves in response
13 to tumor survival adaptation, thereby enabling primary, invasive and potentially metastatic
14 growth (3). This dynamic reciprocity between tumor cells and their environment (4) sculpts
15 the hallmarks of cancer and poses additional challenges in the design of appropriate
16 experimental models.

17 A relatively easy solution is found in injecting transplantable cancer cell lines into syngeneic
18 or immunodeficient mice (5) (Figure 1b). These transplantable models can be standardized
19 and provide reproducible data, but are highly artifactual. They allow the three-dimensional
20 growth of tumors and their direct interaction with the stromal microenvironment to be
21 studied (6). However, they distort the architectural and cellular complexity of real cancers, as
22 transplanted cells are already transformed and are injected in sufficient number to give rise to
23 a tumor in a young and healthy host (7). Tumor cells are typically implanted subcutaneously,
24 but the implantation into the organ of origin mimics human cancer behavior and the
25 microenvironment more closely. Experimental results generated by orthotopic models are
26 therefore expected to be of higher relevance (8).

27 A further evolution in transplantable models is found in patient-derived xenografts (PDX)
28 (Figure 1b). These represent the heterogeneity of human cancers and take into account the
29 natural history of the tumors and/or patients, as regards to 1) inter-patient variability, 2) the
30 diversity of tumor cells with respect to the molecular profile and sensitivity to a specific agent
31 and 3) intra-tumor heterogeneity. These xenografts derive directly from patient samples,
32 without *in vitro* manipulation, and provide a more accurate representation of the biological

1 features of human tumors. Moreover, several groups have established disease-specific
2 xenograft panels directly from patient tumors that might better reflect a clinical response (9),
3 being of help for the choice of the most appropriate drug to be used for that patient. This
4 represents an important step forward personalized medicine but not without pitfalls. The
5 mouse one little by little replaces the implanted patient stroma, while the mouse immune
6 system is not functional and both these aspects could affect the translational value of the
7 results. Moreover the entire strategy of implantation of the patient tumor in mice (not always
8 successful) and of drugs testing may take longer becoming a race against the time for the
9 patient (10).

10 The predictive utility of tumor models depends on the fidelity with which they recapitulate
11 the entire evolution of the disease, including the interaction between the tumor and the
12 immune system, the inherent angiogenic process, tumor-associated fibroblast infiltration and
13 additional stromal components (11). Genetically modified mice (GEM) which have been
14 engineered to express oncogenes, or in which tumor suppressors have been disrupted, and
15 that spontaneously develop tumors are a good step forward (5) (Figure 1c). The relationships
16 between the tumor and the surrounding tissues are preserved, while the progression of
17 carcinogenesis may mimic what is observed in humans (12). The advent of GEM has
18 revolutionized preclinical cancer research and several successful preclinical results have been
19 achieved in different GEM models. Nevertheless, GEM are not devoid of pitfalls: tumor
20 penetrance is not always complete, meaning very large experimental groups must be used.
21 Tumor formation takes longer than in transplantable tumors, thus greatly extending the
22 period of experimental observation; transgene expression is usually under the control of a
23 heterologous promoter, leading to non-physiologic transgene expression throughout the
24 tissue(s) where the promoter is activated and for the mouse entire life is (10). This may
25 influence the tumor microenvironment and the immune response to the transgene product
26 itself (13, 14). Mouse models that conditionally express a particular oncogene, in a tissue-
27 specific and time-controlled manner, provide new opportunities to gain insight into the
28 development and treatment of cancer. These conditional mice allow for the study of malignant
29 transformations in the context of an appropriate, non-mutated microenvironment which
30 more faithfully mimics the sporadic nature of human tumors (15-17).

31 An accurate predictive tumor model should simulate human therapeutic responses and the
32 evolution of resistance. As a consequence, xenografts in mice carrying the human immune
33 system have been proposed as an interesting pre-clinical model for the *in vivo* study of the

1 complex interaction between human tumors and the human immune system. Highly
2 immunodeficient mice and transgenic animal models for human factors have been developed
3 and used to generate “humanized mice” (5) (Figure 1b). However, most existing humanized
4 mouse models cannot develop human innate immune cells, including myeloid cells and NK
5 cells. Two mouse strains, MITRG and MISTRG, have been recently described in which four
6 human genes which encode cytokines and that are important for innate immune cell
7 development are knocked in their respective mouse loci (18). Human cytokines facilitate the
8 development and function of monocytes, macrophages and NK cells that are derived from
9 human fetal liver or adult CD34⁺ progenitor cells transplanted into the mice. Human
10 macrophages infiltrate human tumor xenografts in a manner resembling that of tumors
11 obtained from human patients. The generation of Class I and Class II HLA transgenic NOG
12 mice is an exciting advance in humanized mice for the study of T cell responses to tumor
13 associated antigens (TAAs). The expression of Class I and II HLA should ultimately provide the
14 chance to pre-clinically evaluate tumor vaccination strategies in which both the generation of
15 MHC restricted tumor-specific T cells and their therapeutic effect on tumor growth can be
16 determined.

17 *Large animal models, like non-human primates, allow for the study of the immune response but*
18 *not cancer*

19 While studies in rodent models offer the advantages of testing the potency and therapeutic
20 efficacy of cancer immunotherapies or vaccines, they cannot predict efficacy when doses are
21 scaled-up for human patients, particularly when dealing with self-tumor antigens and
22 immune tolerance. Human and mouse immune systems show discrepancies in both innate
23 and adaptive immunity, including leukocyte subset balance, defensins, Toll like receptors,
24 inducible NO synthase, NK inhibitory receptors, FcR, Ig subsets, some B cell and T cell
25 signaling pathway components, $\gamma\delta$ T cells, cytokines and cytokine receptors, Th1/Th2
26 differentiation, costimulatory molecule expression and function, antigen-presenting function
27 of endothelial cells, chemokine and chemokine receptor expression (19). This limitation can
28 be overcome by testing vaccination regimens in large animals with immune systems which
29 are more similar to those in humans, such as in nonhuman primates (NHPs) (Figure 1d). NHPs
30 such as macaques are valid models to determine the safety and immunogenicity of candidate
31 vaccines that are being developed (27). Their immune response is similar to that of humans,
32 and within the past two decades numerous immunogenicity studies have used NHPs to test
33 pre-clinical candidate vaccines consisting of bacterial or viral recombinant proteins. Other

1 studies have tested human proteins or TAA encoding vectors (28, 29). Although human and
2 NHPs proteins share high homology, the resulting immune response may not reflect outcomes
3 in humans, since the antigen may be recognized as a non-self protein. To assess the impact of
4 a vaccination strategy in breaking immune tolerance, we have cloned rhesus orthologue TAA
5 genes to generate genetic cancer vaccines (20). We have also determined how important
6 single nucleotide polymorphisms are in breaking immune tolerance to a self-antigen like
7 HER2/neu (21).

8 NHPs carry with them two important limitations: 1) cost: in general, only pharmaceutical
9 companies or large research institutes can afford the expensive studies associated with these
10 animals; 2) lack of efficacy: NHPs allow the immunologic assessment of a cancer vaccine to be
11 carried out in healthy individuals but cannot be useful in determining its therapeutic efficacy
12 and impact on tumor-induced immune suppression, since spontaneous cancer is very rare
13 even in large NHP colonies. Therefore, while they are a relevant model for the scale up of
14 safety and immunology studies, NHPs do not fully recapitulate cancer disease conditions and
15 immune system impact.

16 **Naturally occurring tumors in companion animals: an undervalued resource**

17 *Similarities between human and pet tumors*

18 The study of spontaneous tumors in companion animals is gaining momentum (Figure 1e).
19 Cancer in pets is a naturally occurring disease and as common as in humans (22). It is a
20 leading cause of death in dogs and cats, especially now that they are living long enough to
21 develop the disease. Several organizations are involved in advancing the knowledge of cancer
22 in pets. These specialists include teams in veterinary surgery, radiation oncology, medical
23 oncology and clinician/researchers (AVBC, Australia/New Zealand-<http://www.avbc.asn.au/>;
24 ACVS-<https://www.acvs.org/>; ACVR-<http://www.acvr.org/>; American (www.acvim.org) and
25 European (www.ecvim-ca.org) Colleges of Veterinary Internal Medicine, Veterinary Cancer
26 Society, VCS).

27 The higher risk associated with age and behavior and, in some cases, the similar antigen
28 expression patterns of many cancers in domestic animals mirror human disease, making the
29 treatment of pet tumors with novel therapies critical to advancing human patient cure (23).
30 Pet tumors develop in an intact immune system, allowing the complex interactions between
31 the tumor and the immune system to occur. This makes tumors susceptible to the selective

1 pressure of spontaneous immunity and leads to the intratumoral heterogeneity and genetic
2 instability (24, 25) that faithfully reproduce human cancers.

3 Dogs are the most studied. Living in close proximity with humans, dogs are afflicted by the
4 same cancers (26-28), and provide an opportunity to address not only genetic risk for disease,
5 but also nutritional and environmental factors that are crucial for human tumor development
6 (29, 30). Spontaneous cancers in dogs grow over long periods of time in a syngeneic
7 microenvironment shaped by the natural evolution of the tumor mass, and often give rise to
8 recurrences and metastases, mimicking the progression of human tumors better than other
9 preclinical models (31). The existence of different breeds in the dog population means that
10 the heterogeneity in patients with the same disease reflects the diversity of human cancers.

11 The recent release of the entire canine genome sequence has proven that its homology with
12 the human genome is stronger than that between mouse and human genome (32).
13 Comparative gene expression studies have revealed close correspondence, in terms of tumor
14 genetics and molecular targets, between canine and human tumors (33-35), thus supporting
15 the use of canine cancer models as a mirror for what occurs in human cancer biology. The
16 finding of common driver oncogenes and deregulated cancer pathways in dogs and humans
17 means canine tumors act with similar biologic behavior and provide a similar response to
18 conventional therapies (22, 23). As a result, spontaneous cancer in dogs may reproduce the
19 biological and clinical complexity of human tumors in a manner that is not possible for other
20 preclinical models (6) (Figure 2).

21 *The translational power of naturally occurring pet tumors*

22 The similarities between pets and humans with respect to anatomy, physiology, tumor onset
23 and progression make canine tumor models a valuable tool for identifying new cancer-
24 associated genes and for enhancing our understanding of tumor molecular biology. In
25 addition, dog models will allow for the evaluation and development of novel diagnostic,
26 prognostic and therapeutic applications that can benefit both dog and human cancer patients
27 (6).

28 Cancer treatment in dogs includes many of the same drugs used in humans, predominantly via
29 off-label drug use (46, 47). Dogs and humans often have the same responses to therapies and
30 therefore studies in dogs may provide useful information about drug toxicity and the
31 mechanisms underlying the resistance of human patients to chemotherapy. There is no "gold
32 standard" treatment for many canine cancers and so it is possible to evaluate new agents as

1 first-line therapies, in combination with other treatments, or as adjunctive therapies in an
2 expedited and efficient manner (36). Moreover, as several cancer associated genetic
3 alterations that influence cancer progression in humans have been identified in canine cancer
4 (33, 37, 38), testing new targeted therapies for cancer treatment holds great translational
5 value for proof-of-concept and proof-of-target efficacy.

6 Naturally occurring tumors develop over long periods and constantly interact with the
7 syngeneic immune system of the canine patients, shaping the immune response and the
8 immune environment and mimicking the natural cancer immunoediting of human patients.
9 Therefore, evaluating the efficacy of novel immunotherapies in animal patients may be
10 strongly predictive of their clinical efficacy.

11 The rationale for evaluating therapeutics in domestic animals before in-human studies is
12 clear: 1) they provide a unique opportunity to evaluate both the safety and activity of a novel
13 drug and have high translational value due to the similarities between canine and human
14 tumors; 2) they offer a valuable means to assess treatment options which can be rapidly
15 translated to human clinical use. These data are time consuming, labor intensive and difficult
16 to complete in conventional preclinical models or human clinical trials alone (39).
17 Furthermore, the inclusion of dogs from different breeds provides cross-sectional value that is
18 often higher than in studies of inbred laboratory animals (23, 40). The heterogeneity and
19 complexity of cancer in the pet dog population also offers great opportunities for the
20 development and optimization of molecularly guided analysis, which characterizes
21 personalized medicine (41).

22 Whereas there are strict regulations for human clinical trials, there are fewer restrictions for
23 phase I/II/III trials in domestic animals with informed consent being a necessary regulation
24 (39). The reduced regulatory guidelines and the naturally shorter life spans of canine patients
25 allow for the rapid development and completion of clinical trials that can assess outcomes in a
26 6-18 month window. This is impossible in human cancer trials (42). The value of comparative
27 oncology trials has been increasingly recognized as a potent translational means to assess the
28 safety, efficacy, suitable human dosage and clinically relevant endpoints of a study (43).
29 Veterinary clinical studies are becoming an "avatar" for the human setting, providing an
30 easier way to study human cancer and innovative strategies to battle it. A number of
31 translational contributions have originated from studies in pets (reviewed in (23)) which
32 include the use of several targeted kinase inhibitors (44), L-MTP-PE for the treatment of
33 osteosarcoma (45) and the first DNA-vaccine to be approved by the United States Department
34 of Agriculture (USDA) for the treatment of melanoma, ONCEPT (see later).

1 *The Comparative Oncology Program and the LUPA Project: a way to provide new therapeutic*
2 *opportunities for both pets and humans*

3 The surveillance of cancer in pets has become more intense and an important challenge in the
4 veterinary field in recent years. Pets are also members of the family for many people, thus
5 motivating pet owners (“pet parents”) to seek out advanced therapies for the management of
6 cancer in their companion animals. The National Cancer Institute's Center for Cancer
7 Research (CCR) of the United States established the Comparative Oncology Program (COP) in
8 2003 to help advance an understanding of the biology of cancer and to ascertain the benefit of
9 novel treatments for humans by evaluating the response of these treatments in naturally
10 occurring cancers in pet animals - primarily cats and dogs.

11 The COP (<https://ccrod.cancer.gov/confluence/display/CCRCOPWeb/Home>) designs and
12 organizes clinical trials in collaboration with academic veterinary institutions across the
13 United States. Pets may receive treatment under board-certified veterinary oncologists.
14 Participation within these trials does require travel to specific veterinary academic centers,
15 which is not always possible for even the most dedicated pet parent. The website,
16 www.vetcancertrials.org, was developed and is maintained by the University of Missouri-
17 Columbia Veterinary Medical Teaching Hospital and is designed for use by everyone involved
18 in the treatment of pet animals with cancer, including pet owners, general practice
19 veterinarians, board-certified oncologists and other specialist veterinarians. Information is
20 provided for clinical trials from both private practices and academically based veterinarians
21 to favor the rapid completion of clinical trials while providing progressive treatment options
22 for pets with cancer. There are almost 90 trials listed currently and more trials are added
23 every month. Some trials are fully funded while others require financial outlay. The site is an
24 invaluable asset in the quest for progressive treatment options, is supported by the VCS and
25 was originally developed by the Veterinary Cooperative Oncology Group (VCOG). VCS is a
26 group of board-certified veterinary oncologists and associated specialists assembled to
27 facilitate high quality veterinary oncology. VCOG also promotes collaborative investigations.

28 A European initiative to use dogs as a model for the study of common complex diseases in
29 humans, including cancer, was formed and funded in 2008 by the European Commission
30 (<http://www.eurolupa.eu>). The LUPA project (46) was named after the female wolf which fed
31 the twin founders of Rome, Romulus and Remus, and was initiated to highlight how humans
32 may benefit from genetic studies on dogs. The project consists of 22 collaborating veterinary

1 faculties and research centers which target five overlapping disease categories including
2 cancer.

3 An example of their collective effort is the fact that SNP genotypes, collected as part of the
4 project, are stored in a central database. LUPA partners have identified loci associated with
5 susceptibility to several complex disorders, and more importantly have improved the
6 dialogue between veterinary clinicians and geneticists throughout Europe and the rest of the
7 world (47-49).

8 The most recent translational contribution can be found in the drug Toceranib (Palladia) from
9 Pfizer Animal Health, now Zoetis. Like the human cancer drug Sutent, Palladia was born at
10 Sugen, a company that was acquired by Pharmacia, which in turn was bought by Pfizer. The
11 drug is a multi-kinase inhibitor that targets several receptor tyrosine kinases and is FDA
12 approved for the treatment of Patnaik grade II or III, recurrent, cutaneous mast cell tumors
13 with or without regional lymph node involvement in dogs (50).

14 **Cancer immunotherapy in dogs**

15 A limitation of cancer immunotherapy in dogs has been the relatively poor knowledge and
16 understanding of canine immune system, mainly due to a lack of reagents, such as antibodies
17 which are able to identify specific subpopulations. Such tools have recently become available
18 and several studies have identified immune cells which play crucial roles in canine cancer
19 immunology, such as T regulatory cells (51, 52), myeloid derived suppressor cells (53), NK
20 cells (54) and tumor macrophages (55). This increased knowledge has further solidified the
21 position of dogs as a translational model for cancer immunotherapies. The following
22 paragraphs summarize the most relevant efforts.

23 *Lymphosarcoma*

24 An example of the translational relevance of canine cancer is non-Hodgkin lymphoma (NHL),
25 the most common canine malignancy, which accounts for up to 24% of all reported
26 neoplasms. The majority of canine NHL (60–80%) arise from malignant B cells, as is the case
27 in humans (56). This disease has shown a positive association with exposure to herbicides,
28 chemicals and with living in highly polluted areas (57-59). Significant association between the
29 distributions of human, canine NHL and environmental factors such as waste incinerators,
30 polluted sites and radioactive waste was found in a French study (60).

1 Malignant lymphosarcoma (LSA) is the most common NHL in dogs. The median age of
2 occurrence is around 7 years (61, 62). The two standard-of-care treatments for canine B-
3 lineage NHL are chemotherapy regimens; cyclophosphamide, vincristine and prednisolone
4 (COP), and cyclophosphamide, vincristine, doxorubicin and prednisone (CHOP). These result
5 in temporary remission in approximately 85% of patients, but are rarely curative, as the two-
6 year survival rate is lower than 20% (63). A shorter but dose-intense CHOP chemotherapy
7 schedule results in a median survival time of approximately 27 weeks (64). Combination
8 protocols have generally been in favor, however single agent protocols have provided
9 extended survival and should be considered (61, 65).

10 Due to its high frequency in the pet population and an intense medical need, canine LSA is a
11 suitable model for innovative therapies. Recent reports have shown that canine LSA is
12 treatable with experimental immunotherapy, such as adoptive cell therapy (66), tumor RNA-
13 loaded, CD40-activated B cell (67) and autologous Heat Shock protein complexes (68), in
14 addition to standard chemotherapy. These studies have reported significant delays in tumor
15 progression and occasionally complete remission, thus demonstrating the susceptibility of
16 this tumor type to immunotherapy. However, these personalized cell therapy agents are
17 cumbersome and generally very expensive. For these reasons, alternative technologies which
18 combine lower manufacturing costs and more standardized production processes are needed.
19 Gene-based vaccines are a promising avenue. Research by some of the authors (LA, JAI) show
20 that a genetic vaccine which targets dog telomerase reverse transcriptase was immunogenic
21 in almost all treated animals and most importantly, in a double armed trial had a significant
22 therapeutic impact on canine LSA (69, 70).

23 Monoclonal canine antibodies for the treatment of canine LSA are also attracting interest.
24 Rituximab, a chimeric monoclonal antibody, has previously been evaluated for binding against
25 canine B cells in NHL, but no *in vivo* depletion was identified (71).

26 Aratana (www.aratana.com) is a US company that is actively involved in this technology. They
27 are developing AT-005 for T-cell lymphoma. AT-005 is a canine version of Campath, which is a
28 drug developed for human targeting CD52. Similarly, AT-004 mAb provides dogs with
29 targeted immunotherapy against the cell-surface antigen CD20, which is expressed on canine
30 lymphoma B-cells. AT-004 depletes malignant B-cells.

31 Genetic vaccines and canine mAb may therefore be a convenient and uniquely targeted
32 product which can complement standard LSA treatment.

1 *Melanoma*

2 Malignant melanoma (MM) is a spontaneous tumor in dogs which makes up 7% of all
3 malignant tumors (72). It is the most common malignant neoplasm of the oral cavity (73),
4 while other less commonly affected sites are the lips (23%), skin (11%) and digits (8%) (72).
5 Generally, MM is detected at an advanced stage when tumor resection is rarely curative and
6 metastases are already present. Clinical biological malignancy is mainly attributed to oral
7 melanomas as they are almost all malignant and display a metastatic rate of up to 80% to
8 regional lymph nodes and other organs, including the lungs, and thus mimic the clinical
9 evolution of human disease (72, 74).

10 Although they differ in frequency and severity (84, 87), canine and human melanomas share
11 many similarities, including the same anatomical sites, similar histopathology and common
12 architectural features (88). Several studies have focused on the evaluation of tumor genetics
13 and canine MM molecular targets, leading to the identification of common hotspot somatic
14 mutations in dogs and humans, suggesting that common pathways contribute to the
15 progression of the disease in both species (75, 76). A similar differential gene expression
16 pattern in the MAPK "mitogenic" pathway and in the PI3K/Akt "survival" pathway, primarily
17 involved in human MM tumorigenicity, have been identified in canine MM (76), laying the
18 foundation for more rational therapeutic comparative studies. While the absence of the BRAF
19 somatic mutation in canine MM, which mostly develops in non-UV exposed sites, is paralleled
20 in human non-UV-linked MM which also harbors wild-type BRAF. This denotes the relevance
21 of the canine MM model to the study of human homologous, non-UV-linked MM subtypes and
22 the identification of new therapeutic targets for wild-type BRAF patients.

23 The conventional management of canine MM, and especially of the most aggressive oral type,
24 is often as disappointing as it is in humans. Traditional treatment for canine MM involves
25 surgery, radiotherapy and chemotherapy and is efficient in controlling the tumor locally in up
26 to 75% of animals, whether used alone or in combination. However the 1-year survival rate
27 does not exceed 30%, because of metastasis (77-79).

28 Several comparative studies of novel immunotherapy therapy protocols have been performed
29 in dogs affected by MM and promising results have been achieved (80-84). These efforts led to
30 the development of the first USDA-approved anti-tumor vaccine: the ONCEPT (Merial), a
31 xenogeneic DNA vaccine targeting tyrosinase which can extend survival in dogs with locally
32 controlled stage II-III oral MM. This vaccine is widely used and gives encouraging results (85,

1 86). Nevertheless, a recent retrospective study conducted on a limited number of dogs has
2 questioned its efficacy (87).

3 ONCEPT approval spurred the development and evaluation of other vaccines. Mayayo et al.
4 were the first to investigate the expression of chondroitin sulfate proteoglycan (CSPG)4 in
5 canine MM (88). It is an early cell surface progression marker which is highly expressed in
6 about 80% of human MM where it regulates tumor cell proliferation, migration and invasion
7 (89). Mayayo and coworkers found CSPG4 expression in about 60% of canine MM (88), and
8 labeled it as a new marker for canine MM diagnosis and a promising immunotherapy target.
9 Two of this review's authors (FC, FR) have now tested a xenogeneic DNA vaccine against this
10 molecule in client-owned dogs with surgically resected stage II-III CSPG4-positive,
11 spontaneous oral MM. The disease free interval and overall survival of vaccinated dogs were
12 significantly longer as compared to those of controls, being 477 vs 180 and 653 vs 220 days,
13 respectively (90).

14 *Mammary carcinoma*

15 Canine mammary tumors (CMT) share many characteristics with human breast cancer,
16 including histological appearance, biological behavior, hormone dependence, frequent
17 oncogene HER-2/neu activation (91, 92) and response to conventional treatments. Human
18 and dog gene expression data, from both tumor and normal mammary samples, show that a
19 significant number of shared genes are deregulated in the tumors as compared to their
20 normal counterparts. Pathway analysis of gene expression data reveals a high degree of
21 similarity in the perturbation of many cancer-related pathways. The transcriptional
22 relationships between different gene signatures of human breast cancer are mostly
23 maintained in the canine sequences, suggesting CMT as translational model for human disease
24 (107). Similarly, feline mammary tumors (FMT) show protein and gene expression profiles
25 that are comparable to human cancers (108, 109).

26 Standard therapies include surgical extirpation of the gland (dog) vs. radical bilateral
27 mastectomies (cat) followed with chemotherapy. No standard chemotherapy protocol has
28 been reported to be effective and continued research is being pursued to offset metastasis
29 which leads to euthanasia. Mammary tumors are associated with a high risk of metastatic
30 disease, especially in cats, and several studies indicate that HER-2/neu expression is similar in
31 human breast carcinoma (93). For all these reasons, CMT and FMT are ideal preclinical
32 models with which to evaluate HER-2/neu immunotherapy. A genetic vaccine based on a

1 combination of adenovirus and DNA electroporation has been shown to be immunogenic in
2 dogs (94) and some authors (LA, JAI) are currently testing its antitumor efficacy in FMT and
3 CMT.

4 *Osteosarcoma*

5 Osteosarcoma (OSA) is a primary bone tumor that most commonly affects the medullary canal
6 of long bone metaphyses. It is similar in humans (95) and it is estimated that over 8,000 dogs
7 per year will be diagnosed with OSA in the United States. Common sites are the distal radius,
8 proximal humerus, distal femur and proximal tibia, but finding OSA at other sites is not
9 unusual. Most affected patients suffer lameness and/or the development of a firm mass at the
10 primary site. Of the primary bone tumors reported to occur in dogs, OSA is the most common
11 and accounts for more than 80% of all canine primary bone cancers. The average age of
12 canine sufferers is 7 years, but can range from 6 months to more than 12 years. Amputation
13 alleviates pain and decreases risk of pathologic fracture. Without adjuvant therapy,
14 amputation must be considered a pain-palliative procedure only, as it does not significantly
15 increase survival time, but improves the quality of life. Patients usually succumb to lung
16 metastasis.

17 Amputation and systemic chemotherapy is the current treatment of choice for canine
18 appendicular OSA. Postoperative systemic chemotherapy is currently used to suppress
19 the development of metastatic disease, but is ineffective. Two meta-analysis studies have
20 recently been published and confirmed serum alkaline phosphatase (SALP) and proximal
21 humeral location as negative prognostic factors and gave a median survival time of 256 days
22 (96, 97). Many patients are poor candidates for amputation, due to mitigating factors such as
23 severe degenerative joint disease, obesity and multiple tumor sites. Some owners resist the
24 amputation of their pets' limbs because they are reluctant to subject them to this radical
25 procedure.

26 OSA is a suitable cancer for targeting with immunotherapy due to the frequency of metastatic
27 disease despite local control. A common feature of OSA is the expression of the TAAs
28 HER2/neu and/or CSPG4. An autologous tumor cell vaccine, genetically engineered to express
29 hGM-CSF (98), was once suggested to induce an immune response and give a therapeutic
30 outcome. More recently, Advaxis has developed technology that uses attenuated, live *Listeria*
31 as a vector to deliver a tumor-associated antigen in order to activate the patient's immune
32 system. This protocol has been explored in OSA affected humans and dogs

1 (www.advaxis.com). *Listeria monocytogenes* strains have been engineered to induce an innate
2 immune response and to express tumor-associated antigens which induce tumor-specific T
3 cell-mediated immunity. In addition, tumor antigens have been fused to virulence factor
4 listeriolysin (LLO) in the *Listeria* bacterium. The combination of the tumor antigen and LLO
5 generates a strong immune response which attacks the cancer. ADXS-cHER2 is an
6 immunotherapy treatment based on this technology that targets the HER2 oncogene. An
7 ongoing Phase I trial at the University of Pennsylvania is treating naturally occurring OSA
8 suffering pet dogs with ADXS-cHER2, after their standard-of-care treatment, and shows
9 significantly prolonged overall survival over dogs that received the standard-of-care
10 treatment without ADXS-cHER2 (Advaxis press release). On this basis, Advaxis announced
11 that it intends to initiate a clinical program of ADXS-cHER2 for the treatment of pediatric
12 osteosarcoma. In addition, Advaxis signed a global licensing agreement with Aratana
13 Therapeutics, Inc. for ADXS-cHER2 for the treatment of osteosarcoma in dogs. Two authors
14 (LA, JI) have also been evaluating HER2 immunotherapy against canine osteosarcoma using
15 the prime/boost technology with electrogenetransfer, with patient accrual ongoing.

16 **Conclusions**

17 Our increasing knowledge of cancer biology, its mechanisms and tumors' complex interaction
18 with microenvironments and immune systems are leading to a new vision of translational
19 oncology. Investigations into new drugs and vaccines, their combinations and the assessment
20 of biomarkers and responding histologies can now rely on a variety of animal models which
21 are much closer to human diseases.

22 Comparative oncology has undergone tremendous growth in the past 30 years and the
23 continuation of this collaborative effort can only hasten important discoveries as to the
24 mechanics of cancer and therapeutic intervention, which will bring benefits to both dogs and
25 humans alike. Clinical trial funding, ever the challenge, will become easier to justify with the
26 use of naturally occurring cancer models. Indeed, the treatment of canine patients today could
27 be of immense help for their owners tomorrow. This is the main point that, in recent years,
28 has moved veterinarians, pathologists, researchers, clinicians and pet owners themselves to
29 collaborate and combine knowledge and effort. The final aim is to transform the concept of
30 comparative oncology into a more efficient and concrete tool for translational medicine.

31

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6

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8 **Conflict of interest**

9 The authors declare no conflict of interest.

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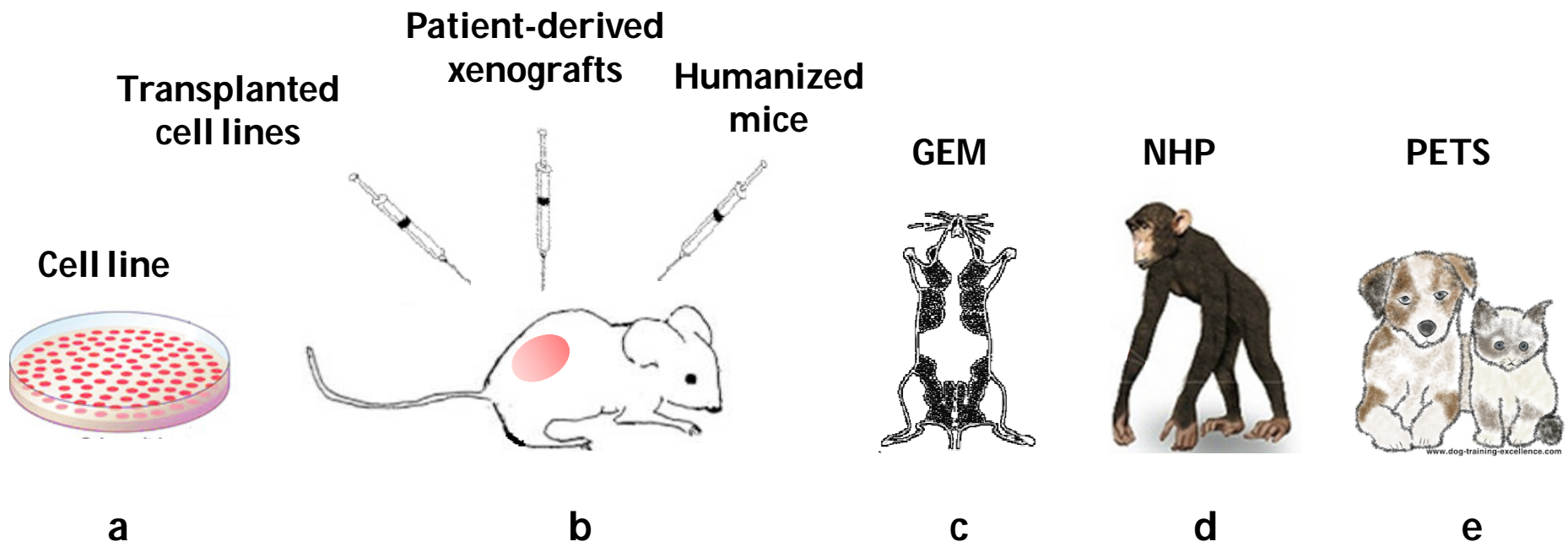
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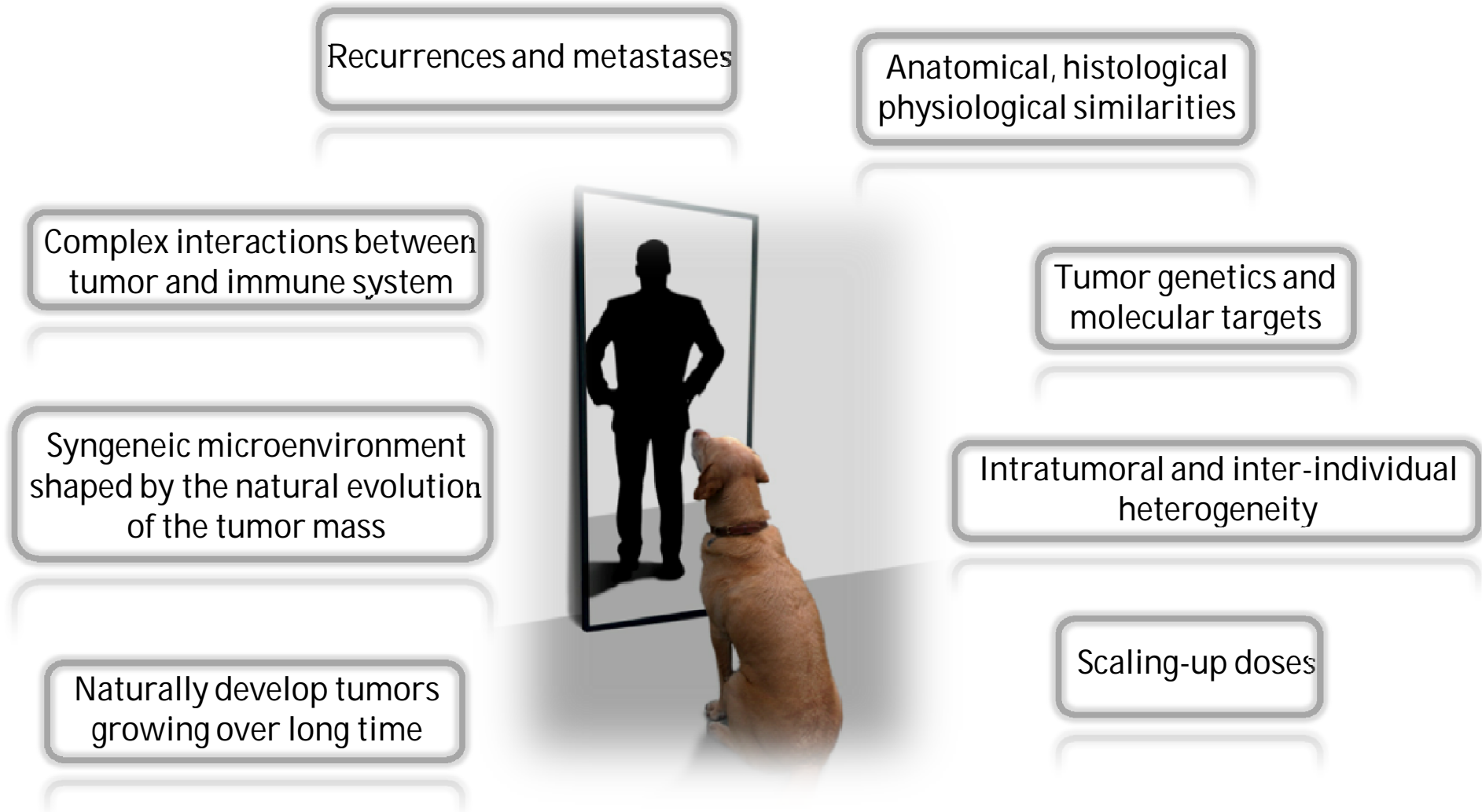
1 **Figure 1. Evolution of experimental systems towards major complexity and**
2 **translatability.** The study of human cancer complexity has evolved from the use of cancer
3 cell lines **(a)** to the use of ever more complex *in vivo* systems **(b, c, d, e)**. The use of
4 transplantable cancer cell line models, patient-derived xenografts, in syngeneic or
5 immunodeficient mice, and of humanized mice are significant steps towards more
6 comprehensive experimental models **(b)**. The advent of genetically engineered mice (GEM)
7 that spontaneously develop tumors and thus recapitulate complete disease evolution
8 provides the first revolution in preclinical cancer research **(c)**. To overcome limitations in
9 murine models, testing immunological therapies in large animals, such as nonhuman primates
10 (NHPs) which possess immune systems which are closer to ours, has offered advantages in
11 scaling-up doses in human patients **(d)**. However, translational medicine research is now
12 rapidly moving towards the study of naturally occurring tumors in companion animals which
13 may be priceless comparative models with which to accelerate the entry of new anti-cancer
14 therapies into the human sphere **(e)**.
15

1 **Figure 2. Mirroring the human reality: the importance of the canine avatar.** The many
2 similarities between canine and human cancers make naturally occurring tumors in
3 companion animals a mirror of the human clinical condition. Spontaneous tumors in pet
4 animals grow over long periods of time in a syngeneic microenvironment, experience complex
5 interactions between the tumor and the immune system and retrace the natural evolution of
6 human tumors (giving rise to recurrences and metastases). They therefore mimic the
7 progression of human disease better than other preclinical models. The significant
8 anatomical, histological and physiological similarities between pet and human cancers, in
9 terms of tumor onset, progression and treatment, as well as the identification of common
10 tumor genetics and molecular targets, effectively increase the translational power of canine
11 models to accelerate the development of new antitumoral therapies in human patients.
12 Canine tumors realistically recall the complexity of human cancers thanks to their
13 intratumoral genetic instability and patient heterogeneity. Canine cancer models are of great
14 translational value as avatars of human tumor behavior and therapy response.

15



Riccardo et al., Figure 1



Riccardo et al., Figure 2